



The NA62 Project at CERN: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at the SPS

Rencontres de Moriond EW,
March, 7-14, 2009

CERN-SPSC-2005-013
SPSC-P-326
CERN-SPSC-2007-035
SPSC-M760

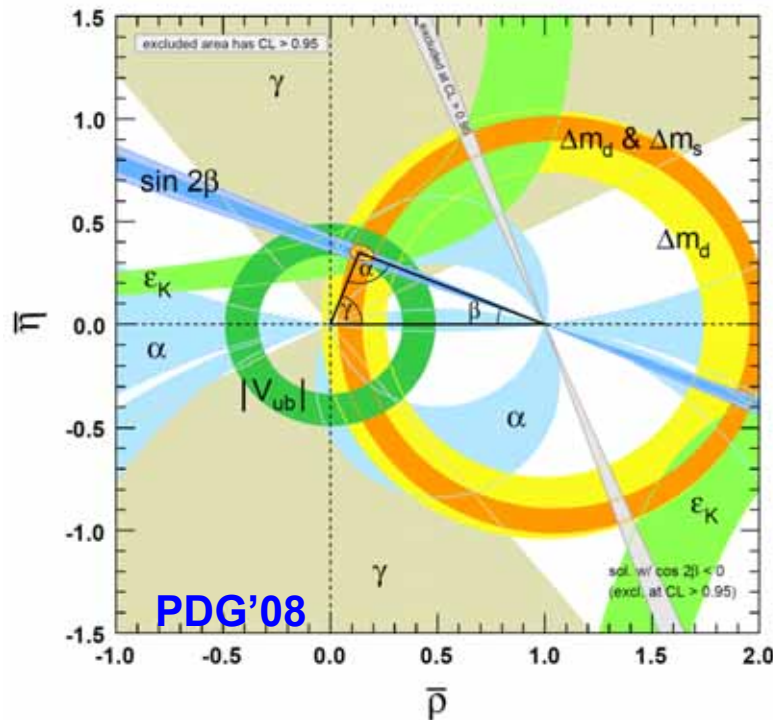
A. Ceccucci for the NA62 Collaboration:

Bern ITP, Birmingham, CERN, Dubna, Ferrara, Fairfax, Florence, Frascati,
IHEP, INR, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I,
Rome II, San Luis Potosi, SLAC, Sofia, TRIUMF, Turin

Flavor in the Era of the LHC*



- The current experimental manifestations of CP-Violation (**K** and **B** decays and mixing) are consistent with just one **complex phase** in the **CKM** matrix (“Standard Model”)



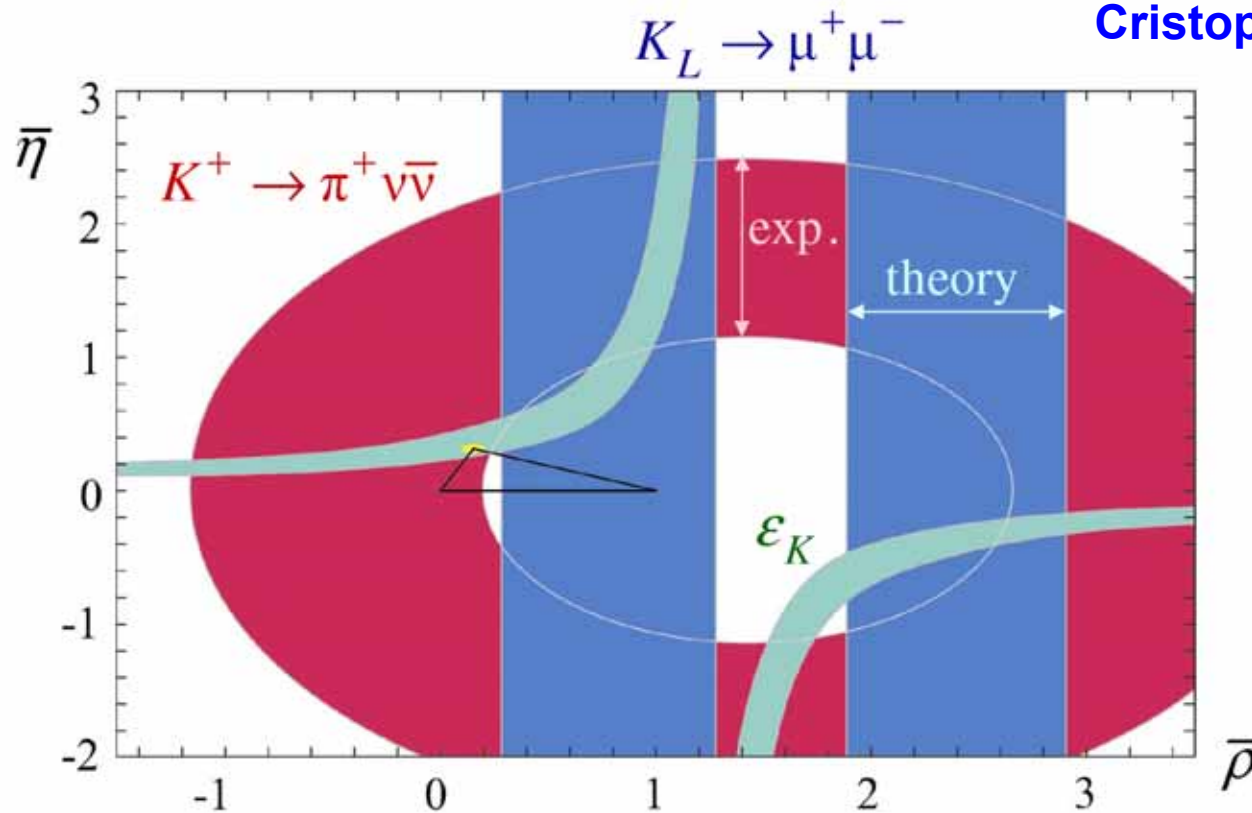
*CERN Extended workshop, Nov 2005,
March 2007, Edited by R. Fleischer, T.
Hurth and M.L. Mangano
EPJ C, 57, Vol 1-2, Sept 2008

“[These articles] confirm that flavour
physics is an essential ingredient in the
future of high-energy physics”

- Paradigm shift: we should determine the “**true**” CKM parameters from observables not affected by New Physics (e.g. B tree decays) and measure **loop-induced, precisely predictable (SM), FCNC to detect patterns of deviation**

Rare Kaon Decays

Cristopher Smith @ CKM '08



$$K_L \rightarrow \pi^0 \nu \bar{\nu} : \\ \bar{\eta} < 17$$

$$K_L \rightarrow \pi^0 e^+ e^- : \\ \bar{\eta} < 3.3$$

$$K_L \rightarrow \pi^0 \mu^+ \mu^- : \\ \bar{\eta} < 5.4$$

$K \rightarrow \pi \nu \bar{\nu}$: A theoretically pristine and experimentally almost unexplored opportunity

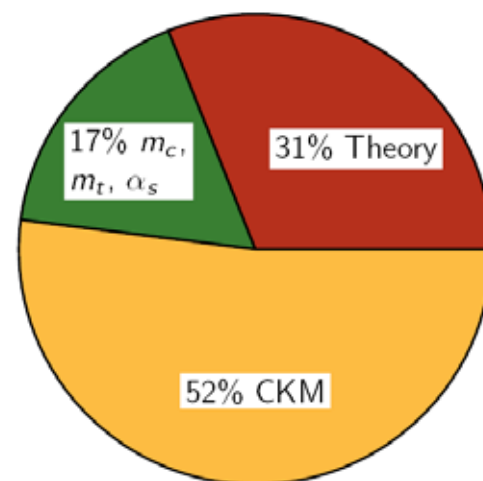
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: Physics Motivation

In the Standard Model:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = k_+ (1 + \Delta_{EM}) \times \frac{|V_{ts}^* V_{td} X_t(m_t^2) + \lambda^4 \text{Re} V_{cs}^* V_{cd} (P_c(m_c^2) + \delta P_{c,u})|^2}{\lambda^5}$$

- NLO QCD [Buchalla, Buras '94], [Misiak, Urban '99], [Buchalla, Buras '99]
- Charm
 - NNLO QCD [Buras, Gorbahn, Haisch, Nierste '06]
 - EW Corrections to P_c [Brod, Gorbahn '08]
- Long Distance
 - $|\Delta E| < 1\%$ [Mescia, Smith '07]
 - $\delta P_{c,u} +6\%$ [Isidori, Mescia, Smith '05]

- The SM Branching Ratio prediction is precise ($\sim 8\%$) and the intrinsic theory error is small
- The parametric error will be further reduced



[J. Brod @ CKM'08]

SM Prediction vs. Experiment

As reported by J. Brod, CKM '08

$$B^{TH}(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = (0.85 \pm 0.07) \times 10^{-10}$$

For $m_c = (1286 \pm 13) \text{ MeV}$ [Kühn et al. '07]



$$B^{EXP}(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$$

[E787, E949 '08]

And, for comparison:

$$B^{TH}(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (2.76 \pm 0.40) \times 10^{-11}$$

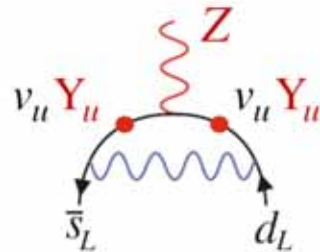
$$B^{EXP}(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) \leq 6.8 \times 10^{-8} \quad 90\% \text{ CL} \quad [\text{E391a '08}]$$

Future: E14 (KOTO) @ J-PARC

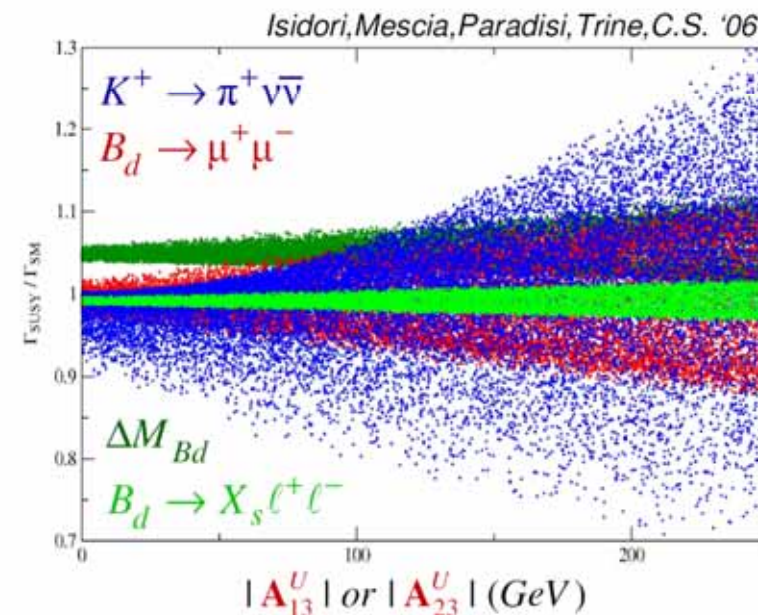
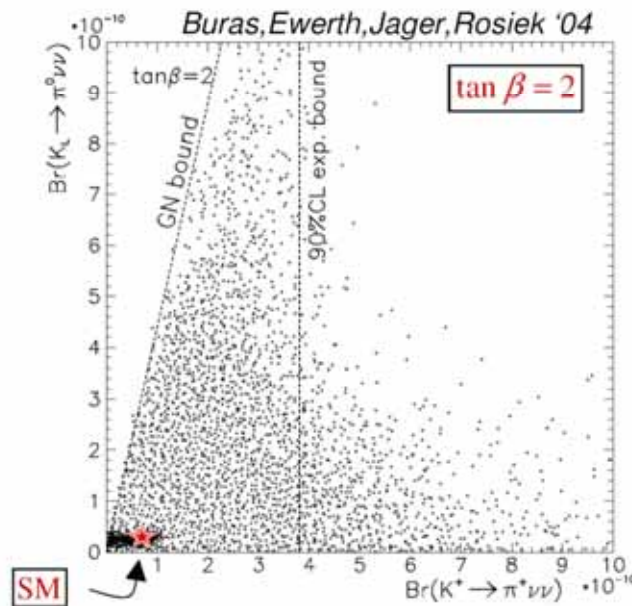
Kaon Rare Decays and NP

(courtesy by Christopher Smith)

C. The Z penguin (and its associated W box)



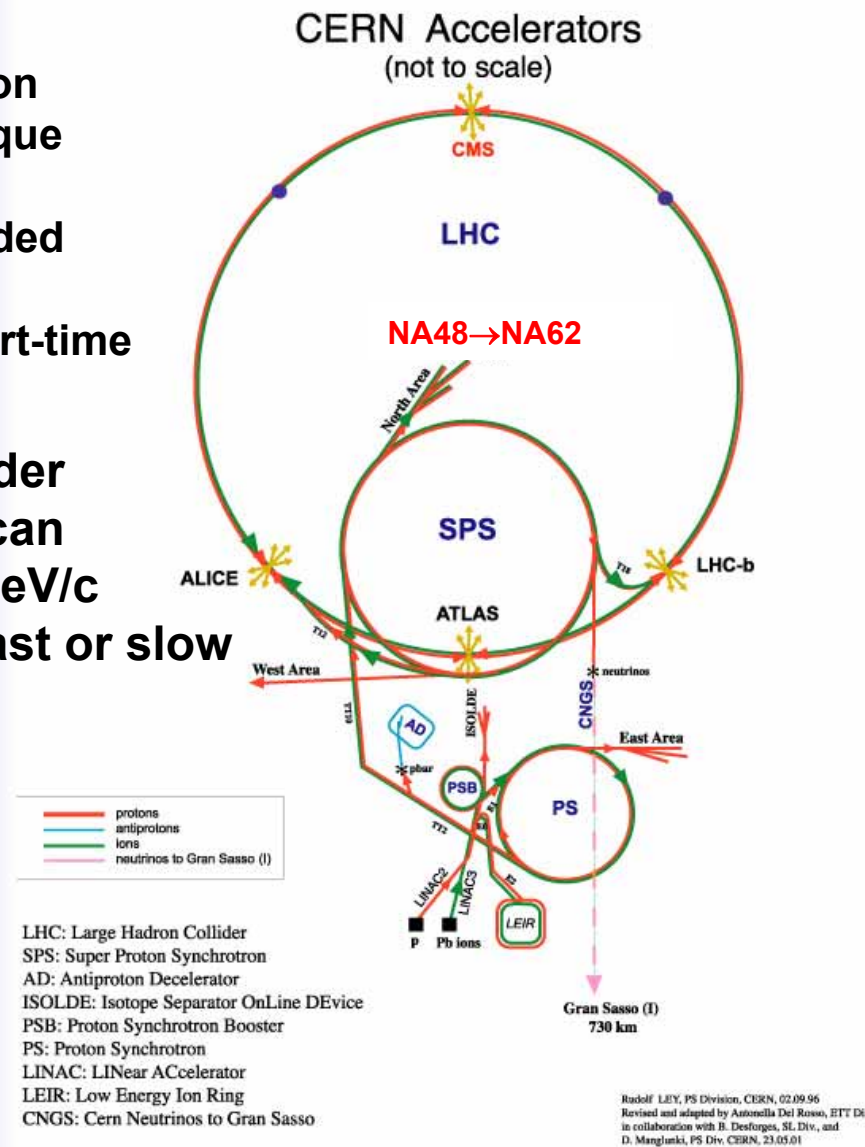
- $SU(2)_L$ breaking: $SM : v_u^2 Y_u^{*32} Y_u^{31} \sim m_t^2 V_{ts}^* V_{td}$
 $MSSM : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 \times O(1) ?$
 $MFV : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 V_{ts}^* V_{td} |A_0 a_2^* - \cot \beta \mu|^2$.
- Relatively slow decoupling (w.r.t. boxes or tree).



The CERN proton Complex is unique

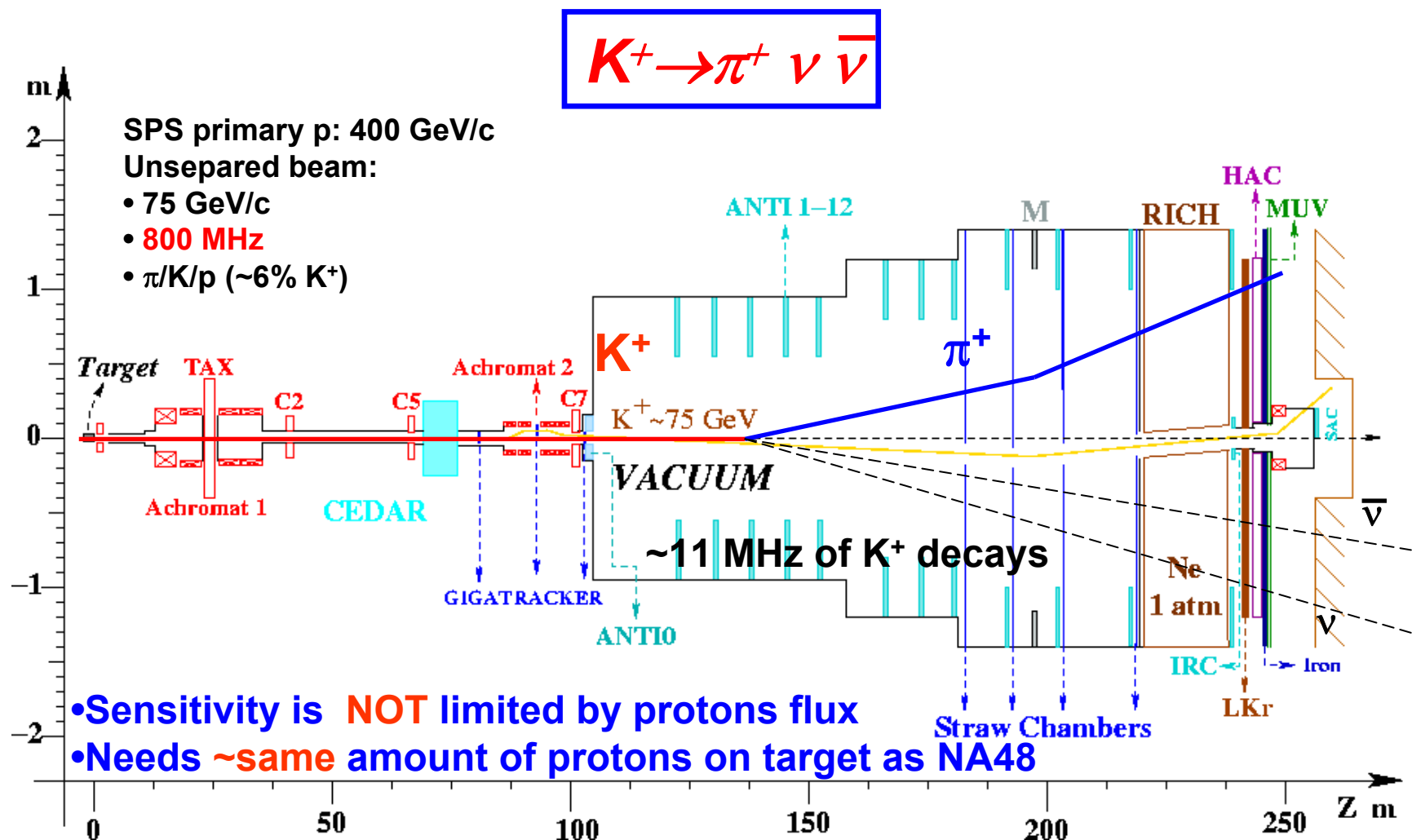
The SPS is needed as LHC proton injector only part-time

For the remainder of the time it can provide 400 GeV/c protons for fast or slow extraction



Nota Bene:
NA**YY** \equiv **YY**th
Experiment
Performed at the
North Area SPS
Extraction site

Proposed Detector Layout



Principles of NA62

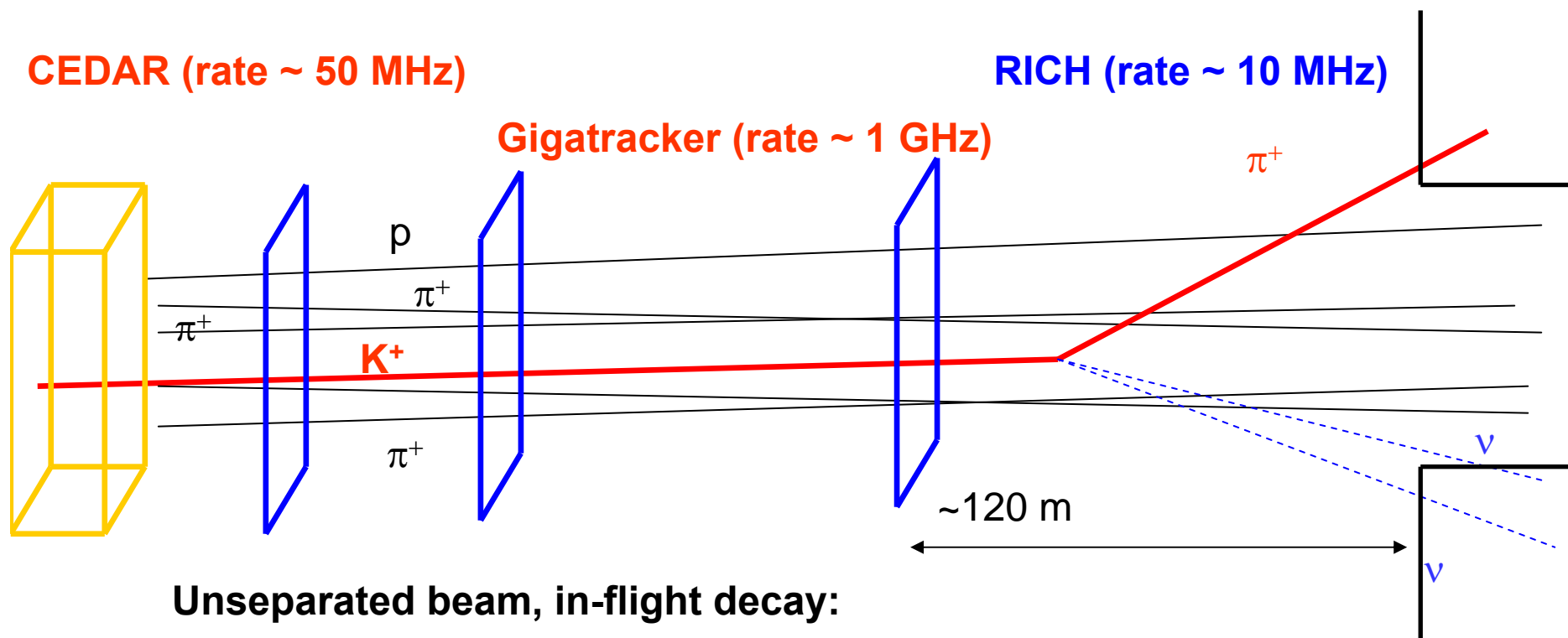
- **High momentum** kaon beam to improve the rejection of the π^0 induced backgrounds
- **Decay in-flight** to avoid the scattering and the backgrounds introduced by the stopping target

The experimental technique exploits:

1. **Precise timing** to associate the outgoing π^+ to the correct incoming parent particle (K^+)
2. **Kinematical Rejection** of two- and three-body backgrounds
3. **Veto** (γ and μ)
4. **Particle Identification** (K/π , π/μ)

To achieve the required background suppression, these techniques have to be combined together and possible correlations have to be measured

1. Precise Timing



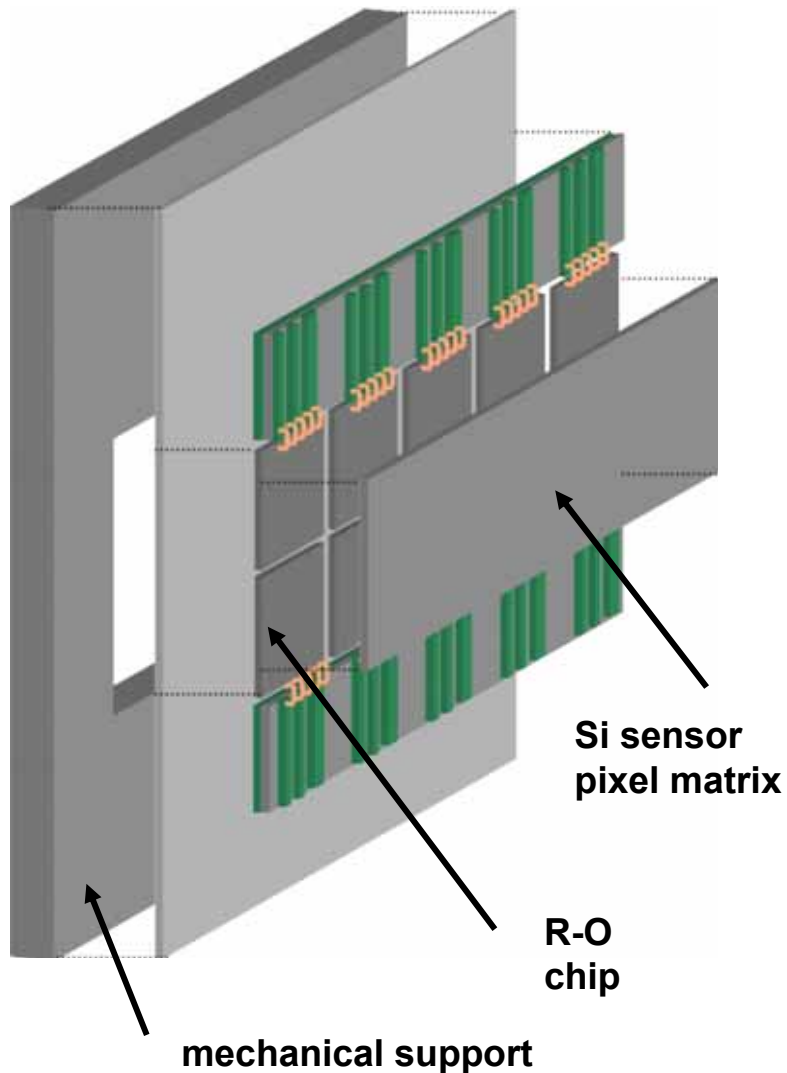
Unseparated beam, in-flight decay:

How do you associate the parent kaon to the daughter pion in a ~ 1 GHz beam ?

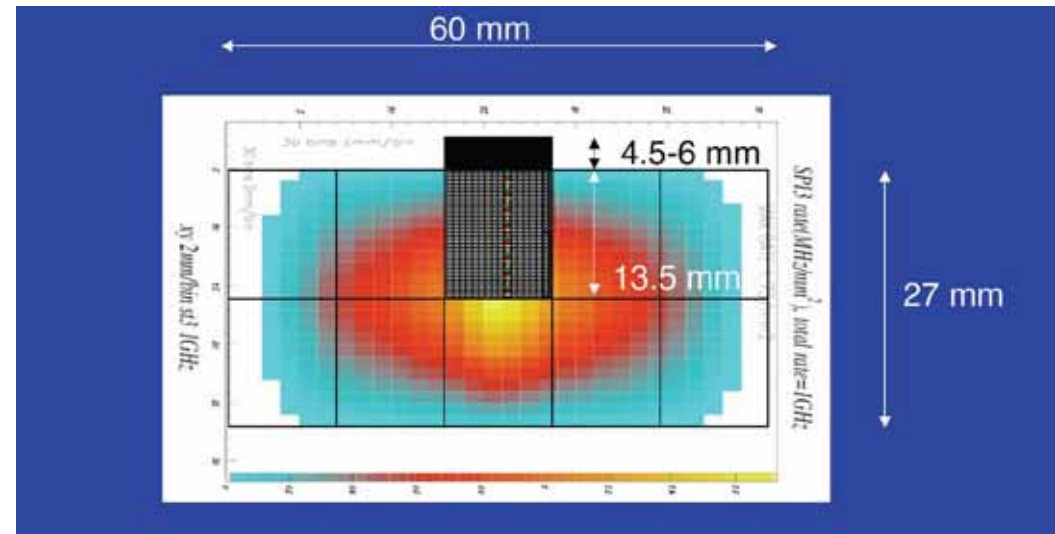
K^+ : **Gigatracker** (pixel detector) with very good time resolution (~ 100 ps)

π^+ : **RICH** (Neon, 1 atm) read out by Photomultipliers

GTK Station



Moriond EW, 2009



Requirements:

Track and time each beam particle

Time resolution: 200 ps / station

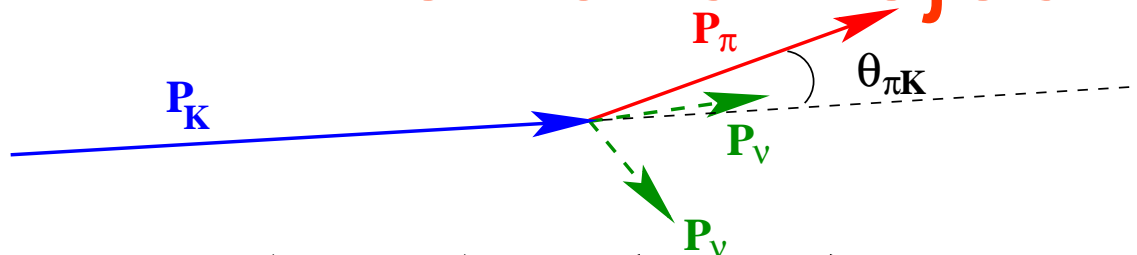
Material Budget: $< 0.5 \% X_0$ / station

Pattern: $300 \times 300 \mu\text{m}^2$

Two options for the Read-Out:

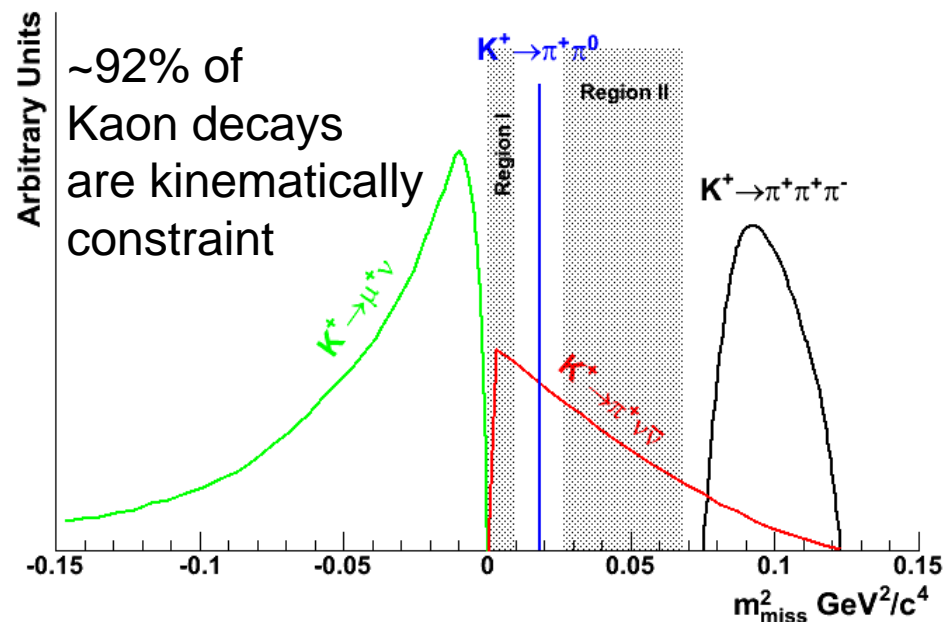
- On-Pixel TDC
- End-of-Column TDC

2. Kinematic Rejection



$$m_{miss}^2 \approx m_K^2 \left(1 - \frac{|P_\pi|}{|P_K|} \right) + m_\pi^2 \left(1 - \frac{|P_K|}{|P_\pi|} \right) - |P_K| |P_\pi| \mathcal{G}_{\pi K}^2$$

Decay	BR
$K^+ \rightarrow \mu^+ \nu$ ($K_{\mu 2}$)	0.64
$K^+ \rightarrow \pi^+ \pi^0$ ($K_{\pi 2}$)	0.21
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$ $K^+ \rightarrow \pi^+ \pi^0 \pi^0$	0.07



Kinematical Rejection



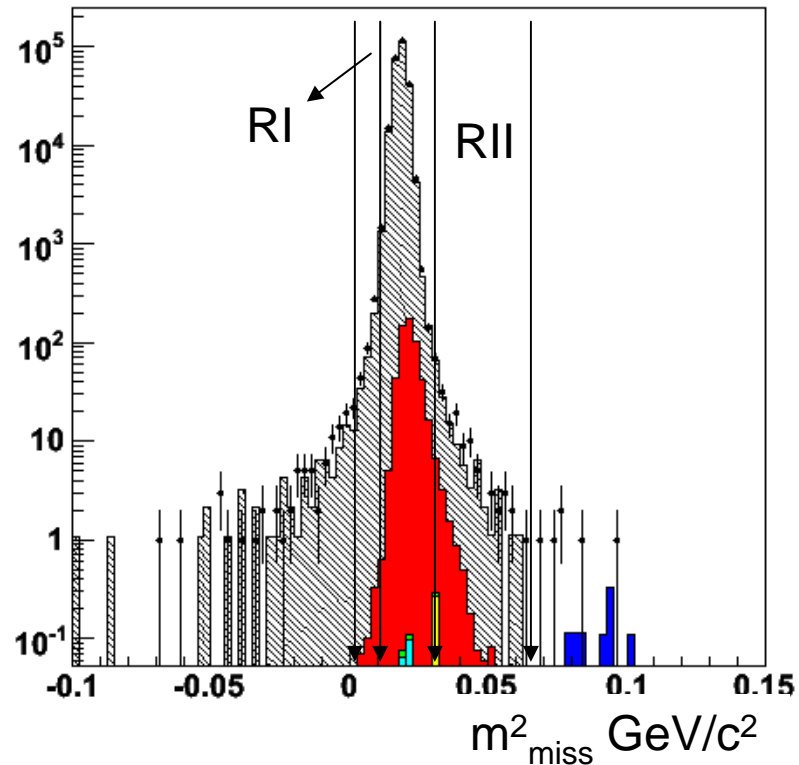
$K^+ \rightarrow \pi^+ \pi^0$ selected on 2007 data using LKr information only

Look at the tails in the m_{miss}^2 reconstructed with the NA48 DCH

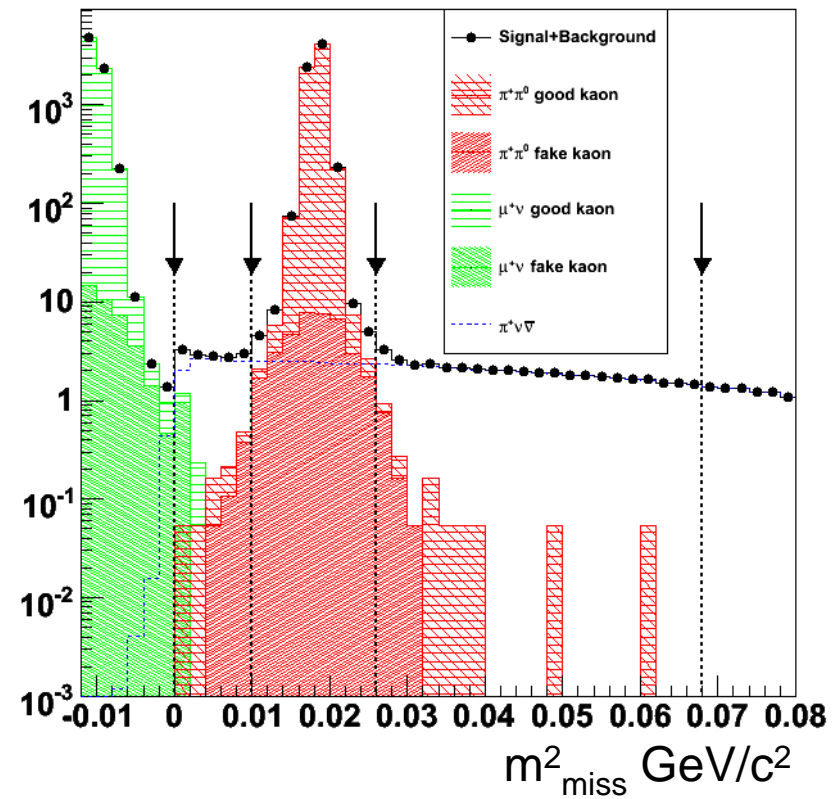
Data vs. NA48MC: reproducibility of non- gaussian tails within x2

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ regions: background $\sim 2 \times 10^{-3}$

OLD DCH: Data vs. MC



New Straw Tracker: MC

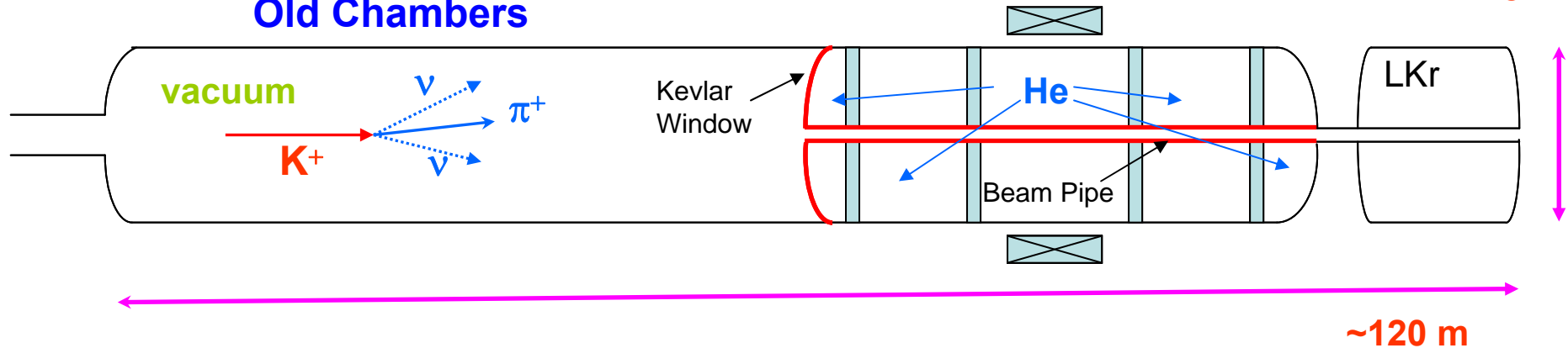


New Spectrometer



~2.5 m

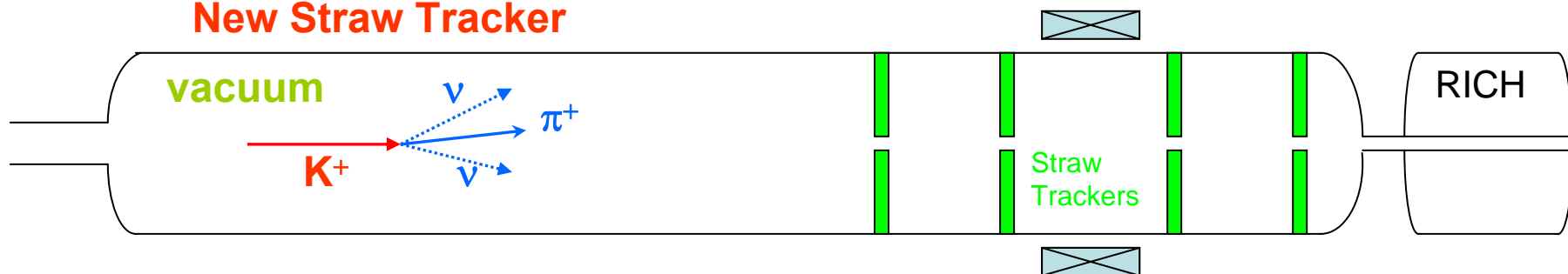
Old Chambers



The Straw Trackers operated in vacuum will enable us to:

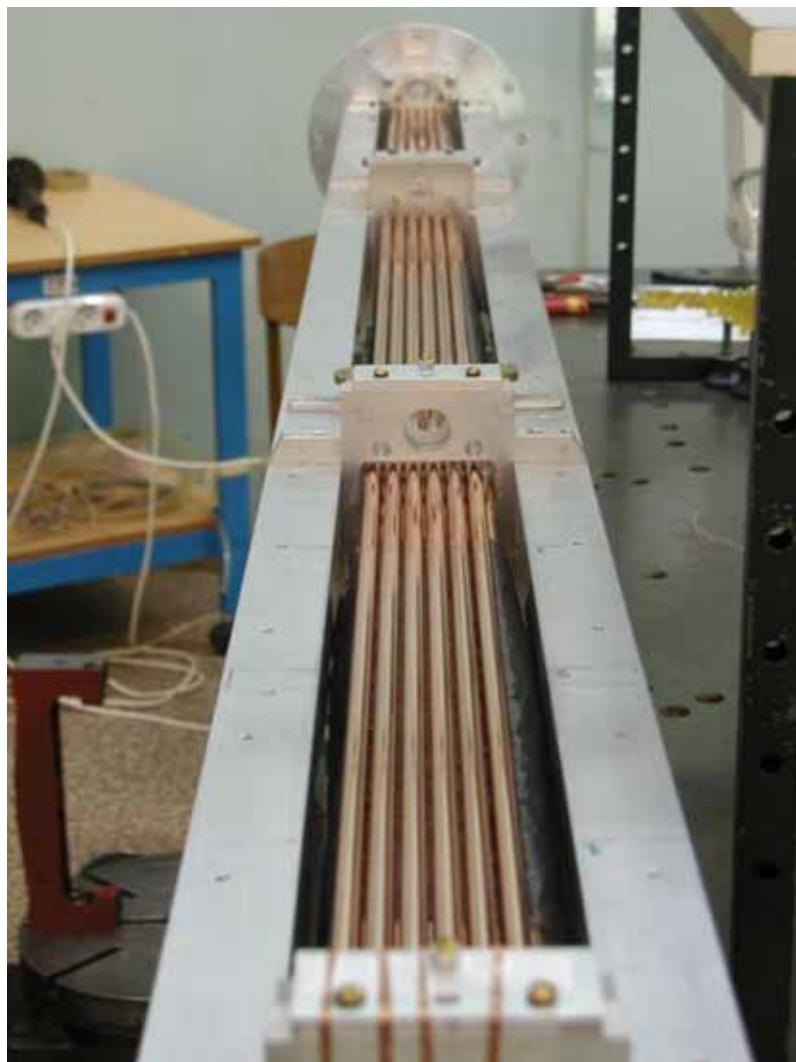
- Remove the multiple scattering due to the Kevlar Window
- Remove the acceptance limitations due to the beam-pipe
- Remove the helium between the chambers

New Straw Tracker



• The Straw Tracker is essential to study ultra-rare-decays in flight

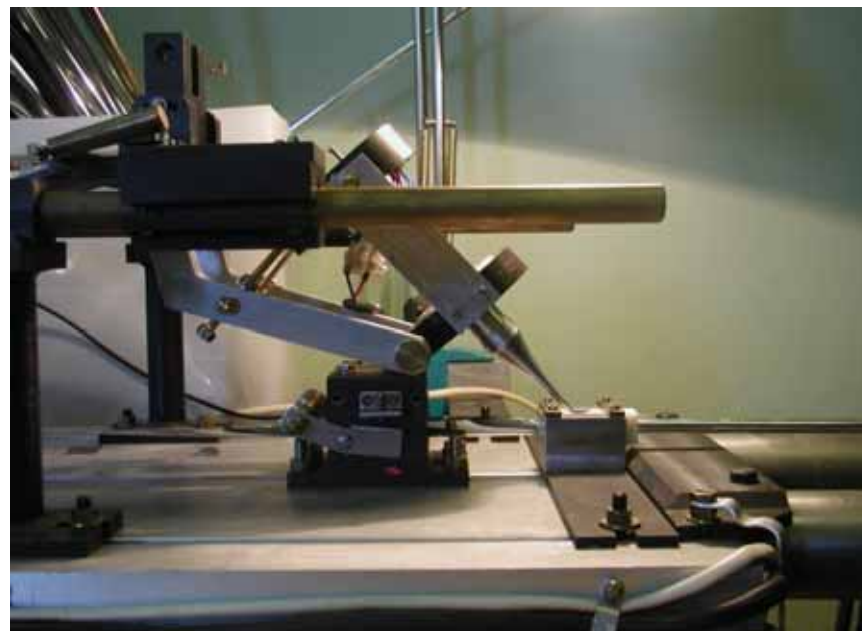
STRAW Prototype built in 2007



Moriond EW, 2009

Ultrasound Welded mylar
(linear weld, no glue!)

- 36 Al
- 12 (Cu+Au) mylar straws

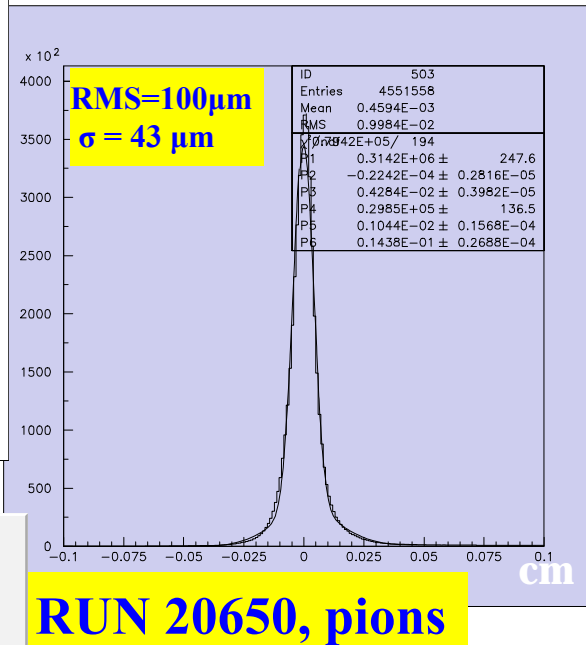
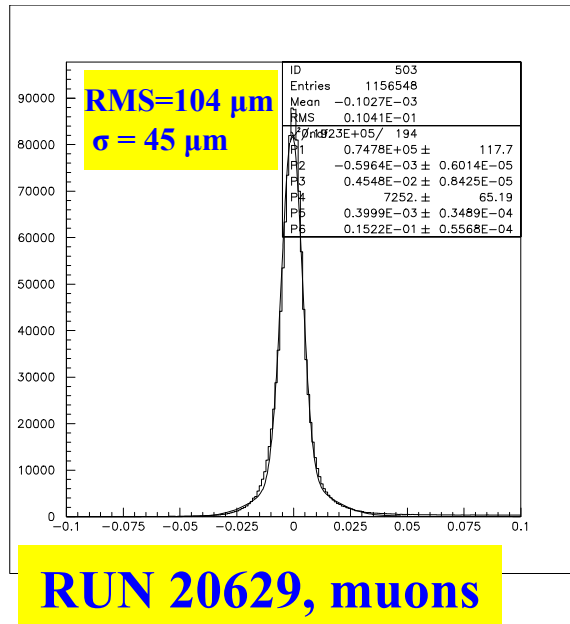


A. Ceccucci

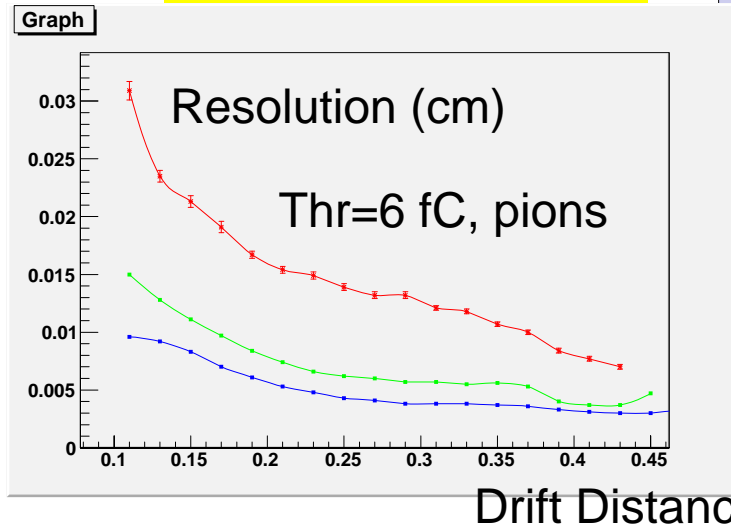
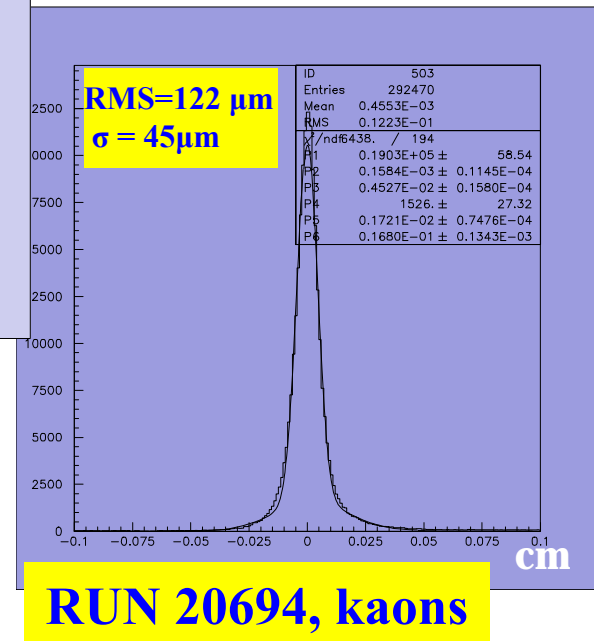
Straw Prototype: Beam Test 2007



Residuals



**full length Straw
Prototype: 2.1 m**



- 2200V
- 2300V
- 2400V

CO₂ (80%) CF₄ (10%) Isob. (10%)

3. Vetoes

- **Photon vetoes to reject $K^+ \rightarrow \pi^+ \pi^0$**

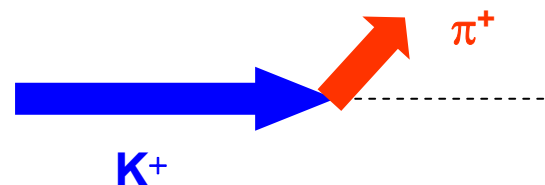
$P(K^+) = 75 \text{ GeV}/c$

Requiring $P(\pi^+) < 35 \text{ GeV}/c$

$P(\pi^0) > 40 \text{ GeV}/c$  It can hardly be missed in the calorimeters

Signature:

- Incoming **high momentum K^+**
- Outgoing **low momentum π^+**



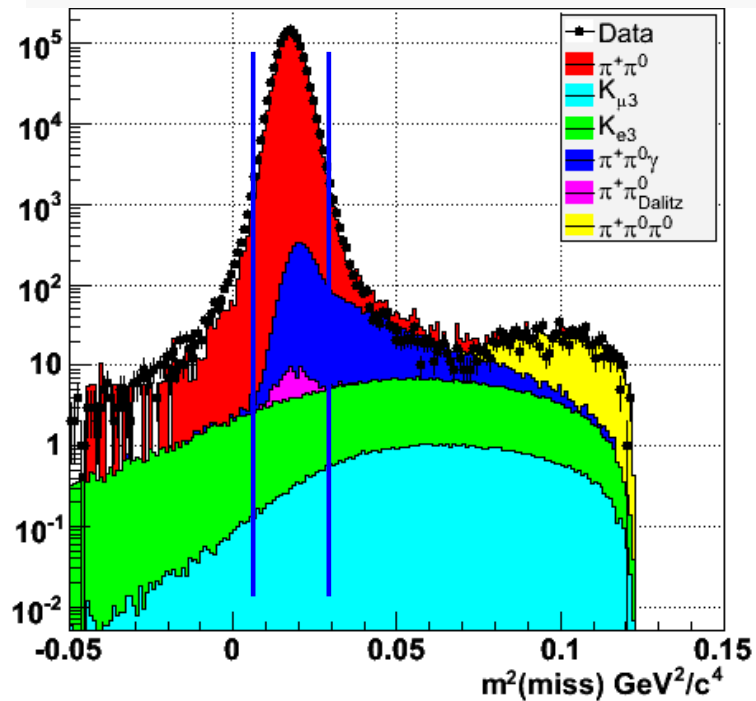
- **Muon Veto to reject $K^+ \rightarrow \mu^+ \nu$**

LKr γ Detection Efficiency (Measured from data)

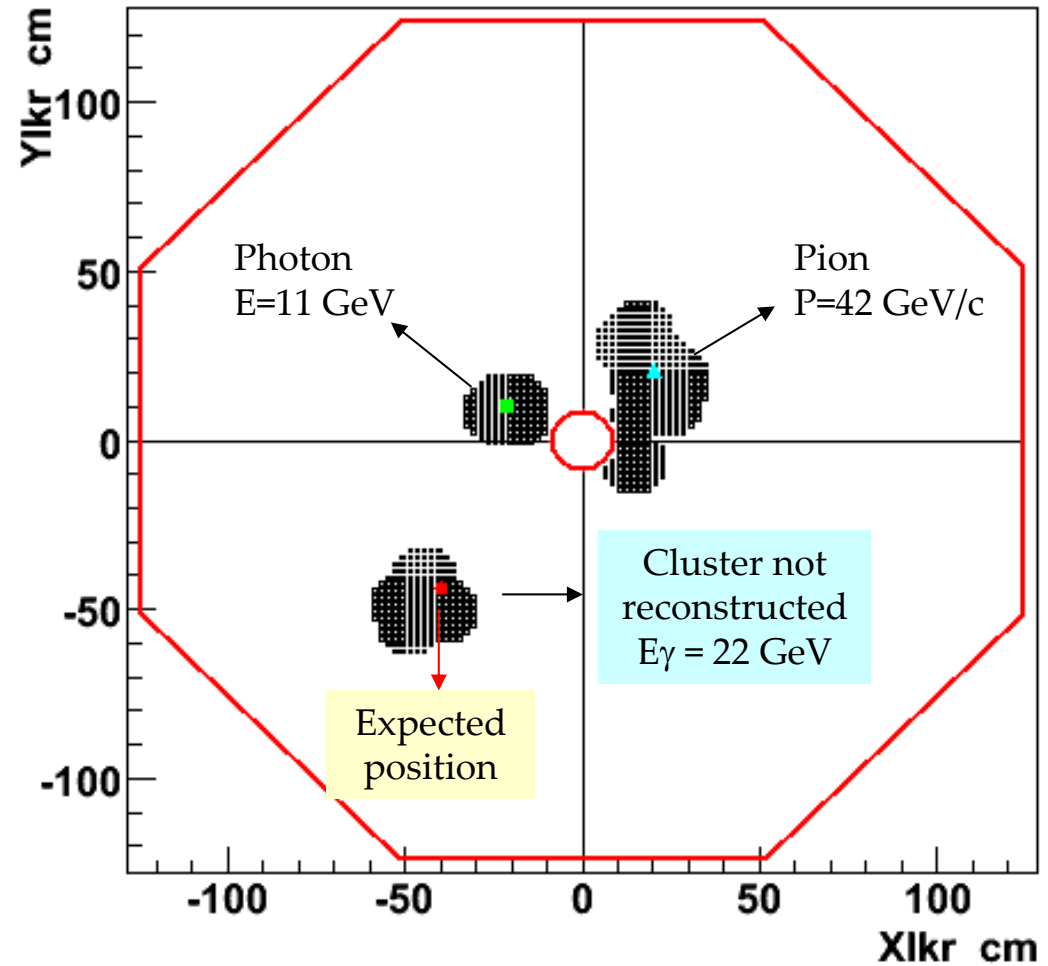


LKr ineff. per γ ($E_\gamma > 10$ GeV):
 $\eta \sim 7 \times 10^{-6}$ (preliminary)

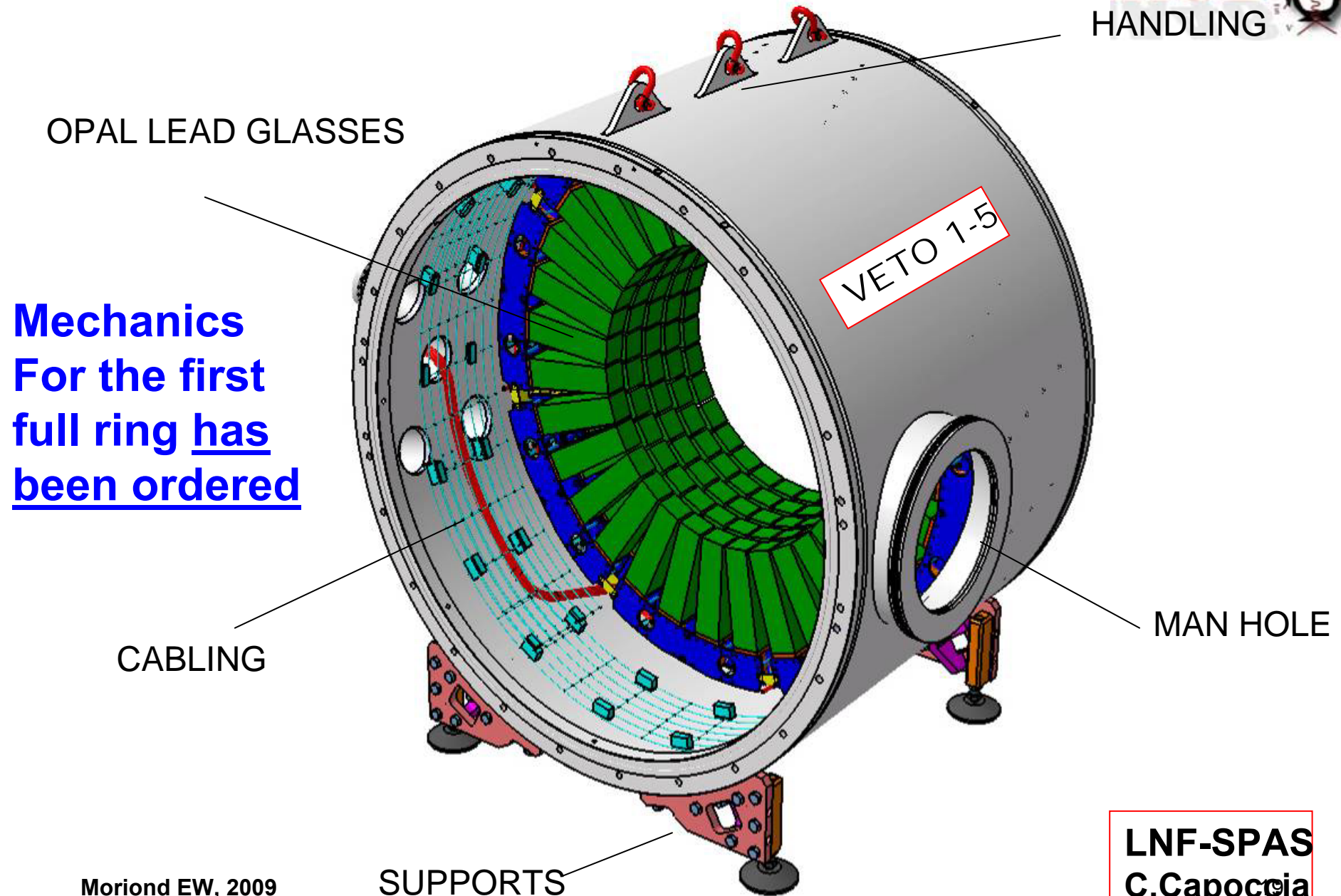
$K^+ \rightarrow \pi^+ \pi^0$ selected kinematically



π^+ track and lower energy γ are used to
 predict the position of the other γ



Photon Anticounters



Moriond EW, 2009

LNF-SPAS
C.Capoccia

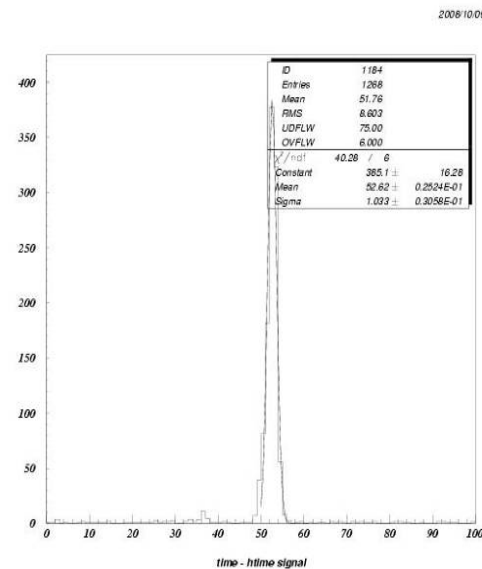
LAV prototype tested at CERN



20 blocks installed in the NA62 vacuum tube

Muons and kaons from 2/10 to 6/10

Validation of the operation in vacuum, cabling and support mechanics



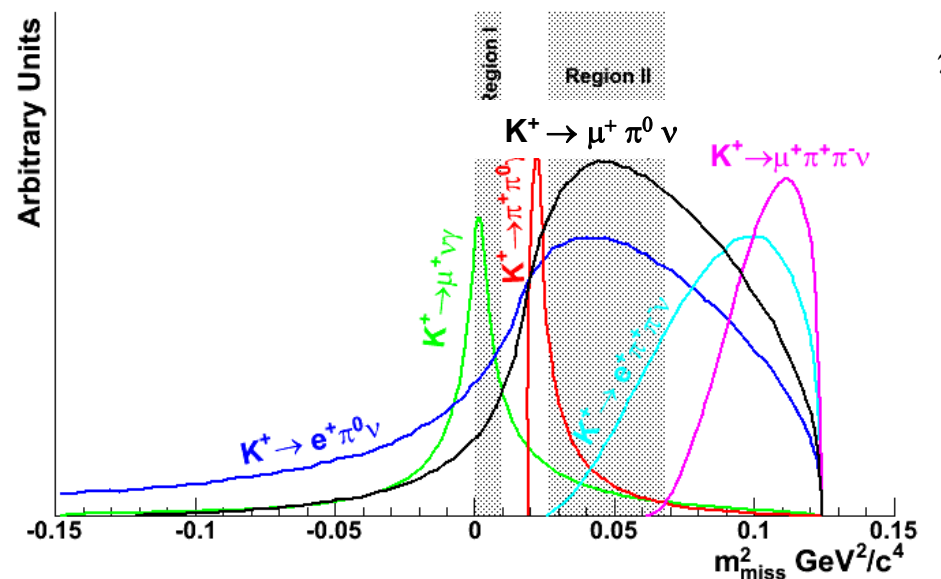
Preliminary time resolution with kaons

$$\sigma_t = 1.02 \text{ ns}$$

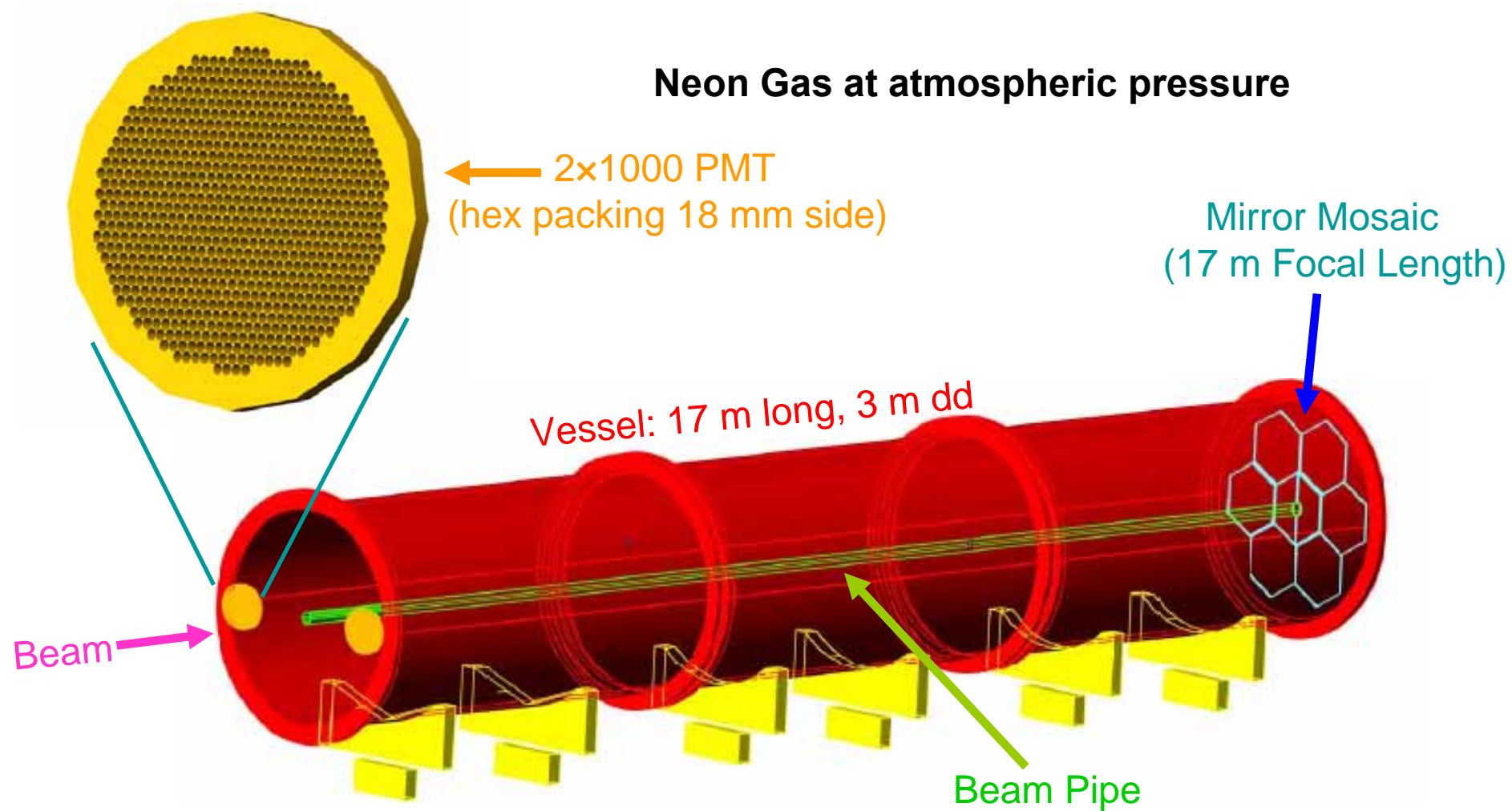
4. Particle Identification

- **K⁺ Positive identification (CEDAR)**
- **π/μ separation (RICH)**
- **π/e separation (E/P)**

Decay	BR
$K^+ \rightarrow \pi^0 e^+ \nu$ (K_{e3})	0.051
$K^+ \rightarrow \pi^0 \mu^+ \nu$ ($K_{\mu 3}$)	0.034
$K^+ \rightarrow \mu^+ \nu \gamma$ ($K_{\mu 2 \gamma}$)	6.2×10^{-3}
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ (K_{e4})	4.1×10^{-5}
$K^+ \rightarrow \pi^+ \pi^- \mu^+ \nu$ ($K_{\mu 4}$)	1.4×10^{-5}

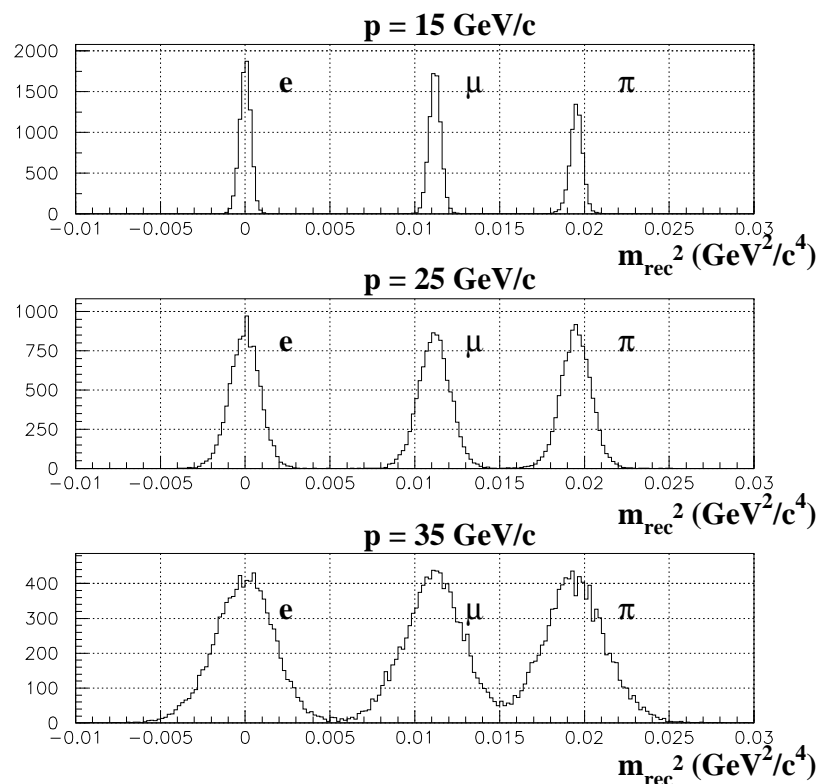


The RICH Detector

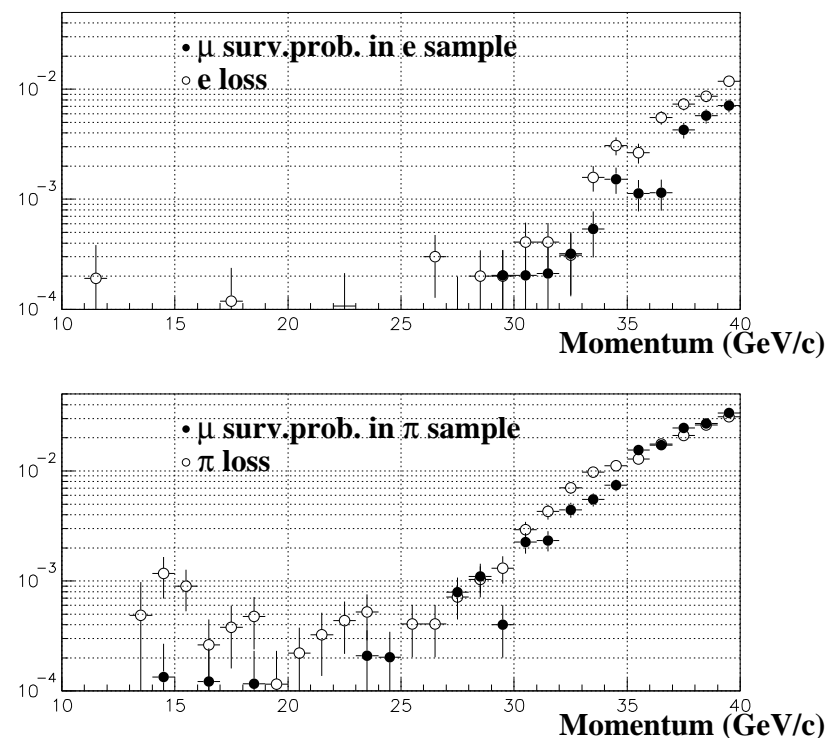


RICH Simulation: particles separation

$$m_{rec}^2 = p^2 \left(\mathcal{G}_{max}^2 - \mathcal{G}_c^2 \right)$$

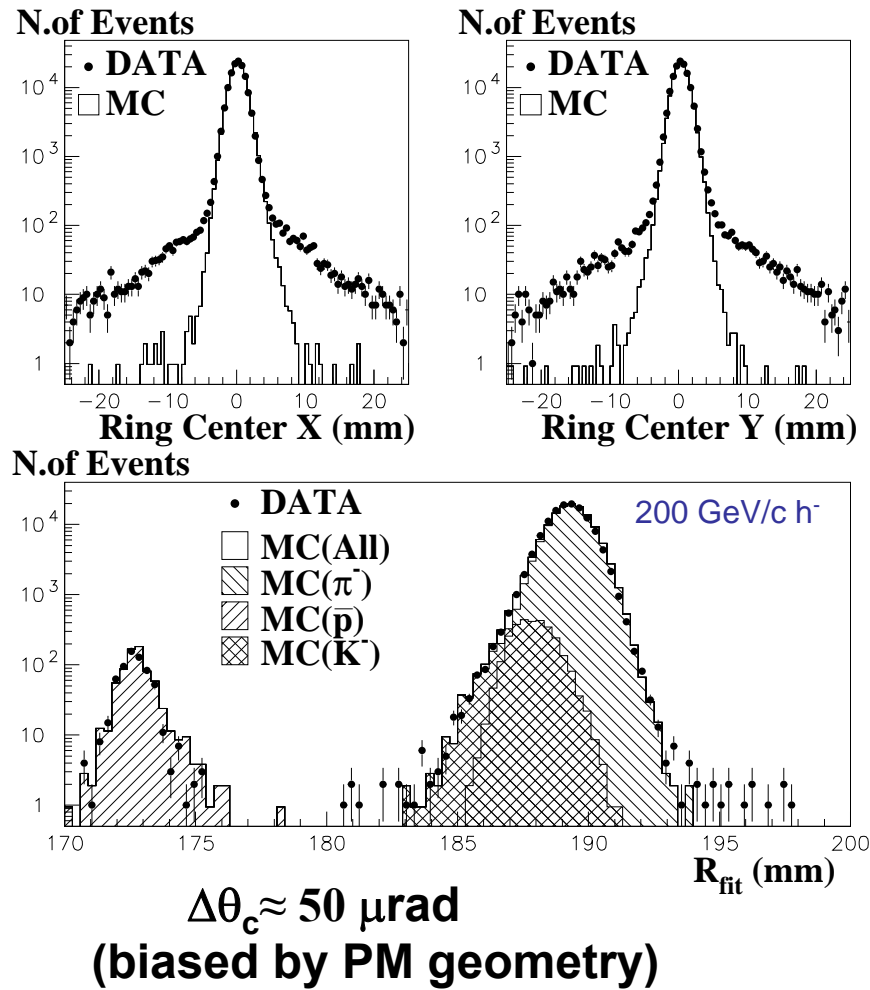


Momentum from the magnetic spectrometer



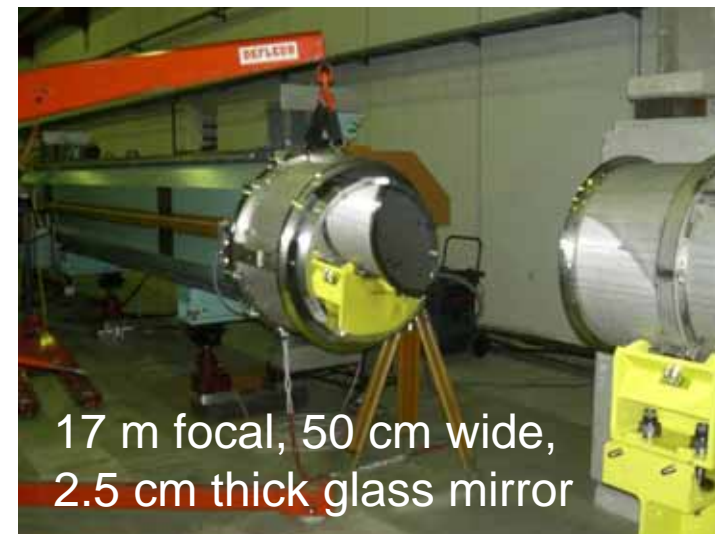
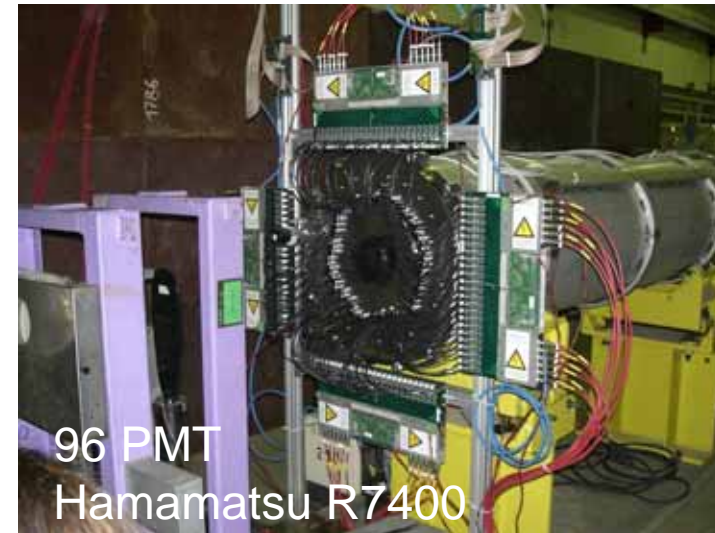
Muon suppression in π sample ($15 < p < 35$ GeV/c): 1.3×10^{-3}

RICH-100: 2007 Test Beam results



$N_{Hits} \approx 17$

$\Delta t_{Event} \approx 70 \text{ ps}$



NA62 Sensitivity

Decay Mode	Events
Signal: $K^+ \rightarrow \pi^+ \nu \nu$ [$flux = 4.8 \times 10^{12}$ decay/year]	55 evt/year
$K^+ \rightarrow \pi^+ \pi^0$ [$\eta_{\pi^0} = 2 \times 10^{-8}$ (3.5×10^{-8})]	4.3% (7.5%)
$K^+ \rightarrow \mu^+ \nu$	2.2%
$K^+ \rightarrow e^+ \pi^+ \pi^- \nu$	$\leq 3\%$
Other 3 – track decays	$\leq 1.5\%$
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	$\sim 2\%$
$K^+ \rightarrow \mu^+ \nu \gamma$	$\sim 0.7\%$
$K^+ \rightarrow e^+ (\mu^+) \pi^0 \nu$, others	negligible
Expected background	$\leq 13.5\%$ ($\leq 17\%$)

Definition of “year” and running efficiencies based on NA48 experience

Summary

- The physics case to study rare kaon decays at the SPS during the LHC era **is very strong**
- The $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ **proposal** has received recommendation for approval by the CERN SPS Committee.
- The experiment was approved by the **CERN Research Board** (December 5, 2008) "subject to the definition of resource sharing within the Collaboration. The experiment will continue to be known as NA62"
- The MoU is under discussion
- With **~50 times the kaon flux of NA48/2**, the physics menu –in addition to the very rare decays- promises to be very rich ranging from **the precision-tests of lepton universality** to the study of the **strong interaction at low energy** (there should be good material for both EW and QCD Moriond sessions in 201X!)



SPARES

NA62 Seen from the CERN Management

Excerpt from the interview to **Sergio Bertolucci**
(Director of Research and Scientific Computing)

CERN Bulletin, Issue No. 05-06/2009 Monday 26,
January 2009



...favouring the birth of smaller experiments is also important in maintaining a dynamic physics community. 'Smaller' does not mean 'less challenging'. One good example is the NA62 experiment, which will look for rare kaon decays and which is in a very advanced stage of approval: it is extremely challenging, both in terms of the detector requirements and physics studies. In Spring 2009 we will hold a workshop to assess the situation and to encourage the submission of more proposals of this sort.