
Why **local** gauge invariance is necessary

Helmut Haberzettl

Institute for Nuclear Studies and Department of Physics

THE GEORGE WASHINGTON UNIVERSITY

WASHINGTON, DC

Supported by U.S. Department of Energy Grant DE-SC0016582

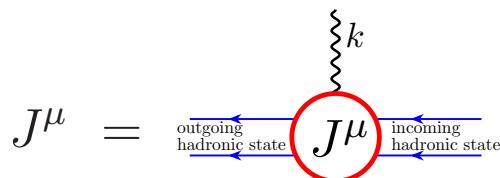


Outline

- Preliminaries
- Gauge invariance basics
- Implementation of local gauge invariance
- A practical example
- Summary



Setup: Currents



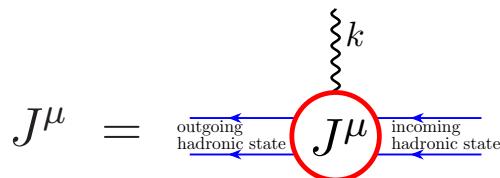
Photon may be real or virtual,
incoming or outgoing

All hadrons on-shell:

$$k_\mu J^\mu = 0 \quad (\text{necessary condition}) \quad \Rightarrow \quad \text{gauge invariance}$$



Setup: Currents



Photon may be real or virtual,
incoming or outgoing

All hadrons on-shell:

$$k_\mu J^\mu = 0 \quad (\text{necessary condition}) \quad \Rightarrow \quad \text{gauge invariance}$$

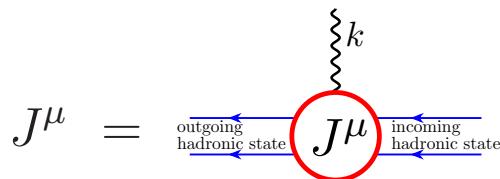
Transition currents:



B : Baryon
 R : Resonance



Setup: Currents

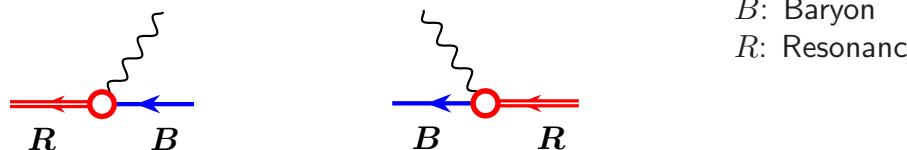


Photon may be real or virtual,
incoming or outgoing

All hadrons on-shell:

$$k_\mu J^\mu = 0 \quad (\text{necessary condition}) \quad \Rightarrow \quad \text{gauge invariance}$$

Transition currents:

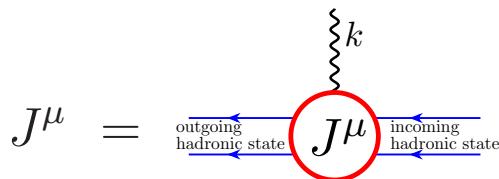


B : Baryon
 R : Resonance

Current operators for transition currents must necessarily be manifestly transverse.
Hence, gauge-invariance condition is trivially satisfied for on- and off-shell baryons.



Setup: Currents

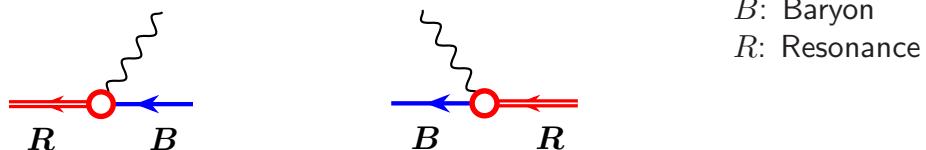


Photon may be real or virtual,
incoming or outgoing

All hadrons on-shell:

$$k_\mu J^\mu = 0 \quad (\text{necessary condition}) \quad \Rightarrow \quad \text{gauge invariance}$$

Transition currents:



Current operators for transition currents must necessarily be manifestly transverse.
Hence, gauge-invariance condition is trivially satisfied for on- and off-shell baryons.

Why bother?



Production Current

$$M^\mu = \underbrace{\text{Diagram with } s\text{-channel}}_{s\text{-channel}} + \underbrace{\text{Diagram with } u\text{-channel}}_{u\text{-channel}} + \underbrace{\text{Diagram with } t\text{-channel}}_{t\text{-channel}} + \underbrace{\text{Diagram with interaction current}}_{\text{interaction current}}$$
$$= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu$$

Generic expressions; summations over all possible intermediate states implied



Production Current

$$M^\mu = \underbrace{s\text{-channel}}_{\text{+}} + \underbrace{u\text{-channel}}_{\text{+}} + \underbrace{t\text{-channel}}_{\text{+}} + \underbrace{\text{interaction current}}_{\text{+}}$$
$$= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu$$

← time →

Generic expressions; summations over all possible intermediate states implied

- *s*-channel term contains transition-current contributions

Diagrams apply to spacelike process; for timelike process, read diagrams in time-reversed order

- Entire production current must be gauge invariant

Without it, wrong background contribution for extraction of form factors



Production Current

← time →

$$\begin{aligned} M^\mu &= \underbrace{\text{Diagram with } s\text{-channel}}_{s\text{-channel}} + \underbrace{\text{Diagram with } u\text{-channel}}_{u\text{-channel}} + \underbrace{\text{Diagram with } t\text{-channel}}_{t\text{-channel}} + \underbrace{\text{Interaction current}}_{\text{interaction current}} \\ &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu \end{aligned}$$

Generic expressions; summations over all possible intermediate states implied

- *s*-channel term contains transition-current contributions

Diagrams apply to spacelike process; for timelike process, read diagrams in time-reversed order

- Entire production current must be gauge invariant

Without it, wrong background contribution for extraction of form factors

- Any approximation will likely destroy gauge invariance
- For a microscopic theory, it is **not** sufficient to fix $k_\mu M^\mu = 0$ on shell



- Gauge invariance basics
- Implementation of local gauge invariance
- A practical example
- Summary



Gauge Invariance

$$\begin{aligned} M^\mu &= \underbrace{\text{Diagram with } s\text{-channel}}_{s\text{-channel}} + \underbrace{\text{Diagram with } u\text{-channel}}_{u\text{-channel}} + \underbrace{\text{Diagram with } t\text{-channel}}_{t\text{-channel}} + \underbrace{\text{Diagram with interaction current}}_{\text{interaction current}} \\ &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu \end{aligned}$$

Global gauge invariance

$$\Phi \rightarrow \Phi e^{-i\Lambda}$$

$$k_\mu M^\mu = 0$$

all external hadrons on-shell



Gauge Invariance

$$\begin{aligned} M^\mu &= \underbrace{\text{Diagram with } s\text{-channel}}_{s\text{-channel}} + \underbrace{\text{Diagram with } u\text{-channel}}_{u\text{-channel}} + \underbrace{\text{Diagram with } t\text{-channel}}_{t\text{-channel}} + \underbrace{\text{Diagram with interaction current}}_{\text{interaction current}} \\ &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu \end{aligned}$$

Global gauge invariance

$$\Phi \rightarrow \Phi e^{-i\Lambda}$$

$$k_\mu M^\mu = 0$$

all external hadrons on-shell

conserved current \Rightarrow implies charge conservation



Gauge Invariance

$$\begin{aligned} M^\mu &= \underbrace{\text{---}}_{s\text{-channel}} + \underbrace{\text{---}}_{u\text{-channel}} + \underbrace{\text{---}}_{t\text{-channel}} + \underbrace{\text{---}}_{\text{interaction current}} \\ &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu \end{aligned}$$

Global gauge invariance

$$\Phi \rightarrow \Phi e^{-i\Lambda}$$

$$k_\mu M^\mu = 0$$

all external hadrons on-shell

conserved current \Rightarrow implies charge conservation

Fixing global gauge invariance does **not** mean internal damage is fixed as well



Gauge Invariance

$$\begin{aligned} M^\mu &= \underbrace{\text{Diagram with } s\text{-channel}}_{s\text{-channel}} + \underbrace{\text{Diagram with } u\text{-channel}}_{u\text{-channel}} + \underbrace{\text{Diagram with } t\text{-channel}}_{t\text{-channel}} + \underbrace{\text{Diagram with interaction current}}_{\text{interaction current}} \\ &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu \end{aligned}$$

Local gauge invariance

$$\Phi \rightarrow \Phi e^{-i\lambda(x)}$$



Gauge Invariance

$$\begin{aligned}
 M^\mu &= \underbrace{\text{Diagram with vertex } s}_{s\text{-channel}} + \underbrace{\text{Diagram with vertex } u}_{u\text{-channel}} + \underbrace{\text{Diagram with vertex } t}_{t\text{-channel}} + \underbrace{\text{Diagram with vertex } c}_{\text{interaction current}} \\
 &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu
 \end{aligned}$$

Local gauge invariance

$$\Phi \rightarrow \Phi e^{-i\lambda(x)}$$

Generalized Ward-Takahashi identities (gWTI)

$$k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{similarly for baryons}$$

$$k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

off-shell relations



Gauge Invariance

$$\begin{aligned}
 M^\mu &= \underbrace{\text{Diagram with vertex } s}_{s\text{-channel}} + \underbrace{\text{Diagram with vertex } u}_{u\text{-channel}} + \underbrace{\text{Diagram with vertex } t}_{t\text{-channel}} + \underbrace{\text{Diagram with vertex } c}_{\text{interaction current}} \\
 &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu
 \end{aligned}$$

Local gauge invariance

$$\Phi \rightarrow \Phi e^{-i\lambda(x)}$$

Generalized Ward-Takahashi identities (gWTI)

$$k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{similarly for baryons}$$

$$k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

off-shell relations

local gauge invariance \Rightarrow implies existence of e.m. field



Gauge Invariance

$$\begin{aligned}
 M^\mu &= \underbrace{\text{Diagram with vertex } s}_{s\text{-channel}} + \underbrace{\text{Diagram with vertex } u}_{u\text{-channel}} + \underbrace{\text{Diagram with vertex } t}_{t\text{-channel}} + \underbrace{\text{Diagram with vertex } c}_{\text{interaction current}} \\
 &= F_s S_i J_i^\mu + J_f^\mu S_f F_u + J_m^\mu \Delta_m F_t + M_{\text{int}}^\mu
 \end{aligned}$$

Local gauge invariance

$$\Phi \rightarrow \Phi e^{-i\lambda(x)}$$

Generalized Ward-Takahashi identities (gWTI)

$$k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{similarly for baryons}$$

$$k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

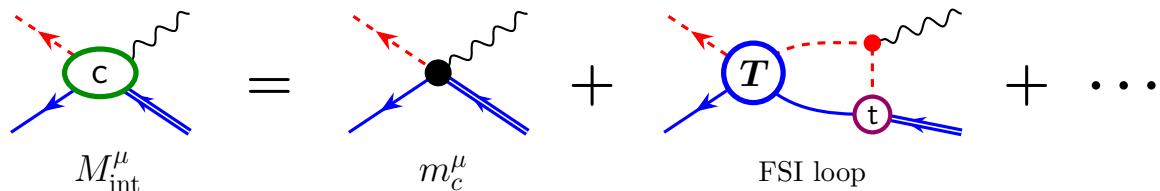
off-shell relations

local gauge invariance \Rightarrow implies existence of e.m. field

Without gWTI underlying e.m. field is damaged



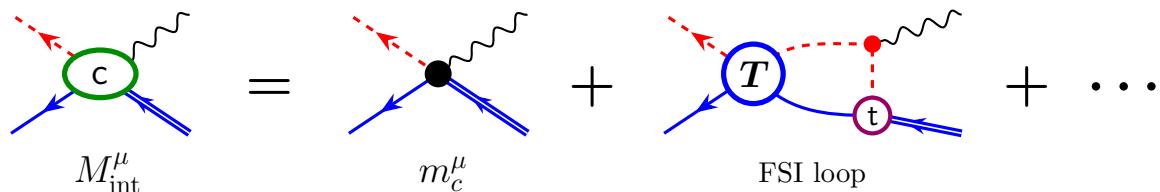
Implementation: Reorganize interaction current



- Interaction current contains all contributions from final-state interactions



Implementation: Reorganize interaction current



- Interaction current contains all contributions from final-state interactions
- Decompose FSI loops into longitudinal and transverse contributions
For details, see HH, Nakayama, Krewald, PRC74, 045202 (2006); HH, Huang, Nakayama, PRC83, 065502 (2011); HH, Wang, He, PRC92, 055503 (2015)
- Impose generalized Ward-Takahashi identities to longitudinal part



Generalized Ward-Takahashi Identities

$$(1) \quad k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$(2) \quad k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2)$$

$$(3) \quad k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$



Generalized Ward-Takahashi Identities

$$(1) \quad k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$(2) \quad k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{trivial}$$

$$(3) \quad k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

Only two relations are independent \Rightarrow Use (2) & (3)



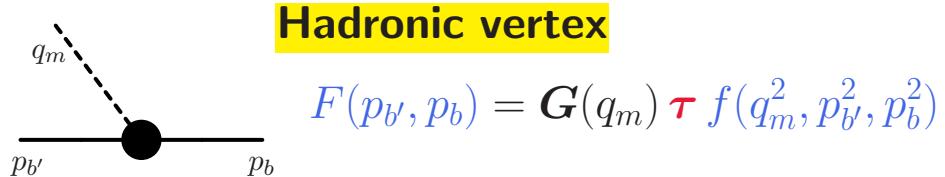
Generalized Ward-Takahashi Identities

$$(1) \quad k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$(2) \quad k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{trivial}$$

$$(3) \quad k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

Only two relations are independent \Rightarrow Use (2) & (3)



$$\begin{cases} f_s(s) = f(M_m^2, M_{b'}^2, s) \\ f_u(u) = f(M_m^2, u, M_b^2) \\ f_t(t) = f(t, M_{b'}^2, M_b^2) \end{cases}$$

This phenomenologically motivated ansatz
can be improved in a systematic way



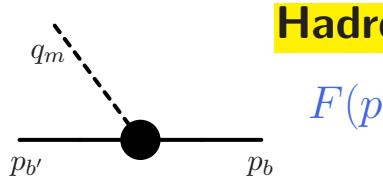
Generalized Ward-Takahashi Identities

$$(1) \quad k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$(2) \quad k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{trivial}$$

$$(3) \quad k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

Only two relations are independent \Rightarrow Use (2) & (3)



Hadronic vertex

$$F(p_{b'}, p_b) = G(q_m) \tau f(q_m^2, p_{b'}^2, p_b^2)$$

$$\begin{cases} f_s(s) = f(M_m^2, M_{b'}^2, s) \\ f_u(u) = f(M_m^2, u, M_b^2) \\ f_t(t) = f(t, M_{b'}^2, M_b^2) \end{cases}$$

Interaction-current Ansatz:

$$M_{\text{int}}^\mu = m_c^\mu f_t(t) + G(q) C^\mu + T_{\text{int}}^\mu$$

$$k_\mu T_{\text{int}}^\mu \equiv 0$$



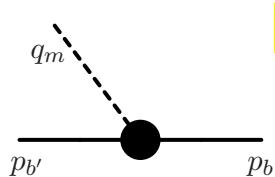
Generalized Ward-Takahashi Identities

$$(1) \quad k_\mu M^\mu = (q^2 - M_m^2) Q_m F_t + S_f^{-1}(p') Q_f F_u - F_s Q_i S_i^{-1}(p)$$

$$(2) \quad k_\mu J_m^\mu = (q^2 - M_m^2) Q_m - Q_m(t - M_m^2) \quad \text{trivial}$$

$$(3) \quad k_\mu M_{\text{int}}^\mu = Q_m F_t + Q_f F_u - F_s Q_i$$

Only two relations are independent \Rightarrow Use (2) & (3)



Hadronic vertex

$$F(p_{b'}, p_b) = G(q_m) \tau f(q_m^2, p_{b'}^2, p_b^2)$$

$$\begin{cases} f_s(s) = f(M_m^2, M_{b'}^2, s) \\ f_u(u) = f(M_m^2, u, M_b^2) \\ f_t(t) = f(t, M_{b'}^2, M_b^2) \end{cases}$$

Interaction-current Ansatz:

$$M_{\text{int}}^\mu = m_c^\mu f_t(t) + G(q) C^\mu + T_{\text{int}}^\mu$$

$$k_\mu T_{\text{int}}^\mu \equiv 0$$

\Rightarrow Determine C^μ such that (3) is true



Non-singular

$$\begin{aligned}
 C^\mu = & -e_m (2q - k)^\mu \frac{f_t - 1}{t - M_m^2} (\delta_s f_s + \delta_u f_u - \delta_s \delta_u f_s f_u) \\
 & - e_f (2p' - k)^\mu \frac{f_u - 1}{u - M_f^2} (\delta_t f_t + \delta_s f_s - \delta_t \delta_s f_t f_s) \\
 & - e_i (2p + k)^\mu \frac{f_s - 1}{s - M_i^2} (\delta_u f_u + \delta_t f_t - \delta_u \delta_t f_u f_t)
 \end{aligned}$$



Non-singular

$$\begin{aligned}
 C^\mu = & -e_m(2q-k)^\mu \frac{f_t - 1}{t - M_m^2} (\delta_s f_s + \delta_u f_u - \delta_s \delta_u f_s f_u) \\
 & - e_f (2p' - k)^\mu \frac{f_u - 1}{u - M_f^2} (\delta_t f_t + \delta_s f_s - \delta_t \delta_s f_t f_s) \\
 & - e_i (2p + k)^\mu \frac{f_s - 1}{s - M_i^2} (\delta_u f_u + \delta_t f_t - \delta_u \delta_t f_u f_t)
 \end{aligned}$$

where

$$\delta_x = \begin{cases} 1 & \text{channel contributes} \\ 0 & \text{channel does not contribute} \end{cases} \quad x = s, u, t$$



Non-singular

$$\begin{aligned}
 C^\mu = & -e_m(2q-k)^\mu \frac{f_t - 1}{t - M_m^2} (\delta_s f_s + \delta_u f_u - \delta_s \delta_u f_s f_u) \\
 & - e_f (2p' - k)^\mu \frac{f_u - 1}{u - M_f^2} (\delta_t f_t + \delta_s f_s - \delta_t \delta_s f_t f_s) \\
 & - e_i (2p + k)^\mu \frac{f_s - 1}{s - M_i^2} (\delta_u f_u + \delta_t f_t - \delta_u \delta_t f_u f_t)
 \end{aligned}$$

where

$$\delta_x = \begin{cases} 1 & \text{channel contributes} \\ 0 & \text{channel does not contribute} \end{cases} \quad x = s, u, t$$

Charge conservation: $Q_m \tau + Q_f \tau - \tau Q_i = e_m + e_f - e_i = 0$



Non-singular

$$\begin{aligned}
 C^\mu = & -e_m(2q-k)^\mu \frac{f_t - 1}{t - M_m^2} (\delta_s f_s + \delta_u f_u - \delta_s \delta_u f_s f_u) \\
 & - e_f (2p' - k)^\mu \frac{f_u - 1}{u - M_f^2} (\delta_t f_t + \delta_s f_s - \delta_t \delta_s f_t f_s) \\
 & - e_i (2p + k)^\mu \frac{f_s - 1}{s - M_i^2} (\delta_u f_u + \delta_t f_t - \delta_u \delta_t f_u f_t)
 \end{aligned}$$

where

$$\delta_x = \begin{cases} 1 & \text{channel contributes} \\ 0 & \text{channel does not contribute} \end{cases} \quad x = s, u, t$$

Charge conservation: $Q_m \tau + Q_f \tau - \tau Q_i = e_m + e_f - e_i = 0$

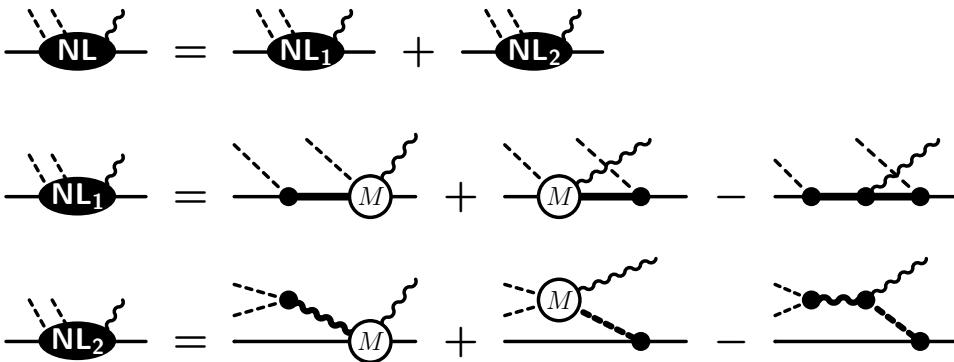
Four-divergence: $k_\mu C^\mu = e_m f_t + e_f f_u - e_i f_s$

ensures correct
four-divergence for M_{int}^μ



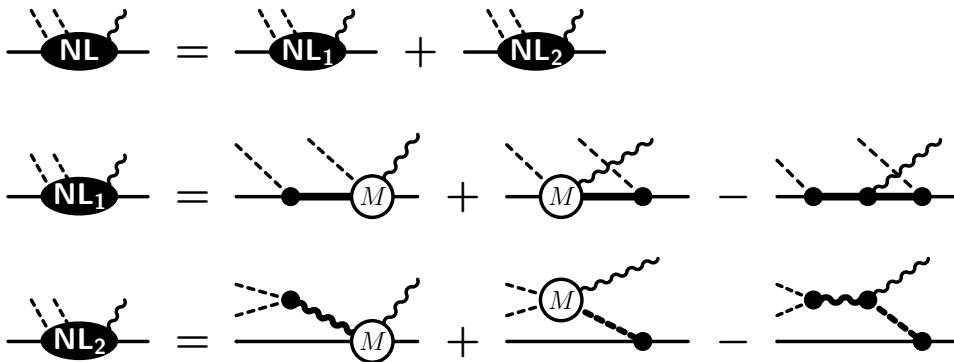
Practical Relevance of Local Gauge Invariance

Example: Two-pion production at the no-loop level



Practical Relevance of Local Gauge Invariance

Example: Two-pion production at the no-loop level:



Without gWTI, this amplitude will not be gauge invariant



Summary

- Global gauge invariance is necessary but not sufficient to provide consistent implementation of the electromagnetic field throughout the interaction volume
- Correct dynamical basis provided by **generalized Ward-Takahashi identities** as they follow from **local** gauge invariance
- Results obtained within microscopic reaction models that do not obey local gauge invariance need to be viewed with caution
- Applications show that contributions from gauge-fixing contact current can be substantial



- Global gauge invariance is necessary but not sufficient to provide consistent implementation of the electromagnetic field throughout the interaction volume
- Correct dynamical basis provided by **generalized Ward-Takahashi identities** as they follow from **local** gauge invariance
- Results obtained within microscopic reaction models that do not obey local gauge invariance need to be viewed with caution
- Applications show that contributions from gauge-fixing contact current can be substantial

Thank you!

