

# Analytical study of Yang-Mills theory from first principles by a massive expansion

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It depends on the Expansion Point

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Any variational ansatz for  $\Delta_0(p)$  works well provided it is *massive* (F.S., 2014,2015): [two-step approach](#)

- Gaussian Effective Potential and mass generation
- Massive expansion around the best vacuum

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Thermal effects as a check of physical consistency:

- Analytic properties at finite  $T$
- Gaussian Free Energy and deconfinement at finite  $T$





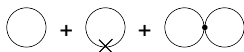
# Gaussian Effective Potential (GEP)

A toy model for mass generation

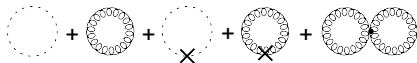
$$\mathcal{L} = \left[ \frac{1}{2} \phi (-\partial^2 - m^2) \phi \right] - \left[ \frac{\lambda}{4!} \phi^4 - m^2 \phi^2 \right]$$

1<sup>st</sup> Order Effect. Potential = Vac. Energy by a Gaussian Funct.

[J. M. Cornwall, R. Jackiw and E. Tomboulis (1974);  
P.M. Stevenson (1985); J.M. Cornwall (1982)]



SCALAR



SU(N)



# Gaussian Effective Potential (GEP)

Gap equation and renormalization

$$\frac{\delta V}{\delta m^2} = 0 \quad \Longrightarrow \quad \begin{cases} m^2 = 8\pi^2 \alpha J(m) \\ \Sigma^{(1)} = 0 \quad \rightarrow \quad \text{Self consist. pole} \end{cases}$$

where  $\alpha = \lambda/(16\pi^2)$  and

$$J(m) = \int \frac{d^4 p}{(2\pi)^4} \Delta_0(p) = \int \frac{d^4 p}{(2\pi)^4} \frac{1}{p^2 + m^2} = ?$$



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$$J(m) = \begin{cases} \frac{m^2}{16\pi^2} \log \frac{m^2}{\Lambda^2} + C & \text{(derivate and integrate back)} \\ -\frac{m^2}{16\pi^2} \left[ \frac{2}{\epsilon} + \log \frac{\mu^2}{m^2} \right] = \frac{m^2}{16\pi^2} \log \frac{m^2}{\Lambda_\epsilon^2} \\ \frac{m^2}{16\pi^2} \log \frac{m^2}{\Lambda_{IR}^2} & \text{( UV finite by subtraction of DR zeros)} \end{cases}$$



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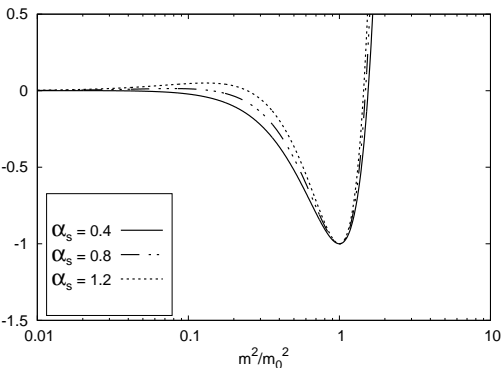
$$\frac{1}{p^2+m^2} \rightarrow \frac{1}{p^2+m^2} - \frac{1}{p^2} + \frac{m^2}{p^4} \quad \text{(leading and sub-leading)}$$



# Gaussian Effective Potential (GEP)

Renormalized Effective Potential in units of the best mass  $m_0$

$$V(m) = \frac{m^4}{128\pi^2} \left[ \alpha \left( \log \frac{m^2}{m_0^2} \right)^2 + 2 \log \frac{m^2}{m_0^2} - 1 \right]$$



From the gap eq.:

$$\Lambda_{IR} = m_0 \exp(-1/\alpha)$$

The vacuum energy does not depend on  $\Lambda_{IR}$  and  $\alpha$ :

$$V(m_0) = -\frac{m_0^4}{128\pi^2} < 0$$

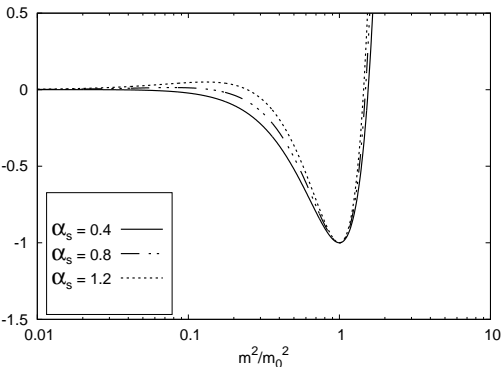
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Gluon mass generation: the same identical result for SU(N) Yang-Mills Theory in any covariant  $\xi$ -gauge if  $\alpha = 9N\alpha_s/(8\pi)$



# SU(N) Yang-Mills

Expanding around the best vacuum of the GEP

Add and subtract a **transverse** mass term in the exact Faddeev-Popov Lagrangian in  $\xi$ -gauge:

$$\left\{ \begin{array}{l} \Delta_0^{\mu\nu}(p) = \frac{1}{p^2 + m^2} t^{\mu\nu}(p) + \frac{\xi}{p^2} \ell^{\mu\nu}(p) \quad (\text{free propagator}) \\ \delta\Gamma^{\mu\nu} = -m^2 t^{\mu\nu}(p) \quad (\text{2-point vertex}) \end{array} \right. \quad \swarrow \text{Exact since } \Pi^L = 0$$

$\implies$  Gauge invariant GEP and mass generation



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$\implies$  Gauge invariant GEP and mass generation

$$\Sigma = \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---}$$

$$\Pi = \begin{array}{c} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \\ (1a) \quad (1b) \quad (1c) \quad (1d) \\ + \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \\ (2a) \quad (2b) \quad (2c) \end{array}$$

- The pole shift cancels at tree level
- All spurious diverging mass terms cancel without counterterms and/or parameters
- Standard UV behavior





# UNIVERSAL SCALING

## RS Optimized Perturbation Theory

Ignoring RG effects, setting  $\alpha \sim N\alpha_s$

$$\Sigma(p) = \alpha\Sigma^{(1)}(p) + \alpha^2\Sigma^{(2)}(p, N) + \dots$$

$$\Sigma^{(1)} = -p^2 F(p^2/m^2); \quad \frac{\Sigma(p)}{\alpha p^2} = -F(p^2/m^2) + \mathcal{O}(\alpha)$$

$$\Delta(p) = \frac{Z}{p^2 - \Sigma(p)} = \frac{J(p)}{p^2}$$

Setting  $Z = z(1 + \alpha\delta Z)$  (one-loop):

$$zJ(p)^{-1} = 1 + \alpha [F(p^2/m^2) - \delta Z] + \mathcal{O}(\alpha^2)$$

$$zJ(p)^{-1} = 1 + \alpha [F(p^2/m^2) - F(\mu^2/m^2)] + \mathcal{O}(\alpha^2)$$

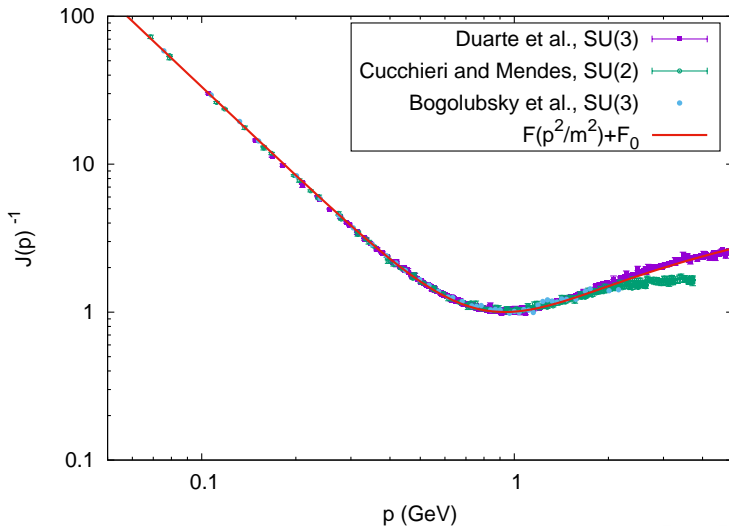
Must exist  $x, y, z$ :

$$zJ(p/x)^{-1} + y = F(p^2/m^2) + F_0 + \mathcal{O}(\alpha)$$



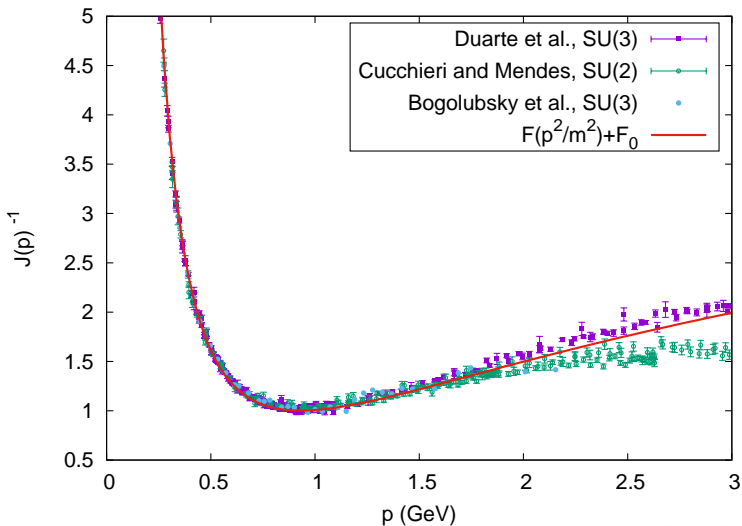
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GLUON INVERSE DRESSING FUNCTION (Landau gauge  $\xi = 0$ )



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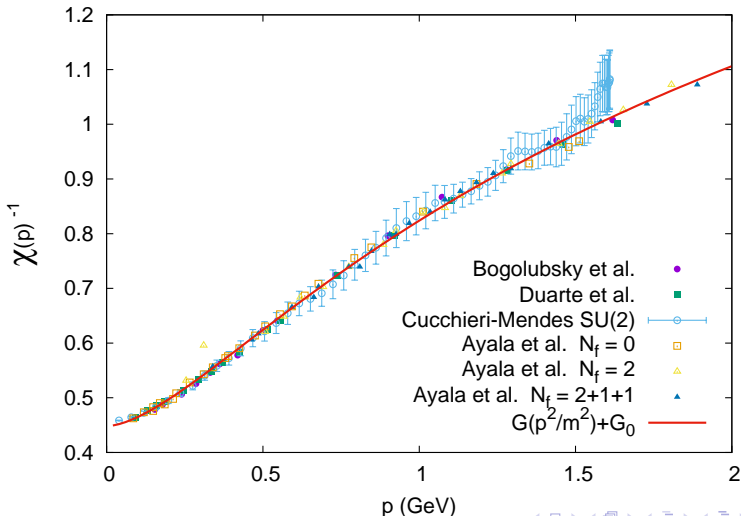
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# UNIVERSAL SCALING

GHOST INVERSE DRESSING FUNCTION (Landau gauge  $\xi = 0$ )

Denoting by  $G(s)$  the ghost universal function ( $F(s) \rightarrow G(s)$ )

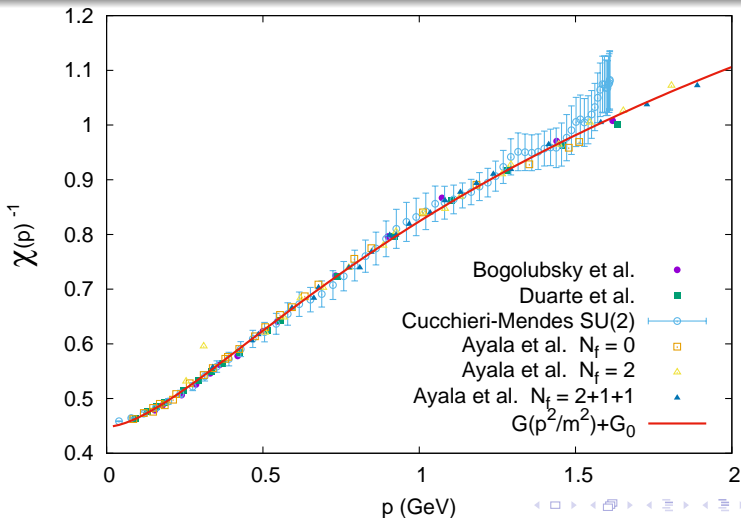


# UNIVERSAL SCALING

GHOST INVERSE DRESSING FUNCTION (Landau gauge  $\xi = 0$ )

The ghost universal function is just

$$G(s) = \frac{1}{12} \left[ 2 + \frac{1}{s} - 2s \log s + \frac{1}{s^2} (1+s)^2 (2s-1) \log(1+s) \right]$$

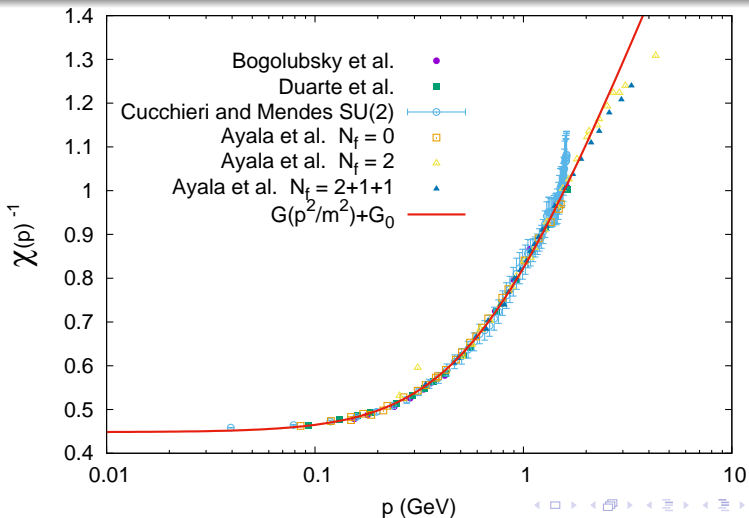


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# TABLE of OPTIMIZED RENORMALIZATION

CONSTANTS:

$$z J(p/x)^{-1} + y = F(p^2/m^2) + F_0$$

arXiv:1607.02040

Data set	$N$	$N_f$	$x$	$y$	$z$	$y'$	$z'$
Bogolubsky et al.	3	0	1	0	3.33	0	1.57
Duarte et al.	3	0	1.1	-0.146	2.65	0.097	1.08
Cucchieri-Mendes	2	0	0.858	-0.254	1.69	0.196	1.09
Ayala et al.	3	0	0.933	-	-	0.045	1.17
Ayala et al.	3	2	1.04	-	-	0.045	1.28
Ayala et al.	3	4	1.04	-	-	0.045	1.28

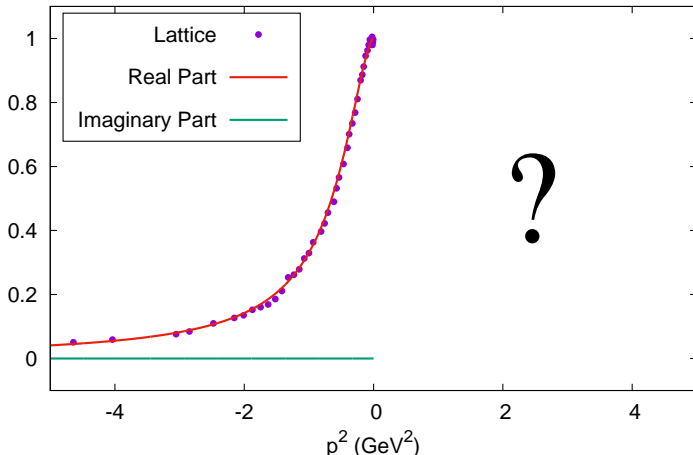
**Table:** Scaling constants  $x, y, z$  (gluon) and  $y', z'$  (ghost). The constant shifts  $F_0 = -1.05$ ,  $G_0 = 0.24$  and the mass  $m = 0.73$  GeV are optimized by requiring that  $x = 1$  and  $y = y' = 0$  for the lattice data of Bogolubsky et al. (2009)



# ANALYTIC CONTINUATION

arXiv:1605.07357

## GLUON PROPAGATOR - SU(3)



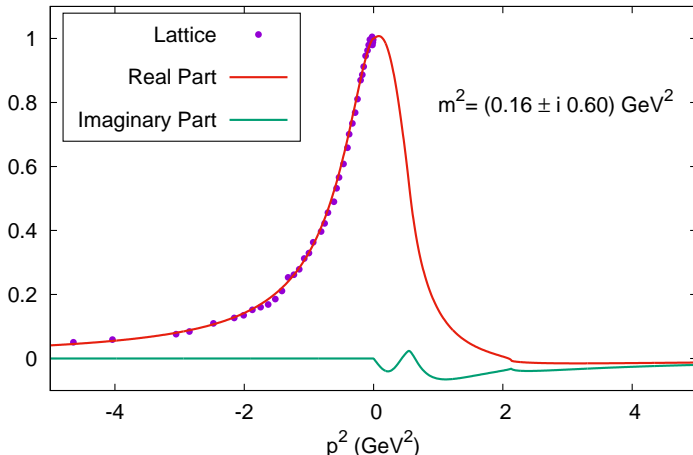
Lattice data are from Bogolubsky et al. (2009)



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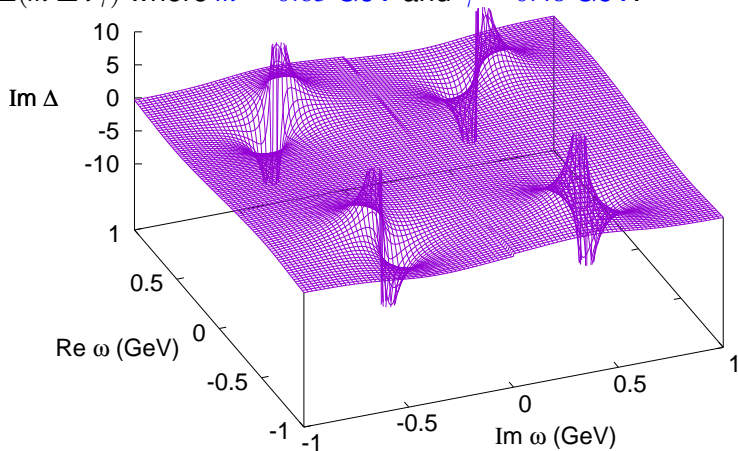
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# ANALYTIC CONTINUATION

Imaginary part of the dressed gluon propagator  $\Delta$

In the long wave-length limit  $p^2 = \omega^2 - \mathbf{k}^2 \rightarrow \omega^2$  the poles are at  $\omega = \pm(m \pm i\gamma)$  where  $m = 0.63$  GeV and  $\gamma = 0.48$  GeV.

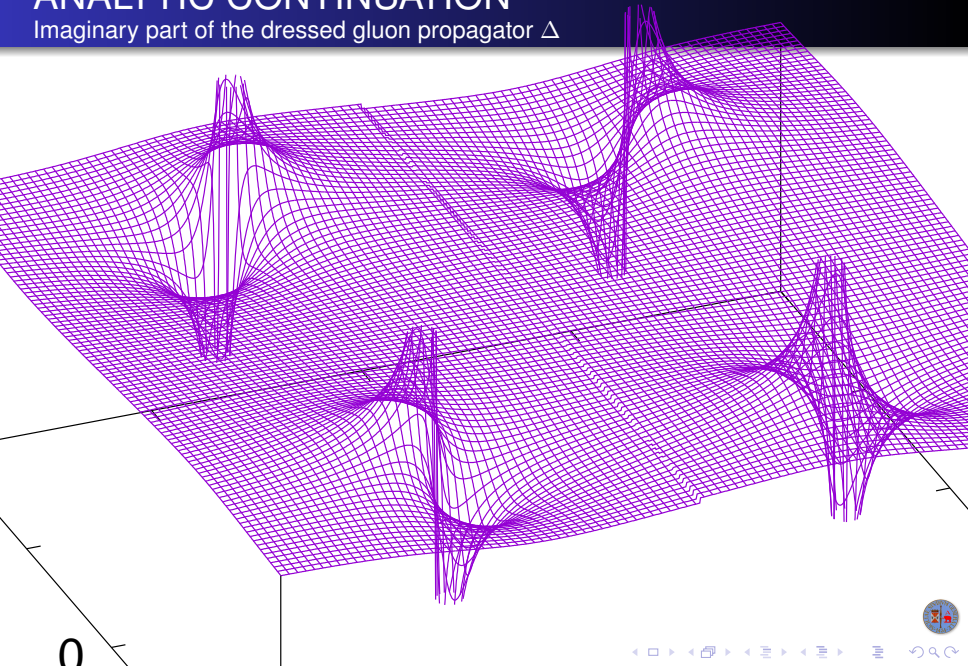


(Using  $m_0 = 0.73$  GeV and  $F_0 = -1.05$  in the Landau gauge)



# ANALYTIC CONTINUATION

Imaginary part of the dressed gluon propagator  $\Delta$



0



No violation of unitarity and causality (Stingl, 1996)

$$\Delta(\omega) \approx \sum_{\pm} R_{\pm} \left[ \frac{1}{\omega - (m \pm i\gamma)} - \frac{1}{\omega + (m \pm i\gamma)} \right]$$

$$\Delta(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \Delta(\omega) e^{i\omega t} \approx -2e^{-\gamma|t|} |R| \sin(m|t| + \phi)$$

where  $R_{\pm} = |R|e^{\pm i\phi}$

- Short-lived quasiglons with lifetime  $\tau = 1/\gamma$  (canceled from asymptotic states)
- During its short life the quasigluon  $\approx$  eigenstate with energy  $m$
- Mass and damping rate are physical or artefacts of the expansion?



# Finite T

## Gluon propagator in the Landau gauge

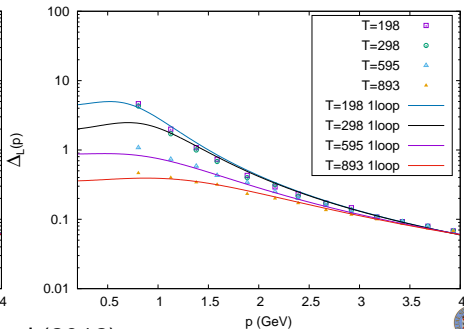
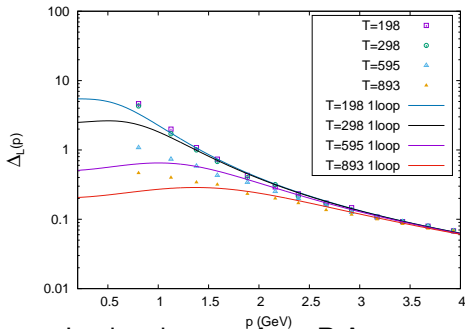
- The extension to finite T is straightforward (but tedious!)
- Lucky enough: many explicit details by Reinosa, Serreau, Tissier and Wschebor (2014)
- New crossed graphs by a simple derivative
- Set  $m_0 = 0.73$  GeV as for  $T = 0$



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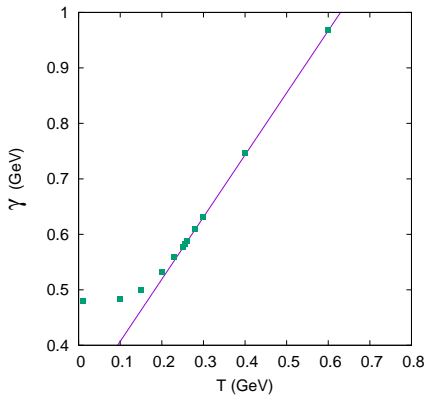
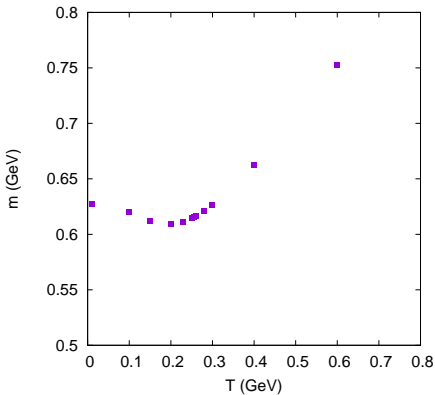


Lattice data are from R.Aouane et al.(2012)

# Finite T

## Trajectory of poles in the complex plane

In the limit  $\mathbf{k} \rightarrow 0$  the pole  $\omega = \pm(m \pm i\gamma)$  is the same for  $\Delta_L, \Delta_T$ .  
Using  $m_0 = 0.73$  GeV and  $F_0 = -1.05$  (optimal at  $T = 0$ ):



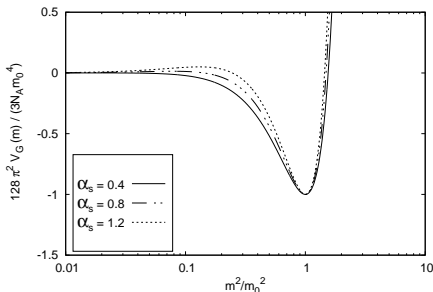
The line is the fit  $\gamma = \gamma_0 + bT$  with  $\gamma_0 = 0.295$  GeV and  $b = 1.12$ .  
(Hard thermal loops:  $\gamma/T = 3.3\alpha_s$ )



# Gaussian Free Energy

At finite temperature the GEP becomes predictive!

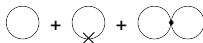
$$V_G(m) = \frac{3N_A m^4}{128\pi^2} \left[ \alpha \left( \log \frac{m^2}{m_0^2} \right)^2 + 2 \log \frac{m^2}{m_0^2} - 1 \right] \implies \mathcal{F}_G(T, m)$$



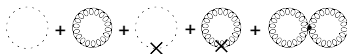
$$m_0 \implies m(T)$$

such that  $m(0) = m_0$

Just add the thermal part to the same vacuum graphs:



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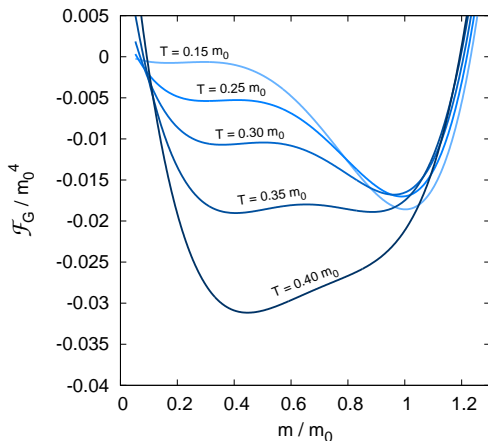
SU(N)



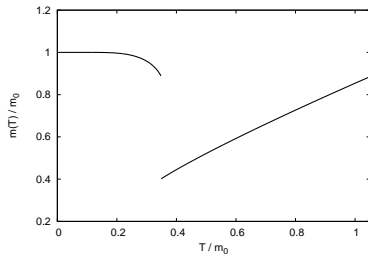
# Gaussian Free Energy

The deconfinement transition (Landau gauge)

G.Comitini and F.S., arXiv:1707.06935



$m(T)$  in units of  $m_0$



here  $\alpha_s = 0.9$  but...

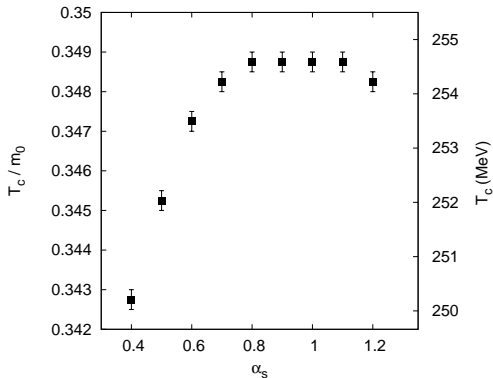
not too much sensitive to  $\alpha_s$  in the range  $0.4 < \alpha_s < 1.2$



# Gaussian Free Energy

Scale/coupling invariance of  $T_c$

G.Comitini and F.S., arXiv:1707.06935



$$\Lambda_{IR} = m_0 \exp(-1/\alpha)$$

$T_c$  stationary at  $\alpha_s \approx 0.9$

$(m_0 = 0.73 \text{ GeV})$

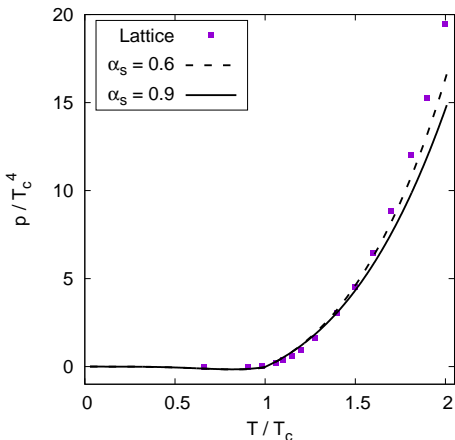
Compares well with the lattice value  $T_c = 270 \text{ MeV}$  found by P.J. Silva et al. (2014).



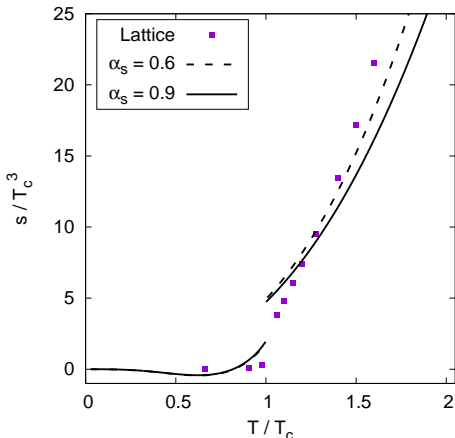
# Gaussian Free Energy

Equation of State (G.Comitini and F.S., arXiv:1707.06935)

$$p = - [\mathcal{F}_G(T, m(T)) - \mathcal{F}_G(0, m_0)]$$



$$s = -\frac{\partial}{\partial T} \mathcal{F}_G(T, m(T))$$



Not a fit! (no free parameters)

Lattice data are from L. Giusti and M. Pepe (2017).



# Outlook

How far from a fully consistent perturbative approach to YM theory?

An incomplete wish list:

- A consistent criterion for optimization?
- Are the poles gauge invariant?
- What about higher loops? (A general criterion for truncation?)
- RG matching with UV limit
- Gribov copies (expected to be relevant deep in the IR)
- Bound states (BS equation)



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## THANK YOU



# BACKUP SLIDES

# Jensen inequality with ghost fields

Averaging over free-boson fields and using Jensen inequality

$$\mathcal{F}_{exact} = \mathcal{F}_0^A - T \log \left\langle e^{\mathcal{S}_{int}^A} \text{Det} \mathcal{M}_{FP}(A) \right\rangle_0 \leq \mathcal{F}_1^A + \mathcal{F}^{gh}$$

where  $\mathcal{F}^{gh} = -T \langle \log \text{Det} \mathcal{M}_{FP}(A) \rangle_0 \neq \mathcal{F}_{1Loop}^{gh}$ .

In any linear covariant gauge  $\mathcal{M}_{FP}(A) = \mathcal{G}_0^{-1} + \delta \mathcal{M}(A)$

$$\mathcal{F}^{gh} = T [\text{Tr} \log \mathcal{G}_0] + \frac{T}{2} \langle \text{Tr} [\mathcal{G}_0 \delta \mathcal{M}(A) \mathcal{G}_0 \delta \mathcal{M}(A)] \rangle_0 + \dots = \mathcal{F}_{1Loop}^{gh} + \mathcal{F}_{2Loop}^{gh} + \dots$$

where  $\mathcal{F}_{2Loop}^{gh} \sim \alpha \int \mathcal{G}_0 \Delta_m \mathcal{G}_0$ , etc., so that

$$\boxed{\mathcal{F}_G = \mathcal{F}_1^A + \mathcal{F}_{1Loop}^{gh} \geq \mathcal{F}_{exact} - \delta \mathcal{F}} \quad \text{where} \quad \delta \mathcal{F} = \mathcal{F}^{gh} - \mathcal{F}_{1Loop}^{gh}$$

and by Jensen inequality again:

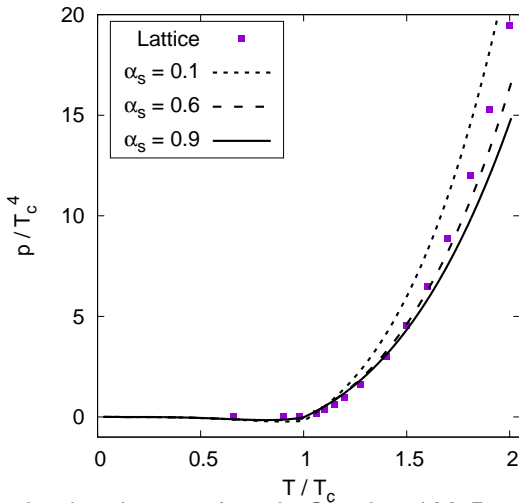
$$\mathcal{F}^{gh} \geq -T [\text{Tr} \log \langle \mathcal{M}_{FP}(A) \rangle_0] = T [\text{Tr} \log \mathcal{G}_0] = \mathcal{F}_{1Loop}^{gh}$$

so that  $\boxed{\delta \mathcal{F} \geq 0}$



# Gaussian Free Energy

Equation of State (G.Comitini and F.S., arXiv:1707.06935)



Lattice data are from L. Giusti and M. Pepe (2017).



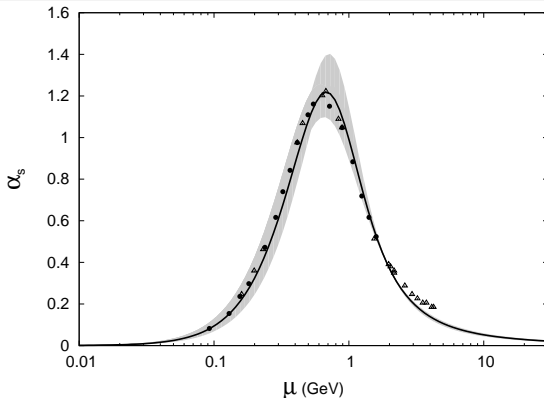
# Running Coupling

Pure Yang-Mills SU(3)

RG invariant product (Landau Gauge – MOM-Taylor scheme):

$$\alpha_s(\mu) = \alpha_s(\mu_0) \frac{J(\mu)\chi(\mu)^2}{J(\mu_0)\chi(\mu_0)^2}$$

What if  $\delta F_0 = \delta G_0 = \pm 25\%$  ?

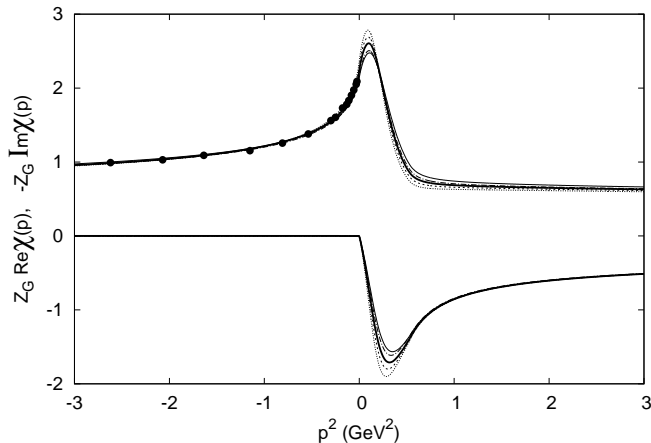


$\mu_0 = 2$  GeV,  $\alpha_s = 0.37$ , data of Bogolubsky et al.(2009).



# ANALYTIC CONTINUATION

Ghost dressing function:  $\mathcal{G}(p^2) = \frac{\chi(p^2)}{p^2}$



Lattice data are from Bogolubsky et al. (2009)







$$\Sigma_q = \text{---} \mathbf{X} \text{---} + \text{---} \text{---} + \text{---} \mathbf{X} \text{---} + \text{---} \mathbf{X} \text{---}$$

- The counterterm  $\delta\Gamma = -M$  cancels the mass at tree-level
- A massive propagator from *loops*  $\rightarrow S(p) = \frac{Z(p)}{\not{p} - M(p)}$
- A new parameter  $x = M/m$

but





$$\Sigma_q = \text{---} \times \text{---} + \text{---} \text{---} + \text{---} \times \text{---} + \text{---} \times \text{---}$$

- The counterterm  $\delta\Gamma = -M$  cancels the mass at tree-level
- A massive propagator from *loops*  $\rightarrow S(p) = \frac{Z(p)}{p-M(p)}$
- A new parameter  $x = M/m$

but

- Agreement not as good as for pure YM theory ( $Z(p)$  is decreasing)
- $M(p)$  depends on  $\alpha_s$
- Optimization is not easy without RG corrections!



# One-Loop third-order double expansion

(Landau Gauge)

Yang-Mills  $\rightarrow$  F.S., Nucl. Phys. B **907** 572 (2016)

QCD  $\rightarrow$  F.S., arXiv:1607.02040

$$\Sigma_{gh} = - \text{diagram} + \text{diagram with X}$$

The equation shows the ghost self-energy  $\Sigma_{gh}$  as the difference between two diagrams. The first diagram is a ghost loop (dashed line with wavy lines) attached to a dashed line. The second diagram is the same ghost loop attached to a dashed line, but with a large 'X' over it, indicating it is to be subtracted.

$$\Pi = \text{diagram with X} + \text{diagram} + \text{diagram with X} + \text{diagram with X X} + \text{diagram} + \text{diagram} + \text{diagram with X} + \text{diagram}$$

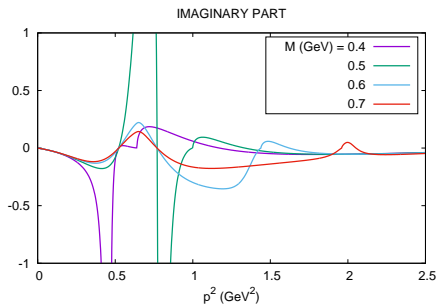
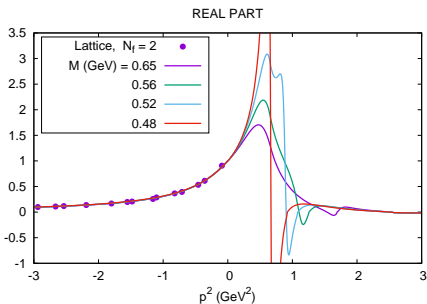
The equation shows the ghost polarization  $\Pi$  as a sum of eight diagrams. The first diagram is a ghost loop with a wavy line entering and exiting, with a large 'X' over it. The second diagram is a ghost loop with a wavy line entering and exiting. The third diagram is a ghost loop with a wavy line entering and exiting, with a large 'X' over it. The fourth diagram is a ghost loop with a wavy line entering and exiting, with two large 'X's over it. The fifth diagram is a ghost loop with a wavy line entering and exiting, with a dashed line loop inside. The sixth diagram is a ghost loop with a wavy line entering and exiting. The seventh diagram is a ghost loop with a wavy line entering and exiting, with a large 'X' over it. The eighth diagram is a ghost loop with a wavy line entering and exiting.

$$\Sigma_q = \text{diagram with X} + \text{diagram} + \text{diagram with X} + \text{diagram with X}$$

The equation shows the quark self-energy  $\Sigma_q$  as the sum of four diagrams. The first diagram is a quark self-energy diagram (solid line with wavy lines) with a large 'X' over it. The second diagram is a quark self-energy diagram (solid line with wavy lines). The third diagram is a quark self-energy diagram (solid line with wavy lines) with a large 'X' over it. The fourth diagram is a quark self-energy diagram (solid line with wavy lines) with a large 'X' over it.



Optimized by the Lattice  $N_f = 2, m = 0.8 \text{ GeV}$   $M = ?$



Lattice data are for two light quarks, from Ayala et al. (2012)

What about poles ?

2 pairs of complex conjugated poles

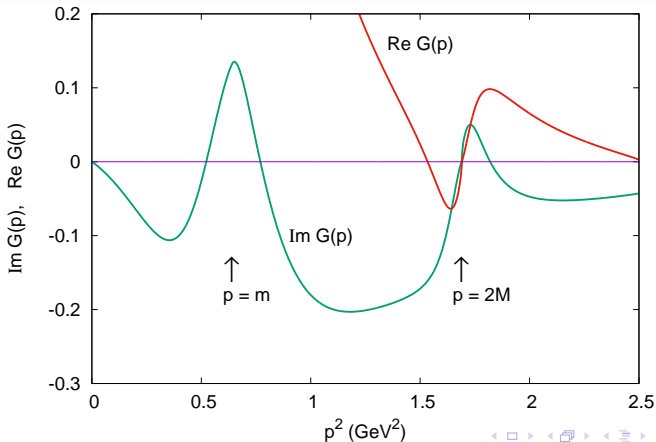
# CHIRAL QCD

Gluon sector

Optimized by the Lattice:

$m = 0.8 \text{ GeV}, M = 0.65 \text{ GeV}$

$m_1^2 = (0.54 \pm 0.52i) \text{ GeV}^2, \quad m_2^2 = (1.69 \pm 0.1i) \text{ GeV}^2$



Quark propagator:

$$S(p) = S_p(p^2)\not{p} + S_M(p^2)$$

NO COMPLEX POLES  $\implies$  Standard Dispersion Relations

$$\rho_M(p^2) = -\frac{1}{\pi} \text{Im } S_M(p^2)$$

$$\rho_p(p^2) = -\frac{1}{\pi} \text{Im } S_p(p^2)$$

$$S(p) = \int_0^\infty dq^2 \frac{\rho_p(q^2)\not{p} + \rho_M(q^2)}{p^2 - q^2 + i\varepsilon}.$$

Positivity Conditions:

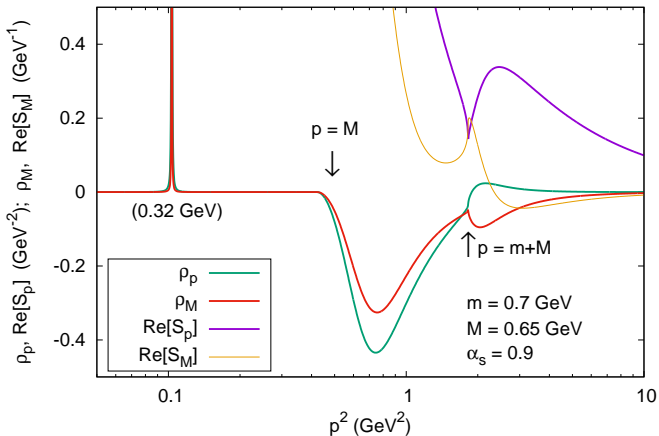
$$\rho_p(p^2) \geq 0,$$

$$p \rho_p(p^2) - \rho_M(p^2) \geq 0$$



# CHIRAL QCD

Quark sector:  $N_f = 2$ ,  $M = 0.65$  GeV,  $m = 0.7$  GeV



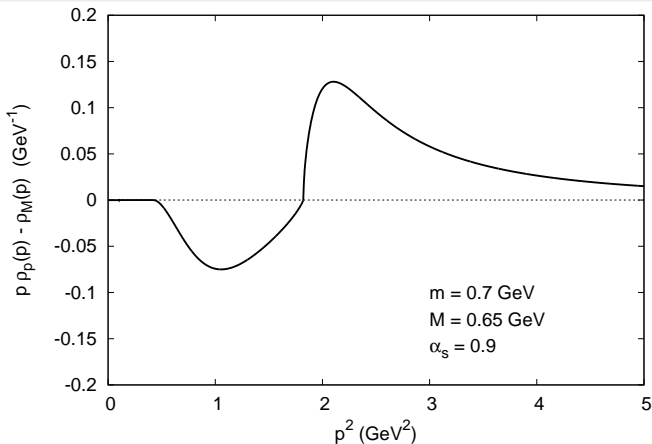
Positivity Conditions:

$$\rho_p(p^2) \geq 0, \quad p \rho_p(p^2) - \rho_M(p^2) \geq 0$$



# CHIRAL QCD

Quark sector:  $N_f = 2$ ,  $M = 0.65$  GeV,  $m = 0.7$  GeV



Positivity Conditions:

$$\rho_p(p^2) \geq 0,$$

$$p \rho_p(p^2) - \rho_M(p^2) \geq 0$$

