

# Nucleon Resonance Electroexcitations off Free and Quasi-Free Nucleons



Ralf W. Gothe



Space- and Time-Like Electromagnetic Baryonic Transitions  
May 8-12, 2017, ECT\*, Trento, Italy

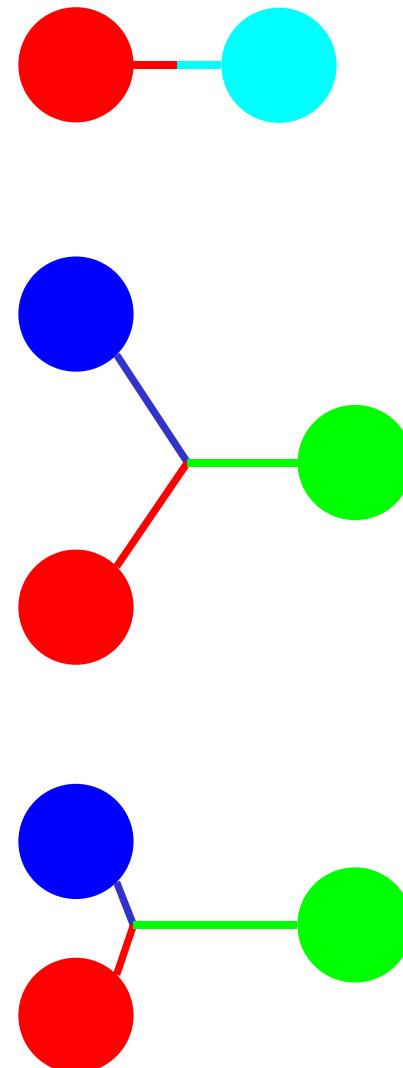
- **$\gamma NN^*$  Vertexcouplings:** A unique exploration of baryon and quark structure?
- **Analysis and New Results:** Exclusive, quasi-free, and final state interaction!
- **Outlook:** New experiments with extended scope and kinematics!

# Spectroscopy

# Build your Mesons and Baryons ...

Three Generations  
of Matter (Fermions)

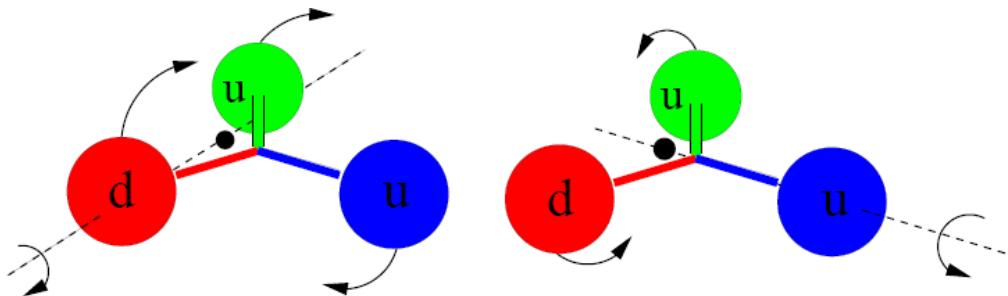
	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	up	charm	top
Quarks			
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	u	c	t
mass →	4.8 MeV	104 MeV	4.2 GeV
charge →	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	d	s	b
Leptons			
mass →	<2.2 eV	<0.17 MeV	<15.5 MeV
charge →	0	0	0
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	$\nu_e$	$\nu_\mu$	$\nu_\tau$
Leptons			
mass →	0.511 MeV	105.7 MeV	1.777 GeV
charge →	-1	-1	-1
spin →	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
name →	e	$\mu$	$\tau$
Bosons (Forces)			
mass →	0	0	91.2 GeV
charge →	0	0	0
spin →	1	1	0
name →	photon	gluon	$Z^0$
mass →	0	0	80.4 GeV
charge →	1	1	$\pm 1$
spin →	1	1	1
name →	w <sup>+</sup>	w <sup>-</sup>	w <sup>0</sup>



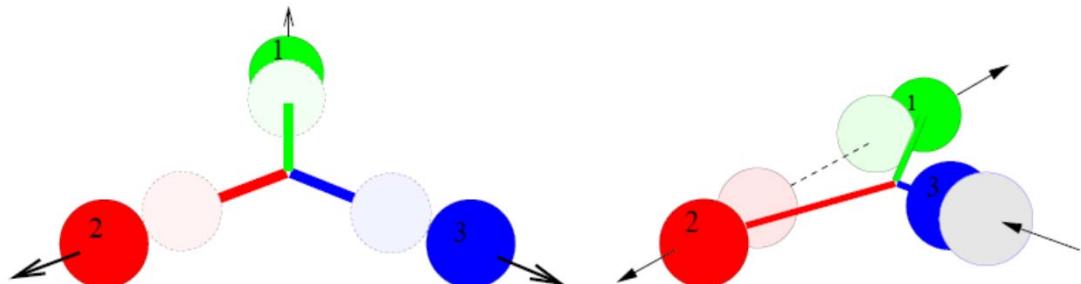
# N and $\Delta$ Excited Baryon States ...

Simon Capstick

- Orbital excitations  
(two distinct kinds in contrast to mesons)

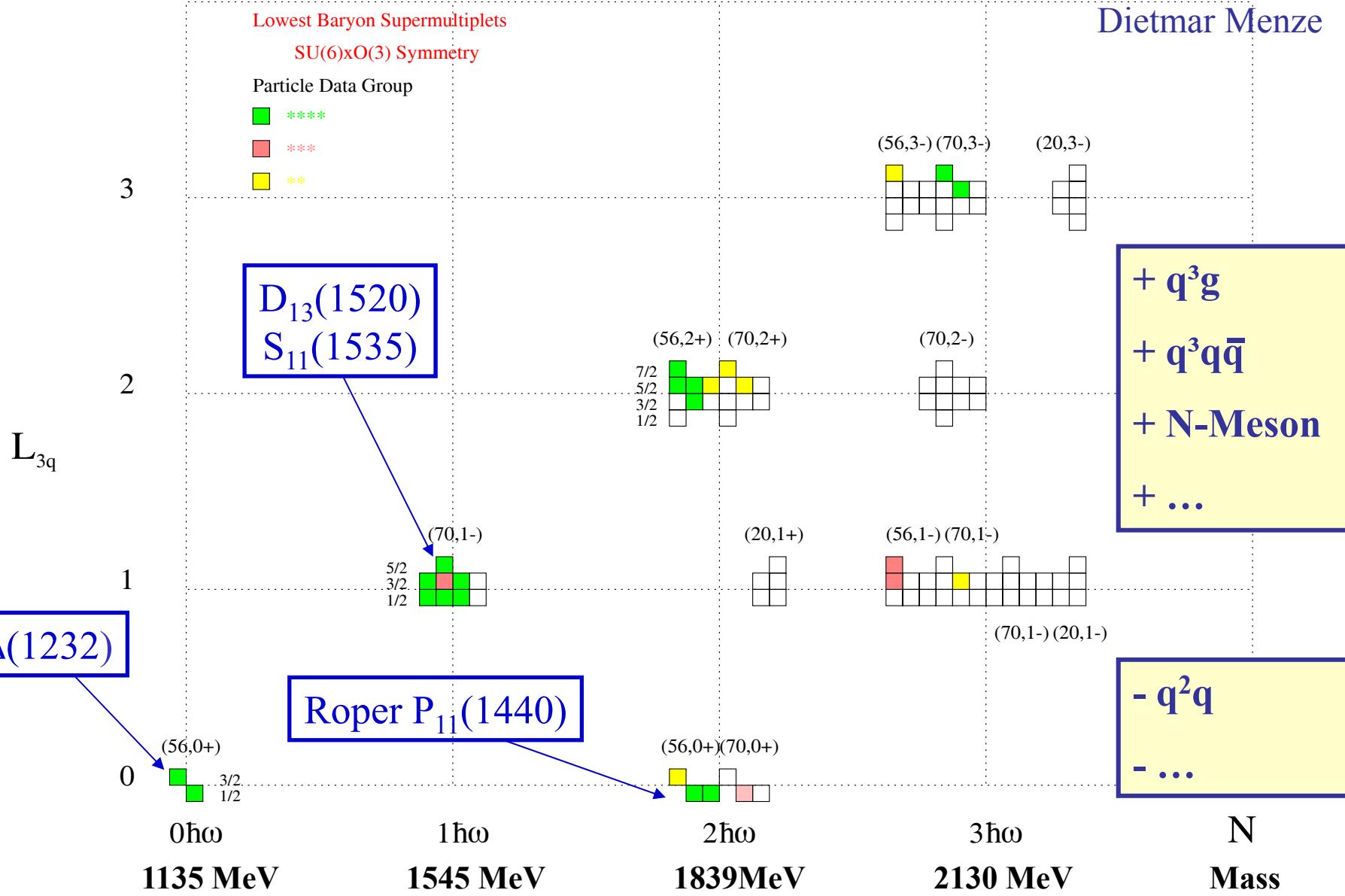


- Radial excitations  
(also two kinds in contrast to mesons)



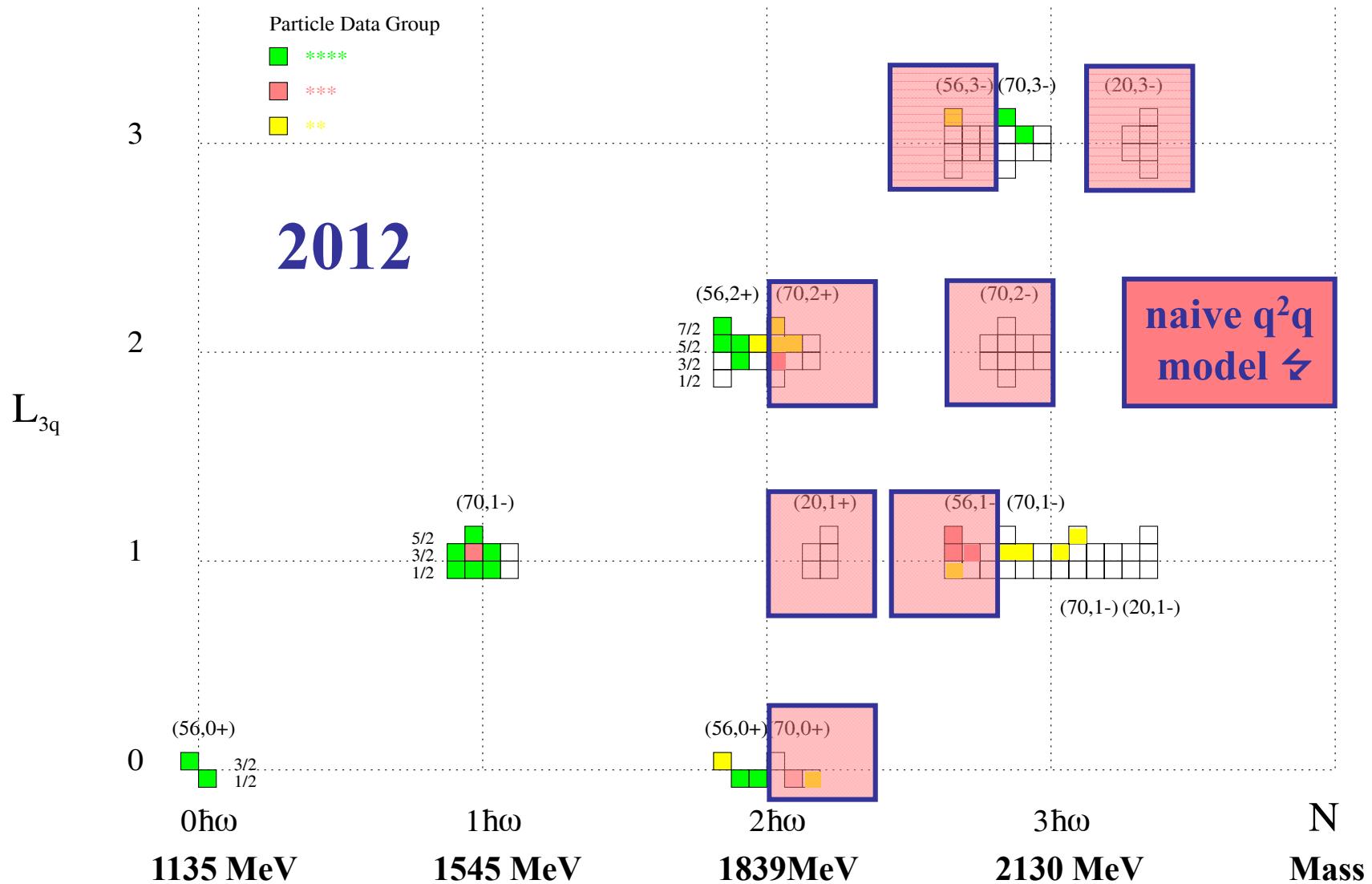
# Quark Model Classification of N\*

Dietmar Menze



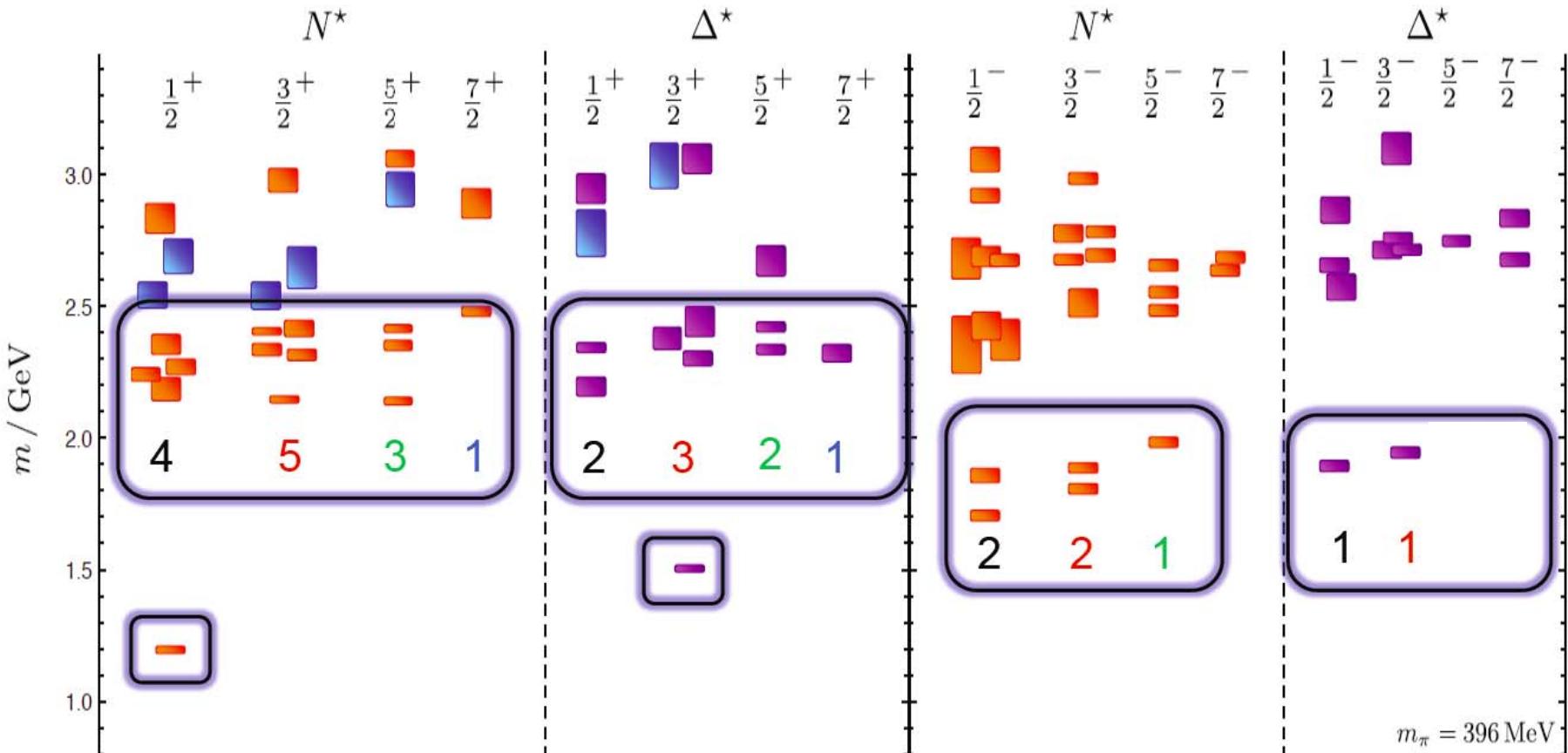
# Quark Model Classification of N\*

BnGa energy-dependent coupled-channel PWA of CLAS K<sup>+</sup>Λ and other data



# $N^*$ Spectrum in LQCD

The strong interaction physics is encoded in the nucleon excitation spectrum that spans the degrees of freedom from meson-baryon and dressed quarks to elementary quarks and gluons.

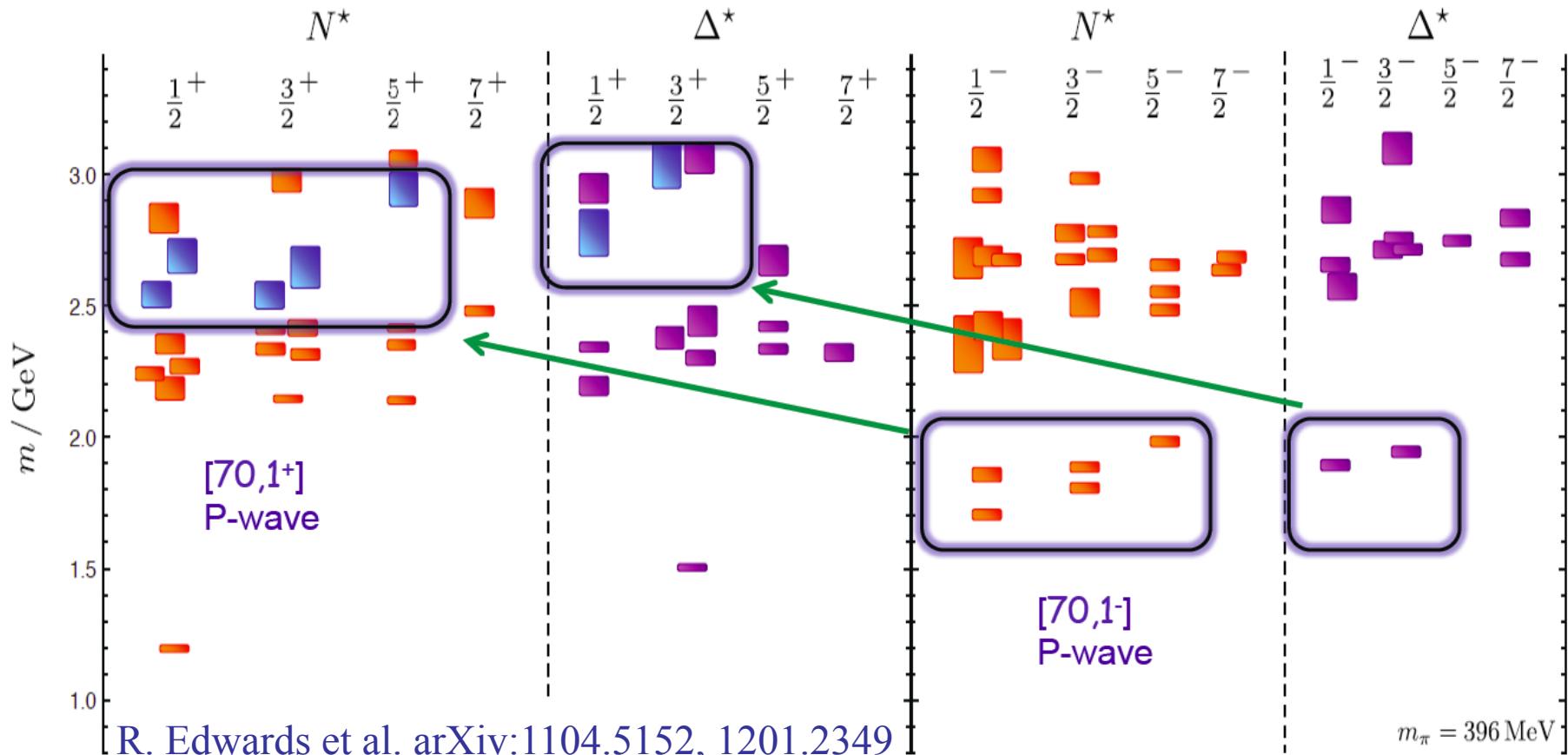


LQCD predicts states with the same quantum numbers as CQMs with underlying  $SU(6) \times O(3)$  symmetry.

R. Edwards *et al.*,  
arXiv:1104.5152, 1201.2349

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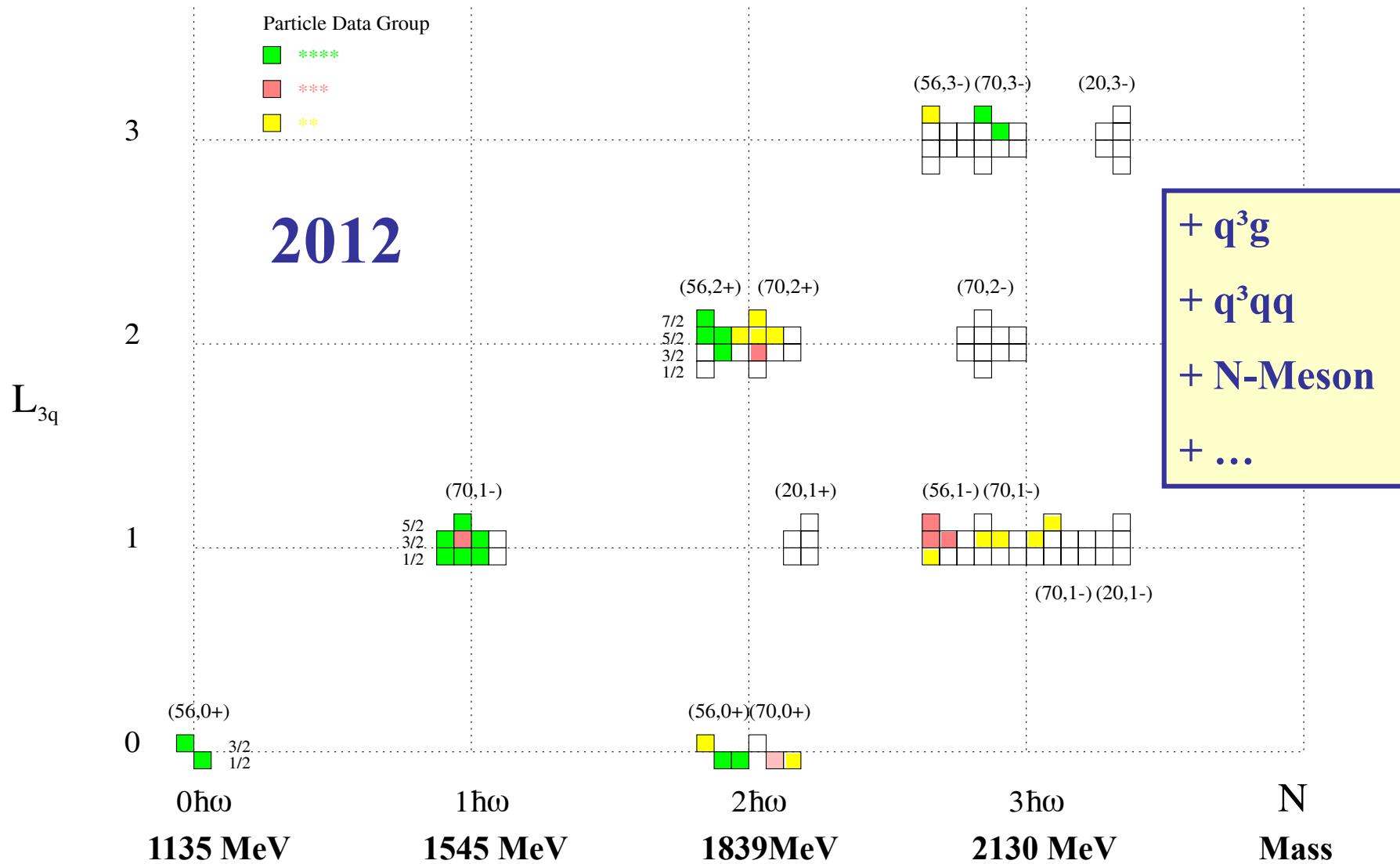


LQCD predicts hybrid baryon states replicating the negative parity multiplet structure.

New approved experiment on electroexcited baryon hybrids (E12-16-010).

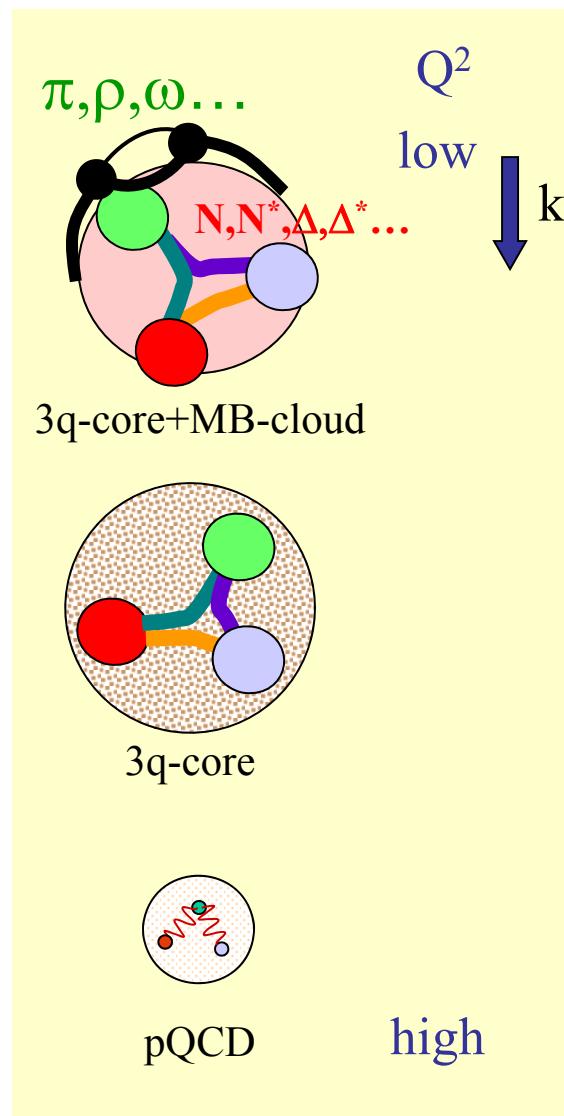
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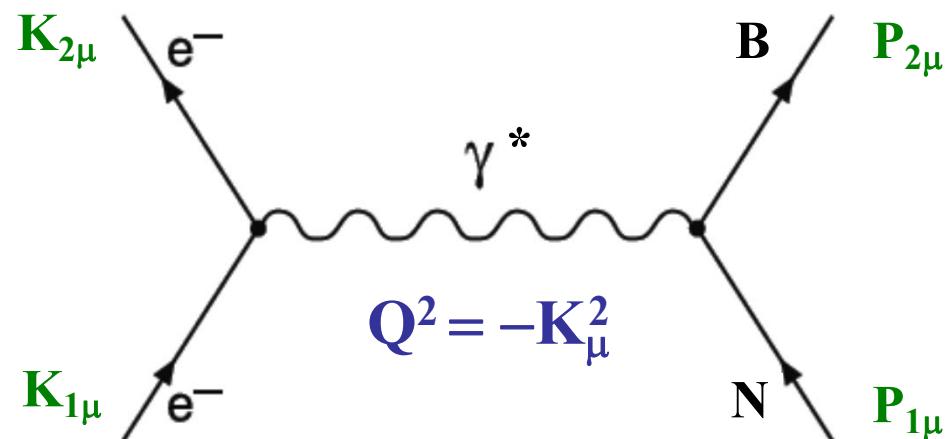


# Transition Form Factors

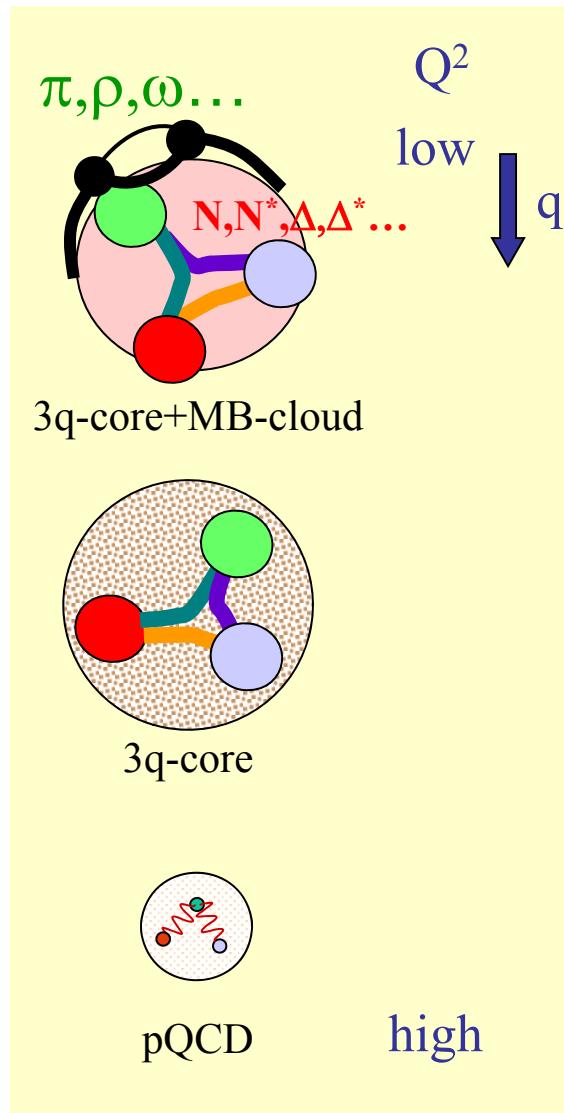
# Hadron Structure with Electromagnetic Probes



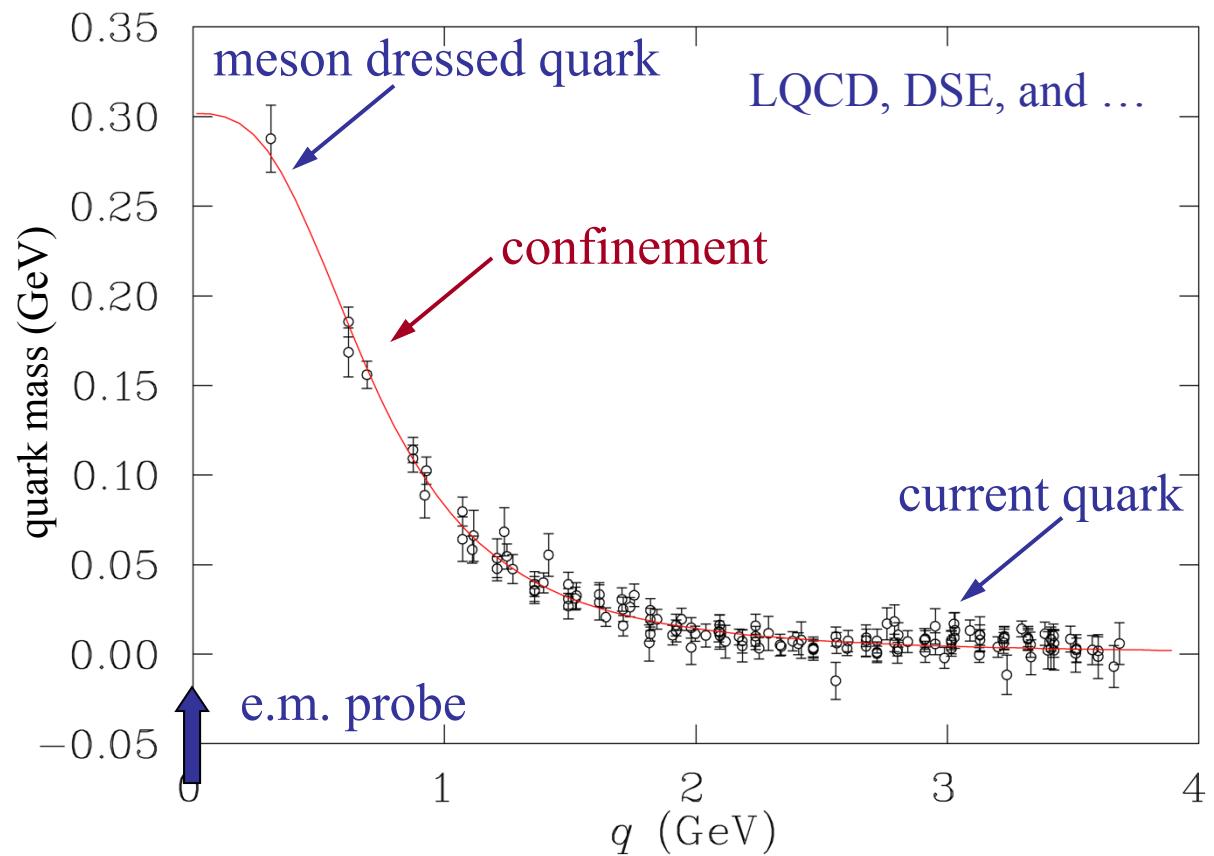
- Study the structure of the nucleon spectrum in the domain where dressed quarks are the major active degree of freedom.
- Explore the formation of excited nucleon states in interactions of dressed quarks and their emergence from QCD.



# Hadron Structure with Electromagnetic Probes



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$\gamma_\nu NN^*$

# Extraction

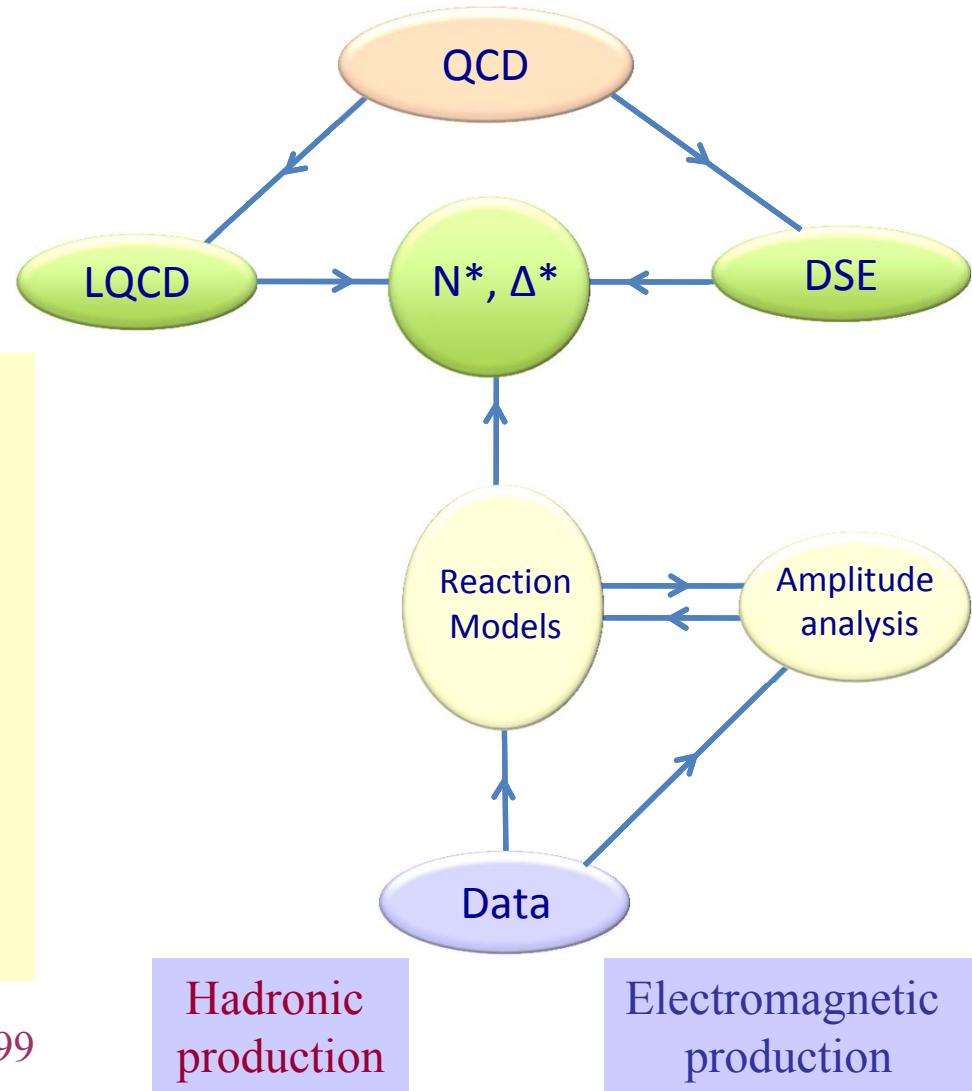
# Data-Driven Data Analyses

## Consistent Results

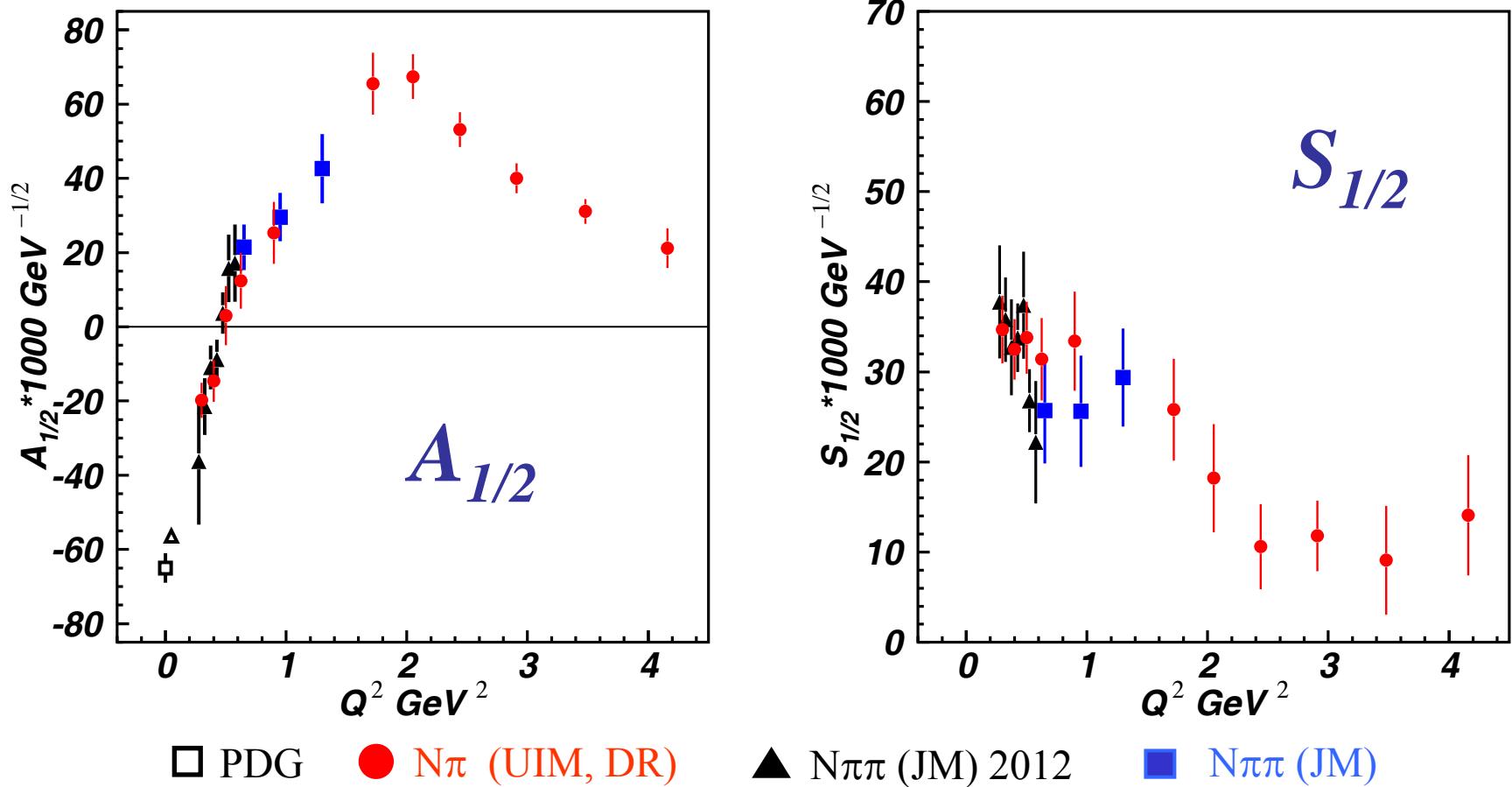


- Single meson production:  
Unitary Isobar Model (UIM)  
Fixed- $t$  Dispersion Relations (DR)
- Double pion production:  
Unitarized Isobar Model (JM)
- Coupled-Channel Approaches:  
EBAC  $\Rightarrow$  Argonne-Osaka  
JAW  $\Rightarrow$  Jülich-Athens-Washington  $\Rightarrow$  JüBo  
BoGa  $\Rightarrow$  Bonn-Gatchina

Int. J. Mod. Phys. E, Vol. 22, 1330015 (2013) 1-99



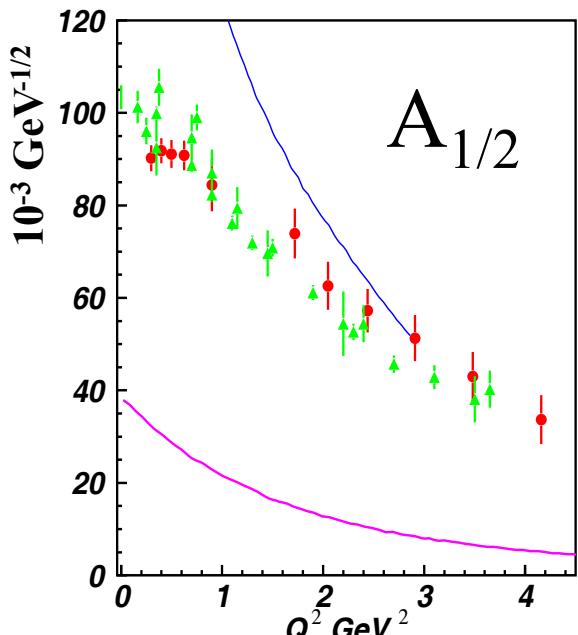
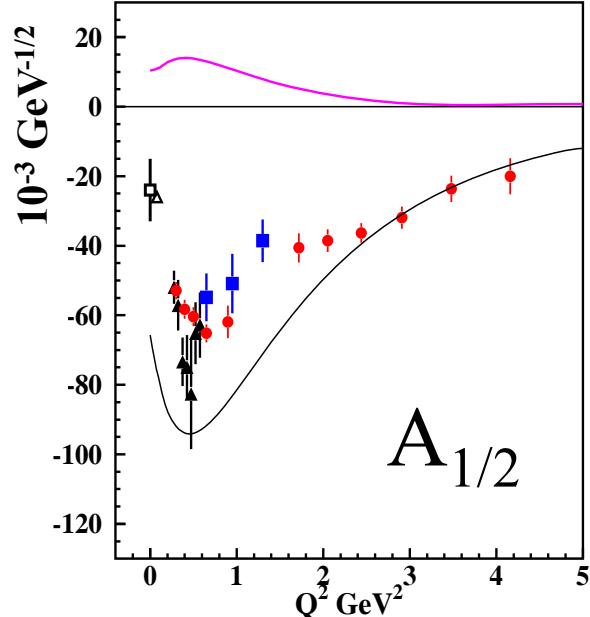
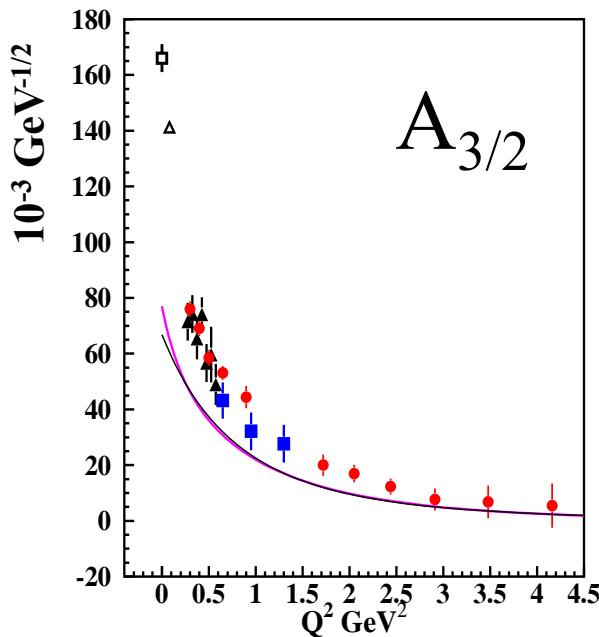
# Electrocouplings of N(1440)P<sub>11</sub> from CLAS Data



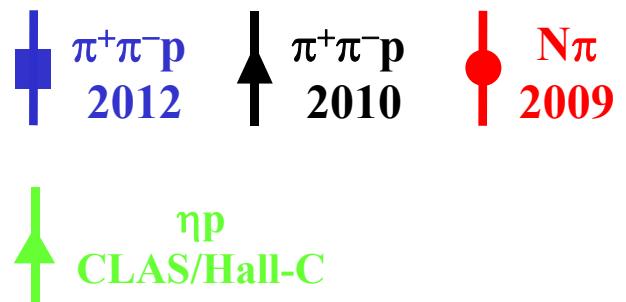
Consistent results obtained in the low-lying resonance region by independent analyses in the exclusive  $N\pi$  and  $p\pi^+\pi^-$  final-state channels – that have fundamentally different mechanisms for the nonresonant background – underscore the capability of the reaction models to extract reliable resonance electrocouplings.

Phys. Rev. C 80, 055203 (2009) 1-22 and Phys. Rev. C 86, 035203 (2012) 1-22

# Electrocouplings of N(1520)D<sub>13</sub> and N(1535)S<sub>11</sub>

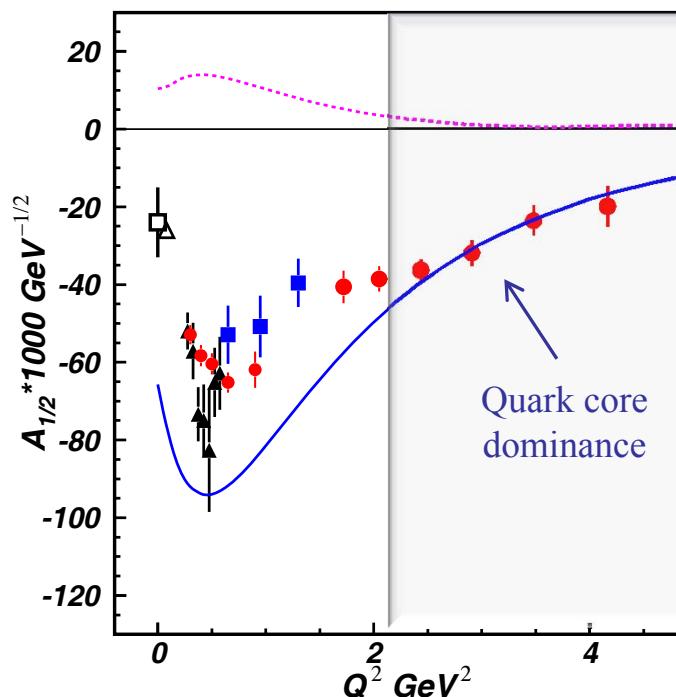


- Argonne Osaka / EBAC DCC MB dressing  
(absolute values)
- E. Santopinto, M. Giannini, hCQM  
PRC 86, 065202 (2012)
- S. Capstick, B.D. Keister (rCQM)  
PRD51, 3598 (1995)



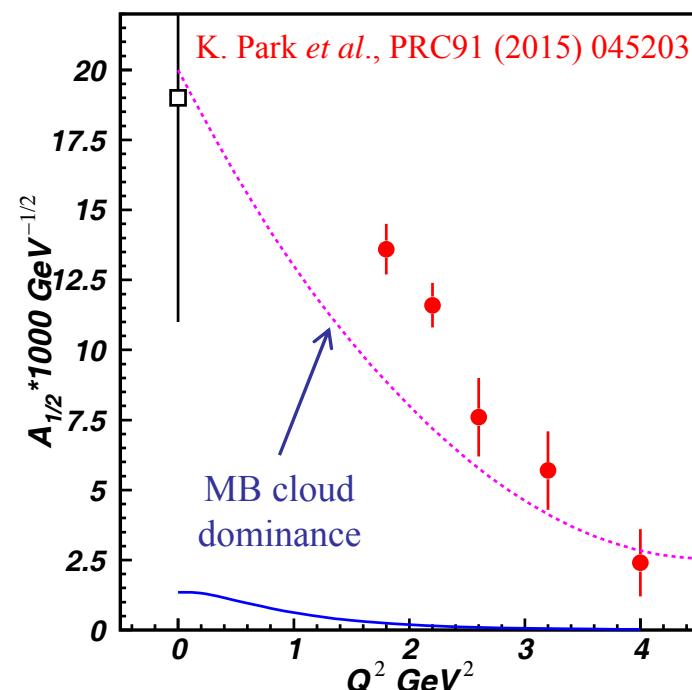
# Interplay between Meson-Baryon Cloud and Quark Core

**N(1520)3/2<sup>-</sup>**



..... Argonne-Osaka MB dressing (absolute values)  
 — E. Santopinto and M. Giannini, PRC 86 (2012) 065202

**N(1675)5/2<sup>-</sup>**



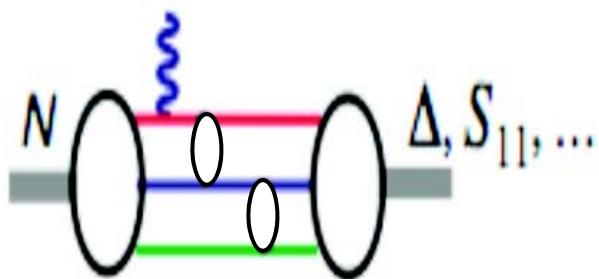
The almost direct access to

- quark core from the data on N(1520)3/2<sup>-</sup>
- meson-baryon cloud from the data on N(1675)5/2<sup>-</sup>

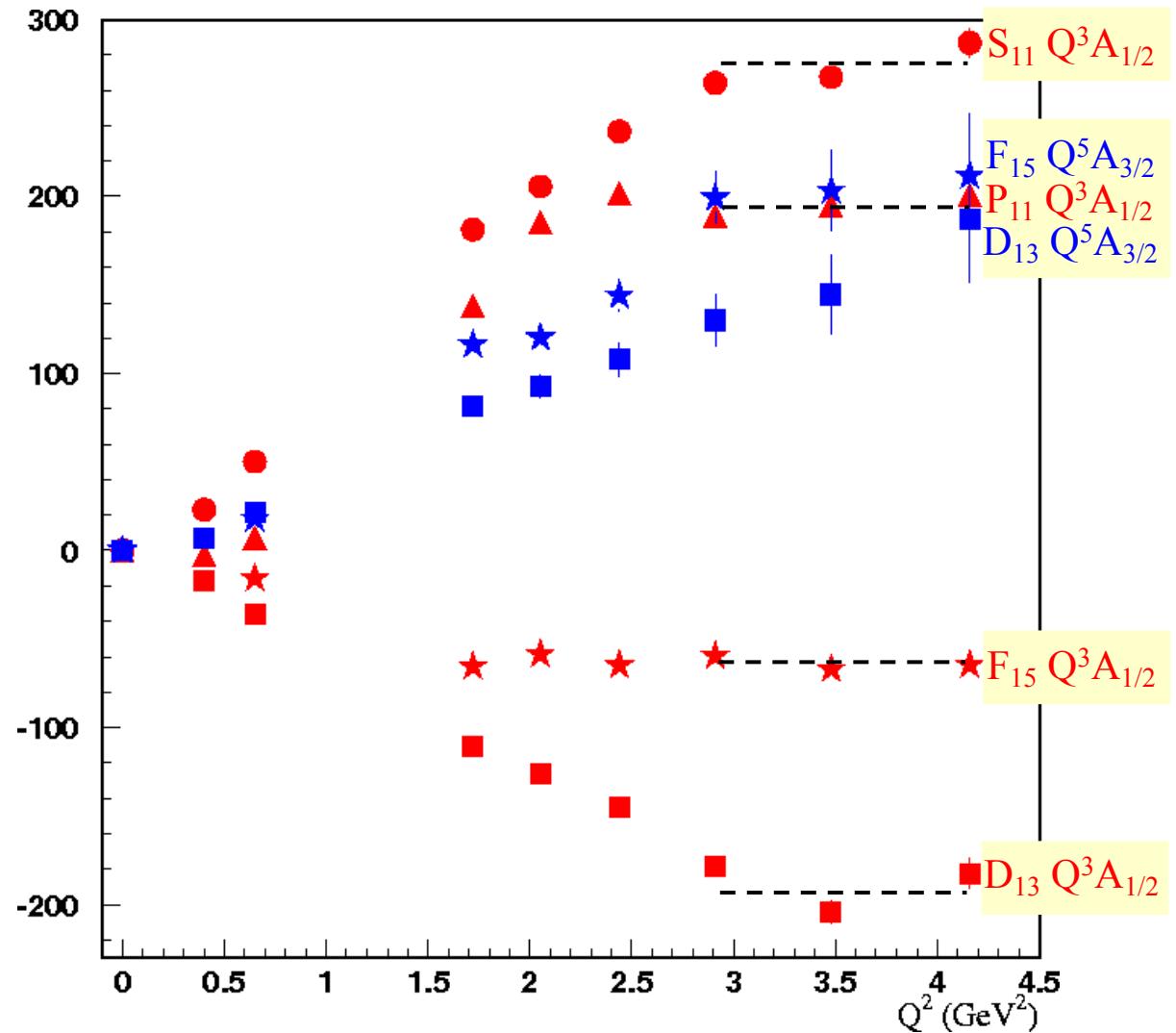
sheds light on the transition from the confined quark to the colorless meson-baryon structure and its dependents on the N\* quantum numbers.

# Evidence for the Onset of Precocious Scaling?

I. G. Aznauryan *et al.*, Phys. Rev. C80, 055203 (2009)

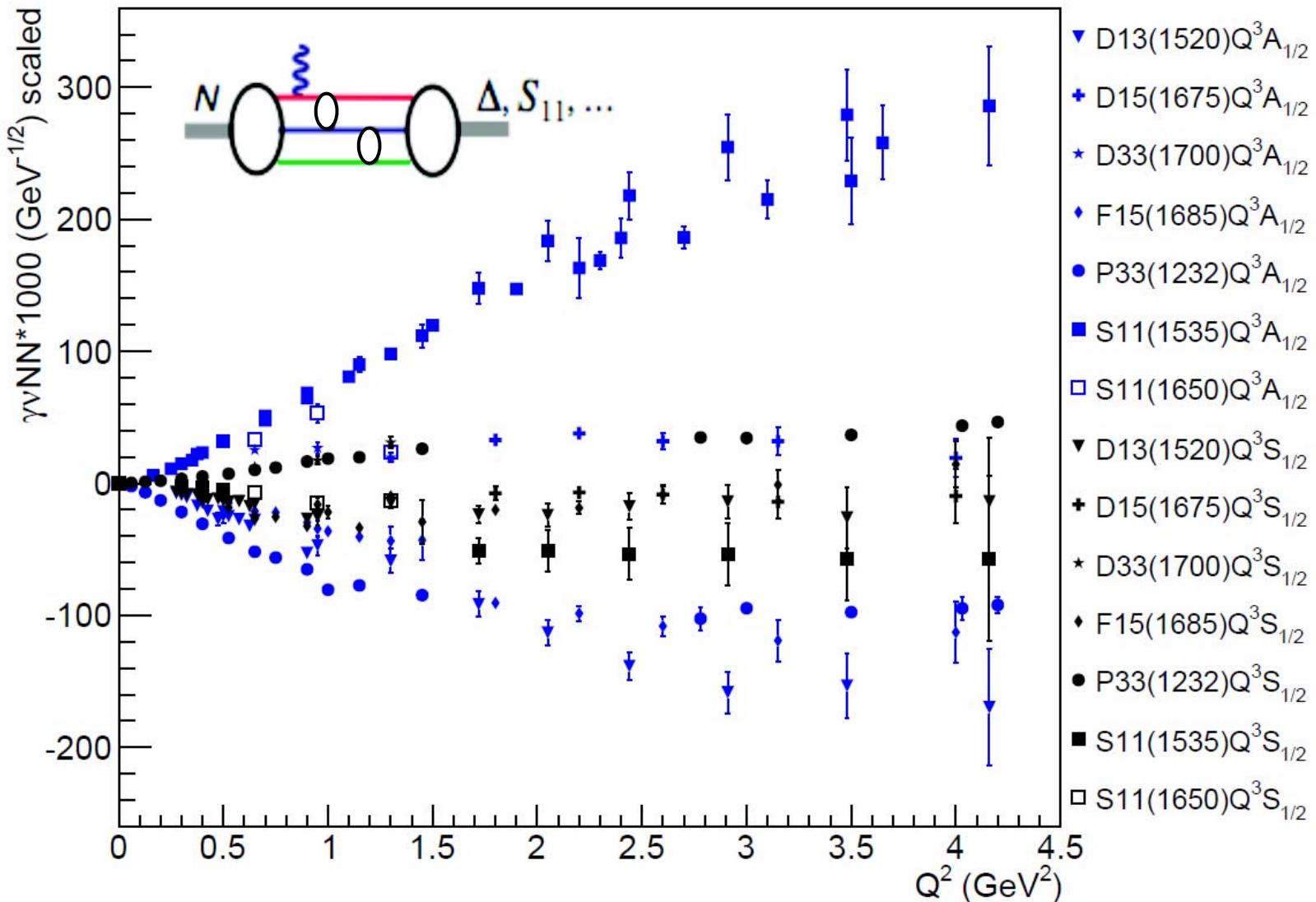


- $A_{1/2} \propto 1/Q^3$
- $A_{3/2} \propto 1/Q^5$



# Evidence for the Onset of Precocious Scaling?

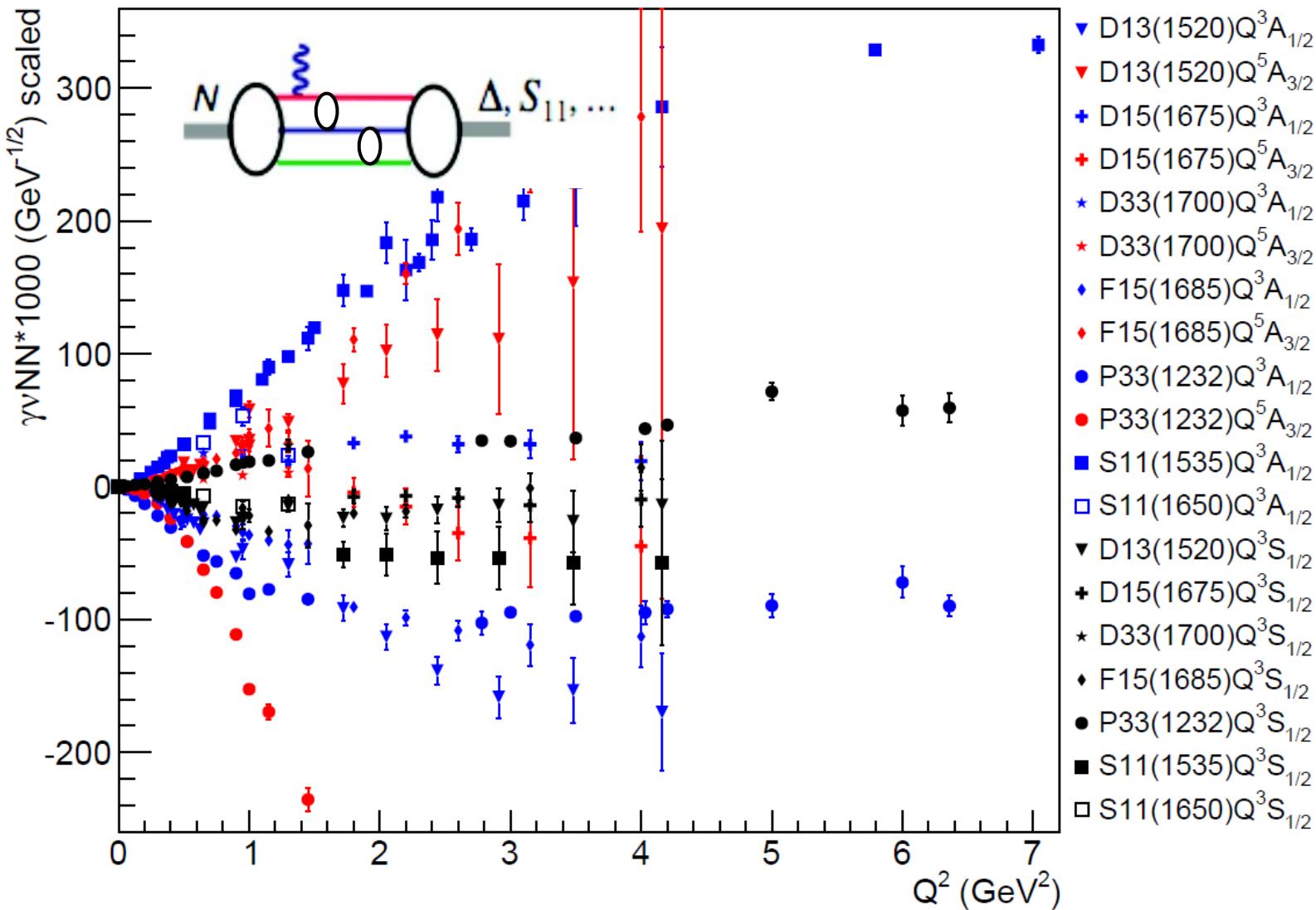
Ye Tian



V. Mokeev, [userweb.jlab.org/~mokeev/resonance\\_electrocouplings/](http://userweb.jlab.org/~mokeev/resonance_electrocouplings/) (2016)

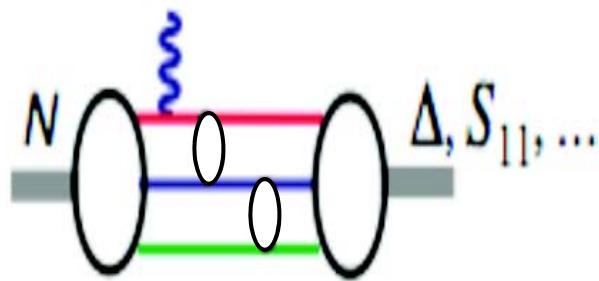
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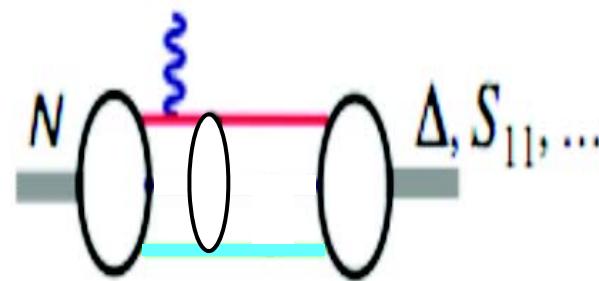


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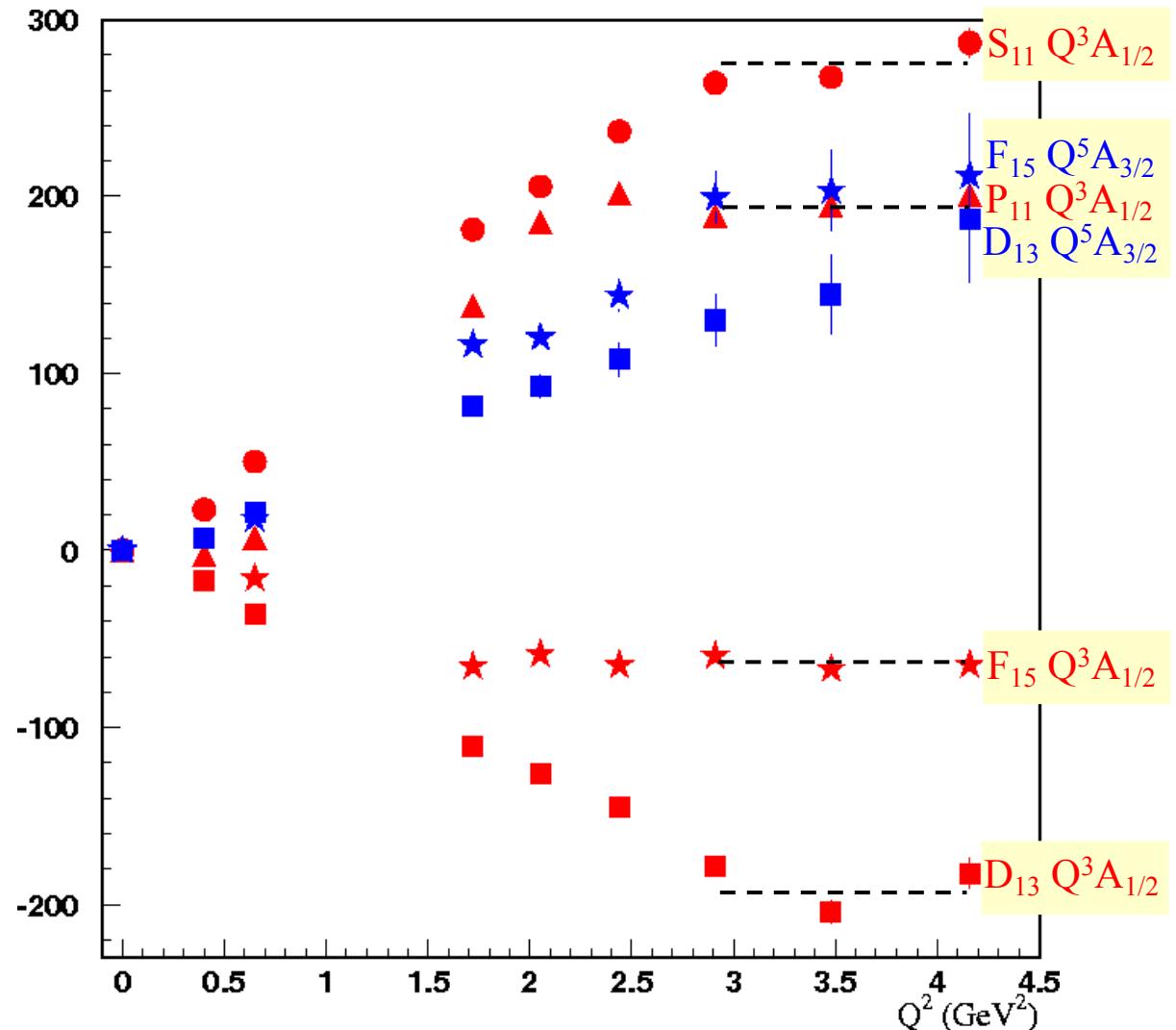


- $A_{1/2} \propto 1/Q^3$
- $A_{3/2} \propto 1/Q^5$
- $G_M^* \propto 1/Q^4$

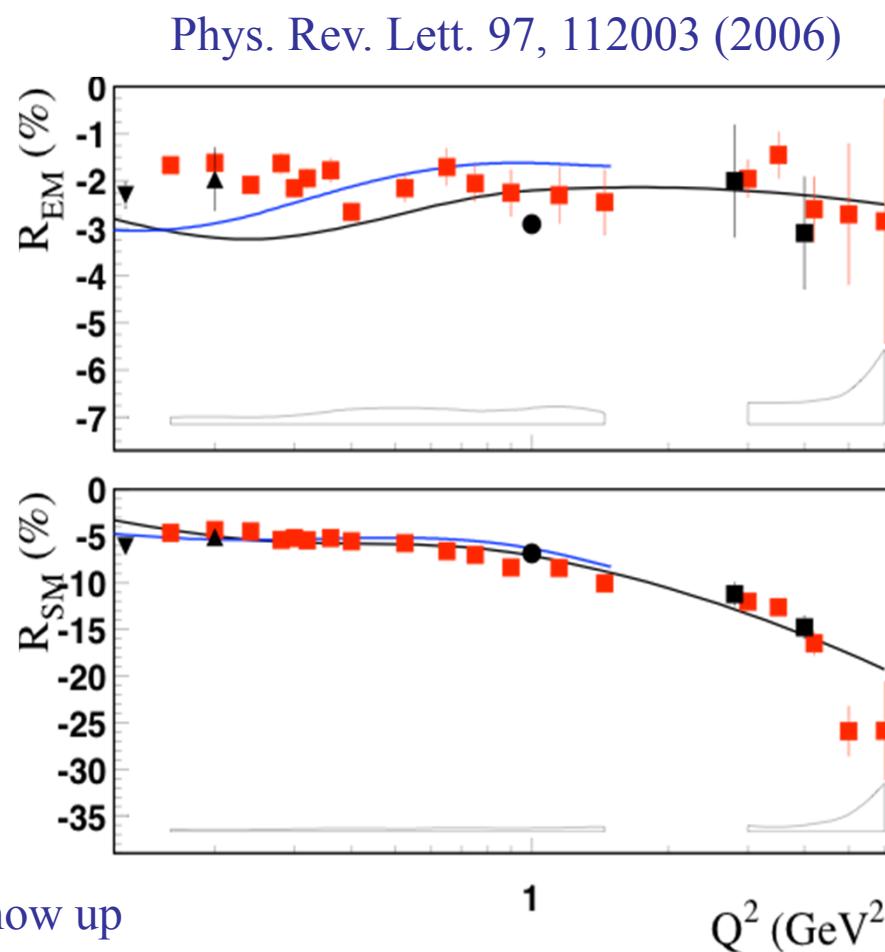
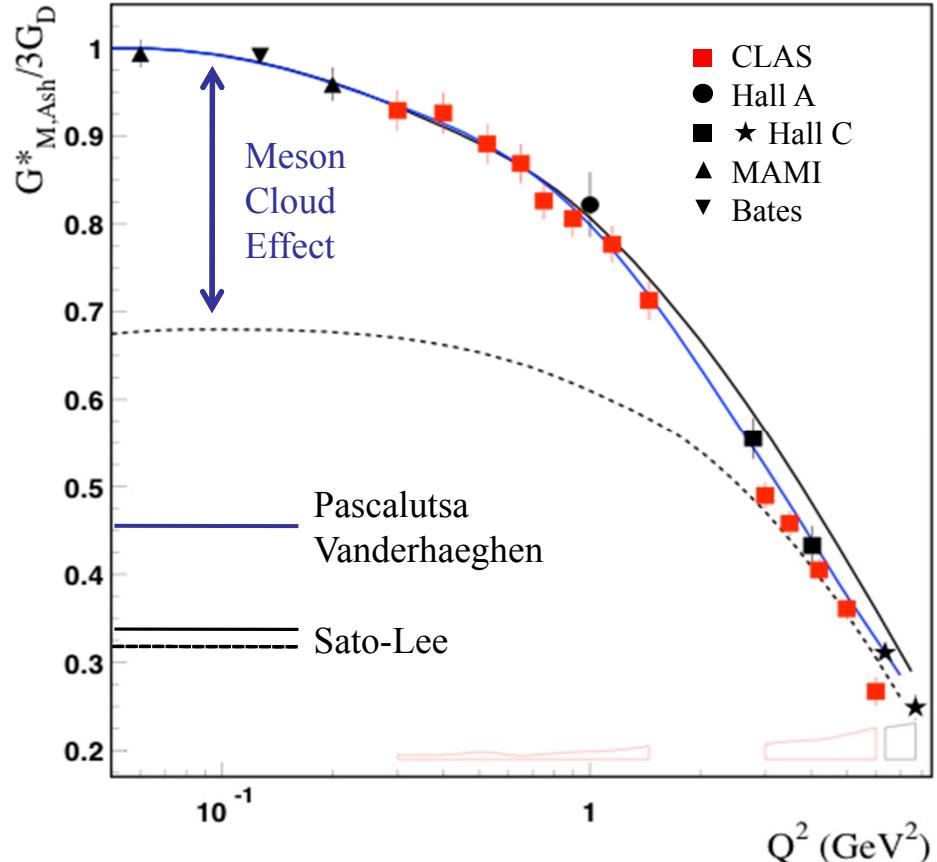


$q^2 q$

I. G. Aznauryan *et al.*, Phys. Rev. C80, 055203 (2009)



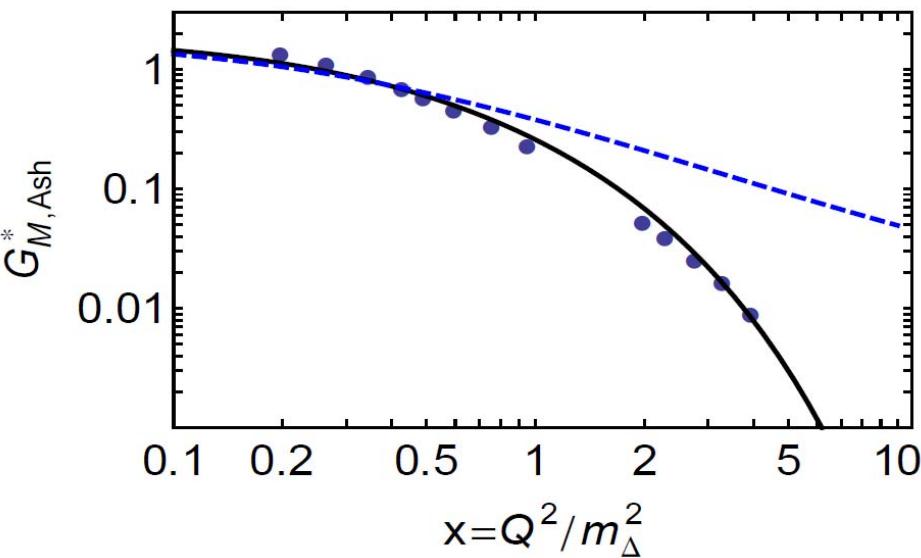
# $N \rightarrow \Delta$ Multipole Ratios $R_{EM}$ , $R_{SM}$



- New trend towards pQCD behavior does not show up
- $R_{EM} \rightarrow +1$        $R_{SM} \rightarrow \text{const}$
- $G^*_{M,J-S.} \rightarrow 1/Q^4$      $G^*_{M,Ash} \rightarrow 1/Q^5$
- CLAS12 can measure  $G_M^*$ ,  $R_{EM}$ , and  $R_{SM}$  up to  $Q^2 \sim 12$  GeV $^2$

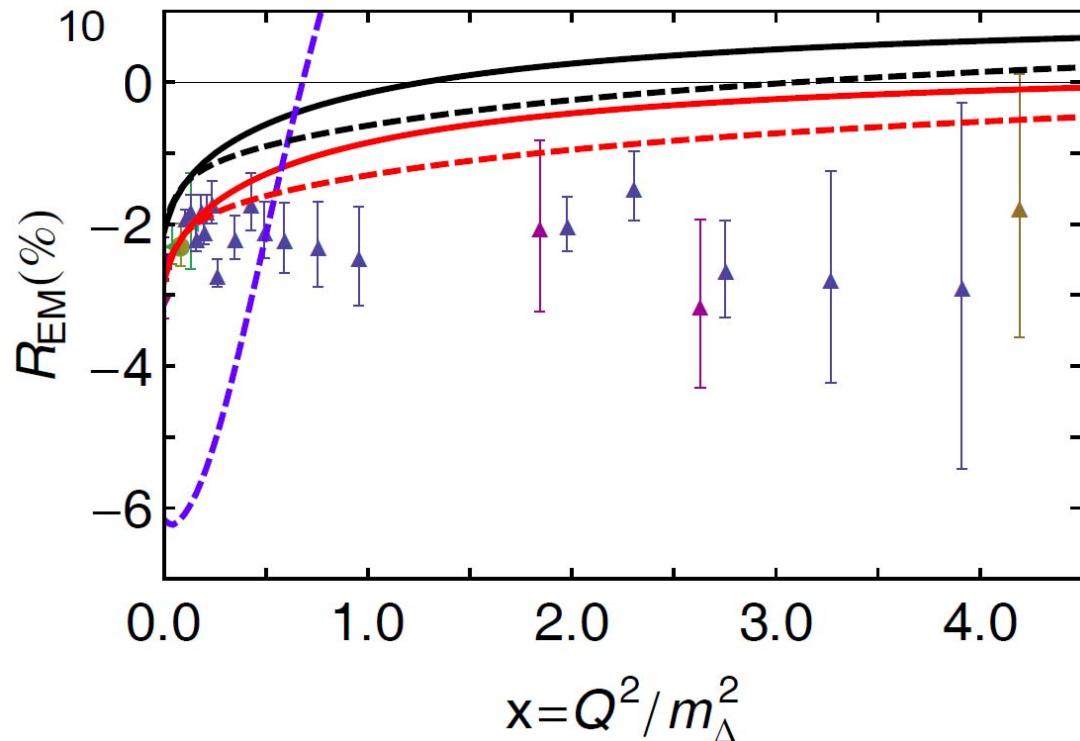
# Anomalous Magnetic Moment in DSE Approach

J. Segovia

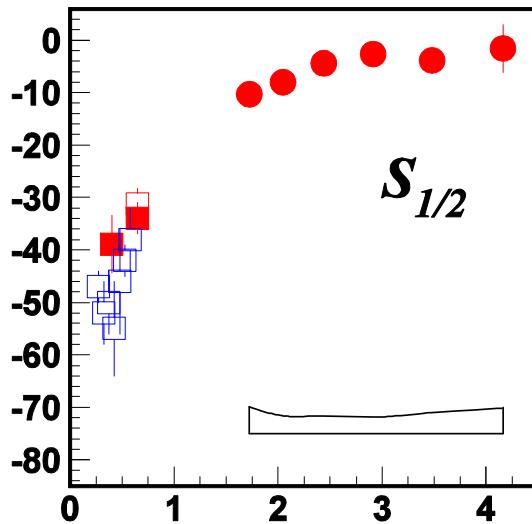
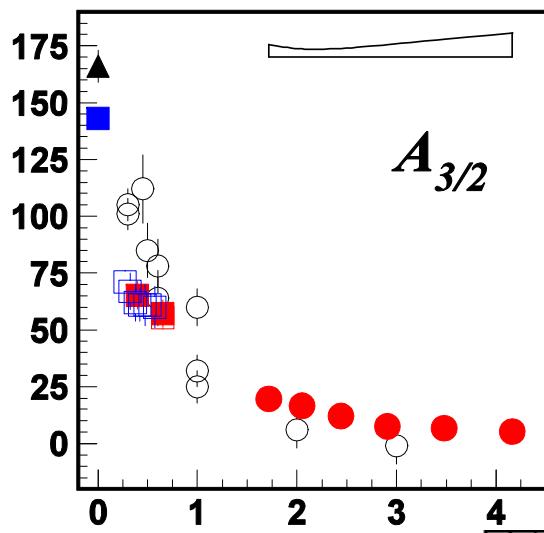
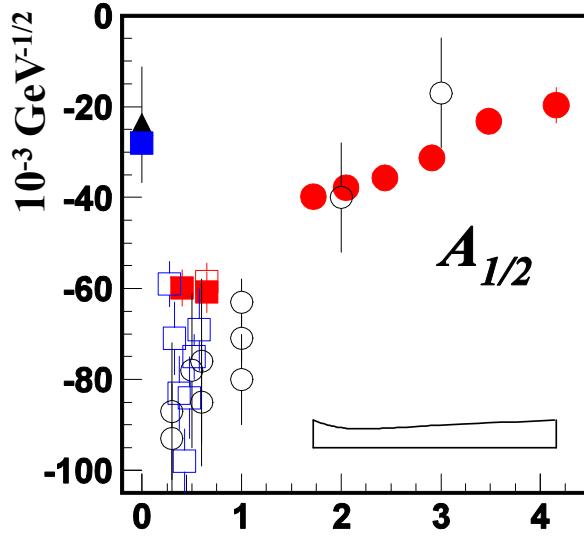


J. Segovia *et al.*, FBS 55 (2014) 1185-1222

The DSE calculation of  $R_{\text{EM}}$  zero crossing is sensitive to the momentum dependent anomalous magnetic moment of the dressed-quark.



# N(1520)D<sub>13</sub> Helicity Asymmetry



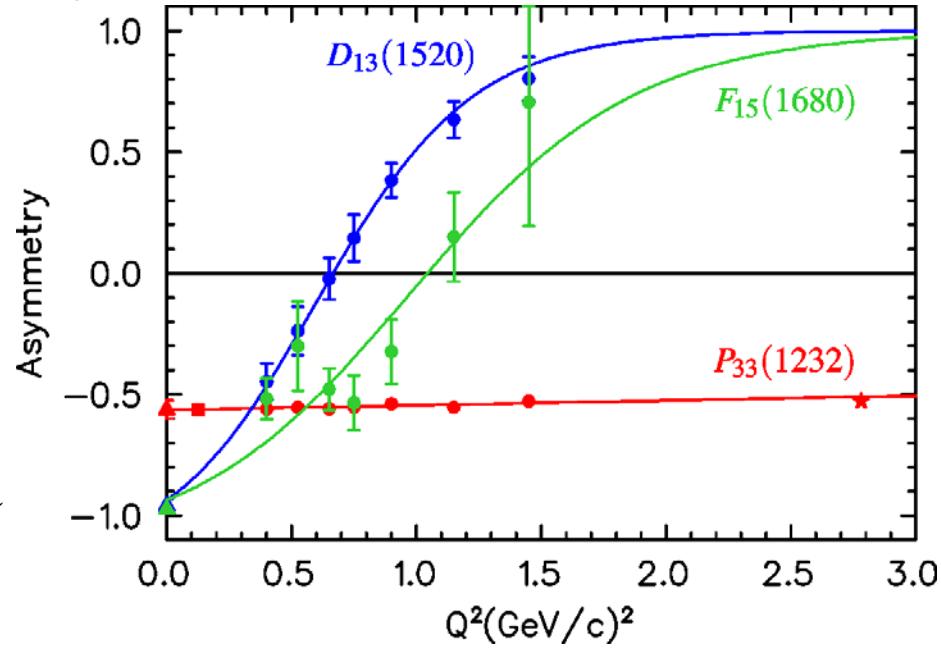
○ world data

▲ PDG estimation

● ■ N $\pi$  (UIM, DR)

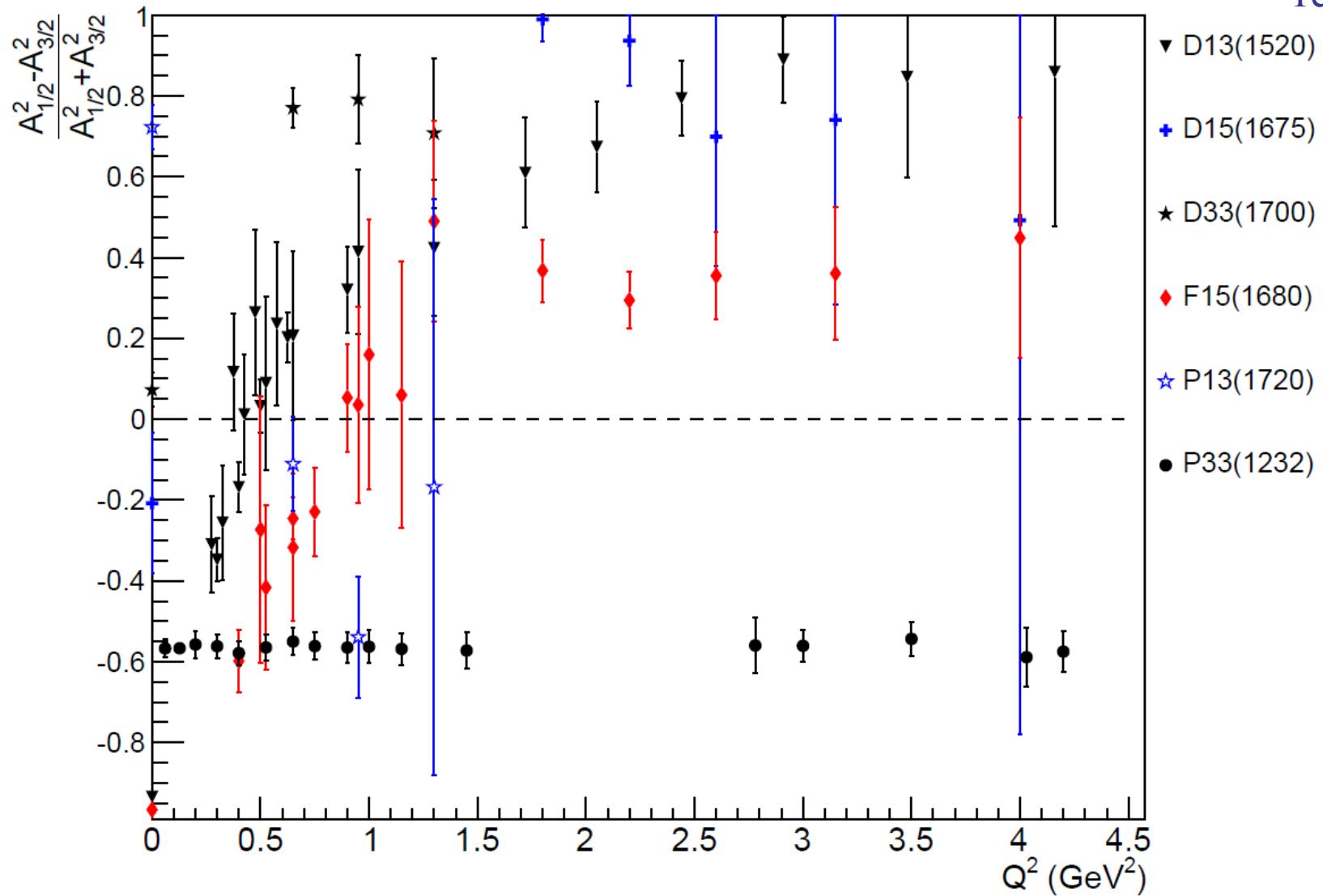
$$A_{\text{hel}} = \frac{A_{1/2}^2 - A_{3/2}^2}{A_{1/2}^2 + A_{3/2}^2}$$

L. Tiator



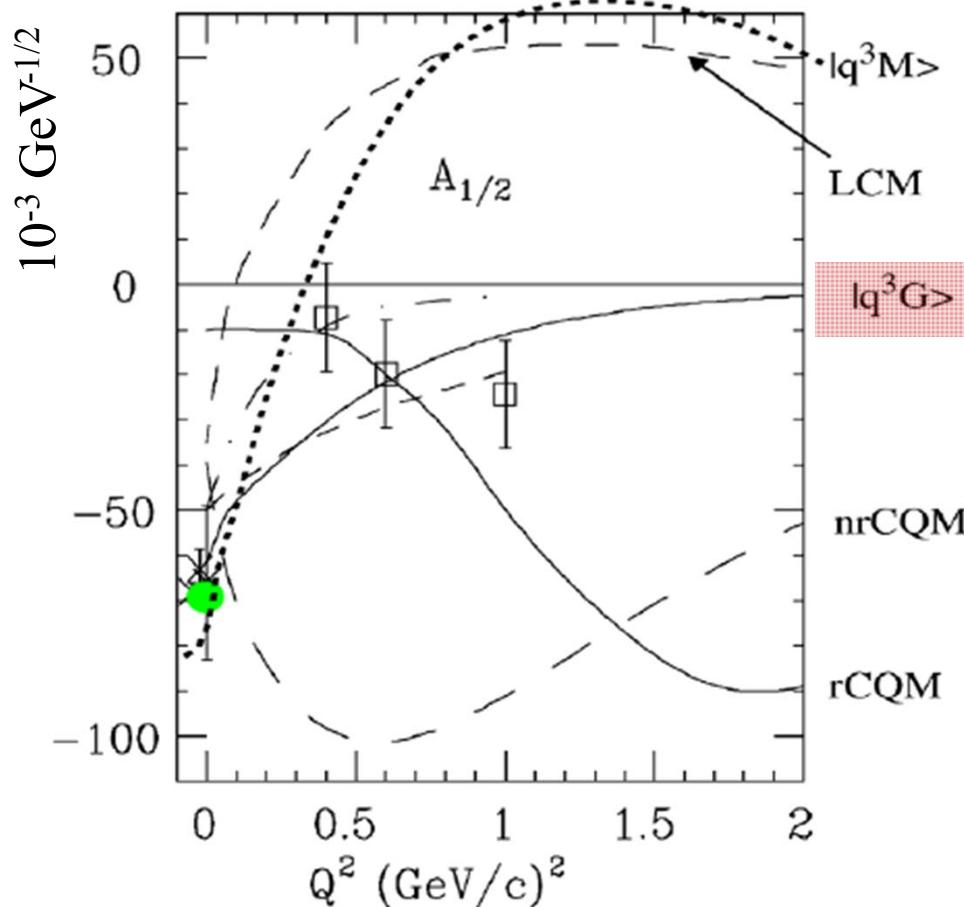
# $\gamma NN^*$ Helicity Asymmetries

Ye Tian



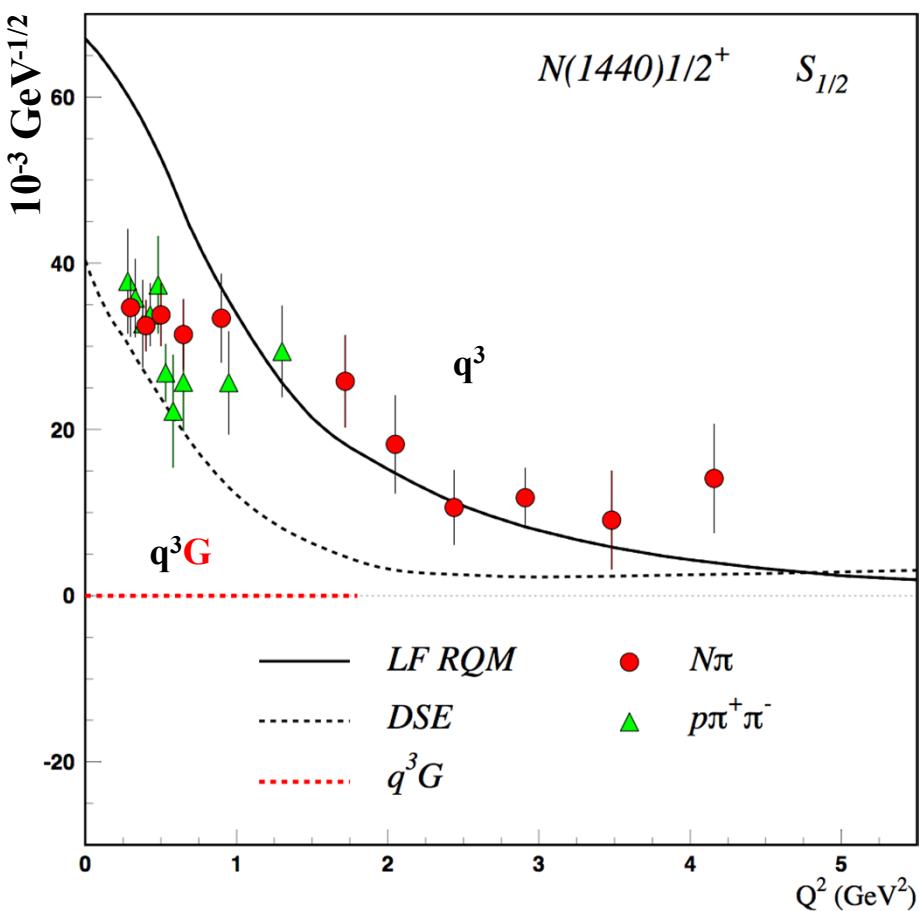
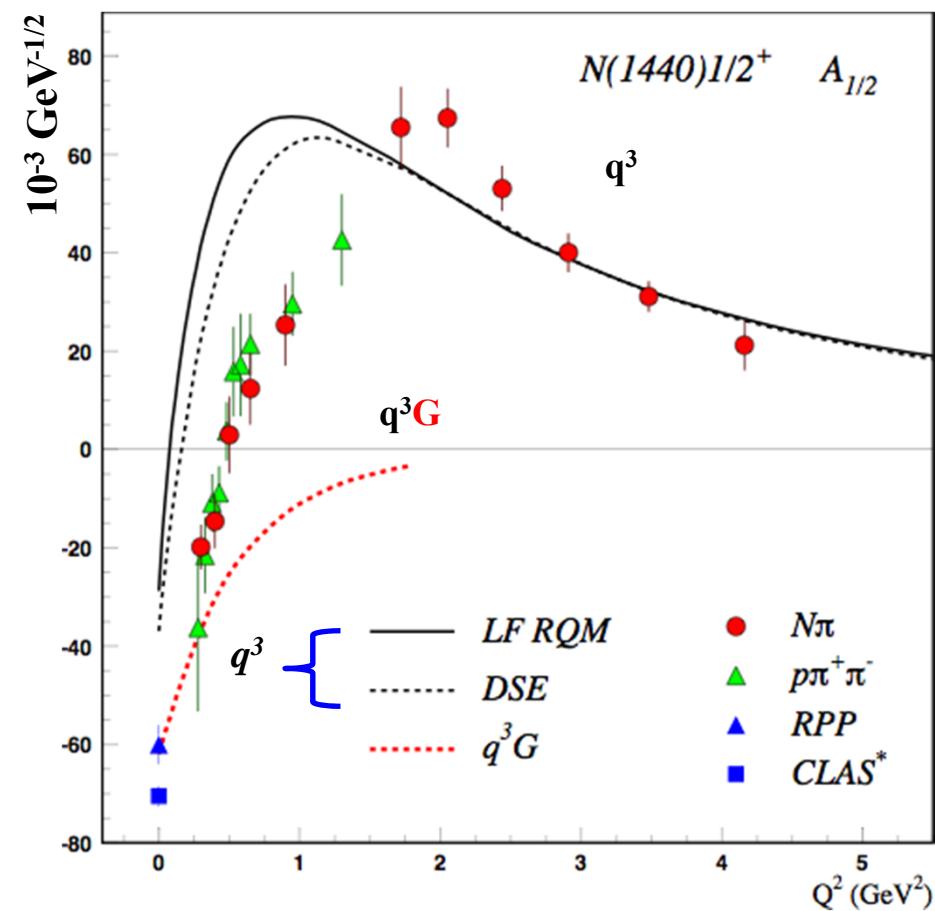
V. Mokeev, [userweb.jlab.org/~mokeev/resonance\\_electrocouplings/](http://userweb.jlab.org/~mokeev/resonance_electrocouplings/) (2016)

# Electrocouplings of N(1440)P<sub>11</sub> History



- Lowest mass hybrid baryon should be  $J^P=1/2^+$  as Roper.
- In 2002 Roper  $A_{1/2}$  results were consistent with a hybrid state.

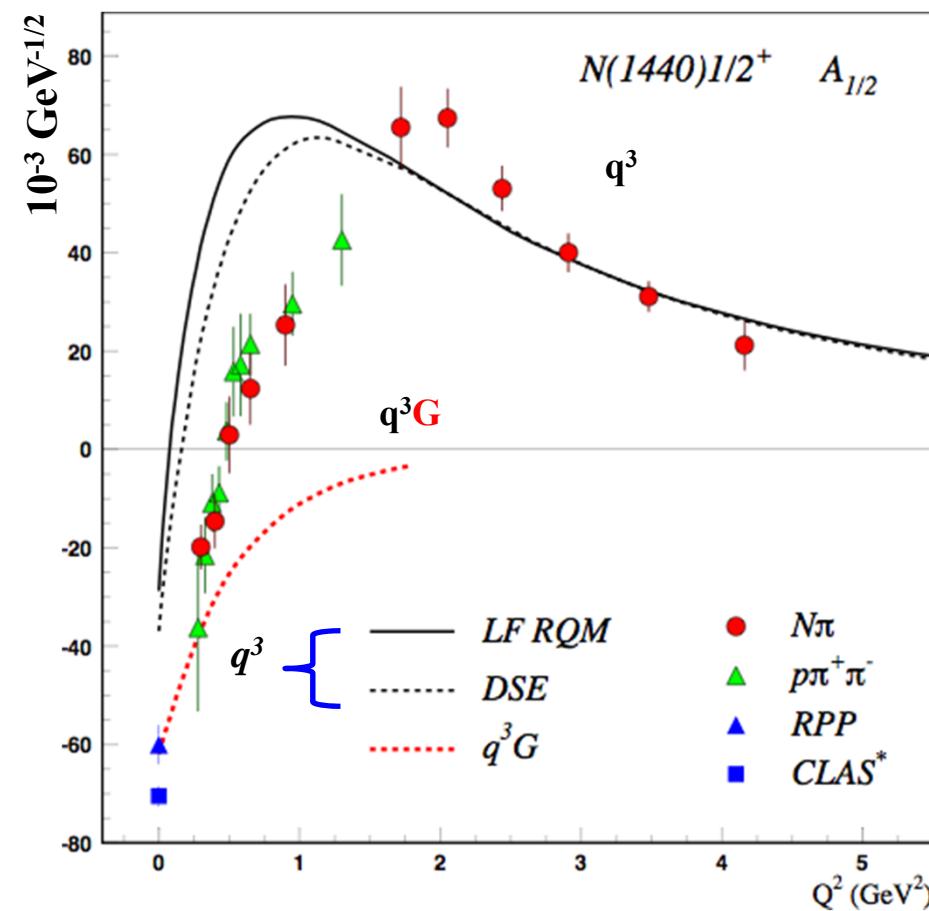
# Electrocouplings of N(1440) $P_{11}$ with CLAS



- $A_{1/2}$  has zero-crossing near  $Q^2=0.5$  and becomes dominant amplitude at high  $Q^2$ .
- Consistent with radial excitation at high  $Q^2$  and large meson-baryon coupling at small  $Q^2$ .
- Eliminates gluonic excitation ( $q^3 G$ ) as a dominant contribution.

Nick Tyler closes the 1-2  $\text{GeV}^2$  gap for single pion production.

# Electrocouplings of N(1440) $P_{11}$ with CLAS



PDG 2013 update

+  $q^3g$   
+  $q^3qq$   
+ N-Meson  
+ ...

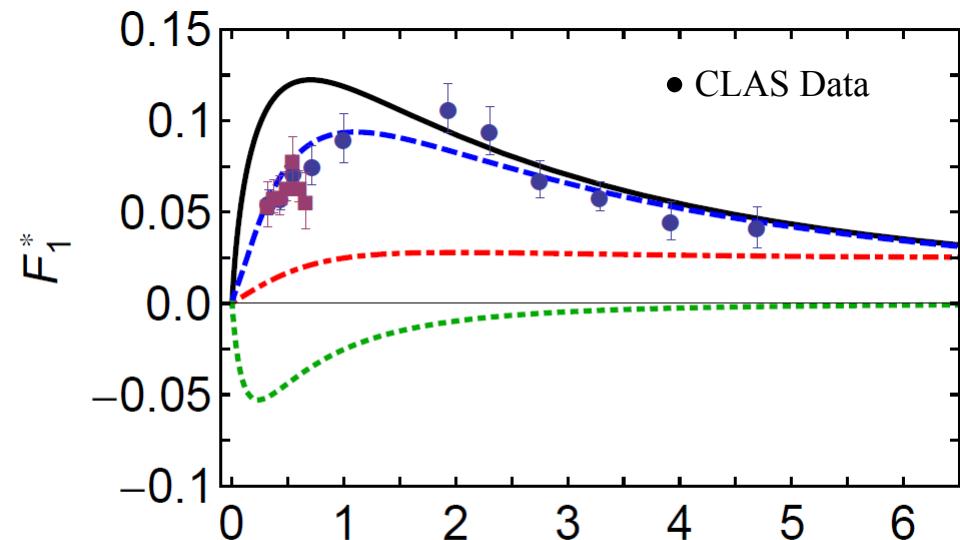
... all have distinctively different  $Q^2$  dependencies

- $A_{1/2}$  has zero-crossing near  $Q^2=0.5$  and becomes dominant amplitude at high  $Q^2$ .
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# Roper Transition Form Factors in DSE Approach

**N(1440)P<sub>11</sub>**



DSE Contact       $x = Q^2/m_N^2$

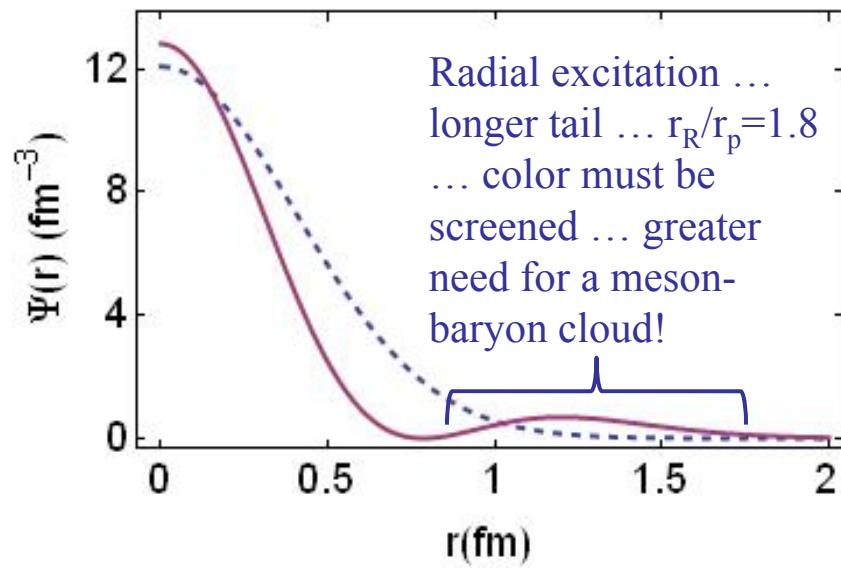
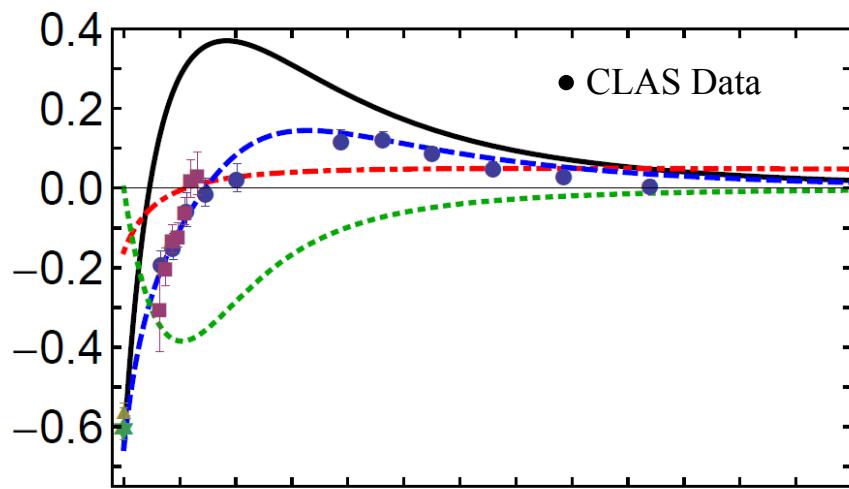
DSE Realistic

Inferred meson-cloud contribution

Anticipated complete result

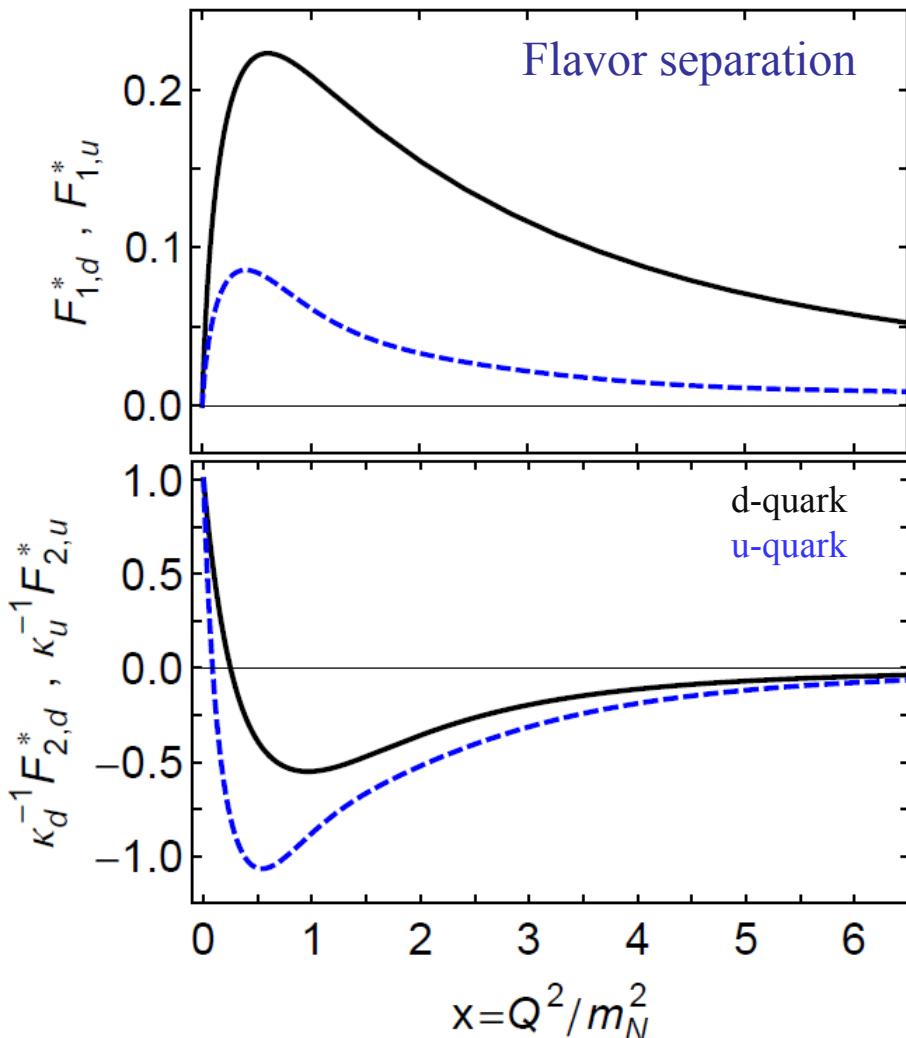
Importantly, the existence of a zero in  $F_2$  is not influenced by meson-cloud effects, although its precise location is.

J. Segovia *et al.*, Phys. Rev. Lett. **115**, 171801

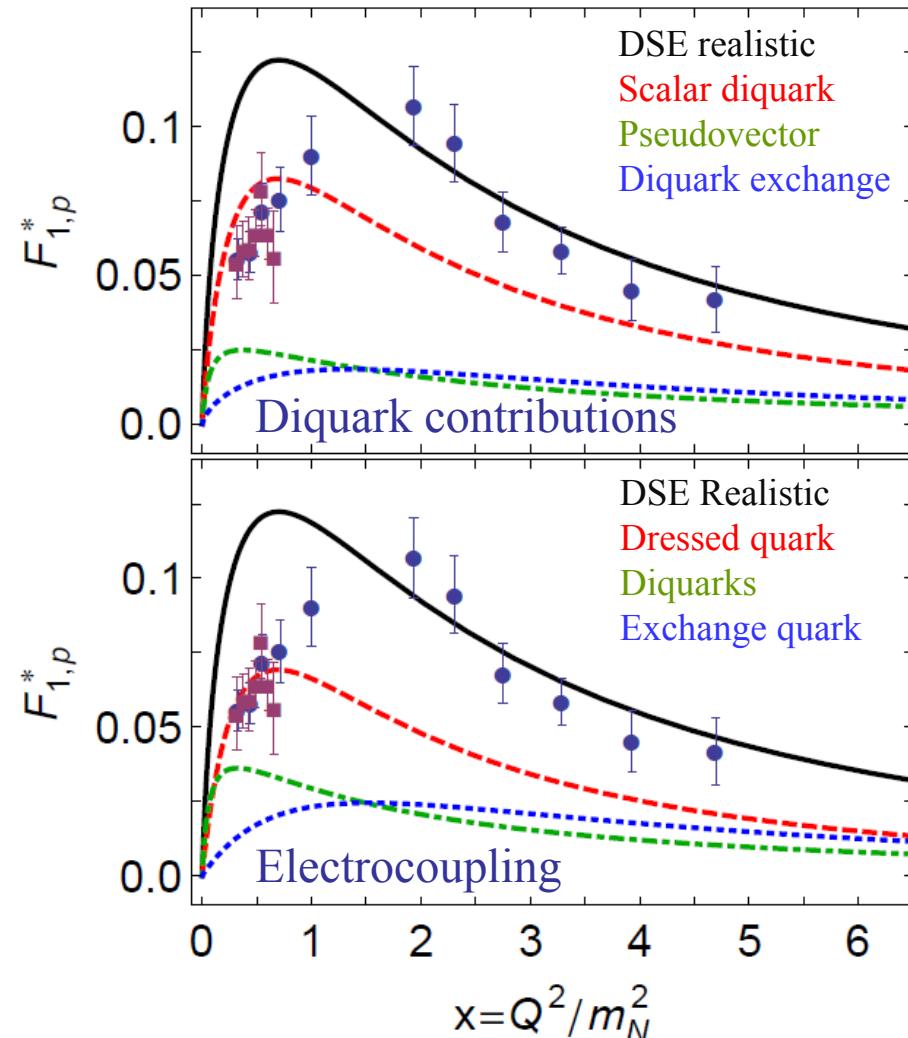


# Roper Transition Form Factors in DSE Approach

**N(1440)P<sub>11</sub>**



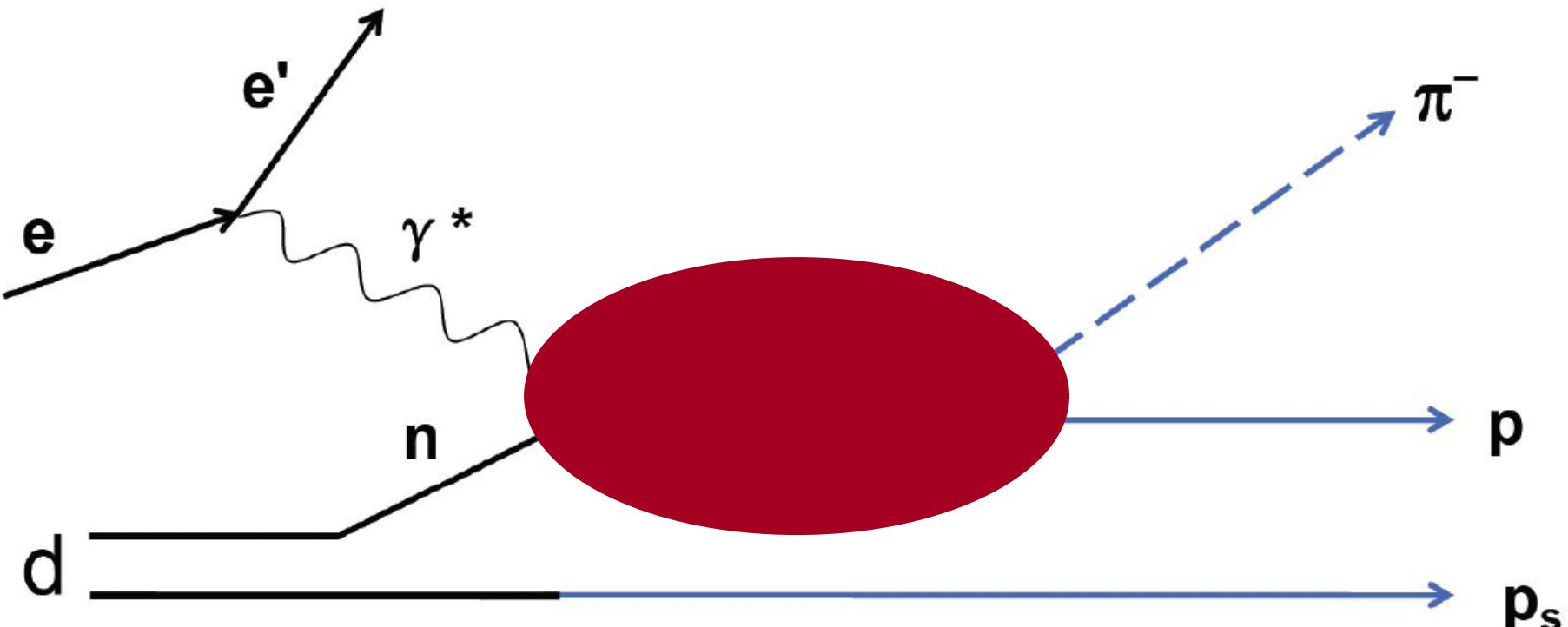
J. Segovia and C.D. Roberts, arXiv:1607.04405



# New Experimental Results & Approaches

# Single $\pi^-$ Electroproduction off the Deuteron

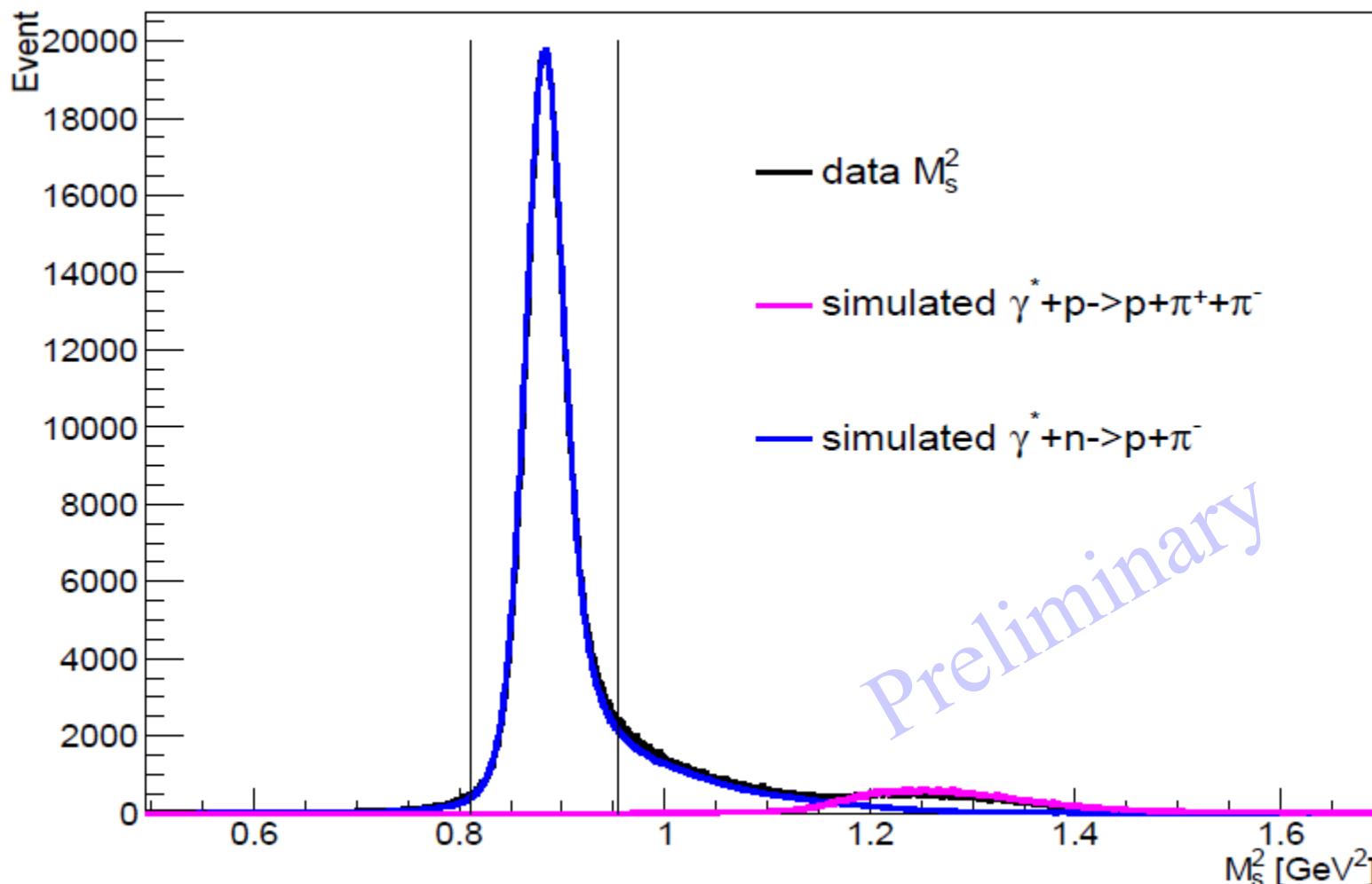
Ye Tian



Exclusive  $\Rightarrow$  Spectator  $\Rightarrow$  Quasi-Free  $\Rightarrow$  FSI

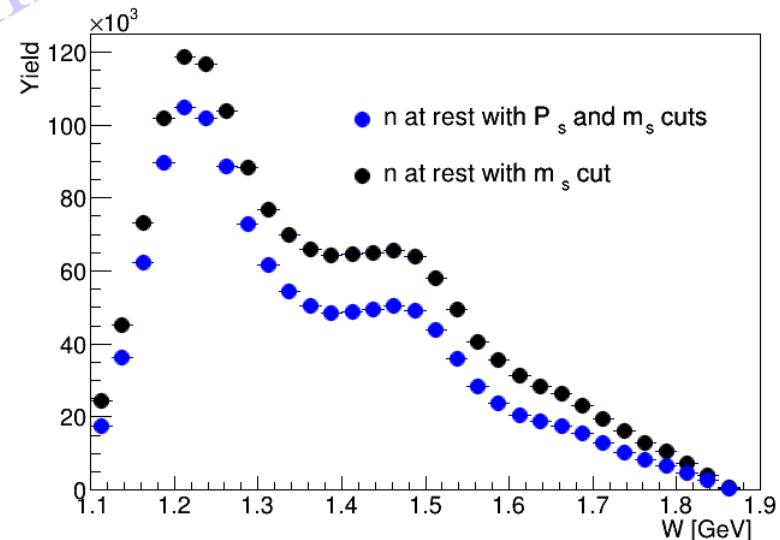
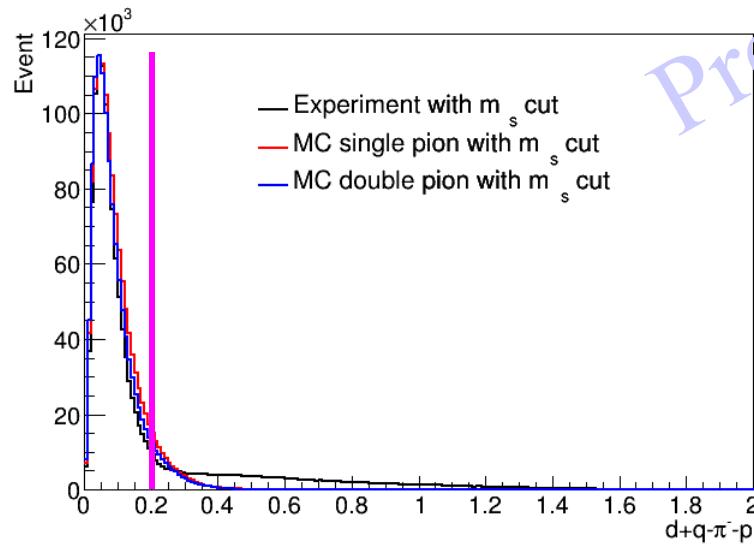
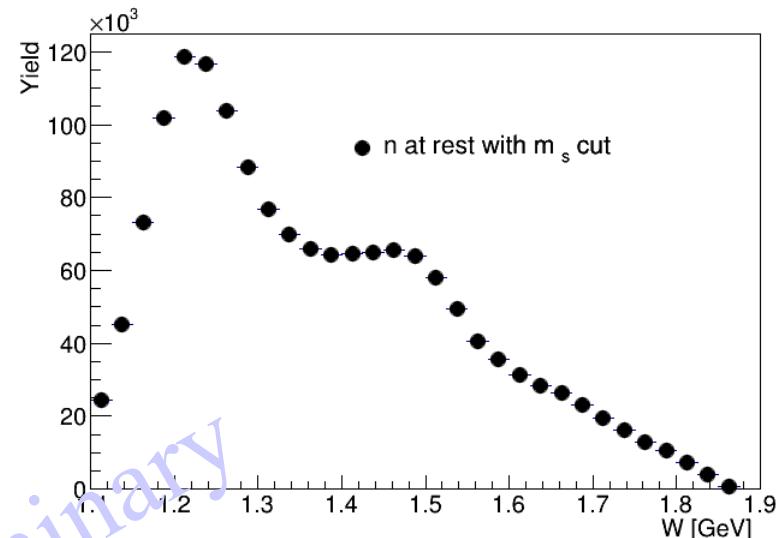
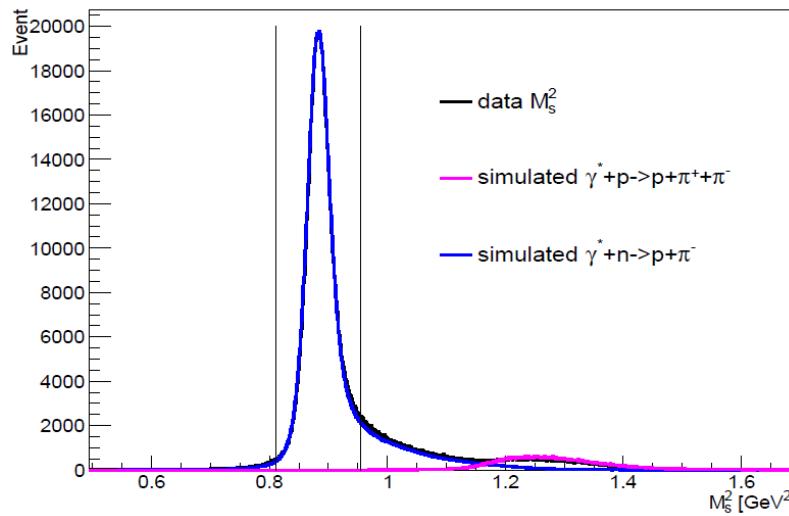
# Single $\pi^-$ Electroproduction off the Deuteron

Ye Tian



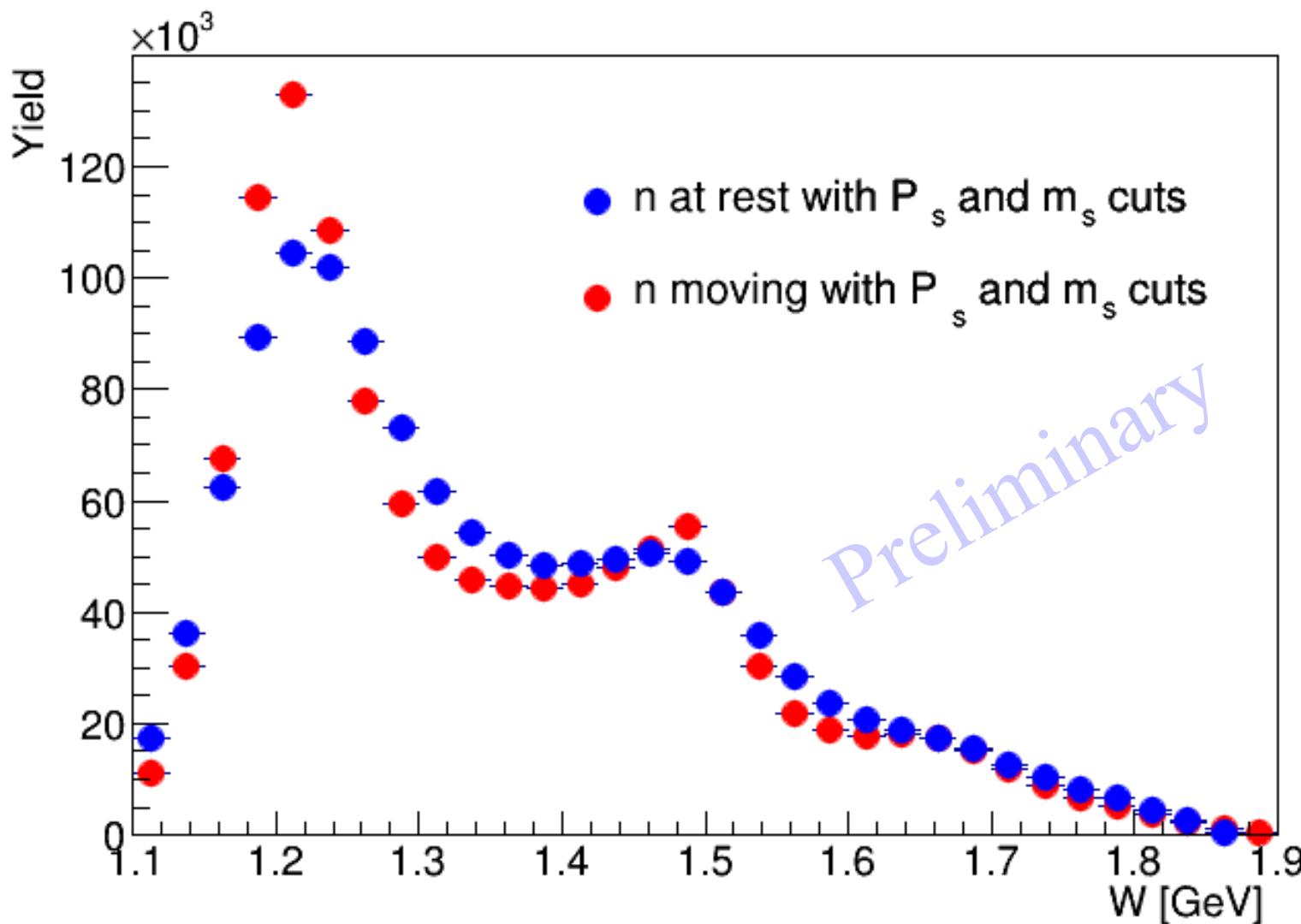
# Single $\pi^-$ Electroproduction off the Deuteron

Ye Tian



# Single $\pi^-$ Electroproduction off the Deuteron

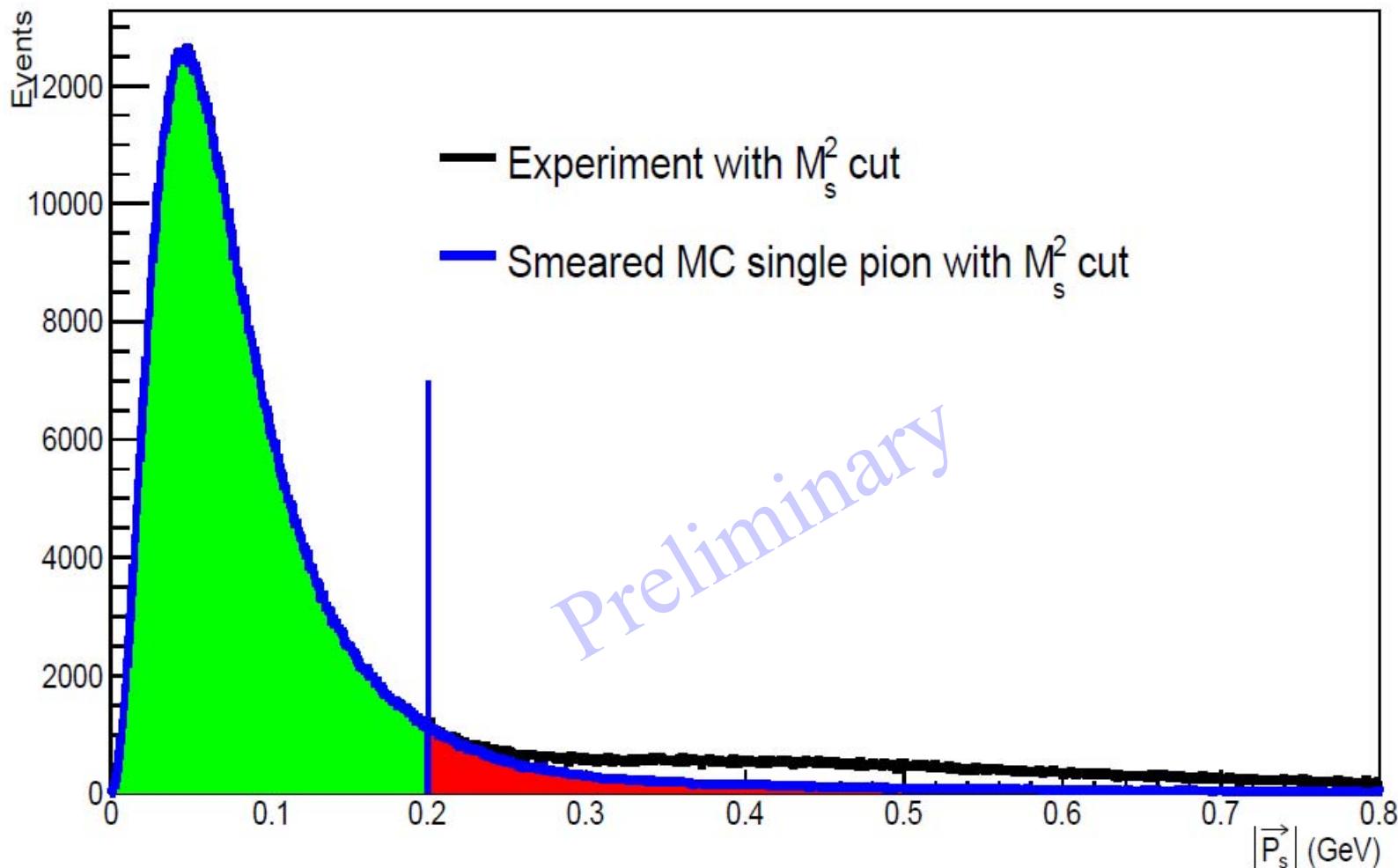
Ye Tian



Gary Hollis inclusive of the bound nucleon in the Deuteron with correction of Fermi smearing.

# Single $\pi^-$ Electroproduction off the Deuteron

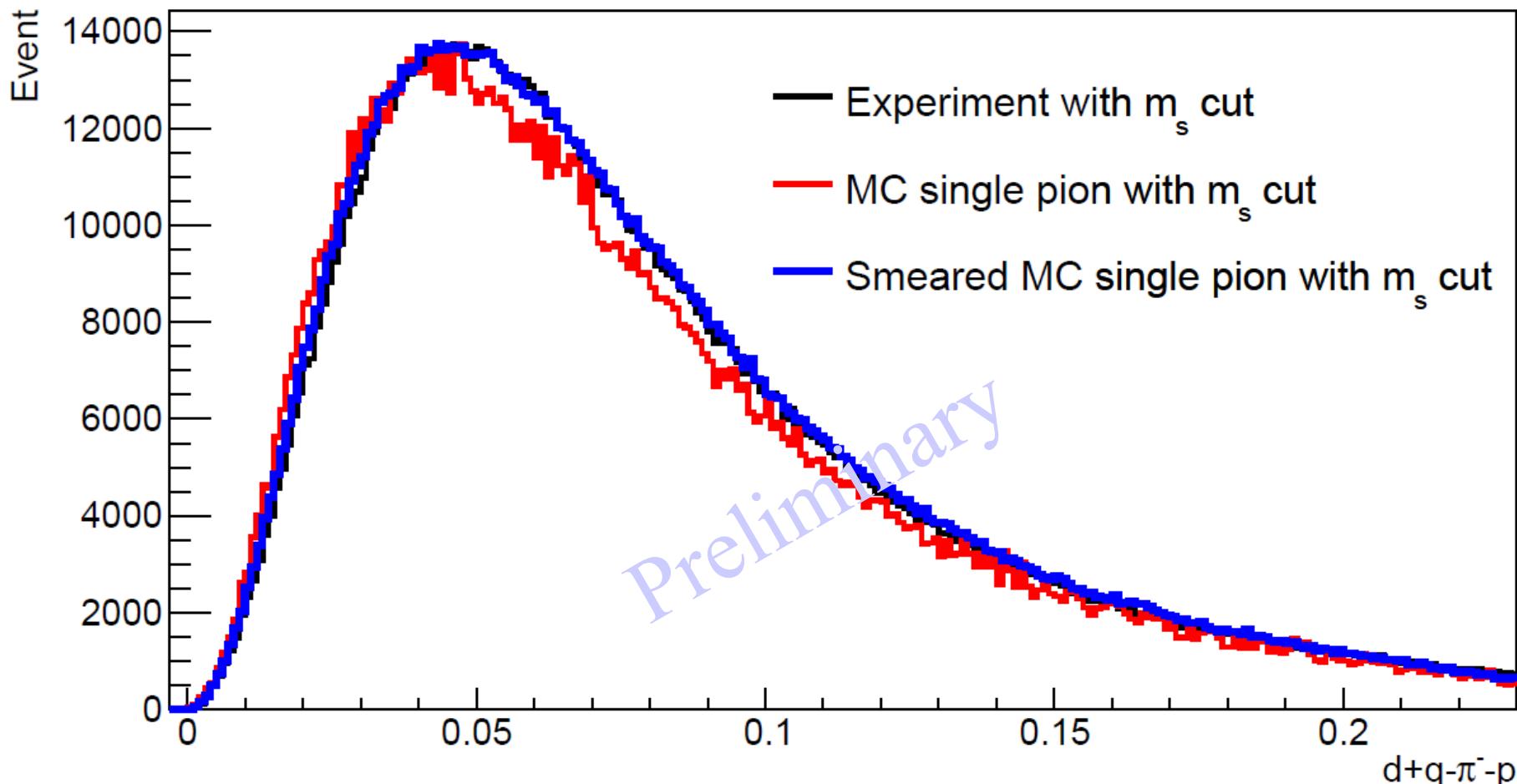
Ye Tian



Below a missing momentum of 0.2 GeV the measured data coincides with the resolution smeared theoretical Fermi momentum distribution.

# Single $\pi^-$ Electroproduction off the Deuteron

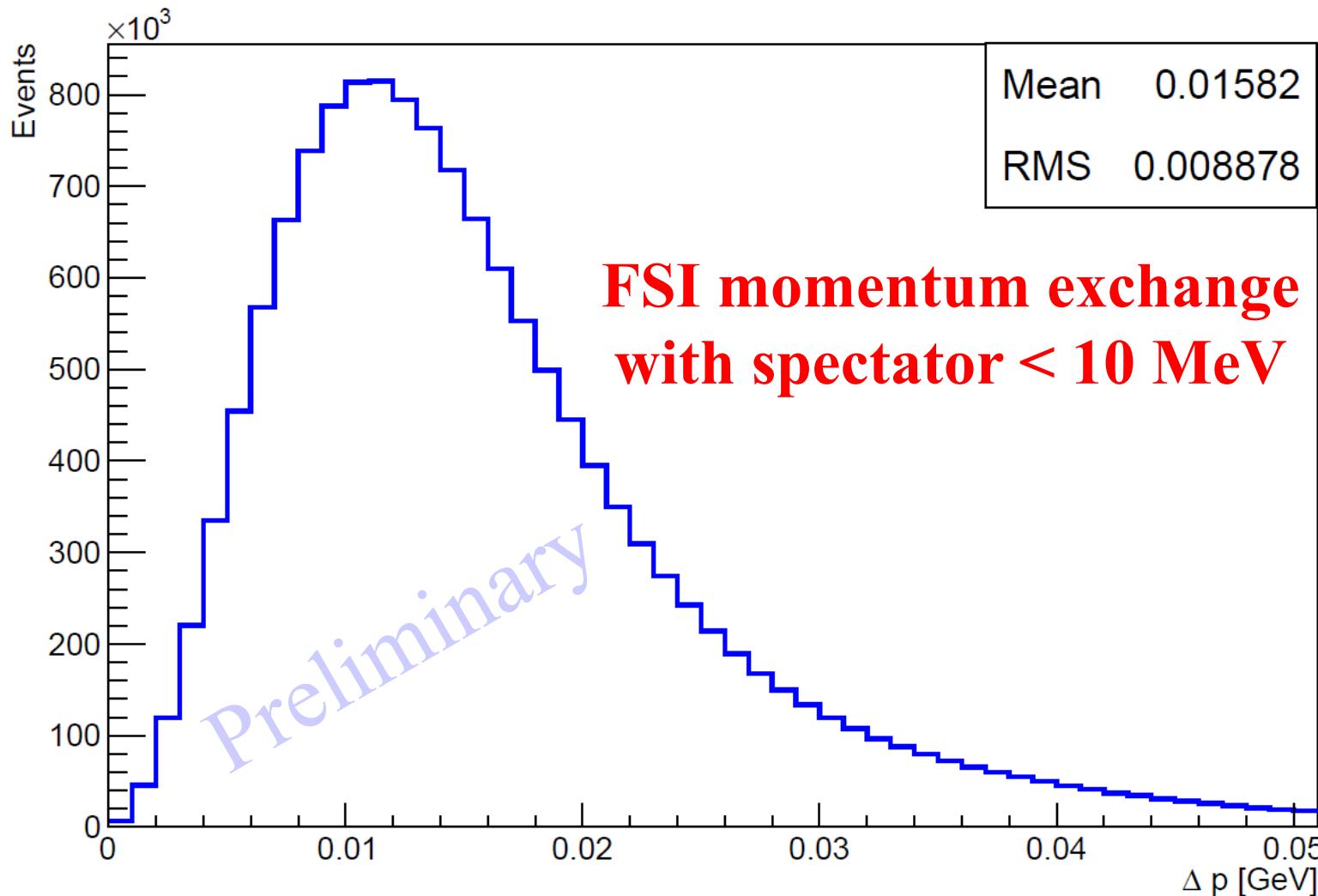
Ye Tian



Below a missing momentum of 0.2 GeV the measured data coincides with the resolution smeared theoretical Fermi momentum distribution.

# Single $\pi^-$ Electroproduction off the Deuteron

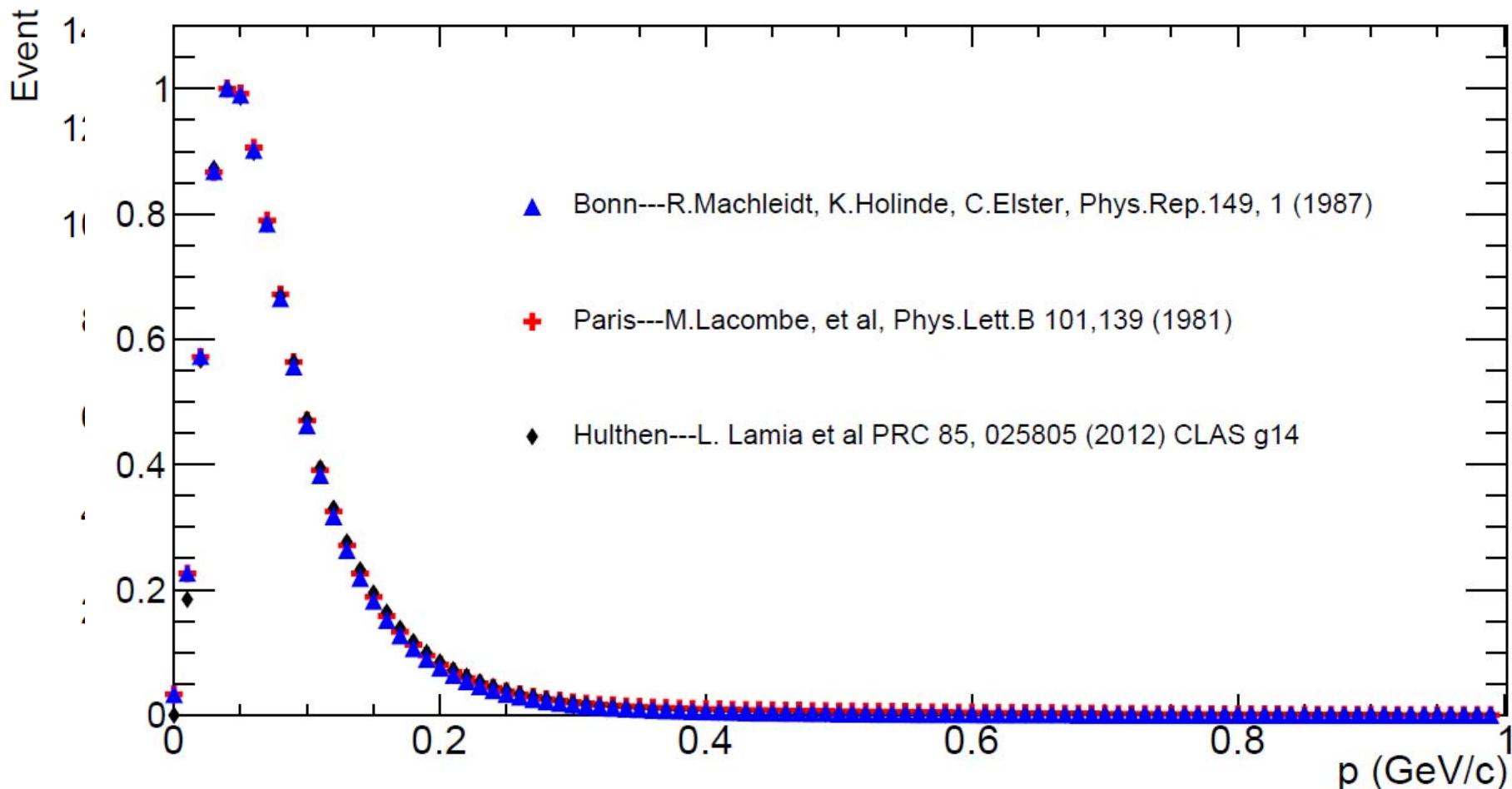
Ye Tian



Momentum resolution with CLAS of the reconstructed missing momentum of the second proton.

# Single $\pi^-$ Electroproduction off the Deuteron

Ye Tian



Below a missing momentum of 0.2 GeV the measured data coincides with the resolution smeared theoretical Fermi momentum distribution.

# Single $\pi^-$ Electroproduction off the Deuteron

$W = 1212 \text{ MeV}$

$\Delta W = 25 \text{ MeV}$

$Q^2 = 0.5 \text{ GeV}^2$

$\Delta Q^2 = 0.2 \text{ GeV}^2$

$\cos(\theta) = -0.7$

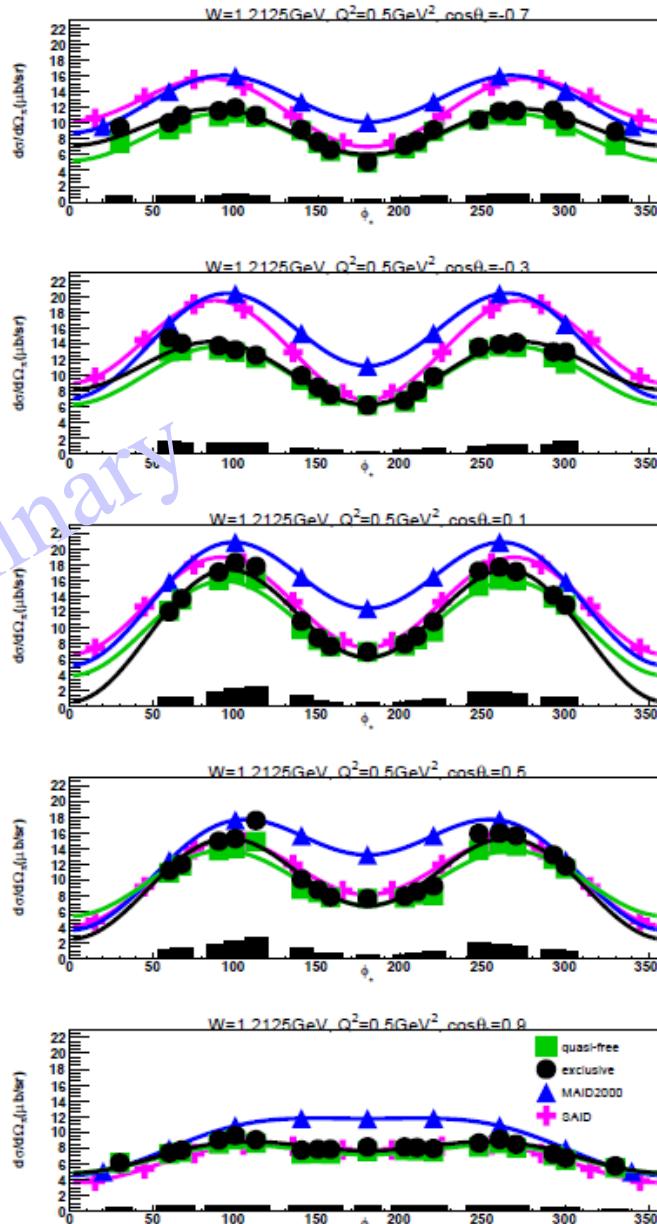
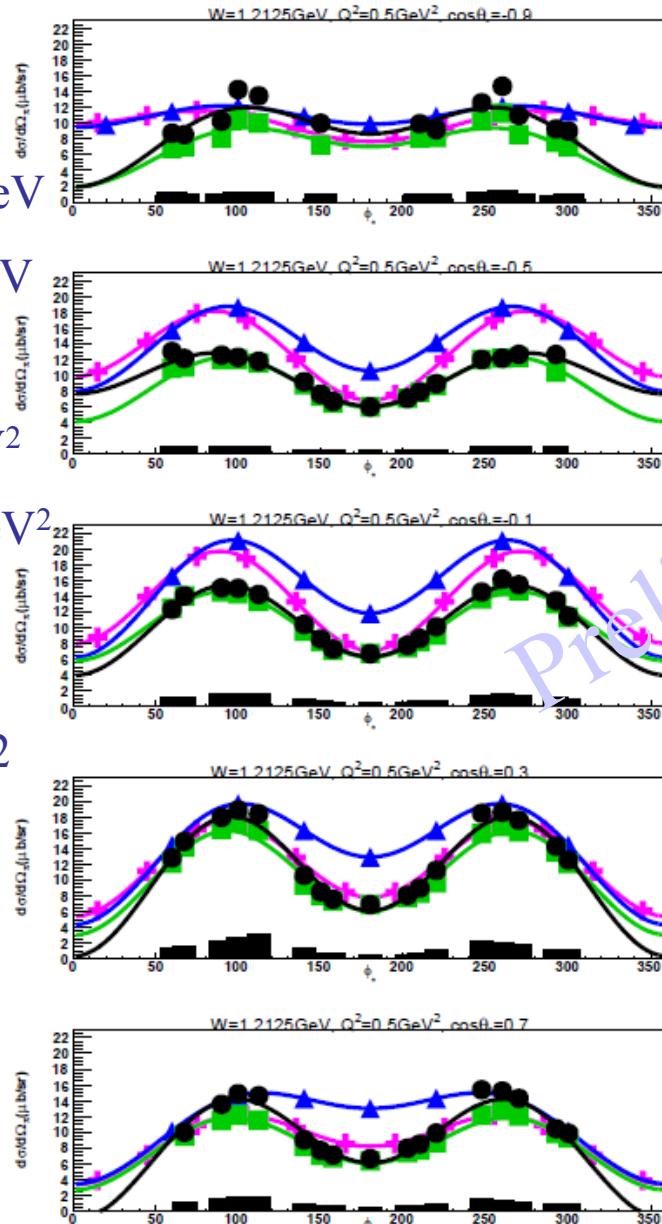
$\Delta \cos(\theta) = 0.2$

$\cos(\theta) = 0.7$

$\phi = 20^\circ$

$\Delta \phi = 40^\circ$

$\phi = 340^\circ$



Ye Tian

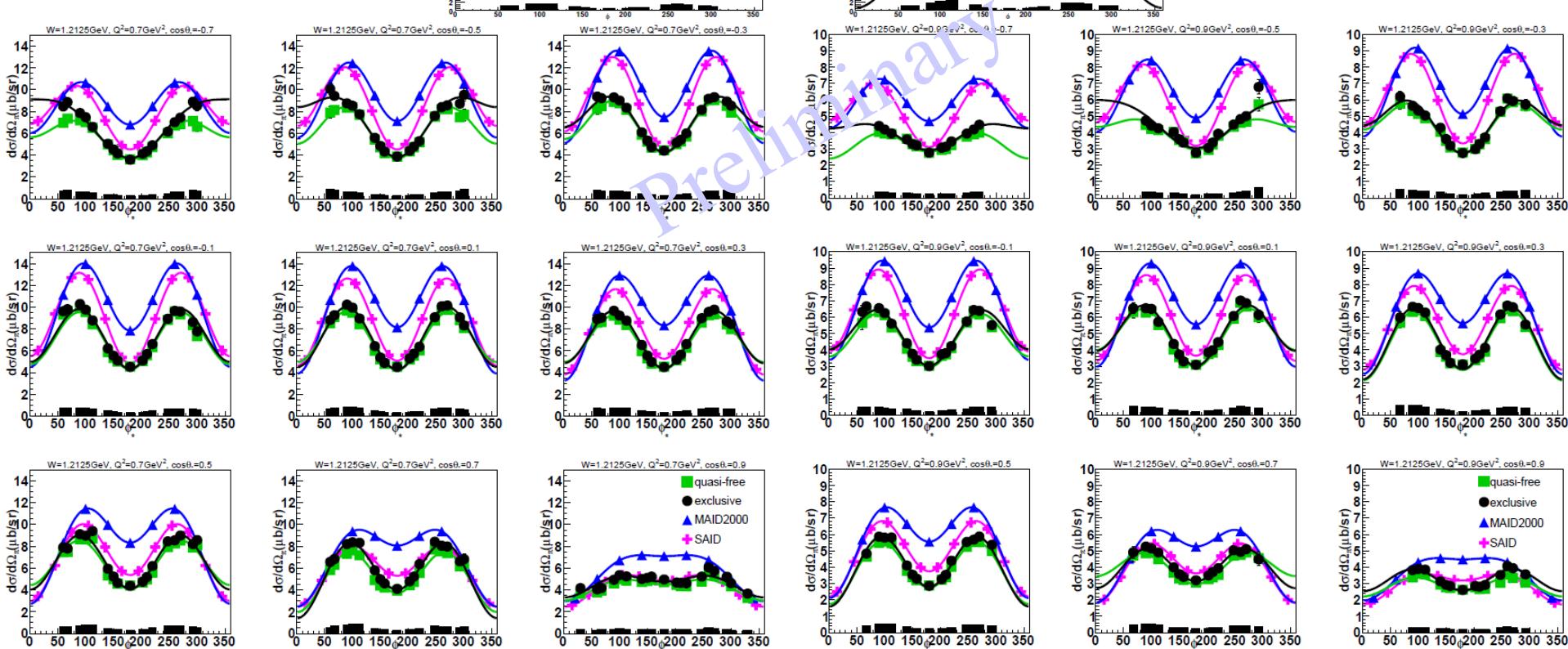
# Single $\pi^-$ Electroproduction off the Deuteron

$Q^2 = 0.5 \text{ GeV}^2$

$W = 1212 \text{ MeV}$

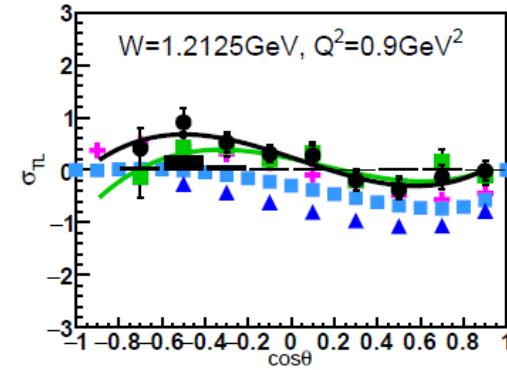
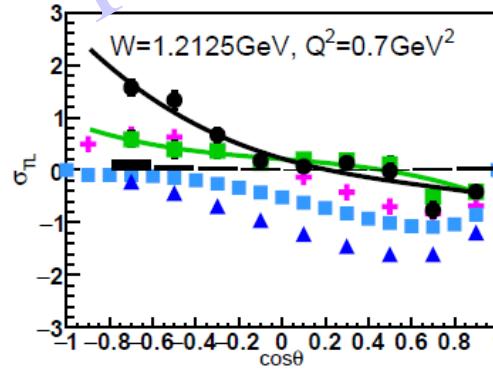
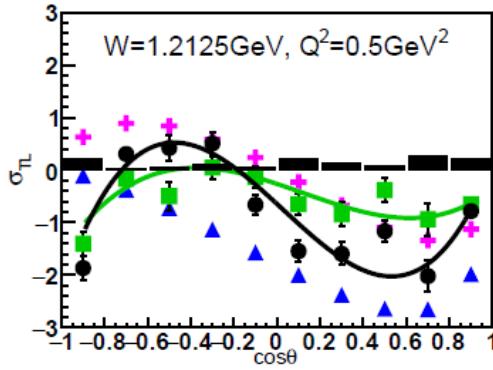
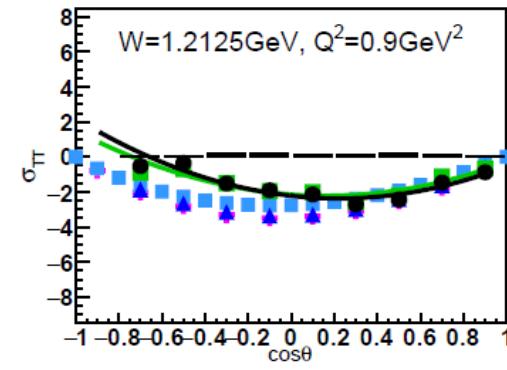
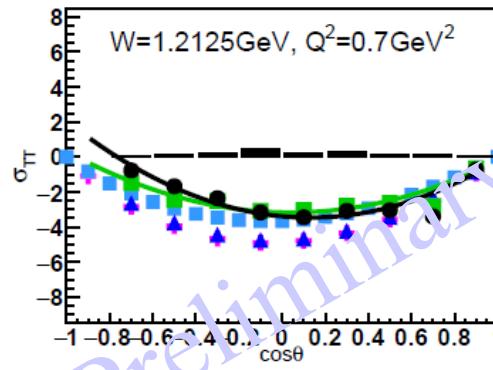
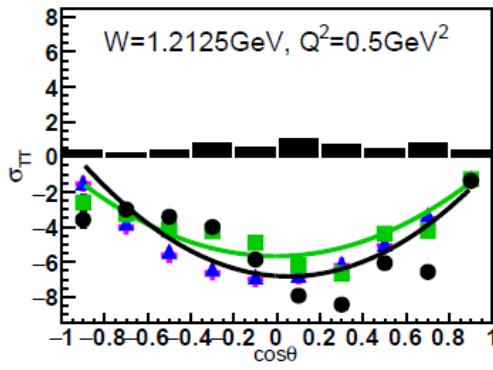
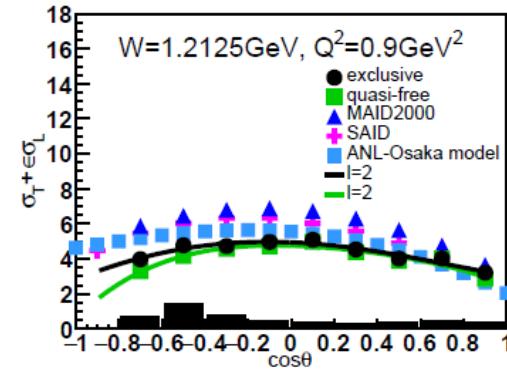
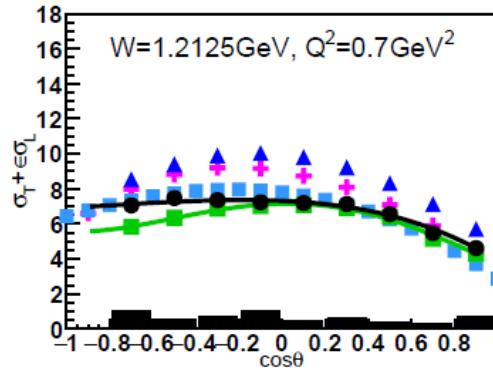
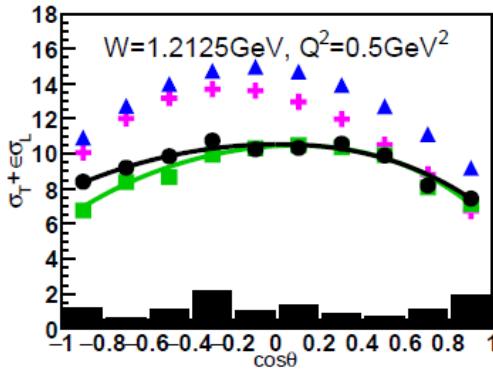
$Q^2 = 0.7 \text{ GeV}^2$

Ye Tian



# Single $\pi^-$ Electroproduction off the Deuteron

Ye Tian

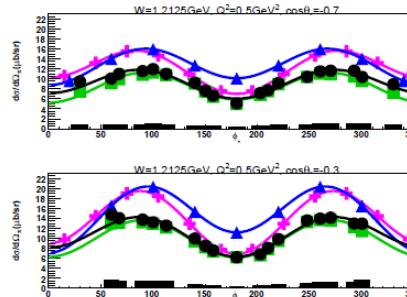
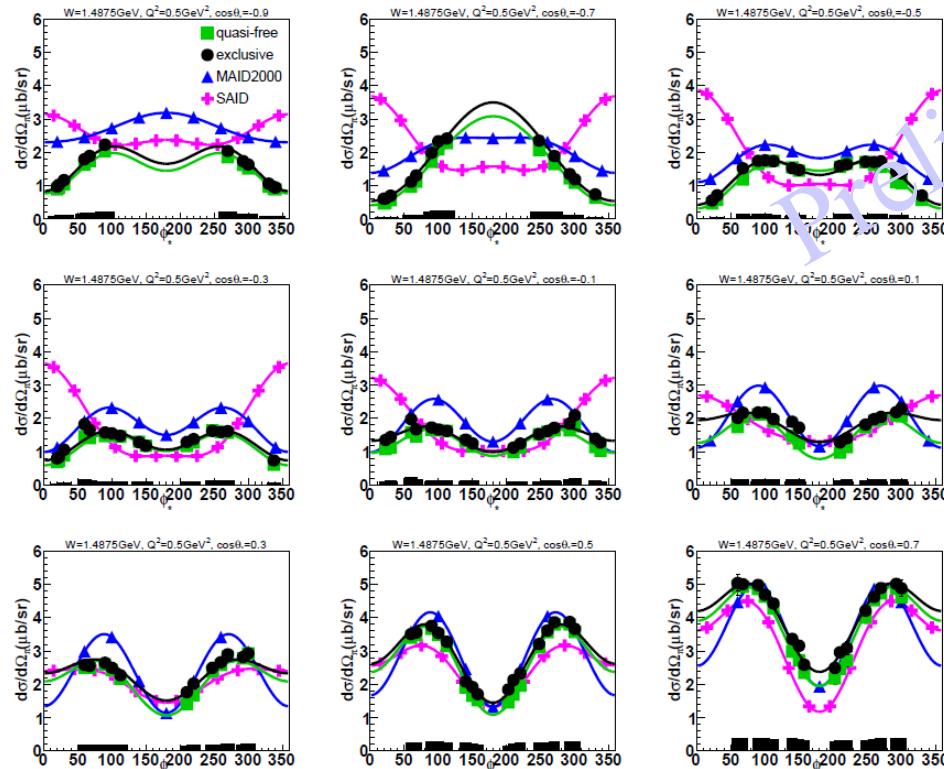


# Single $\pi^-$ Electroproduction off the Deuteron

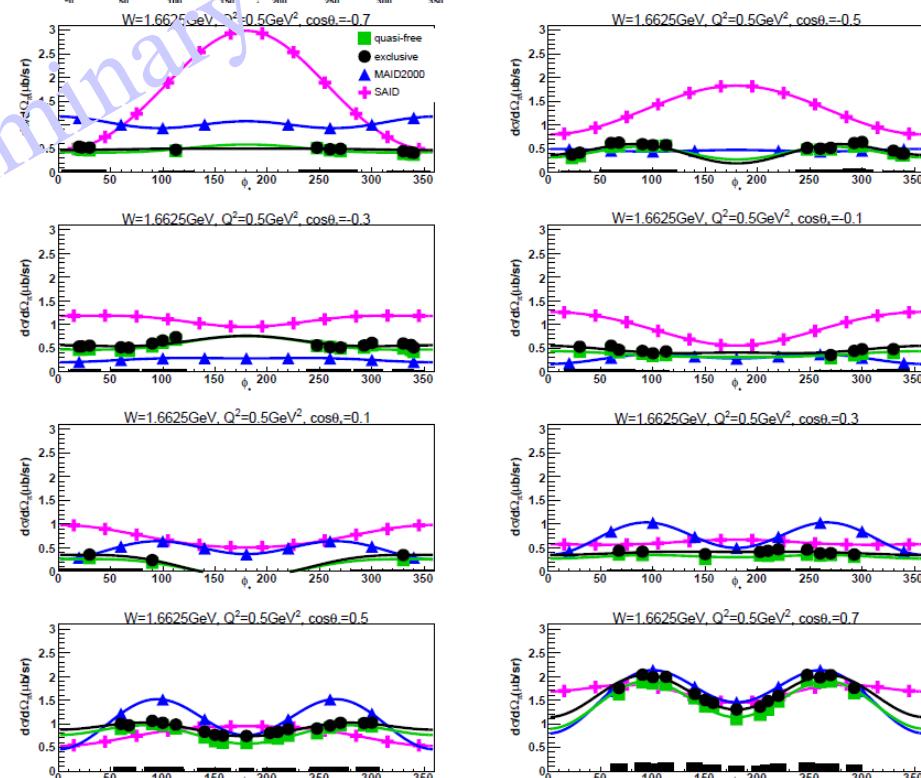
$Q^2 = 0.5 \text{ GeV}^2$

$W = 1212 \text{ MeV}$

$W = 1488 \text{ MeV}$



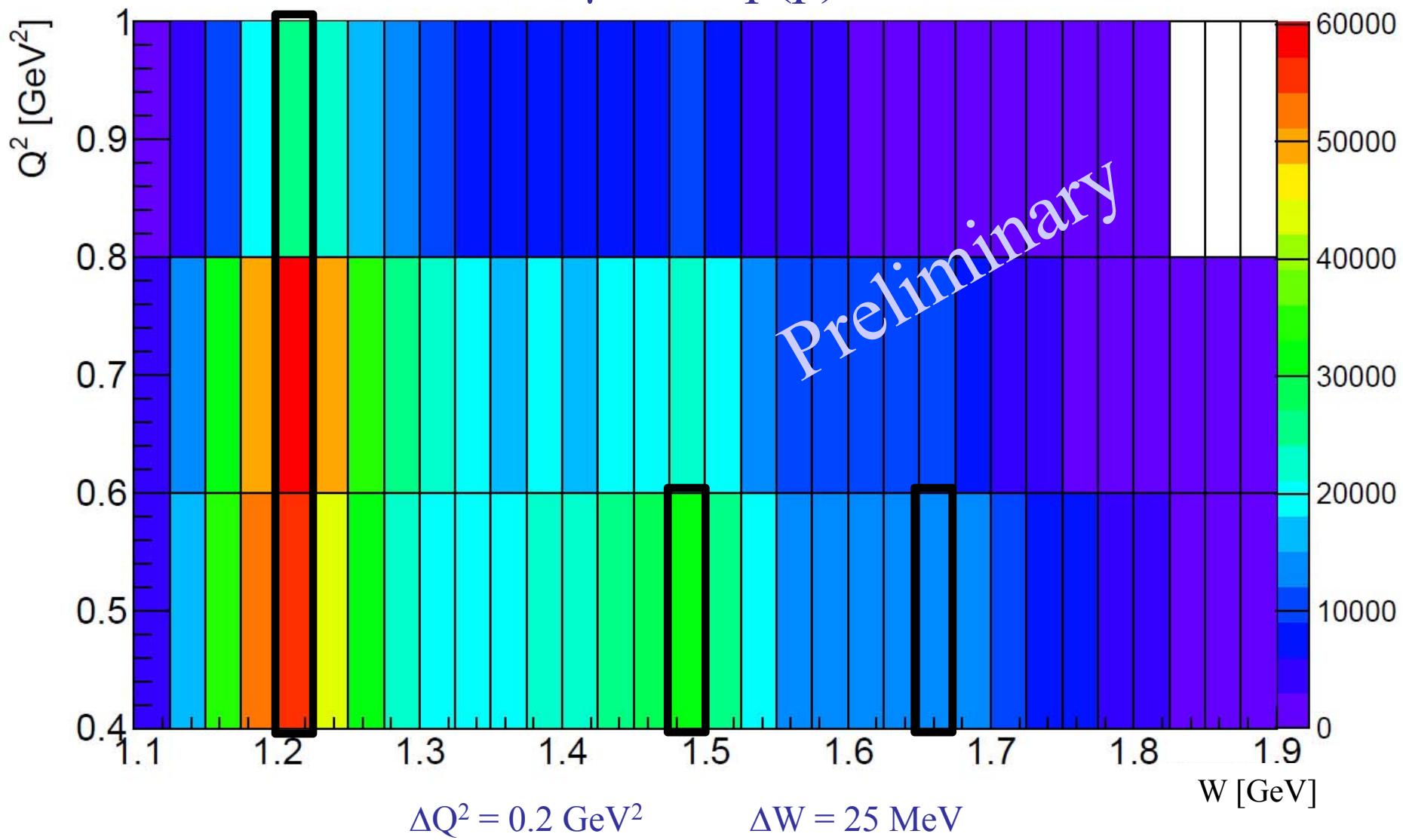
$W = 1662 \text{ MeV}$



# Single $\pi^-$ Electroproduction off the Deuteron

$$\gamma d \rightarrow \pi^- p(p)$$

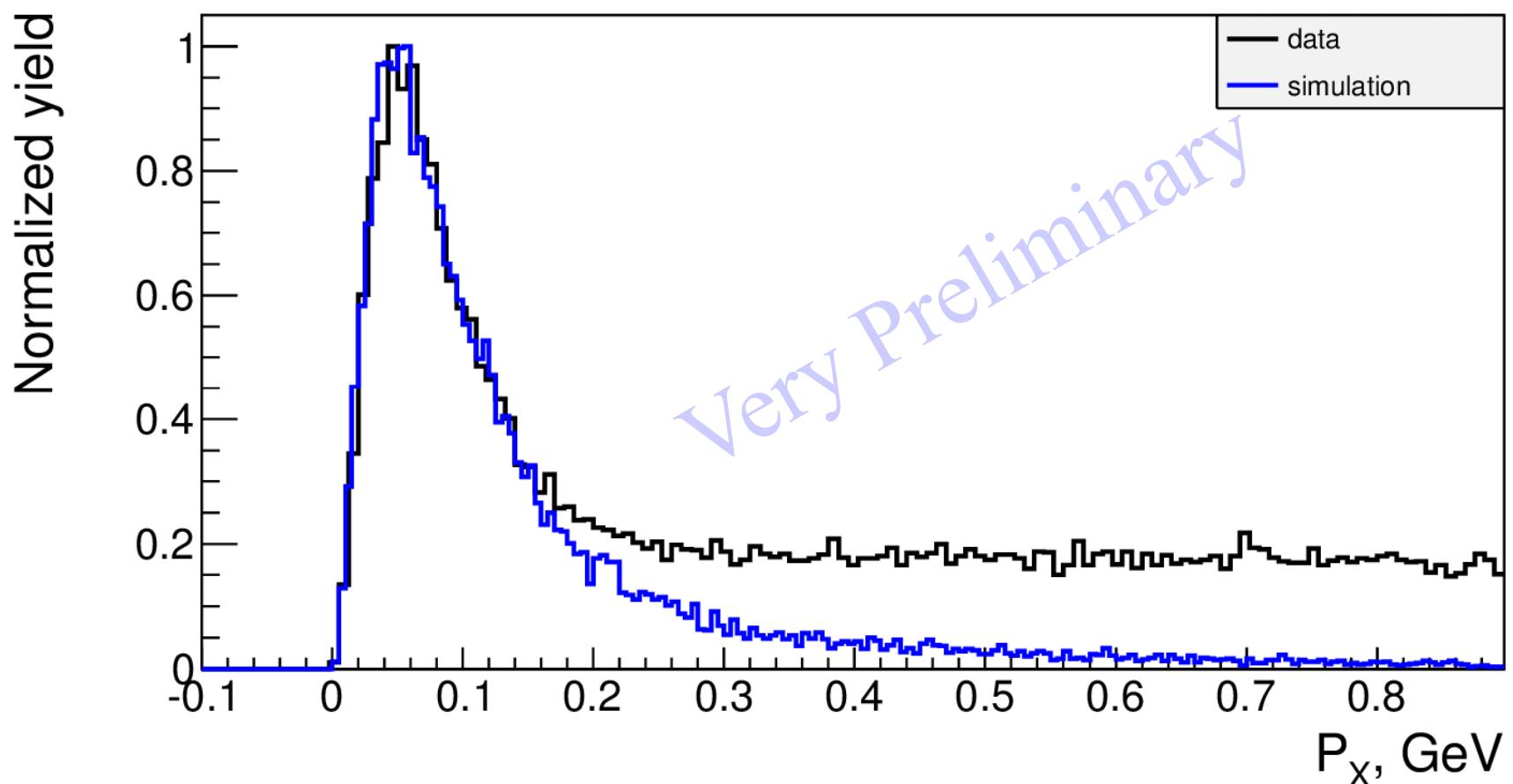
Ye Tian



# Exclusive $\pi^+\pi^-$ Electroproduction off the Deuteron

Iuliia Skorodomina

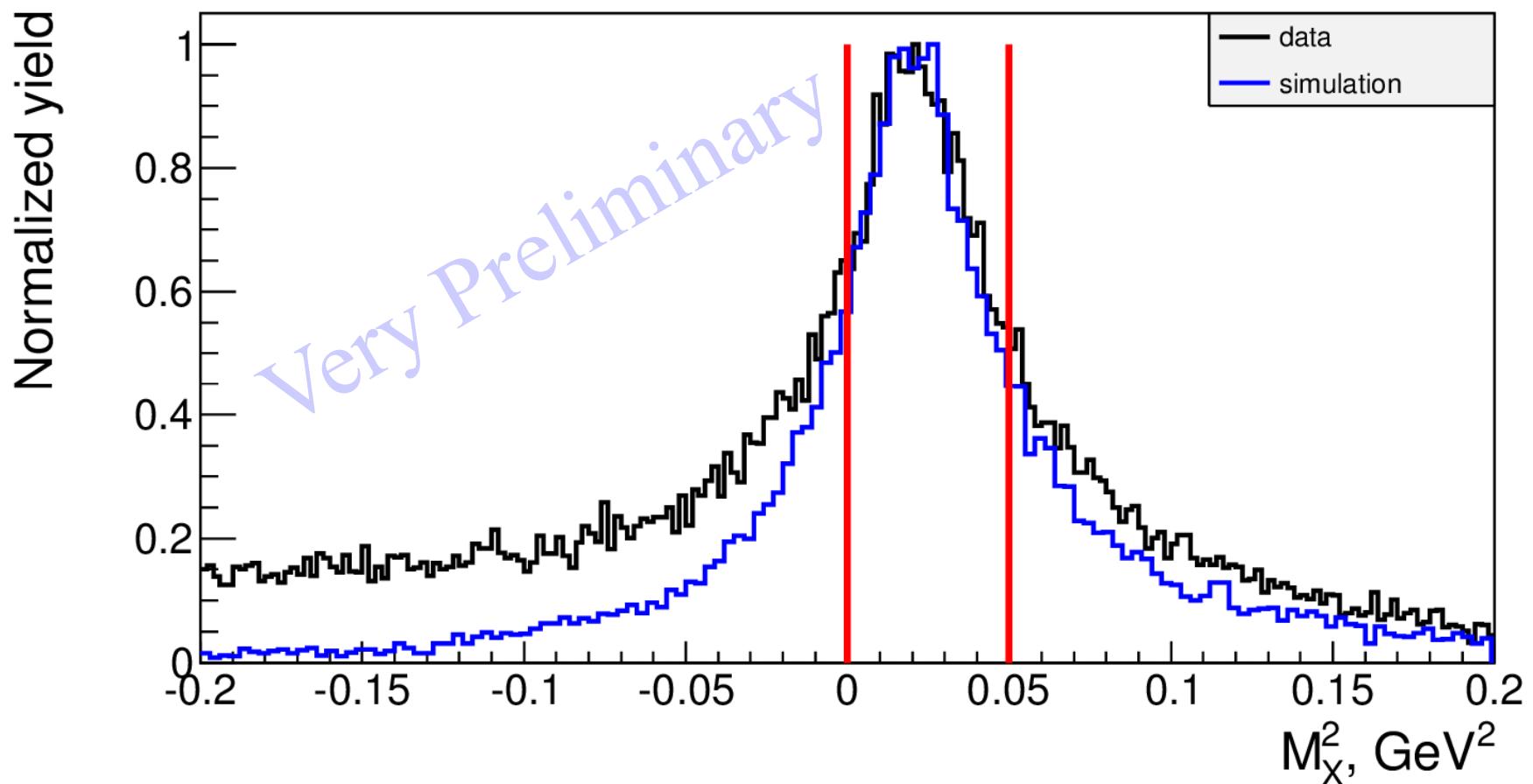
$P_X$  of  $e p(n) \rightarrow e' p'(n) \pi^+ \pi^-$



# Exclusive $\pi^+\pi^-$ Electroproduction off the Deuteron

Iuliia Skorodomina

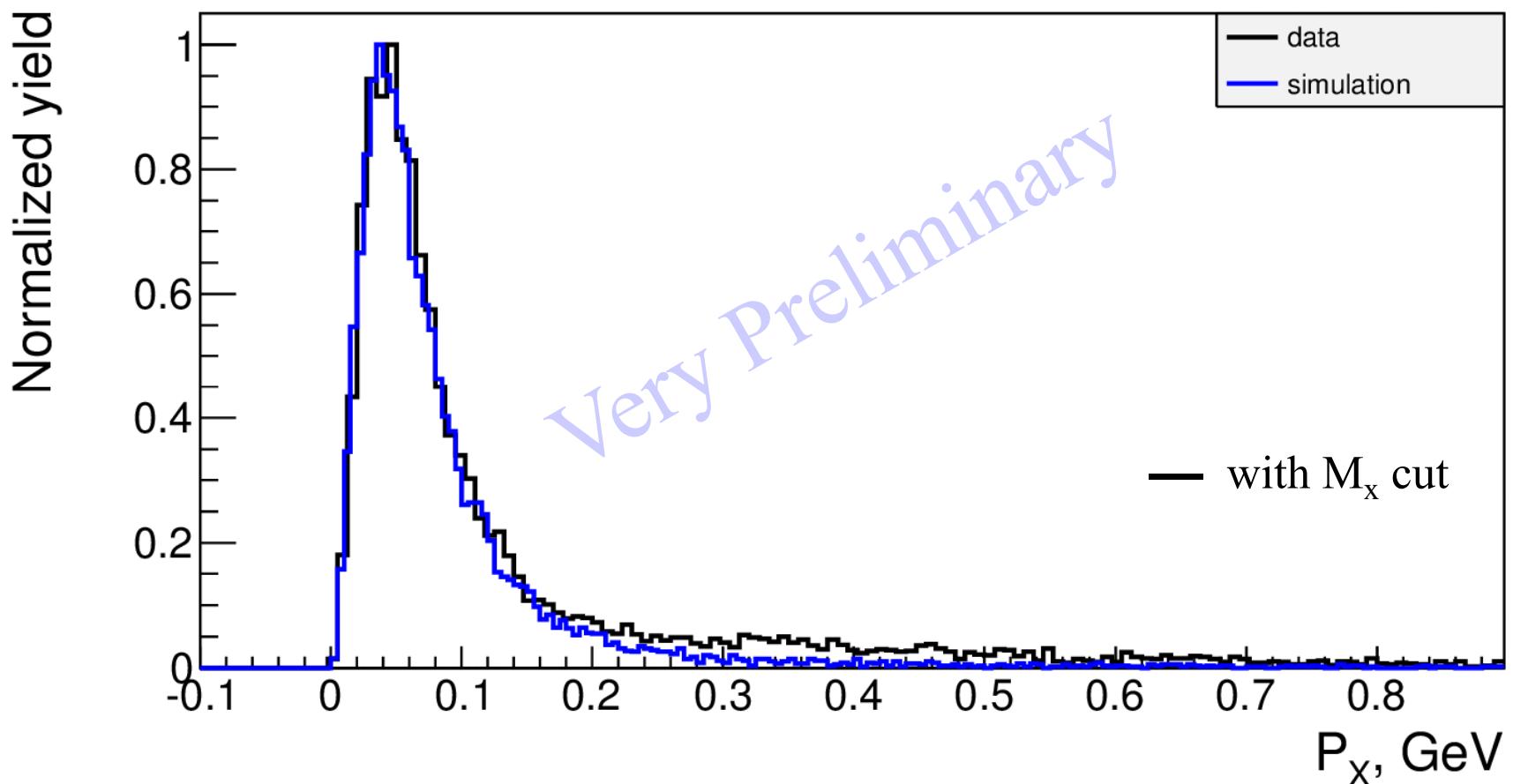
$M_X^2$  of  $e p(n) \rightarrow e' p'(n) \pi^+ X$ , all particles registered



# Exclusive $\pi^+\pi^-$ Electroproduction off the Deuteron

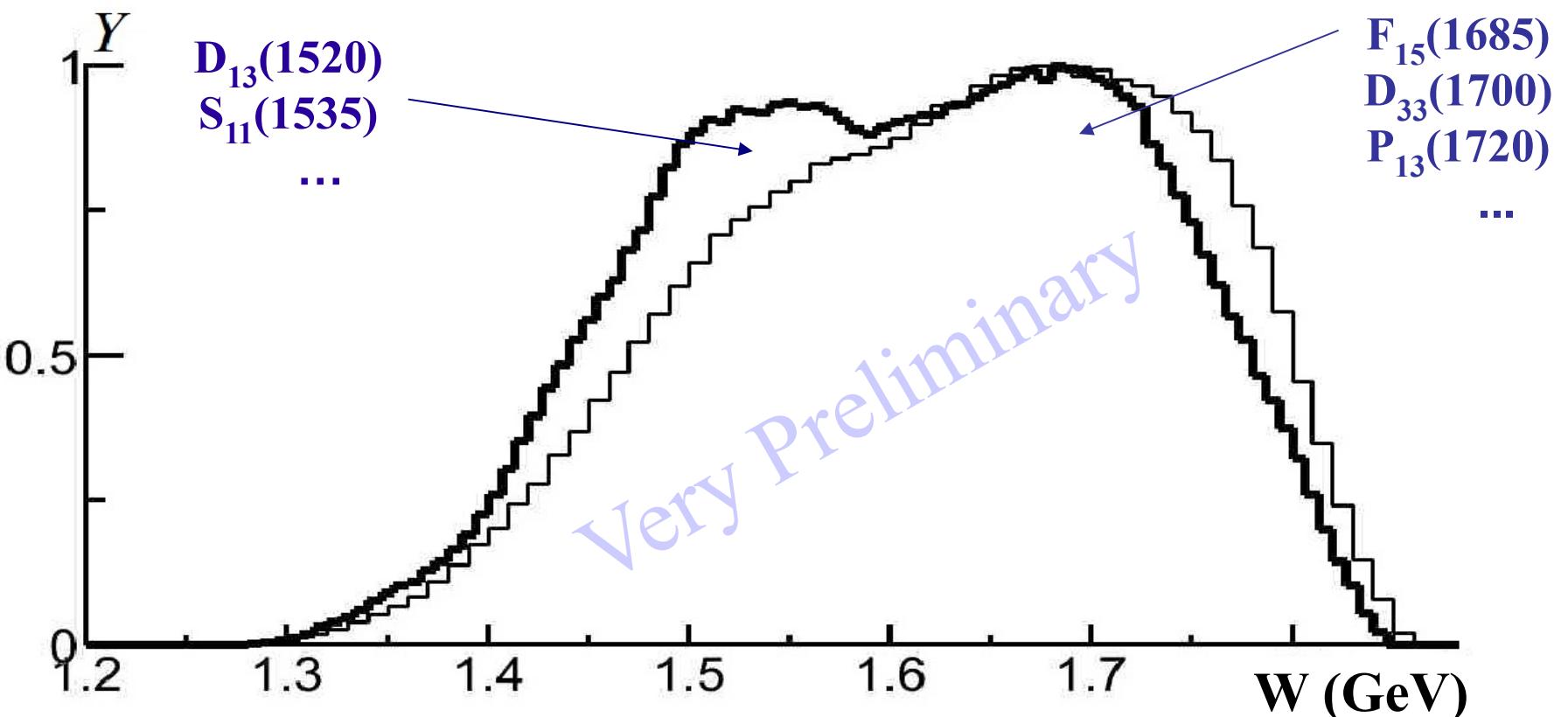
Iuliia Skorodomina

$P_X$  of  $e p(n) \rightarrow e' p'(n) \pi^+ \pi^-$



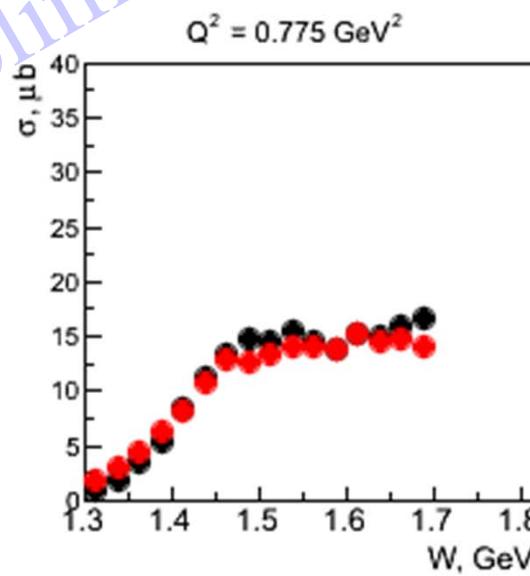
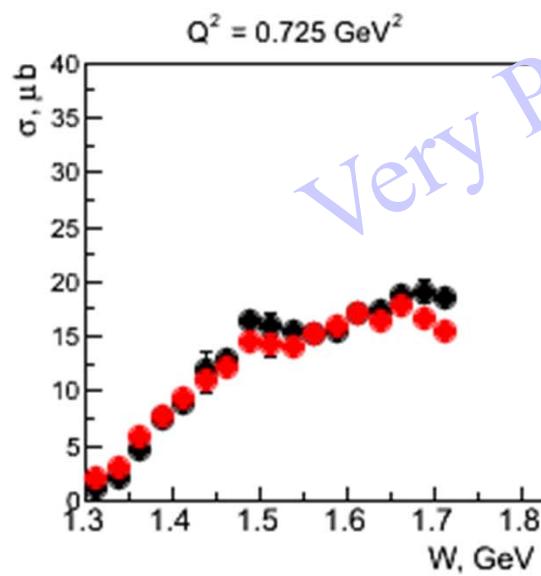
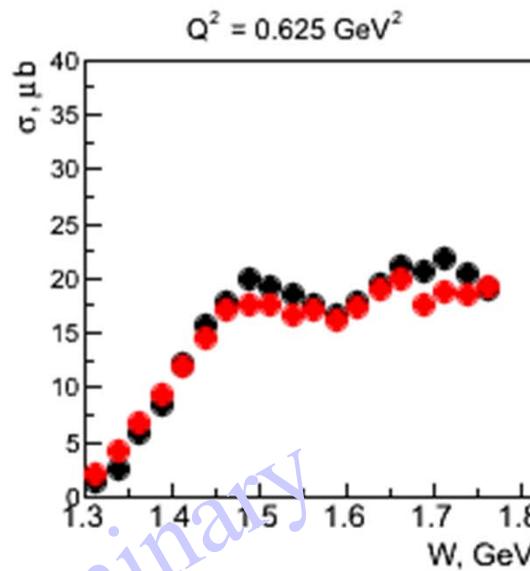
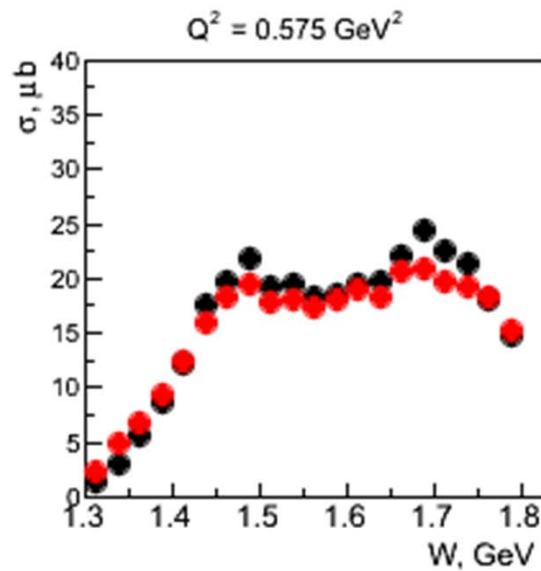
# Exclusive $\pi^+\pi^-$ Electroproduction off the Deuteron

Iuliia Skorodomina



**Bold curve**  $W$  calculated from four-momenta of the final particles and **thin curve**  $W$  calculated from four-momenta of initial particles under the assumption that the target is at rest.

# Unfolding Fermi Smearing via Event Generator



Iuliia Skorodolina  
and Gary Hollis

**Black bullets** – integrated cross section with Fermi correction

**Red bullets** – integrated cross Section without Fermi correction

$\pi^-$  missing topology

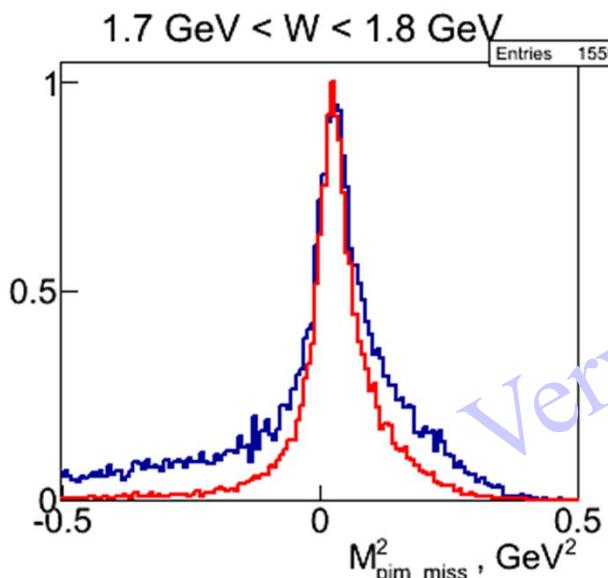
# FSI in the $p(n)\pi^+ \pi^-$ Final State

Final State Interactions depend strongly on:

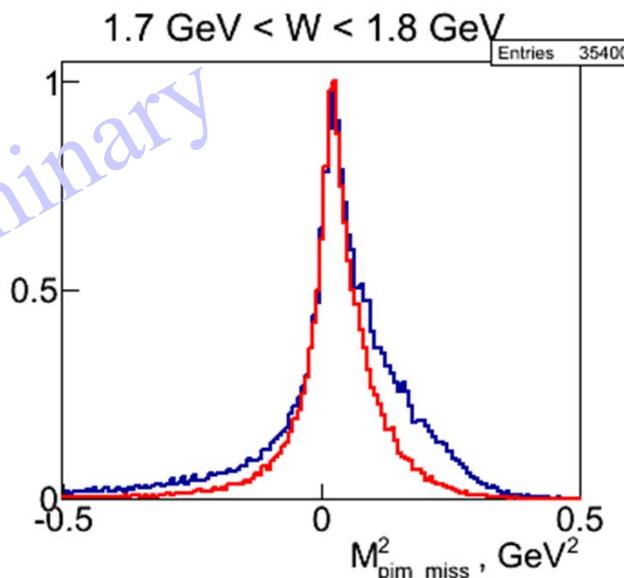
Iuliia Skorodomina

- invariant mass of final hadron system ( $W$ )
- scattering angles of final hadrons → FSI are topology dependent

$$M_x^2 = (P_e^\mu + P_p^\mu - P_{e'}^\mu - P_{p'}^\mu - P_{\pi^+}^\mu)^2$$



fully exclusive topology



$\pi^-$  missing topology

**blue curve** – data and **red curve** – simulation

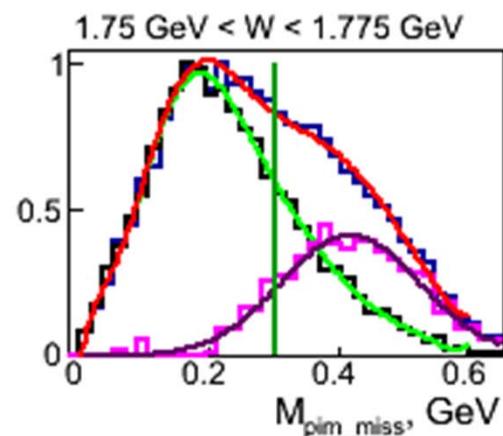
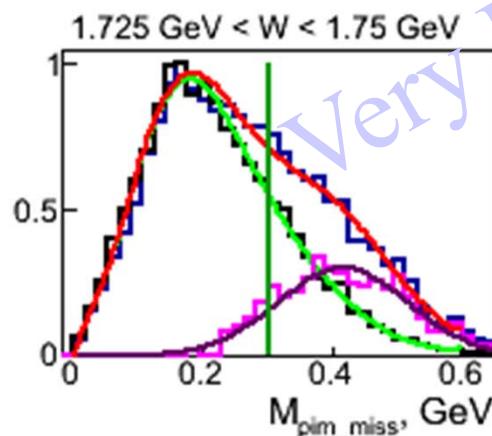
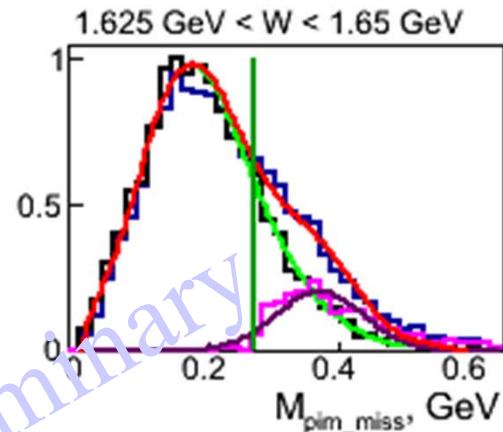
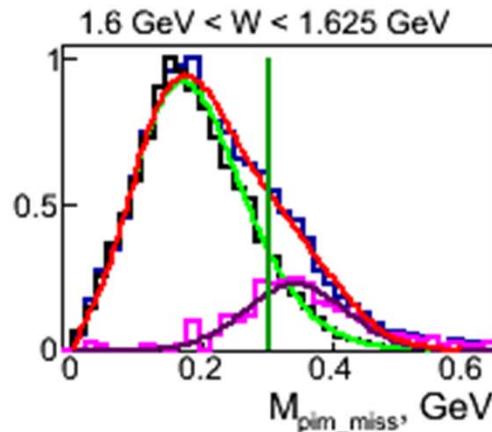
# Effective FSI Correction

$$\frac{d\sigma_{corrected}}{dWdQ^2d\tau} = \frac{d\sigma_{not\ corrected}}{dWdQ^2d\tau} F_{fsi}(\Delta W, \Delta Q^2)$$

Iuliia Skorodomina

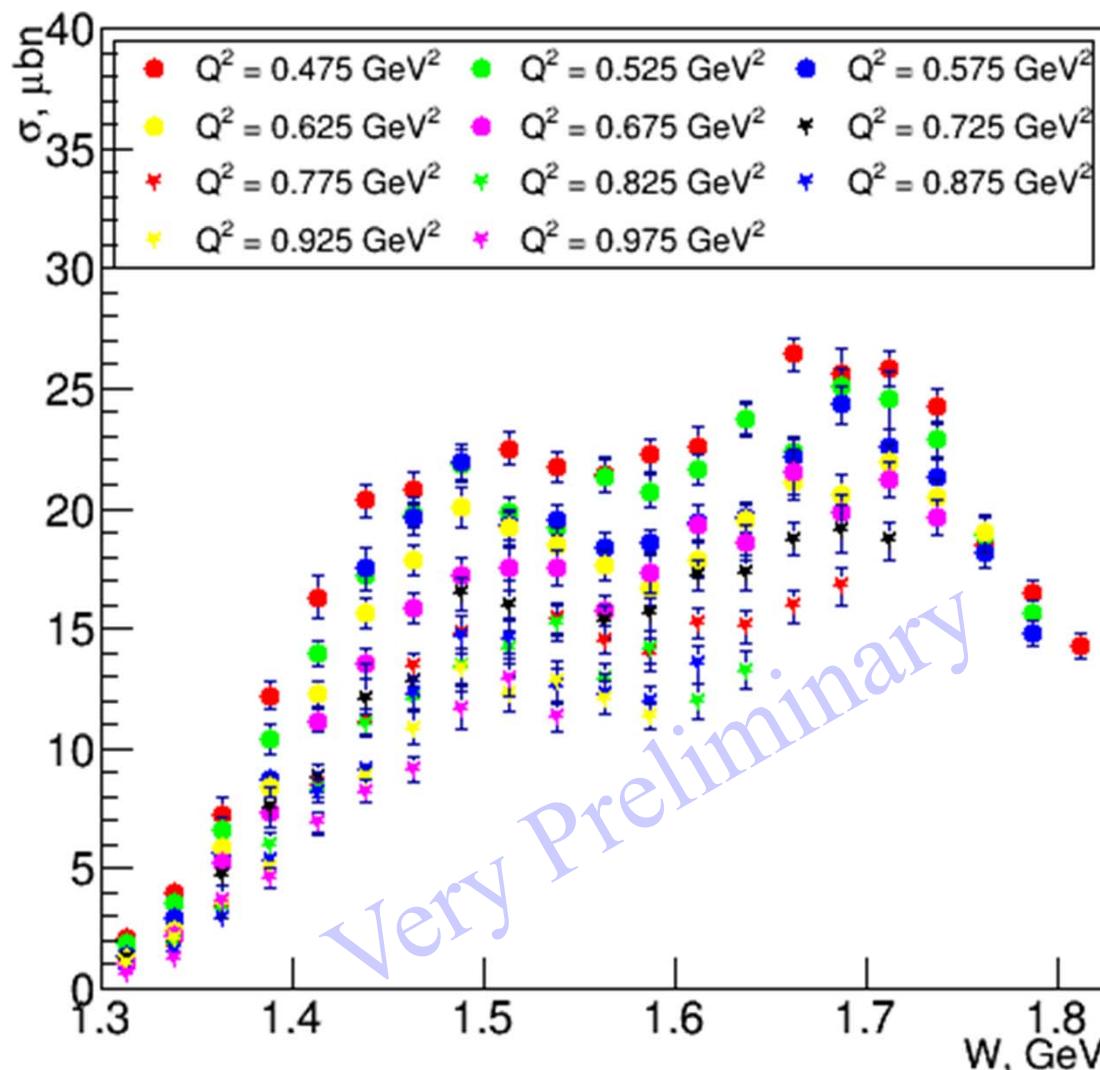
$$F_{fsi}(\Delta W, \Delta Q^2) =$$

$$\frac{\text{Area under green}}{\text{Area under red}}$$



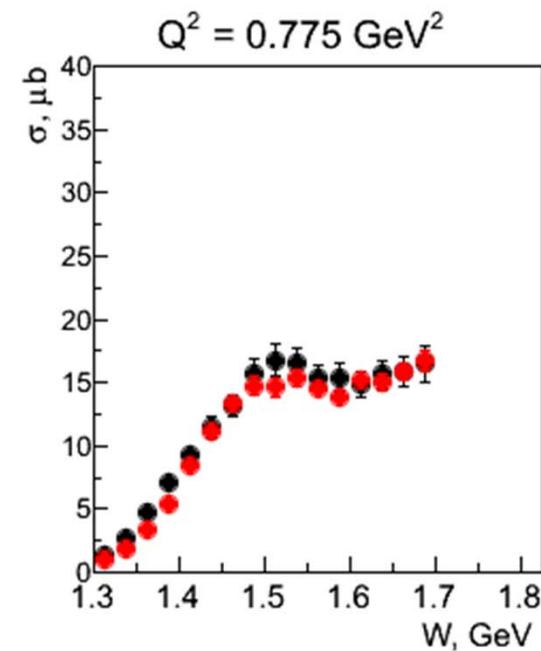
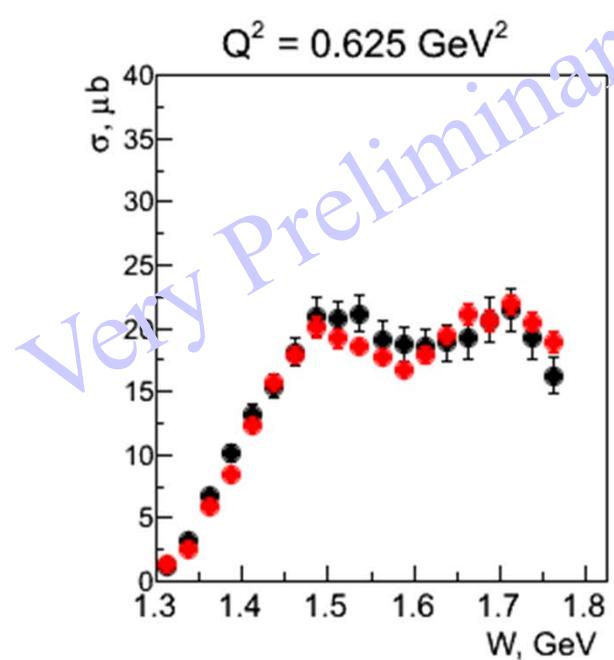
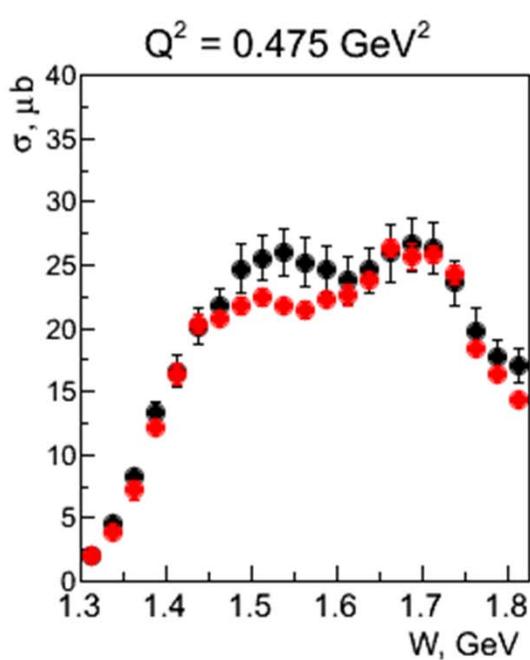
# Integrated Cross Section off the Proton in Deuteron

Iuliia Skorodomina



# Comparison with Free Proton Cross Section

Iuliia Skorodomina

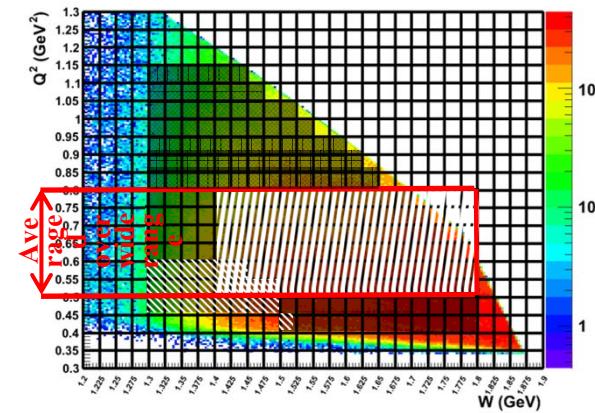
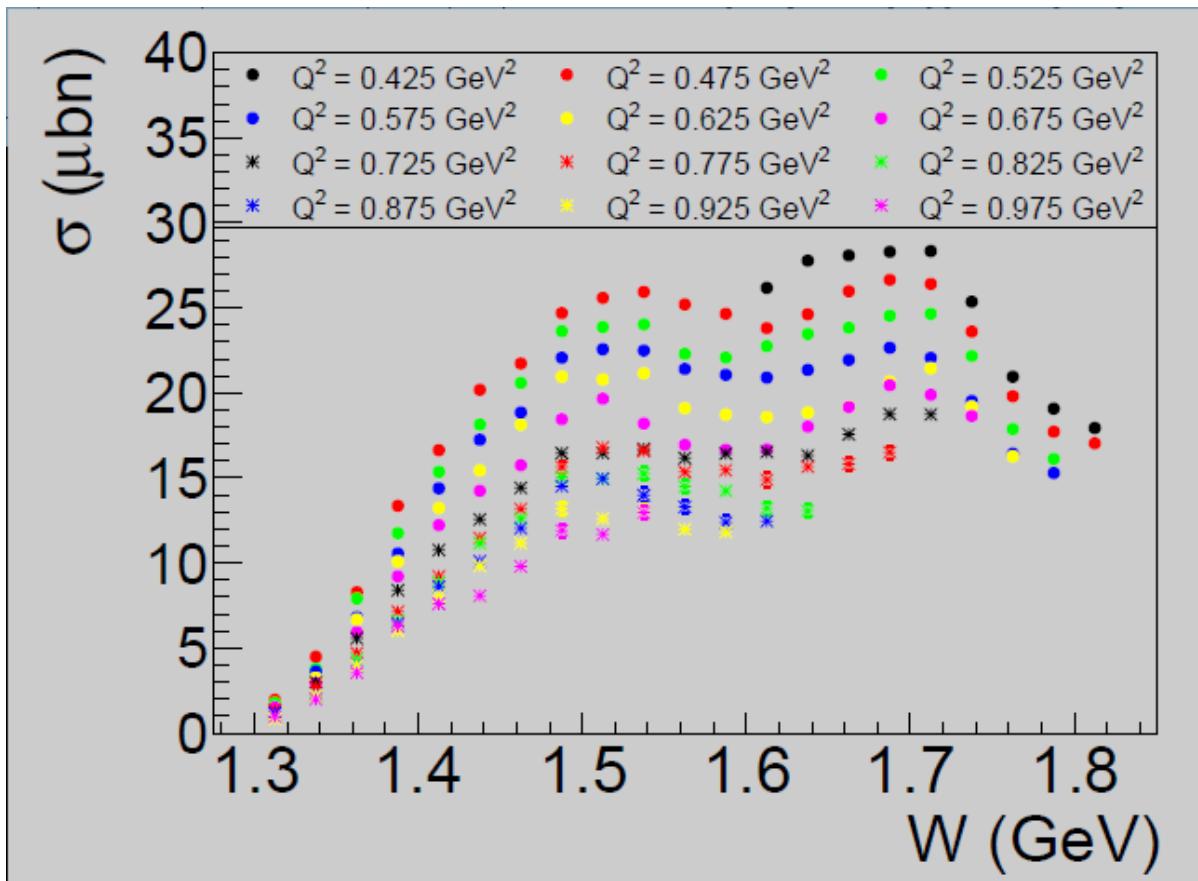


**Black bullets** – free proton cross sections (e1e at  $E_{\text{beam}} = 2.039 \text{ GeV}$ )  
error bars show both statistical and systematical uncertainties  
G. Fedotov analysis note approved

**Red bullets** – bound proton quasi-free cross sections (e1e at  $E_{\text{beam}} = 2.039 \text{ GeV}$ )  
error bars show statistical uncertainty only

# $N\pi^+\pi^-$ Electroproduction Kinematic Coverage

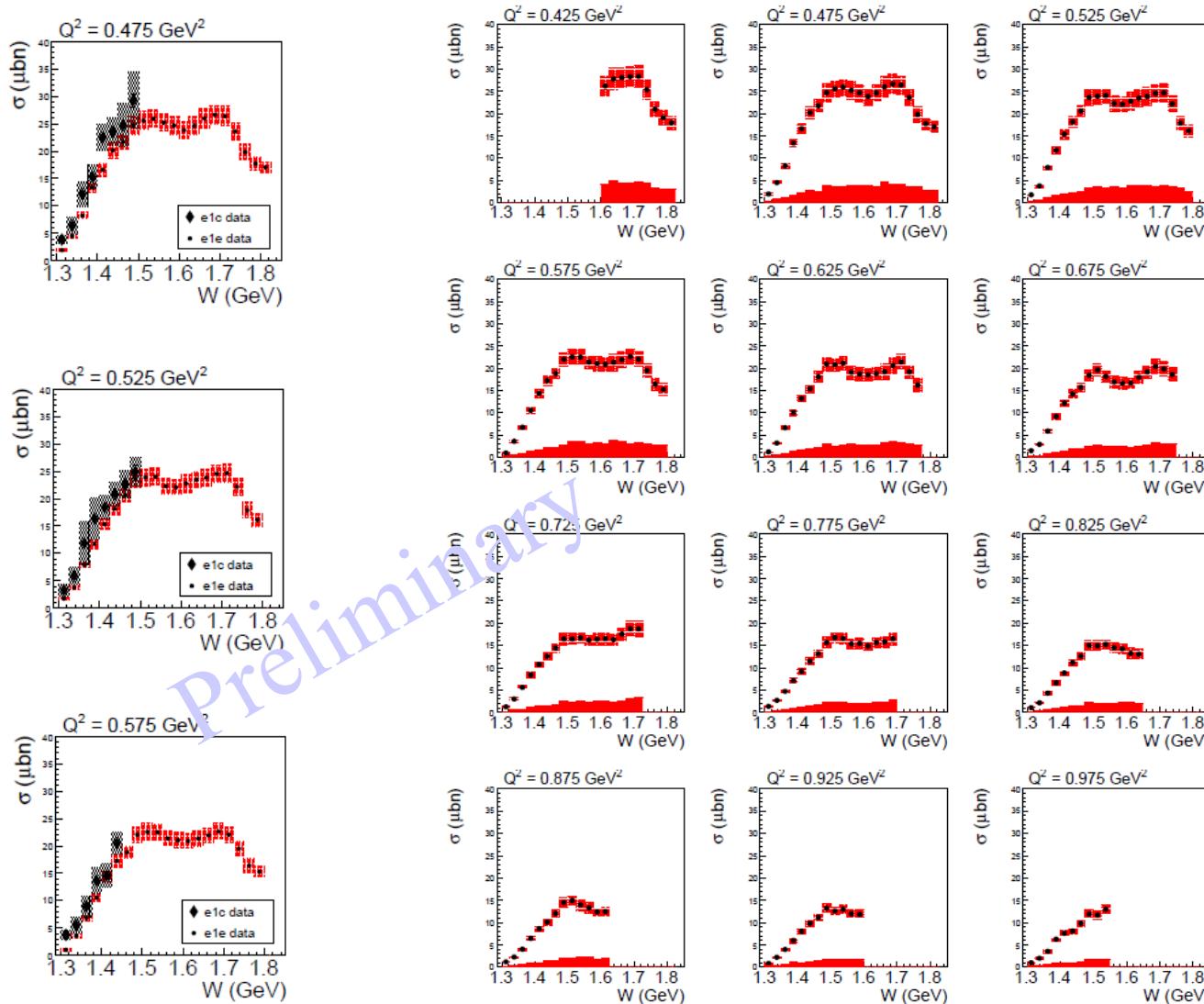
Gleb Fedotov



$p\pi^+\pi^-$  event yields over  $W$  and  $Q^2$ . Gray shaded area new e1e data set, hatched area at low  $Q^2$  already published e1c data by G. Fedotov *et al.* and hatched area at higher  $Q^2$  already published data in one large  $Q^2$  bin by M. Ripani *et al.*

# Integrated $N\pi^+\pi^-$ Cross Sections

Gleb Fedotov

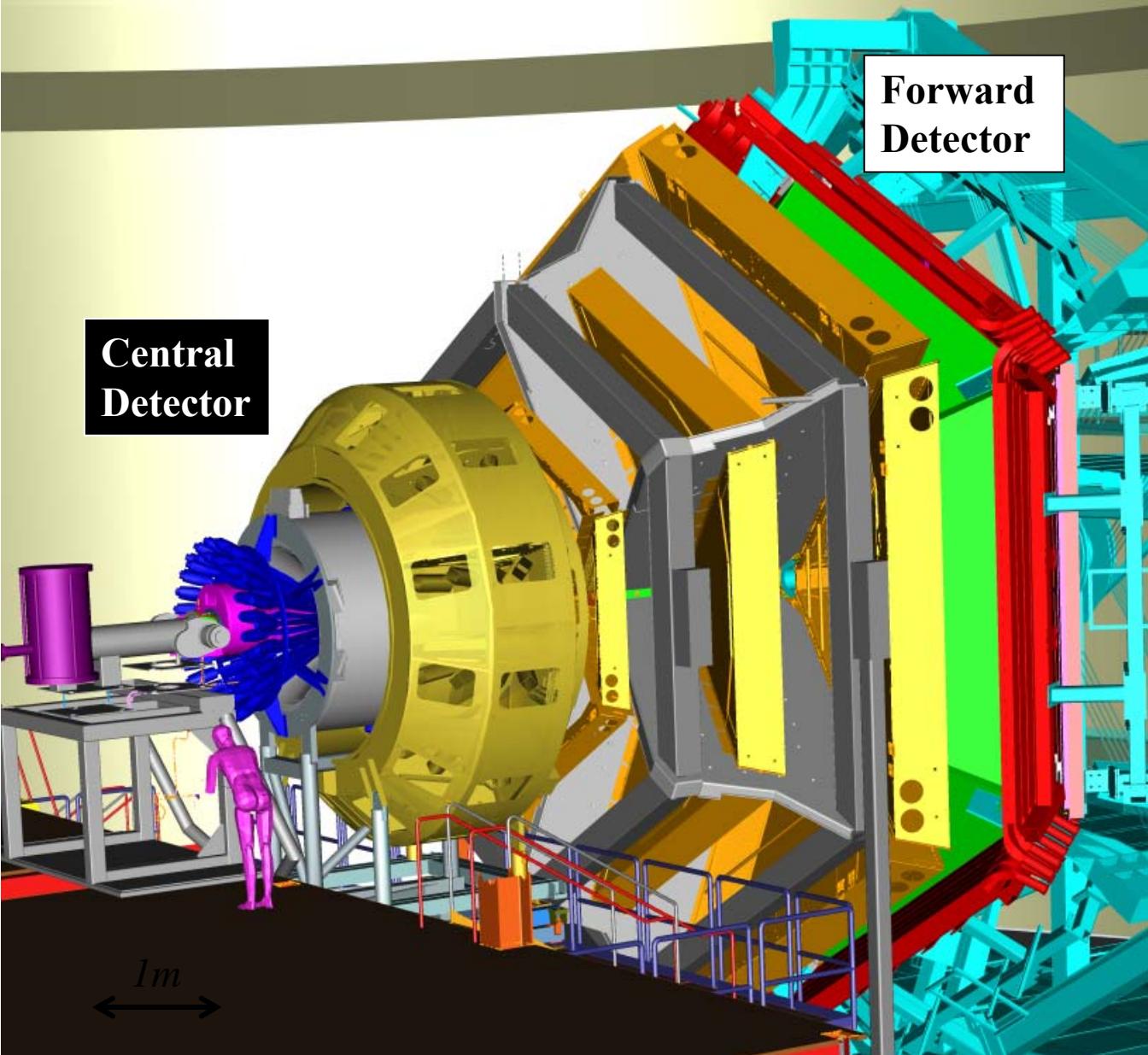


Black hatched already published data (Fedotov *et al.*, PRC79, 015204 (2009)) and red hatched new e1e data in the overlap region.

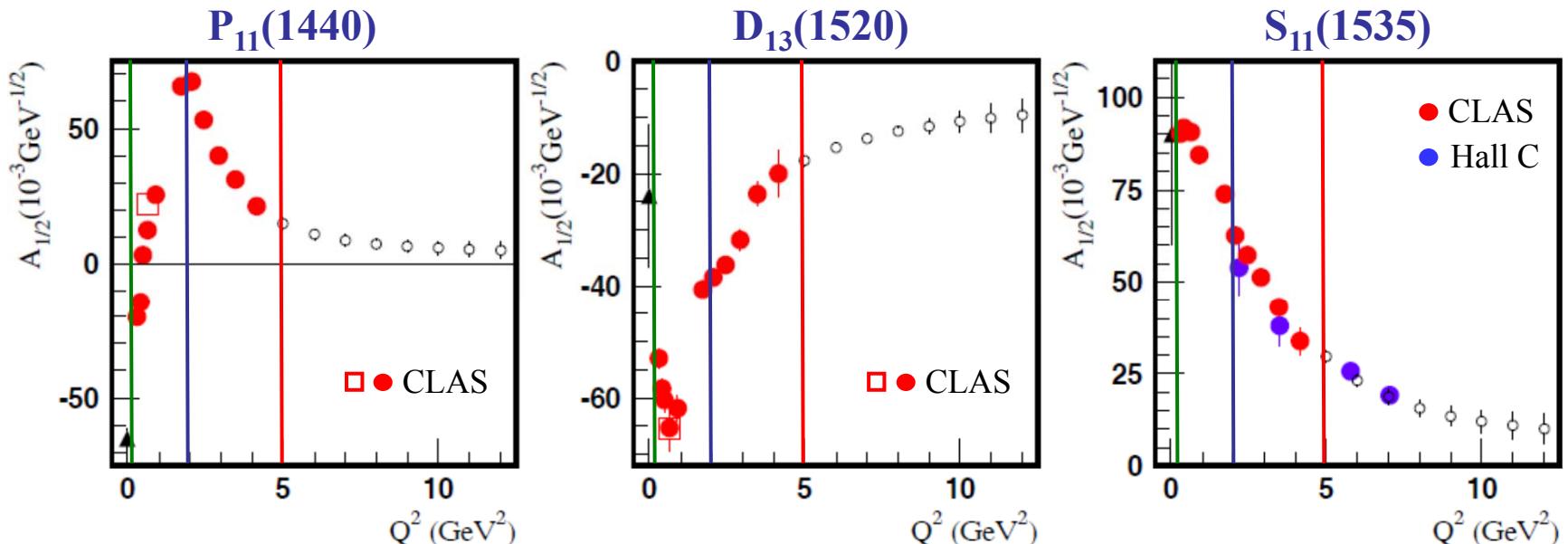
# CLAS12

# CLAS12

- Luminosity  $> 10^{35} \text{ cm}^{-2}\text{s}^{-1}$
- Hermeticity
- Polarization
  
- Baryon Spectroscopy
- Elastic Form Factors
- N to N\* Form Factors
- GPDs and TMDs
- DIS and SIDIS
- Nucleon Spin Structure
- Color Transparency
- ...



# Anticipated N\* Electrocouplings from Combined Analyses of N $\pi$ /N $\pi\pi$

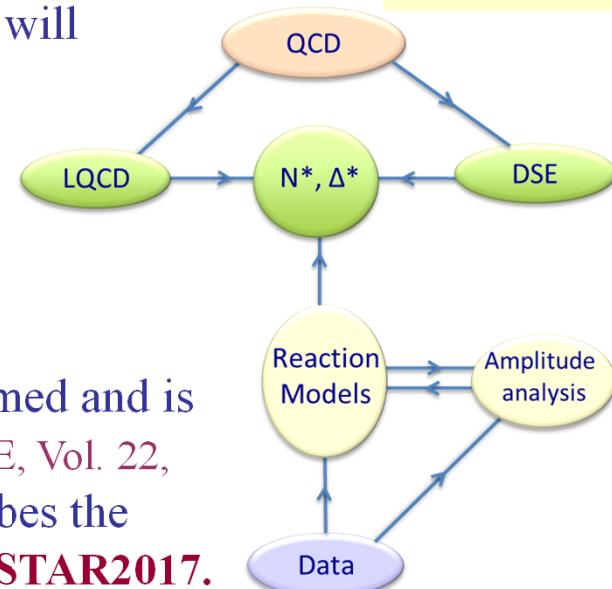
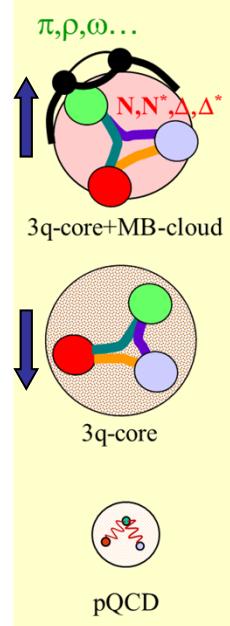


Open circles represent projections and all other markers the available results with the 6-GeV electron beam

- Examples of published and projected results obtained within 60d for three prominent excited proton states from analyses of N $\pi$  and N $\pi\pi$  electroproduction channels. Similar results are expected for many other resonances at higher masses, e.g. S<sub>11</sub>(1650), F<sub>15</sub>(1685), D<sub>33</sub>(1700), P<sub>13</sub>(1720), ...
- The approved CLAS12 experiments E12-09-003 (NM, N $\pi\pi$ ) and E12-06-108A (KY) are currently the only experiments that can provide data on  $\gamma_v NN^*$  electrocouplings for almost all well established excited proton states at the highest photon virtualities ever achieved in N\* studies up to  $Q^2$  of 12  $\text{GeV}^2$ , see <http://boson.physics.sc.edu/~gothe/research/pub/whitepaper-9-14.pdf>.

# Summary

- First high precision photo- and electroproduction data have become available and led to a new wave of significant developments in reaction and QCD-based theories.
- New high precision hadro-, photo-, and electroproduction data off the proton and the neutron will stabilize coupled channel analyses and expand the validity of reaction models, allowing us to
  - investigate and search for baryon hybrids (E12-16-010) ,
  - establish a repertoire of high precision spectroscopy parameters, and
  - measure light-quark-flavor separated electrocouplings over an extended  $Q^2$ -range, both to lower and higher  $Q^2$ , for a wide variety of  $N^*$  states (E12-16-010 A).
- Comparing these results with DSE, LQCD, LCSR, and rCQM will build further insights into
  - the strong interaction of dressed quarks and their confinement,
  - the emergence of bare quark dressing and dressed quark interactions from QCD, and
  - the QCD  $\beta$ -function and the origin of 98% of nucleon mass.
- A close collaboration of experimentalists and theorists has formed and is needed to push these goals, see Review Article Int. J. Mod. Phys. E, Vol. 22, 1330015 (2013) 1-99, that shall lead to a QCD theory that describes the strong interaction from current quarks to nuclei. **INT2016 & NSTAR2017.**



# NOSTAR 2017

- ✓ Baryon spectrum through meson photoproduction
- ✓ Baryon resonances in experiments with hadron beams and in the  $e^+e^-$  collisions
- ✓ Baryon resonances in ion collisions and their role in cosmology
- ✓ Baryon structure through meson electroproduction, transition form factors, and time-like form factors
- ✓ Amplitude analyses and baryon parameter extraction
- ✓ Baryon spectrum and structure from first principles of QCD
- ✓ Advances in the modeling of baryon spectrum and structure
- ✓ Facilities and future projects
- ✓ Other topics related to  $N^*$  physics

August 20-23, 2017  
at the University of South Carolina, Columbia, SC

<http://nstar2017.physics.sc.edu/>