HGCAL: status & plans

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On behalf of the LLR-CMS Group

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LHC: from Run I to HL-LHC



LHC: from Run I to HL-LHC



• SUSY, Dark Matter, ...

LHC: from Run I to HL-LHC



Challenges: Radiation damage





Aging studies shows that Endcap Calorimetry (+Tracker) has to be replaced.

Challenges: Pile-Up (PU)



Figure 9.1: An event display showing reconstructed tracks and vertices of a simulated top-pair event with additional 140 interactions overlaid for the Phase-II detector.

➤ HL-LHC Nominal Parameters:

- 140 additional interactions per bunch crossing (every 25 ns) + out-of-time PU
 - Could go up to 200
- Instantaneous Peak Luminosity: 5x10³⁴ cm⁻²s^{-1,}

> Challenges for Triggers (especially Level 1 !) & offline reco + computing (30xLHC)

Need to preserve "low" energy physics (125 GeV Higgs) and explore TeV scale (e.g. SUSY) in a very harsh environment !

HGCAL: General Layout



HGC+BH: covers η range up to 3

HGC Parameters



(*) 3x CMS tracker !

(**) one HGC+BH endcap: ~230 tonnes

Modules, Cassettes & Mechanics (Technical Proposal)



Endcap Calorimeter Project Structure to Q1-17 (making Technical Choices, ..)



Timeline / Milestones



Hardware HGCAL pour CMS à l'X



Front-End Electronics (1)

One of the most challenging aspect of the project !

Need to have large dynamic range @ low power + low noise



- ADC (10 bits) and TDC (12 bits) with existing designs
- Potential for 50 ps timing per cell

[*] alternative: more classical readout (bi-gain) or switched feedback

Front-End Electronics (2)

One of the most challenging aspect of the project !

Need to have large dynamic range @ low power + low noise





Front-End Electronics (3)

One of the most challenging aspect of the project !

Need to have large dynamic range @ low power + low noise



- SKIROC2_CMS (not the final chip):
- Includes some of the HGC features:
 - ~20ns shaping time and 40MHz sampling
 - ADC + TOA (~50ps) + TOT
 - P-on-N and N-on-P read-out options
- Production launched in January, Received mid-June
- First tests on-going (noise, stability, linearity, crosstalk, ...)

Plan to use it for CERN test beams (Fall)

- Also: test vehicles on blocks launched
 - (TSMC 130nm, various preamps flavors, shapers, discriminators)
 - Second TV in September with one full channel (various flavors)
- First iteration of full chip expected by Spring 2017.
 - with feedback from test vehicles & SKIROC2_CMS

Recent Highlights: Test beams (1)

Test beams Goals:

- Proof of concept (modules, PCB-to-Si connections, ...)
- Use of SKIROC2_CMS (25 ns shaping, ToT, ...)
- Very fast timing
- Calorimeter Performances (energy response, resolution,... simulation)
 - with setups from 1 layer (April 2016) to full HGC (28 EE layers + 12 FH layers, 2017 ?)



➤ Tests at FNAL & CERN (2015 - …)





Module assembly (UCSB)

Test beams (2)

➢ 4 layers setup at FNAL (June)





Module with 6" HPK 128 ch sensor

SKIROC2

Data taken during the May/June run.

- 4 layers of sensors at 3, 6, 9 and 12 X_0
- 200 μm sensors with W/Cu plates in stack with tungsten and copper cooling plates at room temperature.
- Beams: Electrons at 8, 16 and 32 GeV, Protons at 120 GeV.
- Čerenkov information not available.
- Gain 2.33 higher than March run.
- ADC gain set to 1 ADC count ~ 830 electrons.

Recent Highlights: Test beams (3)

First results:



- **FNAL:** <= 28 layers for tests in June/July **[SKIROC2]**, + Fall 2016
- **CERN:**
 - short period in H2 (August/September, November) [SKIROC2_CMS, if validated] (+ timing dedicated tests)
 - Periods in 2017

(2-3 weeks already foreseen beginning of year)

LLR will contribute here (shifts, analysis) P2IO post-doc will help a lot 🙂

Mechanics (1)

M. Anduze, M. Frotin, C. Ochando, T. Pierre-Emile, Y. Sirois

Focus on EE mechanics: W/C-fiber alveolar structure:



Mechanics (2)

M. Anduze, M. Frotin, C. Ochando, T. Pierre-Emile, Y. Sirois



Mechanics (3)

M. Anduze, M. Frotin, C. Ochando, T. Pierre-Emile, Y. Sirois





 Reassembling plies are put on both sides of each alveola to improve load distribution and avoid fiber discontinuity within the same plane (in blue on the 3D view).

Mechanics (4)

Focus on EE mechanics: W/C-fiber alveolar structure:

Assess Mechanical behavior via FEA simulations:

- in various positions,
- for various material properties,
- stresses from T° cycling

• ...







Mechanics (5)

M. Anduze, M. Frotin, C. Ochando, T. Pierre-Emile, Y. Sirois

Focus on EE mechanics: W/C-fiber alveolar structure:

Mechanical tests on small samples Before/After irradiation, T° cycling,...



Producing small prototypes

Note: Autoclave not big enough for real size production....



small disk of alveoli proto (~1/3 size)



Prototyping / Mechanical tests

Mechanics (6)

Focus on EE mechanics: W/C-fiber alveolar structure:

Mechanical tests on small samples Before/After irradiation, T° cycling,...



Suffers from Mickael's departure...

- Not so easy to find neutron sources with sufficient fluence, room to install setup, ...
- Possible sites: Ljubljana, Louvain?, ILL ?
- Mandatory to complete the design !!!
 - NIM papers can be written on these topics...



Prototyping / Mechanical tests

Mechanics in HGCAL: EE mechanical structure options (1)

3 different designs under study (with different level of maturity) Decision: October 2016







(A) W/C-fiber Alveolar Structure

- phi-sector Disks,
- WITH INSERTABLE_cassettes

(B) Full Disk

- Inspired from PreShower experience
- Full disk of Cu & absorber, stacked in vertical position
- NO cassettes
- Services decoupled from Cooling

(C) "Disk & Spacer" design

- Variant of "full disk"
- Made from 30° cassettes, connected in inner/outer periphery + spacers + …
- Horizontal assembly

Mechanics in HGCAL: EE mechanical structure options (2)

3 different designs under study (with different level of maturity) Decision: October 2016





General Points to address (to be completed)

- **Physics:** cracks (what is tolerable?), total thickness?
- Cassettes: insertable or not.
- Mechanical behavior: under various positions, under T° cycling, under events (magnet quench, earthquakes,...)
- Assembly/Installation/Services: strategy for cabling, vertical or horizontal, ...

Mechanics in HGCAL: EE mechanical structure options (3)



LLR design:

- Physics: ~ok (not perfect but may not be decisive now)
- Mechanical behavior: ~ok (still need T° cycling + radiation)
- Assembly/Installation (from assembly of structure, cassette insertion, cabling, mounting of the rest of HGC...)
 - To be developed (including idea of tooling) with HIGH priority.
 - Some temporary help (mechanics, electronics?) needed !
- But:
 - **Cassettes:** needed or not ?
 - HGC buried under cables/pipes + radiation: prevents to replace cassettes
 - use of more sensors geometries than full disk due to cassettes (dedicated PCB/readout, more cables, ...)
 - Flexible enough ? (need to know exactly the cassette thickness before launching production)

L1 Trigger & Back-end Electronics

Ph. Busson, Th. Romanteau, S. Baffioni, JB. Sauvan, I. Puljak, ...



- The LLR has been one of the main drivers of the HGCal L1 trigger project, together with the University of Split (Croatia)...
 - Significant parts of the studies done for the Technical Proposal, feasibility studies
 - Software developments, firmware developments
 - Coordination activities
- ... and wants to remain a main actor !

Sensor cell geometries

- Hex cell geometry makes trigger sums quite non-uniform
 - A lot of messy edge effects around wafer
 - Often have less cells than four in the sum; means more trigger channels
 - Or more than four in sum; complicates FE ASIC







Paul Dauncey

- Other sensor cell geometries may be preferable
 - Systematic study of possible alternatives being done
 - Including starting with trigger cells and then subdividing

L1 Trigger & Back-end Electronics: LLR+friends^{**} plans (1)

Ph. Busson, Th. Romanteau, S. Baffioni, JB. Sauvan, I. Puljak, ...

- Development of the trigger system, driven by algorithms
 - Development of the best possible algorithms
 - Dimension the system according to these algorithms
- > Need continuous monitoring of algorithms resource and latency needs
 - Test platform for algorithm evaluation based on currently available hardware
 - Test platform available at LLR, with many free slots for more µTCA boards







L1 Trigger & Back-end Electronics: LLR+friends^{**} plans (2)

Ph. Busson, Th. Romanteau, S. Baffioni, JB. Sauvan, I. Puljak, ...

- Participation to the front-end ASIC tests and test beams
 - SKIROC2, test vehicles and future HGCROC chips
 - Benefits from the close contact with Omega
- Part of the trigger processing will be done in the front-end ASICs and the off -detector electronics will be interfaced to these chips
 - It is important to follow very closely, test and control that the front-end ASIC is compatible with the trigger needs
- Test of the SKIROC2 chip already started by the LLR+Split team
 - Measurements of pedestal, linearity, crosstalk, noise, etc.





P2IO "Projet Emblématique"



LLR Palaiseau CNRS, LAL Orsay CNRS, SPP + SEDI Saclay CEA

P2IO "Projet Emblématique"

900 keuros asked. 765 keuros received (-15 %)

- Includes post-doc at LLR for HGCAL (+ILC test beam) from X+IN2P3
- 45% SiWLC, 46.2% HGCAL, 8.7% HGTD
- ~57% hardware / 43% manpower + travel funds
- Cut on hardware + post-doc

Will help a lot in test beams + synergy CMS/CALICE !

> P2IO & LLR-CMS:

- Mechanics: fund to help R&D, prototypes, tests
- L1 Trigger: fund to help test bench
- Test beams, Performances, Detector design/optimization: post-doc

Conclusion

HGCAL Project is very ambitious, challenging... and thus exciting !

So far, so good, we are on track and on time:

- Si wafer design & Module mounting
- Test beams underway (FNAL, CERN)
- Front-End electronics: SKIROC2_CMS being tested, test vehicles launched, on time for first iteration of full chip in 2017, ...

> At LLR:

- Mechanics: major decision in October on EE structure
 - LLR has the most studied & complete design.
 - But does not mean it is the "best" or will be chosen...
 - (temporary) help needed for the rush...
- L1 Trigger:
 - Project would highly benefit from an additional firmware engineer (development of algo firmware to be tested on our test platform)
 - Will also need more processing boards

➢ P2IO:

Although reduced budget will impact us, it will provide valuable support on R&D and test beams/performance

BACK UP SLIDES

L1 Trigger at LLR&friends (1)

N'oublie pas de couvrir un peu FE / BE electronics (i.e. quelque slides avec les responsabilitésde Polytechnique + mention des liens avec Split (BE) et arrivé de JBS (trigger) + 1 post-doc (test-beam analysis) The TP chose schemes that could be built using currently available technologies. Now studying many options that may lead to cost or performance benefits.

Absorber for FH and BH: non-magnetic stainless steel

Under consideration: enclosing the whole endcap calorimeter in the cold volume

EE and FH: tiling the full face of the disks with hexagons or keeping the phi-wedges. A module comprises one 8" sensor or two 6" sensors.

We can use FEC5 protocol LpGBT links that can transmit 9.0 Gb/s instead of the assumed 6.4 Gb/s

The numbers of links "10 Gb/s" optical links has increased: Data 3761 -> 5415 and Trigger 6428 -> 7572.

The trigger scheme and granularity is being reassessed

Hexagonal cells have been introduced in the simulation geometry

The milestones are being updated

Modules, Cassettes & Mechanics (Si & modules)

Modules

with 2x6 or 8" Hexagonal Si sensors, PCB, FE chip, on W/Cu baseplate



See talk by Z. Gecse (test beam)



To cope the irradiation / PU:

- η-dependent depletion of Si
- η-dependent cell size

Thickness	300 µm	200 µm	$100\mu m$	>
Maximum dose (Mrad)	3	20	100	
Maximum n fluence (cm $^{-2}$)	6×10^{14}	$2.5 imes 10^{15}$	1×10^{16}	
EE region	$R > 120 \rm{cm}$	$120 > R > 75 \mathrm{cm}$	$R < 75 \mathrm{cm}$	
FH region	$R > 100 \rm{cm}$	$100 > R > 60 \mathrm{cm}$	$R < 60 \mathrm{cm}$	
Si wafer area (m ²)	290	203	96	
Cell size (cm ²)	1.05	1.05	0.53	>
Cell capacitance (pF)	40	60	60	
Initial S/N for MIP	13.7	7.0	3.5	
S/N after 3000 fb ⁻¹	6.5	2.7	1.7	20

Summary of the CMS upgrades for Phase-II

Trigger/HLT/DAQ

- Track information at L1-Trigger
- L1-Trigger: 12.5 μs latency output 750 kHz
- HLT output ≈7.5 kHz

Barrel EM calorimeter

- Replace FE/BE electronics
- Lower operating temperature (8°)

Muon systems

- Replace DT & CSC FE/BE
 electronics
- Complete RPC coverage in region 1.5 < η < 2.4
- Muon tagging $2.4 < \eta < 3$

Replace Endcap Calorimeters

- Rad. tolerant high granularity
- 3D capability

Replace Tracker

- Rad. tolerant high granularity significantly less material
- 40 MHz selective readout (Pt≥2 GeV) in Outer Tracker for L1-Trigger
- Extend coverage to η = 3.8

Radiation Tolerance (1)

Charge collection vs neutron fluence



300 & 200 μ m active thickness

Radiation tolerance (2)

Neutron irradiation



HGCAL: General Layout



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Back-Hadron Calorimeter



BH	number
Scintillator	428 m ²
WLS fibers	12 km
Clear fibers	73 km
SiPMs	5184
Optical fibres (data)	1152

- > Improvement of current HE tiles for ~ 5 Mrad tolerance, with increased granularity (~ x2 in ϕ , x1.3 in η):
 - doubly-doped plastic scintillator x 2 light after irradiation
 - Finger tile design: shorter light path

HCAL Endcap Megatiles Upgrade



- \succ Also thinking of usage of Si at high eta.
 - Would require to cool down the full endcap calo...

HGC Calibration

Calibration requires:

- Inter-calibration (cell-by-cell response equalization) _
 - Objective: Constant term smaller than 1%
 3% precision for IC (results in <0.5% constant term)
- Cells weights taking into account absorber thickness
 - W plates: thickness contained within +/- 40 μm
 - W/Cu plates: thickness contained within +/- 50 μm
 - Si wafer: thickness contained within +/- 5 μm
 - Diffusion depth of all pads (within a wafer): +/- 3 μm of the average of the wafer
- Response Linearity, Monitoring
- Absolute scale with standard candles

With MIPs

HGC calibration: inter-calibration with MIP tracking

- "MIP" Tracking ("punch through")
 - Require signal in layer before/after + isolation
 - Can be done on any readout (L1, offline)

- Tested in MC minimum-biased sample with <N_{PU}>=140
- Need 1.5M events to reach 3% precision (takes ~ 1 day)





- > In addition, for redundancy:
- Low-capacitance/low-noise cell included in each wafer for calibration:
- 7 sub-cells subscribed inside a standard hexagonal cell (large S/N)



- Electronic chain of each channel:
 - linearized, monitored with charge injection system (chopper circuit, fixed calibration capacitances connected to FE)



Electronics calibration circuit.

Two sections with overlapping ranges (one for small, 1-100 fC, one for large signals)

HGCAL Performances





(low ET, critical for multi-leptons topologies: $H \rightarrow ZZ \rightarrow 4$ leptons, ...)



First Prototypes/Mock-up

Mechanical Prototype: Modules for Cooling Tests



Automated Bonding Tests







CO2 Cooling

Cassettes FEA

Goal: $\Delta T \sim 1.2 \text{ K}$ 6mm Cu plate 1 pipe – uniform heat load $\Delta T \sim 0.9 \text{K}$ (over the cassette) Cooling Tube: OD-4.8mm, ID-3.2mm, Length - 5.9 m, mass flow: 2.0 gm/sec, T_{max} -28.00C, T_{min} -28-86C.

Results of Thermai Model with 250 With*2 applied to both sides of piate:



Thermal Mock-up with tests (CO2 Cooling stations at FNAL, IPNL)

CMS internal



Level 1 Trigger (1)



Mechanics: HGC-EE

W/C-fiber Alveolar 30° "petals"/"wedges" (8-9 layers each)



Petals assembled together as 3 wheels, glued together (each wheel is rotated by (up to) 10°)



Design & Building technique inspired by the CALICE Si/W ECAL mechanical structure



Why CO2 Cooling ?

From N. Lumb (IPNL)

- Current Endcap uses monophase (liquid) cooling
 - Coolant heat capacity (C6F14): 1.05 kJ/kg/oC
 - Kinematic viscosity: 0.4 cSt
 - Density: 1.68 g/ml
- CO2 based systems are 2-phase
 - Latent heat of vapourisation CO2: 574 kJ/kg
 - Kinematic viscosity: 0.1 cSt
 - Density: 1.0 g/ml
- Consequently, CO2 based systems remove same amount of heat with much lower mass flow (factor ~100 depending on allowed monophase ΔT)
 - 150W removed by ~1g/s CO2!
 - Can use pipes with smaller cross-section
 - Reduction in mass of pipes and the liquid contained within them
- Also in favour of CO2:
 - High heat transfer coefficient
 - Radiation hard
 - Environmentally friendly: Global warming potential = 1 (vs several 1000s for C6F14)

TP description : sketch [P. Bloch]

CN

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> 27-28 June 2016: First CMS Comprehensive Review,

including external reviewers: L.Serin (ATLAS), Frank Simon (CALICE)

> End of 2017: Technical Design Report

including key technical choices:

Subject	ltem	Process/Criteria	Internal Milestone	LHCC Milestone
FH & BH Absorber	Brass or SS	Activation and Cost	Jun-16	Q2 2016
EE Mechanical Design	Full disk or phi sectors	Simulation / Performance& Design pros & cons	Oct-16	Q4 2016
FE electronics	Confirm 130 or 65 nm	Irradiation & Test vehicles	Oct-16	Q4 2016
DC-DC converters	Location: local or remote	Irradiation & Prototyping / System implications	Dec-16	Q4 2016
Electrical/optical links	Location: local or remote	Irradiation / System implications	Dec-16	
BH Active Material	Scintillator Type, Megatile Structure	Radiation hardness, ability to operate cold	Dec-16	Q4 2016
Cold Volume	Si only or full HGCAL	Integration studies / Performance & System Implications	Dec-16	Q4 2016
Si Sensors	p-in-n or n-in-p	Irradiation / Performance & System	Mar-17	01 2017
Si Sensors	6"or 8"	Dialogue with vendors	Mar-17	Q1 2017

Examples of Milestones for 2016

End of 2019: Construction starts...



Engineering	
Silicon (Active)	
BH (Active)	
Electronics & Elect.	Systems

Ch. De La Taille et al., (Omega) **Recent Highlights: Front End** mega Test FE pour TPG: Y. Geerebaert **Stringent requirements for Front-End Electronics** Low power (few mW), low noise (<2000 e-) High radiation (200 Mrad, 10^E16 N) System on chip (digitization, processing...) High speed readout (5-10 Gb/s) SKIROC2_CMS expected in June. Timing information to 50ps accuracy 4-5 boards will be equipped for tests (can start with SKIROC2) Milestones \Rightarrow end january Submit v0 fe chip (SKIROC-CMS) 15-Feb-16 \Rightarrow end april Submit f.e. test vehicles in TSMC130 nm technology 31-Mar-16 1st Comprehensive Review \Rightarrow 26-27 june 1-Jun-16 1st results from f.e test vehicles \Rightarrow 2nd test vehicles : full one channel 30-Sep-16 Confirm choice of front-end electronics (130 nm) ?? Under study 31-Oct-16 Define architecture & specs for LV/HV supply, links and on-module components 15-Dec-16 Define location of DC-DC converters 15-Dec-16 Define location of electrical/optical links 15-Dec-16 ? Testbeam results of TOT architecture Submit V1 ASIC \Rightarrow First 32/64 ch ASIC with full functionnality 31-Mar-17 Choice of Si sensors type: all n-on-p or mixed (i.e. n-on-p and p-on-n) 31-Mar-17 2nd Comprehensive Review 1-Jun-17 1st results from tests of V1 ASIC 30-Sep-17 Submit TDR 1-Nov-17

30-Jun-18 Submit V2 ASIC

> LLR among the main drivers of the HGCAL L1 Trigger project

HGCAL at LLR: L1 Trigger

Now in strong collaboration with Split & CERN

Architecture

Define baseline architecture for TP

Front-End Studies

192 cells with

groups of 3 cells

 Work on trigger "raw data" (Data reduction, trigger cell geometry, …)

252 cells with

groups of 3 cells



Development of emulator,
+ standalone tools
(digitization, ..)



Besoin urgent d'un Test Bench SKIROC-CMS au LLR pour rester dans le jeu côté interface trigger avec le chip de FE

Recent Highlights: Test beams (3)

First results:



120 GeV protons as a proxy for MIP and calibration

32 GeV electron after 6 X0

> Next steps:

- <= 28 layers for tests in May [SKIROC2]</p>
- First tests at CERN in August/May (preceded by CALICE tests), then in November [SKIROC2 or SKIROC2_CMS if validated].

Physics

≻ (A) Phi-sector Disks

Various configurations simulated

(1) "TP design"3 blocks (8-10) layers rotated by 10°



(2) Disks rotated every 2°
 layer by layer
 (or every 2 layers==1 cassette)



(3) Disks with staggered layers (ever 2nd or 3rd)



Continuous W

Active-to-active gap: mainly created by C-fiber alveoli (+ Si guard ring, mechanical tolerance): 0.5 – <1cm</p>



HGC Performance (1)



HGC Performance (2)

- High Granularity + longitudinal segmentation gives additional powerful handles for particle ID:
 - shower start, shower length compatibility, restoration of projectivity, 3D shower profile fits, layer-by-layer PU subtraction, etc...



Conclusion & Perspectives (1)

HGCAL is on the critical path towards physics discoveries & measurements in Phase II (HH, VBF jets for Higgs/SUSY/Dark Matter, Unitarity, ...) and has all ingredients for being rad-hard, mitigate PU, deal with high rates,...

Many major & excited challenges for the next decade :

- Engineering (includes cold/warm transition, services, ...
- FE electronics & L1 Trigger
- Software, computing



Now in R&D phase

- Fast progress since Technical Proposal (mechanics, sensors & modules, FE, ...)
- Several test beams session scheduled this year (FNAL, CERN) See talk by Z. Gecse
- **TDR expected end of 2017**, including key technical choices

(test beam)

Construction starts in ~2019



Services: Turning the Corner at the Cassette Edge

- Very high density of services at the cassette edge: Electrical, Optical, Cooling
- Serious, integrated design effort is required ... only barely begun



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HIGHTEC[©] Synergies @ P2IO

An optimisation of ressources

- The R&D developments needed for SiWLC, HGCAL, and HGTD have a lot in common and will greatly profit from this common HGCFC P2IO project
- This interest for a common effort and sharing of knowledge and know-how between physicist and engineers in this HGCFC project applies to each of the four main areas:

Mechanical Design:	SiWLC, HGCAL, HGTD use similar C-Fibre techniques and engineering expertise for their reference designs
FE & BE electronics:	SiWLC, HGCAL, HGTD each rely on variants of the Omega "SKIROC" type of FE chips
	Miso, digital electronics and data flow is a similar and major challenge
Clocks and Timing:	Clock distribution and the study of the impact of timing for pile-up mitigation are common issues of HGCAL and HGTD
Performances & TB:	Similar PFlow algorithms and clusterisation adapted to high granularity are major developments from same post-docs Common beam tests are foreseen at CERN*
	* A first SiWLC beam test at CERN organized with CMS HGCAL has occurred already in November 2014 at the initiative of P2IO groups !