# Upgrade Phase II & HGCAL

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On behalf of the LLR-CMS Group

November 21<sup>th</sup> 2016, Conseil Scientifique LLR





# LHC: from Run I to HL-LHC



# **CMS Upgrade Phase II & France**

Major Upgrade: About half the CMS initial cost

# Trigger/HLT/DAQ

- Track information at L1-Trigger
- L1-Trigger: 12.5 μs latency output 750 kHz
- HLT output ≃7.5 kHz

#### Barrel EM calorimeter



- Replace FE/BE electronics
- Lower operating temperature (8°)
  - Muon systems
    Replace DT & CSC FE/BE
    electronics
    - Complete RPC coverage in region 1.5 < η < 2.4
  - Muon tagging 2.4 < η < 3</li>

**Replace Endcap Calorimeters** 

- Rad. tolerant high granularity
- 3D capability

**ITU** - CEA Saclay

sur les lois fondamentales



- Replace Tracker ipni (iphc)
- Rad. tolerant high granularity significantly less material
- 40 MHz selective readout (Pt≥2 GeV) in Outer Tracker for L1-Trigger
- Extend coverage to η = 3.8

# Upgrade Phase II Timeline (2016 – 2026)

To be presneted to LHCC/UC	G									
Calendar Year	2016 20	2017 2018	2019 20	20	2021	2022	2023	2024	2025	2026
Long Shutdowns			LS2						LS3	
Tracker: Outer		Engin Proto.	👸 Pre-prod.	Pro	d.	Integ.	•	Comm. Flo	at Ins	tall. mm.
Pixel	Design - Demo.	Engin Proto.			<b>Y</b> O Pr	e-prod.	Prod.	Integ. Cor	<mark>mm.</mark> Float	Install •
Barrel Calorimeters	Design - Demo.	o. 🎽 Engin Proto.	🞽 Pre-prod	•	5 Prod.		Float	Integ.	Insall. Comm	
Endcap Calorimeters Design - Demo.       Image: Design - Demo.     Image: Design - Demo.     Image: Design - Proto.     Image: Design - Demo.     Image: Demo.     Image: Demo.     Image: Demo.     Image: Demo.     Image: Demo.     Image: D			Float Ins Comm	tall.						
Muons: GEM1	Engin, ED/SR Pro	roduction - Assembly Float	install. Comm.							
CSC	FE Engin Pre-prod.	ESR Prod.	Install.	Off	- detec. ESR .	Pre-prod F	ProdInte.	Float	Install.	Comm.
DT		ĸ		🖌 P	re-prod Pro	odInte.	Float	Install.	Comm.	
GEM2-RPC3/4	Design - Demo	🗕 Engin Proto.		🚊 P	re-prod Pro	od Inte. Re	ady to install. Co	omm.		
GEM0				P	re-prod Pro	od Inte.	· · · · ·	Float	Install.	Comm.
Trigger	Design	🔒 Demo - Engin Pro	oto. 🎽 Pre-	prod.	S Prod	Inte		Float	Install. Comm.	
DAQ/HLT	Design	n 😑 Demo Proto.		< TDR	>Pre-pro	od. <mark>5</mark> Pro	od Inte.	Float	Install. Comm.	1
Development of detector design, Technology R&D, specification and demonstration of major components feasility										
Enginireeging, prototyping and validation of final components, assemblies and systems										
Pre-rpoduction of final grade components, assemblies and systems							i			
Installation at P5, cabling and commissioning of detectors and systems						1				

#### ➤ 4 major TDR's in 2017-2018:

- Tracker,
- Muons,
- Barrel Calorimetry
- Endcap Calorimetry (last one)

#### > HGCAL Schedule:

- -> 2020 : Prototyping
- 2020 2014 : Pre-production et Production
- 2024 2026 : Installation

# Calendrier de la Revue du HC-TGIR

- 28 Juin 2016 Saisine du HC-TGIR par le Ministère
  - 5 Septembre: réunion de préparation IN2P3-CEA
- 12 Septembre 2016 Présentation au HC-TGIR

Participation restreinte : direction IN2P3/CEA + HC-TGIR

Présentation par P. Verdier / G. Hamel de Monchenault: https://dl.dropboxusercontent.com/u/43400808/HCTGIR HLLHC-VerdierGHdM Reunion 160912-final.pdf

26 Septembre 2016 – Réunion du comité HC-TGIR + Experts

Présentation du 26/09/2016 par Ph. Schwemling: https://dl.dropboxusercontent.com/u/43400808/HCTGIR\_HLLHC\_Physics\_160926\_v3\_Final.pdf

Document de synthèse IN2P3+IRFU présenté au HC-TGIR: https://dl.dropboxusercontent.com/u/43400808/HCTGIR-HLLHC-Document 160926-final.pdf

- 30 Novembre 2016 Rapport du HC-TGIR Disponible
- Rapport scientifique seulement. Echos très favorable en provenance du TGIR

Janvier 2017 – Réunion plénière du HC-TGIR

#### Besoin en Recherche et Développement (2014 – 2018) :

- ~1.5 M€.
  - Environ 50% pour HGCAL (FE+trigger+meca)
  - Environ 1/3 déjà dépensé (principalement FE via Omega)

Besoin pour phase de construction et mise en route (2018 – 2027) :

- Hors R&D, hors fonctionnement normal, hors ressources humaines
- Total CMS-IN2P3 : **13 900 k**€
  - Dont 10 500 k€ "CORE" construction de CMS 3 400 k€ non-CORE pour les laboratoires de l'IN2P3

# ~40% pour HGCAL. Fort soutien de l'IN2P3.

+ besoins spécifiques Phase II en ressources humaines

# **P2IO : Projet Emblématique**



#### Objectif:

développement d'une nouvelle calorimétrie haute granularité « 5D » avec des capacités de mesure de flux de particules et de temps

# P2IO : Rappel des objectifs & moyens

From Y. Sirois (presentation at P2IO)

- Collaboration forte entre 3 laboratoires majeurs de P2IO impliqués dans 3 grandes expériences de hautes énergies (ILD, ATLAS, CMS)
- Objectifs de réalisations de calorimétrie haute granularité pour des applications auprès de collisionneurs e<sup>+</sup>e<sup>-</sup> ou hadroniques
- Mise en valeur et mutualisation de l'expertise P2IO dans les techniques de « flux de particules » (PFlow) et de calorimétrie Haute Granularité
- The LAL+LLR SiWLC groups will construct, and validate in test beams, a first complete ECAL prototype that meets the requirements for a future e<sup>+</sup>e<sup>-</sup> collider experiment

•	The LLR, SPP, and SEDI <b>HGCAL</b> groups will perform essential R&D on mechanics, trigger, and timing for the forward calorimetry to be deployed at High-Luminosity LHC	~200 k€ (sur 4 ans) pour HGCAL-LLR + missions	
		Fort soutien de P2IO	
	The LAL <b>HGTD</b> group project will perform essential R&D for the timing capabilities of a forward detector proposed for HL-LHC		

# P2IO : Rappel des objectifs & moyens

From Y. Sirois (presentation at P2IO)

~200 k€ (sur 4 ans)

pour HGCAL-LLR

+ post-doc 2 ans

Fort soutien

de P2IO

+ X/IN2P3

+ missions

- Collaboration forte entre 3 laboratoires majeurs de P2IO impliqués dans 3 grandes expériences de hautes énergies (ILD, ATLAS, CMS)
- Objectifs de réalisations de calorimétrie haute granularité pour des applications auprès de collisionneurs e<sup>+</sup>e<sup>-</sup> ou hadroniques
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#### Arrivée au LLR (post-doc) au 1er Décembre : Artur Lobanov



- ➢ PhD CMS à DESY (2016)
- Expertises:
  - Analyse (SUSY searches)
  - CMS HCAL upgrade
  - Si-W calorimetry (NUCLEON experiment)

#### Travaillera sur test beams, physics performance

for the timing capabilities of a forward detector proposed for HL-LHC

# **HGCAL Status**

Physicien(ne)s du groupe impliqué à ce jour du projet HGCAL pour HL-LHC: S. Baffioni, P. Busson, C Charlot, C. Ochando, G. Ortona, R. Salerno, JB Sauvan, Y. Sirois

# **Front-End Electronics**

# SKIROC2-CMS: Modify existing CALICE chip (SKIROC2) to include most of the required functionalities

- 0.35 mm AMS (non radhard)
- cross calibration sampling ADC@ 40 MHz, depth of 300ns,
- Fast shaper (25 ns instead of 200ns)
- ToT, TDC for ToA, 20 ps binning, 50 ps jitter
- Destined for use in test beams 2017
  - Received late June

#### 2) Submit Test Vehicles (TV) in 130 nm

TV1 *received* mid-September: analogue architecture, baseline + variants (good preliminary results –see following slides)

- TV2 to be submitted before end 2016: 8 channels, full analogue channel (ADC+ToT+ Trigger sums)
- 3) New CERN-PCB design under fabrication

4) Working day on preliminary architecture took place on 21<sup>st</sup> October (https://indico.cern.ch/event/575804/)

# 5) Submit first "complete" ASIC June 2017

(some digital functionalities may still be incomplete)



# **Front-End Electronics**

# **SKIROC2-CMS:** Modify existing CALICE chip (SKIROC2) to include most of the required

#### functionalities

- Under test (Imperial College, Split)
- Good preliminary results
  - All channels functional
  - Noise measurements according to simulations
  - Noise density :  $E_n \sim 0.5 \text{ nV}/\sqrt{Hz}$
  - Good linearity



#### Noise after adding extra decoupling



#### TOT transfer characteristics, LG



- FE tests: Support from LLR (set-up similar to ILC).
- Will profit from it (from January to March) to acquire expertise (Artur et al.) in view of the test beams
- We would like the support from Yannick from January to July (including test beam at CERN)

# **Electronics & Trigger @LLR+Friends**

#### Arrivées: Octobre -> Mars



"Distinguished Visiting Professor" @ X: Ivica Puljak, Professor at FESB Split Arrivée au 1er Décembre :



Jean-Baptiste Sauvan (CR2)



Toni Sculac (PhD) [co-tutelle Split/UPsay]



Marina Prvan (PhD Split)

After some delay, now have assemble a strong team with new arrivals

& present manpower (SB, CC, PB, YG, TR + colleagues from Split)

+ reinforcement from G. Ortona (Marie Curie + grant to spend 5 months in Split)

+ M2 student internship next year with JBS/PB

# **Electronics & Trigger @LLR+Friends: (some of the) Activities**

Working on Architecture:

What is part of the FE ? What is part of the Concentrator (if any) ? Mapping sensors cells/trigger cells, Trigger cell sum ? ... ?



# **Electroncs & Trigger @LLR+Friends: (some of the) Activities**

Testing various options, various cell geometry / size, estimating data rates, developing simulation, …



#### Square modules are back in the game...





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10-1





# HGCAL Test beams plans (2017) @ CERN

CERN, 250 GeV e- passing through 8 layers (25 X0)

 $L1:5.1X_0 \hspace{0.5cm} L2:8.5X_0 \hspace{0.5cm} L3:11.9X_0 \hspace{0.5cm} L4:14.7X_0 \hspace{0.5cm} L5:17.2X_0 \hspace{0.5cm} L6:18.7X_0 \hspace{0.5cm} L7:21.1X_0 \hspace{0.5cm} L8:27.07X_0 \hspace{0.5cm} L3:11.9X_0 \hspace{0.5cm} L4:14.7X_0 \hspace{0.5cm} L5:17.2X_0 \hspace{0.5cm} L6:18.7X_0 \hspace{0.5cm} L7:21.1X_0 \hspace{0.5cm} L8:27.07X_0 \hspace{0.5cm} L3:21.1X_0 \hspace{0.5cm} L8:27.07X_0 \hspace{0.5cm} L3:11.9X_0 \hspace{0.5cm} L4:14.7X_0 \hspace{0.5cm} L5:17.2X_0 \hspace{0.5cm} L6:18.7X_0 \hspace{0.5cm} L7:21.1X_0 \hspace{0.5cm} L8:27.07X_0 \hspace{0.5cm} L3:21.1X_0 \hspace{0.5cm} L3:21.1X_0 \hspace{0.5cm} L4:21.1X_0 \hspace{0.5cm} L4$ 

2017 Test beams (preliminary) schedule: With SKIROC2\_CMS

- March/April: Commissioning of new modules/DAQ @FNAL
  - Mid-May: 28 **EE** Layers (26 X0, ~1  $\lambda$ )

@ CERN

- Mid-June: 28 EE Layers (26 X0, ~1  $\lambda$ ) + 12 FH Layers (4  $\lambda$ )
- Mid-July: 28 EE Layers (26 X0, ~1  $\lambda$ ) + 12 FH Layers (4  $\lambda$ )

+ CALICE Analogue HCAL (~5  $\lambda$ )



LLR will participate in >= 2017 tests (with Artur et al.)

# **HGCAL Mechanics**

2 designs/concepts of the HGCAL-ECAL mechanical structure studied since 1-2 years:



#### MODULE Cassette/cassette interface 0.8 Lifting points 11 pieces per 30' Cover fix 12 pieces per 30 Modules fix 8 PARTIAL MODULE cover supports nieces ner 30 nterconnection plat 2 position per 30° land load transfer R347 ₹A A.Surkov 30.09.2016 1658

#### Alveolar Disks design

- Carbon Fiber structure with embedded W plates and slots ("alveoli") to insert 30° cassettes containing active elements.
- Made from disks of alveoli
- Inner cone to support load

#### Disk & Spacer design:

- Non-insertable 30 ° Cassettes inter-connected to form disks
- Disks stacked in horizontal position, supported by spacers
- Cone + Front/back plate to support load



Both design approaches are sound, and it is clear that the EE could be successfully built using either one.

- 3. Physics capabilities enabled by the two approaches are equivalent
- Mechanical behaviour: the structural designs are both considered sound

Excellent work from the LLR (Thomas Pierre-Emile et al.) ! Design meets the requirements

# In total 27 Achievement Awards 2016

# Achievement texts will be attached to the CB111 indico page

- Zhenbin Wu (L1 Trigger, Chicago)
- Thomas Strebler (L1 Trigger, LLR)
- Dominick Olivito (Trigger (TSG), UCSD)
- Nadir Daci (Trigger (TSG), Brussels)
- Fabio Ravera (CT-PPS, Torino)
- Finn Rebassoo (CT-PPS, LLNL)
- Douglas Berry (Tracker, Chicago)
- Francesco Fiori (Tracker, Taipei)
- Mario Galanti (Run, Rochester)
- Dinyar Rabady (Run, HEPHY)
- Marco Peruzzi (ECAL, CERN)
- Pierre Depasse (ECAL, Lyon)
- Erica Brondolin (Upgrade, Lyon)

- Andrey Marinov (Upgrade, Brussels)
- Louise Skinnari (Upgrade, Cornell)
- Danila Tlisov (Upgrade, Moscow)
- Marco Musich (PPD, Louvain)
- Matti Kortelainen (PPD, CERN)
- Rajdeep Chatterjee (HGC/EC, Minnesota)
- Thomas Pierre-Emile (HGC/EC, LLR)

Jared Sturdy (Muons, Mayne State)

"For his outstanding contributions to the engineering work developing the design, performing comprehensive and rigorous engineering analysis, and the material tests and prototyping for the EE structure for the Endcap Calorimeter"

- Nicolo Magini (Computing/Offline, FNAL)
- Paul Lujan (BRIL, Canterbury)
- Arkady Lokhovitskiy (BRIL, Canterbury)

# Congratulations to all awardees !

# **HGCAL Choice**

However, there are areas where the two designs could be distinguished, among which:

# 1) Cost:

- D&S enable the usage of Pb/SS as absorber
  - W plates cost ~2MCHF vs 0.5 for Pb/SS as estimated from ATLAS cost book.
- No external structure needed in D&S => may result in lower cost as well.
  - (molds, ... ~1 MCHF in the Technical Proposal for the Alveolar design)

#### D&S allows some cost saving

- important as HGCAL under-funded now
- Several other components (electronics, ...) will have cost increase...

# 2) Flexibility

- D&S places fewer constraints on the geometry of the cassettes (30°, 60°, 90°...) and adapt to optimize layout and connection of modules, electronics, cooling circuits.
- D&S could use W or Pb as absorber
- Would **be easier to introduce modifications "down-the-line**" if needed or proven to be beneficial

# **Disk & Spacer design recommended for the HGCAL-ECAL Structure.** Recommendation has to be fully endorsed by the HGCAL IB by December.

# What's next ?

- CMS-LLR plays a leading role in mechanics since 2 years
  - No selection of HGCAL over Shashlik and Dual-Readout without LLR
  - First design described in Technical Proposal (June 2015)
    - shown in many international conference (\*)
  - Expertise recognized and appreciated
    - HGCAL-CMS would like LLR to continue the effort.
- Project is being re-organized
  - Tiziano Camporesi (ex-CMS spokesperson) is becoming CMS-CERN Group Leader
    - wants to create an HGCAL CERN group on mechanics.
  - Newcomers will join

Discussions between us (LLR) on our future contributions <=> discussion with Tiziano et al. (meeting at LLR on Nov 29<sup>th</sup> already planned) Suggest to meet again after these discussions.

#### > **P2IO**:

- The HGCAL decision may lead to re-shuffle the funds dedicated to mechanics studied.
  - Some fraction could go to HGC-Trigger (LLR) or HGC-Timing (IRFU) depending on our next contributions to mechanics.

## > HL-LHC is a major program in HEP over the next decades

# > HGCAL is a very challenging and exciting project

- **CMS-LLR: pivotal role** in the acceptance of the proposal by CMS
- Strong team (LLR / Split) in Trigger studies assembled (with Jean-Baptiste, Ivica et al.)
- CMS-LLR took the lead in mechanics, with support from LLR
  - Discussion on future contributions after CMS decision about ECAL Design.
- CMS-LLR will contribute to the important test-beams next year.
- Strong support from IN2P3, X and P2IO
  - HGCFC flagship P2IO project will help CMS-LLR group to continue playing a leading role in the HGCAL project in 2017-2019

## > 2017 will be an interesting and busy year

- Test beam, first iteration of FE, TDR, ...
- CMS-LLR fully committed to make this project a success !

# BACK UP SLIDES

# **HGCAL: General Layout**



HGC+BH: covers η range up to 3

# **HGC Parameters**



(\*) 3x CMS tracker !

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(\*\*) one HGC+BH endcap: ~230 tonnes

# Modules, Cassettes & Mechanics (Technical Proposal)



# Endcap Calorimeter Project Structure to Q1-17 (making Technical Choices, ..)



# Hardware HGCAL pour CMS à l'X



# **Front-End Electronics (1)**

One of the most challenging aspect of the project !

# Need to have large dynamic range @ low power + low noise



- ADC (10 bits) and TDC (12 bits) with existing designs
- Potential for 50 ps timing per cell

[\*] alternative: more classical readout (bi-gain) or switched feedback

# **Front-End Electronics (2)**

One of the most challenging aspect of the project !

Need to have large dynamic range @ low power + low noise





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# **Front-End Electronics (3)**

One of the most challenging aspect of the project !

Need to have large dynamic range @ low power + low noise



- SKIROC2\_CMS (not the final chip):
- Includes some of the HGC features:
  - ~20ns shaping time and 40MHz sampling
  - ADC + TOA (~50ps) + TOT
  - P-on-N and N-on-P read-out options
- Production launched in January, Received mid-June
- First tests on-going (noise, stability, linearity, crosstalk, ...)

Plan to use it for CERN test beams (Fall)

- Also: test vehicles on blocks launched
  - (TSMC 130nm, various preamps flavors, shapers, discriminators)
  - Second TV in September with one full channel (various flavors)
- First iteration of full chip expected by Spring 2017.
  - with feedback from test vehicles & SKIROC2\_CMS

# **Highlights: Front End electronics**

Ch. De La Taille et al., (Omega) Test FE pour TPG: Y. Geerebaert

#### Stringent requirements for FE Electronics:

- Low power (few mW), low noise (<2000 e-)</li>
- High radiation (200 Mrad, 10<sup>E</sup>16 N)
- System on chip (digitization, processing...)
- High speed readout (5-10 Gb/s)
- Timing information to 50ps accuracy



#### > SKIROC\_CMS:

#### Includes some of the HGC features:

- ~20ns shaping time and 40MHz sampling
- ADC + TOA (~50ps) + TOT
- P-on-N and N-on-P read-out options
- On going tests (IC, CERN, Split)
  - Will equip test beams modules in 2017



In the mean time, test vehicles on blocks (various pre-amps, shapers,...) [May 2016], full analog 8-channel, digital sum for trigger path, common services [Dec 2016]

Submission of HGCROC\_v1 in June 2017 on track

The TP chose schemes that could be built using currently available technologies. Now studying many options that may lead to cost or performance benefits.

Absorber for FH and BH: non-magnetic stainless steel

Under consideration: enclosing the whole endcap calorimeter in the cold volume

EE and FH: tiling the full face of the disks with hexagons or keeping the phi-wedges. A module comprises one 8" sensor or two 6" sensors.

We can use FEC5 protocol LpGBT links that can transmit 9.0 Gb/s instead of the assumed 6.4 Gb/s

The numbers of links "10 Gb/s" optical links has increased: Data 3761 -> 5415 and Trigger 6428 -> 7572.

The trigger scheme and granularity is being reassessed

Hexagonal cells have been introduced in the simulation geometry

The milestones are being updated

### > LLR among the main drivers of the HGCAL L1 Trigger project

**HGCAL** at LLR: L1 Trigger

Now in strong collaboration with Split & CERN

Architecture

Define baseline architecture for TP

#### **Front-End Studies**

192 cells with

groups of 3 cells

 Work on trigger "raw data" (Data reduction, trigger cell geometry, …)

252 cells with

groups of 3 cells



Development of emulator,
 + standalone tools
 (digitization, ..)



Besoin urgent d'un Test Bench SKIROC-CMS au LLR pour rester dans le jeu côté interface trigger avec le chip de FE

# **Highlights: Test beams**

# > Goals:

- Performance studies: S/N, timing, energy and positions resolutions
- Comparison with simulation

# Several test beams campaign (FNAL, CERN)

- FNAL: 120 GeV protons, 4-32 GeV electrons/pions
- CERN: 125 GeV pions, 20-250 GeV electrons



# Common DAQ, Modules:

- 6" Si wafers, 200um, p-on-n,
- 1.1 cm<sup>2</sup> cells,
- 2-layers PCB, SKIROC2 chip (single PCB version still at work...)

All done in US (UCSB, FNAL, ...)

Layers	X <sub>0</sub>	Date
1	6	March 2016
4	12	May 2016
16	15	July 2016
8	27	Aug 2016
	Layers 1 4 16 8	Layers         X₀           1         6           4         12           16         15           8         27

+ various timing tests (next in November at CERN?)



## **Test Beams: set up**

**CERN (Similar at FNAL)** 



Mechanical design allows flexible insertion of modules and absorbers plates

#### **Test Beams: (some) results**



L1:5.1X0 L2:8.5X0 L3:11.9X0 L4:14.7X0 L5:17.2X0 L6:18.7X0 L7:21.1X0 L8:27.07X0



# **Alveolar Structure: Disk design**

M. Anduze, C. Ochando, T. Pierre-Emile, Y. Sirois



- C-fiber alveolar disks, with W plates embedded inside.
- W absorber plates not aligned with cassette gaps ("walls" of alveoli)
- Freedom to rotate each disk wrt each others.
- Inner cone to take load, can attach cassettes on it.
- Last disk attached on back plate for attachment on FH.



# **Alveolar Structure: FEA simulations**

M. Anduze, C. Ochando, T. Pierre-Emile, Y. Sirois



Several rounds of FEA performed: (horizontal, vertical positions, various designs, varying C-fiber layers, cone thickness...)

- In vertical position
  - FEA simulation with shell elements shows that the structure holds.
  - Maximum absolute deformation ~0.4 mm. Margin of Safety ~200%.
- Part of the load is taken by an Al inner cone (15mm in these calculations).

# **Alveolar Structure: prototyping**

M. Anduze, C. Ochando, T. Pierre-Emile, Y. Sirois



Dimensions	Outer diameter	803mm	2 carbon plies per alveola
	Inner diameter	325mm	1 reassembling ply on each side of the alveola
	Alveola thickness	15mm	No tungsten

#### > <u>Next steps :</u>

- Equip one disk with indexing ring (control of positioning of disks wrt each other)
- Add carbon plates to simulate tungsten before adding real tungsten
- Build a second disk and test gluing process of 2 disks



#### **Disk & Spacer cassette**



# **Disk & Spacer design**



A.Surkov 30.09.2016