On the norm overlap between many-body states

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B. Bally, T. Duguet, arXiv:1704.05324 and arXiv:1706.04553

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- I. Background and objectives
- II. Method for general correlated norm kernels
- **III. Application to arbitrary pair of Bogoliubov product states**
- **IV. Numerical tests and validation**
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Background 1

A-body Schrödinger equation within a set of non-orthogonal states

→ Set of *N* non-orthogonal many-body states $\mathcal{M} \equiv \{ |\Phi_k \rangle, k = 1 ..., N \}$

→ Secular equation = generalized eigenvalue problem

1) Set of Slater determinants $N_{kl} \rightarrow det$

2) Set of Bogoliubov states $\mathcal{N}_{kl} \rightarrow \text{pf}$ [L. M. Robledo, 2009] Solved a long-standing problem related to capturing the complex phase

N(N+1)/2 independent elements

→ Examples: generator coordinate method and symmetry restoration (proj. only makes use of first line of N)

 \rightarrow Complex phases 1) The phase of each state $|\Phi_k\rangle$ can be arbitrarily chosen

2) One must make a choice and compute *all* entries N_{kl} consistently with it

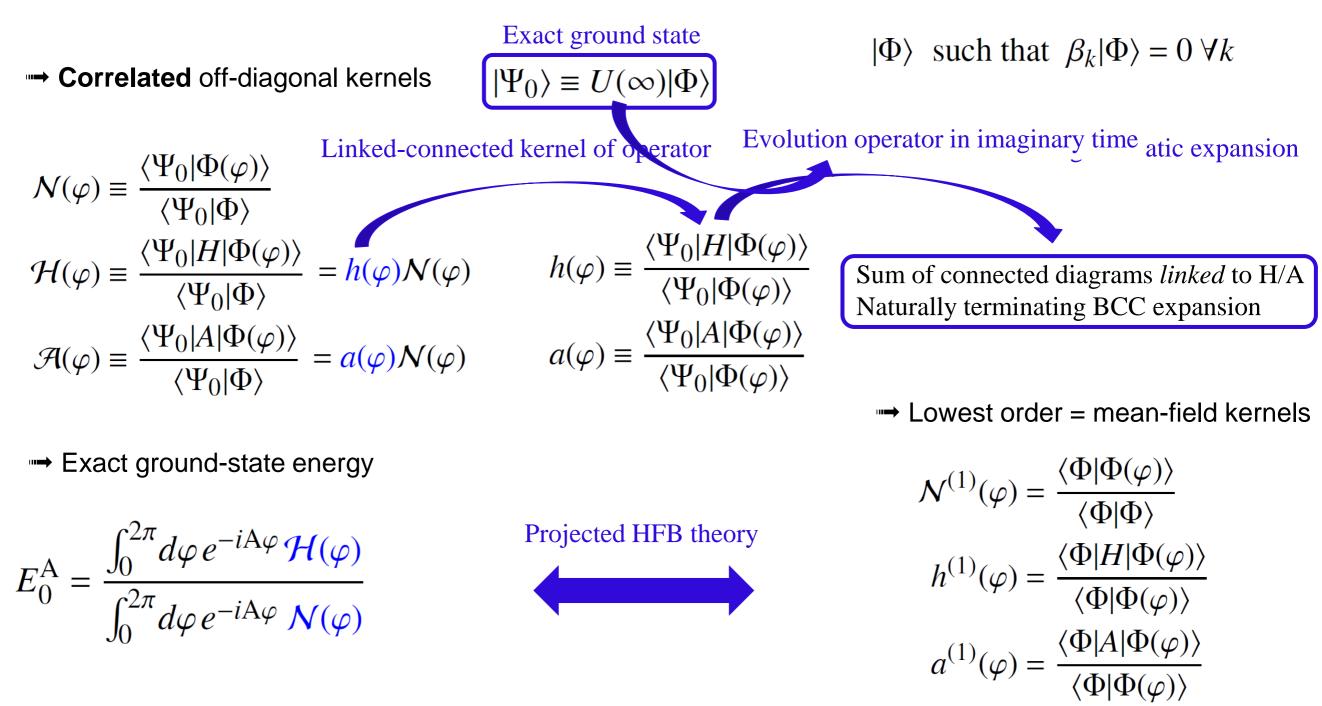
→ Practical phase conventions

 $\operatorname{Arg}(\langle \bar{\Phi} | \Phi_1 \rangle) = \operatorname{Arg}(\langle \bar{\Phi} | \Phi_2 \rangle) \dots = \operatorname{Arg}(\langle \bar{\Phi} | \Phi_{N_{\text{set}}} \rangle) \qquad |\bar{\Phi} \rangle \equiv |\Phi_1 \rangle \text{ Reference state within the set} \\ |\bar{\Phi} \rangle \equiv |0 \rangle \text{ Reference state outside the set (i.e. Proj.)}$

Background 2

Particle-number-restored Bogoliubov MBPT and CC theory
 [T. Duguet, 2015]
 [T. Duguet, A. Signoracci, 2016]

→ Set of N non-orthogonal gauge-rotated Bogoliubov states $\mathcal{M} \equiv \{|\Phi(\varphi)\rangle \equiv e^{iA\varphi}|\Phi\rangle; \varphi \in [0, 2\pi]\}$



Background 2

Correlated off-diagonal norm kernels within PNR-BCC and PNR-BMBPT theories

Analytically scrutinized in

On the norm overlap between many-body states. II. Correlated off-diagonal norm kernel, P. Arthuis, B. Bally, T. Duguet, in preparation

Objectives = General correlated off-diagonal norm kernels

 \odot Two *arbitrary* Bogoliubov vacua $|\Phi\rangle$ and $|\Phi\rangle$

General correlated off-diagonal norm kernel

$$\mathcal{N} = \frac{\langle \Psi_0 | \breve{\Phi} \rangle}{\langle \Psi_0 | \Phi \rangle} \quad \text{with} \quad |\Psi_0 \rangle \equiv U(\infty) | \Phi \rangle$$

• First order = norm overlap between arbitrary Bogoliubov states

$$\mathcal{N}^{(1)} = \frac{\langle \Phi | \breve{\Phi} \rangle}{\langle \Phi | \Phi \rangle}$$

Question 1: can we find a method to calculate **1) general 2) correlated** norm kernels **without any phase ambiguity**? Question 2: that provides an alternative to Pfaffians [L.M. Robledo (2009)] at lowest order?

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${\bf O}$ Auxiliary manifold linking $|\Phi\rangle$ and $|\breve{\Phi}\rangle$

 \Rightarrow Write unitary transformation $|\breve{\Phi}\rangle = e^{iS} |\Phi\rangle$ with general one-body Hermitian operator S on Fock space

Norm kernels

- Correlated off-diagonal kernel for $\langle \Theta | \equiv \langle \Psi_0 |$ and $\theta = 1$
- **O** Uncorrelated off-diagonal kernel for $\langle \Theta | \equiv \langle \Phi | \text{ and } \theta = 1$

Closed-form expression

$$\frac{\langle \Psi_0 | \breve{\Phi} \rangle}{\langle \Psi_0 | \Phi \rangle} = e^{i \int_0^1 d\phi \, s[\langle \Psi_0 |, | \Phi(\phi) \rangle]}$$

$$s[\langle \Psi_0 |, | \Phi(\theta) \rangle] = \frac{\langle \Psi_0 | S | \Phi(\theta) \rangle}{\langle \Psi_0 | \Phi(\theta) \rangle}$$

Phase convention
 1) Calculable without phase ambiguity from generalized diagrammatic (GWT)
 2) Involves integration over manifold $\mathcal{M}[]\Phi\rangle, S$ → \mathcal{W} and \mathcal{W} are insufficient to fix the relative phase of the associated vacua, i.e. determine S but not S⁰⁰

The phase of $\langle \Phi | \check{\Phi} \rangle$ reflects an implicit or explicit convention fixing the relative phase between both states

 $\begin{array}{c} \langle \Phi_1 | \Phi_1 \rangle & \langle \Phi_1 | \Phi_2 \rangle & \cdots \\ \langle \Phi_2 | \Phi_1 \rangle & \langle \Phi_2 | \Phi_2 \rangle \\ \vdots & \ddots \end{array}$ $\langle \Phi_1 | \Phi_{N_{\text{set}}} \rangle$ → Actual problem of interest $\{|\Phi\rangle, |\breve{\Phi}\rangle\} \in \mathcal{M}_{\text{set}} \equiv \{|\Phi_1\rangle, \dots, |\Phi_{N_{\text{set}}}\rangle\} \implies N \equiv$ $\langle \Phi_{N_{\rm cat}} | \Phi_{N_{\rm cat}} \rangle$ $\langle \Phi_{N_{\rm cot}} | \Phi_1 \rangle$ \rightarrow Fix their phase relative to given $|\Phi\rangle$ Goal = consistent set of complex phases Require Fix their relative phases $\operatorname{Arg}(\langle \bar{\Phi} | \Phi_1 \rangle) = \operatorname{Arg}(\langle \bar{\Phi} | \Phi_2 \rangle) = \ldots = \operatorname{Arg}(\langle \bar{\Phi} | \Phi_{N_{\text{set}}} \rangle) \blacksquare$ Actual phase relative to $|\bar{\Phi}\rangle$ unspecified **Ex:** $|\bar{\Phi}\rangle \equiv |0\rangle$ or $|\bar{\Phi}\rangle \equiv |\Phi_1\rangle$ → The above phase convention translates into a constrain on S, i.e. it fixes S⁰⁰ $= e^{-\Im m \int_0^1 d\phi \, s[\langle \bar{\Phi} |, | \Phi(\phi) \rangle]} e^{i\Re e \int_0^1 d\phi \, s[\langle \bar{\Phi} |, | \Phi(\phi) \rangle]} e^{i\Re e \int_0^1 d\phi \, s[\langle \bar{\Phi} |, | \Phi(\phi) \rangle]}$ $\langle \Theta | \equiv \langle \bar{\Phi} | \text{ and } \theta = 1$ $\Re e$ $d\theta \ s[\langle \bar{\Phi} |, | \Phi(\theta) \rangle] = 0$

Extraction of S and of the auxiliary manifold

O Bogoliubov transformation linking $|\Phi\rangle$ and $|\Phi(\theta)\rangle$

$$\begin{pmatrix} \beta \\ \beta^{\dagger} \end{pmatrix} = \chi^{\dagger}(\theta) \begin{pmatrix} \beta^{\theta} \\ \beta^{\theta^{\dagger}} \end{pmatrix} \equiv \begin{pmatrix} A^{\dagger}(\theta) & B^{\dagger}(\theta) \\ B^{T}(\theta) & A^{T}(\theta) \end{pmatrix} \begin{pmatrix} \beta^{\theta} \\ \beta^{\theta^{\dagger}} \end{pmatrix} \text{ with } \begin{bmatrix} \chi(0) = \chi(0) \\ \chi(1) = \chi(0) \\ \chi(0) \\ \chi(0) = \chi(0) \\ \chi(0) = \chi(0) \\ \chi(0)$$

Key lessons (but not general/practical)

[P. Ring, P. Schuck (1977)] [K. Hara, S. Iwasaki (1979)] [K. Takayanagi (2008)]

$$\begin{bmatrix} X(0) = 1 \\ X(1) = \breve{W}^{\dagger} \mathscr{W}$$

\odot Extraction of S and $\chi(\theta)$

1) Diagonalize unitary matrix

$$\mathcal{X}_{\mathrm{D}}(1) \equiv \mathcal{P}^{\dagger} \mathcal{X}(1) \mathcal{P}$$
$$\mathrm{Sp} \mathcal{X}(1) = \{x_i, |x_i| = 1\}$$

2) Take principal logarithm

$$S = \mathcal{P}S_{\mathrm{D}}\mathcal{P}^{\dagger}$$

Sp $S \equiv \{s_i = i \log x_i \in] - \pi, \pi]\}$

3) Take exponential

$$\begin{cases} \mathcal{X}(\theta) = \mathcal{P}\mathcal{X}_{\mathrm{D}}(\theta)\mathcal{P}^{\dagger} \\ \mathrm{Sp}\mathcal{X}(\theta) = \{x_{i}(\theta) = e^{-i\theta s_{i}}\} \end{cases}$$

Elementary contractions along the auxiliary manifold

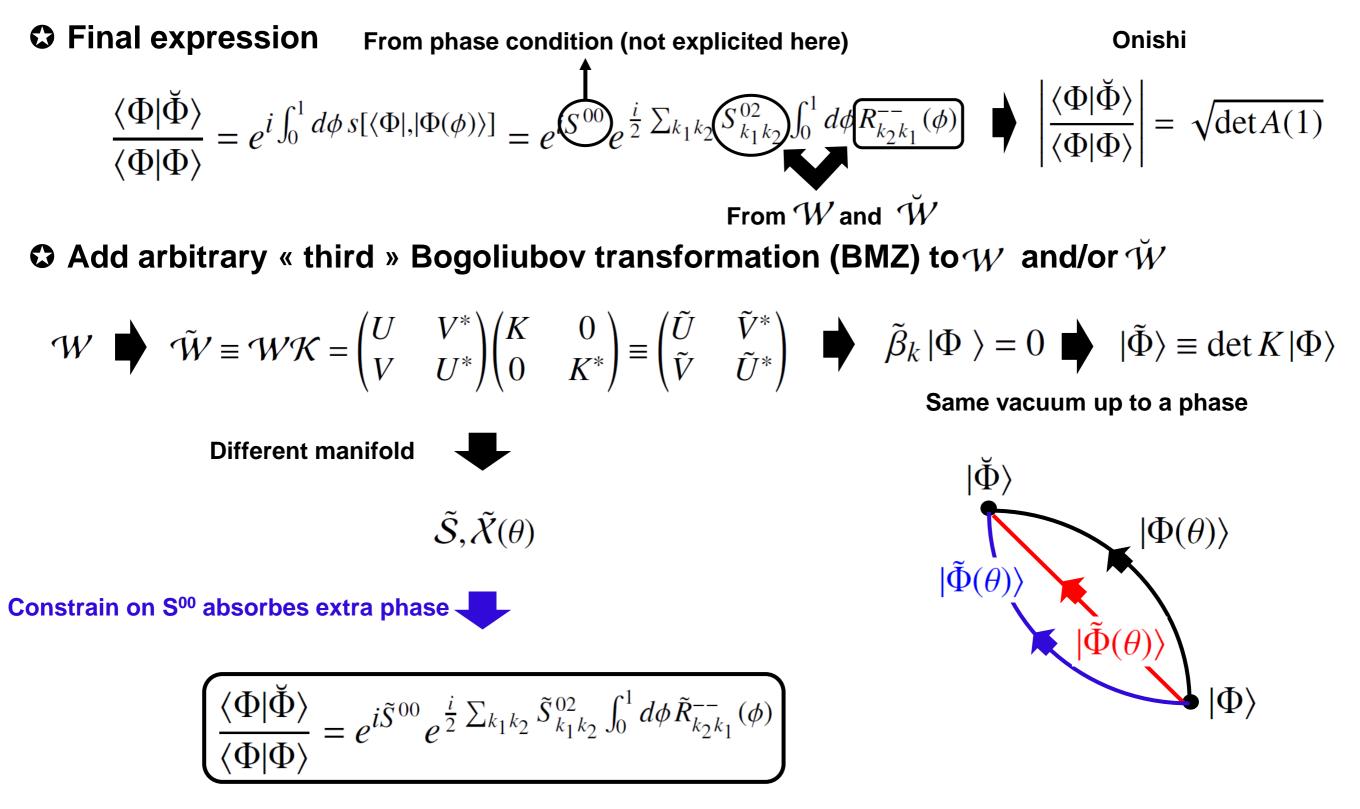
$$\mathcal{R}(\theta) \equiv \begin{pmatrix} \frac{\langle \Phi | \beta^{\dagger} \beta | \Phi(\theta) \rangle}{\langle \Phi | \Phi(\theta) \rangle} & \frac{\langle \Phi | \beta | \beta | \Phi(\theta) \rangle}{\langle \Phi | \Phi(\theta) \rangle} \\ \frac{\langle \Phi | \beta^{\dagger} \beta^{\dagger} | \Phi(\theta) \rangle}{\langle \Phi | \Phi(\theta) \rangle} & \frac{\langle \Phi | \beta | \beta^{\dagger} | \Phi(\theta) \rangle}{\langle \Phi | \Phi(\theta) \rangle} \end{pmatrix} \equiv \begin{pmatrix} R^{+-}(\theta) & R^{--}(\theta) \\ R^{++}(\theta) & R^{-+}(\theta) \end{pmatrix} = \begin{pmatrix} 0 & -B^{\dagger}(\theta) [A^{T}(\theta)]^{-1} \\ 0 & 1 \end{pmatrix}$$

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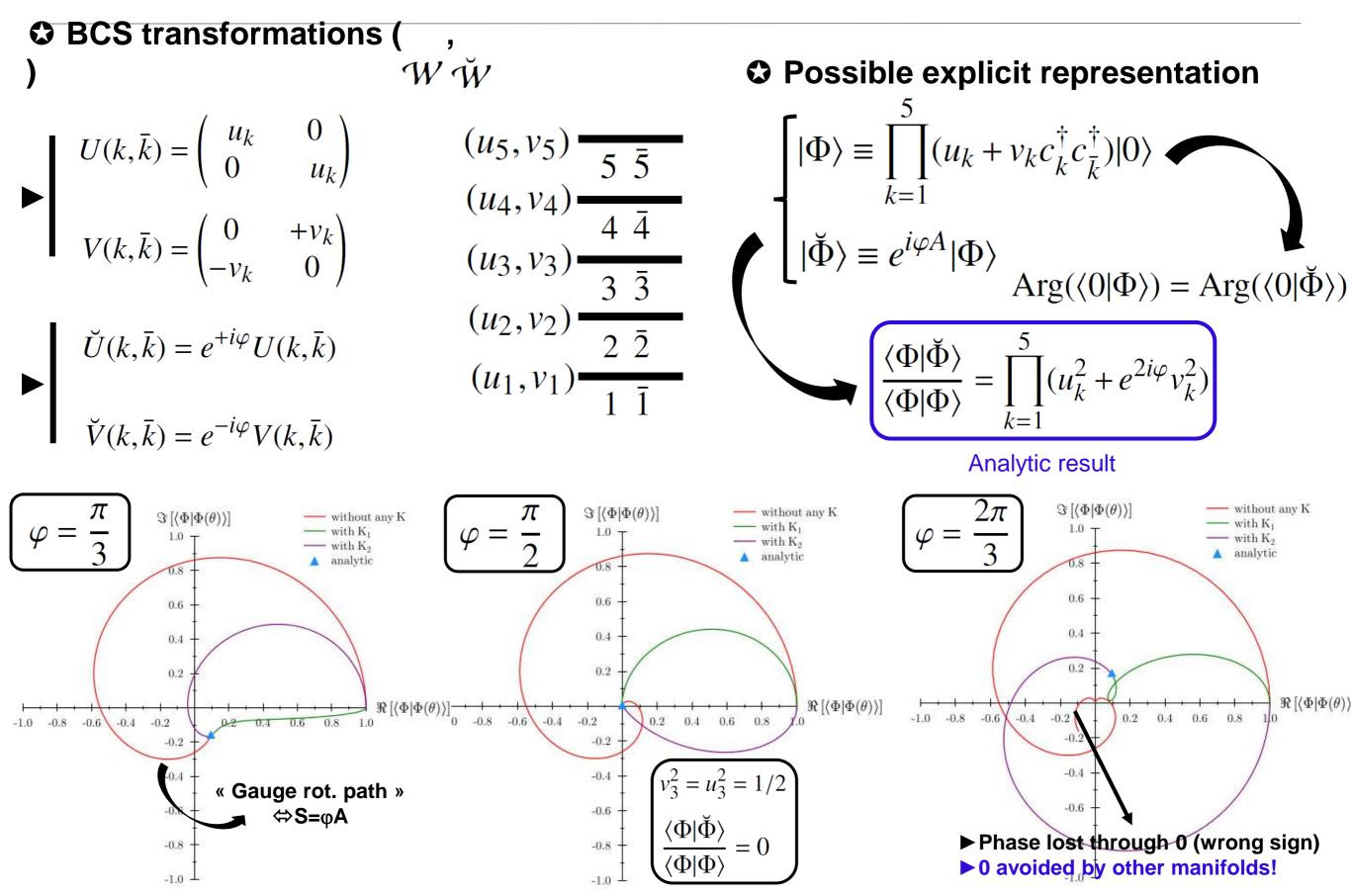
Computation of the norm overlap



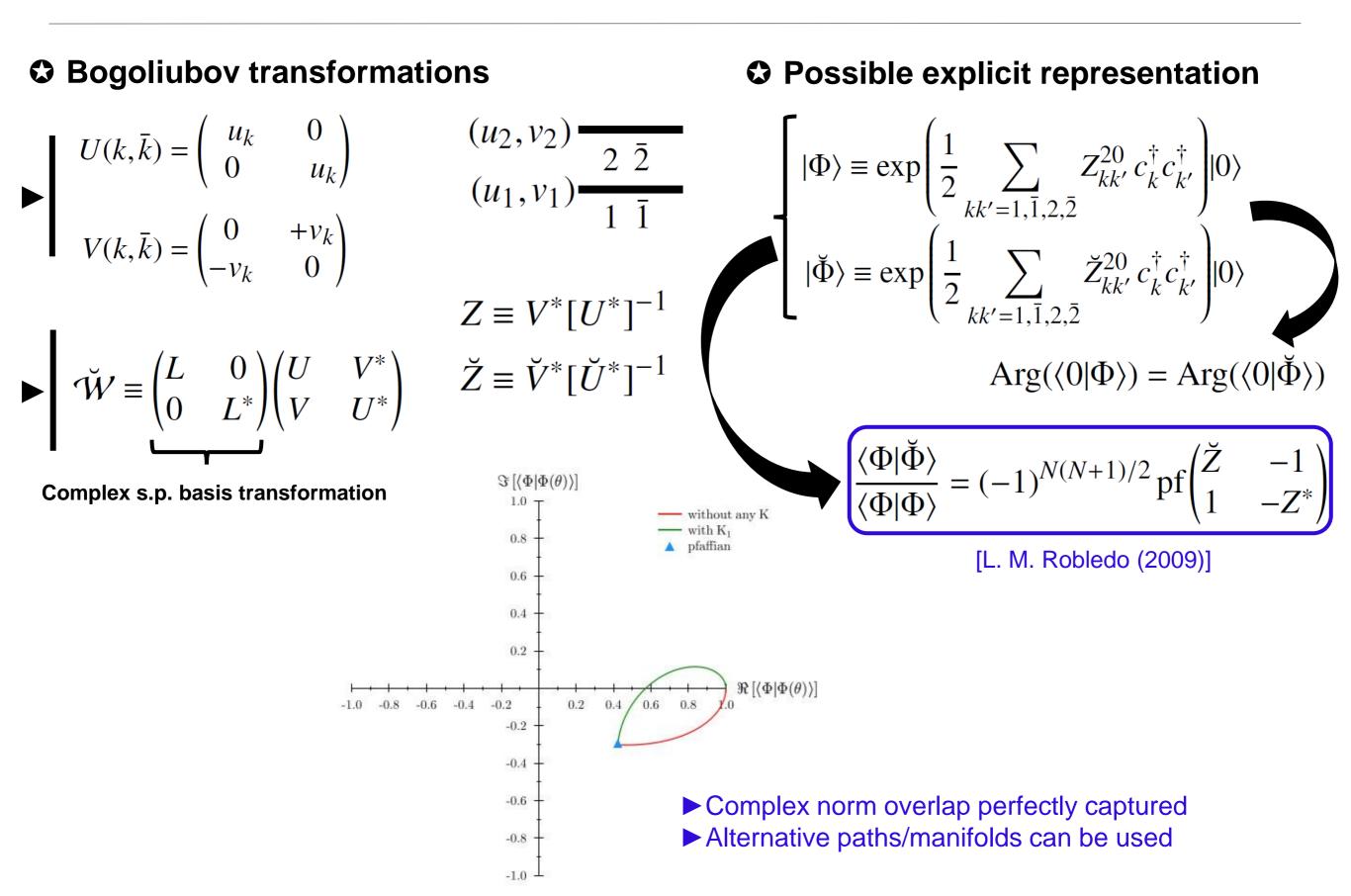
Same overlap following a different unitary paths... see next for usefulness in applications on the basis of random K

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Toy model 1: global gauge rotation for 10-levels BCS model



Toy model 2: 4-levels Bogoliubov model



Toy model 3: 10-levels Bogoliubov model

Set of Bogoliubov vacua $\mathcal{M} \equiv \{ |\Phi_1\rangle, |\Phi_2\rangle, |\Phi_3\rangle \}$

Norm matrix

$$\mathcal{N}_{\mathcal{M}} \equiv \begin{pmatrix} \langle \Phi_1 | \Phi_1 \rangle & \langle \Phi_1 | \Phi_2 \rangle & \langle \Phi_1 | \Phi_3 \rangle \\ \langle \Phi_2 | \Phi_1 \rangle & \langle \Phi_2 | \Phi_2 \rangle & \langle \Phi_2 | \Phi_3 \rangle \\ \langle \Phi_3 | \Phi_1 \rangle & \langle \Phi_3 | \Phi_2 \rangle & \langle \Phi_3 | \Phi_3 \rangle \end{pmatrix}$$

Phase convention

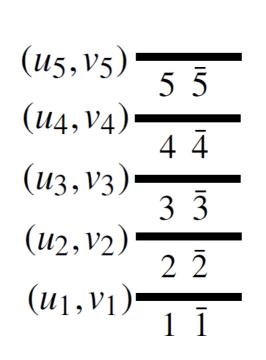
➡ Pfaffian method

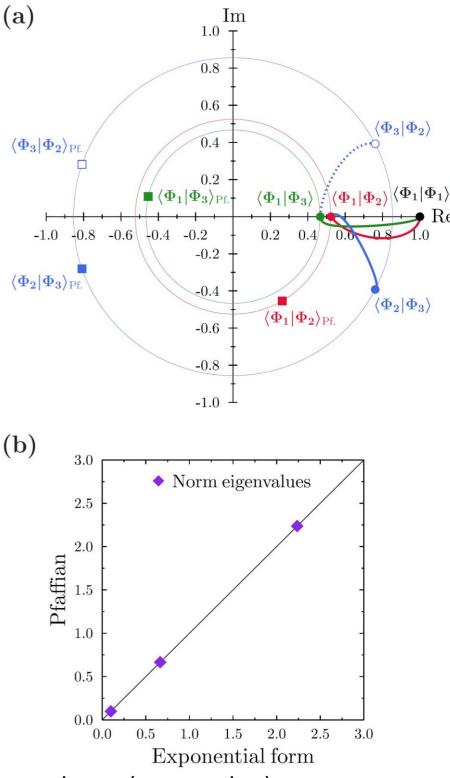
 $\operatorname{Arg}(\langle 0|\Phi_1\rangle) = \operatorname{Arg}(\langle 0|\Phi_2\rangle) = \operatorname{Arg}(\langle 0|\Phi_3\rangle)$

➡ Present method

$$\operatorname{Arg}(\langle \Phi_1 | \Phi_1 \rangle) = \operatorname{Arg}(\langle \Phi_1 | \Phi_2 \rangle) = \operatorname{Arg}(\langle \Phi_1 | \Phi_3 \rangle)$$

First line/column of norm matrix real





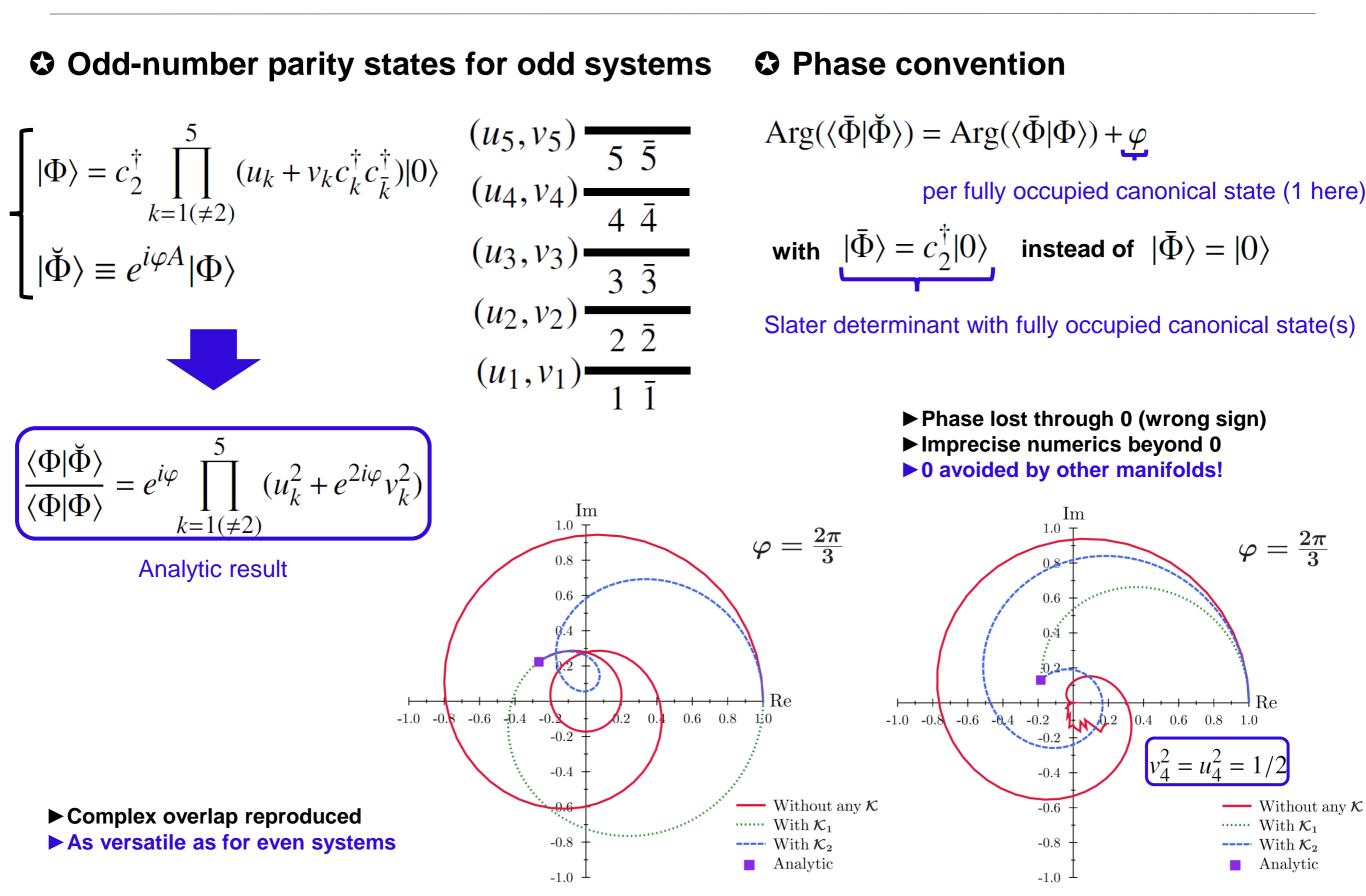
- Individual overlaps differ by a phase (convention)
- ► Eigenvalues of the norm matrix (or any observable) are the same
- Consistency is what matters!

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O Unambiguous calculation of off-diagonal norm kernels

- → Intuitive closed-form expression
- → Flexible alternative to Pfaffian for arbitrary Bogoliubov states
- Method applicable to correlated norm kernels
- → Method potentially applicable to more generic many-body states

Toy model 2: global gauge rotation for 10-levels BCS model



Toy model 2: 10-levels BCS model

