

Electron reconstruction efficiency measurement with the ATLAS detector at the LHC, and study of the Higgs boson coupling to the top quark with the two same sign leptons channel.

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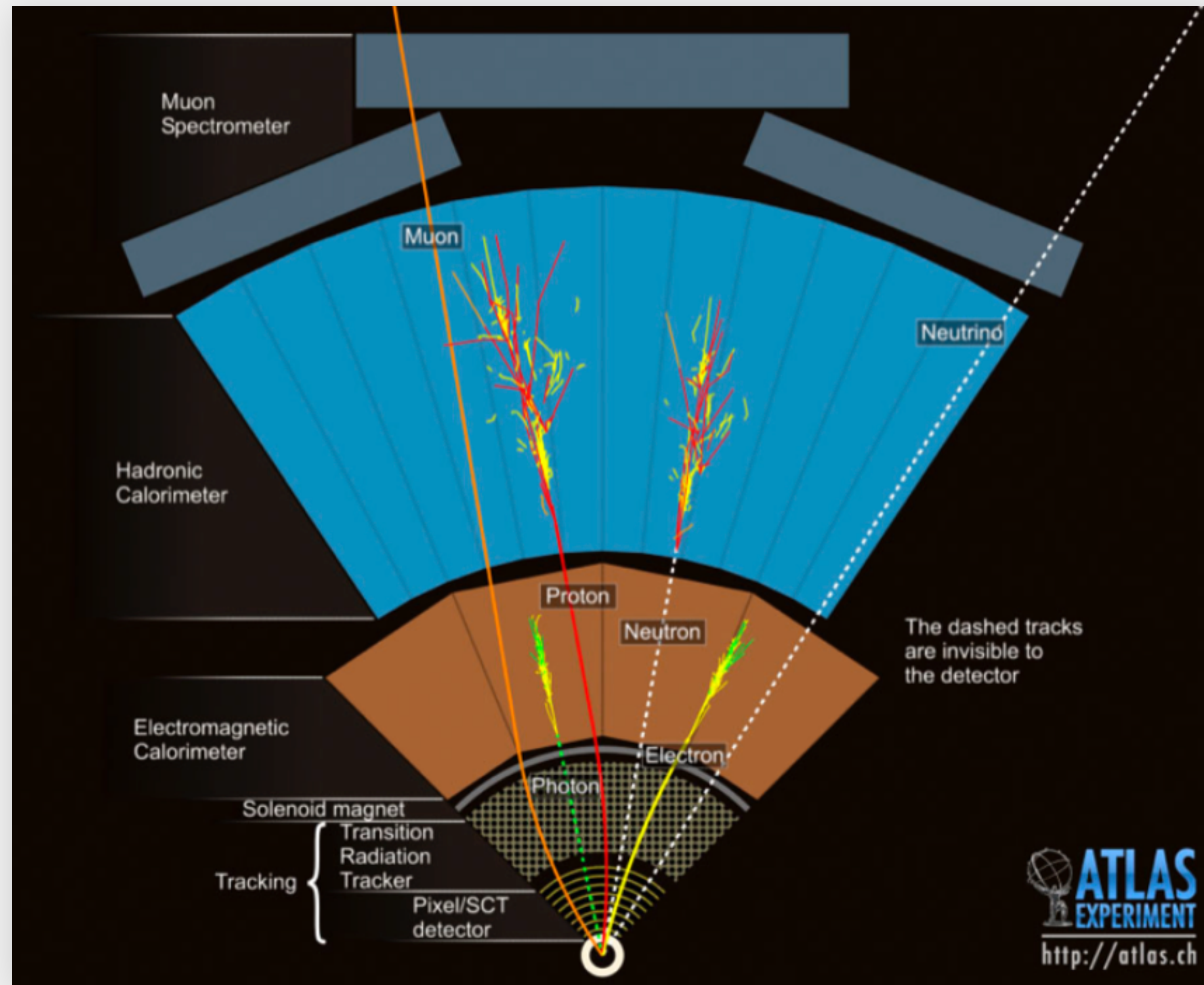
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CPPM PhD day seminar - 7th October 2016



As trigger and identification, reconstruction of electrons needs a precise efficiency measurement for all SM studies or to set limits.

$$\epsilon_{\text{total}} = \epsilon_{\text{reco}} \times \epsilon_{\text{id}} \times \epsilon_{\text{trigger}} \times \epsilon_{\text{other}}$$



Principle

- Reconstruction in the central region of the detector ($|\eta| < 2.47$)
- starts from energy deposits (clusters) in the EM calorimeter in a fixed $\Delta\eta \times \Delta\phi$ window
- Deposits are associated to reconstructed tracks of charged particles in the inner detector
 - No track found, or conversion vertex $\longrightarrow$ photon
 - Track found $\longrightarrow$ likely an electron

Challenges

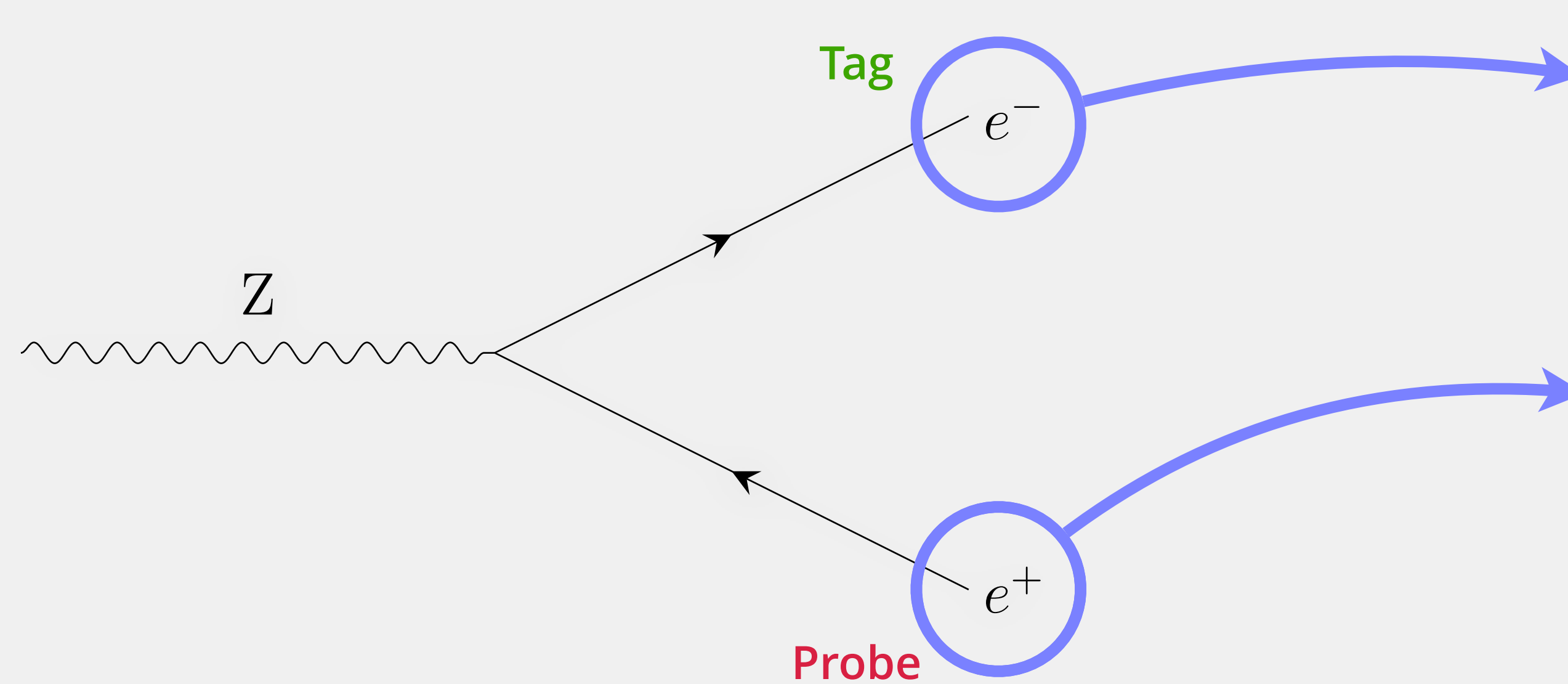
- Main challenge for a precision measurement = background estimate (we use clusters: high and complex background)
- Simulation not reliable enough



Data driven measurements are needed for a % or sub-% level accuracy.

Tag and Probe method

In $Z \rightarrow ee$ channel



- 1** Pure and unbiased sample
Strict selection applied on the first electron.
Identifies the Z decay event
- 2** A looser candidate with $M_{ee} \sim M_Z$
Cell cluster reconstructed in the calorimeter
Used to calculate the efficiency
+
- 3** Track quality criteria
At least 1 hit in the pixel detector and 7 in the SCT

Why $Z \rightarrow e^+e^-$

High production **cross section** (1950 pb at 13 TeV).
High **purity** thanks to the two electrons.

$$\text{Efficiency} = \left(\frac{\text{Association track - EM cluster} + \text{track quality}}{\text{All clusters with } M_{\text{tag-probe}} \sim M_Z} \right)$$

Background estimate



1 Electrons reconstructed as clusters with **an** associated track

Reversed identification

Background model made by **reversed identification**:
probe candidates failing selection

→ **Fail to pass at least 2 loose++ cuts and bad isolation**

Normalization

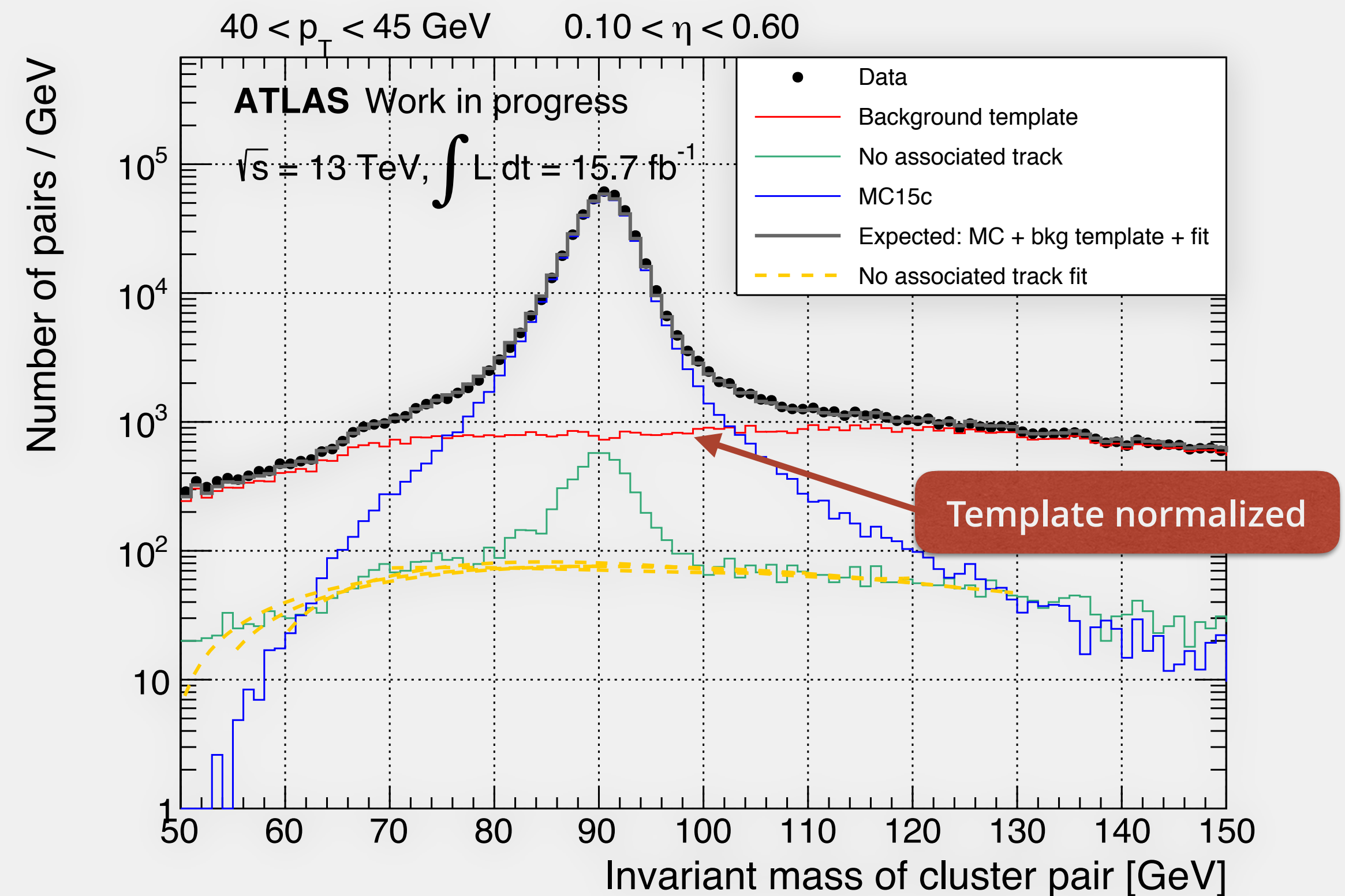
Distribution normalized to the high/low mass data
distribution: $M_{ee} > 120 \text{ GeV}$ / $[60, 70] \text{ GeV}$

2 Electrons reconstructed as clusters with **no** associated track

Template difficult to build

→ Simpler method

1. Construct invariant mass between electron tag and photon probe.
2. Choose **side band regions** outside the Z mass peak.
3. Signal in the side band regions subtracted from simulation
4. **Fit the ey-mass distribution** with a 3rd order polynomial.



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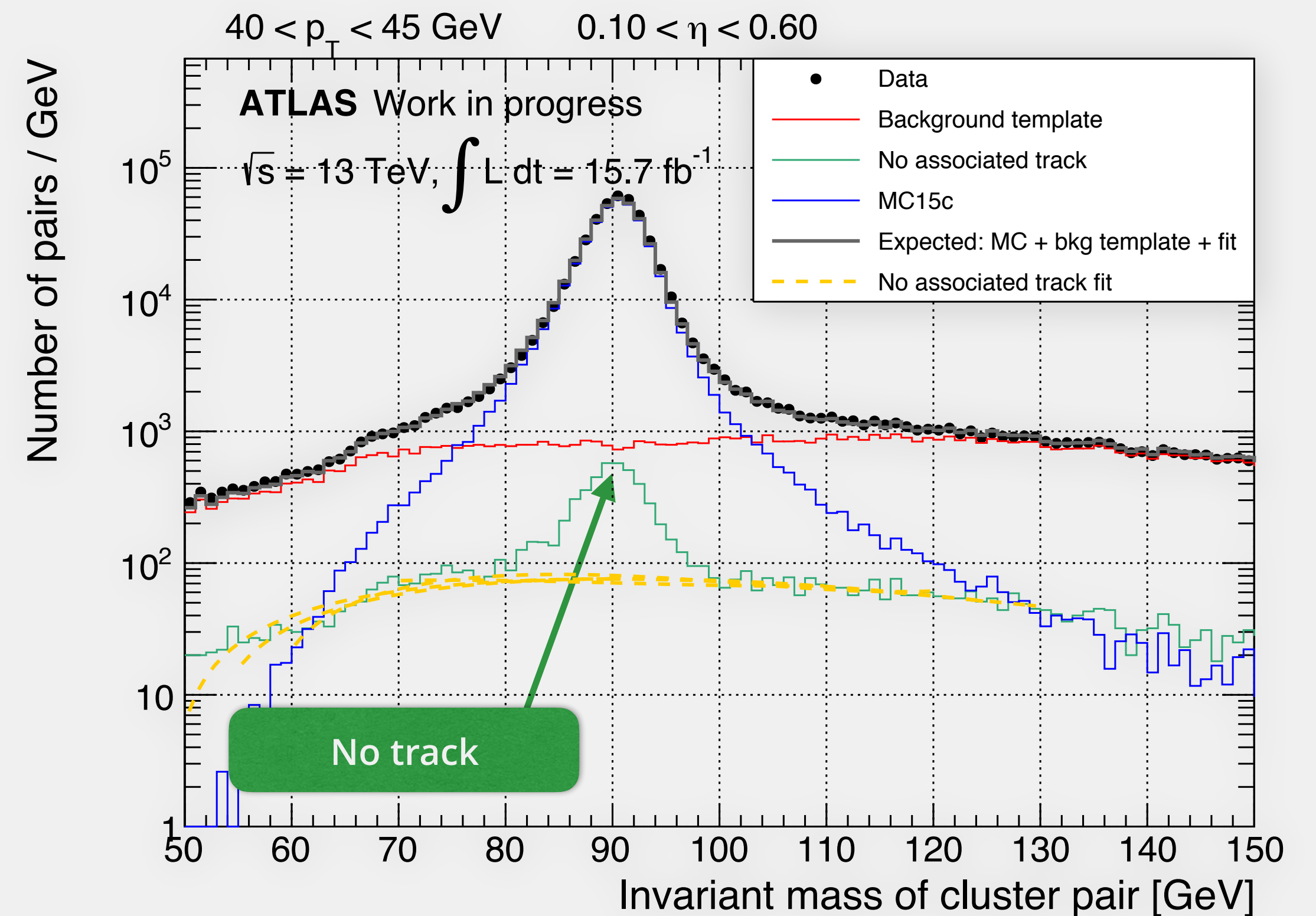
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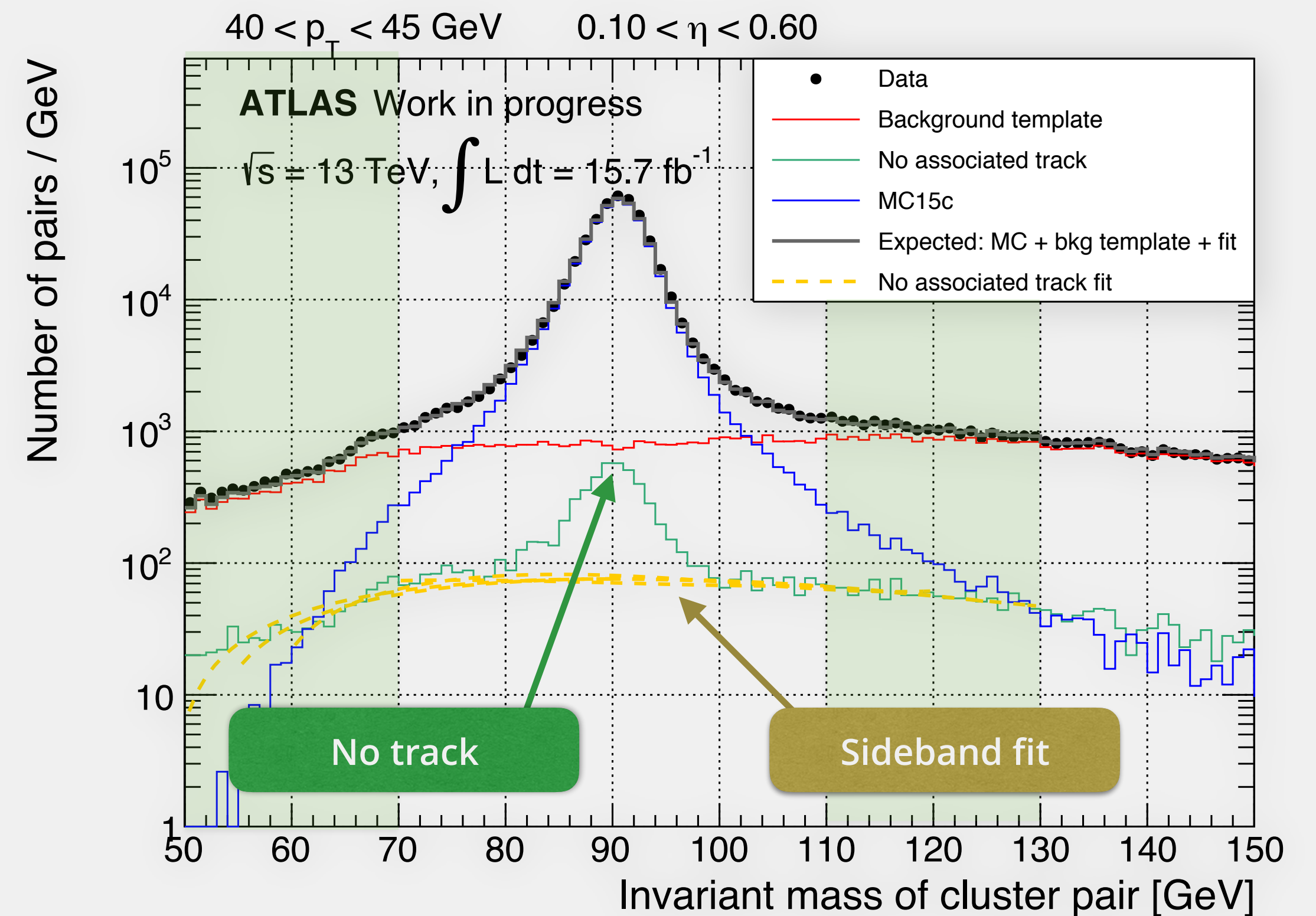
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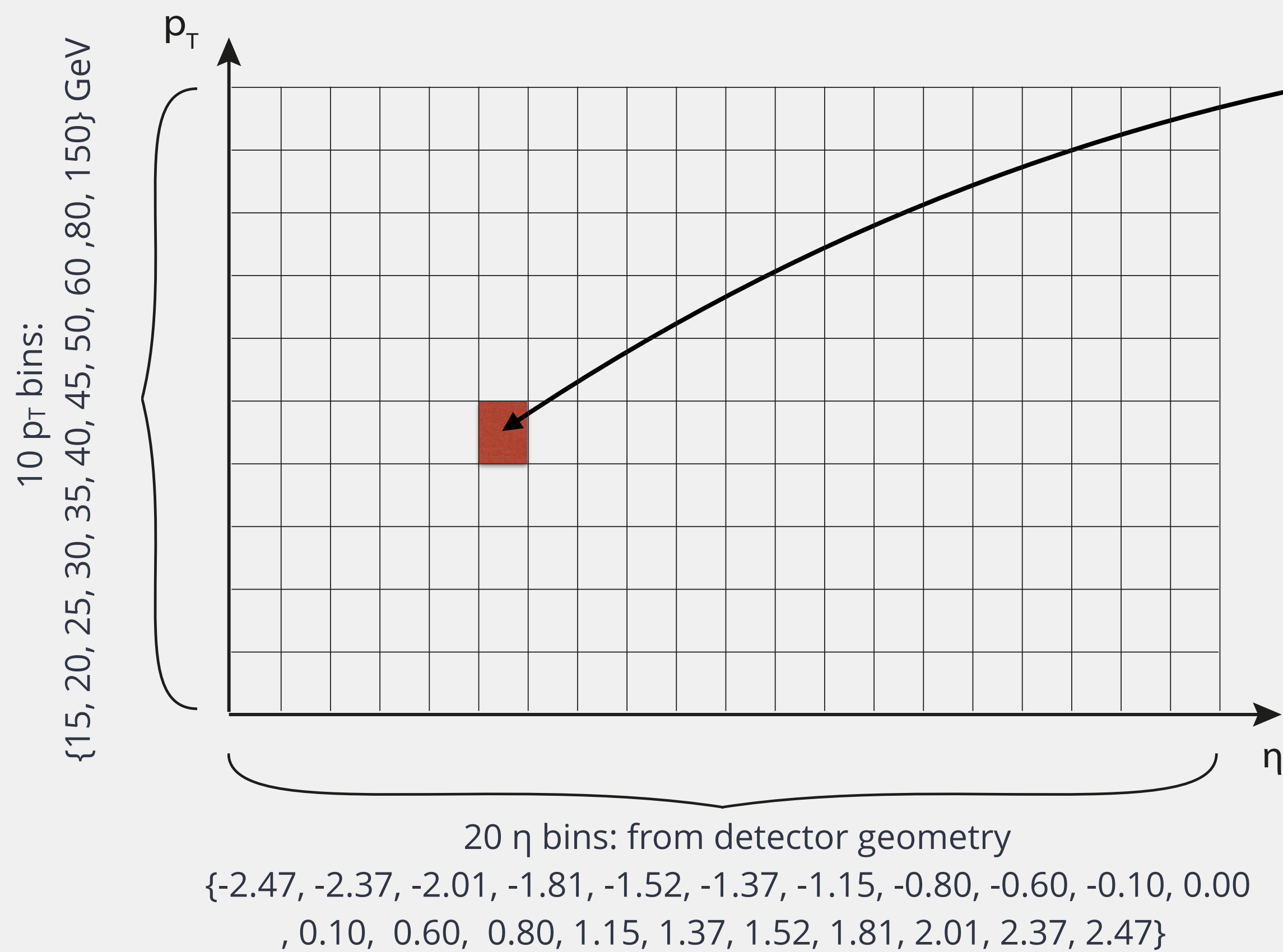
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Goal: Establish a scale factor map, binned in p_T and η .



$$\text{scale factor} = \frac{\varepsilon_{\text{data}}}{\varepsilon_{\text{MC}}}$$

$$\varepsilon_{\text{reco}} = \frac{N_{\text{pass}}^{\text{sig}}}{N_{\text{pass}}^{\text{sig}} + N_{\text{fail}}^{\text{sig}}} = \frac{(N_p^e - B_p^e) + (N_F^e - B_F^e) + (N^\gamma - B^\gamma)}{(N_p^e - B_p^e) + (N_F^e - B_F^e) + (N^\gamma - B^\gamma)}$$

Reconstructed candidates
passing the probe track quality
criteria

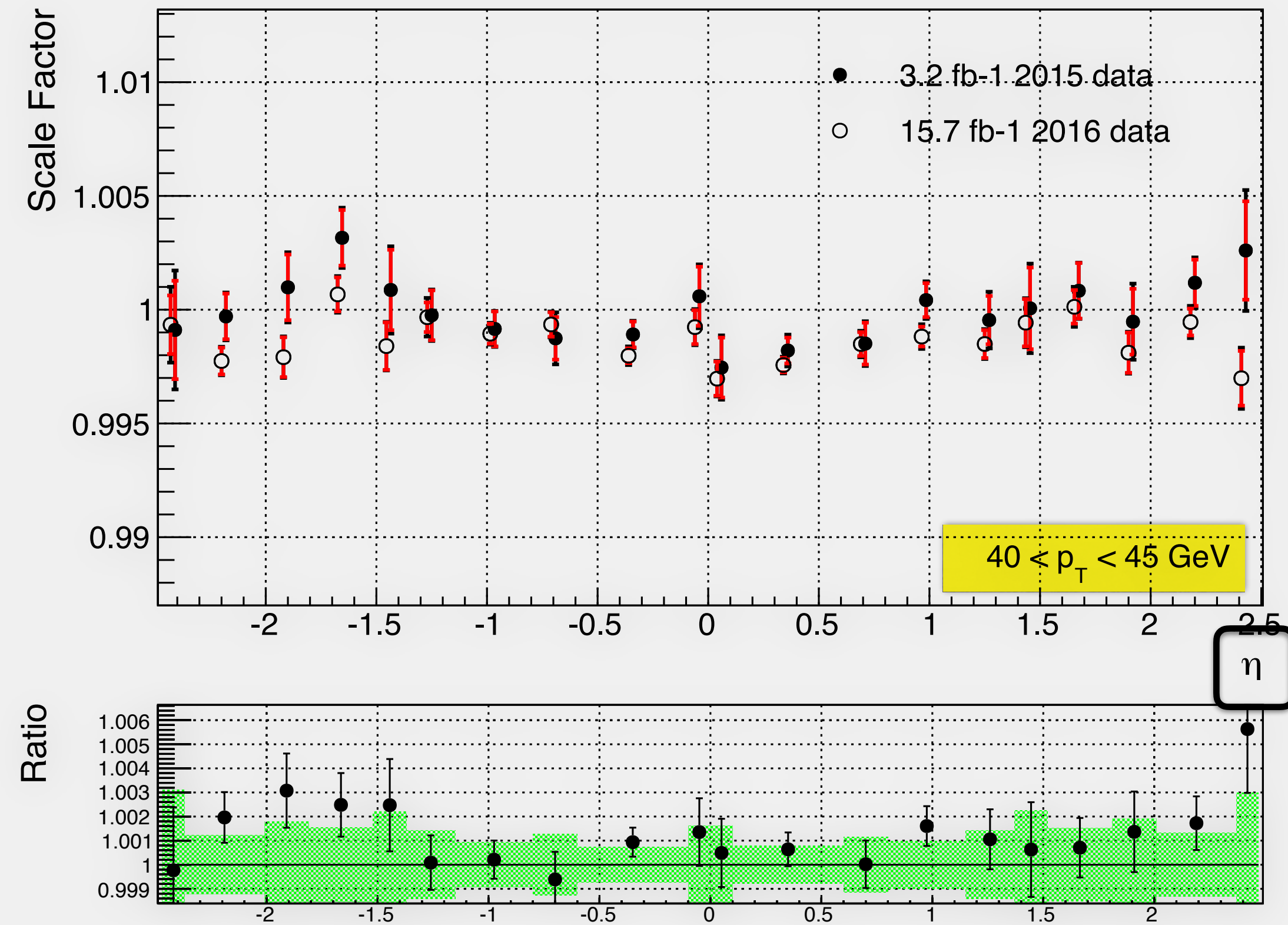
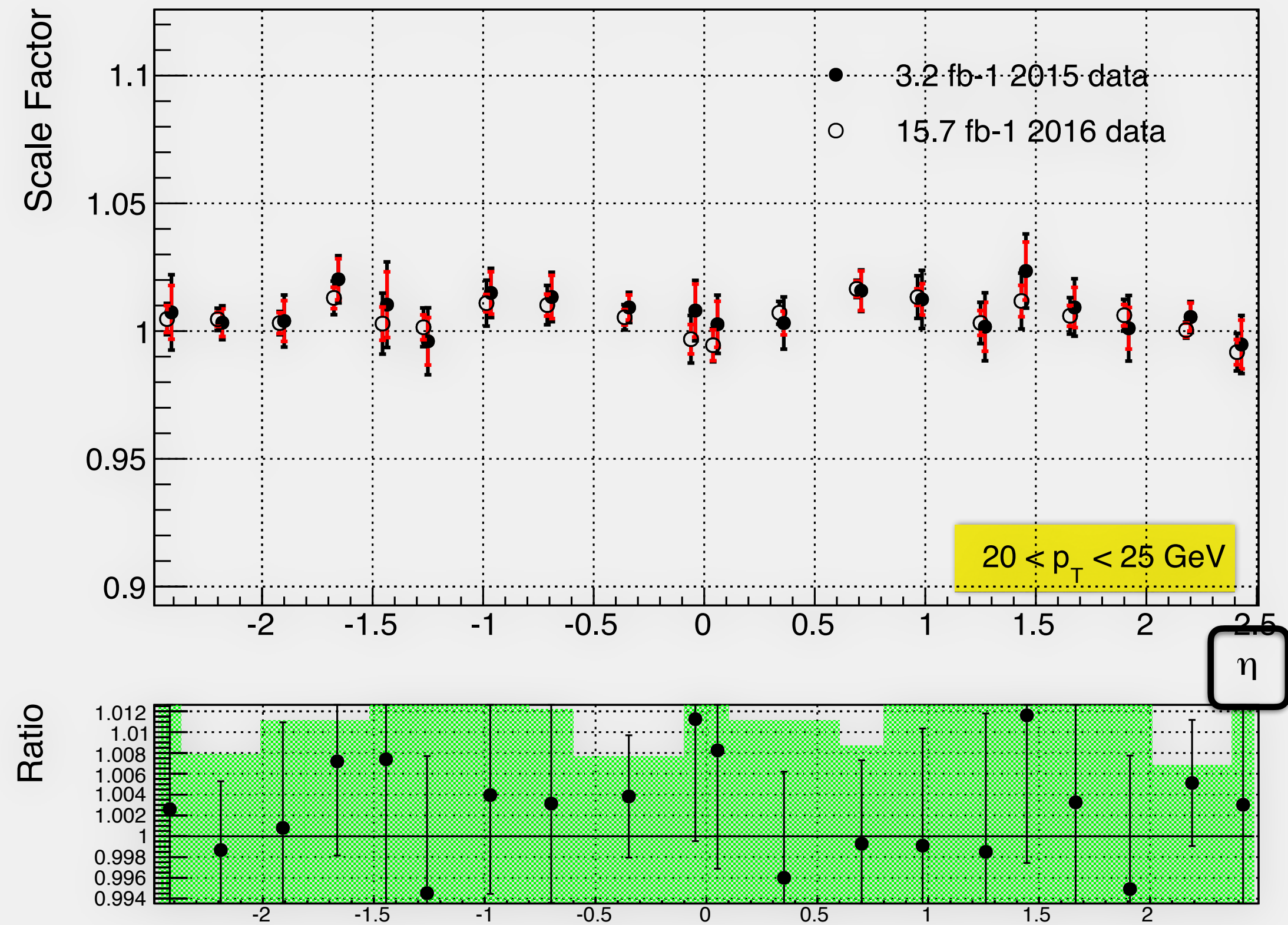
Reconstructed candidates
failing track quality criteria

Non-reconstructed candidates

- Historically, CPPM has always been in charge of this measurement (Julien, Otilia, Asma, me, Grigore).
- Computation code transferred to a centralized framework.
- Regularly new results provided to all physics analyses + regular checks with 2016 data

Electron reconstruction efficiency in 2016 data

Comparison with 2015 results



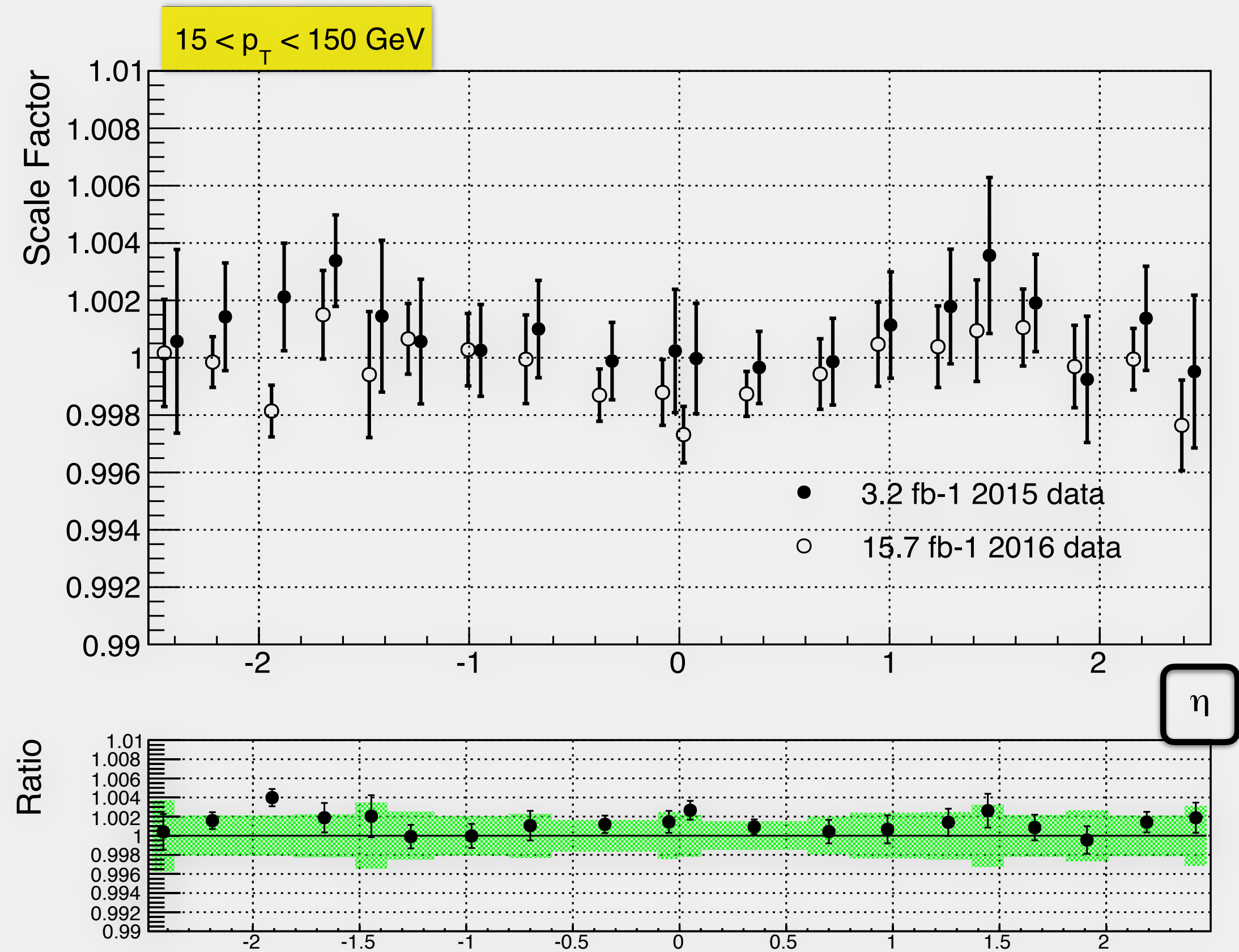
Results in 2016 compatible with 2015 data ones within error in most bins

Quadratic sum of 2015 and 2016 uncertainties

2015 data uncertainties

Electron reconstruction efficiency in 2016 data

Comparison with 2015 results



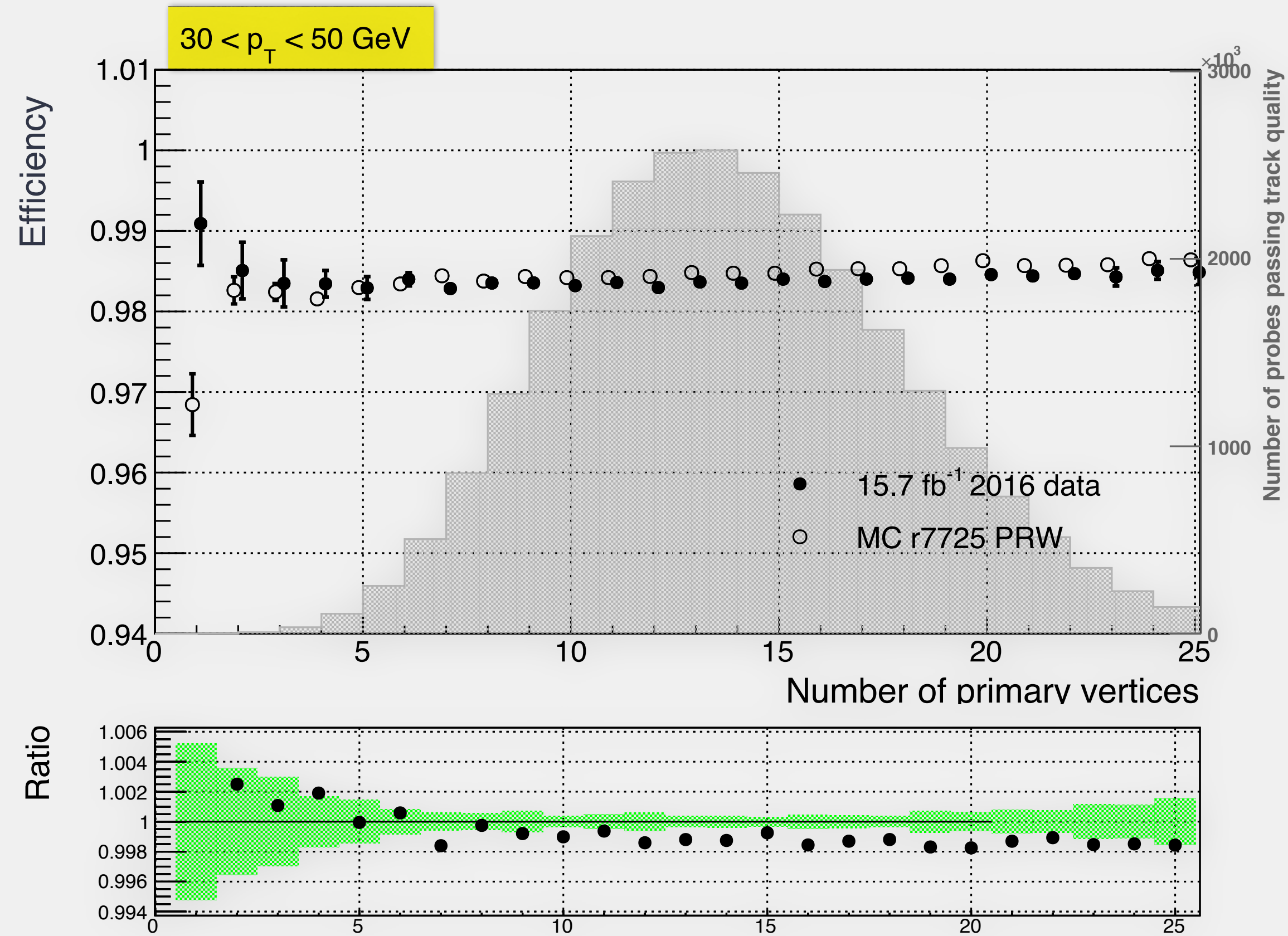
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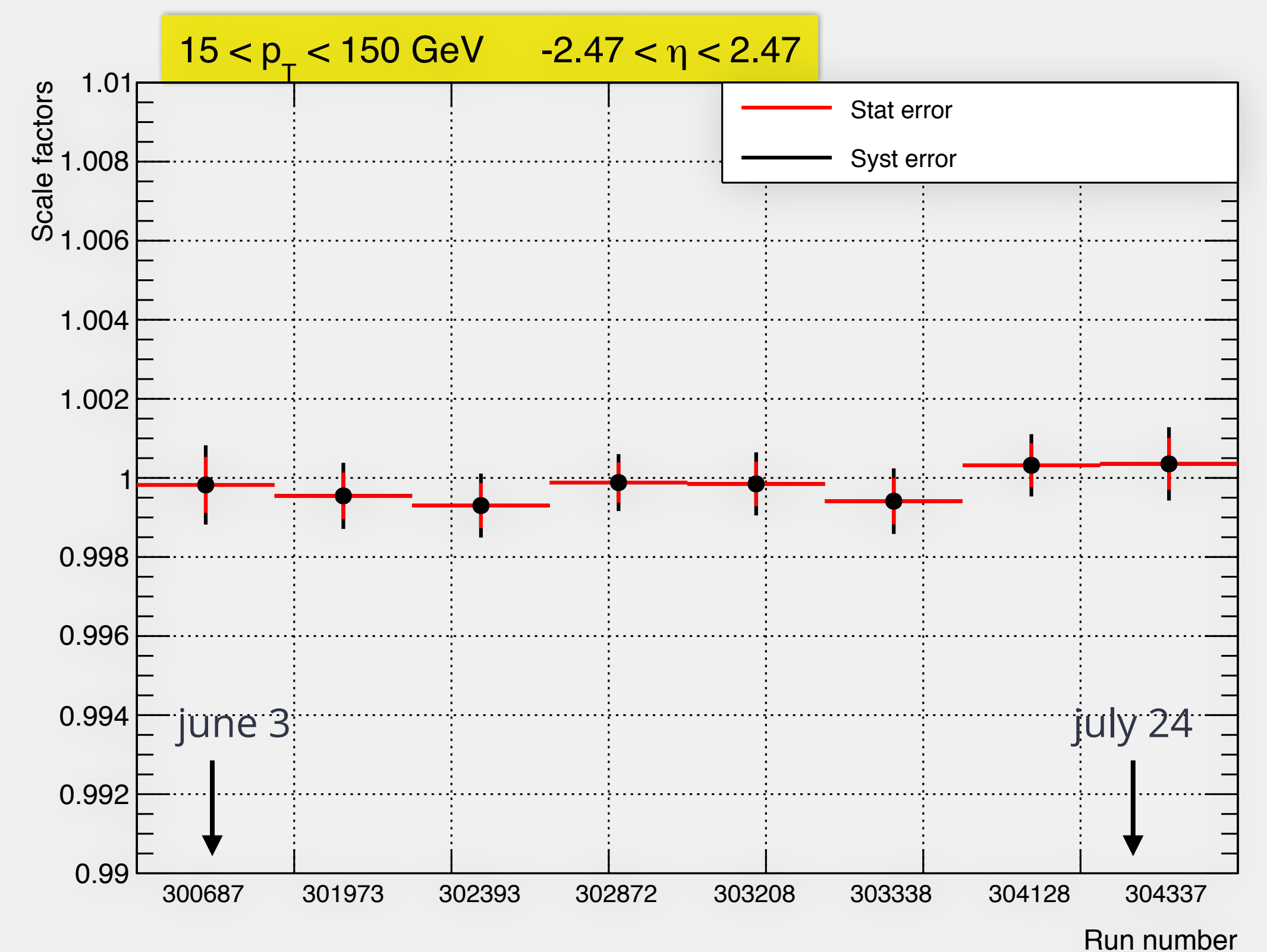
2015 data uncertainties

Electron reconstruction efficiency in 2016 data

Stability in pile up and time



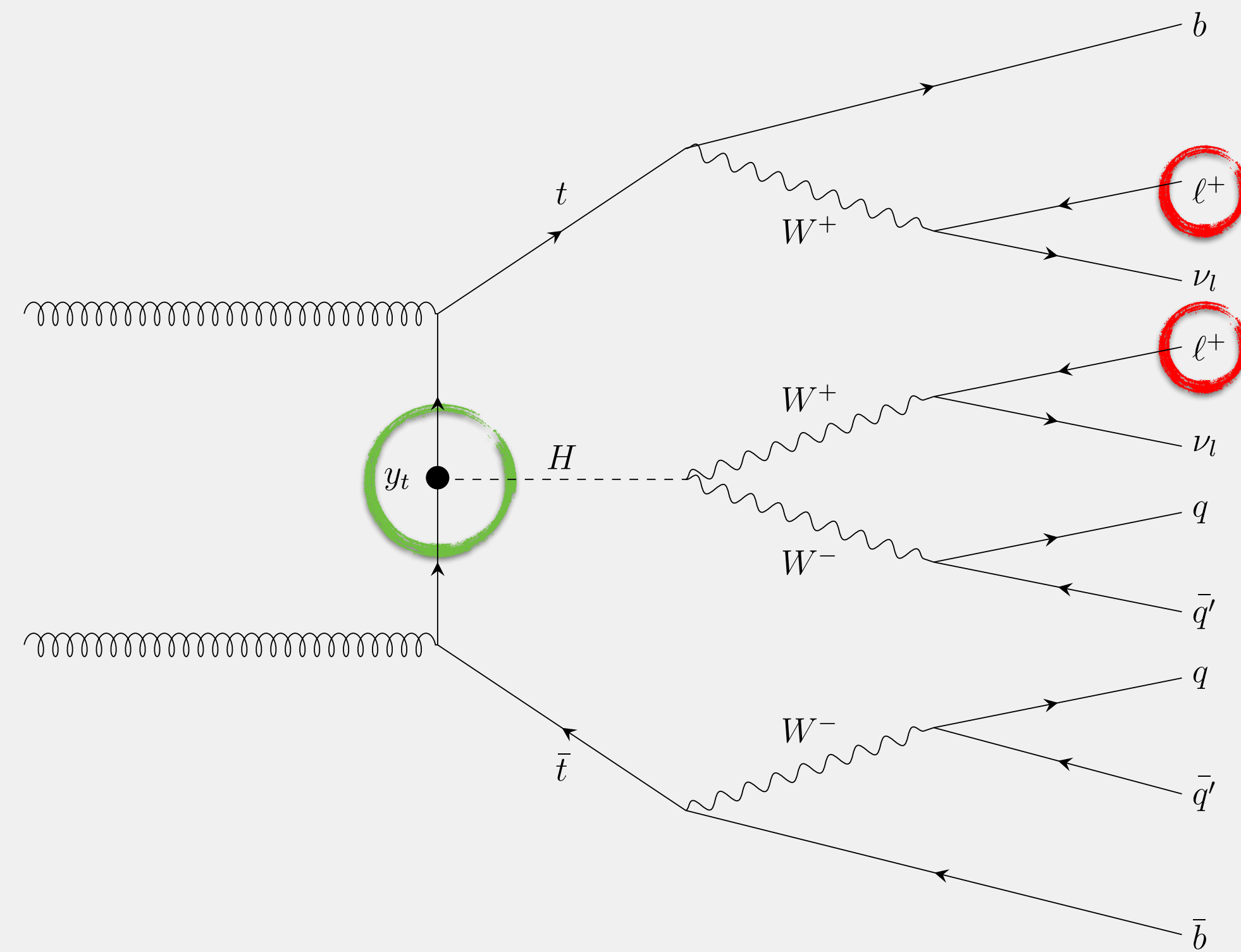
Good agreement between data and MC efficiencies
Slight increase with pile up as expected



Scale factors stable in time

ttH in same-sign leptons channel

Fake leptons estimation



$H \rightarrow WW$ (22%), also $H \rightarrow \tau\tau$ (6.3%) and $H \rightarrow ZZ$ (2.6%)

$W \rightarrow l\nu$ (21%), $\tau \rightarrow l\nu\nu$ (35.2%), $Z \rightarrow ll$ (6.7%) for $l = e, \mu$

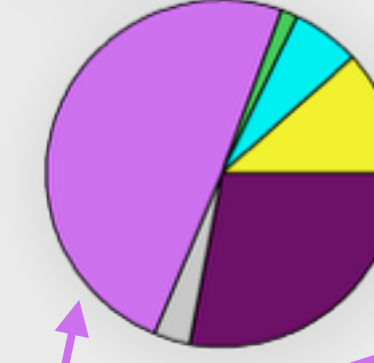
ATLAS Simulation Preliminary

$\sqrt{s} = 13 \text{ TeV}$

Background composition



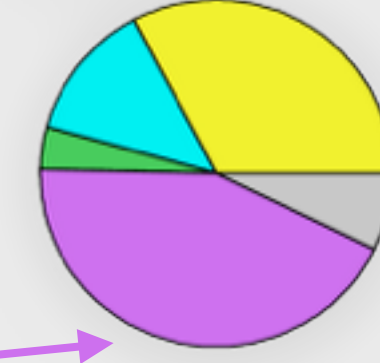
$2\ell 0\tau_{had} ee$



$2\ell 0\tau_{had} e\mu$



$2\ell 0\tau_{had} \mu\mu$



Fake leptons:

- Non negligible contribution to all channels
- Dominate systematics

What are they:

- Instrumental background: misreconstructed object as leptons
- non prompt leptons decaying from heavy hadrons
- electrons from photon conversions



Data driven method to estimate the amount of fake leptons, the Matrix Method.
Participated to the framework development.



Electron reconstruction efficiency measurement = ATLAS qualification task (done)

- Migrated the code to whole new framework.
- Participated in 2015 measurements made public in [ATLAS-CONF-2016-024](#)
- Measurements regularly performed with 2016 data.
- Under study : improvements in the measurement methodology to further reduce the systematics
- Results used by all physics analyses of the ATLAS experiment, They will be part of a paper foreseen next spring.



Estimate of fake lepton background in ttH with two same sign leptons

- First results at $\sqrt{s} = 13$ TeV have gone public last summer at ICHEP conference ([ATLAS-CONF-2016-058](#))

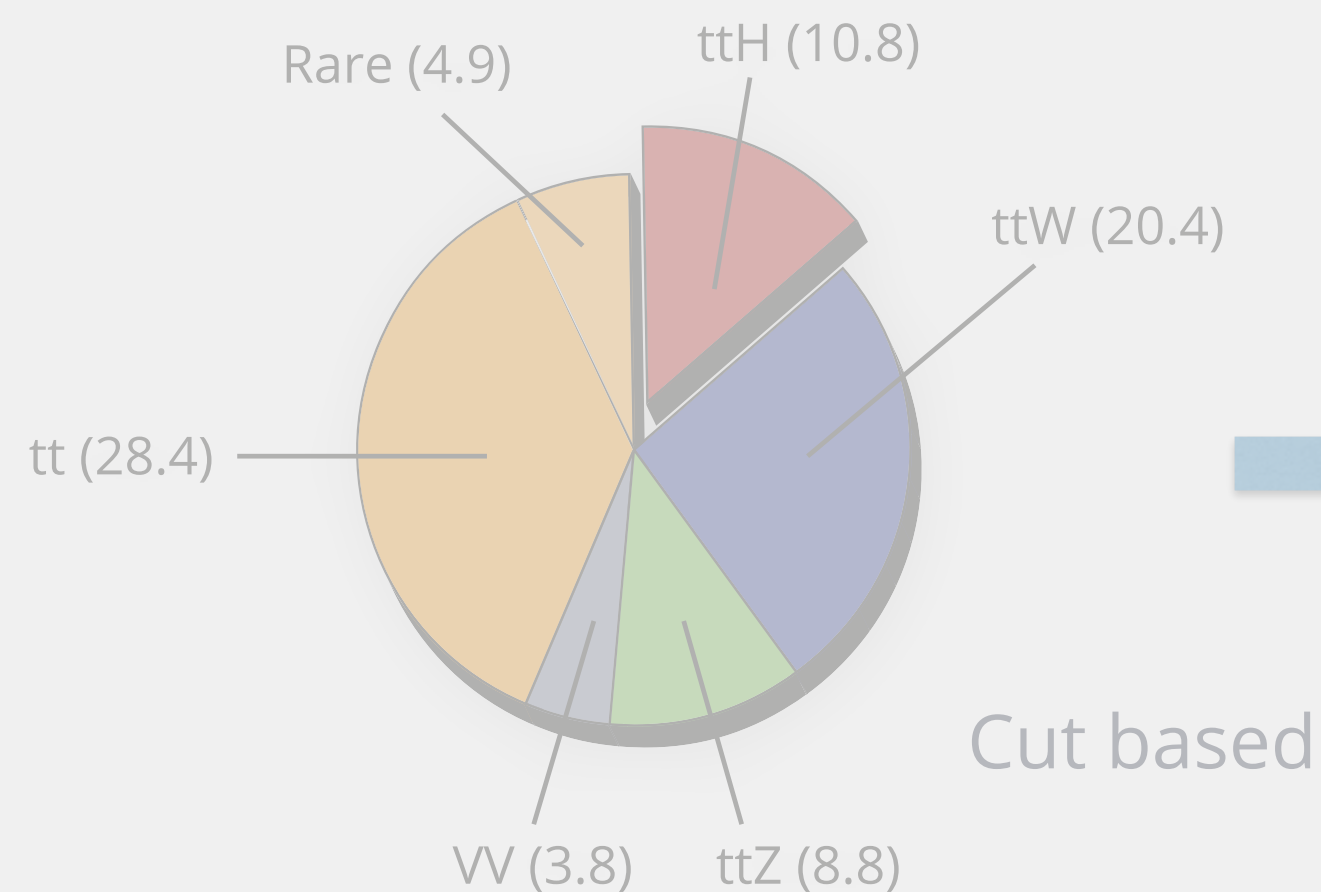
What's next ?

Multivariate analysis in $t\bar{t}H$ with same-sign leptons channel

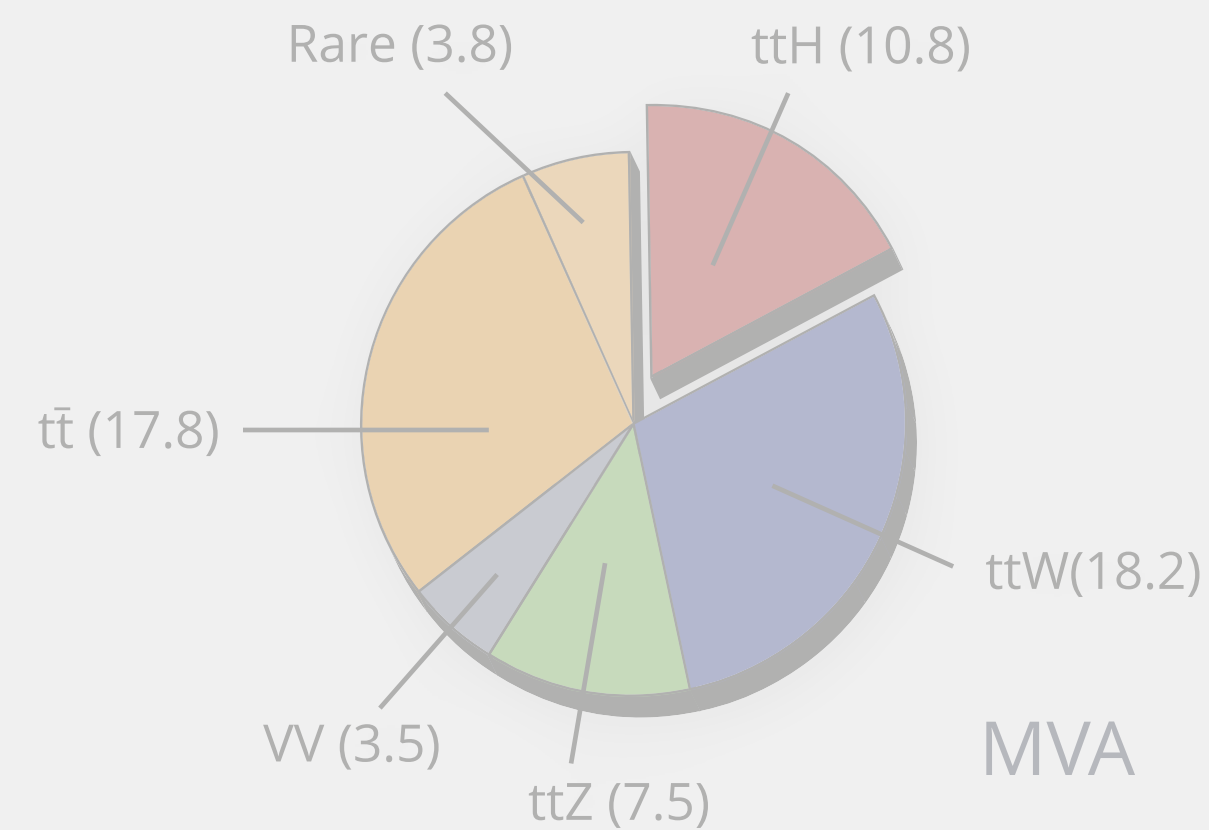
IV

First MVA trial in the $t\bar{t}H$ multileptons group to replace signal regions.

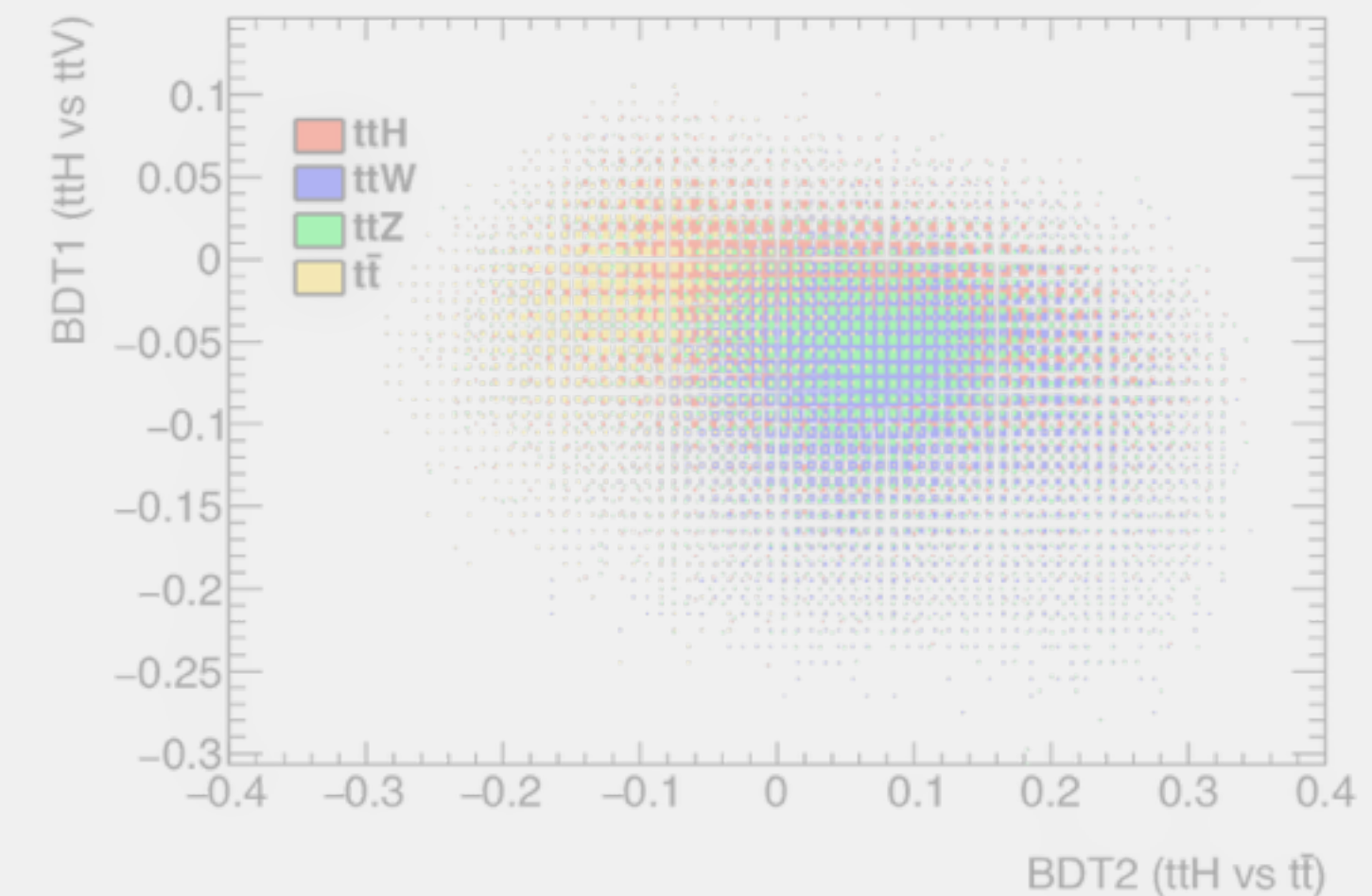
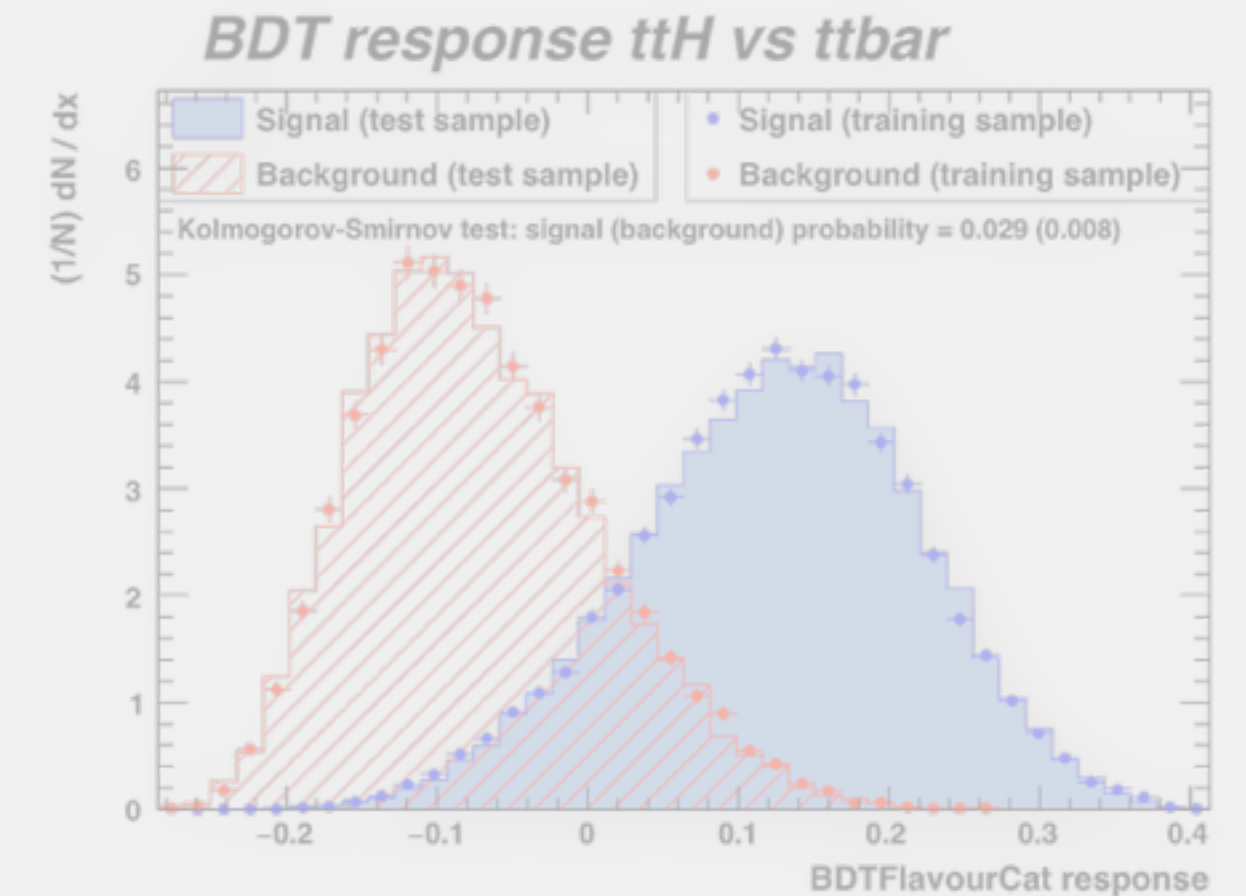
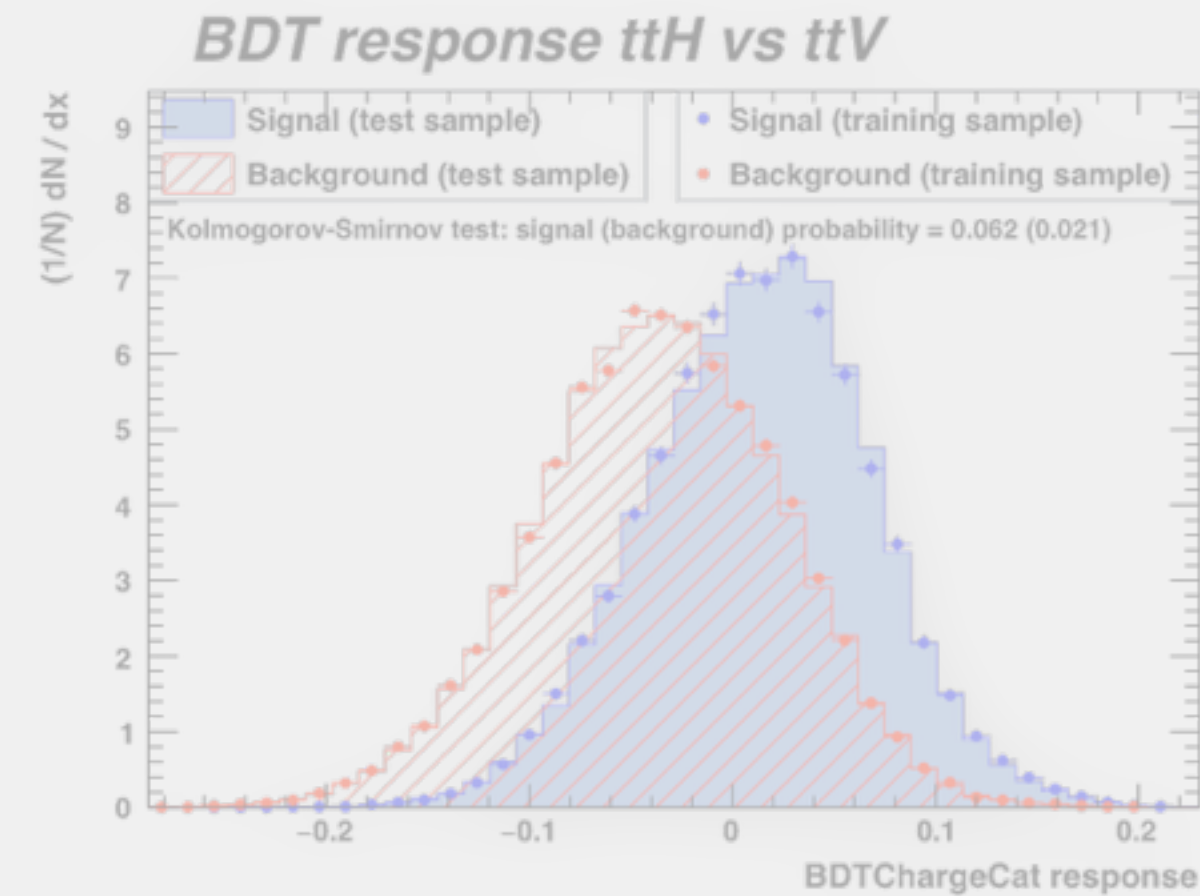
- separate $t\bar{t}H$ from fakes and $t\bar{t}W + t\bar{t}Z$ ($t\bar{t}V$) in two separate BDT
- comparison of ICHEP (13.2 fb^{-1}) cut based selection and MVA with the same signal efficiency
- results presented in the [HTop workshop](#) (3-4 Oct 2016)
- towards a publication around summer 2017



MVA 2D



Arbitrary cuts (by eye), can reduce $t\bar{t}b\bar{b}$ by $\sim 40\%$ and $t\bar{t}V$ by $\sim 12\%$.
Still can be optimized.



Towards a publication
around summer 2017

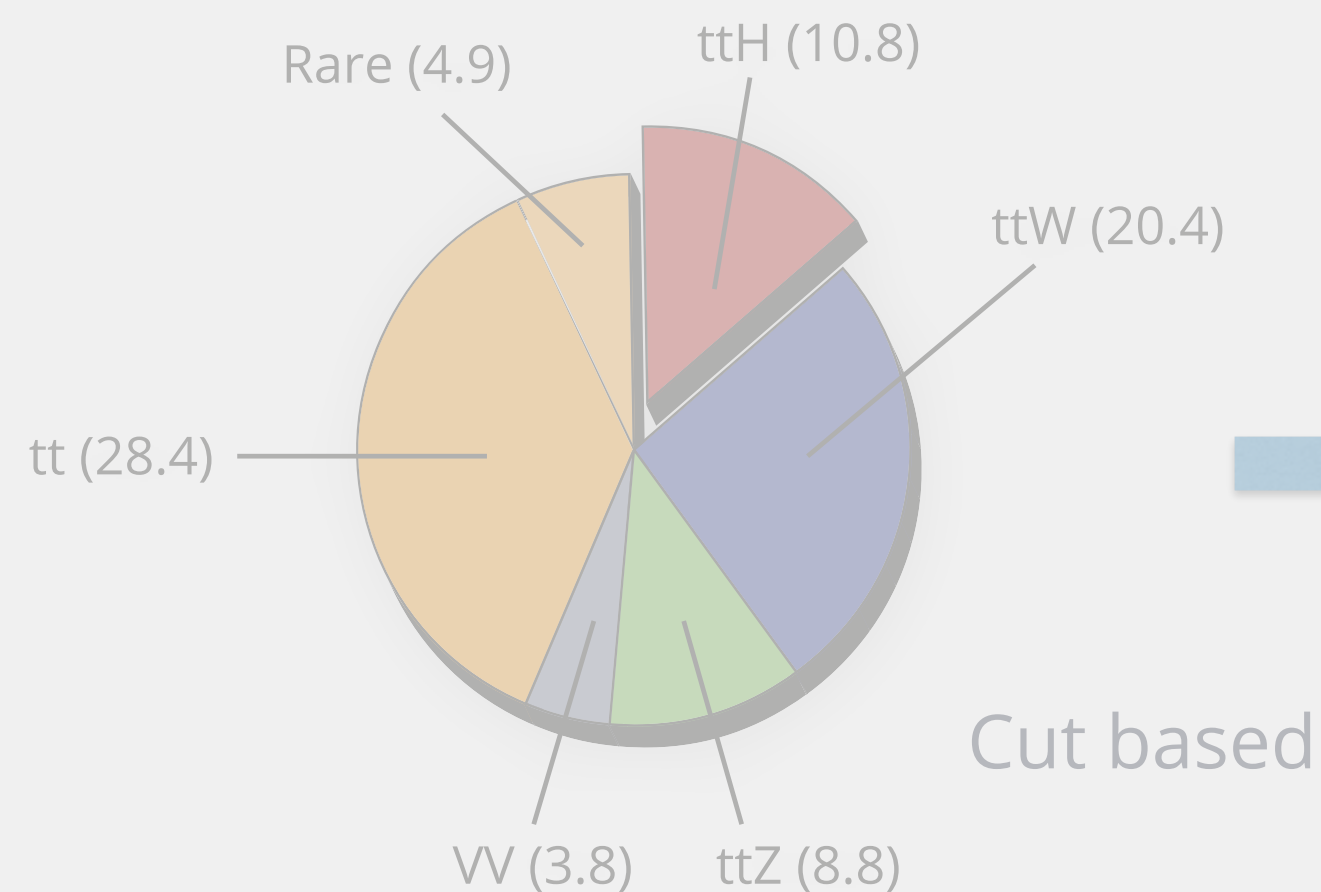
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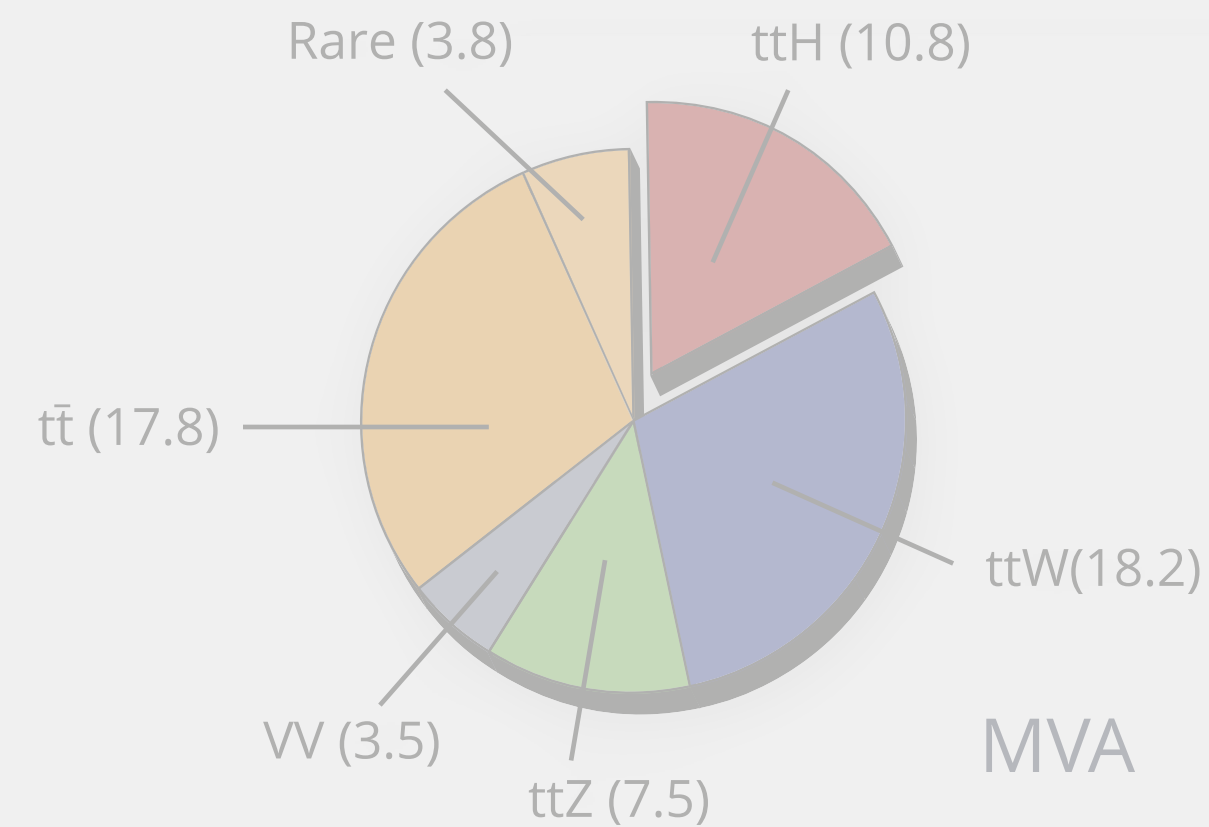
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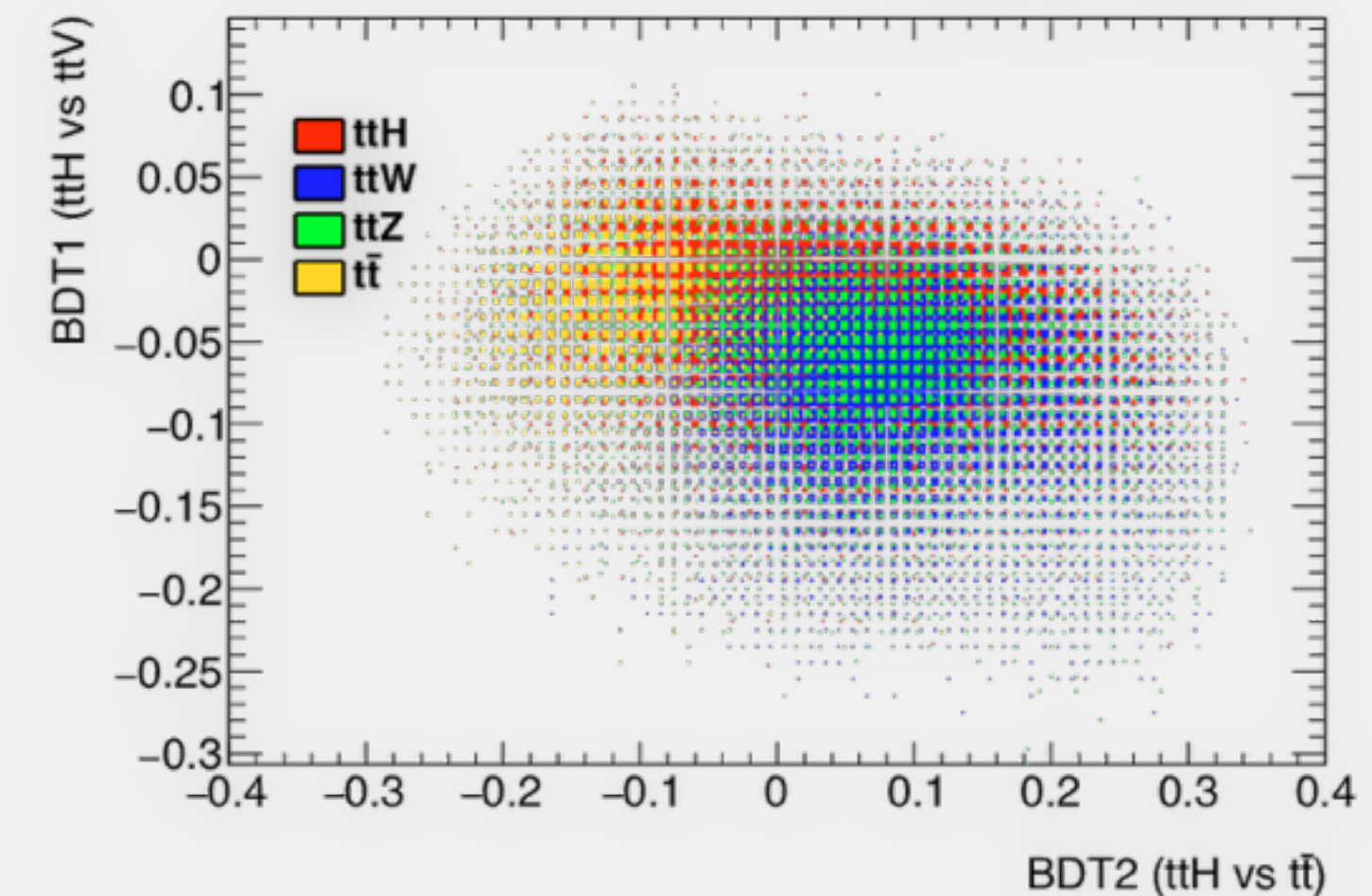
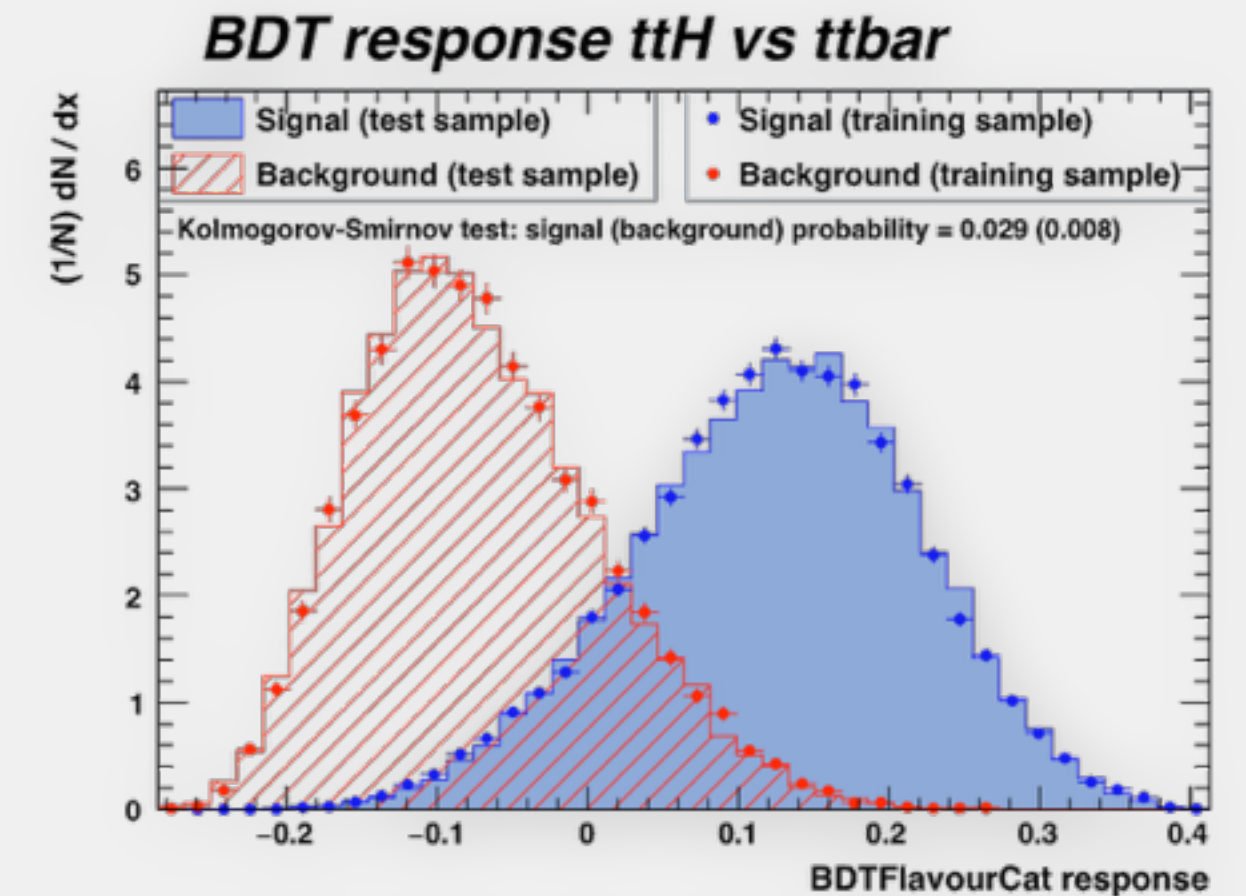
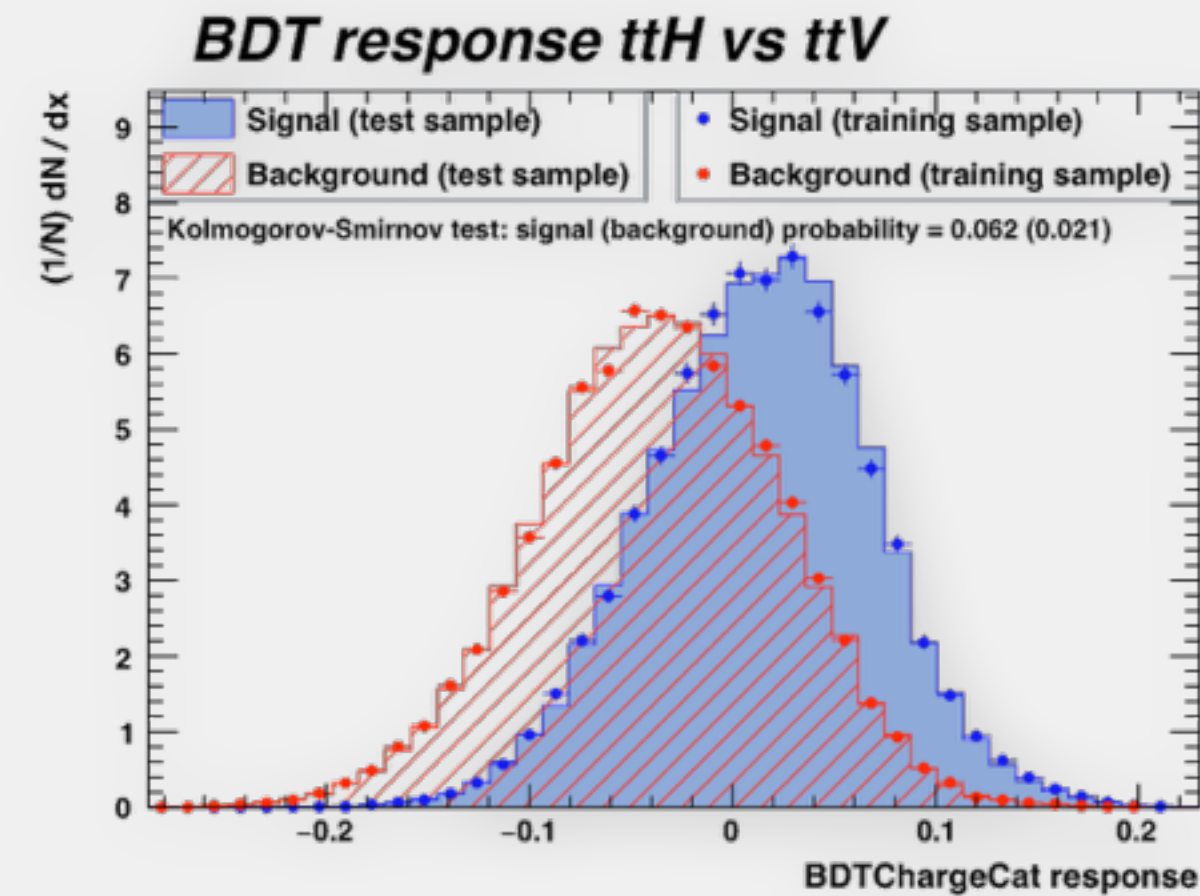
Cut based

MVA 2D



MVA

Arbitrary cuts (by eye), can reduce $t\bar{t}b\bar{a}r$ by $\sim 40\%$ and $t\bar{t}V$ by $\sim 12\%$.
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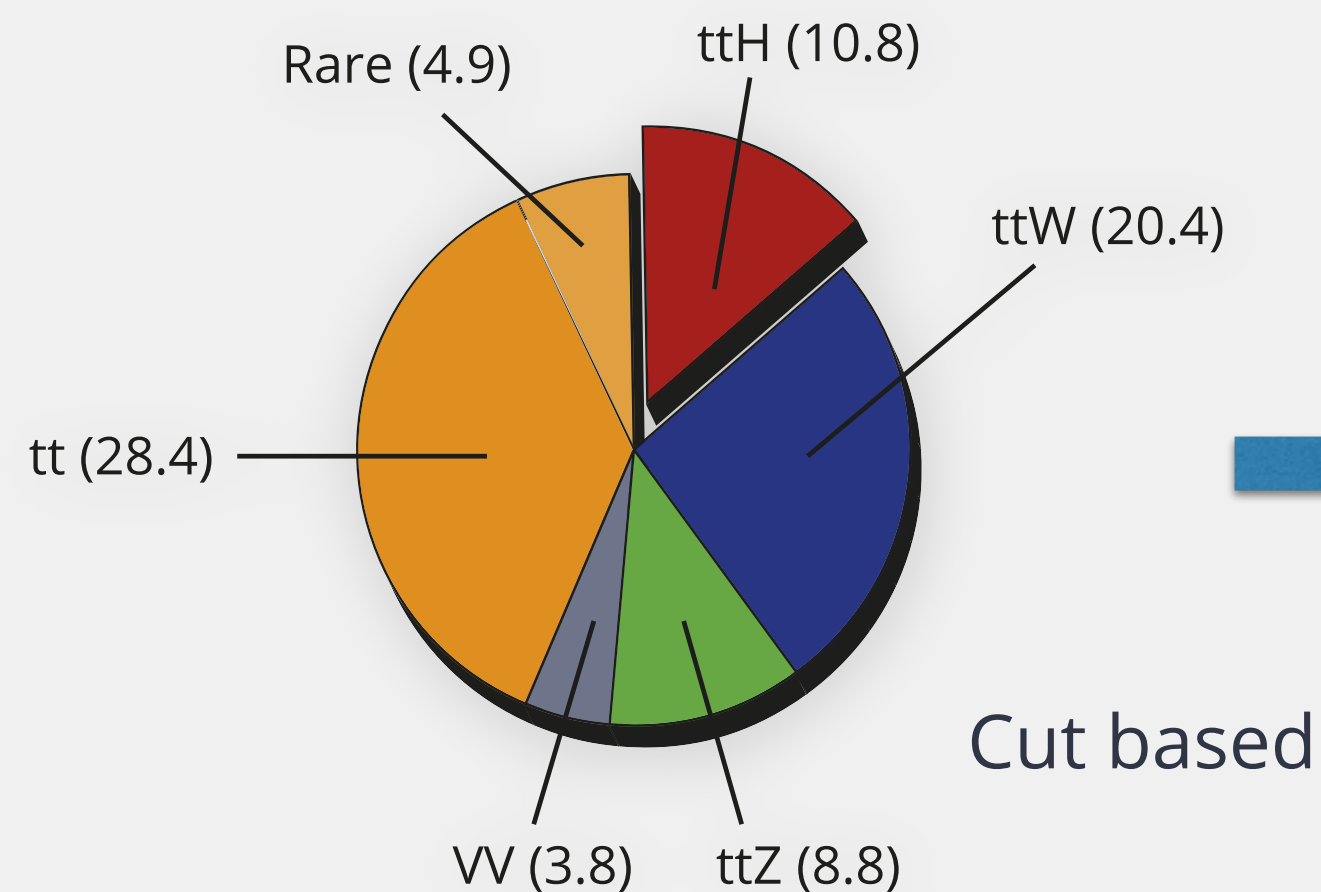
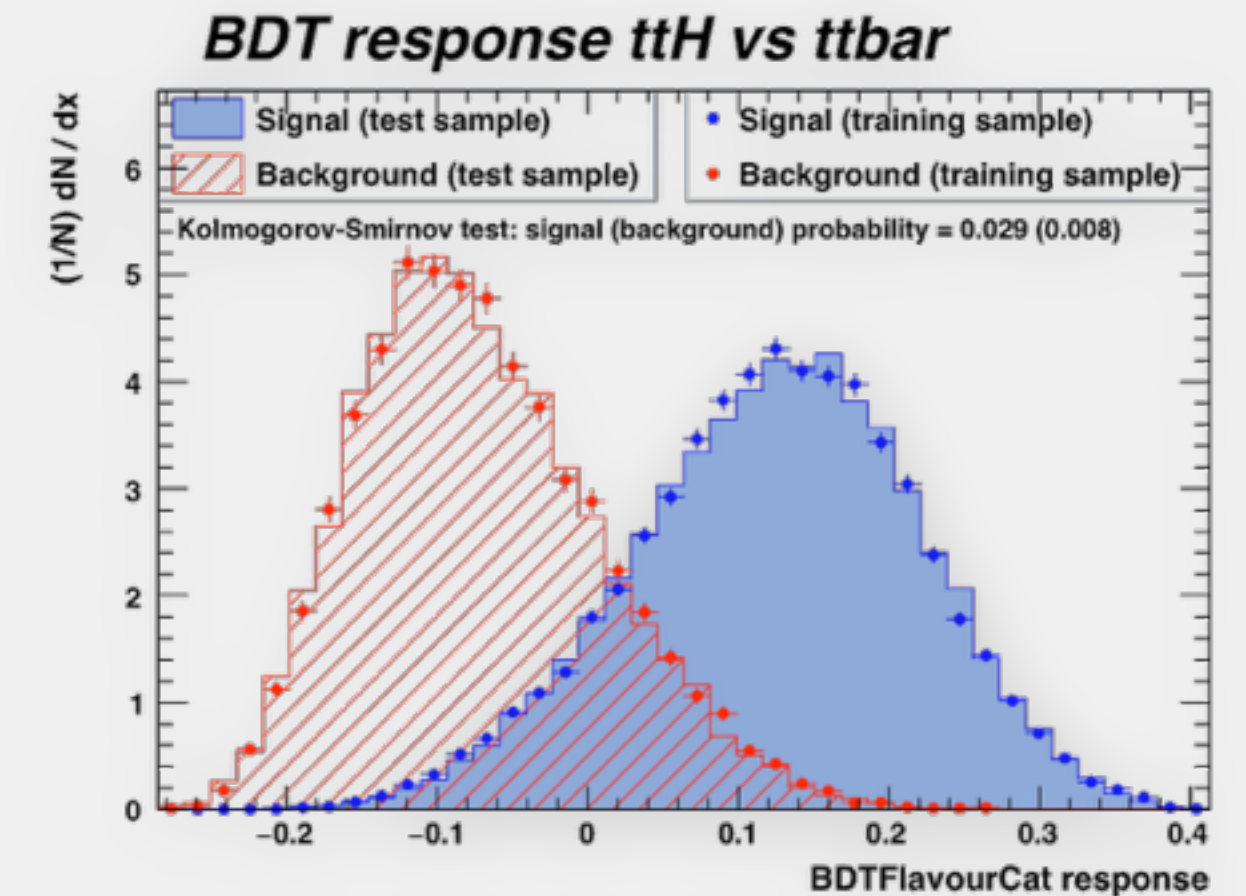
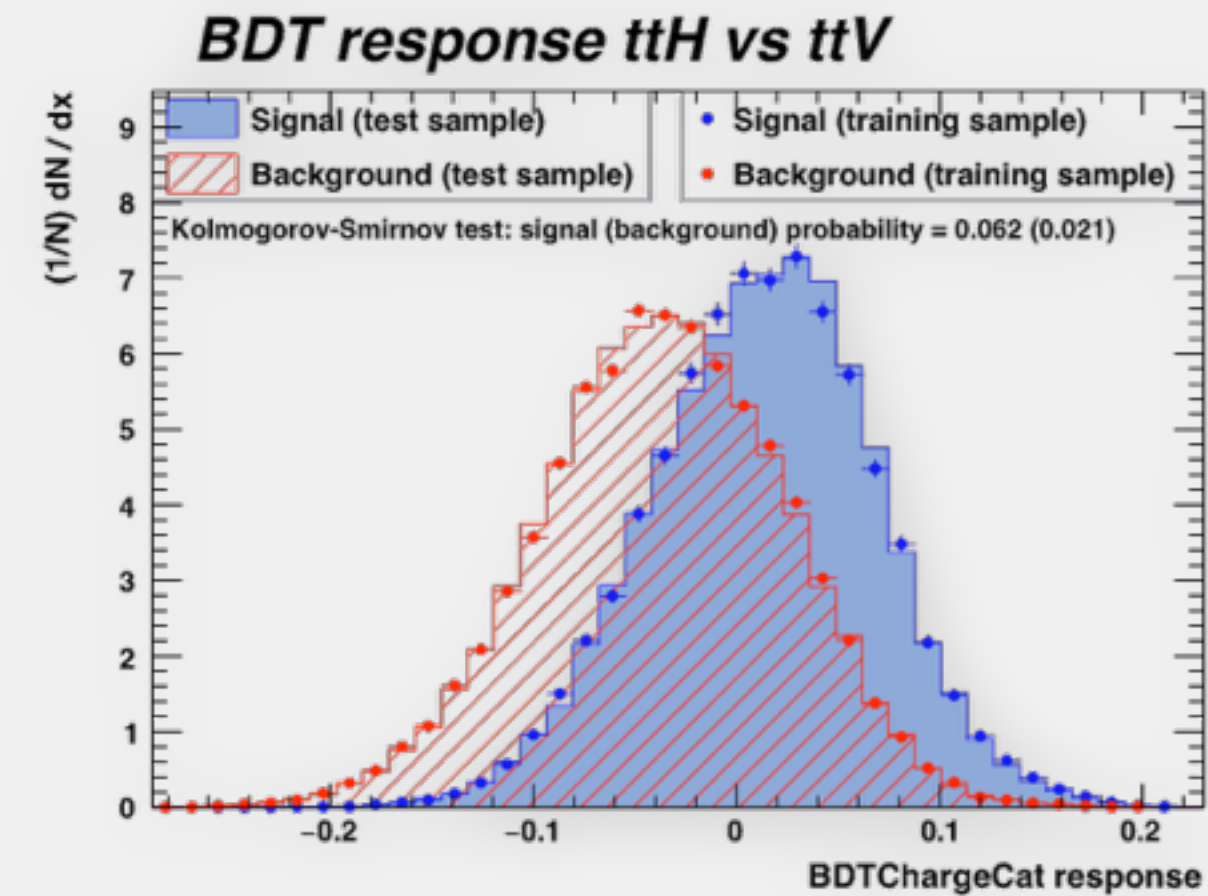
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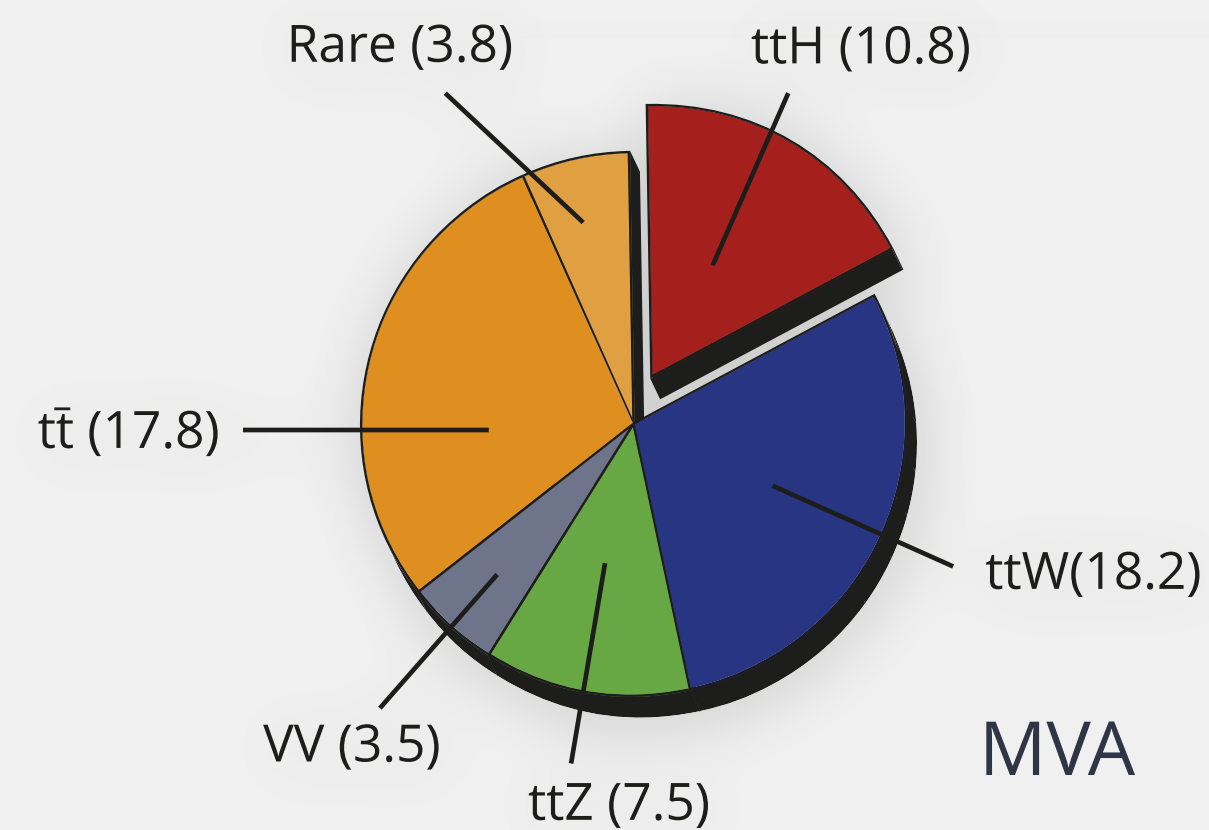
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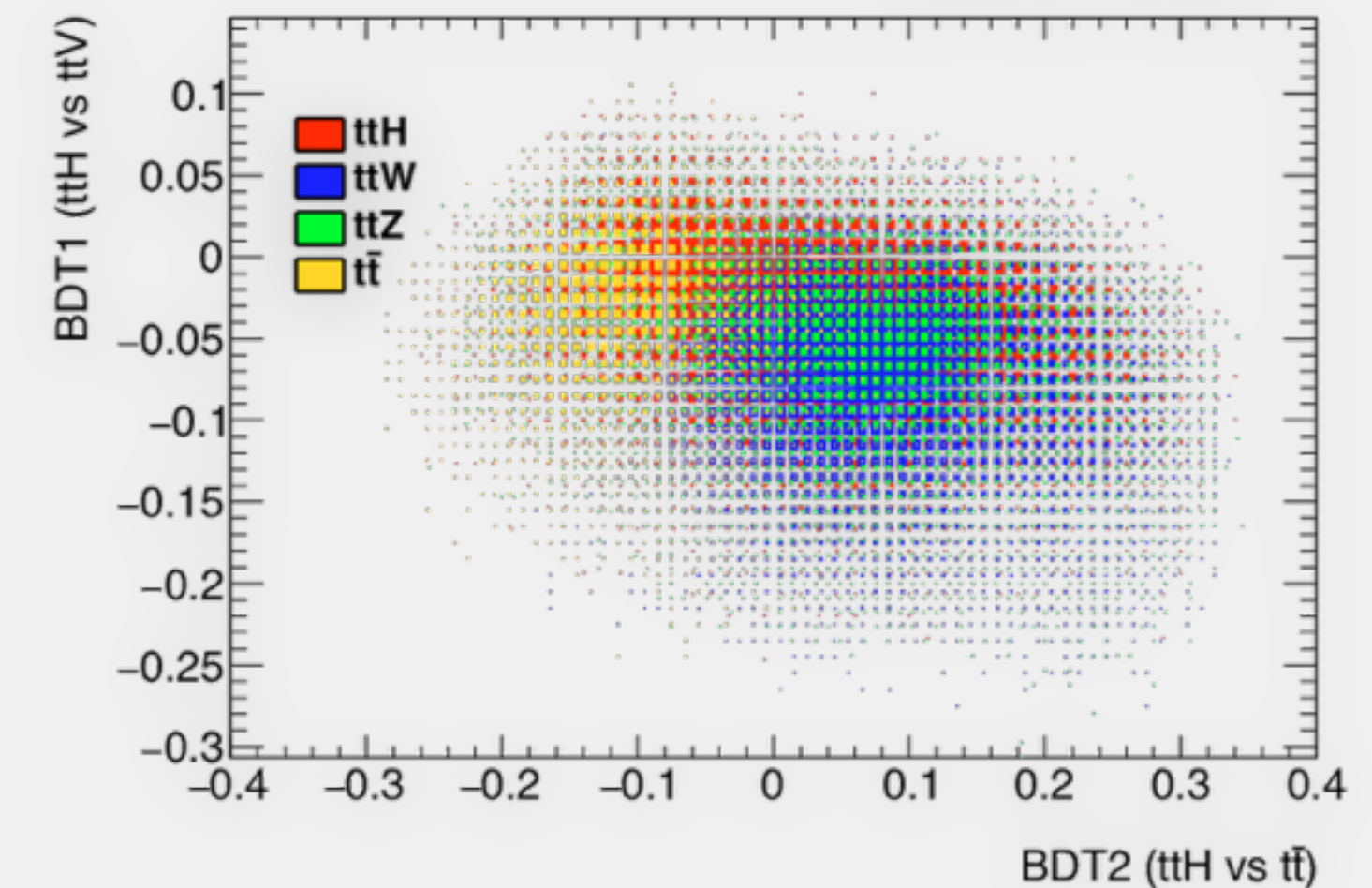
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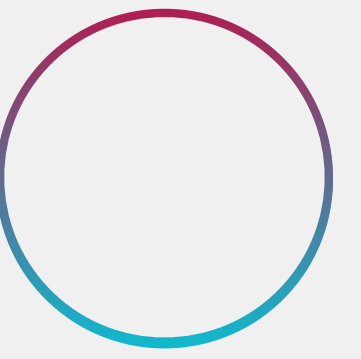


Towards a publication
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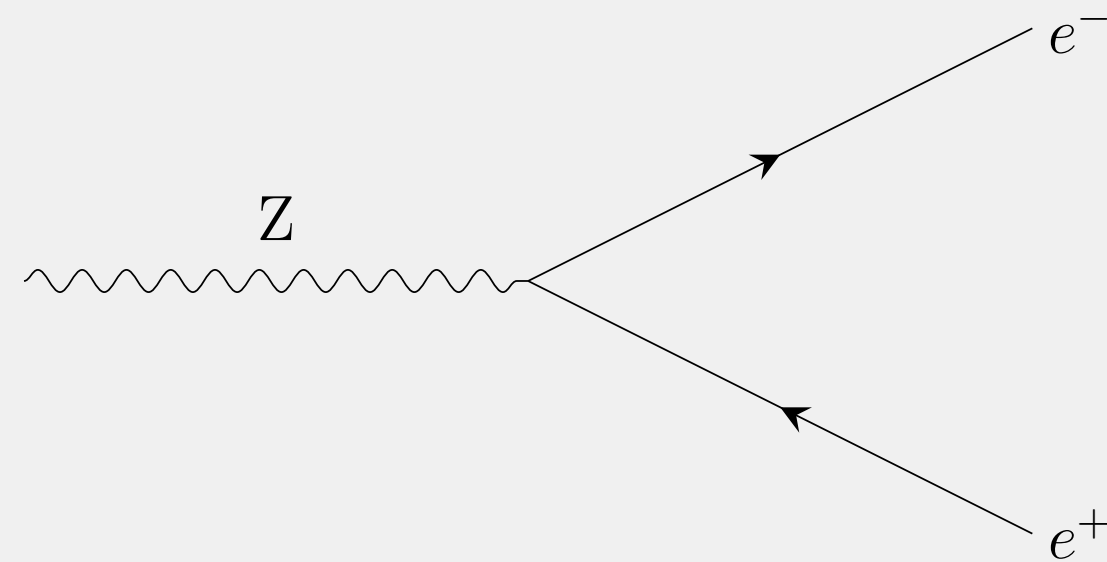
Backup

Tag and Probe method



Why $Z \rightarrow e^+ e^-$

High production **cross section** (1950 pb at 13 TeV).
High **purity** thanks to the two electrons.



Other channels

Higher production cross section (1 order of magnitude):

$$\rightarrow W^\pm \rightarrow e^\pm \nu$$

But more complex to study.

High hadronic background for low p_T (< 15 GeV) and few Zee events

$$\rightarrow J/\psi \rightarrow e^+ e^-$$

ATLAS measurements combine those three channels for ID

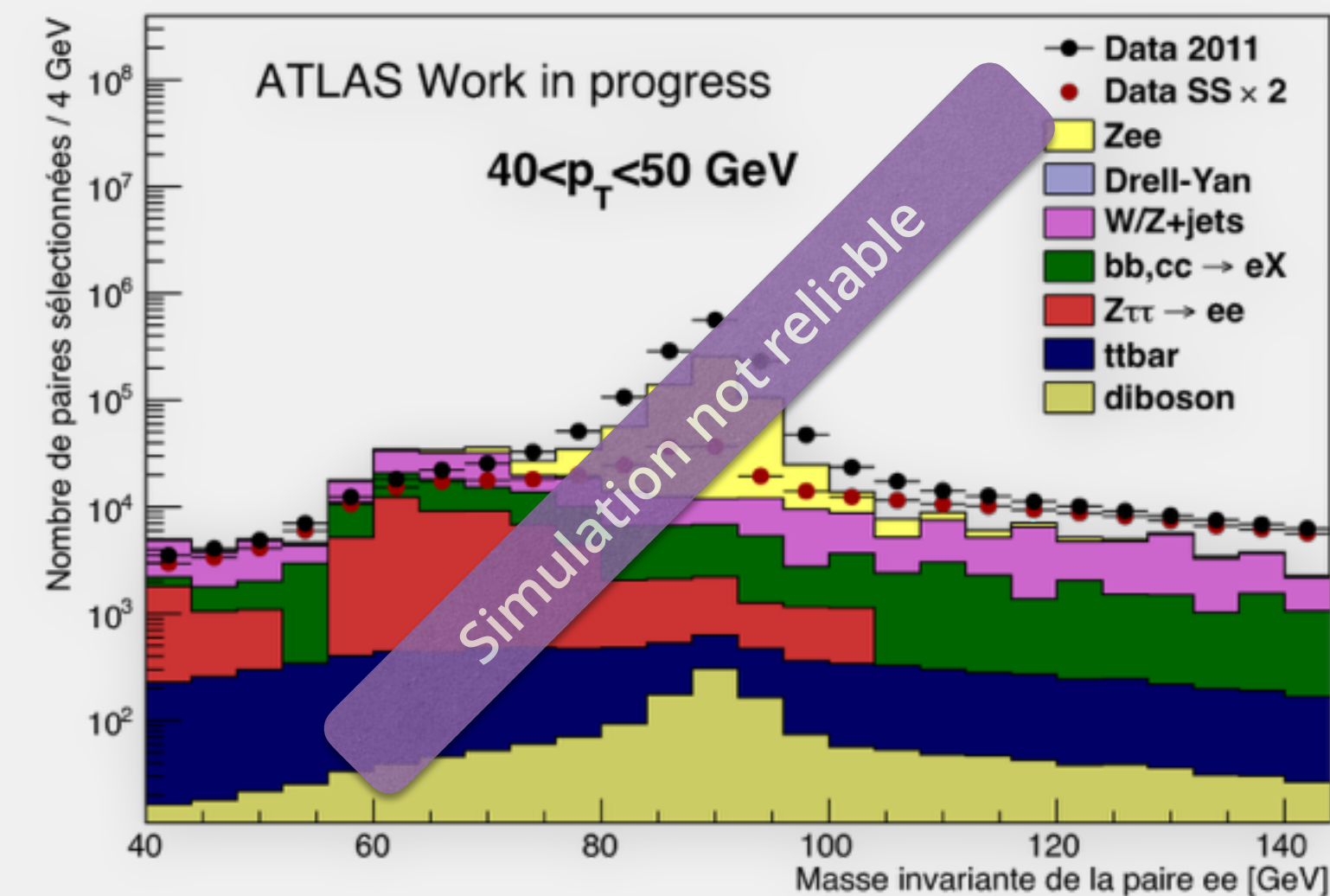
Main challenge for a precision measurement :

→ Background estimate

But: For electron reconstruction: probe is a cluster

→ High and complex background

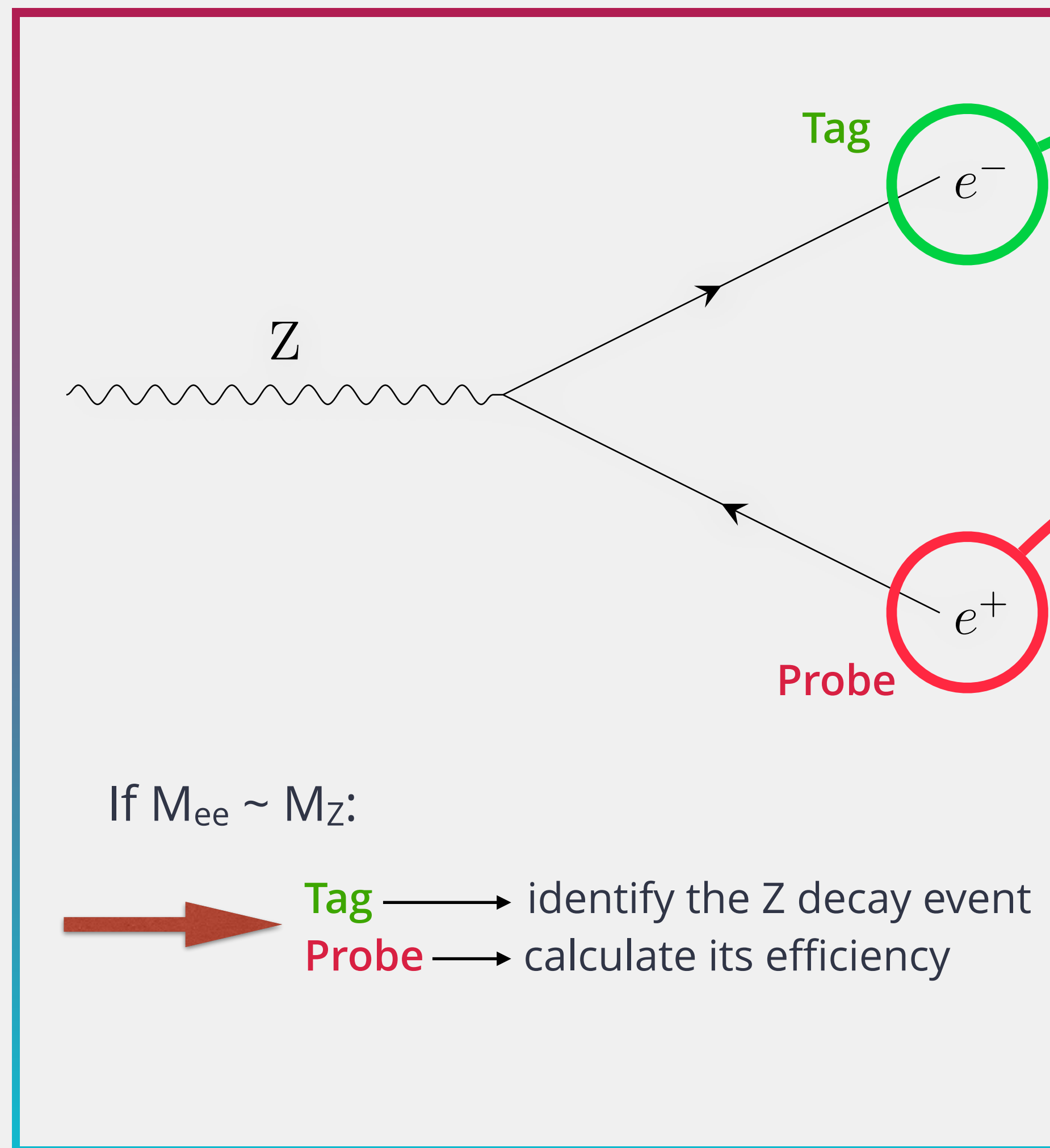
➡ Only Zee is usable for reco + only for $E_T > 15$ GeV



Data driven measurements are needed for a % or sub-% level accuracy.

Tag and Probe method

In Zee channel - Detailed selection



1

Need a pure and unbiased electron sampling

Strict selection on the first electron:

- tight cuts
- out of crack region
- triggered by a $p_T > 25$ GeV electron

2

A looser candidate with $M_{ee} \sim M_Z$

Cell cluster reconstructed in the calorimeter

- $p_T > 15$ GeV
- $\Delta R > 0.4$ (jet $p_T > 20$ GeV)
- M_{ee} in $]80, 100[$ GeV
- + if MC; probe from Z decay (truth level)

3

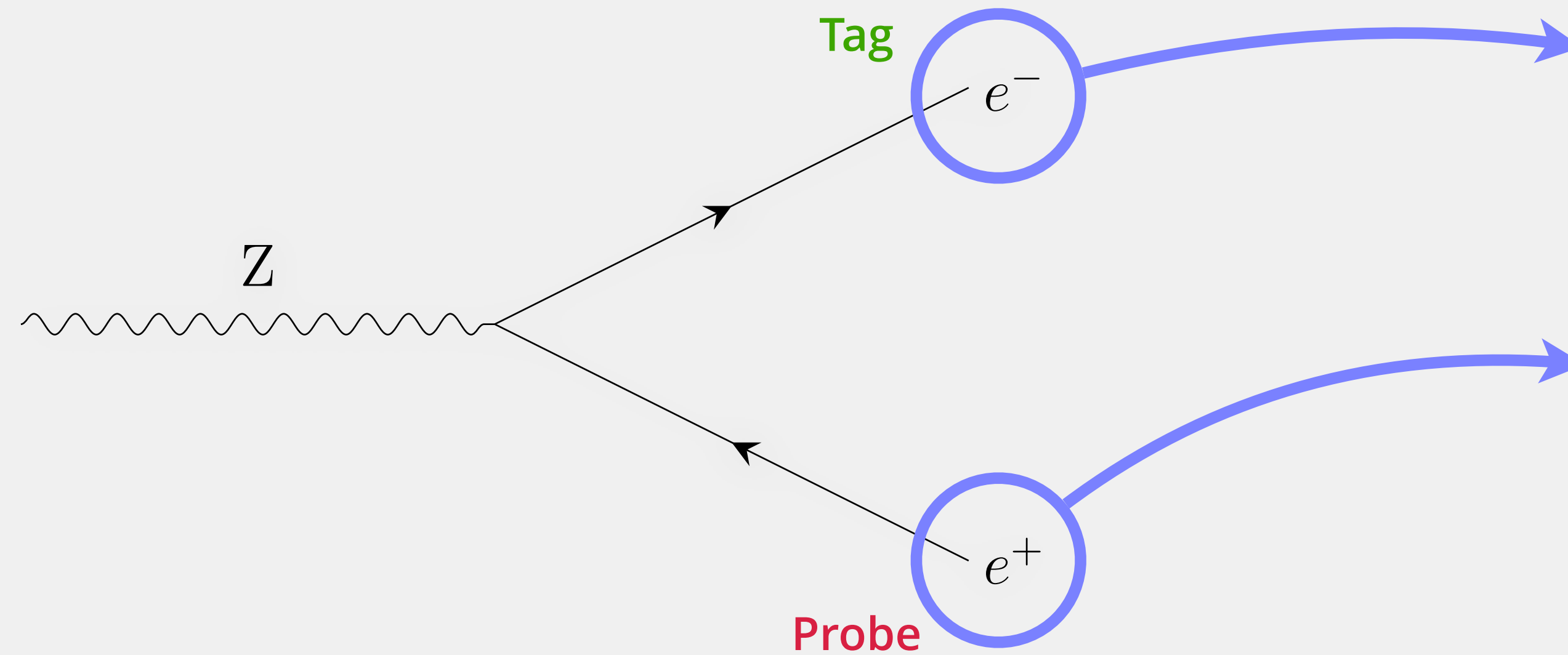
The two electron candidates are tested as tag if they pass the selection criteria

4

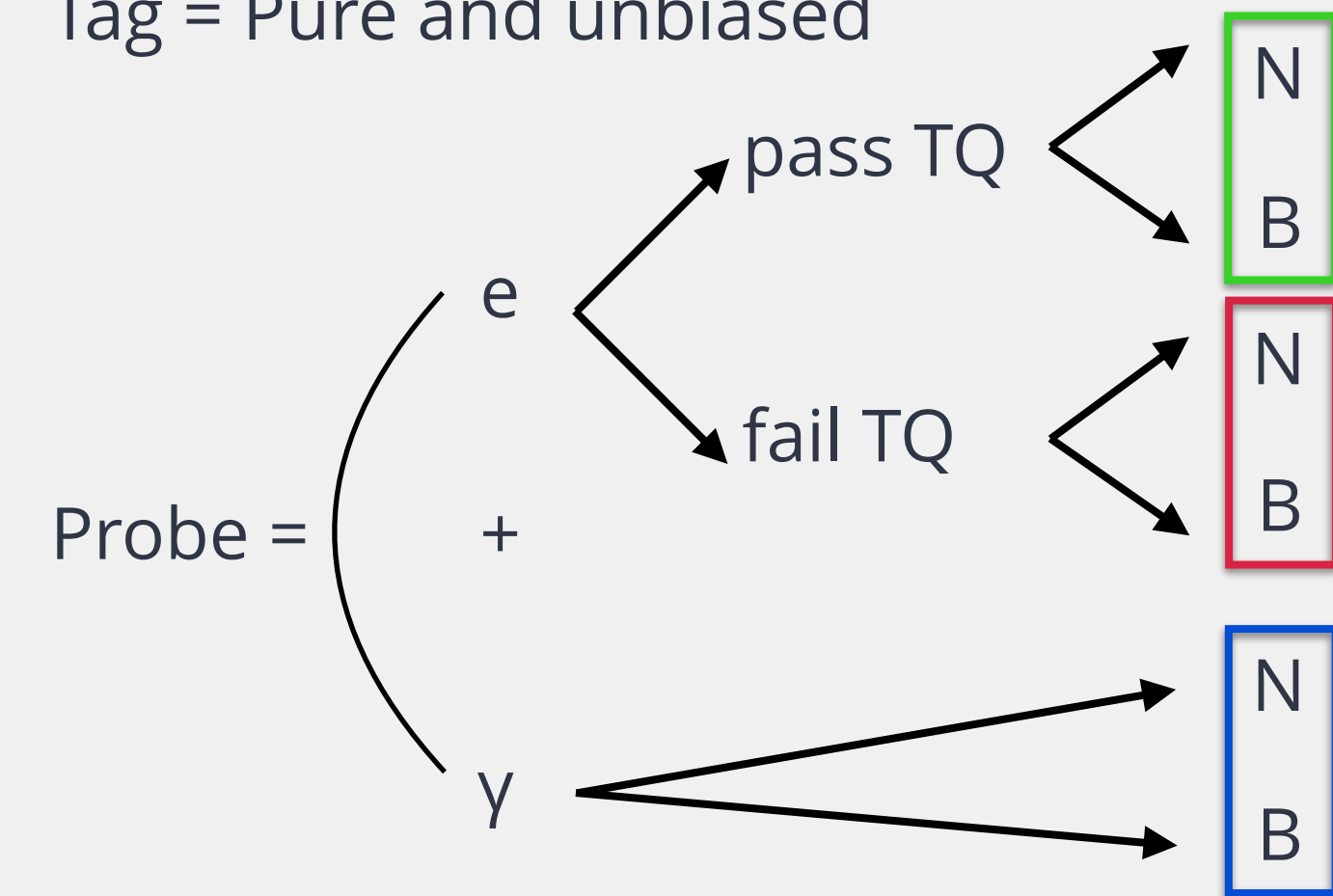
Association tracks-clusters: track quality requirement (at least 1 hit in the pixel detector and 7 in the SCT)

Tag and Probe method

In Zee channel



Tag = Pure and unbiased



$$\epsilon_{\text{reco}} = \frac{N_{\text{pass}}^{\text{sig}}}{N_{\text{pass}}^{\text{sig}} + N_{\text{fail}}^{\text{sig}}} = \frac{(N_p^e - B_p^e) + (N_F^e - B_F^e) + (N^\gamma - B^\gamma)}{(N_p^e - B_p^e) + (N_F^e - B_F^e) + (N^\gamma - B^\gamma)}$$

Reconstructed candidates
passing the probe track quality
criteria

Reconstructed candidates
failing track quality criteria

Electrons reconstructed as
clusters with no associated
track

$$\text{Efficiency} = \frac{\text{Association track - EM cluster} + \text{track quality}}{\text{All clusters with } M_{\text{tag-probe}} \sim M_Z}$$

Background estimation

Electrons reconstructed as clusters with an associated track



1 Reversed identification

Background model made by reversed identification:
probe candidates failing selection

- Fail to pass at least 2 loose++ cuts
- Bad isolation of the probe

Template	Cuts	$p_T < 30 \text{ GeV}$	$p_T \geq 30 \text{ GeV}$
Variation 1	fail at least 2 loose+ + cuts	$\text{topoE}_T^{\text{cone30}}/p_T > 0.02$ $120 < m_{ee} < 250 \text{ GeV}$	$\text{topoE}_T^{\text{cone40}}/p_T > 0.05$ $120 < m_{ee} < 250 \text{ GeV}$
Variation 2		$\text{topoE}_T^{\text{cone30}}/p_T > 0.02$ $60 < m_{ee} < 70 \text{ GeV}$	$\text{topoE}_T^{\text{cone40}}/p_T > 0.20$ $120 < m_{ee} < 250 \text{ GeV}$

2 Exclusion of signal contamination

Signal contribution in the high mass window [120, 250]
GeV estimated by simulation:

- in the peak region, the number of background is:

$$B^e = N_{\text{peak}}^{\text{template}} \times \frac{N_{\text{tail}}^e - N_{\text{tail}}^{\text{tight++}} / \epsilon_{\text{tail}}^{\text{tight++}}}{N_{\text{tail}}^{\text{template}}}$$

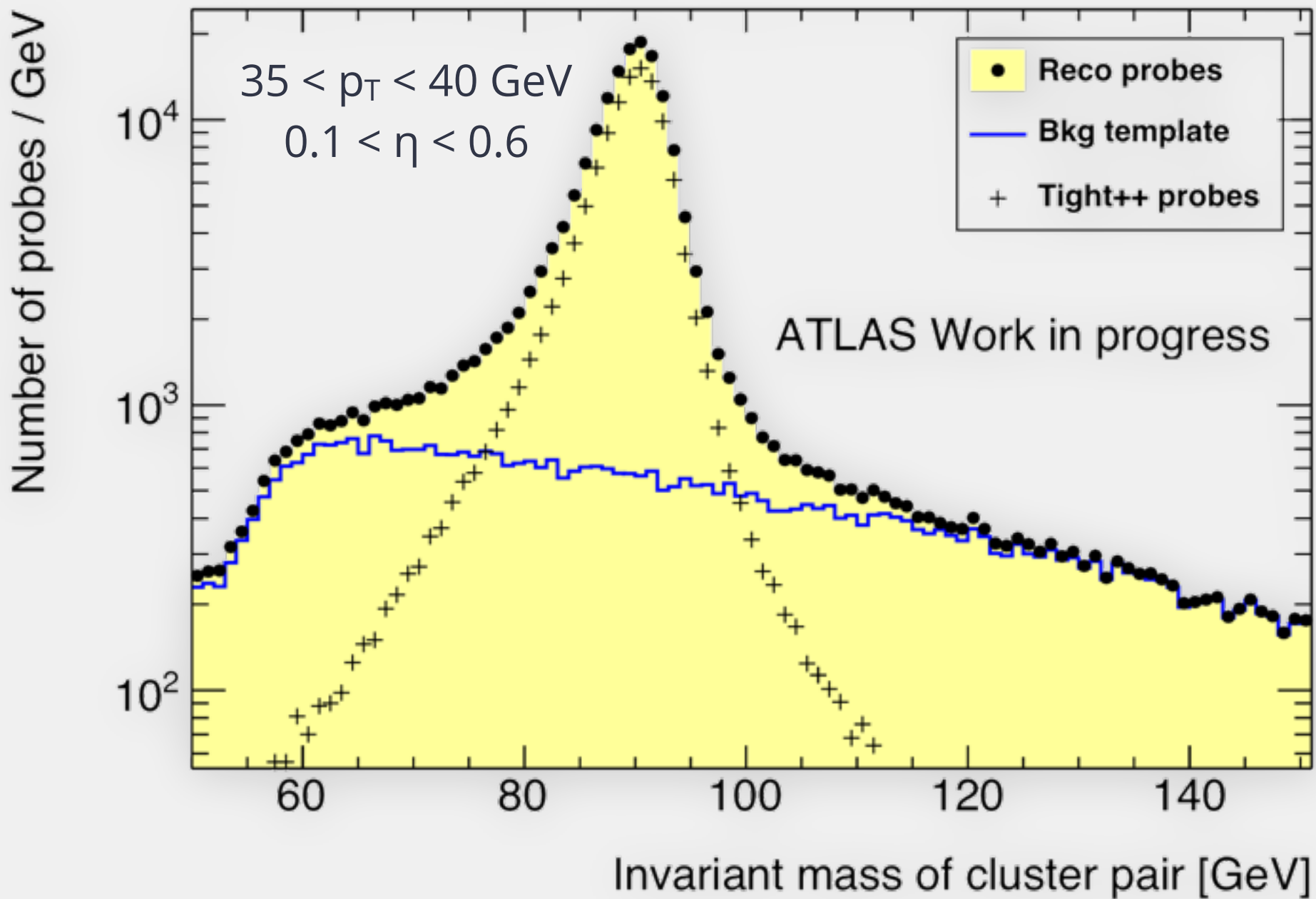
~70% for $15 < p_T < 20 \text{ GeV}$
~ 5% for $45 < p_T < 50 \text{ GeV}$

3 Normalization

Background efficiency limited

- Distribution normalized to the high/low mass data distribution: $M_{ee} > 120 \text{ GeV} / [60, 70] \text{ GeV}$

> 50 x less signal in the normalization region compared to the peak



Background estimation

Electrons reconstructed as clusters with no associated track

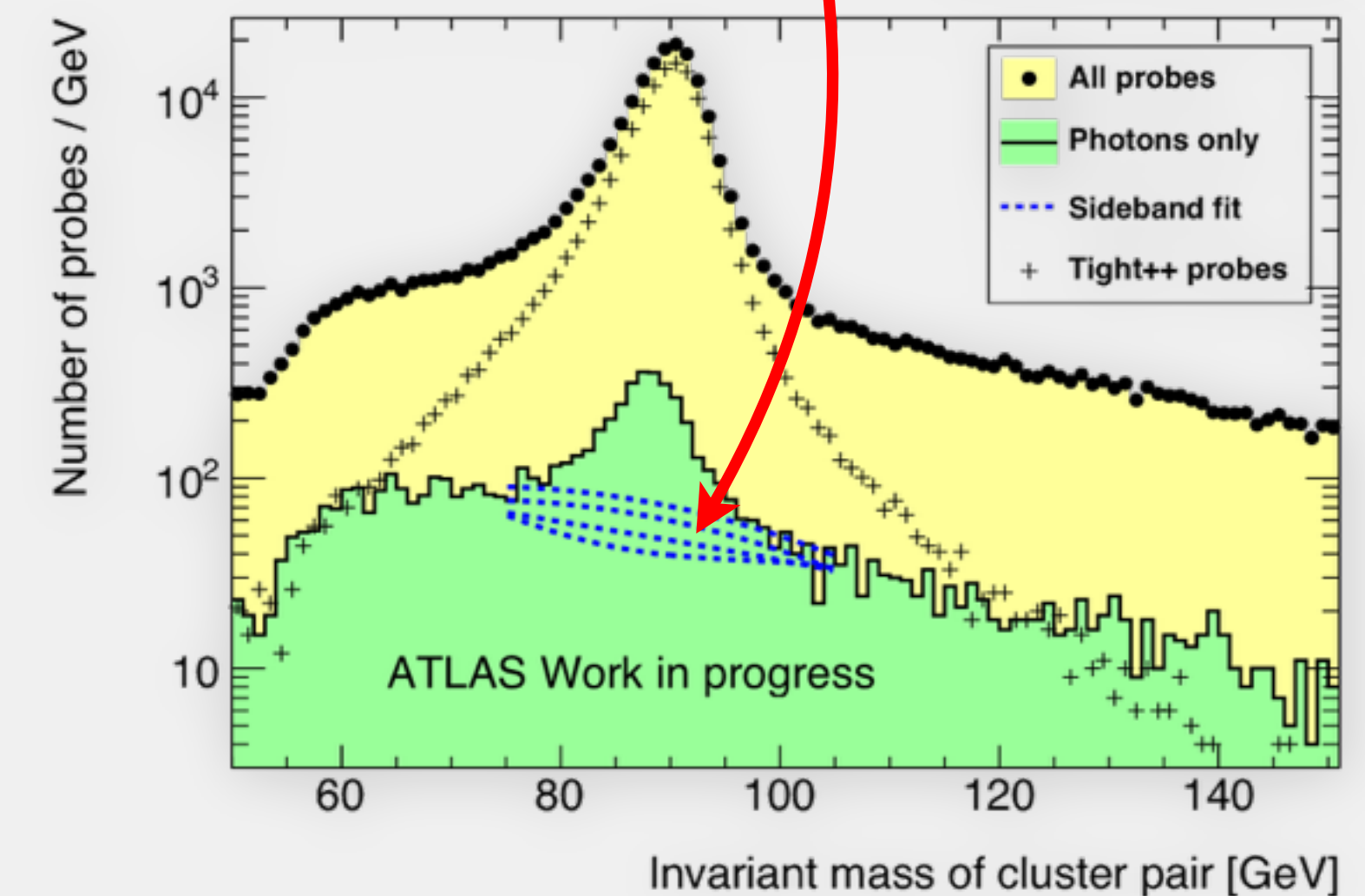
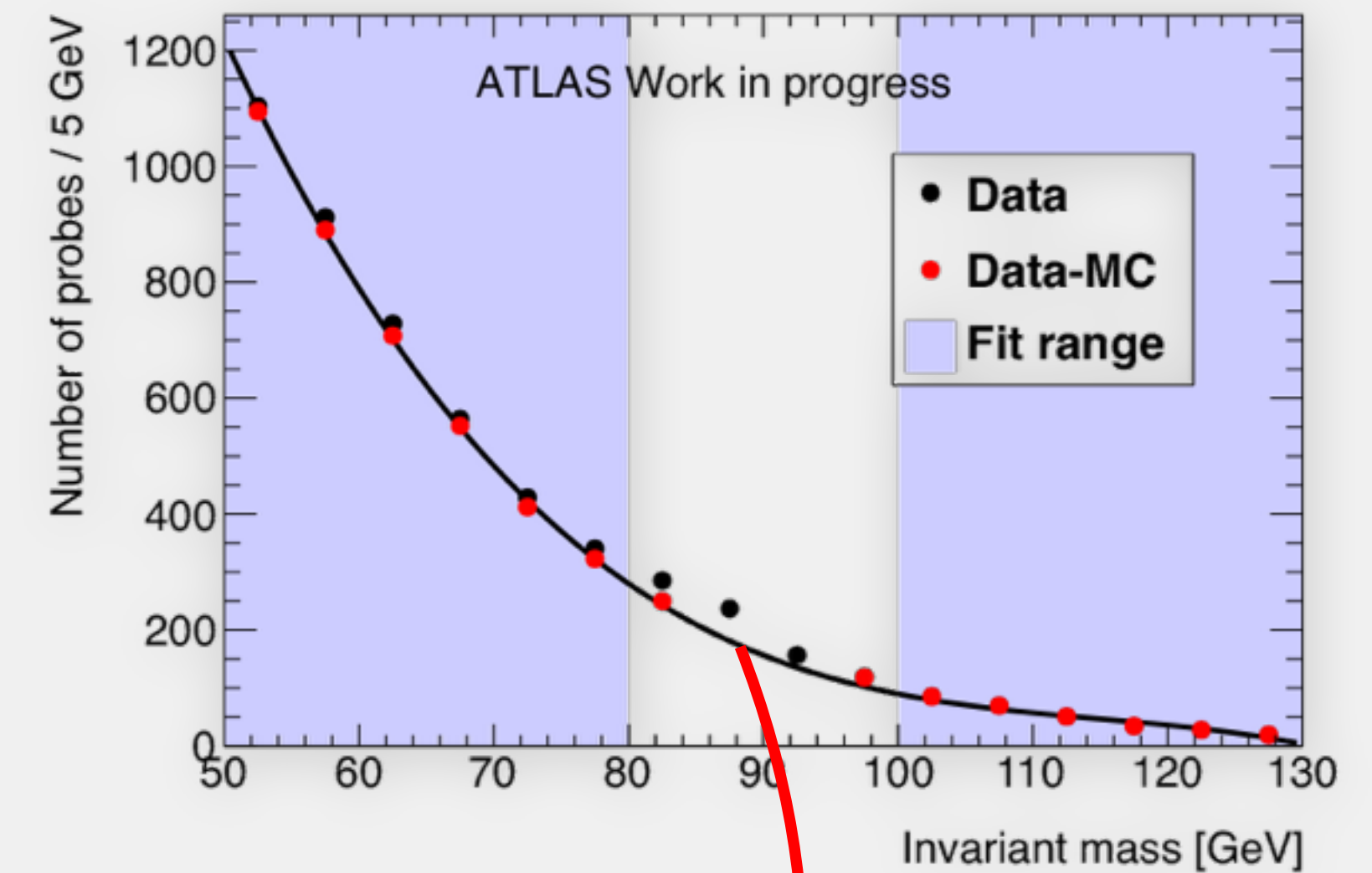


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Probe candidates failing electron reconstruction, regarded as photons.
Here, it is difficult to use reversed cuts or isolation variables.

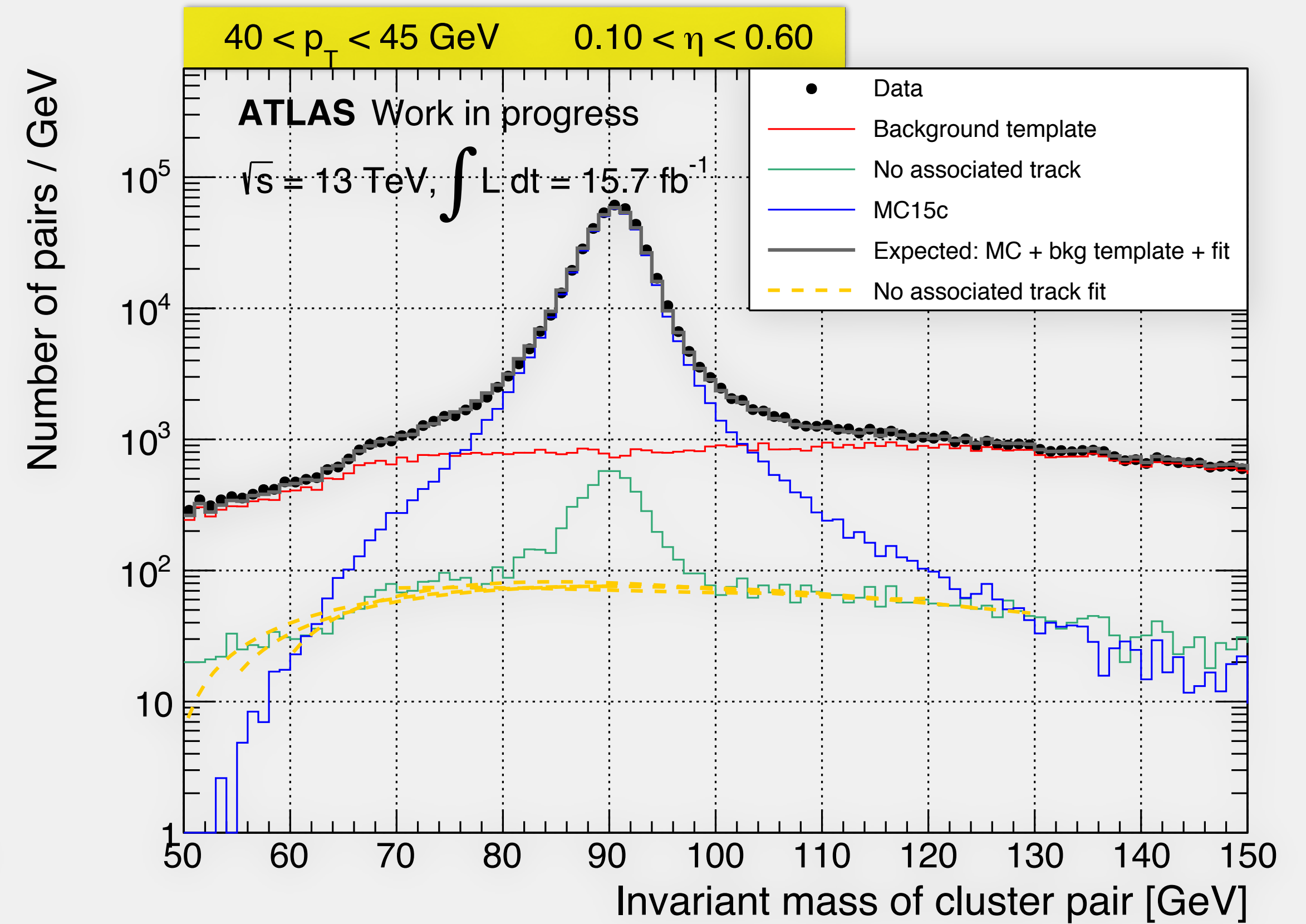
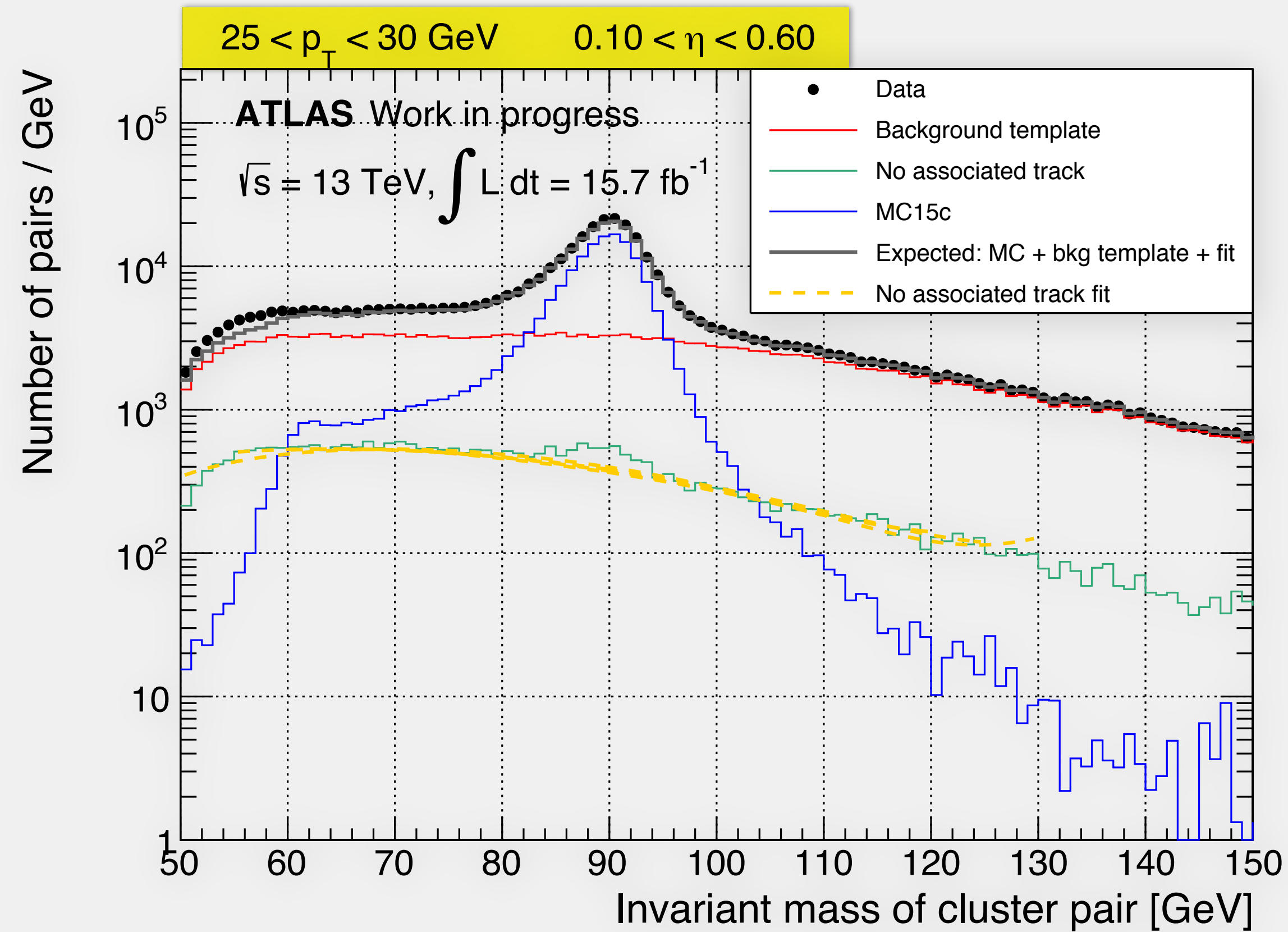
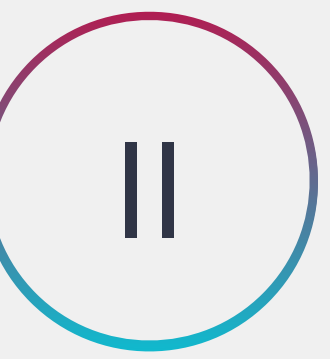
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Electron reconstruction efficiency in 2016 data

Denominator detailed distribution



Efficiency computation

Uncertainties on ϵ_{reco}



Systematics

Problem: correlated
Solution: estimated at the same time by varying tag selection and background parameters.

Sources of uncertainties:

- Shape of the background
- Composition of the background
- Signal contamination of background templates
- Lower efficiency at low mass (*bremsstrahlung*)
- Background shape for candidates with no associated track.



- Signal contamination in the normalization template region
- Signal contamination in the fit region

Statistical

Determined by error propagation

Variations applied:

- x 3 Invariant mass window for the T&P pair
- x 3 TagID
- x 2 Definition of the background template
- x 4 Range for the fit for candidates with no associated track

72 variations for data, 9 for MC

$$\epsilon_{\text{reco}} = \epsilon_{\text{reco}} \pm \Delta^{\text{stat}} \epsilon_{\text{reco}} \pm \Delta^{\text{syst}} \epsilon_{\text{reco}}$$

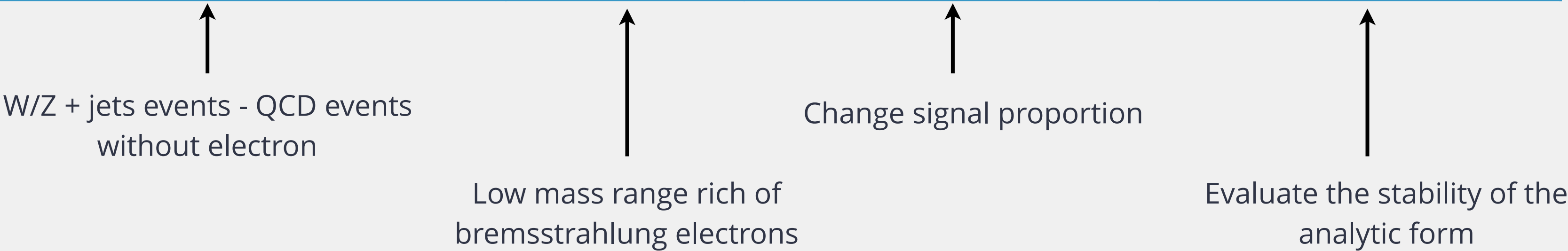
Central value of variations Error propagation RMS of variations

Efficiency computation

Detailed variations



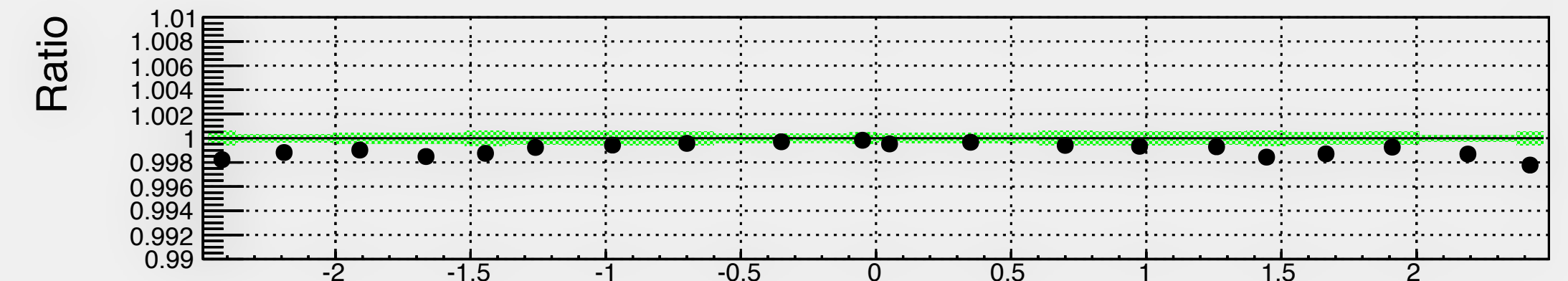
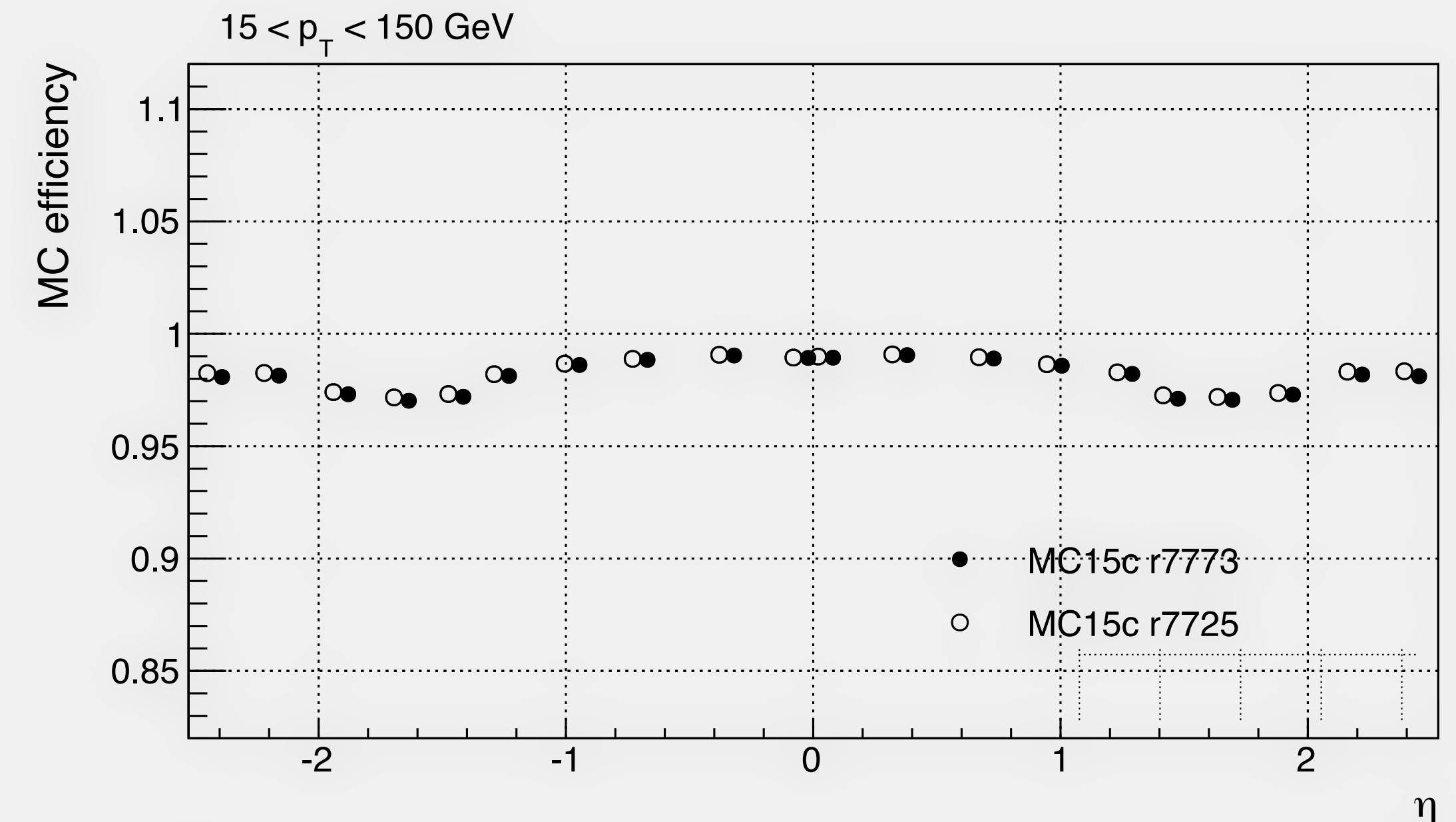
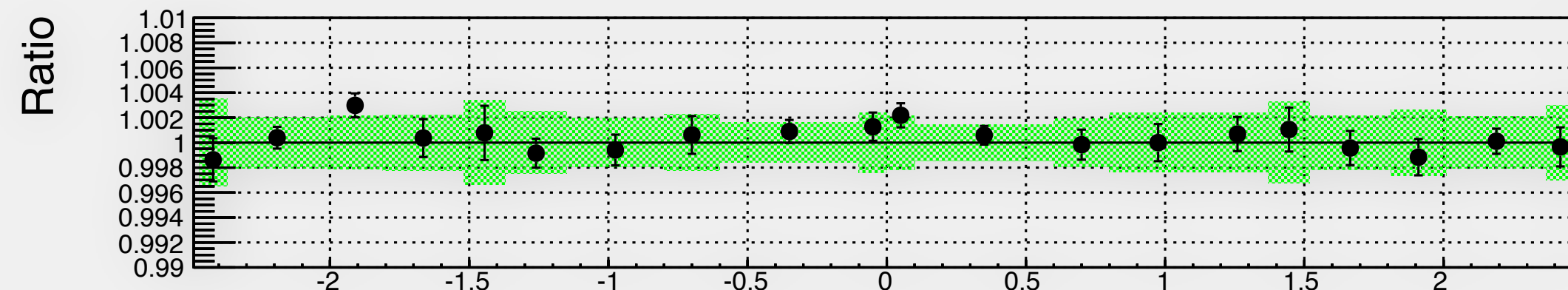
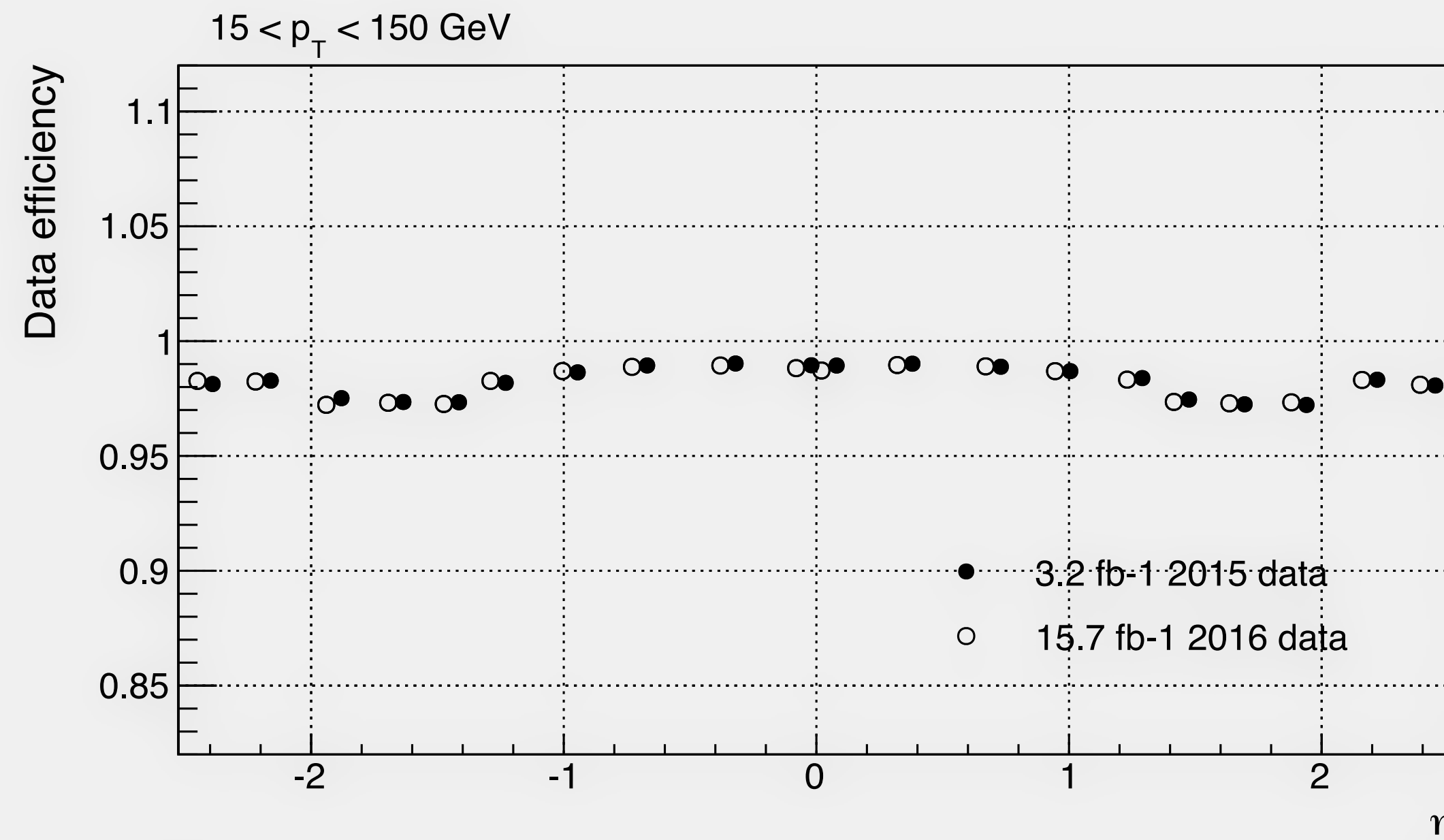
Tag identification variations	Z mass peak windows	Electron background template	Sideband for $e\gamma$ mass fit
Tight LH	$]80, 100[$ GeV	Variation 1	$]70, 80[\cup]100, 110[$ GeV
Tight LH & $\text{TopoE}_{\text{Tcone40}} < 5$ GeV	$]75, 105[$ GeV	Variation 2	$]60, 80[\cup]100, 120[$ GeV
Medium LH & $\text{TopoE}_{\text{Tcone40}} < 5$ GeV	$]85, 95[$ GeV		$]50, 80[\cup]100, 130[$ GeV
			$]55, 70[\cup]110, 125[$ GeV



Template	Cuts	$p_{\text{T}} < 30$ GeV	$p_{\text{T}} \geq 30$ GeV
Variation 1	fail at least 2 loose+ + cuts	$\text{topoE}_{\text{Tcone30}}/p_{\text{T}} > 0.02$ $120 < m_{ee} < 250$ GeV	$\text{topoE}_{\text{Tcone40}}/p_{\text{T}} > 0.05$ $120 < m_{ee} < 250$ GeV
Variation 2		$\text{topoE}_{\text{Tcone30}}/p_{\text{T}} > 0.02$ $60 < m_{ee} < 70$ GeV	$\text{topoE}_{\text{Tcone40}}/p_{\text{T}} > 0.20$ $120 < m_{ee} < 250$ GeV

Data - MC efficiencies

Comparison with pre-recommendations



No systematic effect for data efficiency
MC efficiency slightly higher for MC r7725 resulting in
slightly lower scale factors for 2016 data



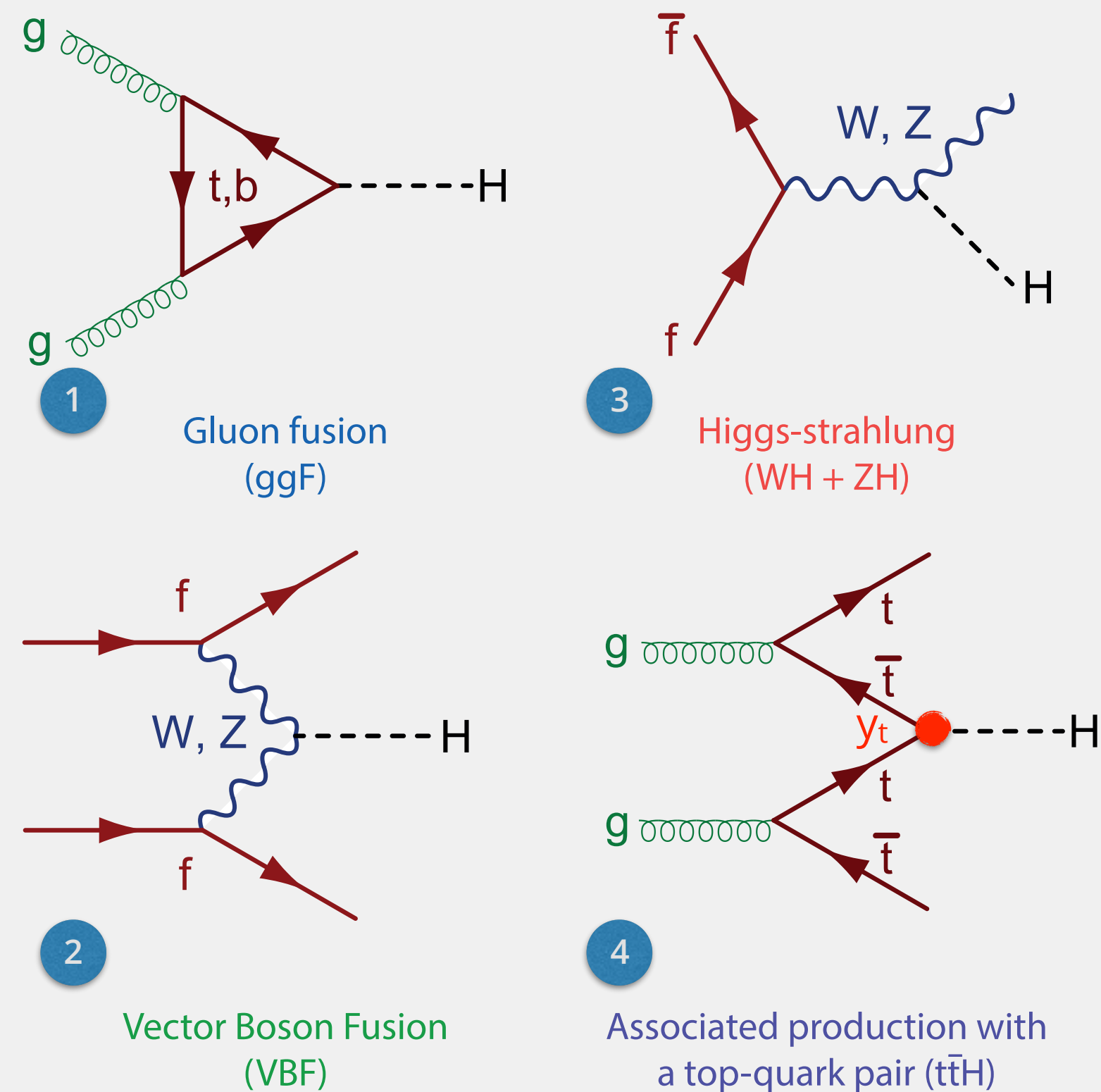
Quadratic sum of 2015 and 2016 /
MC r7773 - 7725 uncertainties



2015 data uncertainties

Higgs boson

Production



4 production modes at LHC

- **Gluon fusion**: allows an indirect measurement of the top - Higgs coupling
- **Vector boson fusion**: no coupling
- **Higgs-strahlung**: no coupling
- **ttH production**: allows a direct measurement

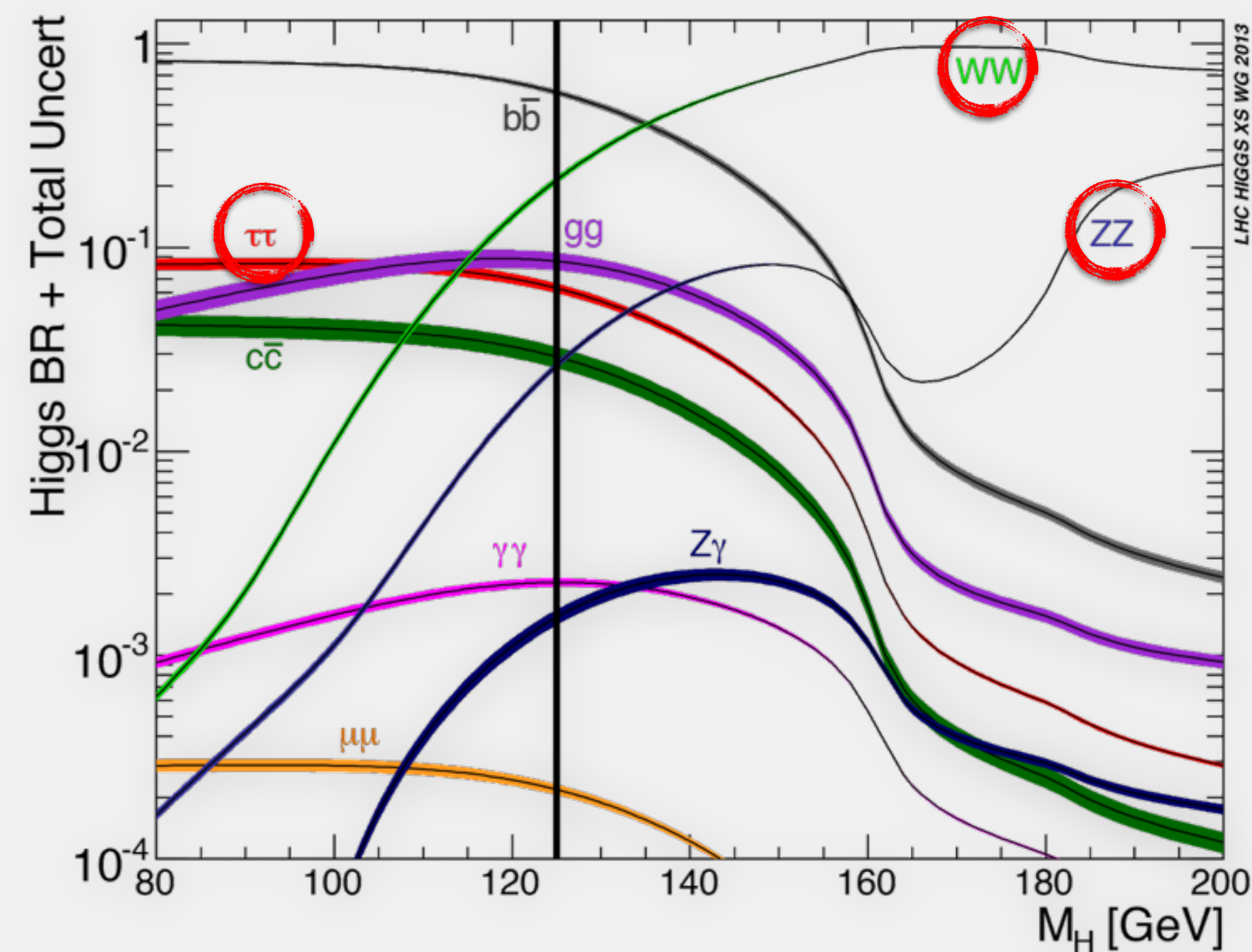
Low production cross sections

	ggF	WH+ZH	VBF	ttH
Run 1 8 TeV (pb)	19.3	1.12	1.6	0.13
Run 2 13 TeV (pb)	43.9	2.27	3.8	0.51

2 orders of magnitude

Higgs boson

Decay



Branching ratios

- Probability to decay in a defined final state

$$BR(H \rightarrow b\bar{b}) = \frac{\Gamma(H \rightarrow b\bar{b})}{\Gamma(H \rightarrow b\bar{b}) + \Gamma(H \rightarrow c\bar{c}) + \dots} = 57.7\%$$

To get two same-sign leptons

- 3 Higgs decays

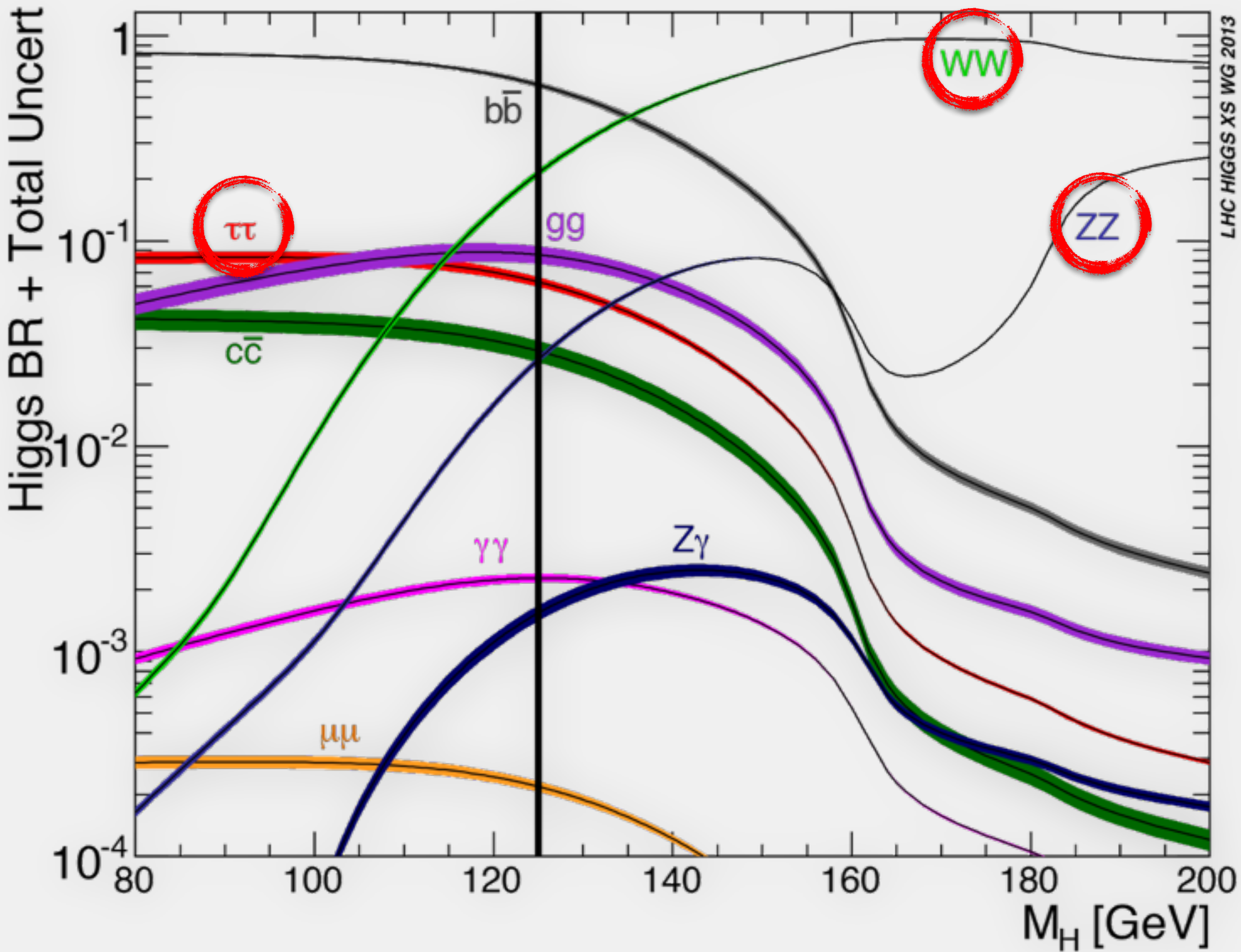
- $H \rightarrow WW$ (22%)
- $H \rightarrow \tau\tau$ (6.3%)
- $H \rightarrow ZZ$ (2.6%)



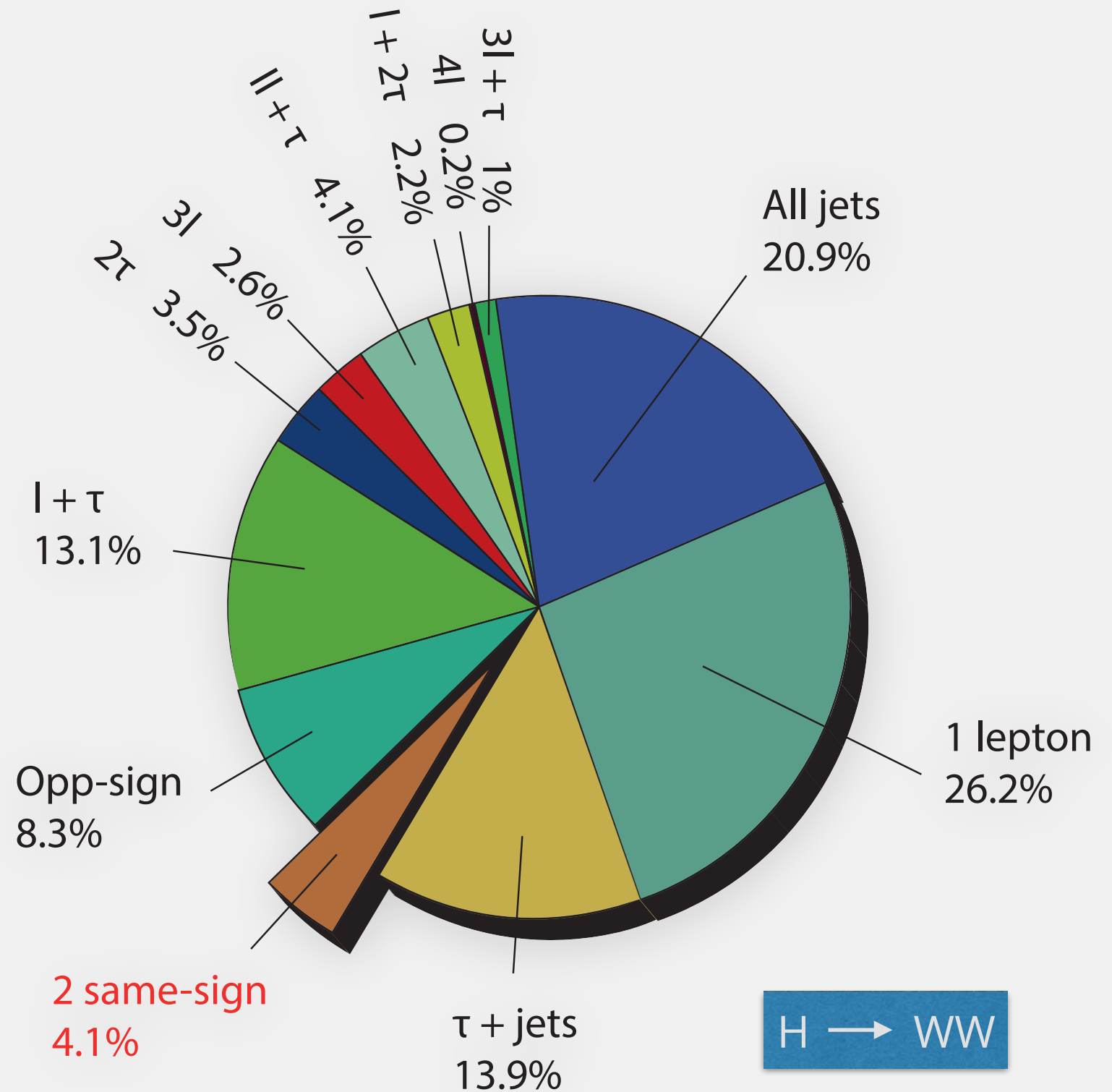
- $W \rightarrow l\nu$ (21%)
- $\tau \rightarrow l\nu\nu$ (35.2%)
- $Z \rightarrow ll$ (6.7%)
- for $l = e, \mu$

Higgs boson

Decay



$H \rightarrow WW$ (22%), also $H \rightarrow \tau\tau$ (6.3%) and $H \rightarrow ZZ$ (2.6%)
 $W \rightarrow l\nu$ (21%), $\tau \rightarrow l\nu\nu$ (35.2%), $Z \rightarrow ll$ (6.7%) for $l = e, \mu$



For $\sqrt{s} = 8 \text{ TeV}$: $O(20)$ events, ~ 500 at 13 TeV with 100 fb^{-1}

$$N_{\text{sig, run2}} = \underbrace{510 \text{ fb}}_{\sigma_{t\bar{t}H(WW)}} \times \underbrace{0.215}_{\text{BR}(H \rightarrow WW)} \times \underbrace{0.041}_{\text{Two same-sign leptons}} \sim 4.3 \text{ events/fb}^{-1}$$



ttH production with a multileptonic final state

Study of five multileptonic final states from ttH production:

Run 1 : 20 fb⁻¹ data,
 $\sqrt{s} = 8 \text{ TeV}$

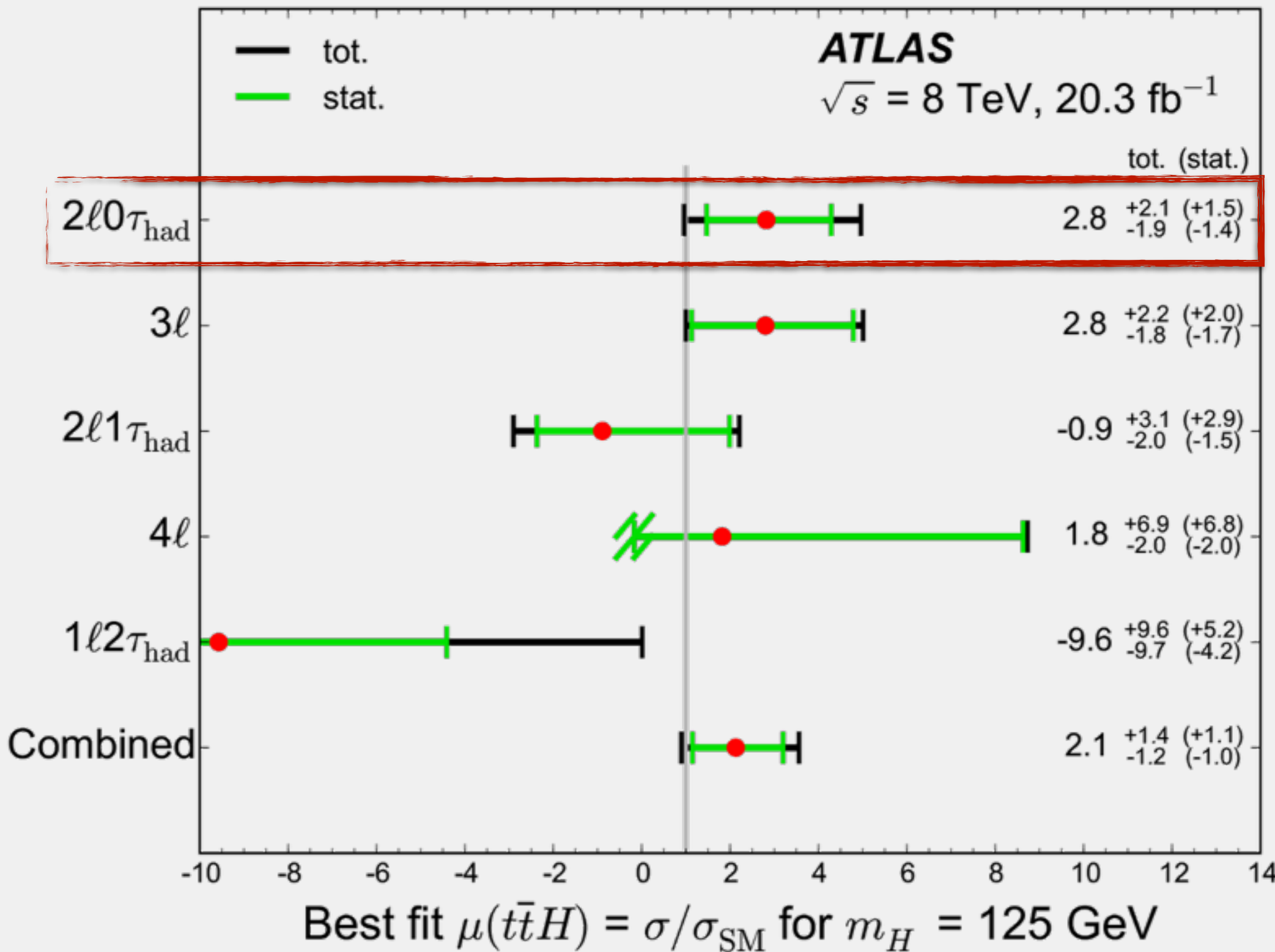
$\longrightarrow \begin{cases} \mu_{ttH} = \frac{\sigma(pp \rightarrow ttH)}{\sigma_{SM}(pp \rightarrow ttH)} = 2.1^{+1.4}_{-1.2} \\ \mu_{ttH} < 4.7 \text{ with 95\% CI} \end{cases}$

Consistent with the Standard Model, but limited by statistics.

Run 2 : 100 fb⁻¹ data,
 $\sqrt{s} = 13 \text{ TeV}$

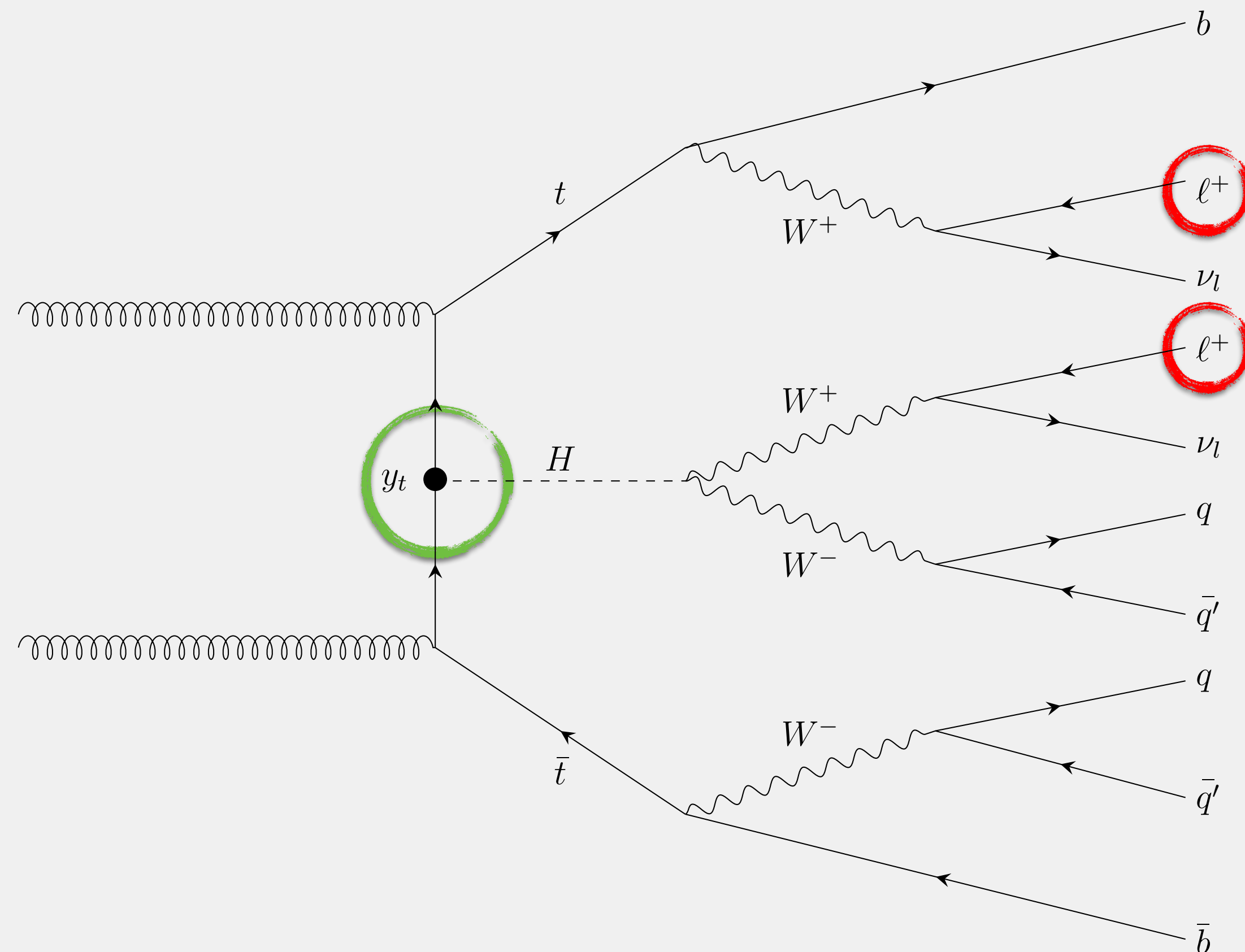
$\longrightarrow \begin{cases} \mu \text{ measurement possible for the first time with the Run 2 of LHC.} \\ \text{Great opportunity to get a precise measurement.} \end{cases}$

Prospect study for the run 2 (2015 - 2018) in the **two same-sign leptons channel** (e ou μ).



ttH in same-sign leptons channel

ttH signal



$H \rightarrow WW$ (22%), also $H \rightarrow \tau\tau$ (6.3%) and $H \rightarrow ZZ$ (2.6%)

$W \rightarrow l\nu$ (21%), $\tau \rightarrow l\nu\nu$ (35.2%), $Z \rightarrow ll$ (6.7%) for $l = e, \mu$

Characteristics

- Three channels : $ee, e\mu, \mu\mu$
- 6 jets including 2 b-jets
- Missing transverse energy due to neutrinos

A clear signature

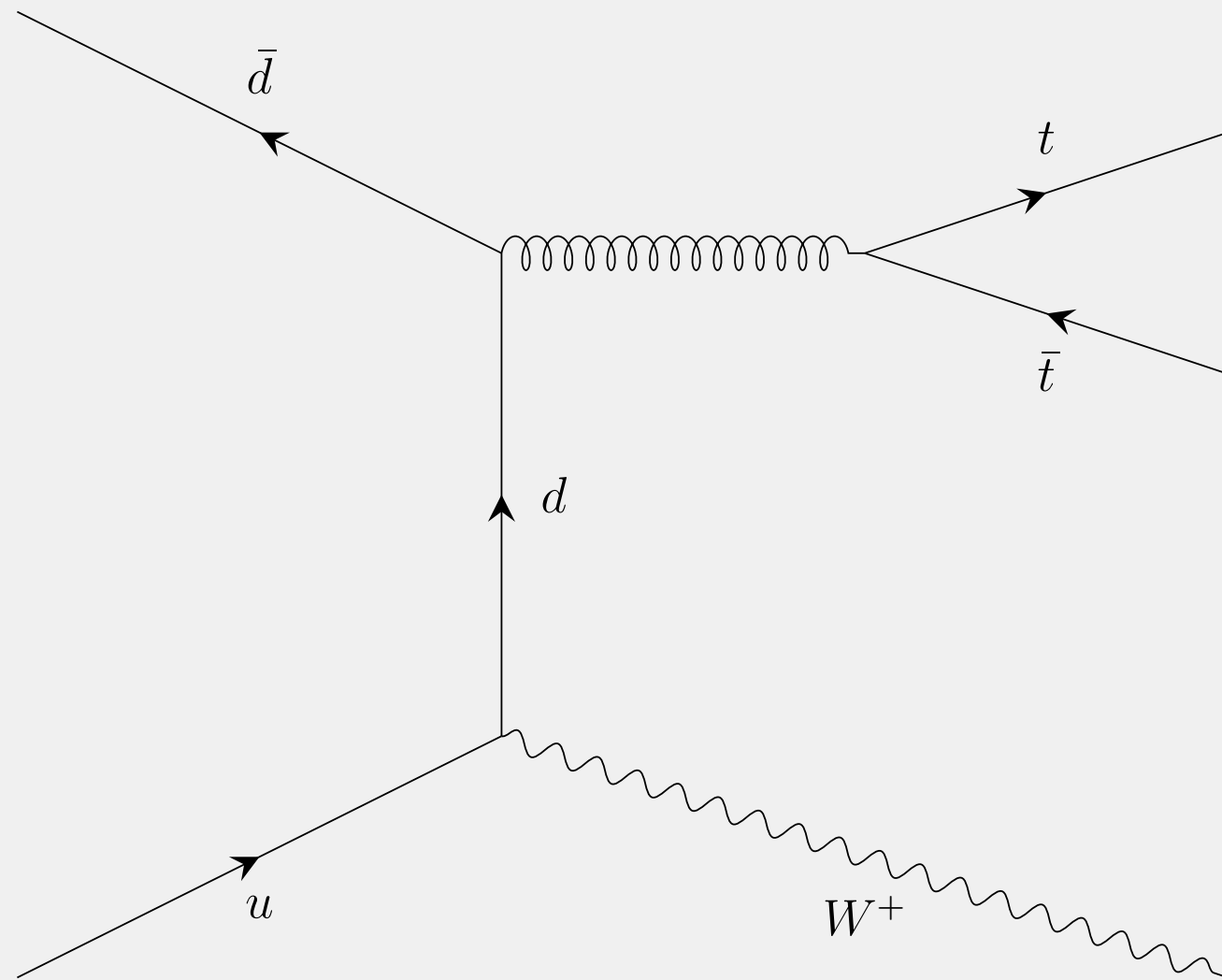
- Standard Model background with a two same-sign leptons final state **low but irreducible**
- Fake leptons background (mainly from heavy flavour decays) and leptons charge-flip **dominant but reducible**.

Cross sections (fb) (NLO)	8 TeV	13 TeV
ttH	130	509

$\sigma \times 3,9$

ttH in same-sign leptons channel

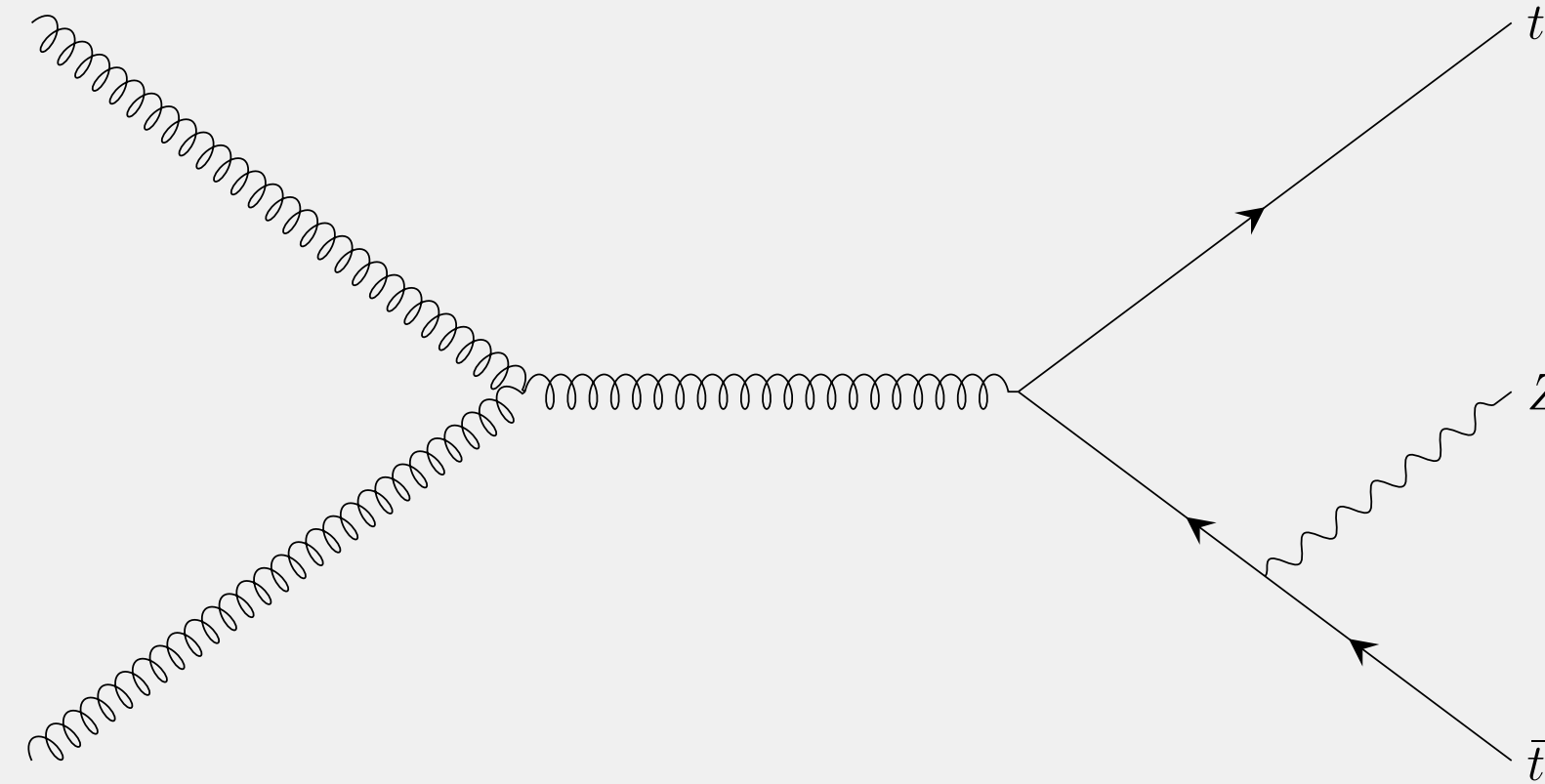
Backgrounds



ttW + 0, 1, 2, jets

This diagram appears with a positive or negative W leading to **2/3 events with two positive leptons** and **1/3 with two negative leptons**.

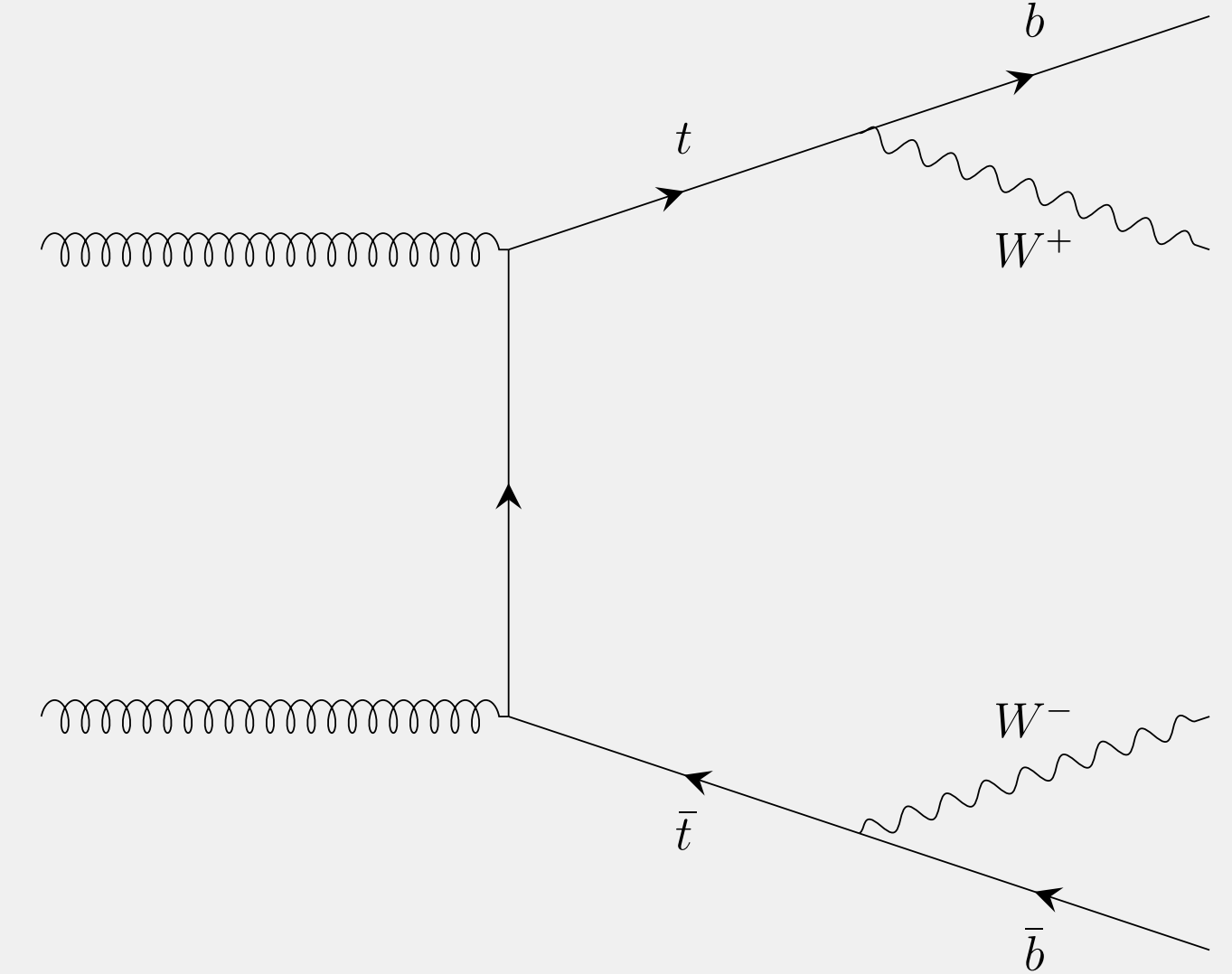
$$\sigma \times 2, 9$$



ttZ + 0, 1, 2 jets

ttZ leads to a final state with **3 leptons**, but is regarded as a two lepton final state if the third is **misreconstructed** or **out of the detector acceptance**.

$$\sigma \times 2, 8$$



tt

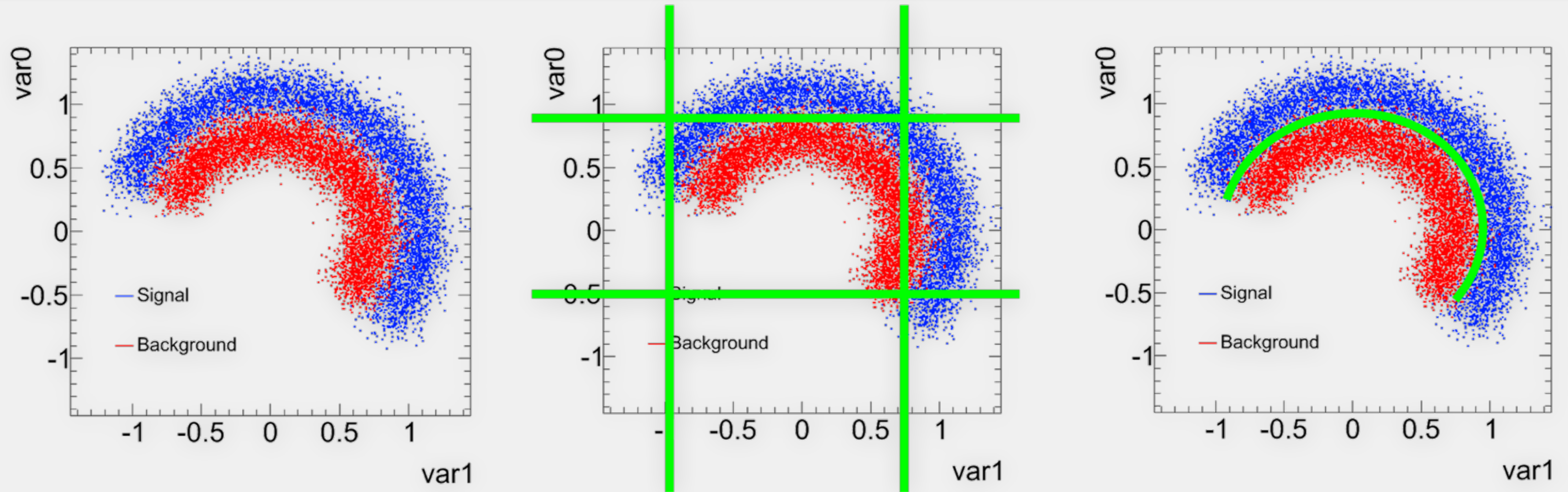
Dominating background (but reducible) with **additional jets** and **non-prompt leptons**.

$$\frac{\sigma(tt)}{\sigma(ttH)} \sim 2000 (1500) \text{ for } \sqrt{s} = 8 \text{ TeV (13 TeV)}$$

$$\sigma \times 3, 3$$

Multivariate analysis (MVA)

Principle



Lets consider the simple case where we have 2 discriminating variables $var0$ and $var1$.

To discriminate the signal and backgrounds one could **apply selections** on variables to reject as much red points as possible.

→ Unfortunately, selections only allow to do rectangular cuts without considering correlations (middle)

One should use multivariate analysis methods such as neural networks or boosted decision trees (BDT)

→ Better selection (right).