

# Phenomenological Status of Neutrino Mixing

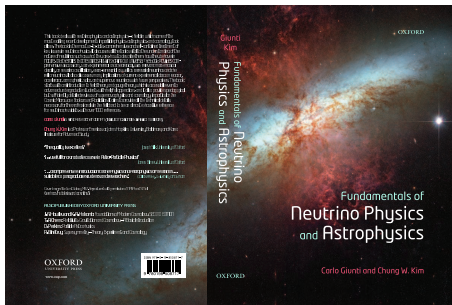
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Neutrino Unbound: <http://www.nu.to.infn.it>

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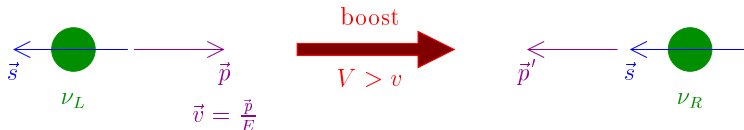
# Outline

- Brief Introduction to Neutrino Masses and Mixing
- Three-Neutrino Mixing and Oscillations
- Absolute Scale of Neutrino Masses
- Conclusions

# Brief Introduction to Neutrino Masses and Mixing

- Brief Introduction to Neutrino Masses and Mixing
  - Standard Model: Massless Neutrinos
  - Extension of the SM: Massive Neutrinos
  - Lepton Numbers
  - Neutrino Oscillations
- Three-Neutrino Mixing and Oscillations
- Absolute Scale of Neutrino Masses
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# Standard Model: Massless Neutrinos



Standard Model:  $\nu_L, \nu_L^c = (\nu^c)_R \implies$  no Dirac mass term  
 $\mathcal{L}^D \sim m^D \overline{\nu}_L \nu_R$  (no  $\nu_R, (\nu^c)_L$ )

Majorana Neutrino:  $\nu \equiv \nu^c$   
 $(\nu^c)_R \equiv \nu_R \implies$  Majorana mass term  
 $\mathcal{L}^M \sim m^M \overline{\nu}_L \nu_L^c = m^M \overline{\nu}_L (\nu^c)_R$

Standard Model: Majorana mass term **not** allowed by  $SU(2)_L \times U(1)_Y$   
 (no Higgs triplet)

# Extension of the SM: Massive Neutrinos

Standard Model can be extended with  $\nu_R$  ( $e_L, e_R; u_L, u_R; d_L, d_R; \dots$ )

$\nu_L + \nu_R \Rightarrow$  Dirac neutrino mass term  $\mathcal{L}^D \sim m^D \overline{\nu}_L \nu_R \Rightarrow m^D \lesssim 100 \text{ GeV}$

surprise: Majorana neutrino mass for  $\nu_R$  is allowed!  $\mathcal{L}_R^M \sim m_R^M \overline{(\nu^c)_L} \nu_R$

total neutrino mass term  $\mathcal{L}^{D+M} \sim \begin{pmatrix} \overline{\nu}_L & \overline{(\nu^c)_L} \end{pmatrix} \begin{pmatrix} 0 & m^D \\ m^D & m_R^M \end{pmatrix} \begin{pmatrix} (\nu^c)_R \\ \nu_R \end{pmatrix}$

$m_R^M$  can be arbitrarily large (not protected by SM symmetries)

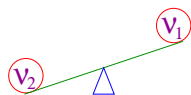
$m_R^M \sim$  scale of new physics beyond Standard Model  $\Rightarrow m_R^M \gg m^D$

diagonalization of  $\begin{pmatrix} 0 & m^D \\ m^D & m_R^M \end{pmatrix} \Rightarrow m_1 \simeq \frac{(m^D)^2}{m_R^M}, \quad m_2 \simeq m_R^M$

natural explanation of smallness  
of light neutrino masses

massive neutrinos are Majorana!

3-GEN  $\Rightarrow$  effective low-energy 3- $\nu$  mixing



see-saw mechanism

[Minkowski, PLB 67 (1977) 42]

[Yanagida (1979); Gell-Mann, Ramond, Slansky (1979); Mohapatra, Senjanovic, PRL 44 (1980) 912]

# Lepton Numbers

Standard Model:

Lepton numbers are conserved

	$L_e$	$L_\mu$	$L_\tau$		$L_e$	$L_\mu$	$L_\tau$
$(\nu_e, e^-)$	+1	0	0	$((\nu^c)_e, e^+)$	-1	0	0
$(\nu_\mu, \mu^-)$	0	+1	0	$((\nu^c)_\mu, \mu^+)$	0	-1	0
$(\nu_\tau, \tau^-)$	0	0	+1	$((\nu^c)_\tau, \tau^+)$	0	0	-1

$$L = L_e + L_\mu + L_\tau$$

Dirac mass term  $m^D \overline{\nu}_L \nu_R \rightarrow (\overline{\nu}_{eL} \quad \overline{\nu}_{\mu L} \quad \overline{\nu}_{\tau L}) \begin{pmatrix} m_{ee}^D & m_{e\mu}^D & m_{e\tau}^D \\ m_{\mu e}^D & m_{\mu\mu}^D & m_{\mu\tau}^D \\ m_{\tau e}^D & m_{\tau\mu}^D & m_{\tau\tau}^D \end{pmatrix} \begin{pmatrix} \nu_{eR} \\ \nu_{\mu R} \\ \nu_{\tau R} \end{pmatrix}$

$L_e, L_\mu, L_\tau$  are not conserved, but  $L$  is conserved  $L(\nu_{\alpha R}) = L(\nu_{\beta L}) \Rightarrow |\Delta L| = 0$

Majorana mass term  $m^M \overline{\nu}_L (\nu^c)_R \rightarrow (\overline{\nu}_{eL} \quad \overline{\nu}_{\mu L} \quad \overline{\nu}_{\tau L}) \begin{pmatrix} m_{ee}^M & m_{e\mu}^M & m_{e\tau}^M \\ m_{\mu e}^M & m_{\mu\mu}^M & m_{\mu\tau}^M \\ m_{\tau e}^M & m_{\tau\mu}^M & m_{\tau\tau}^M \end{pmatrix} \begin{pmatrix} (\nu_e^c)_R \\ (\nu_\mu^c)_R \\ (\nu_\tau^c)_R \end{pmatrix}$

$L, L_e, L_\mu, L_\tau$  are not conserved  $L(\nu_\alpha^c) = -L(\nu_\beta) \Rightarrow |\Delta L| = 2$

# Neutrino Oscillations

[Eliezer, Swift, NPB 105 (1976) 45] [Fritzsch, Minkowski, PLB 62 (1976) 72] [Bilenky, Pontecorvo, SJNP 24 (1976) 316]

[Bilenky, Pontecorvo, Nuovo Cim. Lett. 17 (1976) 569] [Bilenky, Pontecorvo, Phys. Rep. 41 (1978) 225]

Flavor Neutrino Production:  $j_{W,L}^\rho = 2 \sum_{\alpha=e,\mu,\tau} \bar{\nu}_{\alpha L} \gamma^\rho \ell_{\alpha L}$

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL}$$

Fields  $\bar{\nu}_{\alpha L} = \sum_k U_{\alpha k}^* \bar{\nu}_{kL} \Rightarrow |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle$  States

$$|\nu_k(t, x)\rangle = e^{-iE_k t + ip_k x} |\nu_k\rangle \Rightarrow |\nu_\alpha(t, x)\rangle = \sum_k U_{\alpha k}^* e^{-iE_k t + ip_k x} |\nu_k\rangle$$

$$|\nu_k\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k} |\nu_\beta\rangle \Rightarrow |\nu_\alpha(t, x)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left( \sum_k U_{\alpha k}^* e^{-iE_k t + ip_k x} U_{\beta k} \right)}_{\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t, x)} |\nu_\beta\rangle$$

Transition Probability

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t, x) = |\langle \nu_\beta | \nu_\alpha(t, x) \rangle|^2 = |\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t, x)|^2 = \left| \sum_k U_{\alpha k}^* e^{-iE_k t + ip_k x} U_{\beta k} \right|^2$$

ultra-relativistic neutrinos  $\implies t \simeq x = L$  source-detector distance

$$E_k t - p_k x \simeq (E_k - p_k) L = \frac{E_k^2 - p_k^2}{E_k + p_k} L = \frac{m_k^2}{E_k + p_k} L \simeq \frac{m_k^2}{2E} L$$

$$\begin{aligned} P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) &= \left| \sum_k U_{\alpha k}^* e^{-im_k^2 L/2E} U_{\beta k} \right|^2 \\ &= \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right) \end{aligned}$$

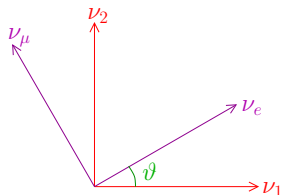
$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$

$$\begin{aligned} P_{\nu_\alpha \rightarrow \nu_\beta}(L/E) &= \delta_{\alpha\beta} - 4 \sum_{k>j} \text{Re} \left[ U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \right] \sin^2 \left( \frac{\Delta m_{kj}^2 L}{4E} \right) \\ &\quad + 2 \sum_{k>j} \text{Im} \left[ U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \right] \sin \left( \frac{\Delta m_{kj}^2 L}{2E} \right) \end{aligned}$$



## Two-Neutrino Mixing and Oscillations

$$|\nu_\alpha\rangle = \sum_{k=1}^2 U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu)$$



$$U = \begin{pmatrix} \cos\vartheta & \sin\vartheta \\ -\sin\vartheta & \cos\vartheta \end{pmatrix}$$

$$\begin{aligned} |\nu_e\rangle &= \cos\vartheta |\nu_1\rangle + \sin\vartheta |\nu_2\rangle \\ |\nu_\mu\rangle &= -\sin\vartheta |\nu_1\rangle + \cos\vartheta |\nu_2\rangle \end{aligned}$$

$$\Delta m^2 \equiv \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

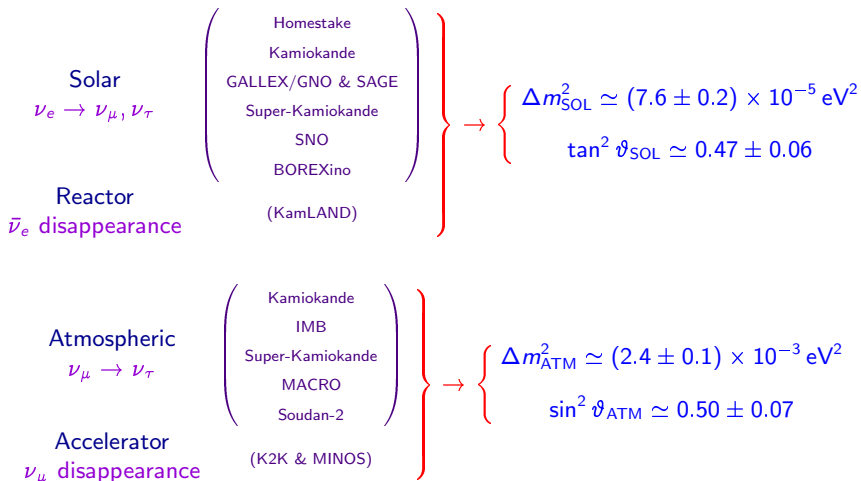
Transition Probability:  $P_{\nu_e \rightarrow \nu_\mu} = P_{\nu_\mu \rightarrow \nu_e} = \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$

Survival Probabilities:  $P_{\nu_e \rightarrow \nu_e} = P_{\nu_\mu \rightarrow \nu_\mu} = 1 - P_{\nu_e \rightarrow \nu_\mu}$

# Three-Neutrino Mixing and Oscillations

- Brief Introduction to Neutrino Masses and Mixing
- Three-Neutrino Mixing and Oscillations
  - Experimental Evidences of Neutrino Oscillations
  - Three-Neutrino Mixing
  - Allowed Three-Neutrino Schemes
  - Mixing Matrix
- Absolute Scale of Neutrino Masses
- Conclusions

# Experimental Evidences of Neutrino Oscillations



Two scales of  $\Delta m^2$ :  $\Delta m_{\text{ATM}}^2 \simeq 30 \Delta m_{\text{SOL}}^2$

Large mixings:  $\vartheta_{\text{ATM}} \simeq 45^\circ$ ,  $\vartheta_{\text{SOL}} \simeq 34^\circ$

# Three-Neutrino Mixing

$$\nu_{\alpha L} = \sum_{k=1}^3 U_{\alpha k} \nu_{kL} \quad (\alpha = e, \mu, \tau)$$

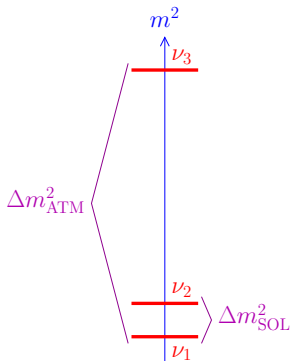
three flavor fields:  $\nu_e, \nu_\mu, \nu_\tau$

three massive fields:  $\nu_1, \nu_2, \nu_3$

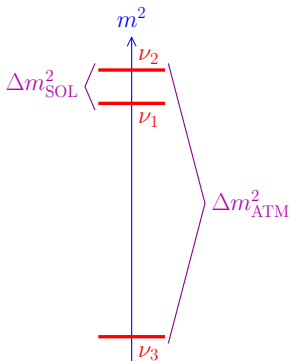
$$\Delta m_{\text{SOL}}^2 = \Delta m_{21}^2 \simeq (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{ATM}}^2 \simeq |\Delta m_{31}^2| \simeq |\Delta m_{32}^2| \simeq (2.4 \pm 0.1) \times 10^{-3} \text{ eV}^2$$

# Allowed Three-Neutrino Schemes



"normal"



"inverted"

different signs of  $\Delta m_{31}^2 \simeq \Delta m_{32}^2$

absolute scale is not determined by neutrino oscillation data

# Mixing Matrix

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2|$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

↑  
ATM

CHOOZ:  $\begin{cases} \Delta m_{\text{CHOOZ}}^2 = \Delta m_{31}^2 = \Delta m_{\text{ATM}}^2 \\ \sin^2 2\vartheta_{\text{CHOOZ}} = 4|U_{e3}|^2(1 - |U_{e3}|^2) \end{cases}$

$$|U_{e3}|^2 \lesssim 5 \times 10^{-2}$$

[Bilenky, Giunti, PLB 444 (1998) 379]

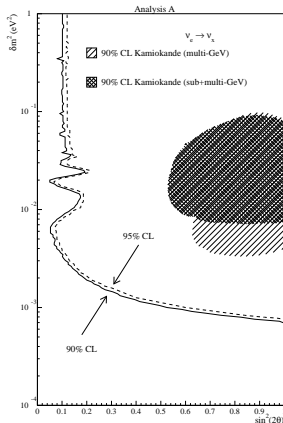
SOLAR AND ATMOSPHERIC  $\nu$  OSCILLATIONS  
ARE PRACTICALLY DECOUPLED!

TWO-NEUTRINO SOLAR and ATMOSPHERIC  $\nu$  OSCILLATIONS ARE OK!

$$\sin^2 \vartheta_{\text{SOL}} = \frac{|U_{e2}|^2}{1 - |U_{e3}|^2} \simeq |U_{e2}|^2 \quad \sin^2 \vartheta_{\text{ATM}} = |U_{\mu 3}|^2$$

[Bilenky, Giunti, PLB 444 (1998) 379]

[Guo, Xing, PRD 67 (2003) 053002]



[CHOOZ, PLB 466 (1999) 415]

[Palo Verde, PRD 64 (2001) 112001]

$$U = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\vartheta_{23} \simeq \vartheta_{\text{ATM}}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix}}_{\vartheta_{13} \simeq \vartheta_{\text{CHOOZ}}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\vartheta_{12} \simeq \vartheta_{\text{SOL}}} \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}}_{\beta\beta_{0\nu}}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}$$

Global Analysis  $\implies \sin^2 \vartheta_{13} < 0.035$  (90% C.L.)

[Schwetz, Tortola, Valle, New J. Phys. 10 (2008) 113011]

$\sin^2 \vartheta_{13} = 0.016 \pm 0.010$  [Fogli, Lisi, Marrone, Palazzo, Rotunno, PRL 101 (2008) 141801]

$\sin^2 \vartheta_{13} = 0.014 \pm 0.008$  (Bayesian) [Ge, Giunti, Liu, hep-ph/0810.5443]

## Bilarge Mixing

$$U \simeq \begin{pmatrix} c_{\vartheta_S} & s_{\vartheta_S} & 0 \\ -s_{\vartheta_S} c_{\vartheta_A} & c_{\vartheta_S} c_{\vartheta_A} & s_{\vartheta_A} \\ s_{\vartheta_S} s_{\vartheta_A} & -c_{\vartheta_S} s_{\vartheta_A} & c_{\vartheta_A} \end{pmatrix} \Rightarrow \begin{cases} \nu_e = c_{\vartheta_S} \nu_1 + s_{\vartheta_S} \nu_2 \\ \nu_a^{(S)} = -s_{\vartheta_S} \nu_1 + c_{\vartheta_S} \nu_2 \\ \phantom{\nu_a^{(S)}} = c_{\vartheta_A} \nu_\mu - s_{\vartheta_A} \nu_\tau \end{cases}$$

$$\sin^2 2\vartheta_A \simeq 1 \Rightarrow \vartheta_A \simeq \frac{\pi}{4} \Rightarrow U \simeq \begin{pmatrix} c_{\vartheta_S} & s_{\vartheta_S} & 0 \\ -s_{\vartheta_S}/\sqrt{2} & c_{\vartheta_S}/\sqrt{2} & 1/\sqrt{2} \\ s_{\vartheta_S}/\sqrt{2} & -c_{\vartheta_S}/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

$$\text{Solar } \nu_e \rightarrow \nu_a^{(S)} \simeq \frac{1}{\sqrt{2}} (\nu_\mu - \nu_\tau)$$

$$\frac{\Phi_{\text{CC}}^{\text{SNO}}}{\Phi_{\nu_e}^{\text{SSM}}} \simeq \frac{1}{3} \Rightarrow \Phi_{\nu_e} \simeq \Phi_{\nu_\mu} \simeq \Phi_{\nu_\tau} \text{ for } E \gtrsim 6 \text{ MeV}$$

$$\sin^2 \vartheta_S \simeq \frac{1}{3} \Rightarrow U \simeq \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \\ 1/\sqrt{6} & -1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

### Tri-Bimaximal Mixing

[Harrison, Perkins, Scott, PLB 530 (2002) 167]

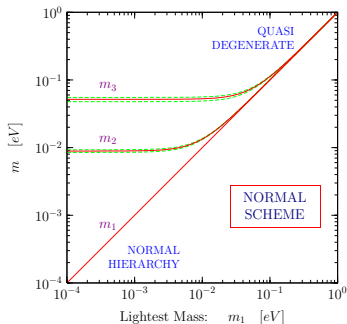


# Absolute Scale of Neutrino Masses

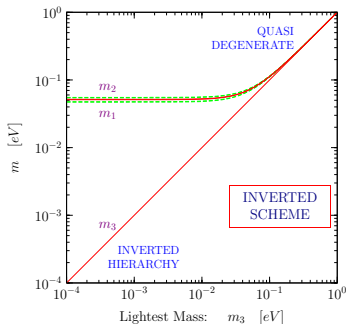
- Brief Introduction to Neutrino Masses and Mixing
- Three-Neutrino Mixing and Oscillations
- Absolute Scale of Neutrino Masses
  - Mass Hierarchy or Degeneracy?
  - Tritium Beta-Decay
  - Cosmological Bound on Neutrino Masses
  - Neutrinoless Double-Beta Decay
- Conclusions

# Mass Hierarchy or Degeneracy?

normal scheme



inverted scheme



$$m_2^2 = m_1^2 + \Delta m_{21}^2 = m_1^2 + \Delta m_{\text{SOL}}^2$$

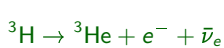
$$m_3^2 = m_1^2 + \Delta m_{31}^2 = m_1^2 + \Delta m_{\text{ATM}}^2$$

$$m_1^2 = m_3^2 - \Delta m_{31}^2 = m_3^2 + \Delta m_{\text{ATM}}^2$$

$$m_2^2 = m_1^2 + \Delta m_{21}^2 \simeq m_3^2 + \Delta m_{\text{ATM}}^2$$

Quasi-Degenerate for  $m_1 \simeq m_2 \simeq m_3 \simeq m_\nu \gg \sqrt{\Delta m_{\text{ATM}}^2} \simeq 5 \times 10^{-2} \text{ eV}$

# Tritium Beta-Decay

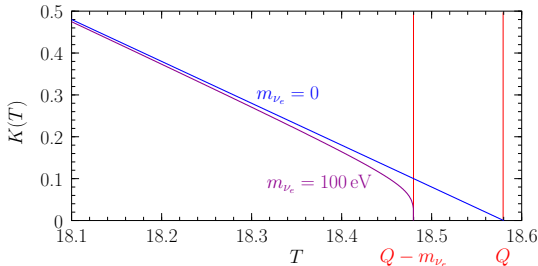


$$\frac{d\Gamma}{dT} = \frac{(\cos\vartheta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E (Q - T) \sqrt{(Q - T)^2 - m_{\nu_e}^2}$$

$$Q = M_{{}^3\text{H}} - M_{{}^3\text{He}} - m_e = 18.58 \text{ keV}$$

Kurie plot

$$K(T) = \sqrt{\frac{d\Gamma/dT}{\frac{(\cos\vartheta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E}} = \left[ (Q - T) \sqrt{(Q - T)^2 - m_{\nu_e}^2} \right]^{1/2}$$



$$m_{\nu_e} < 2.2 \text{ eV} \quad (95\% \text{ C.L.})$$

Mainz & Troitsk

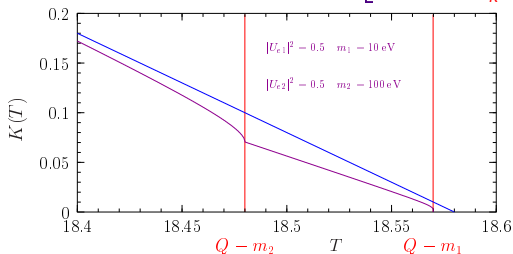
[Weinheimer, hep-ex/0210050]

future: KATRIN (start 2012)

[arXiv:0810.3281]

sensitivity:  $m_{\nu_e} \simeq 0.2 \text{ eV} \quad (3\sigma)$

$$\text{Neutrino Mixing} \implies K(T) = \left[ (Q - T) \sum_k |U_{ek}|^2 \sqrt{(Q - T)^2 - m_k^2} \right]^{1/2}$$



analysis of data is  
different from the  
no-mixing case:

$2N - 1$  parameters

$$\left( \sum_k |U_{ek}|^2 = 1 \right)$$

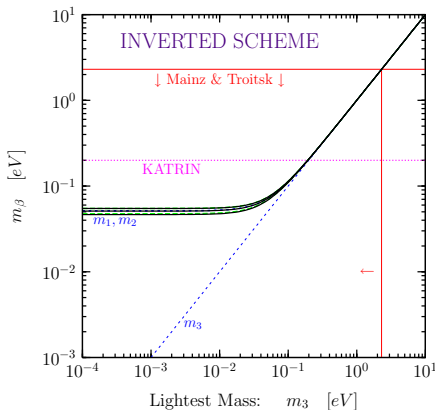
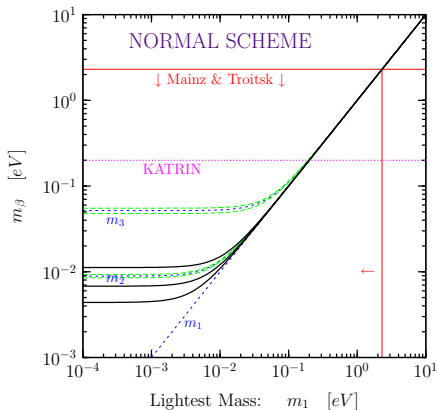
if experiment is not sensitive to masses ( $m_k \ll Q - T$ )

effective mass:

$$m_\beta^2 = \sum_k |U_{ek}|^2 m_k^2$$

$$\begin{aligned} K^2 &= (Q - T)^2 \sum_k |U_{ek}|^2 \sqrt{1 - \frac{m_k^2}{(Q - T)^2}} \simeq (Q - T)^2 \sum_k |U_{ek}|^2 \left[ 1 - \frac{1}{2} \frac{m_k^2}{(Q - T)^2} \right] \\ &= (Q - T)^2 \left[ 1 - \frac{1}{2} \frac{m_\beta^2}{(Q - T)^2} \right] \simeq (Q - T) \sqrt{(Q - T)^2 - m_\beta^2} \end{aligned}$$

$$m_\beta^2 = |U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2$$



Quasi-Degenerate:  $m_1 \simeq m_2 \simeq m_3 \simeq m_\nu \implies m_\beta^2 \simeq m_\nu^2 \sum_k |U_{ek}|^2 = m_\nu^2$

FUTURE: IF  $m_\beta \lesssim 4 \times 10^{-2} \text{ eV} \implies$  NORMAL HIERARCHY

# Cosmological Bound on Neutrino Masses

neutrinos are in equilibrium in primeval plasma through weak interaction reactions  
 $\nu\bar{\nu} \leftrightarrow e^+e^-$   $\bar{\nu}e \leftrightarrow \bar{\nu}e$   $\bar{\nu}N \leftrightarrow \bar{\nu}N$   $\nu_e n \leftrightarrow pe^-$   $\bar{\nu}_e p \leftrightarrow ne^+$   $n \leftrightarrow pe^- \bar{\nu}_e$

weak interactions freeze out

$$\Gamma_{\text{weak}} = N\sigma v \sim G_F^2 T^5 \sim T^2/M_P \sim \sqrt{G_N T^4} \sim \sqrt{G_N \rho} \sim H \implies T_{\text{dec}} \sim 1 \text{ MeV}$$

neutrino decoupling

$$\text{Relic Neutrinos: } T_\nu = \left(\frac{4}{11}\right)^{\frac{1}{3}} T_\gamma \simeq 1.945 \text{ K} \implies k T_\nu \simeq 1.676 \times 10^{-4} \text{ eV}$$

( $T_\gamma = 2.725 \pm 0.001 \text{ K}$ )

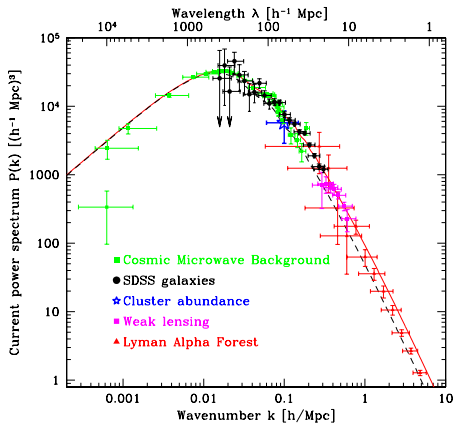
$$\text{number density: } n_f = \frac{3}{4} \frac{\zeta(3)}{\pi^2} g_f T_f^3 \implies n_{\nu_k, \bar{\nu}_k} \simeq 0.1827 T_\nu^3 \simeq 112 \text{ cm}^{-3}$$

$$\text{density contribution: } \Omega_k = \frac{n_{\nu_k, \bar{\nu}_k} m_k}{\rho_c} \simeq \frac{1}{h^2} \frac{m_k}{94.14 \text{ eV}} \implies \Omega_\nu h^2 = \frac{\sum_k m_k}{94.14 \text{ eV}}$$

( $\rho_c = \frac{3H^2}{8\pi G_N}$ ) [Gershtein, Zeldovich, JETP Lett. 4 (1966) 120] [Cowsik, McClelland, PRL 29 (1972) 669]

$$h \sim 0.7, \quad \Omega_\nu \lesssim 0.3 \quad \implies \quad \sum_k m_k \lesssim 14 \text{ eV}$$

# Power Spectrum of Density Fluctuations



[Tegmark, hep-ph/0503257]

Solid Curve: flat  $\Lambda$ CDM model

$$(\Omega_M^0 = 0.28, h = 0.72, \Omega_B^0/\Omega_M^0 = 0.16)$$

Dashed Curve:  $\sum_{k=1}^3 m_k = 1 \text{ eV}$

hot dark matter  
prevents early galaxy formation

$$\delta(\vec{x}) \equiv \frac{\rho(\vec{x}) - \bar{\rho}}{\bar{\rho}}$$

$$\langle \delta(\vec{x}_1) \delta(\vec{x}_2) \rangle = \int \frac{d^3k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} P(\vec{k})$$

small scale suppression

$$\begin{aligned} \frac{\Delta P(k)}{P(k)} &\approx -8 \frac{\Omega_\nu}{\Omega_m} \\ &\approx -0.8 \left( \frac{\sum_k m_k}{1 \text{ eV}} \right) \left( \frac{0.1}{\Omega_m h^2} \right) \end{aligned}$$

for

$$k \gtrsim k_{\text{nr}} \approx 0.026 \sqrt{\frac{m_\nu}{1 \text{ eV}}} \sqrt{\Omega_m} h \text{ Mpc}^{-1}$$

[Hu, Eisenstein, Tegmark, PRL 80 (1998) 5255]

CMB (WMAP, ...) + LSS (2dFGRS) + HST + SN-Ia  $\implies$  Flat  $\Lambda$ CDM

$$T_0 = 13.7 \pm 0.2 \text{ Gyr} \quad h = 0.71_{-0.03}^{+0.04}$$
$$\Omega_0 = 1.02 \pm 0.02 \quad \Omega_b = 0.044 \pm 0.004 \quad \Omega_m = 0.27 \pm 0.04$$

$$\Omega_\nu h^2 < 0.0076 \quad (95\% \text{ conf.}) \implies \sum_{k=1}^3 m_k < 0.71 \text{ eV}$$

WMAP (Five Years), astro-ph/0803.0547

CMB + HST + SN-Ia + BAO

$$T_0 = 13.72 \pm 0.12 \text{ Gyr} \quad h = 0.705 \pm 0.013$$

$$-0.0179 < \Omega_0 - 1 < 0.0081 \quad (95\% \text{ C.L.})$$

$$\Omega_b = 0.0456 \pm 0.0015 \quad \Omega_m = 0.274 \pm 0.013$$

$$\sum_{k=1}^3 m_k < 0.67 \text{ eV} \quad (95\% \text{ C.L.}) \quad N_{\text{eff}} = 4.4 \pm 1.5$$

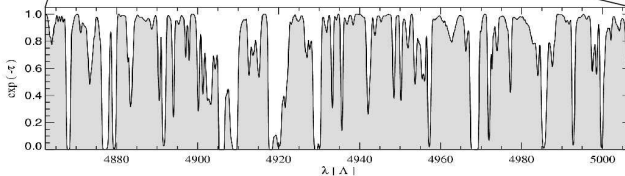
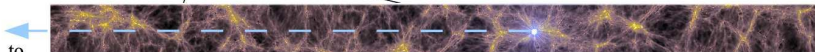
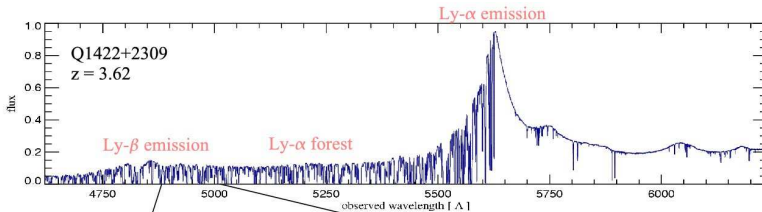


## Flat $\Lambda$ CDM

Case	Cosmological data set	$\Sigma$ (at $2\sigma$ )
1	CMB	$< 1.19$ eV
2	CMB + LSS	$< 0.71$ eV
3	CMB + HST + SN-Ia	$< 0.75$ eV
4	CMB + HST + SN-Ia + BAO	$< 0.60$ eV
5	CMB + HST + SN-Ia + BAO + $\text{Ly}\alpha$	$< 0.19$ eV

$2\sigma$  (95% C.L.) constraints on the sum of  $\nu$  masses  $\Sigma$ .

# Lyman-alpha Forest



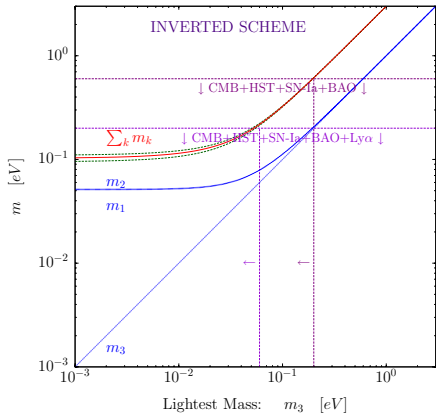
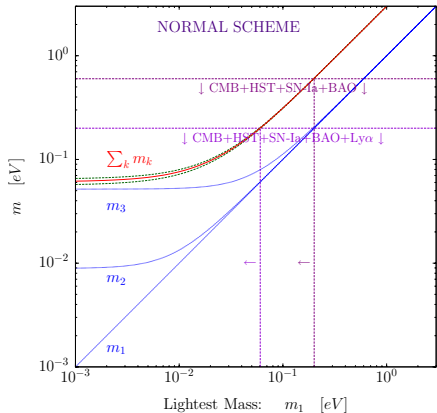
[Springel, Frenk, White, astro-ph/0604561]

Rest-frame Lyman  $\alpha$ ,  $\beta$ ,  $\gamma$  wavelengths:  $\lambda_{\alpha}^0 = 1215.67 \text{ \AA}$ ,  $\lambda_{\beta}^0 = 1025.72 \text{ \AA}$ ,  $\lambda_{\gamma}^0 = 972.54 \text{ \AA}$

Lyman- $\alpha$  forest: The region in which only Ly $\alpha$  photons can be absorbed:  $[(1+z_q)\lambda_{\beta}^0, (1+z_q)\lambda_{\alpha}^0]$

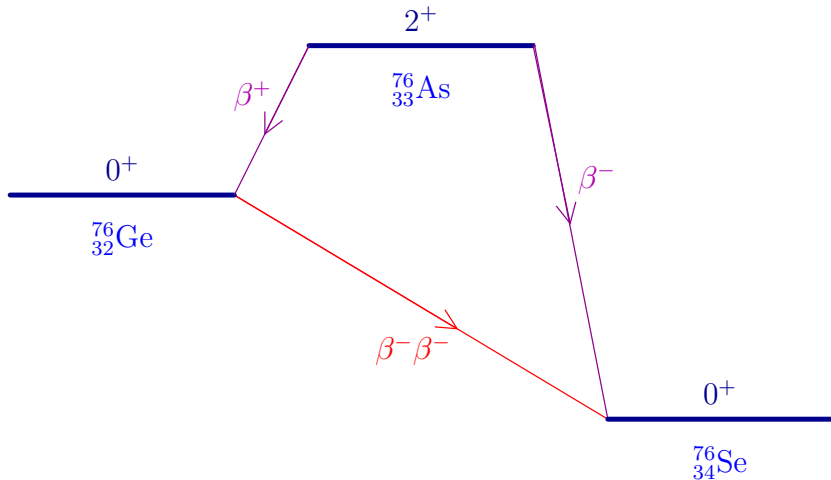
$$\sum_{k=1}^3 m_k \lesssim 0.6 \text{ eV} \quad (\sim 2\sigma) \quad \text{CMB + HST + SN-Ia + BAO}$$

$$\sum_{k=1}^3 m_k \lesssim 0.2 \text{ eV} \quad (\sim 2\sigma) \quad \text{CMB + HST + SN-Ia + BAO + Ly}\alpha$$



FUTURE: IF  $\sum_{k=1}^3 m_k \lesssim 9 \times 10^{-2} \text{ eV} \Rightarrow$  NORMAL HIERARCHY

# Neutrinoless Double-Beta Decay



Effective Majorana Neutrino Mass:

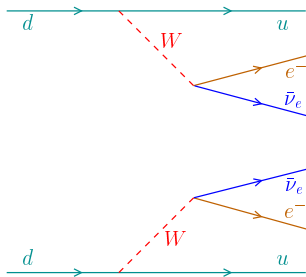
$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

## Two-Neutrino Double- $\beta$ Decay: $\Delta L = 0$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^- + \bar{\nu}_e + \bar{\nu}_e$$

$$(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$$

second order weak interaction process  
in the Standard Model



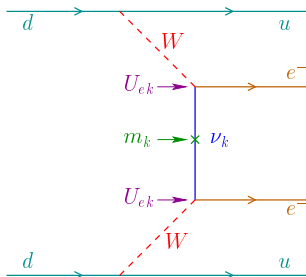
## Neutrinoless Double- $\beta$ Decay: $\Delta L = 2$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^-$$

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

effective  
Majorana  
mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

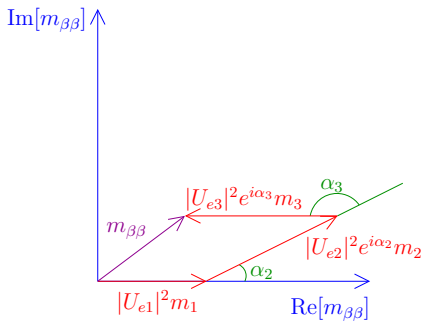
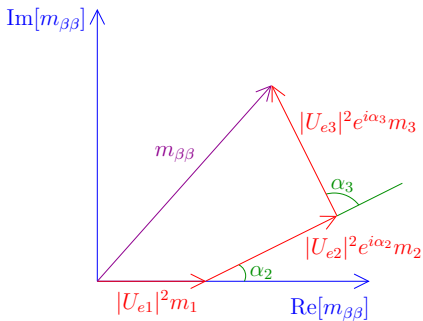


## Effective Majorana Neutrino Mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k \quad \text{complex } U_{ek} \Rightarrow \text{possible cancellations}$$

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$

$$\alpha_2 = 2\lambda_2 \quad \alpha_3 = 2(\lambda_3 - \delta_{13})$$



## Experimental Bounds

CUORICINO ( $^{130}\text{Te}$ ) [PRC 78 (2008) 035502]

$$T_{1/2}^{0\nu} > 3 \times 10^{24} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.19 - 0.68 \text{ eV}$$

Heidelberg-Moscow ( $^{76}\text{Ge}$ ) [EPJA 12 (2001) 147]

$$T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.32 - 1.0 \text{ eV}$$

IGEX ( $^{76}\text{Ge}$ ) [PRD 65 (2002) 092007]

$$T_{1/2}^{0\nu} > 1.57 \times 10^{25} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.33 - 1.35 \text{ eV}$$

NEMO 3 ( $^{100}\text{Mo}$ ) [PRL 95 (2005) 182302]

$$T_{1/2}^{0\nu} > 4.6 \times 10^{23} \text{ y (90\% C.L.)} \implies |m_{\beta\beta}| \lesssim 0.7 - 2.8 \text{ eV}$$

## FUTURE EXPERIMENTS

COBRA, XMASS, CAMEO, CANDLES

$$|m_{\beta\beta}| \sim \text{few } 10^{-1} \text{ eV}$$

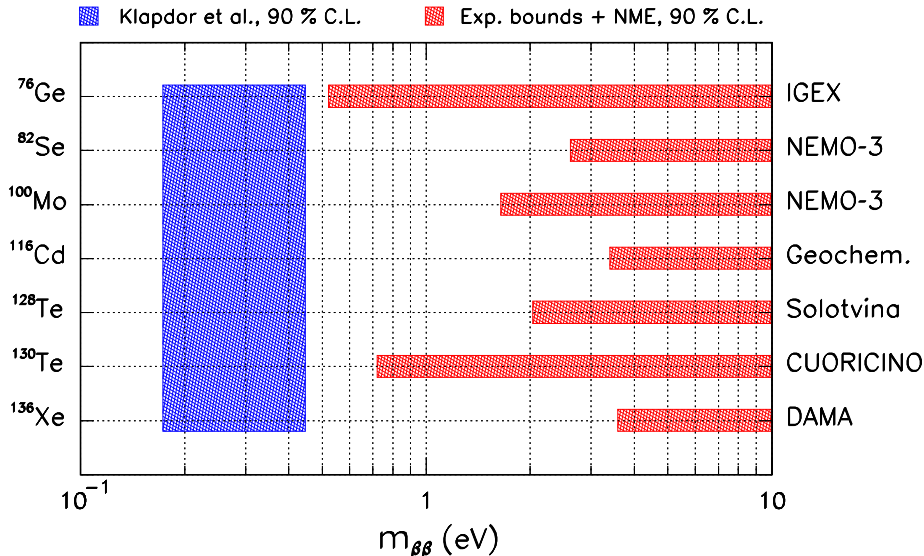
EXO, MOON, Super-NEMO, CUORE, Majorana, GEM, GERDA

$$|m_{\beta\beta}| \sim \text{few } 10^{-2} \text{ eV}$$

# Nuclear Matrix Element

Faessler, Fogli, Lisi, Rodin, Rotunno, Simkovic

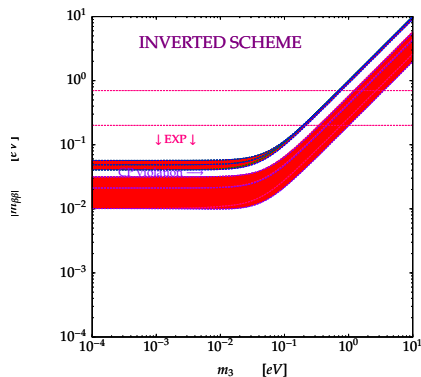
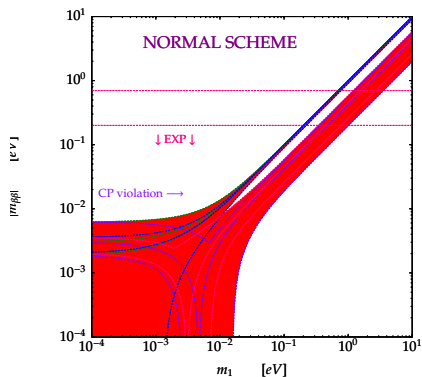
[hep-ph/0810.5733]





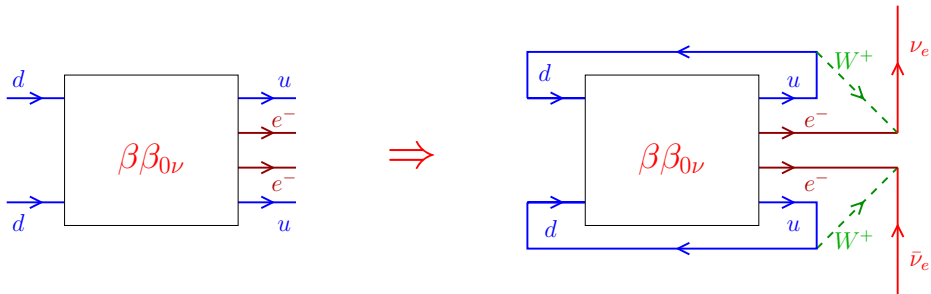
## Bounds from Neutrino Oscillations

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3$$



FUTURE: IF  $|m_{\beta\beta}| \lesssim 10^{-2} \text{ eV} \Rightarrow$  NORMAL HIERARCHY

## $\beta\beta_{0\nu}$ Decay $\Leftrightarrow$ Majorana Neutrino Mass



[Schechter, Valle, PRD 25 (1982) 2951] [Takasugi, PLB 149 (1984) 372]

### Majorana Mass Term

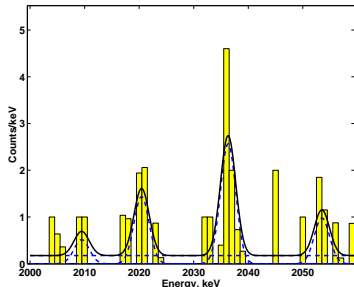
$$\mathcal{L}_L^M = -\frac{1}{2} m (\overline{\nu}_L^c \nu_L + \overline{\nu}_L \nu_L^c) = \frac{1}{2} m (\nu_L^T C^\dagger \nu_L + \nu_L^\dagger C \nu_L^*)$$

two conditions:  $\left\{ \begin{array}{l} u, d, e \text{ are massive} \\ \text{standard left-handed weak interaction exists} \end{array} \right.$   
 cancellation with other diagrams is very unlikely  
 (no symmetry, unstable under perturbative expansion)

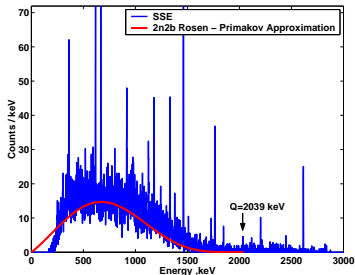
## Experimental Positive Indication

[Klapdor et al., MPLA 16 (2001) 2409; FP 32 (2002) 1181; NIMA 522 (2004) 371; PLB 586 (2004) 198]

$$T_{1/2}^{0\nu\text{bf}} = 1.19 \times 10^{25} \text{ y} \quad T_{1/2}^{0\nu} = (0.69 - 4.18) \times 10^{25} \text{ y} (3\sigma) \quad 4.2\sigma \text{ evidence}$$



pulse-shape selected spectrum



3.8 $\sigma$  evidence

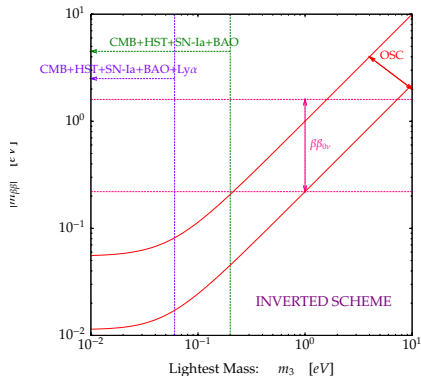
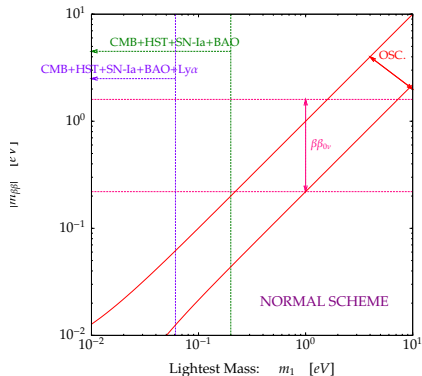
[PLB 586 (2004) 198]

the indication must be checked by other experiments

$$1.35 \lesssim |\mathcal{M}_{0\nu}| \lesssim 4.12 \quad \Rightarrow \quad 0.22 \text{ eV} \lesssim |m_{\beta\beta}| \lesssim 1.6 \text{ eV}$$

if confirmed, very exciting (Majorana  $\nu$  and large mass scale)

Indication of  $\beta\beta_{0\nu}$  Decay:  $0.22 \text{ eV} \lesssim |m_{\beta\beta}| \lesssim 1.6 \text{ eV}$  ( $\sim 3\sigma$  range)



tension among

oscillation data – CMB+HST+SN-Ia+BAO(+Ly $\alpha$ ) –  $\beta\beta_{0\nu}$  signal

## Conclusions

$\nu_e \rightarrow \nu_\mu, \nu_\tau$  with  $\Delta m_{\text{SOL}}^2 \simeq 8.3 \times 10^{-5} \text{ eV}^2$  (solar  $\nu$ , KamLAND)

$\nu_\mu \rightarrow \nu_\tau$  with  $\Delta m_{\text{ATM}}^2 \simeq 2.4 \times 10^{-3} \text{ eV}^2$  (atm.  $\nu$ , K2K, MINOS)



Bilarge  $3\nu$ -Mixing with  $|U_{e3}|^2 \ll 1$  (CHOOZ)

$\beta$  Decay, Cosmology,  $\beta\beta_{0\nu}$  Decay  $\implies m_\nu \lesssim 1 \text{ eV}$

### FUTURE

**Theory:** Why lepton mixing  $\neq$  quark mixing?

(Due to Majorana nature of  $\nu$ 's?)

Why only  $|U_{e3}|^2 \ll 1$ ?

Continue improvement of  $\mathcal{M}_{0\nu}$  uncertainties.

**Exp.:** Measure  $|U_{e3}| > 0 \implies$  CP viol., matter effects, mass hierarchy

Check  $\beta\beta_{0\nu}$  signal at Quasi-Degenerate mass scale

Improve  $\beta$  Decay, Cosmology,  $\beta\beta_{0\nu}$  Decay measurements