



# Search for $D^0 - \bar{D}^0$ mixing at Belle and BaBar

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## Introduction

- ◆ Mixing observed in  $K^0$ ,  $B_d^0$  and  $B_s^0$  (2006), not yet in  $D^0$  system
- ◆  $D^0$  mixing in the SM governed by box diagrams
- ◆ Effective GIM suppression  
→ mixing in  $D^0$  system rare process

- ◆ Non-perturbative effects difficult to predict

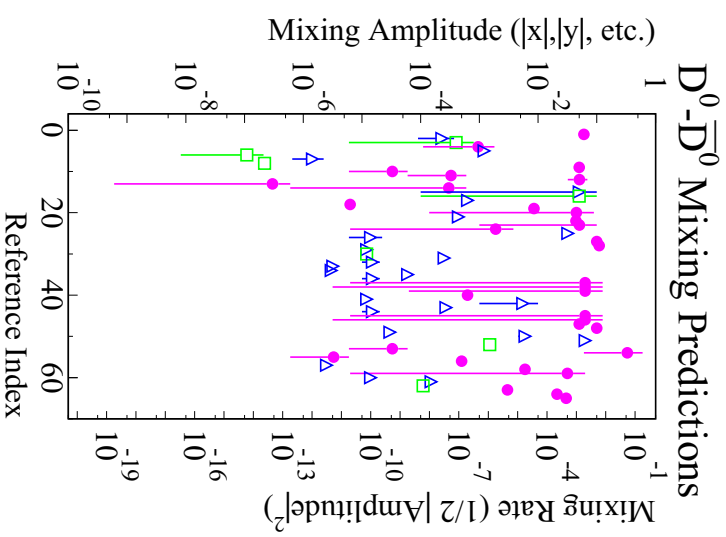
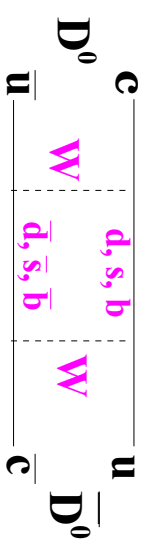
- ◆ Mixing: flavor eigenstates not mass eigenstates:  
 $|D_{1,2}^0\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$   
with masses  $m_{1,2}$  and widths  $\Gamma_1, \Gamma_2$ .

- ◆ Mixing governed by

$$x = \frac{\Delta m}{\Gamma} \qquad y = \frac{\Delta\Gamma}{2\Gamma}$$

- ◆ Time integrated mixing rate

$$R_M = \frac{x^2 + y^2}{2}$$



## Experimental method

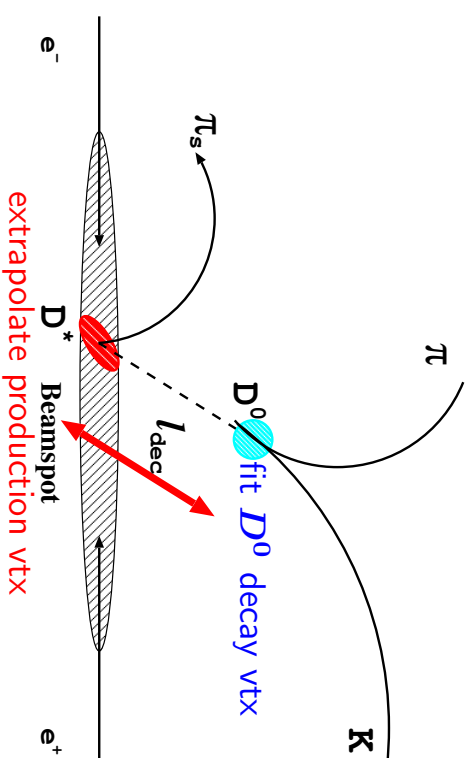
- ◆  $D^{*+} \rightarrow \pi^+ D^0$ 
  - ▷ tag the flavor of  $D^0/\bar{D}^0$  at production
  - ▷ background suppression

- ◆  $D^0$  proper decay time  $t$  measurement:
  - ▷ to disentangle DCS decays
  - ▷ to increase sensitivity

$$t = \frac{l_{dec}}{c\beta\gamma}, \quad \beta\gamma = \frac{p_{D^0}}{M_{D^0}}$$

- ◆ Measurements performed in  $e^+e^-$  collisions at  $\sqrt{s} \approx 10 \text{ GeV}$  (B-factories)
  - ▷ to reject  $D^{*+}$  from  $B$  decays:

$$p_{D^{*+}}^{CMS} > 2.5 \text{ GeV}/c$$



# Semileptonic decays



Measurement in semileptonic decays  $D^0 \rightarrow Ke\nu$   
 (Belle, 253 fb<sup>-1</sup>)  
**PRD (RC) 72, 071101 (2005)**

$$R_M < 1.2 \times 10^{-3} \quad @ \quad 95\% \text{ C.L.}$$

New measurement from BaBar, 344 fb<sup>-1</sup>

- ◆ Double tag  
 $D^{*+} \rightarrow D^0 \pi^+$ , semil. and hadronic (fully rec.)
- ◆ Several hadronic tagging modes
- ◆  $\Delta M = m(K\pi e) - m(Ke)$ , NN selection
- ◆ RS  $\Delta M$  distrib.;
- ◆ For WS cut on decay time to increase sensitivity
- ◆ WS events (dark grey)  
 2.9 expected, 3 observed

$$-1.3 \times 10^{-3} < R_M < 1.2 \times 10^{-3} \quad @ \quad 90\% \text{ C.L.}$$

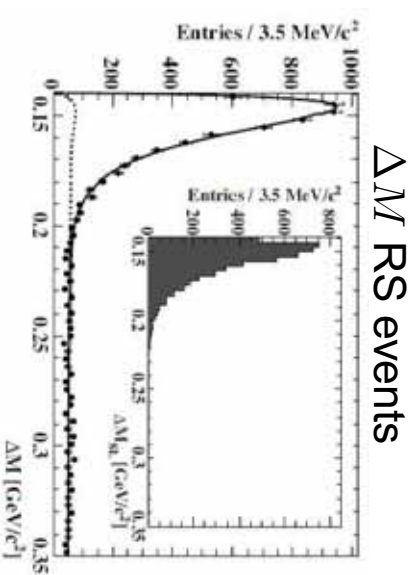
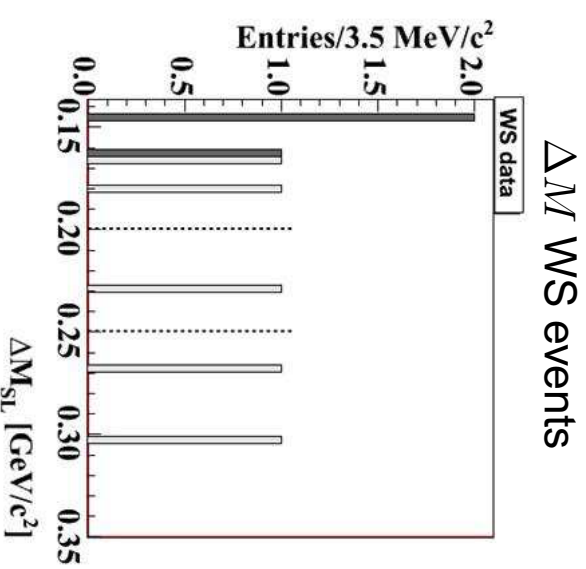


FIG. 6: RS data  $\Delta M$  distribution. The main plot shows the RS data (points) before imposing the double-tagged kinematic selection, and the projections of the total fit PDF (solid line) and the background PDF (dashed line). The inset plot shows the RS  $\Delta M$  distribution after the double-tagged kinematic selection is applied.





## Measurements



Measurements to be presented in this talk

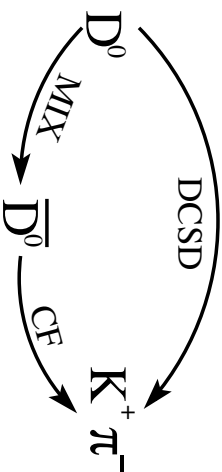
- ◆  $D^0 \rightarrow K^+ \pi^-$  (Belle)
- ◆  $D^0 \rightarrow K^+ \pi^- \pi^0, K^+ \pi^- \pi^+ \pi^-$  (BaBar)
- ◆  $D^0 \rightarrow K_s^0 \pi^+ \pi^-$  Dalitz (Belle preliminary)
- ◆  $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle preliminary)

# $D^0 \rightarrow K\pi$ (Belle, 400 fb<sup>-1</sup>)



PRL 96, 151801 (2006)

- Wrong sign (WS) final state: via doubly Cabibbo suppressed decay (DCS) or via mixing



- Proper decay time distribution of WS events (assuming negligible CPV)

$$\frac{dN}{dt} \propto [R_D + y' \sqrt{R_D} (\Gamma t) + \frac{x'^2 + y'^2}{4} (\Gamma t)^2] e^{-\Gamma t}$$

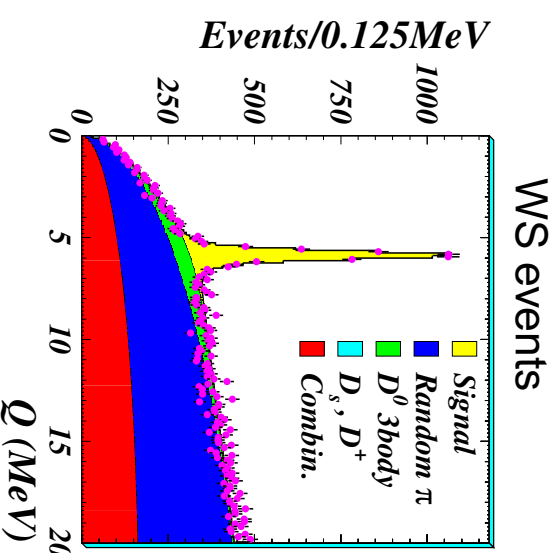
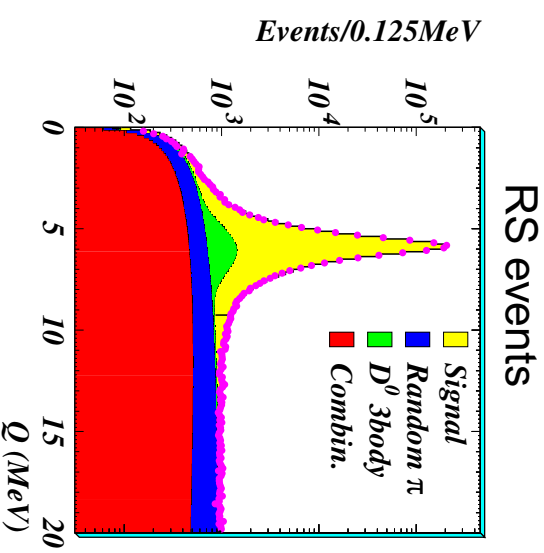
- DCS ● interference ● mixing ●

$R_D$  ratio of DCS/CF decay rates

$$x' = x \cos \delta + y \sin \delta$$

$$y' = y \cos \delta - x \sin \delta$$

$\delta$  strong phase between DCS and CF



# $D^0 \rightarrow K\pi$ (Belle, 400 fb<sup>-1</sup>)



Unbinned fit to time distribution

## Results

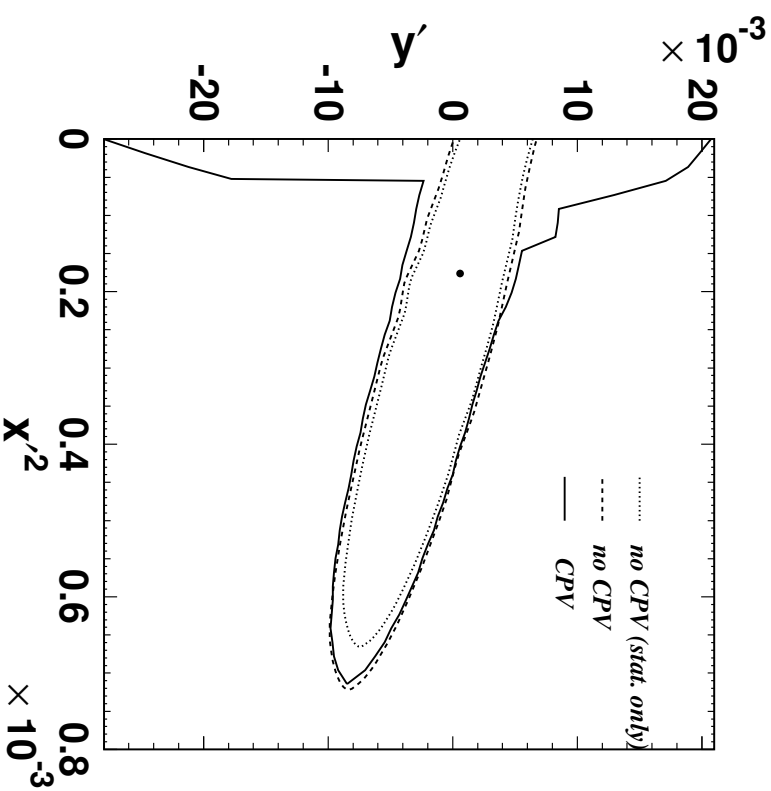
- Assuming CP conservation

$$R_D = (0.364 \pm 0.017)\%$$

$$x'^2 = (0.18_{-0.23}^{+0.21}) \times 10^{-3}$$

$$y' = (0.6_{-3.9}^{+4.0}) \times 10^{-3}$$

- CP asymmetries consistent with 0  
→ no evidence for CPV



$$R_M < 0.40 \times 10^{-3} \quad @ \quad 95\% \quad C.L.$$

$D^0 \rightarrow K^+ \pi^- \pi^0, K^+ \pi^- \pi^+ \pi^-$  (BaBar, 230 fb<sup>-1</sup>)

PRL 97, 221803 (2006)  
hep-ex/0607090

- ◆ WS final state: again via DCS decay or mixing
- ◆ Proper decay time distribution of WS events for more than 2 body decays (assuming negligible CPV)

$$\frac{dN}{dt} \propto [\tilde{R}_D + \alpha \tilde{y}' \sqrt{\tilde{R}_D}(\Gamma t) + \frac{\tilde{x}'^2 + \tilde{y}'^2}{4}(\Gamma t)^2] e^{-\Gamma t}, \quad 0 \leq \alpha \leq 1$$

with

$$\begin{aligned} \tilde{x}' &= x \cos \tilde{\delta} + y \sin \tilde{\delta} \\ \tilde{y}' &= y \cos \tilde{\delta} - x \sin \tilde{\delta} \end{aligned}$$

Mixing rate

$$R_M = \frac{\tilde{x}'^2 + \tilde{y}'^2}{2} = \frac{x^2 + y^2}{2}$$

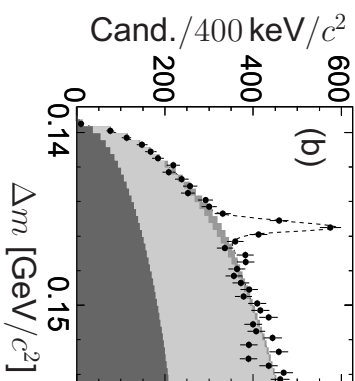
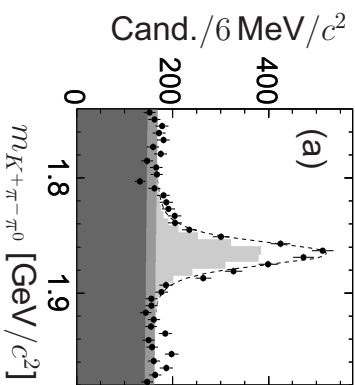
- ◆ For  $K \pi \pi^0$  phase-space selection to suppress  $K^{*+}$  and  $K^{*0}$



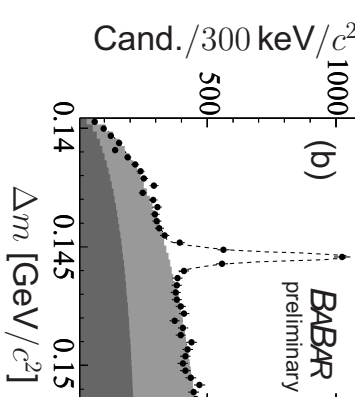
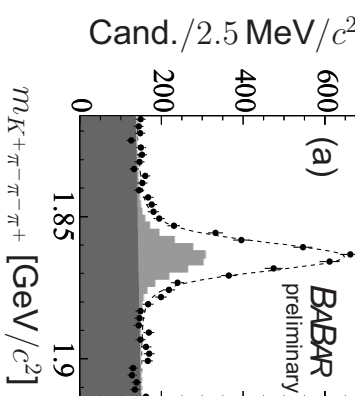
# $D^0 \rightarrow K^+ \pi^- \pi^0, K^+ \pi^- \pi^+ \pi^-$ (BaBar, 230 fb<sup>-1</sup>)



## $D^0 \rightarrow K^+ \pi^- \pi^0$



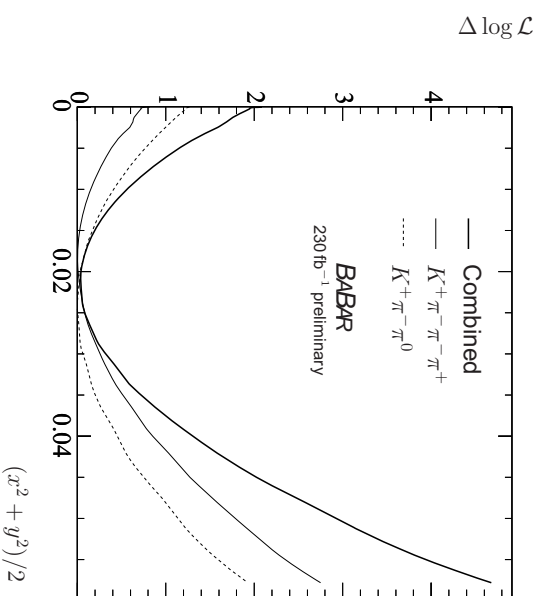
## $D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$



## Results

- Assuming CP conservation
- Upper limits (95% C.L.)

$$\begin{array}{ll}
 K^+ \pi^- \pi^0 & R_M < 0.054\% \\
 K^3 \pi & R_M < 0.048\%
 \end{array}$$



## Combined result

$$R_M < 0.42 \times 10^{-3} \quad \text{@ 95\% C.L.}$$

$D^0 \rightarrow K_s^0 \pi^+ \pi^-$  Dalitz (Belle, 540 fb<sup>-1</sup>)

- ◆ 3-body decay modes:  
amplitudes  $A(D^0 \rightarrow f)$  and  $\bar{A}(\bar{D}^0 \rightarrow \bar{f})$  depend on Dalitz variables.
- ◆ Dalitz space dependent matrix element is for negligible CPV

$$M(m_-^2, m_+^2, t) = A(m_-^2, m_+^2) \frac{e_1(t) + e_2(t)}{2} + A(m_+^2, m_-^2) \frac{e_1(t) - e_2(t)}{2}$$

where  $m_{\pm}$  is defined with the  $D^*$  tag

$$m_{\pm} = \begin{cases} m(K_s, \pi^{\pm}) & D^{*+} \rightarrow D^0 \pi^+ \\ m(K_s, \pi^{\mp}) & D^{*-} \rightarrow \bar{D}^0 \pi^- \end{cases}$$

and time dependent functions with

$$e_{1,2}(t) = e^{-i(m_{1,2} - i\Gamma_{1,2}/2)t}$$

- ◆  $|M(m_-^2, m_+^2, t)|^2$  thus includes  $x$  and  $y$
- ◆ The only measurement sensitive directly to  $x$

$D^0 \rightarrow K_s^0 \pi^+ \pi^-$  Dalitz (Belle, 540 fb<sup>-1</sup>)



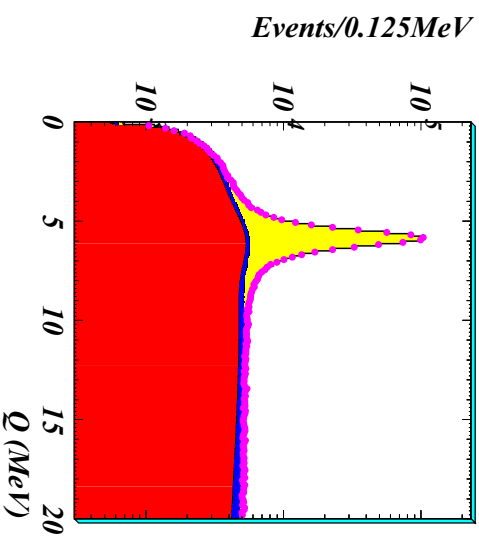
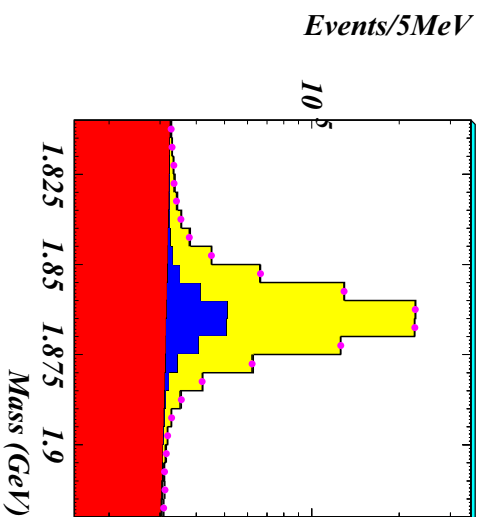
## Event Selection

### ◆ Reconstruction

- ▷  $K_s^0$  reconstruction and  $\pi$  selection
- ▷  $D^0$  decay vertex from  $\pi^+, \pi^-$
- ▷  $D^0$  mass kinematic constraint for  $m(K_s, \pi^+, \pi^-)$
- ▷  $p^*(D^{*+}) > 2.5 \text{ GeV}/c$

### ◆ Signal yields and purity

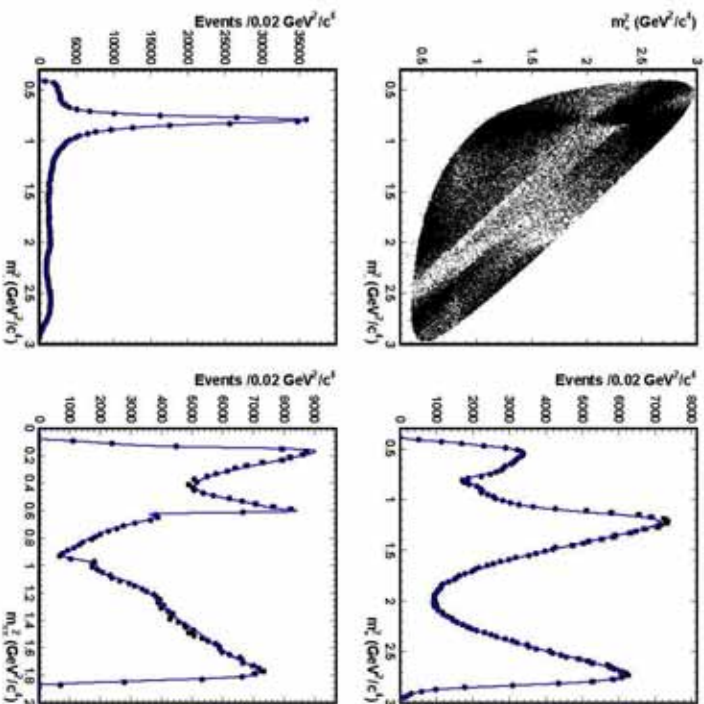
signal	purity
534000	95%



# $D^0 \rightarrow K_s^0 \pi^+ \pi^-$ Dalitz (Belle, 540 fb<sup>-1</sup>)



## Dalitz fit

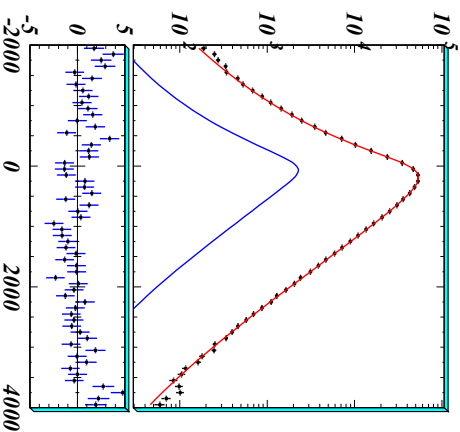


Resonance	Amplitude	Phase (deg)	Fit fraction
$K^*(892)^-$	$1.629 \pm 0.005$	$134.3 \pm 0.3$	0.6227
$K_0^*(1430)^-$	$2.12 \pm 0.02$	$-0.9 \pm 0.5$	0.0724
$K_2^*(1430)^-$	$0.87 \pm 0.01$	$-47.3 \pm 0.7$	0.0133
$K^*(1410)^-$	$0.65 \pm 0.02$	$111 \pm 2$	0.0048
$K^*(1680)^-$	$0.60 \pm 0.05$	$147 \pm 5$	0.0002
$K^*(892)^+$	$0.152 \pm 0.003$	$-37.5 \pm 1.1$	0.0054
$K_0^*(1430)^+$	$0.541 \pm 0.013$	$91.8 \pm 1.5$	0.0047
$K_2^*(1430)^+$	$0.276 \pm 0.010$	$-106 \pm 3$	0.0013
$K^*(1410)^+$	$0.333 \pm 0.016$	$-102 \pm 2$	0.0013
$K^*(1680)^+$	$0.73 \pm 0.10$	$103 \pm 6$	0.0004
$\rho(770)$	1 (fixed)	0 (fixed)	0.2111
$\omega(782)$	$0.0380 \pm 0.0006$	$115.1 \pm 0.9$	0.0063
$f_0(980)$	$0.380 \pm 0.002$	$-147.1 \pm 0.9$	0.0452
$f_0(1370)$	$1.46 \pm 0.04$	$98.6 \pm 1.4$	0.0162
$f_2(1270)$	$1.43 \pm 0.02$	$-13.6 \pm 1.1$	0.0180
$\rho(1450)$	$0.72 \pm 0.02$	$40.9 \pm 1.9$	0.0024
$\sigma_1$	$1.387 \pm 0.018$	$-147 \pm 1$	0.0914
$\sigma_2$	$0.267 \pm 0.009$	$-157 \pm 3$	0.0088
NR	$2.36 \pm 0.05$	$155 \pm 2$	0.0615

- ◆ Dalitz model: 13 different (BW) resonances and a non-resonant contribution
- ◆ Results with this refined model consistent with the analysis performed for the Belle  $\phi_3$  measurement, PRD73, 112009 (2006)
- ◆ To test the scalar  $\pi\pi$  contributions, K-matrix formalism is also used

$D^0 \rightarrow K_S^0 \pi^+ \pi^-$  Dalitz (Belle, 540 fb<sup>-1</sup>)

Time fit (in projection)



Systematics

Largest contributions ( $\times 10^{-4}$ )

X	Y	Model dependence
+14.6	+7.8	Time fit
-13.6	-8.8	
+8.5	+6.6	
-6.8	-11.6	

Total ( $\times 10^{-4}$ )

X	Y
+16.9	+10.2
-15.2	-14.6

Results (preliminary)

$$x = 0.80 \pm 0.29 \pm 0.17 \%$$

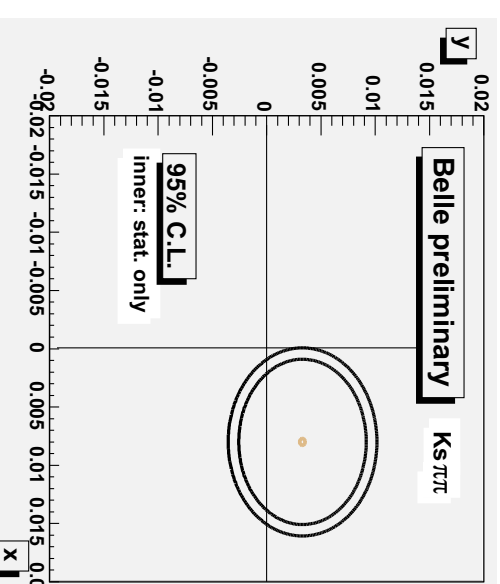
$$y = 0.33 \pm 0.24 \pm 0.15 \%$$

most stringent limits on x up to now

Cleo, PRD 72, 012001 (2005):

$$x = 1.8 \pm 3.4 \pm 0.6\%$$

$$y = -1.4 \pm 2.5 \pm 0.9\%$$



$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)

- ◆ Measurement of lifetime difference between  $D^0 \rightarrow K^- \pi^+$  and  $K^+ K^-, \pi^+ \pi^-$

▷ mixing parameter:

$$y_{CP} = \frac{\tau(K^- \pi^+)}{\tau(K^+ K^-)} - 1$$

▷ in CP conservation limit:  $y_{CP} = y = \Delta\Gamma/\Gamma$

- ◆ If CP not conserved, difference in lifetimes of  $D^0/\bar{D}^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

▷ CP violating parameter:

$$A_\Gamma = \frac{\hat{\Gamma}(D^0 \rightarrow KK) - \hat{\Gamma}(\bar{D}^0 \rightarrow KK)}{\hat{\Gamma}(D^0 \rightarrow KK) + \hat{\Gamma}(\bar{D}^0 \rightarrow KK)}$$

- ◆ Existing measurements:

E.M.Aitala et al., PRL 83, 32 (1999); E791

J.M.Link et al., PLB 485, 62 (2000); Focus

S.E.Csorna et al., PRD 65, 092001 (2002); Cleo

K.Abe et al., hep-ex/0308034 (2003); Belle (preprint)

B.Aubert et al., PRL 91, 121801 (2003); (BaBar)

average

$$y_{CP} = (1.09 \pm 0.46)\%$$

$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)



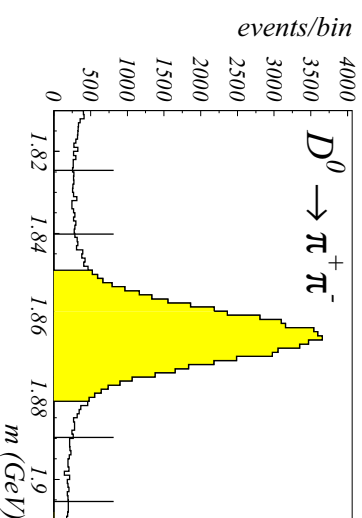
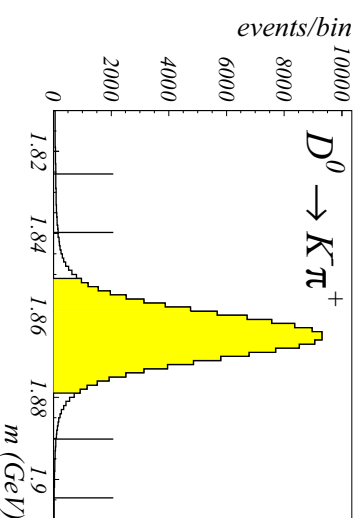
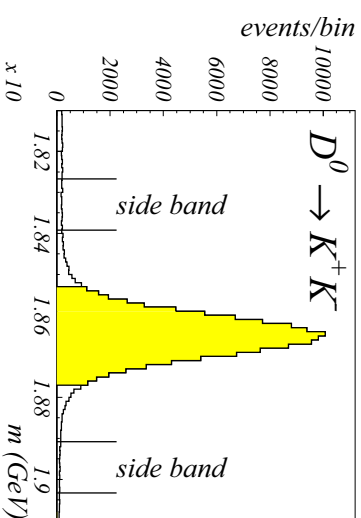
## Event Selection

- ◆ Reconstruction
  - ▷  $\bar{K}$  and  $\pi$  selection
  - ▷ vertex fits
  - ▷  $p^*(D^{*+}) > 2.5$  GeV/c
- ◆ Analysis cuts
  - ▷  $\Delta m, \Delta q, \sigma_t$
  - ▷ optimized on tuned Monte Carlo
  - ▷ figure of merit: statistical error on  $y_{CP}$

$\sigma_t/\tau_{PDG}$	$\Delta m/\sigma_m$	$\Delta q$ (MeV)
0.90	2.30	0.80

- ◆ Background estimated from sidebands in  $m$ 
  - ▷ side band position optimized
- ◆ Signal yields (purities) entering the measurement

channel	$K\bar{K}$	$\bar{K}\pi$	$\pi\pi$
signal	110K	1.2M	50K
purity	98%	99%	92%



$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)

## Lifetime fit

- Parameterization of proper decay time distribution

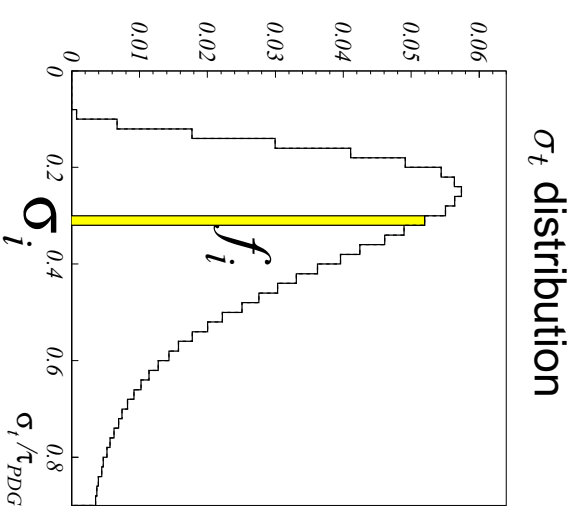
$$\frac{dN}{dt} = \frac{N}{\tau} e^{-t/\tau} * R(t) + B(t)$$

- Resolution function

- constructed from normalized distribution of event proper time uncertainty  $\sigma_t$
- ideally,  $\sigma_t$  of event represents uncertainty with Gaussian p.d.f
- examining pulls  $\rightarrow$  p.d.f.=sum of 3 Gauss.

$$R(t) = \sum_{i=1}^n f_i \sum_{k=1}^3 w_k G(t; \sigma_{ik}, t_0), \quad \sigma_{ik} = s_k \sigma_k^{pull} \sigma_i$$

- $R(t)$  studied in details with  $D^0 \rightarrow K \pi$  and special MC samples - also in changing running conditions (two different SVD, small misalignments)

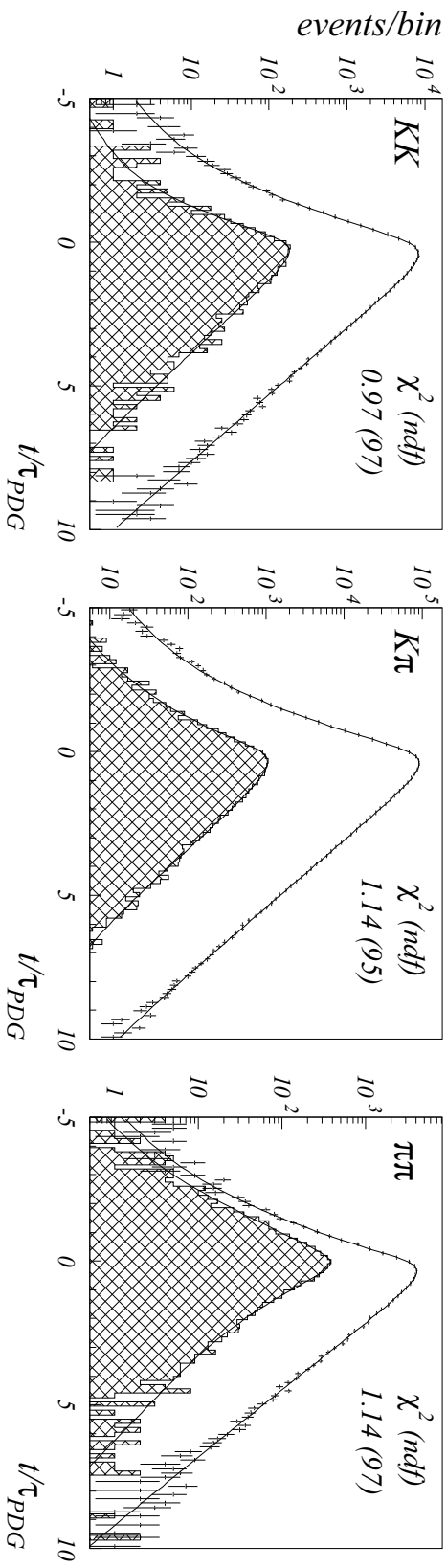




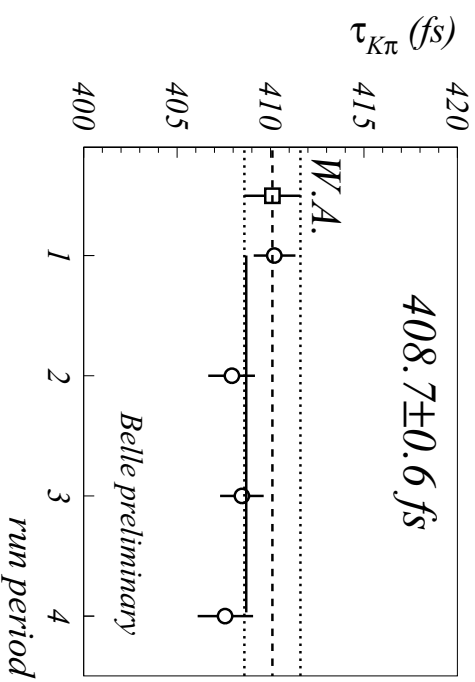
$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)

## Simultaneous $KK/\pi\pi/K\pi$ binned likelihood fit

quality of fit:  $\tilde{\chi}^2 = 1.084$  (289)



$D^0 \rightarrow K\pi$  lifetime very stable in slightly different running periods



$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)



## Cross-checks

- ◆ MC:  $y_{CP}(\text{out}) - y_{CP}(\text{input}) < 0.04\%$  for large range of input values
- ◆  $y_{CP}$  independent of resolution function parameterization:  
 $R(t) = \text{single Gaussian: } \Delta\tau = 3.5\%, \Delta y_{CP} = 0.01\%$
- ◆ Exchanging data side band with signal window background from tuned MC:  
 $\Delta y_{CP} = -0.04\%$

## Systematics

source	$y_{CP}$	$A_{\Gamma}$
acceptance	0.12%	0.07%
equal $t_0$ assumption	0.14%	0.08%
mass window position	0.04%	0.003%
difference btw. background and side bands	0.09%	0.06%
difference btw. final states in opening angle	0.02%	
background parameterization	0.07%	0.07%
resolution function	0.01%	0.01%
analysis cuts	0.11%	0.05%
binning	0.01%	0.01%
total	0.25%	0.15%

$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  (Belle, 540 fb<sup>-1</sup>)



## Results (preliminary)

	$y_{CP}$ (%)	$A_\Gamma$ (%)
$KK$	$1.25 \pm 0.39 \pm 0.28$	$0.15 \pm 0.34 \pm 0.16$
$\pi\pi$	$1.44 \pm 0.57 \pm 0.42$	$-0.28 \pm 0.52 \pm 0.30$
$KK + \pi\pi$	$1.31 \pm 0.32 \pm 0.25$	$0.01 \pm 0.30 \pm 0.15$

Belle preliminary (540 fb<sup>-1</sup>)

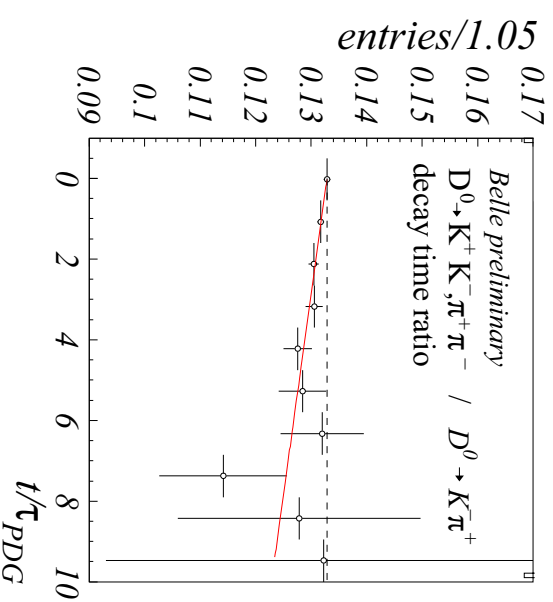
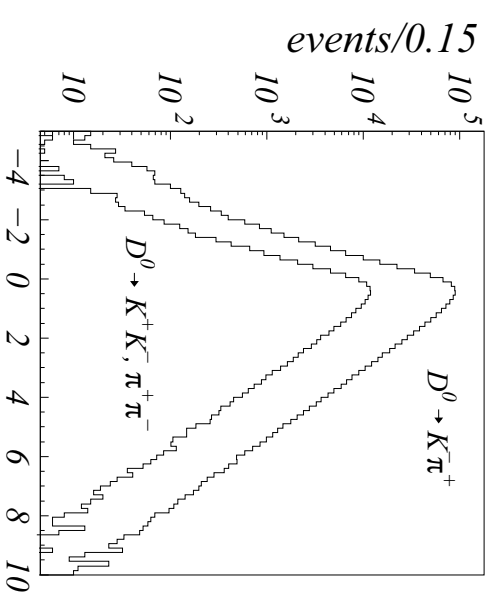
$$y_{CP} = 1.31 \pm 0.32 \pm 0.25 \%$$

> 3 $\sigma$  above zero  
(4.1 $\sigma$  stat. only)

first evidence for  $D^0 - \bar{D}^0$  mixing

$$A_\Gamma = 0.01 \pm 0.30 \pm 0.15 \%$$

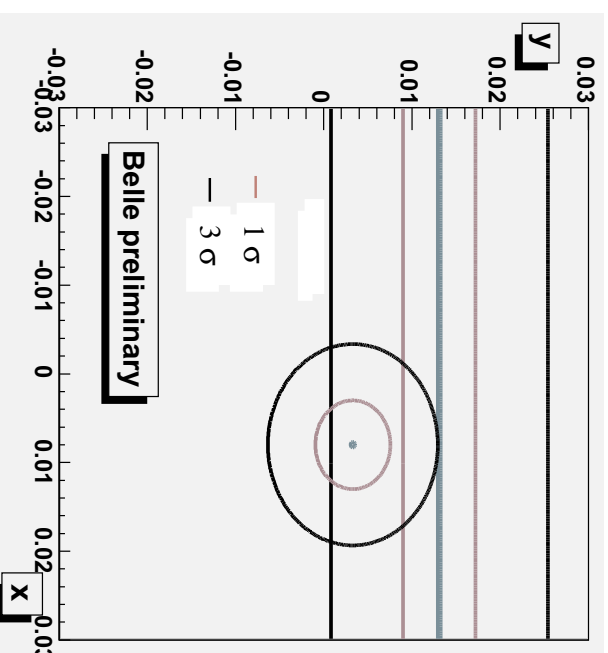
no evidence for CP violation



## Conclusions

- ◆ Several measurements of  $D^0$  mixing parameters presented
- ◆ Best sensitivity on  $x$  from  $t$ -dependent Dalitz analysis:
 
$$x = 0.80 \pm 0.29 \pm 0.17 \% (2.4\sigma)$$
- ◆ First evidence of non-zero  $y_{CP}$ :

$$y_{CP} = 1.31 \pm 0.32 \pm 0.25 \% (3.2\sigma)$$

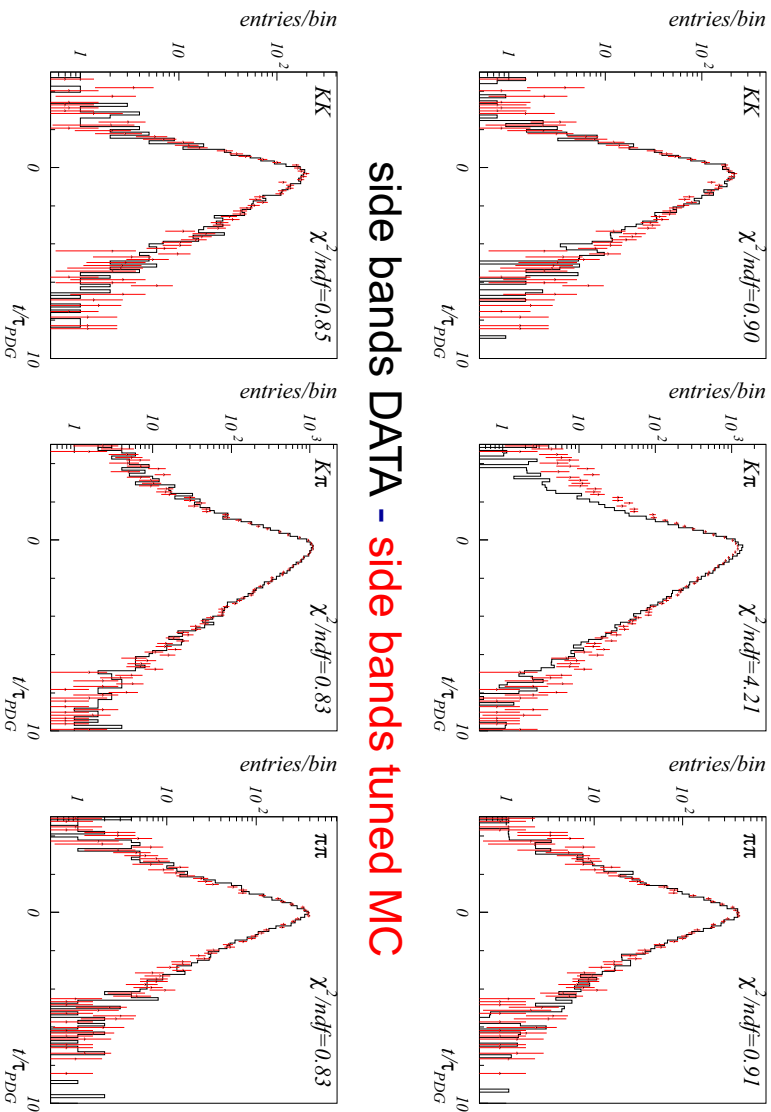


# Backup slide: X-checks for $y_{CP}$



## Background

- ◆ A comparison of timing distributions  
signal region background - side bands



side bands DATA - side bands tuned MC

- ◆ Difference to result, if using background from tuned MC

$$\Delta y_{CP} \quad \begin{matrix} KK & \pi\pi & KK + \pi\pi \\ -0.10\% & +0.09\% & -0.04\% \end{matrix}$$

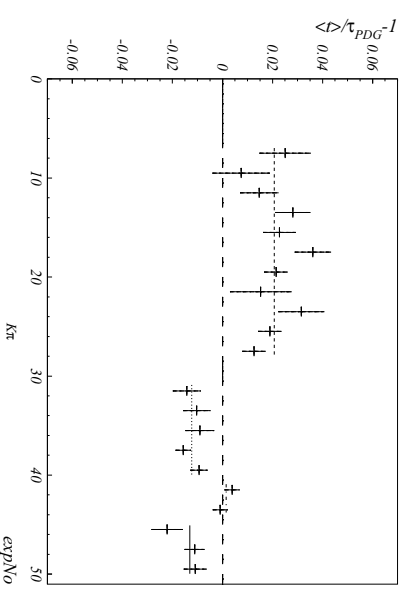


## Run periods

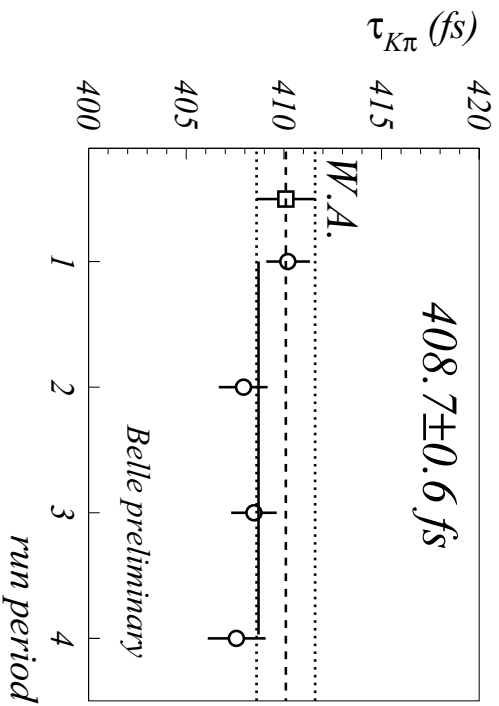
$$P(t) = \frac{1}{\tau} e^{-t/\tau} * R(t) \Rightarrow \langle t \rangle = \tau + t_0$$

- By inspecting  $\langle t \rangle$  of  $K\pi$ , four run periods with different resolution function offsets ( $t_0$ ) found
- Attributed to small SVD misalignments

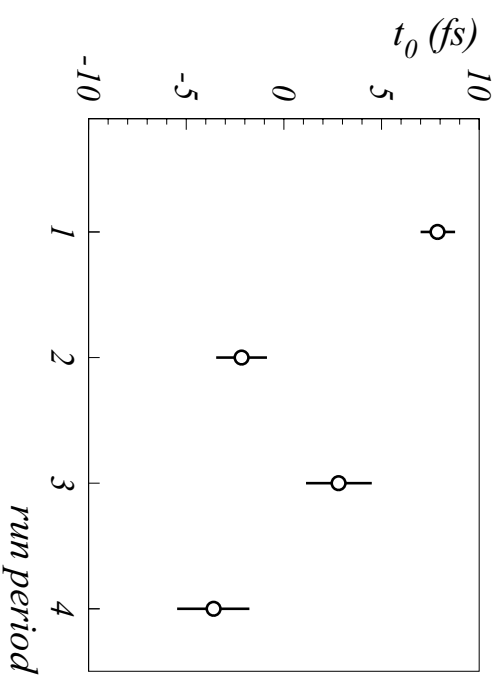
“mean” of  $K\pi$  timing distr.



fitted  $K\pi$  lifetimes

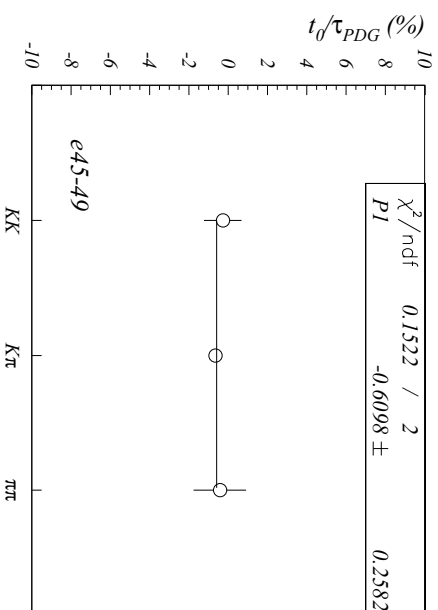
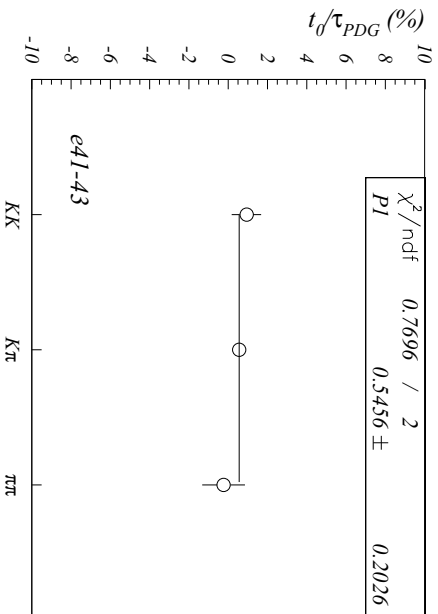
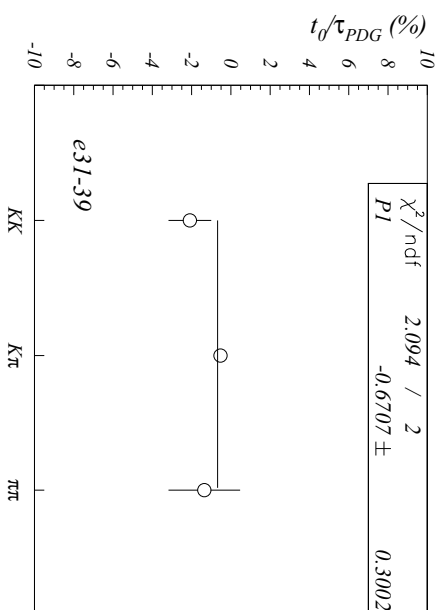
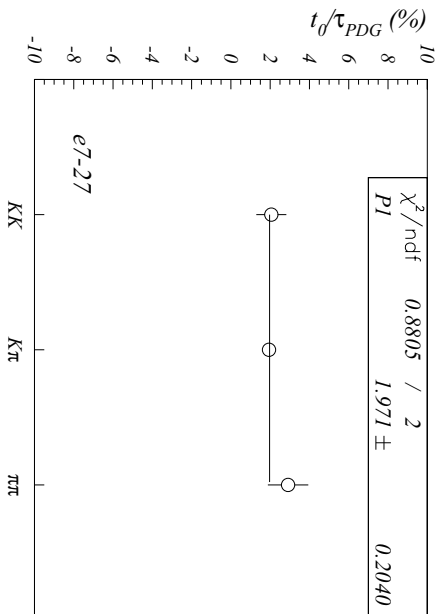


fitted r.f. offsets



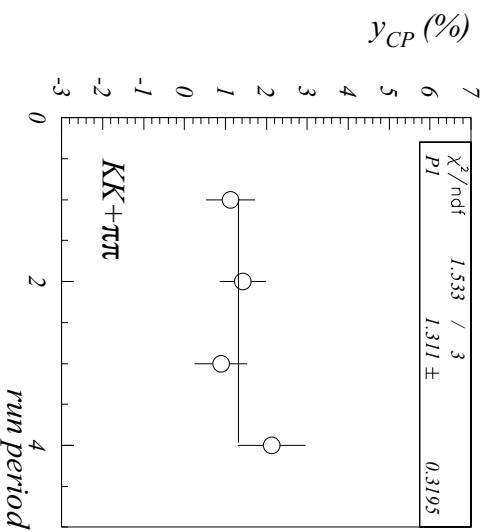
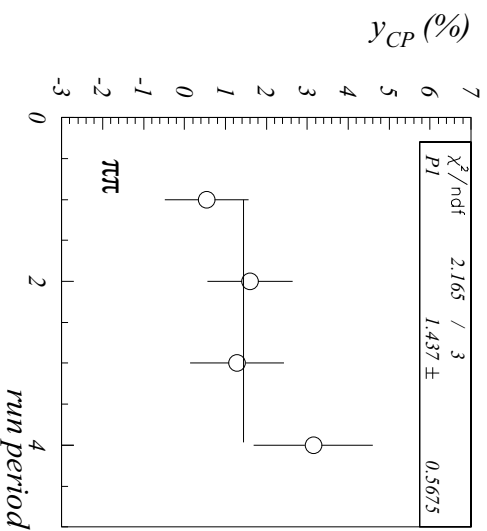
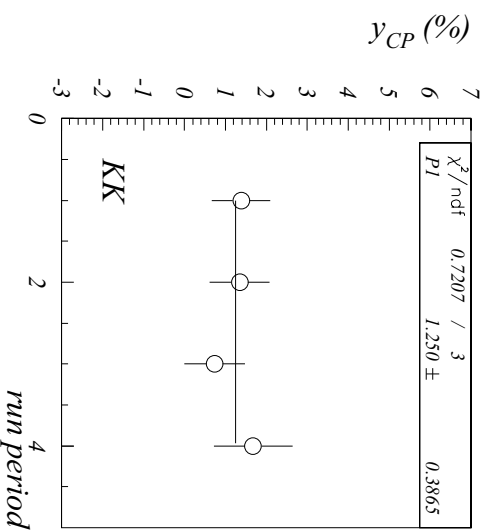


## Test for equal $t_0$ assumption



$\Rightarrow t_0$  is final state independent

## Measured $y_{CP}$ versus run periods



$\Rightarrow y_{CP}$  consistent between run periods

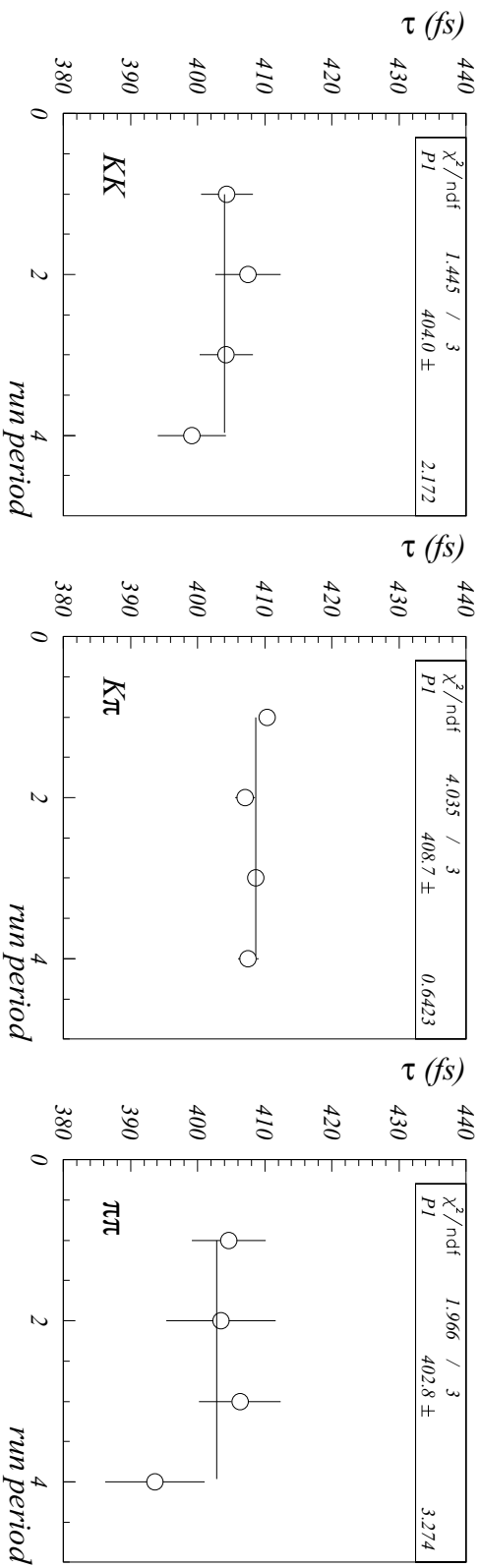


## Backup slide: X-checks for $y_{CP}$



Fitted lifetimes of  $KK$ ,  $K\pi$ ,  $\pi\pi$

- Results for  $t_0$  being free for each of the final states



⇒ lifetimes consistent between run periods

	$KK$	$K\pi$	$\pi\pi$
$\tau$ (fs)	404.0 ± 2.2	408.7 ± 0.6	402.8 ± 3.3
$\chi^2/ndf$	0.48	1.35	0.66

⇒ lifetimes of  $KK$  and  $\pi\pi$  consistent (and smaller than  $K\pi$ )

$y_{CP} = 1.25 \pm 0.48\%$  (central value similar, error 50% larger)

## Backup slide: X-checks for $y_{CP}$

### Statistical method

- ◆  $y_{CP}$  and  $A_{\Gamma}$  can be determined from mean of the timing distributions (e.g. without fitting the data), and the error from r.m.s
- ◆ Assumptions:
  - ▷ timing distribution is a convolution of exponential with some resolution function + some background
  - ▷ resolution function offsets of final states are the same and small

$$P(t) = p \frac{1}{\tau} e^{-t/\tau} * R_s(t) + (1-p)B(t) \quad \Rightarrow \quad \langle t \rangle = p(\tau + t_0) + (1-p) \langle t \rangle_b$$

$$\tau + t_0 = \frac{\langle t \rangle - \langle t \rangle_b}{p} = \langle t \rangle_s$$

- ◆ In lifetime difference  $t_0$  cancels, thus if  $t_0 \ll \tau$

$$y_{CP} = \frac{\langle t \rangle_{KK} - \langle t \rangle_{K\pi}}{\langle t \rangle_{K\pi}}$$

- ◆ Result from this method

$$y_{CP} = 1.35 \pm 0.33_{stat} \%$$