

New Horizons in Ab Initio Theory...

...from an NCSM Perspective

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Ab Initio Methods

No-Core Shell Model

Navratil, Dytrych, Otsuka

- solution of matrix eigenvalue problem in truncated many-body model space
- **universality:** all nuclei and all bound-state observables on the same footing
- **but:** limited by model-space convergence

In-Medium Similarity Renormalization Group

Hergert

- decoupling ground-state from excitations through unitary transformation via flow equation
- **efficiency:** favorable scaling gives access to medium-mass nuclei
- **but:** limited to ground-state observables

Many-Body Perturbation Theory

- power-series expansion of energies and states
- **simplicity:** low-order contributions can be evaluated very easily and efficiently
- **but:** order-by-order convergence problematic

CC, SCGF, LEFT, MC, ...

Hagen, Barbieri, Lee, Papenbrock

Ab Initio Methods

No-Core Shell Model

Navratil, Dytrych, Otsuka

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Many-Body Perturbation Theory

CC, SCGF, LEFT, MC, ...

Hagen, Barbieri, Lee, Papenbrock

- complementarity of advantages and limitations of the different methods
- combine methods to overcome limitations
- expand reach in terms of observables, particle number or model-space size
- target: spectroscopy of fully open-shell medium-mass nuclei

Natural-Orbital NCSM

J. Müller, A. Tichai, K. Vobig, R. Roth, in prep.

MBPT
basis optimization

NCSM
many-body solution

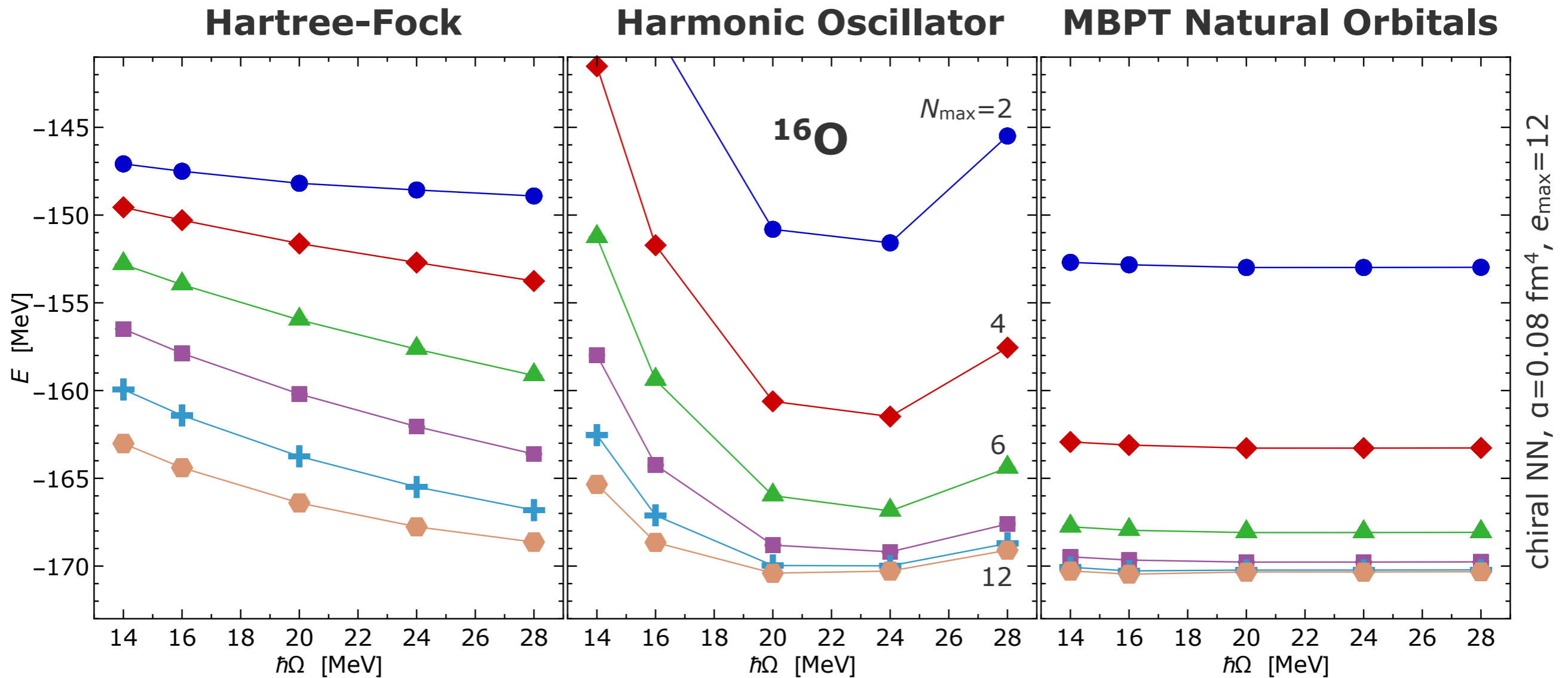
- construct HF basis in large single-particle space
- compute perturbative corrections to one-body density matrix up to second order
- determine natural orbitals from one-body density matrix and transform matrix elements

- NCSM calculation with natural-orbital basis
- use importance truncation for large spaces and heavier nuclei (optional)
- use normal-order two-body approximation to include 3N interactions (optional)

cf. work of Ch. Constantinou, M. A. Caprio, J. P. Vary, P. Maris
on construction of natural-orbital basis from NCSM solutions

Basis Choice & NCSM Convergence

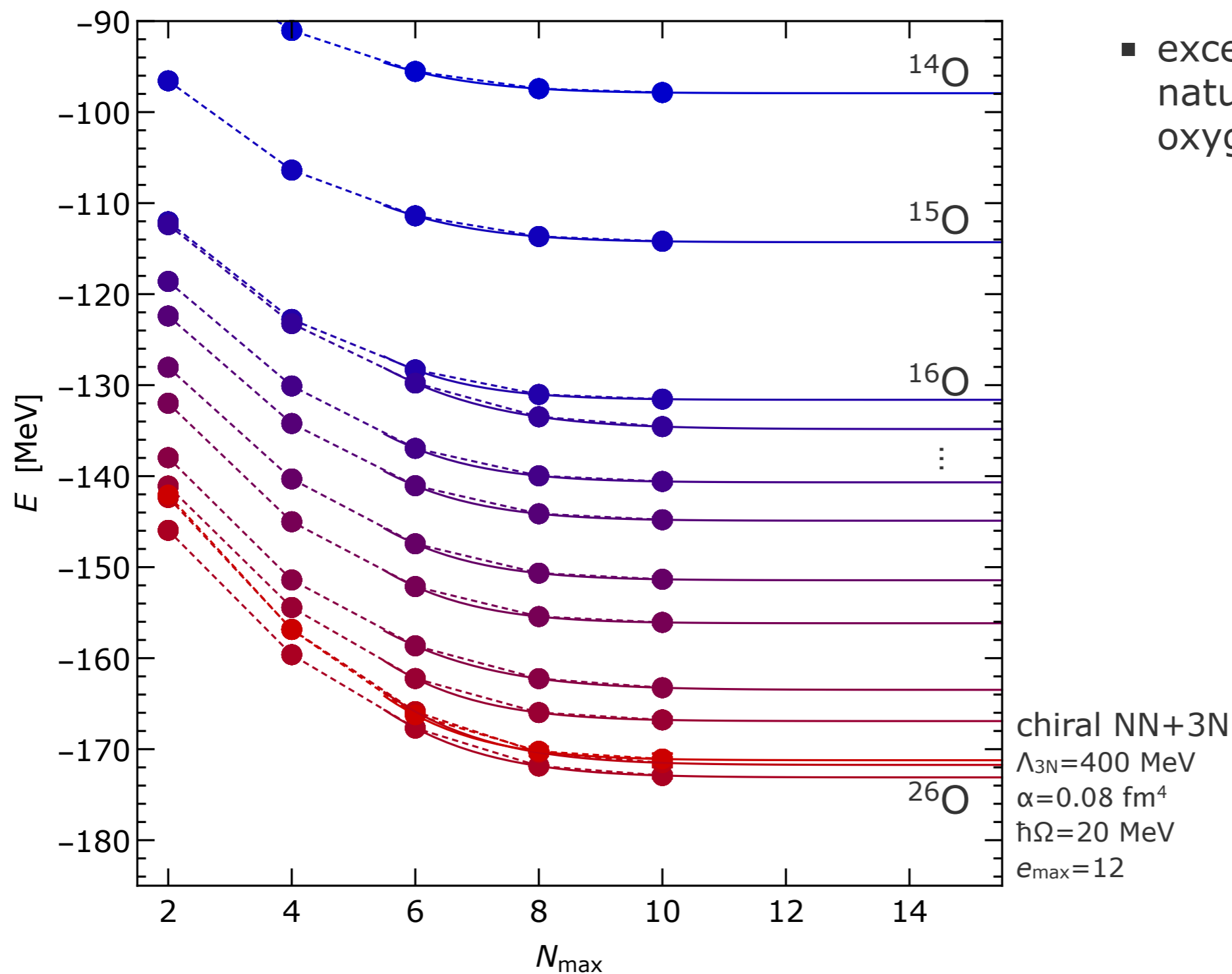
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- MBPT natural-orbital basis **eliminates frequency dependence** and **accelerates convergence** of NCSM

Oxygen Isotopes

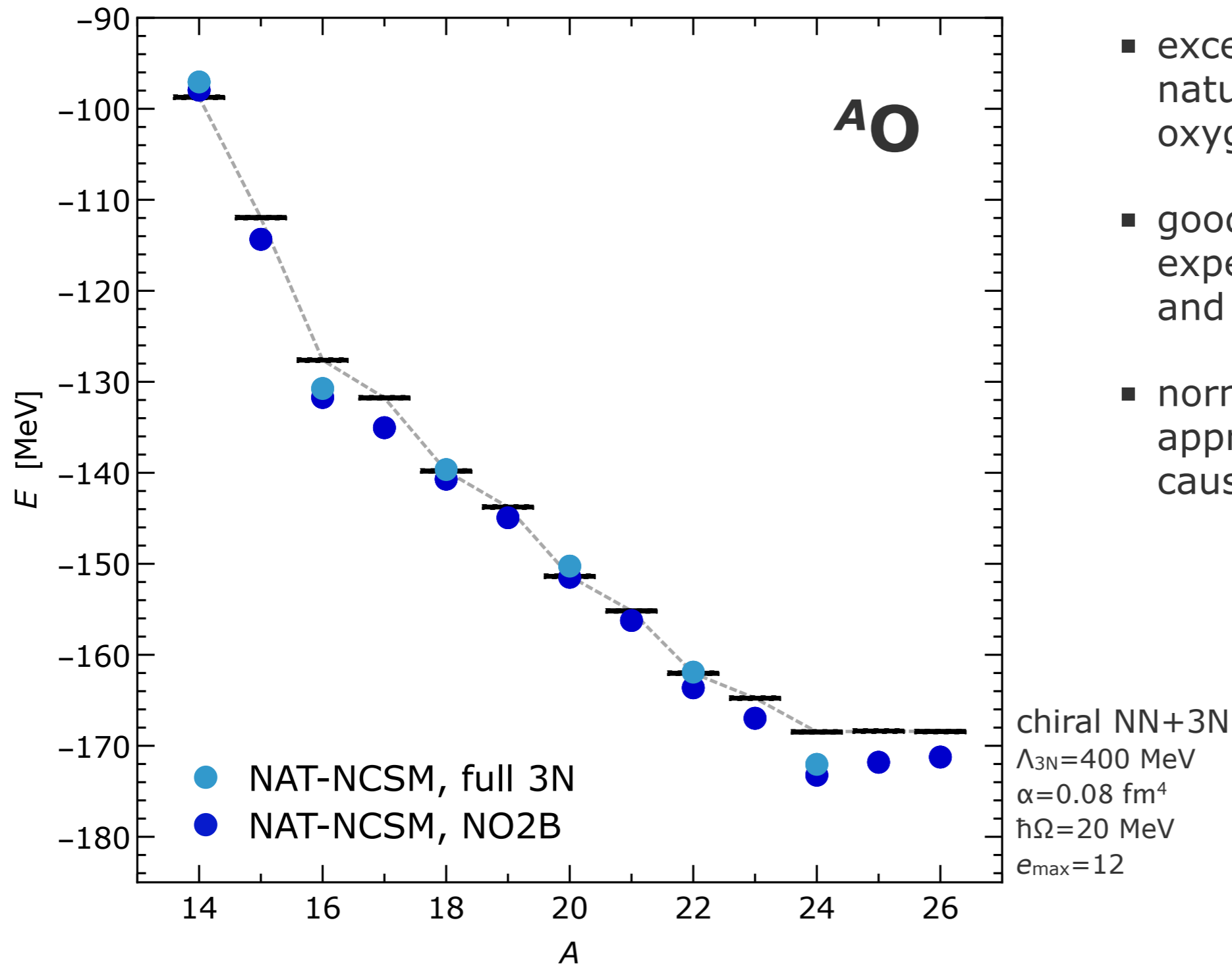
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- excellent convergence with natural-orbital basis for all oxygen isotopes

Oxygen Isotopes

J. Müller, A. Tichai, K. Vobig, R. Roth, in prep.



- excellent convergence with natural-orbital basis for all oxygen isotopes
- good agreement with experimental systematics and dripline
- normal-ordered two-body approx. instead of full 3N causes $\sim 1\%$ overbinding

In-Medium NCSM

NCSM
reference state

- ground-state from NCSM at small N_{\max} as reference state for multi-reference IM-SRG
- access to all open-shell nuclei and systematically improvable

IM-SRG
decoupling

- IM-SRG evolution of multi-reference normal-ordered Hamiltonian (and other operators)
- decoupling of particle-hole excitations, i.e., pre-diagonalization in many-body space

NCSM
many-body solution

- use in-medium evolved Hamiltonian for a subsequent NCSM calculation
- access to ground and excited states and full suite of observables

In-Medium SRG

Tsukiyama, Bogner, Schwenk, Hergert,...

	0p-0h	1p-1h	2p-2h	3p-3h
0p-0h				
1p-1h				
2p-2h				
3p-3h				

use SRG flow equations for normal-ordered Hamiltonian to decouple many-body reference state from excitations

	0p-0h	1p-1h	2p-2h	3p-3h
0p-0h				
1p-1h				
2p-2h				
3p-3h				

$$\frac{d}{ds}H(s) = [\eta(s), H(s)]$$

- Hamiltonian and generator in normal order with respect to single or multi-determinant reference state, omit residual three-body piece

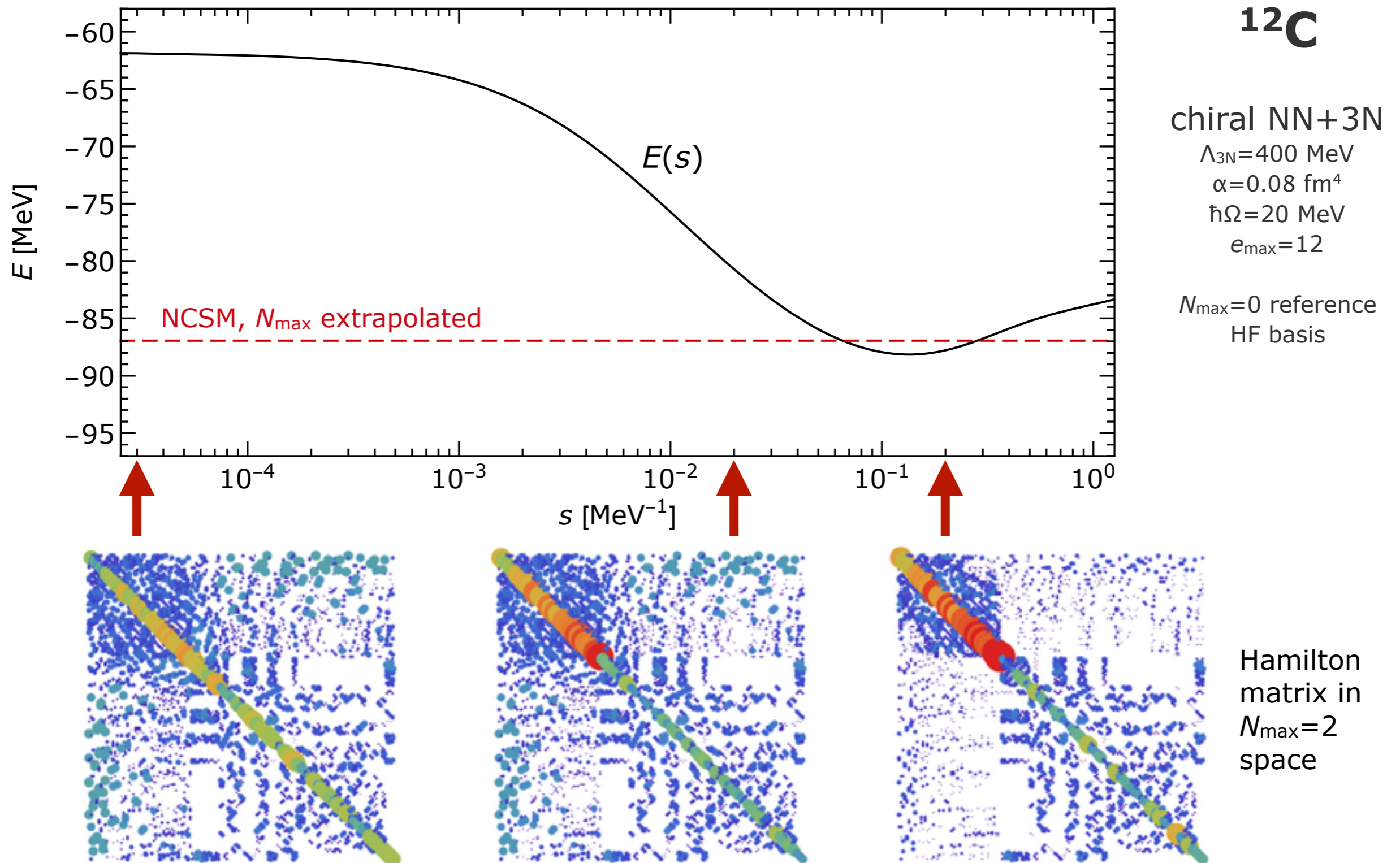
$$H(s) = E(s) + \sum_{ij} f_j^i(s) \tilde{A}_j^i + \frac{1}{4} \sum_{ijkl} \Gamma_{kl}^{ij}(s) \tilde{A}_{kl}^{ij} + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk}(s) \tilde{A}_{lmn}^{ijk}$$

- define generator to suppress off-diagonal contributions that couple reference state to ph excitations

$$\eta(s) = [H(s), H^d(s)] = [H^{od}(s), H^d(s)]$$

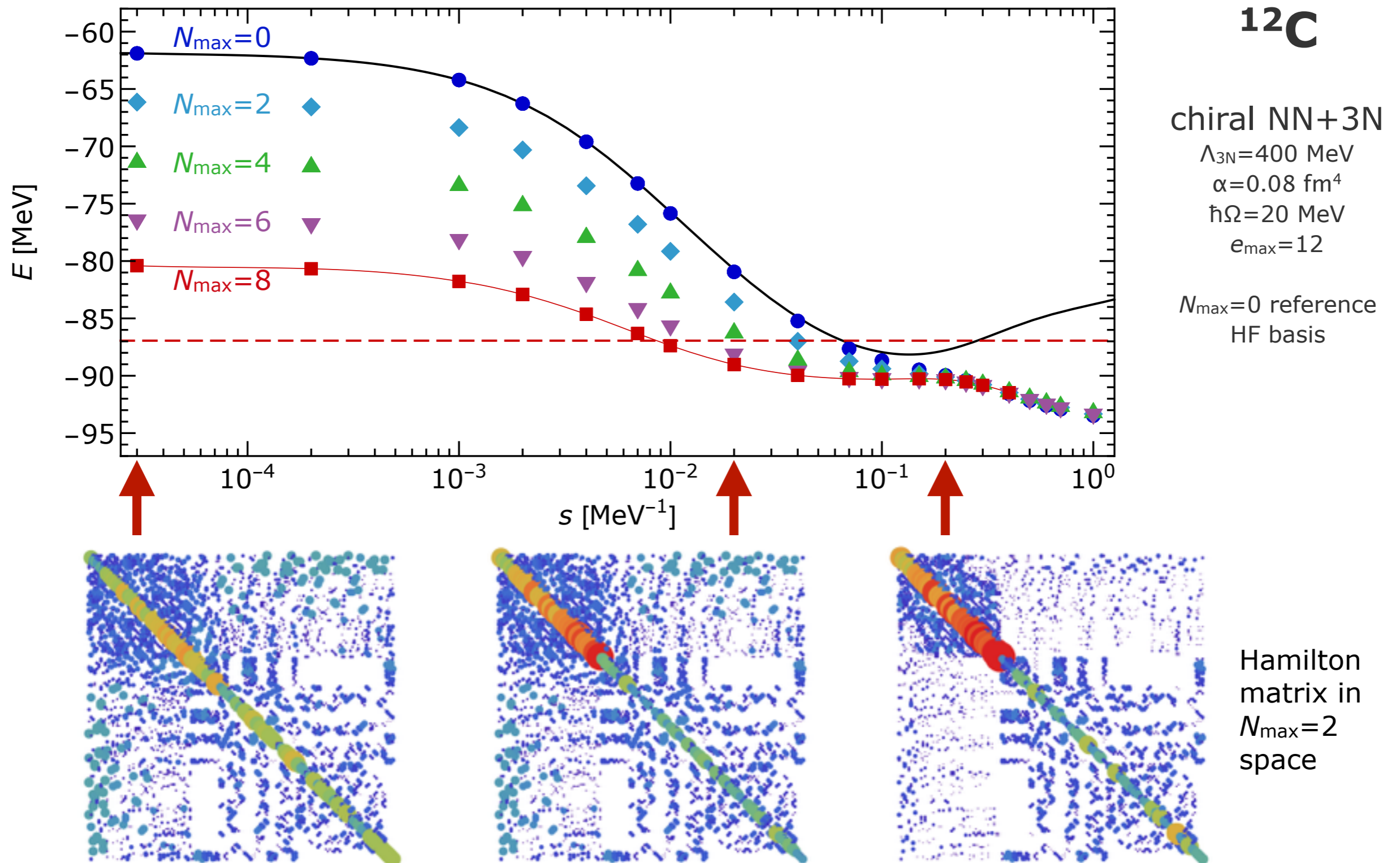
In-Medium SRG: Multi Reference

Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



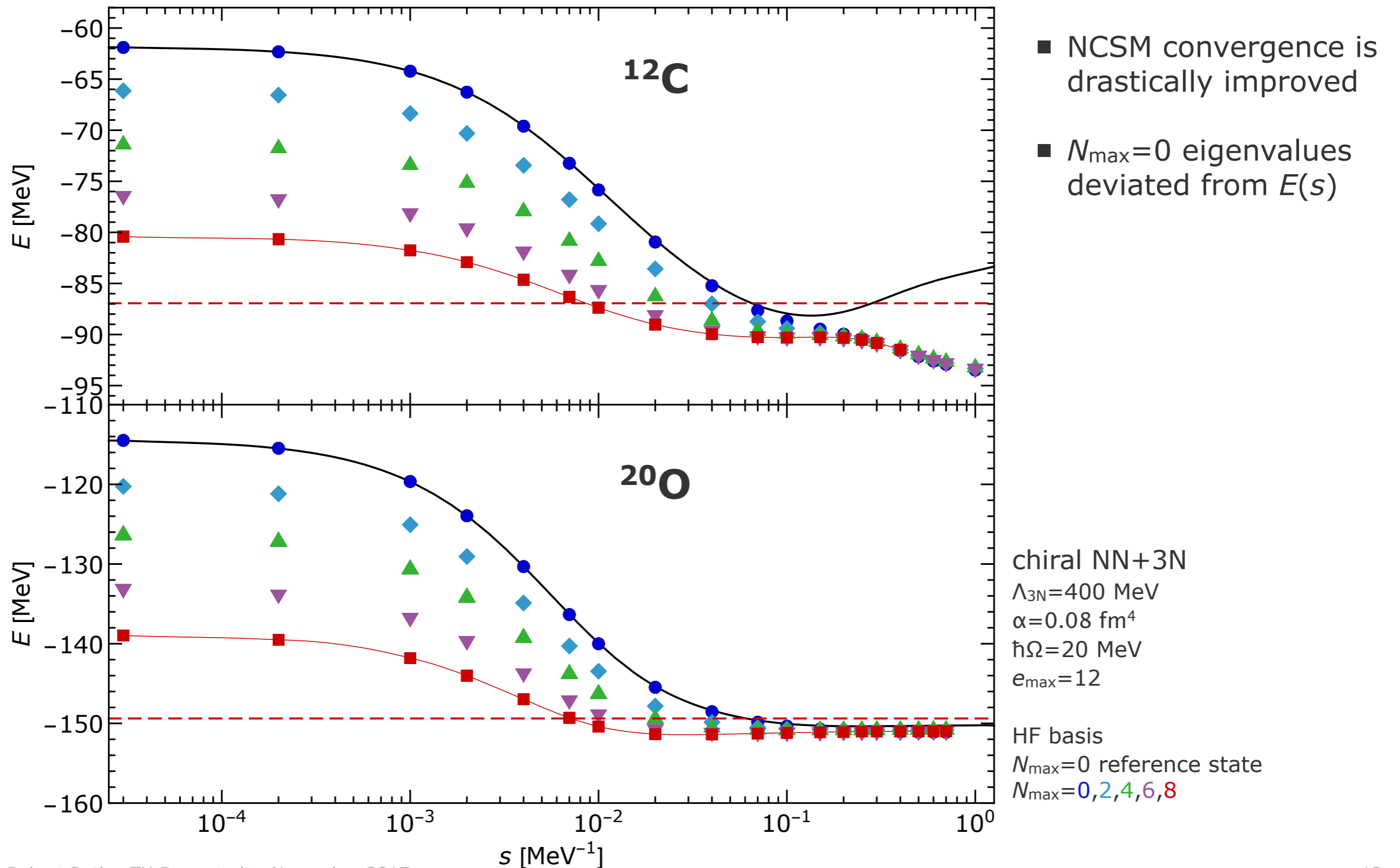
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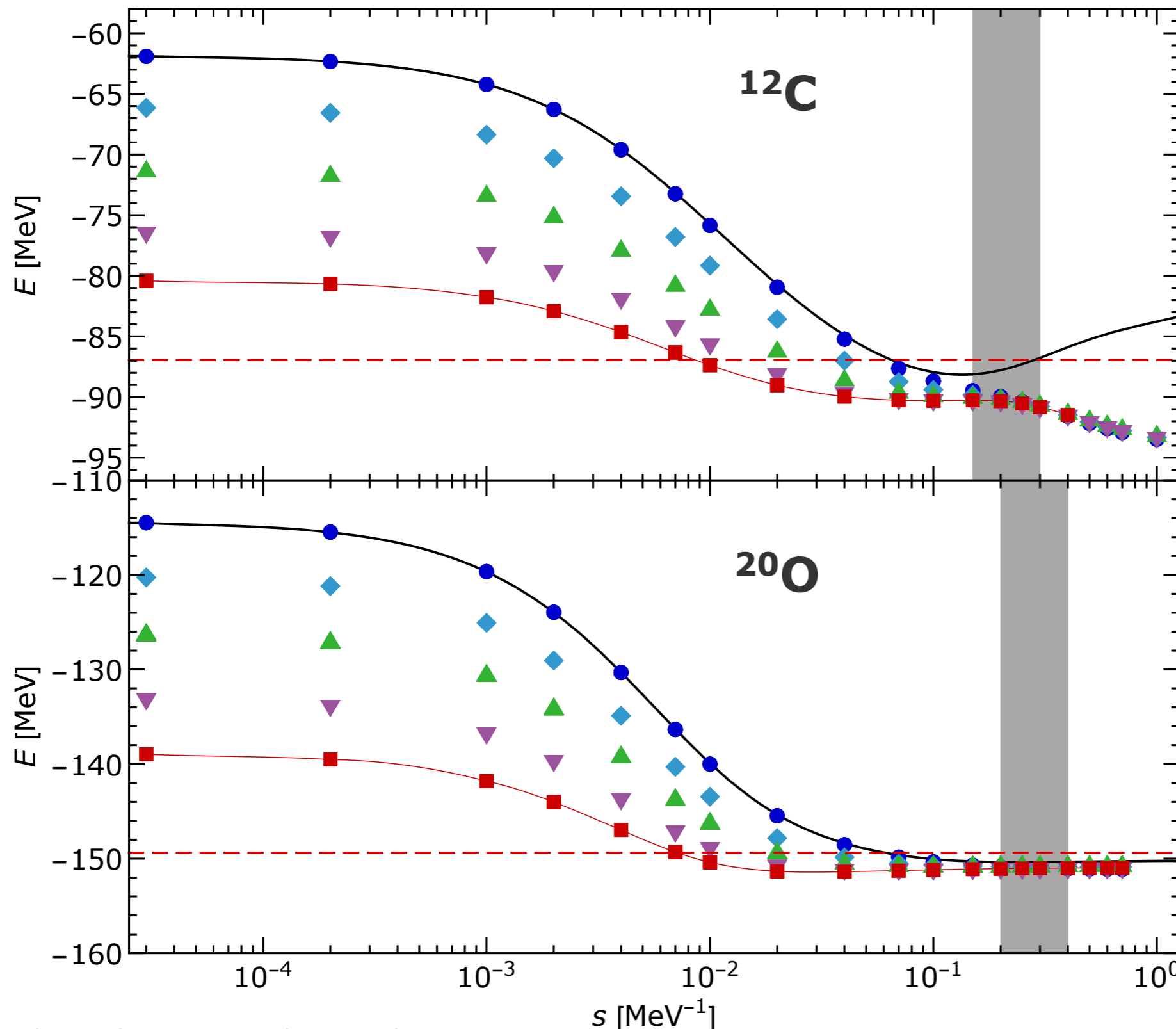
Flow: Ground-State Energy

Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



Flow: Ground-State Energy

Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



- NCSM convergence is drastically improved
- $N_{\text{max}}=0$ eigenvalues deviated from $E(s)$
- determine energy from flow-parameter region before induced terms become significant

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm 4

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

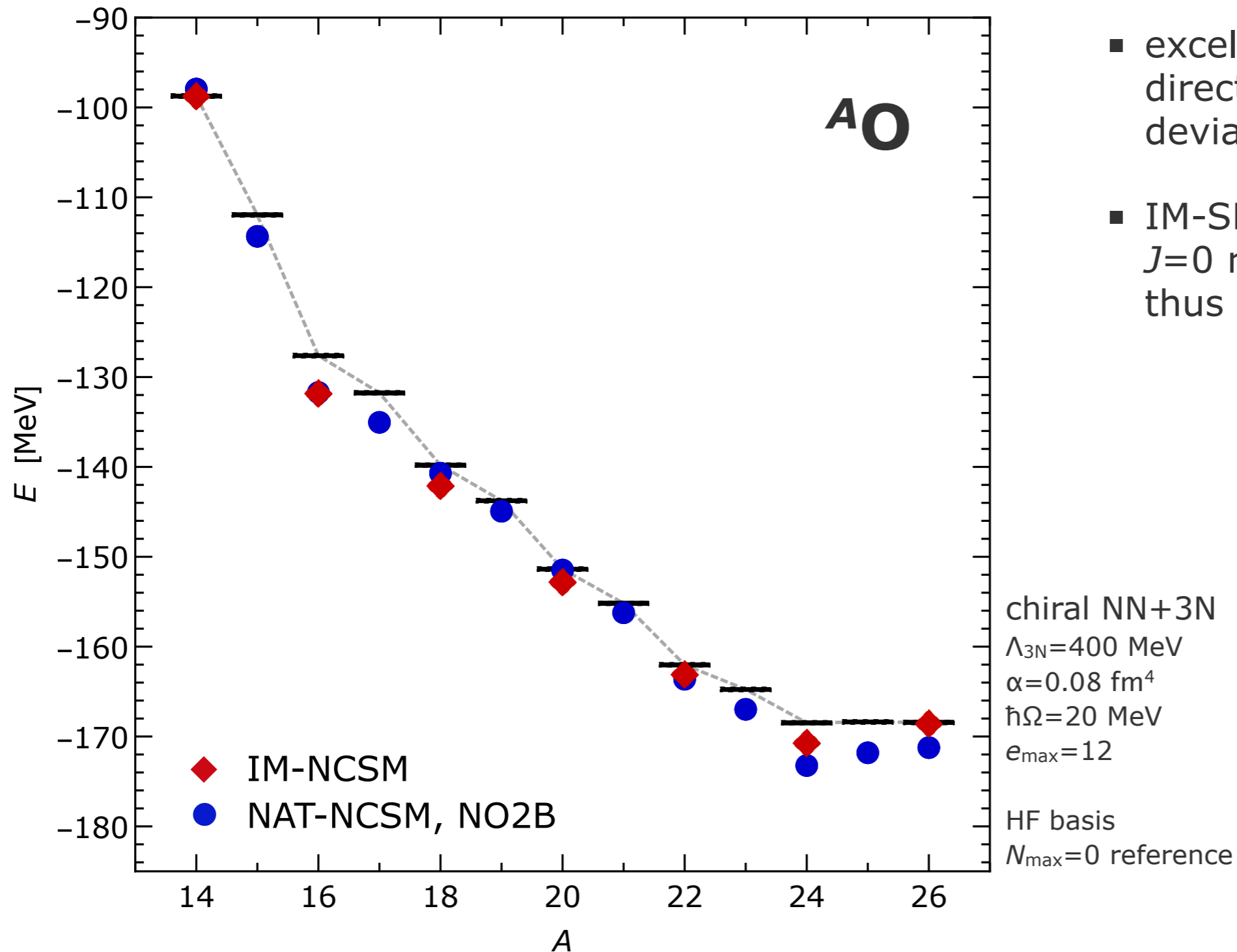
HF basis

$N_{\text{max}}=0$ reference state

$N_{\text{max}}=0, 2, 4, 6, 8$

Oxygen Isotopes

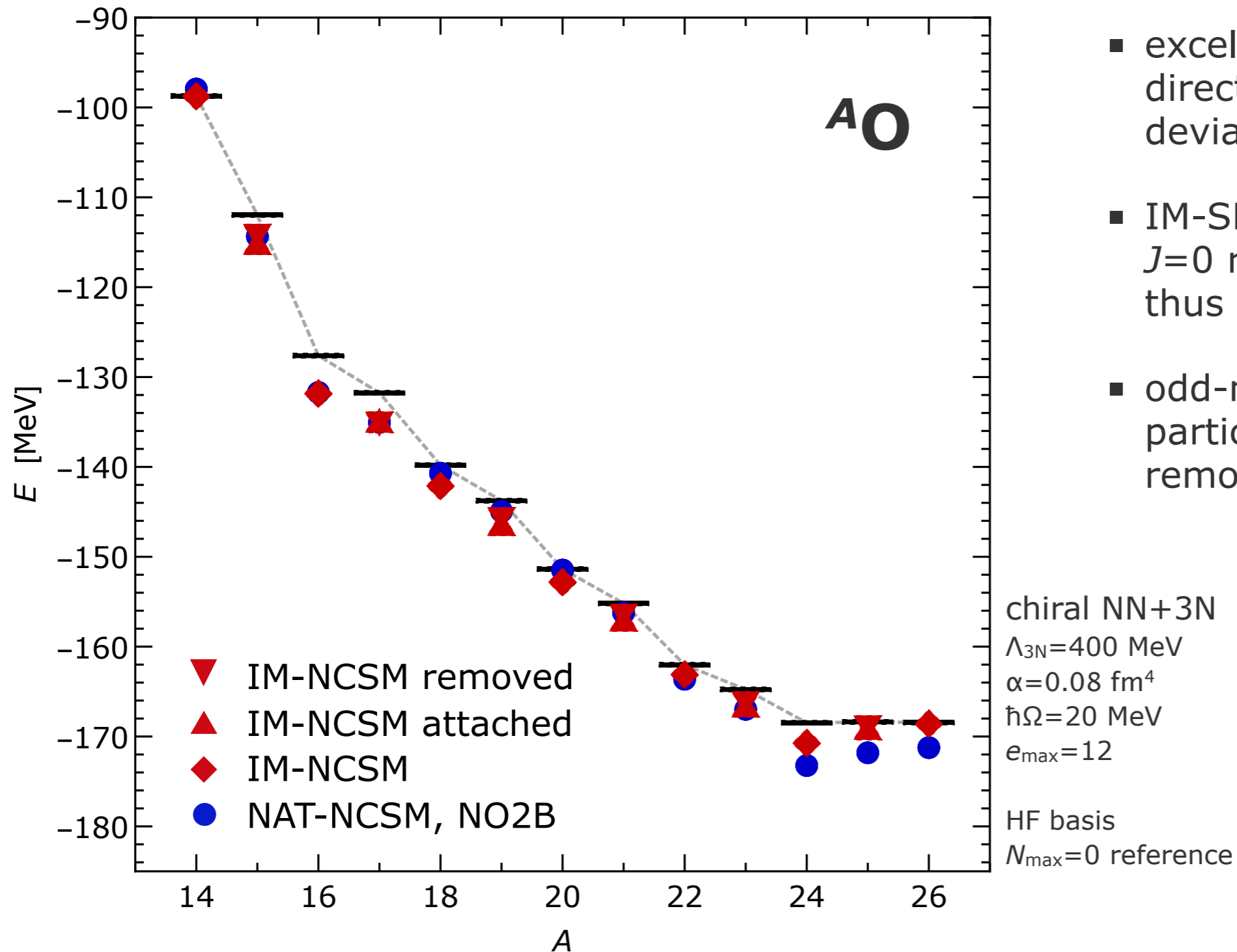
Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



- excellent agreement with direct NCSM; slightly larger deviations from ²⁴O on
- IM-SRG evolution limited to $J=0$ reference states and thus even-mass isotopes

Oxygen Isotopes

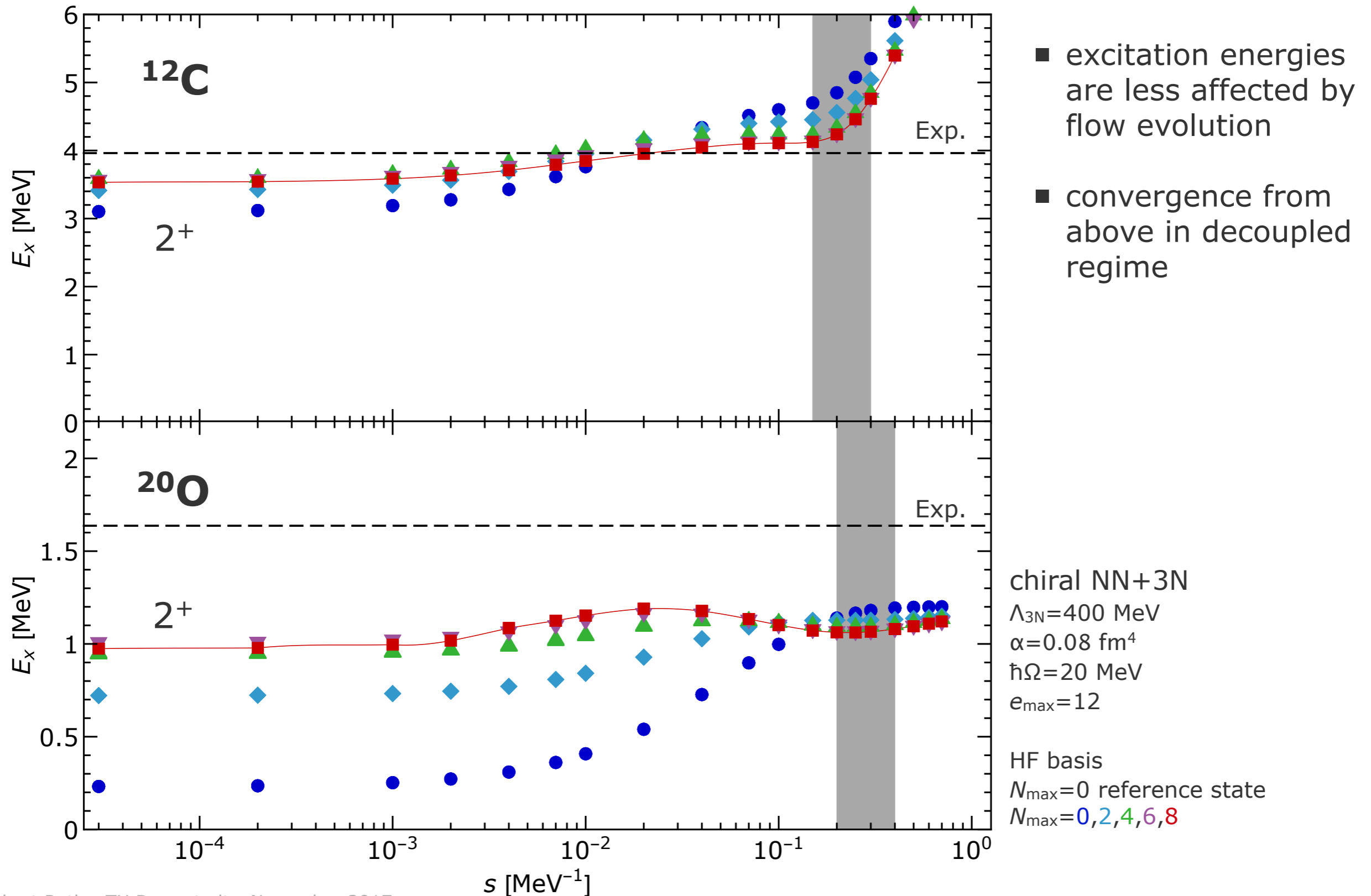
Vobig, Gebrerufael, Roth; in prep.



- excellent agreement with direct NCSM; slightly larger deviations from ²⁴O on
- IM-SRG evolution limited to $J=0$ reference states and thus even-mass isotopes
- odd-mass nuclei via simple particle attachment or removal in final NCSM run

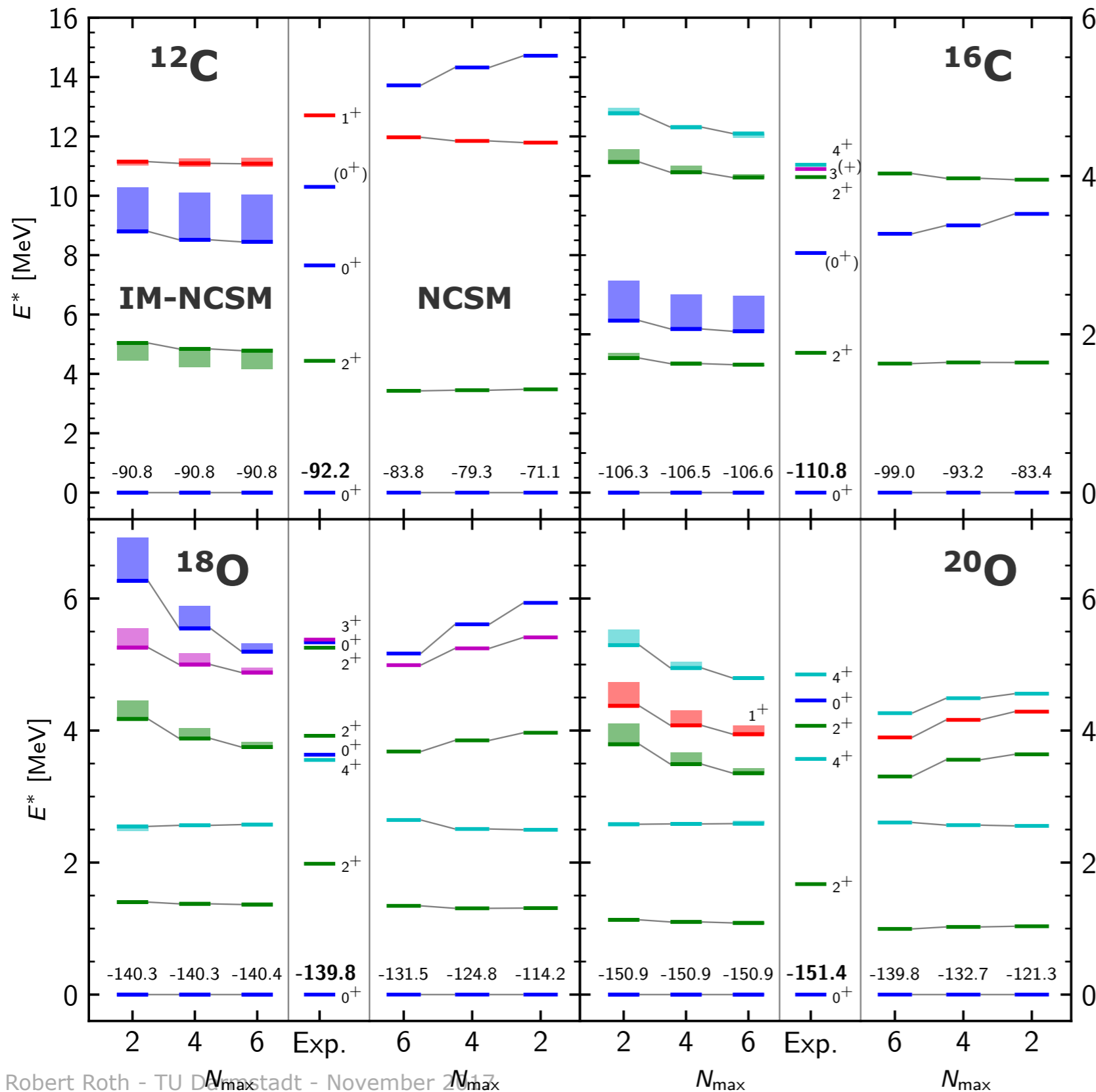
Flow: 2^+ Excitation Energy

Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



IM-NCSM: Excitation Spectra

Gebrerufael, Vobig, Hergert, Roth; PRL 118, 152503 (2017)



■ IM-NCSM and direct NCSM in excellent agreement for converged states

■ first excited 0^+ states in ^{12}C and ^{16}C differ

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$e_{\text{max}}=12$

HF basis

$N_{\text{max}}=0$ reference

Perturbatively Improved NCSM

Tichai, Gebrerufael, Vobig, Roth; arXiv:1703.05664

NCSM
many-body solution

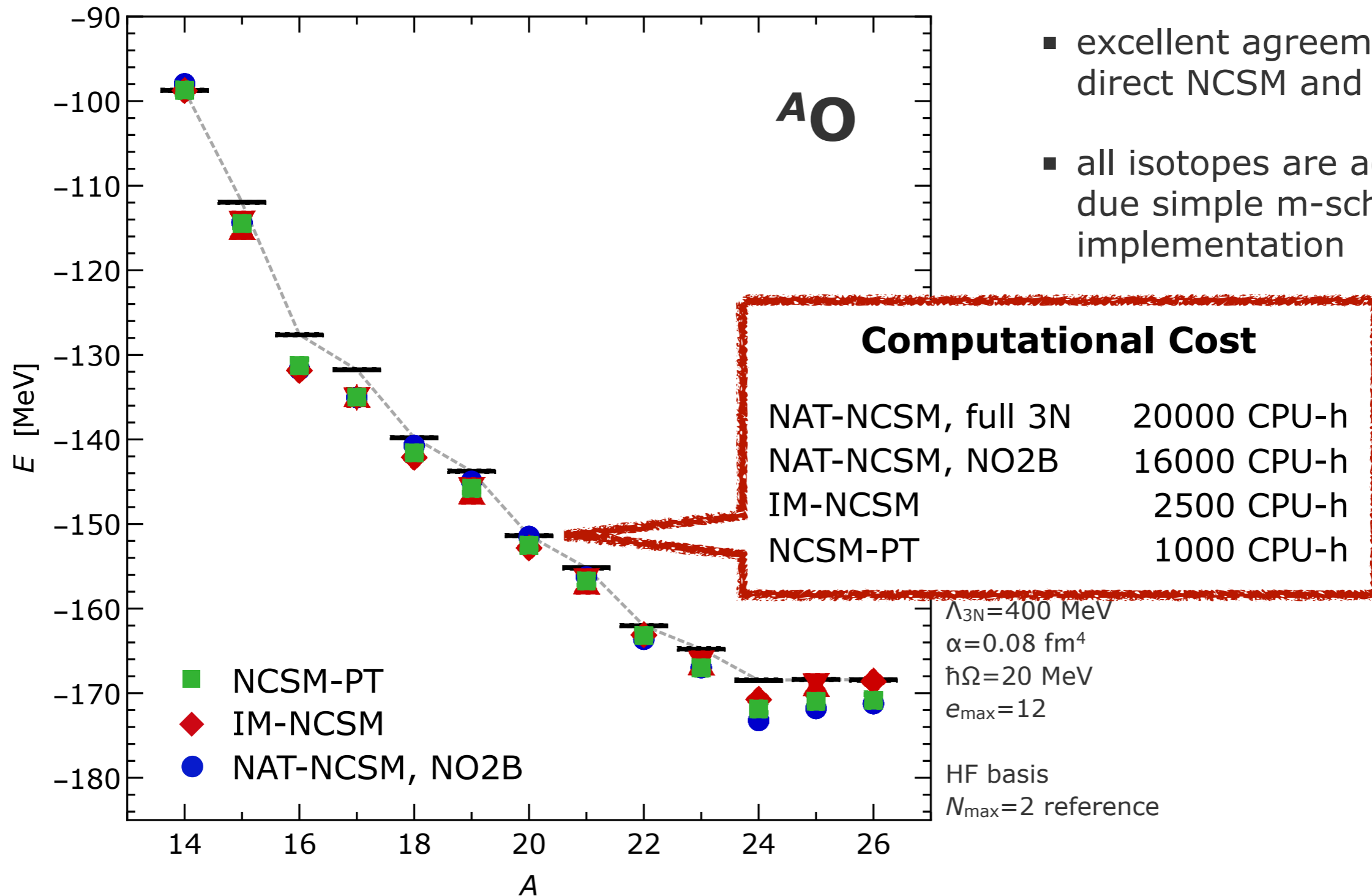
- eigenstates from NCSM at small N_{\max} as unperturbed states
- access to all open-shell nuclei and systematically improvable

MBPT
convergence booster

- multi-configurational MBPT at second order for individual unperturbed states
- capture couplings in huge model-space through perturbative corrections

Oxygen Isotopes

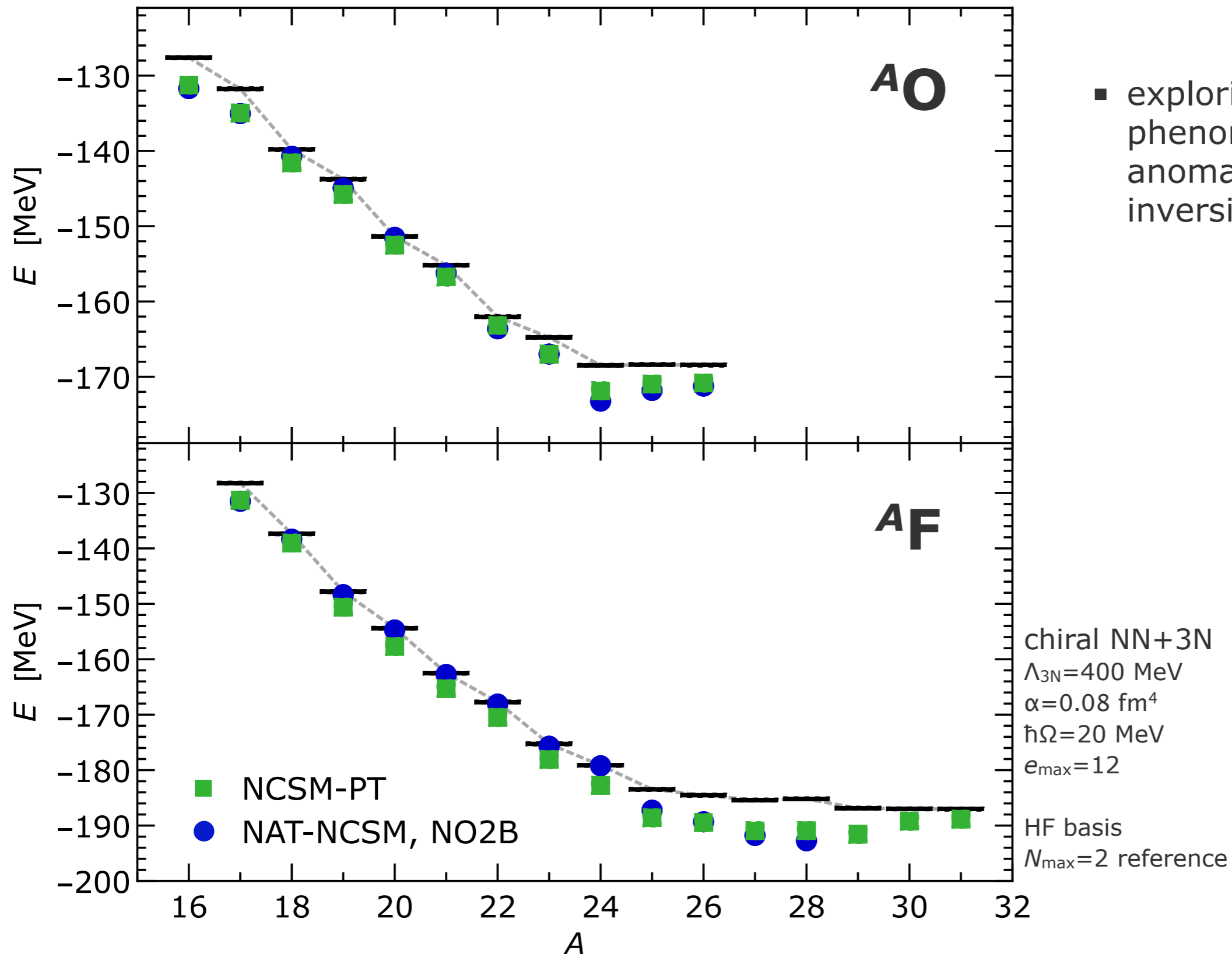
Tichai, Gebrerufael, Vobig, Roth; arXiv:1703.05664



- excellent agreement with direct NCSM and IM-NCSM
- all isotopes are accessible due simple m-scheme implementation

Exploring sd-Shell Phenomena

Tichai, Gebrerufael, Vobig, Roth; in prep.



Strength-Function NCSM

Stumpf, Wolfgruber, Roth; arXiv:1709.06840

NCSM
ground state

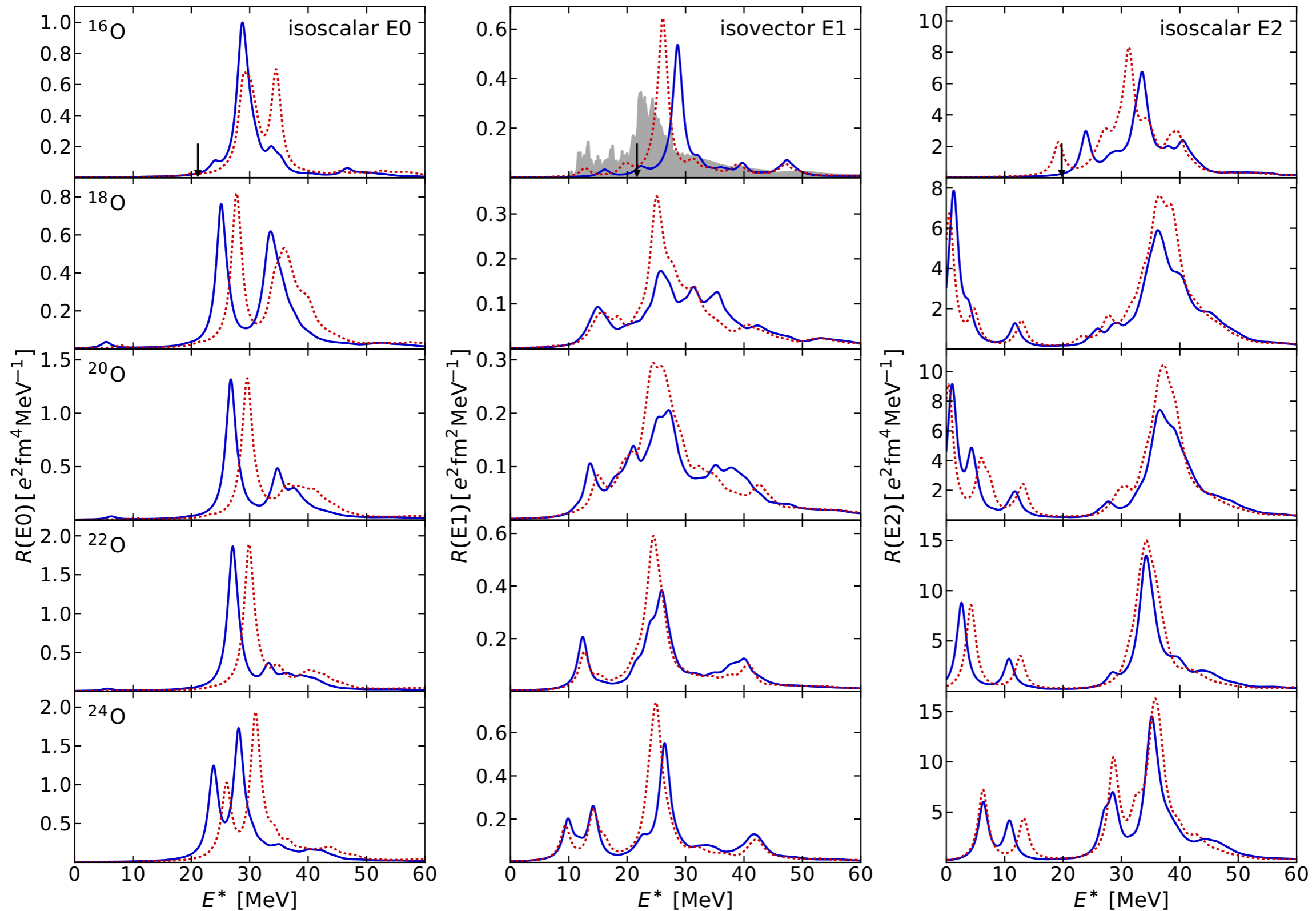
- regular NCSM calculation for ground state for a range of N_{\max} truncations
- access to all open-shell nuclei

NCSM
strength distribution

- prepare pivot vector by applying transition operator to ground-state vector
- use Lanczos strength-function method to generate strength distribution

Strength-Function NCSM

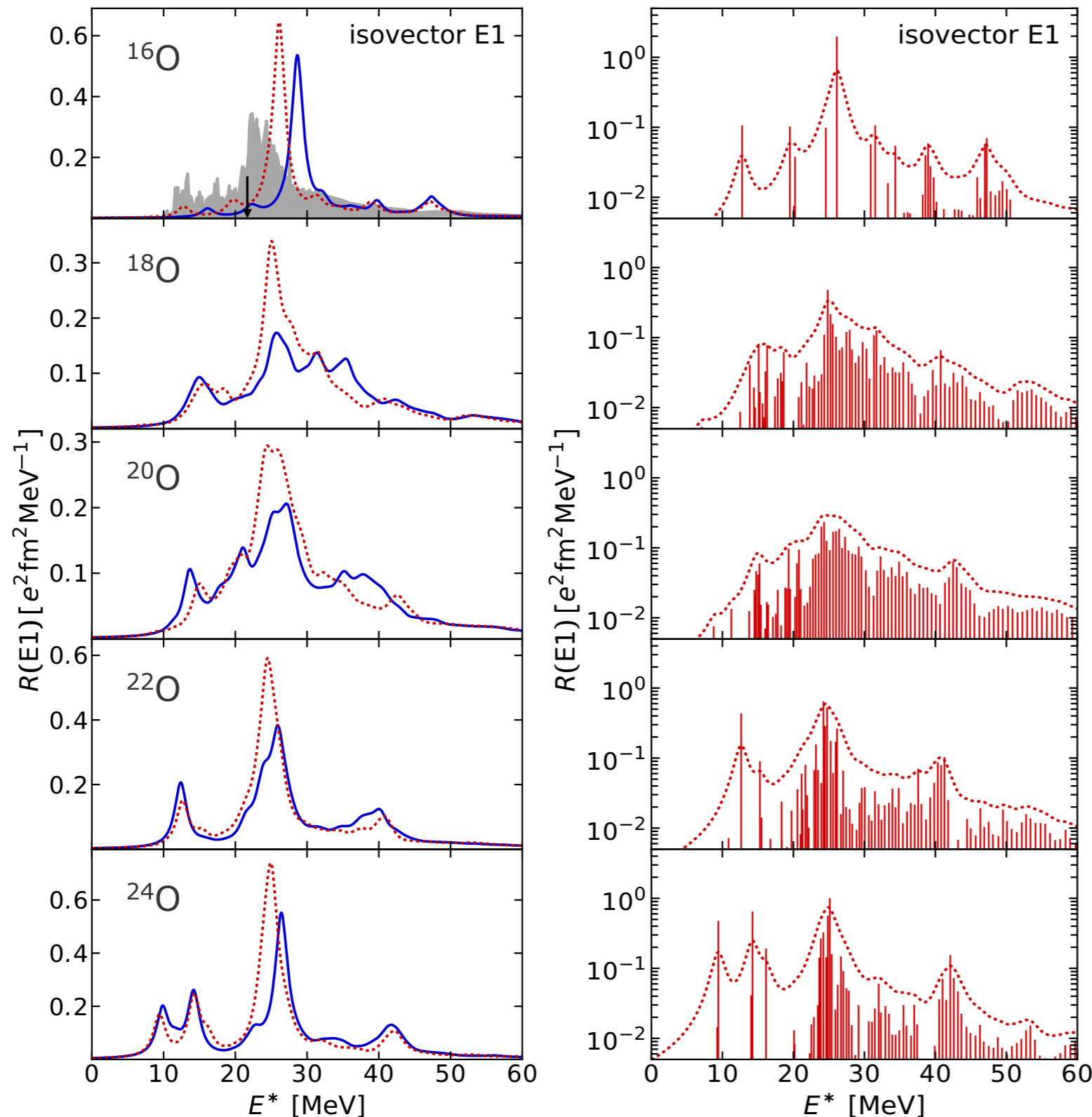
Stumpf, Wolfgruber, Roth; arXiv:1709.06840



chiral NN+3N(400) & N2LO-SAT, $\alpha=0.08 \text{ fm}^4$,
HF basis, $\hbar\Omega=20 \text{ MeV}$, $e_{\text{max}}=12$, $N_{\text{max}}=8/9$, 1MeV smearing

Strength-Function NCSM

Stumpf, Wolfgruber, Roth; arXiv:1709.06840



- strength distributions are fully converged
- account for low-lying strength around threshold, fragmentation, fine structure
- structural changes with increasing neutron number
- eliminate notorious problems with RPA or Second RPA

chiral NN+3N(400)

N2LO-SAT

$\alpha=0.08 \text{ fm}^4$

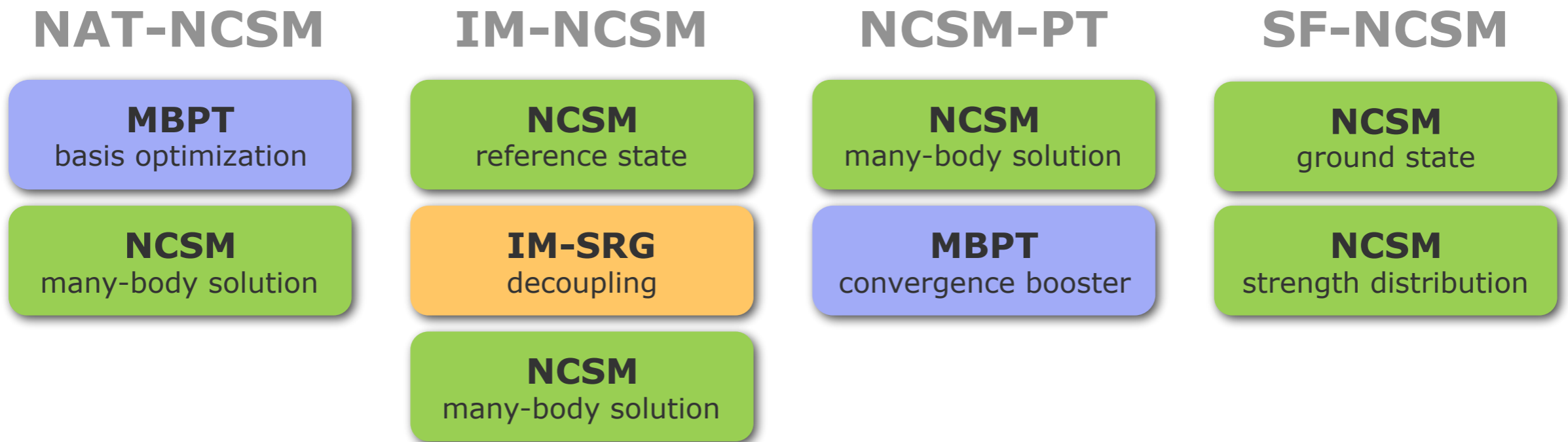
$\hbar\Omega=20 \text{ MeV}$

$e_{\text{max}}=12$

HF basis

$N_{\text{max}}=8/9$

Conclusions

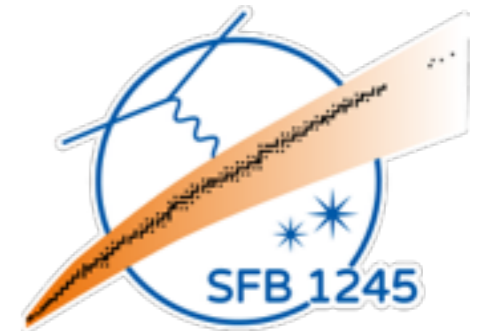


- development of new ab initio methods still going strong
- hybrids built on the NCSM enable comprehensive access to ground and excited states of arbitrary open-shell nuclei
- mass reach:
 - $A \lesssim 30$ if large N_{\max} is needed: NAT-NCSM, SF-NCSM
 - $A \lesssim 70$ if small N_{\max} is sufficient: IM-NCSM, NCSM-PT
- more hybrids: NCSM with Continuum [see Petr Navratil's talk]

Epilogue

■ thanks to my group and my collaborators

- S. Alexa, D. Derr, E. Gebrerufael, A. Geißel, T. Hüther, L. Mertes, J. Müller, S. Schulz, C. Stumpf, A. Tichai, K. Vobig, R. Wirth
Technische Universität Darmstadt
- P. Navrátil, A. Calci
TRIUMF, Vancouver
- S. Binder
Oak Ridge National Laboratory
- H. Hergert
NSCL / Michigan State University
- J. Vary, P. Maris
Iowa State University
- E. Epelbaum, H. Krebs & the LENPIC Collaboration
Universität Bochum, ...



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