

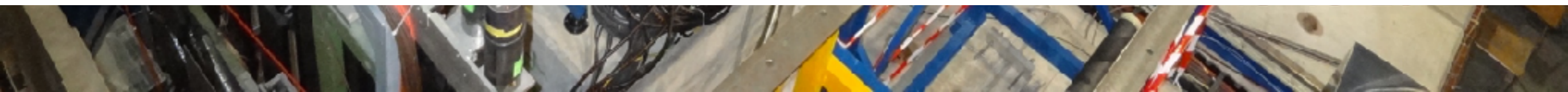
# QUARK FRAGMENTATION FUNCTIONS FROM PION AND KAON PRODUCTION

IN DEEP-INELASTIC SCATTERING FROM **COMPASS**

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Nicolas Pierre

November 10, 2016 – **GDR QCD 2016**



- ◆ Extraction of quark fragmentation functions from pion multiplicities
- ◆ Extraction of quark fragmentation functions from kaon multiplicities
- ◆ Radiative corrections

# MOTIVATION : STRANGE QUARK POLARIZATION

Nucleon spin - Strange quark polarisation is defined by :  $\int_0^1 \Delta s(x) + \Delta \bar{s}(x) dx = 2\Delta S$

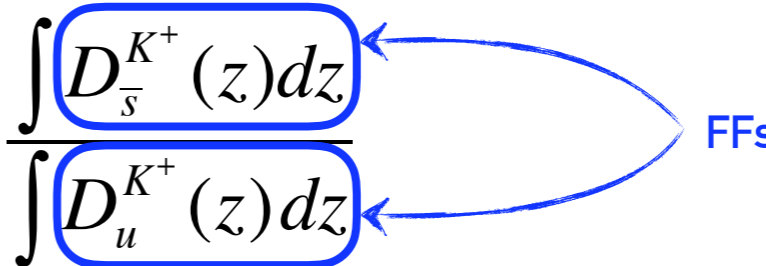
From NLO QCD fits of  $g_1$  along with  $a_8$  from  $\beta$  decay :

$$2\Delta S = -0.08 \pm 0.01 \pm 0.02 \quad (\text{PLB 647(2007) 8-17})$$

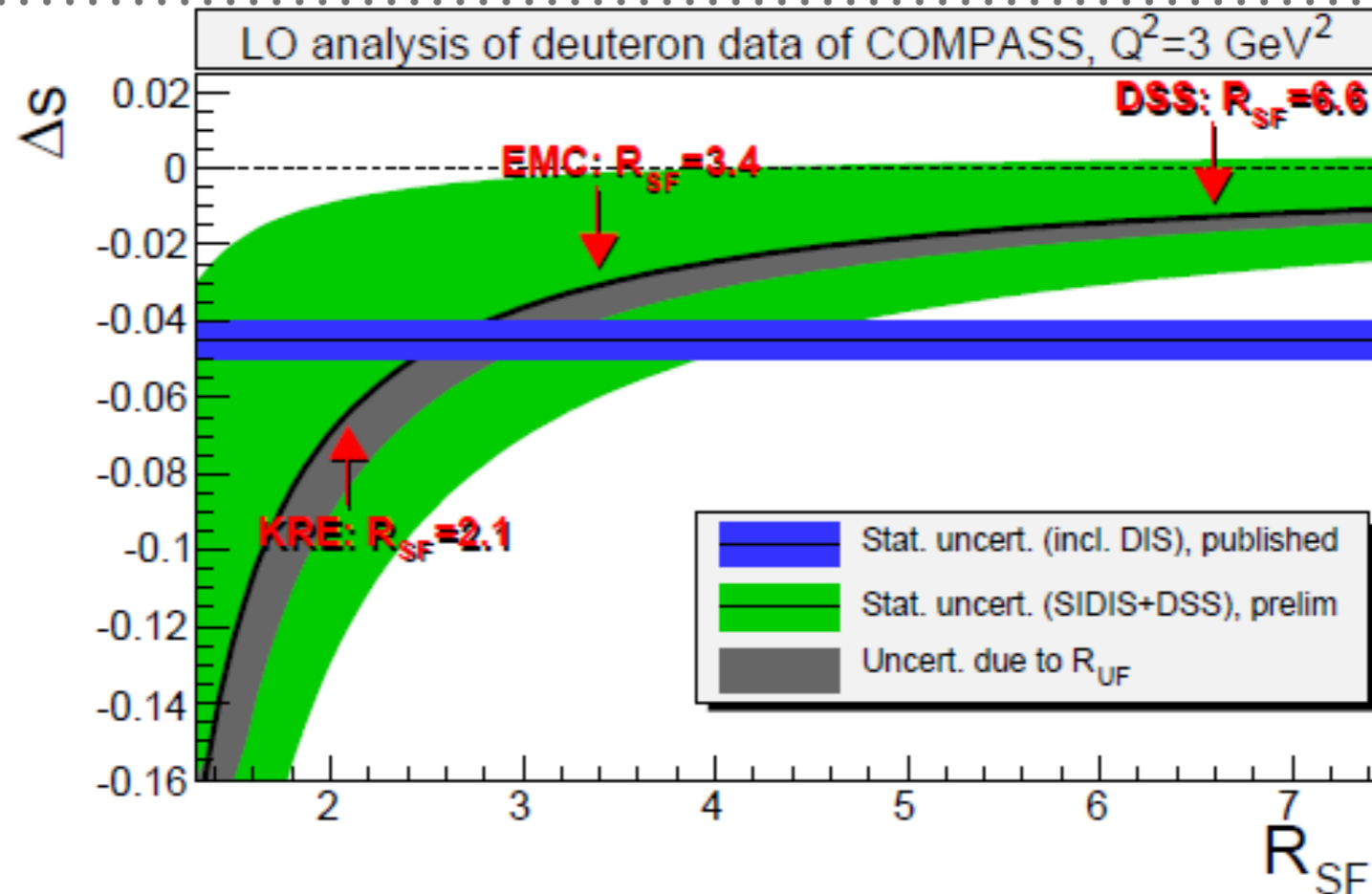
From NLO QCD fits of longitudinal SIDIS  $A_1^{K^\pm}$ ,  $A_1^{\pi^\pm}$  and  $A_1^{p,d}$  :

$$2\Delta S = -0.01 \pm 0.01 \pm 0.01 \quad (\text{PLB 693(2010) 227-235})$$

- $\Delta S$  from Semi-Inclusive Asymmetries strongly linked to quark fragmentation, especially the strange one, poorly known :

(PRB 75(2007) 114010)  $2\Delta S = f(R_{SF}), R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$  

# MOTIVATION : STRANGE QUARK POLARIZATION



(PLB 680(2009) 217)

- ▶  $\Delta S$  form Semi-Inclusive Asymmetries strongly linked to quark fragmentation, especially the strange one, poorly known :

(PRB 75(2007) 114010)

$$2\Delta S = f(R_{SF}), \quad R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$$

FFs

- ▶ One goal of the analysis : Extract FFs from COMPASS kaon data and then determine  $R_{SF}$

## Fragmentation functions :

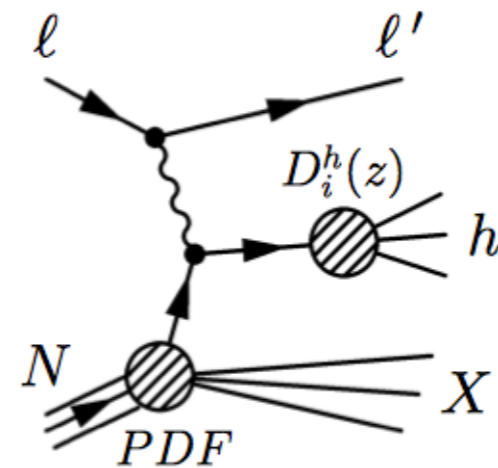
- ▶ Quark fragmentation function into hadron  $D_{q}^h$  : probability density of finding a hadron  $h$  with a certain energy fraction  $z$  of the struck quark  $q$  at given  $Q^2$ .
- ▶ Can be measured in several processes, including SIDIS.
- ▶ Are universal quantities, can describe several processes.

# HADRON MULTIPLICITIES FROM SIDIS

## What is a SIDIS hadron multiplicity measurement ?

One can express the differential cross section for hadron production normalised to the differential inclusive DIS cross section by :

$$\frac{dM^z(x,z,Q^2)}{dz} = \frac{d^3\sigma^h(x,z,Q^2)/dxdQ^2 dz}{d^2\sigma(x,Q^2)/dxdQ^2}$$



$$x = \frac{Q^2}{2M_N(E_l - E_{l'})}$$

$$z = \frac{E_h}{(E_l - E_{l'})}$$

$$Q^2 = -q^2 = -(\mathbf{p}_l - \mathbf{p}_{l'})^2$$

This can also be expressed, in **LO pQCD**, as a function of Parton Distribution Functions (**PDFs**) and Fragmentation Functions (**FFs**) :

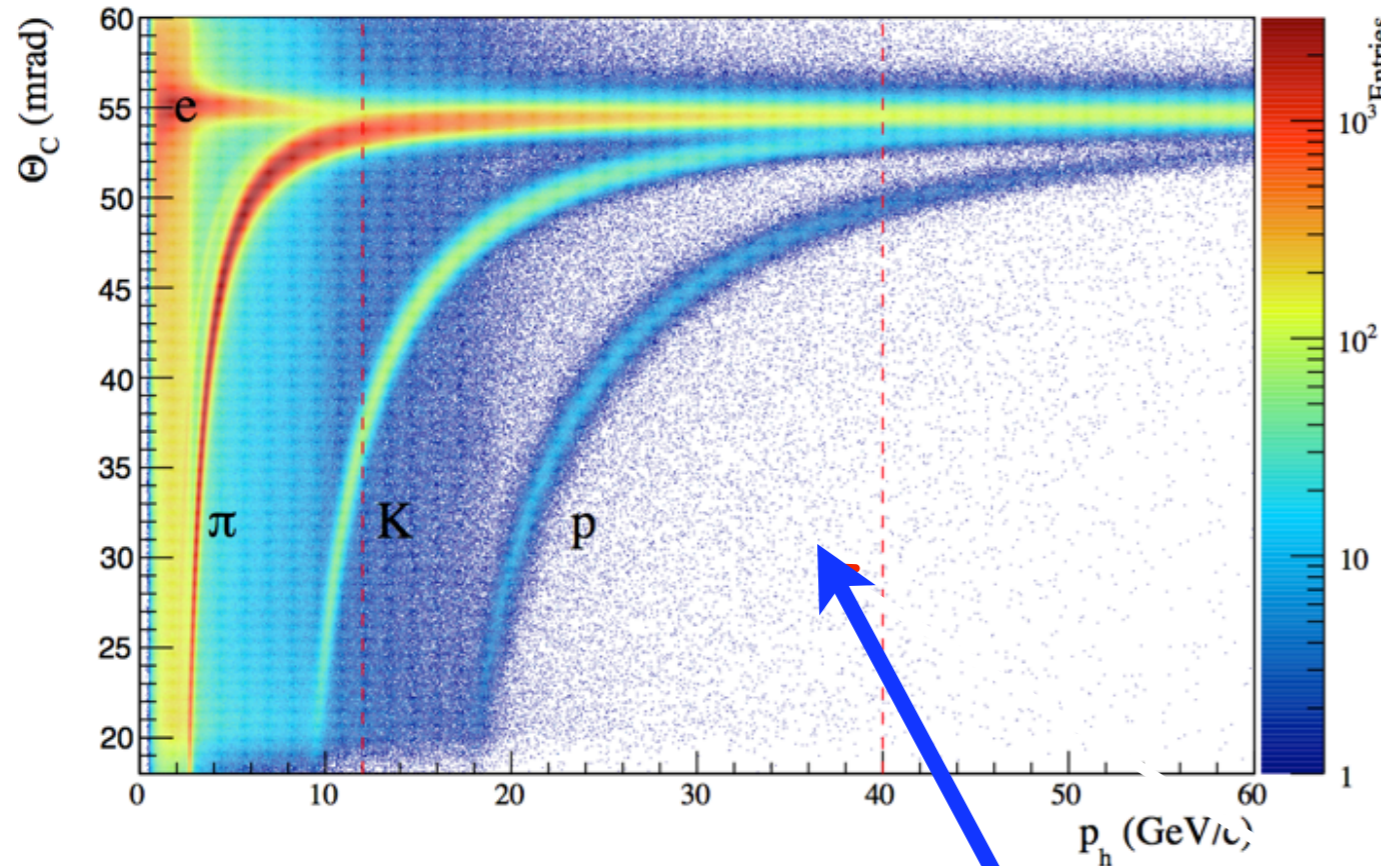
$$\frac{dM^z(x,z,Q^2)}{dz} = \frac{\sum_q e_q^2 q(x,Q^2) D_q^h(z,Q^2)}{\sum_q e_q^2 q(x,Q^2)}$$

quark to hadron FFs

quark PDFs

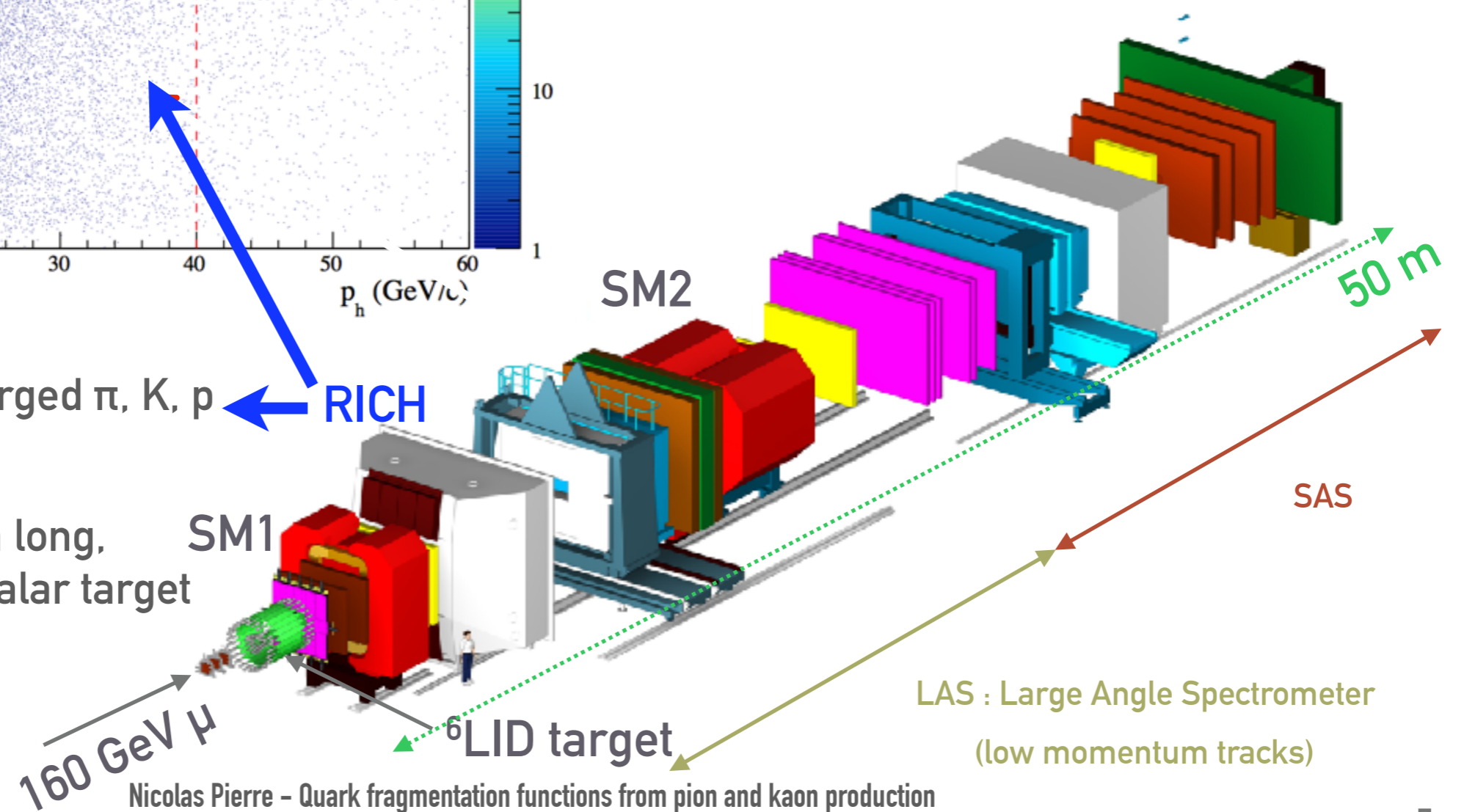
# COMPASS SPECTROMETER

COMPASS 2006 data



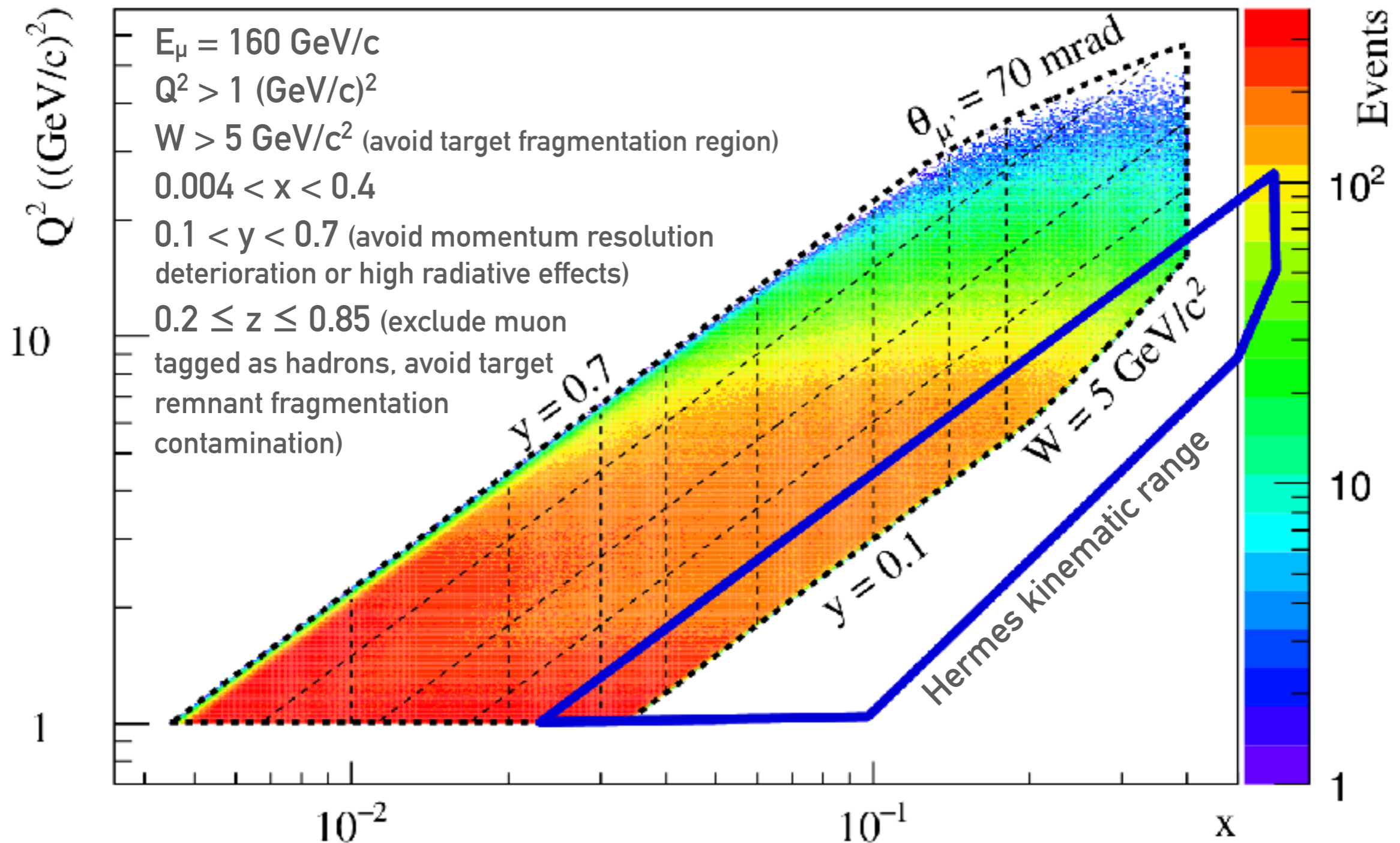
- ▶ Fixed target experiment at CERN SPS
- ▶ Can operate with muon or hadron beams
- ▶ This analysis : 160 GeV  $\mu^+$  beam (2006)

- ▶ RICH : excellent charged  $\pi$ , K, p discrimination
- ▶ This analysis : 1.2 m long, polarized  $^6\text{LiD}$  isoscalar target (2006)



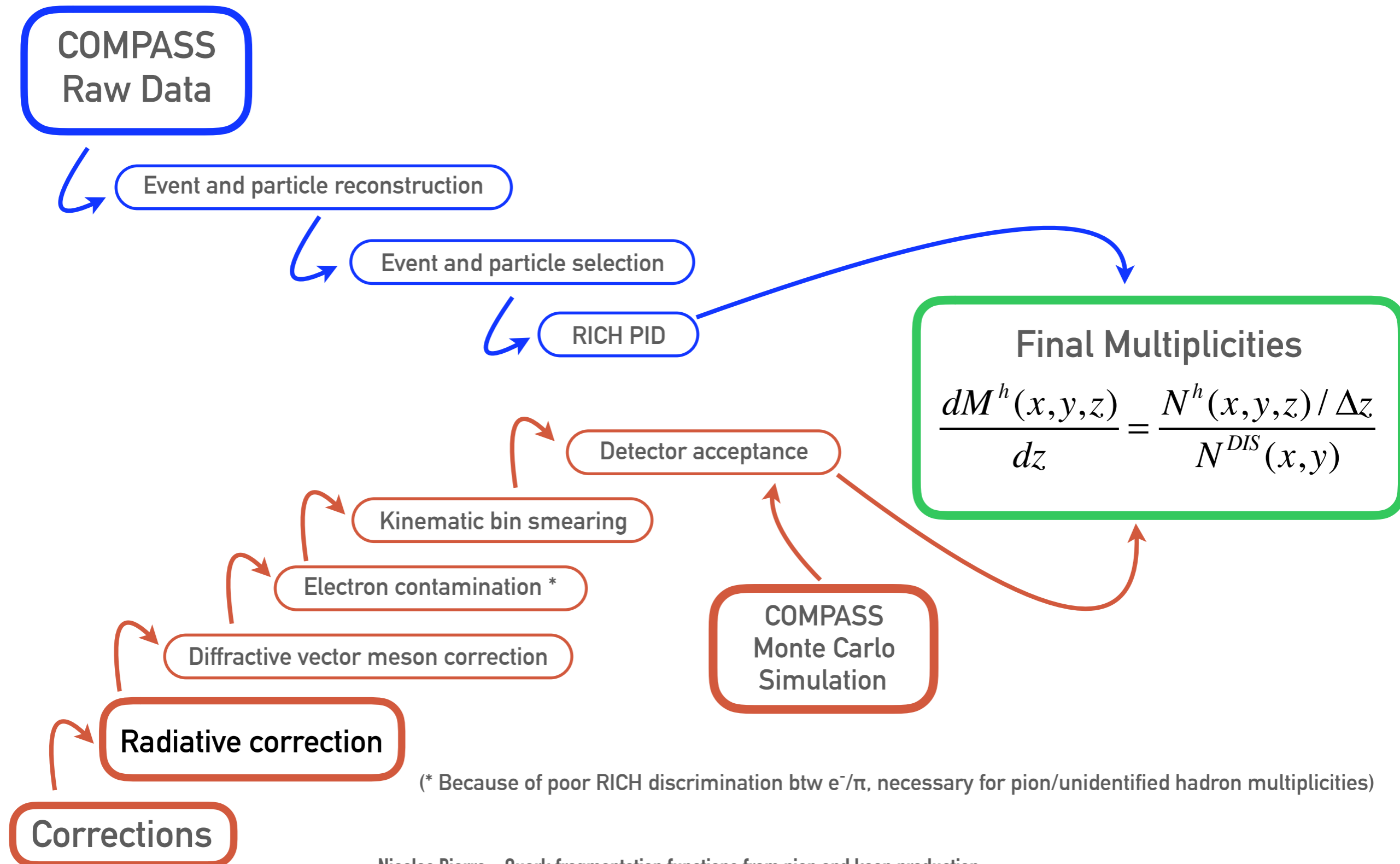
# COMPASS KINEMATICS

The **COMPASS** kinematic range :



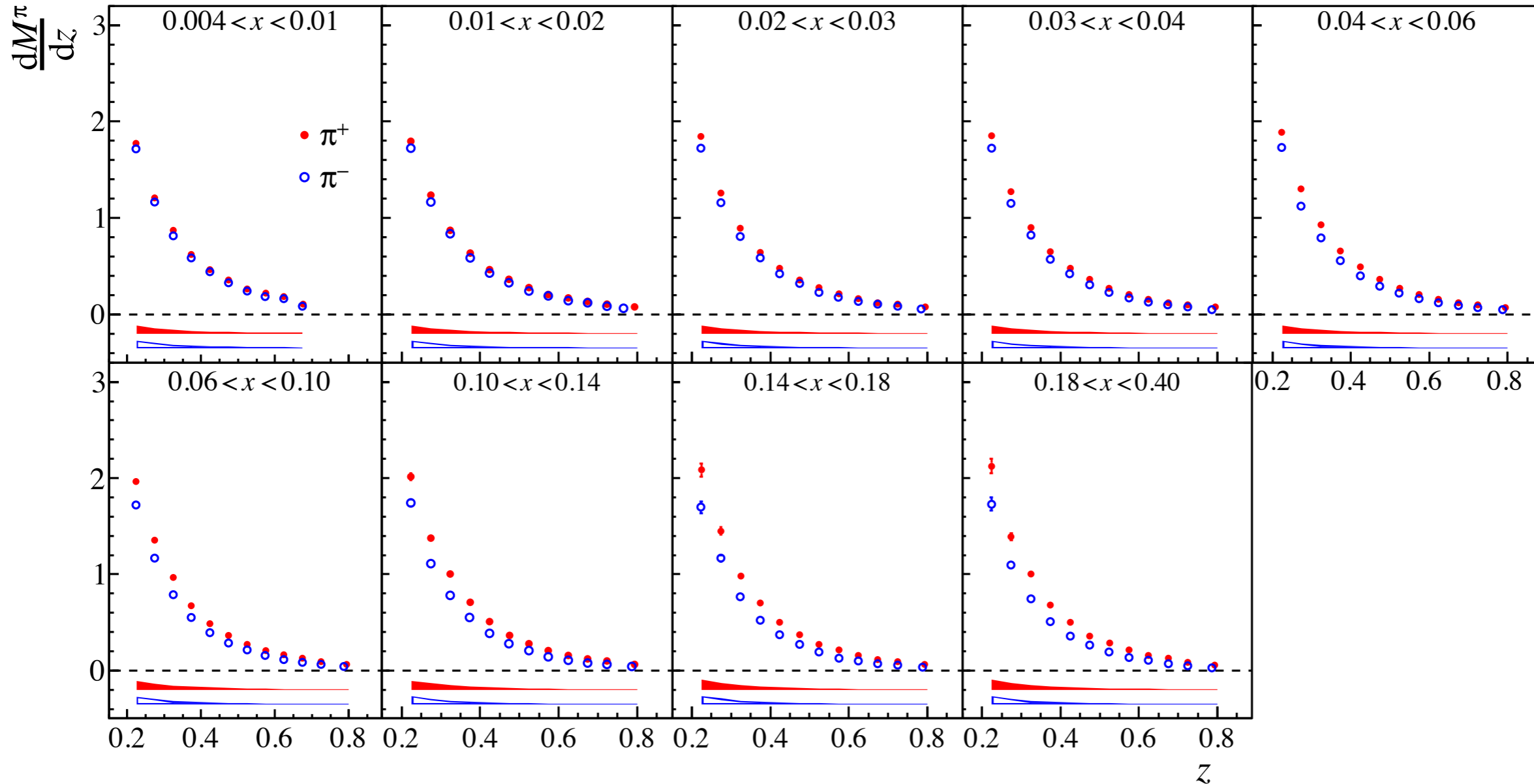


# MULTIPLICITY ANALYSIS



# PION MULTIPLICITY RESULTS

arXiv:1604.02695v2  
 CERN-EP-2016-095  
 (to be published in PLB)



## Systematic studies :

Acceptance : 5%

RICH PID/Efficiency for  $\pi^\pm$  : 0.1% (low  $y$ ) - 2% (high  $y$ )

Diffractive VM correction : 12% max (low  $x$ , high  $z$ )

Electron correction : 50% conservative sys. error

**x, y, z 3D-binning**  
**317 kinematic bins**  
**Strong z-dependance**

# EXTRACTION OF QUARK FF INTO PIONS

All in all, 12 FFs to extract. But using **symmetries** and **assumptions**, reduction to 2 **independent** FFs :

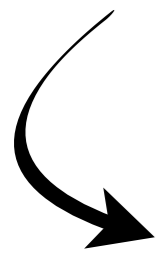
**Charge and Isospin symmetries**

$$D_{fav}^{\pi} = D_u^{\pi^+} = D_{\bar{d}}^{\pi^+} = D_d^{\pi^-} = D_{\bar{u}}^{\pi^-}$$

$$D_{unf}^{\pi} = D_d^{\pi^+} = D_{\bar{u}}^{\pi^+} = D_u^{\pi^-} = D_{\bar{d}}^{\pi^-}$$

**Strangeness = unfavoured assumption**

$$D_{unf}^{\pi} = D_s^{\pi^{\pm}} = D_{\bar{s}}^{\pi^{\pm}}$$



$$M^{\pi^+}(x, Q^2, z) = \frac{(4(u+d) + \bar{u} + \bar{d}) D_{fav}^{\pi} + (u+d + 4(\bar{u} + \bar{d}) + 2(s + \bar{s})) D_{unf}^{\pi}}{5(u+d + \bar{u} + \bar{d}) + 2(s + \bar{s})}$$

$$M^{\pi^-}(x, Q^2, z) = \frac{(u+d + 4(\bar{u} + \bar{d})) D_{fav}^{\pi} + (4(u+d) + \bar{u} + \bar{d} + 2(s + \bar{s})) D_{unf}^{\pi}}{5(u+d + \bar{u} + \bar{d}) + 2(s + \bar{s})}$$

where  
 $u, d, \bar{u}, \bar{d}, s, \bar{s}$   
 are PDFs

## Two methods of LO extraction

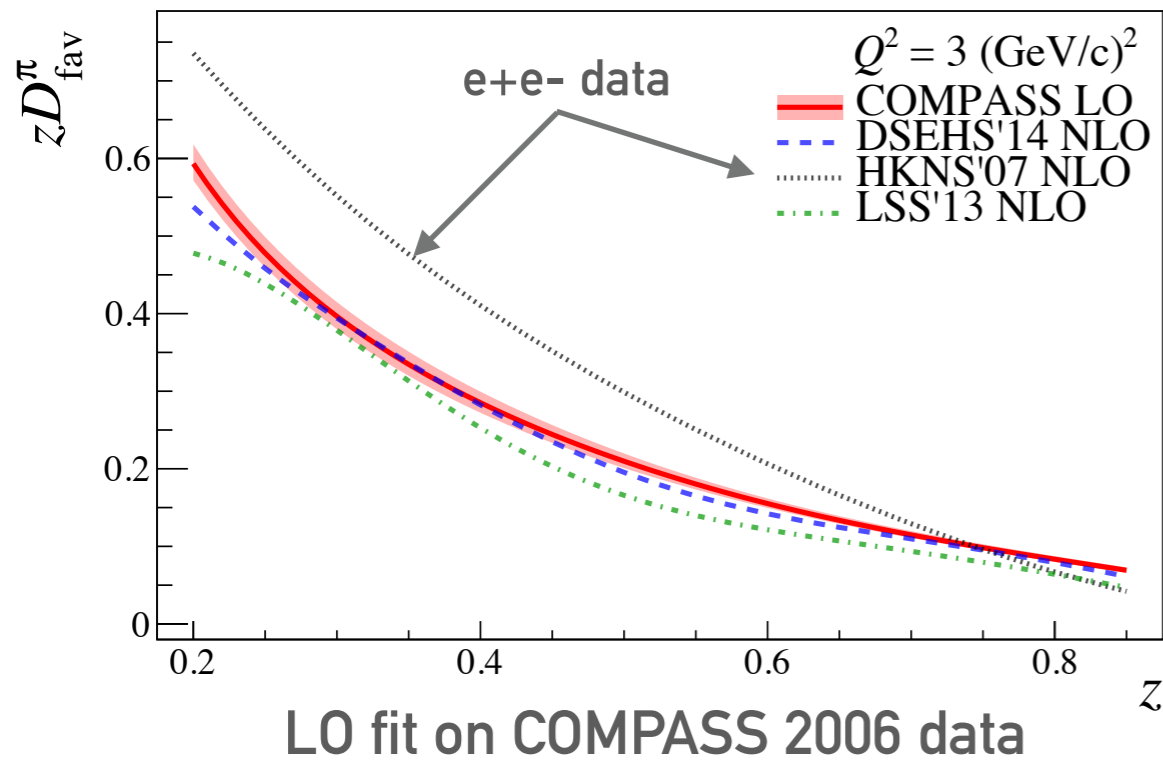
- ▶ Direct extraction with above formulas in each kinematic bin (not possible with kaons)
- ▶ Fits to data of multiplicities :

Choice of functional form :  $zD_i(z, Q_0^2) = N_i \frac{z^{\alpha_i} (1-z)^{\beta_i}}{0.85}$

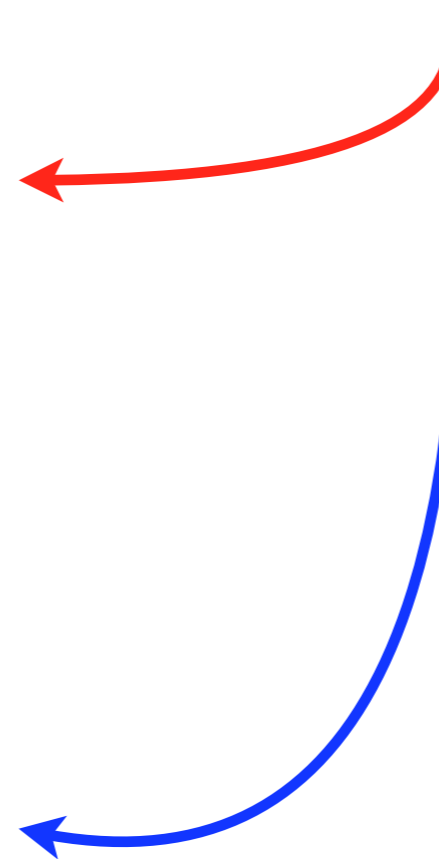
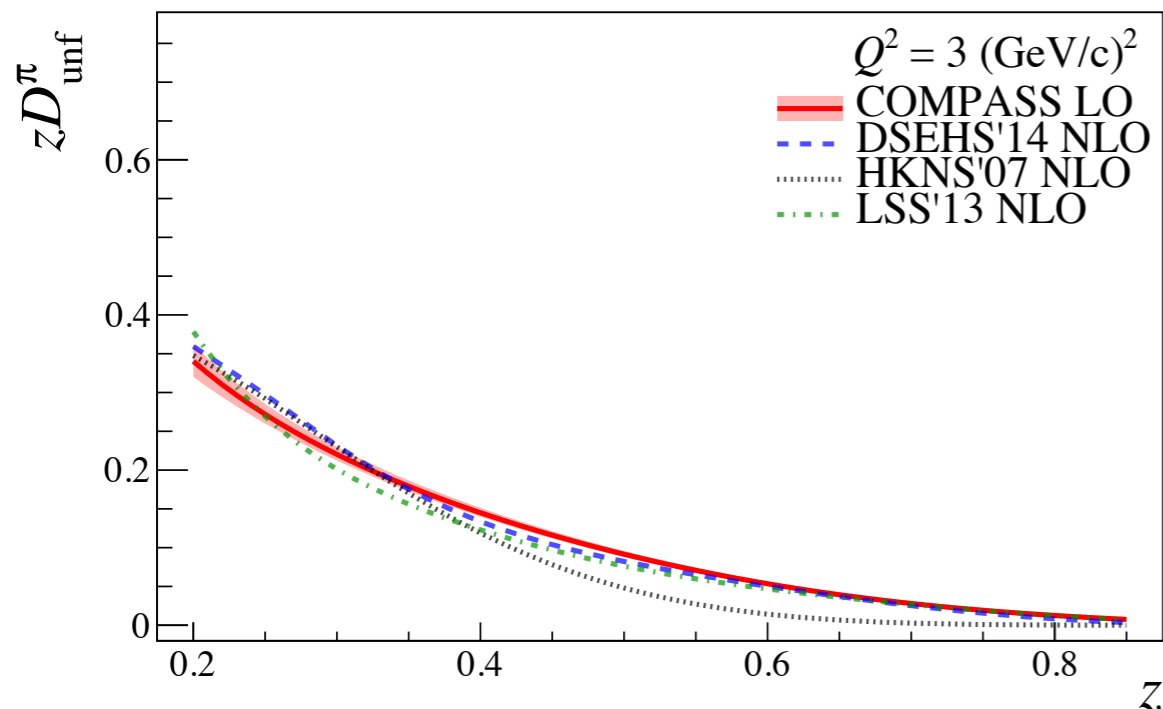
Evolution of  $Q^2 = 1 \text{ (GeV/c)}^2$  to given  $Q^2$  done with DGLAP equations.  $\int_{0.2} z^{\alpha_i} (1-z)^{\beta_i}$

# FF FROM COMPASS LO FIT OF $M^{\pi^\pm}$

arXiv:1604.02695v2  
CERN-EP-2016-095  
(to be published in PLB)

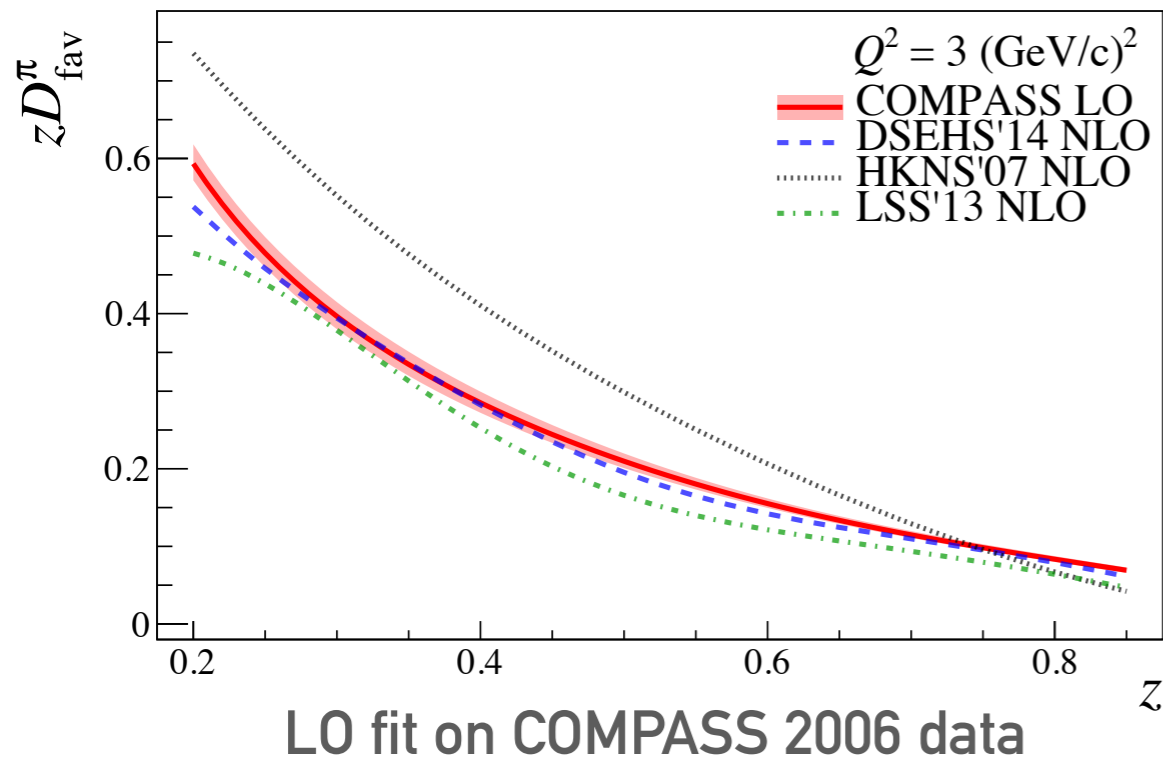


Results from COMPASS LO fit for  $D_{fav}^\pi$  and  $D_{unf}^\pi$  agree with other NLO fits using SIDIS data.



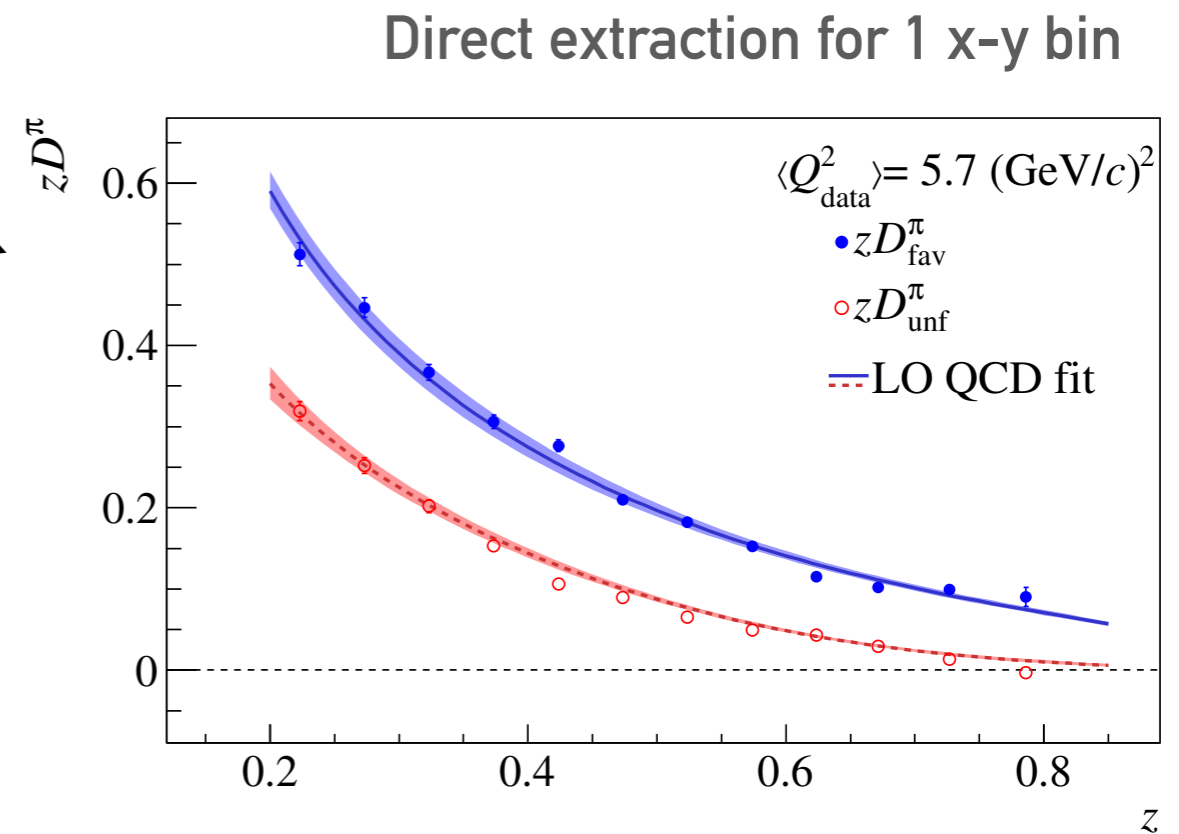
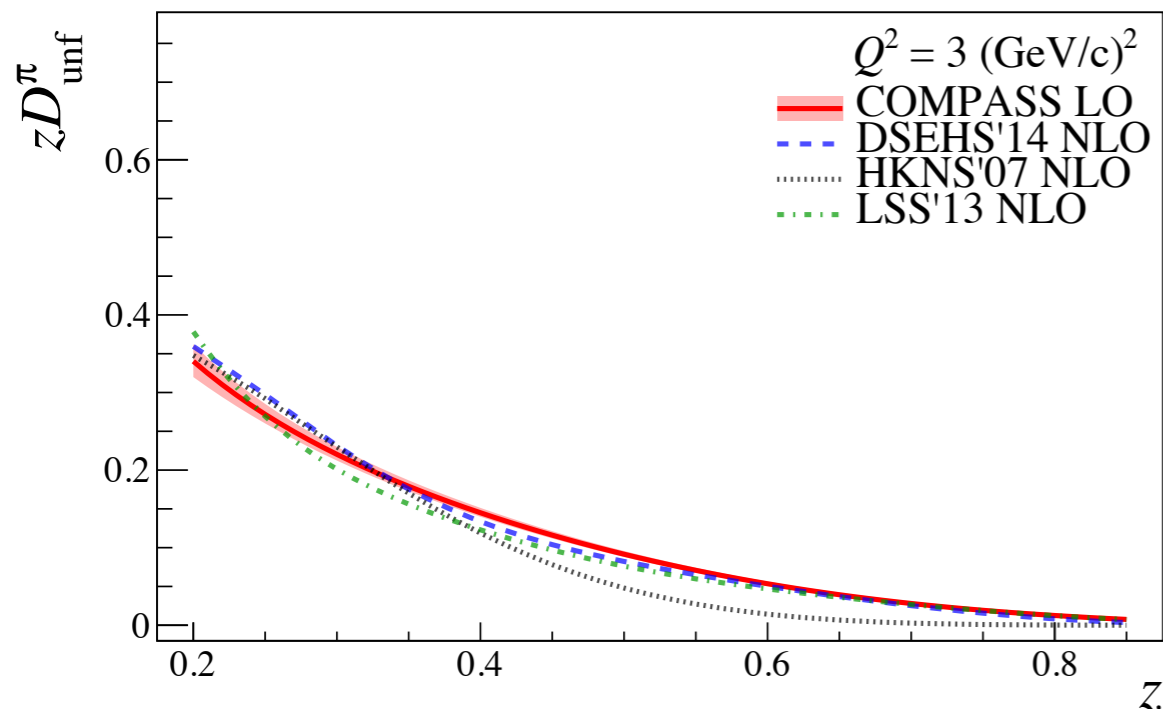
# FF FROM COMPASS LO FIT OF $M^{\pi^\pm}$

arXiv:1604.02695v2  
 CERN-EP-2016-095  
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Results from COMPASS LO fit for  $D^{\pi}_{\text{fav}}$  and  $D^{\pi}_{\text{unf}}$  agree with other NLO fits using SIDIS data.

Results from direct extraction in individual kinematic (x,y,z) bins agree with extraction from global fit



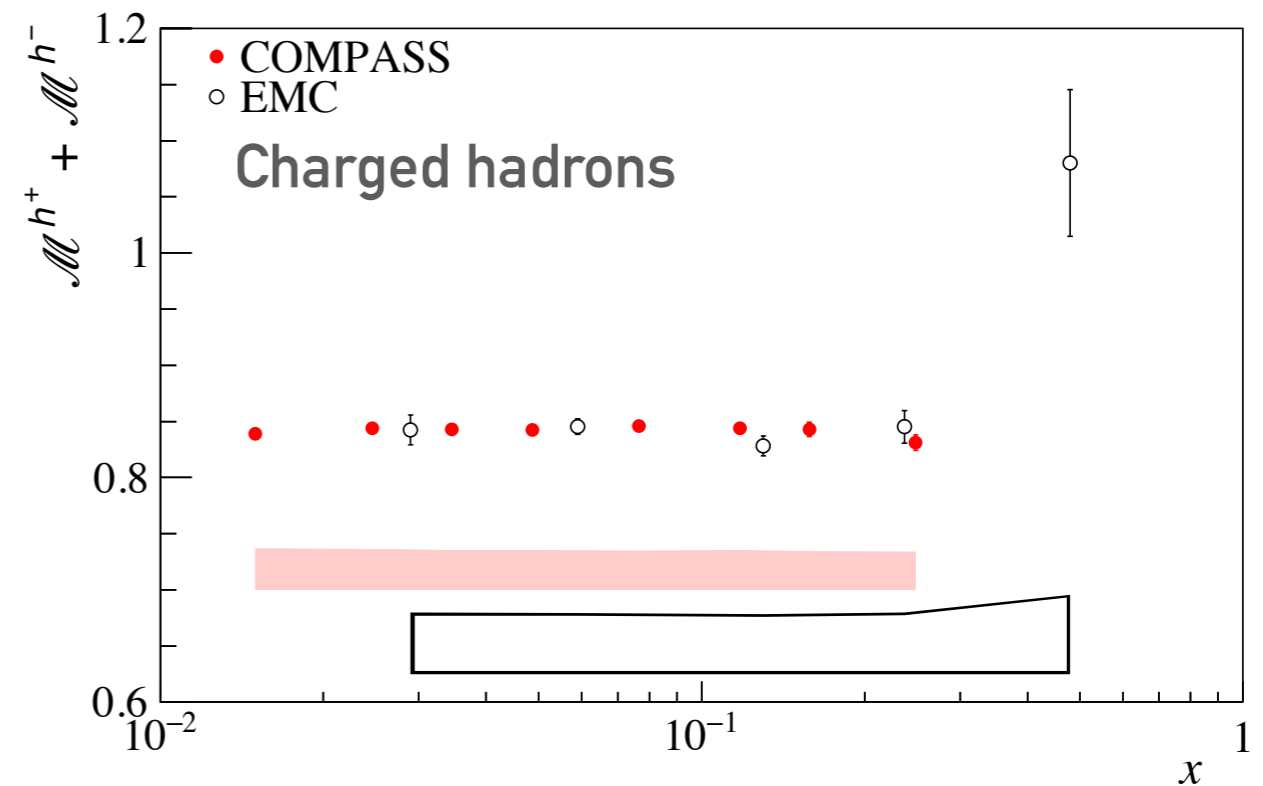
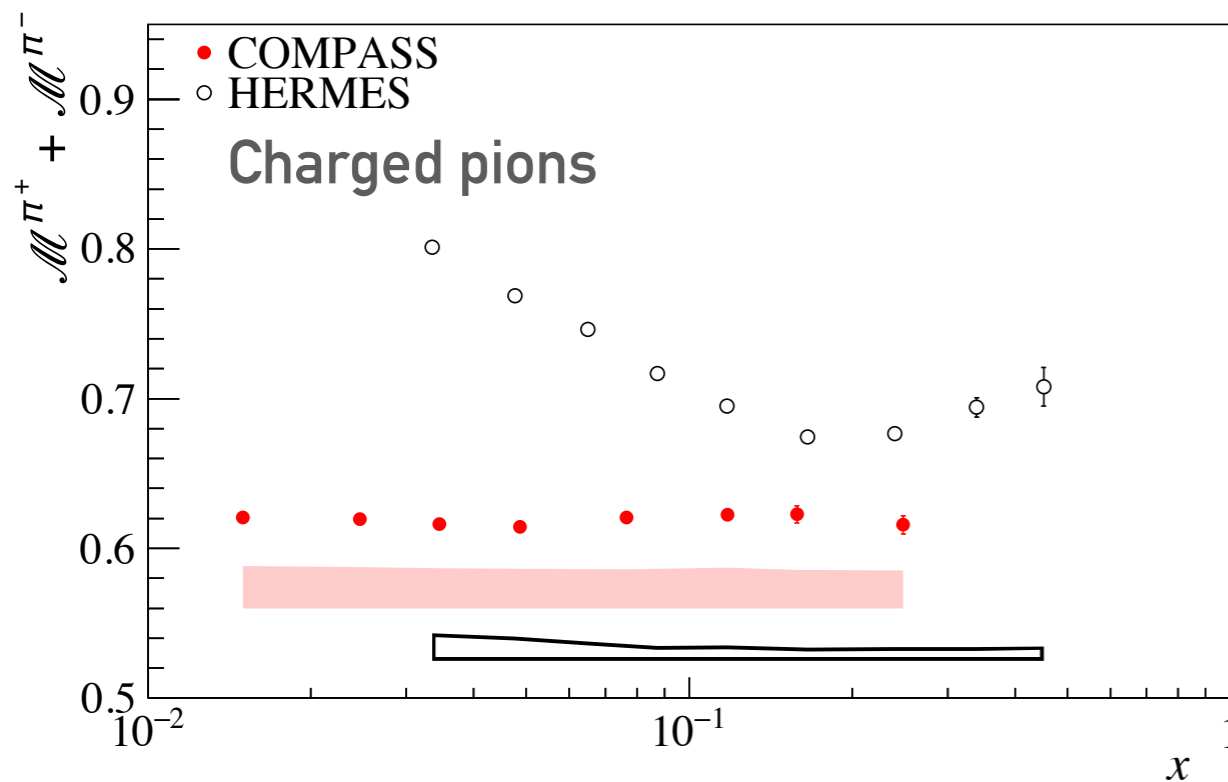
# MULTIPLICITY SUM

The multiplicity sum has a very simple expression at LO :

$$\mathcal{M}^{\pi^+} + \mathcal{M}^{\pi^-} = D_{fav}^{\pi} + D_{unf}^{\pi} - \frac{2(s(x) + \bar{s}(x))(D_{fav}^{\pi} - D_{unf}^{\pi})}{5(u(x) + d(x) + \bar{u}(x) + \bar{d}(x)) + 2(s(x) + \bar{s}(x))}$$

small x-dependent terms

Data are here averaged over  $y$  and integrated over  $z$



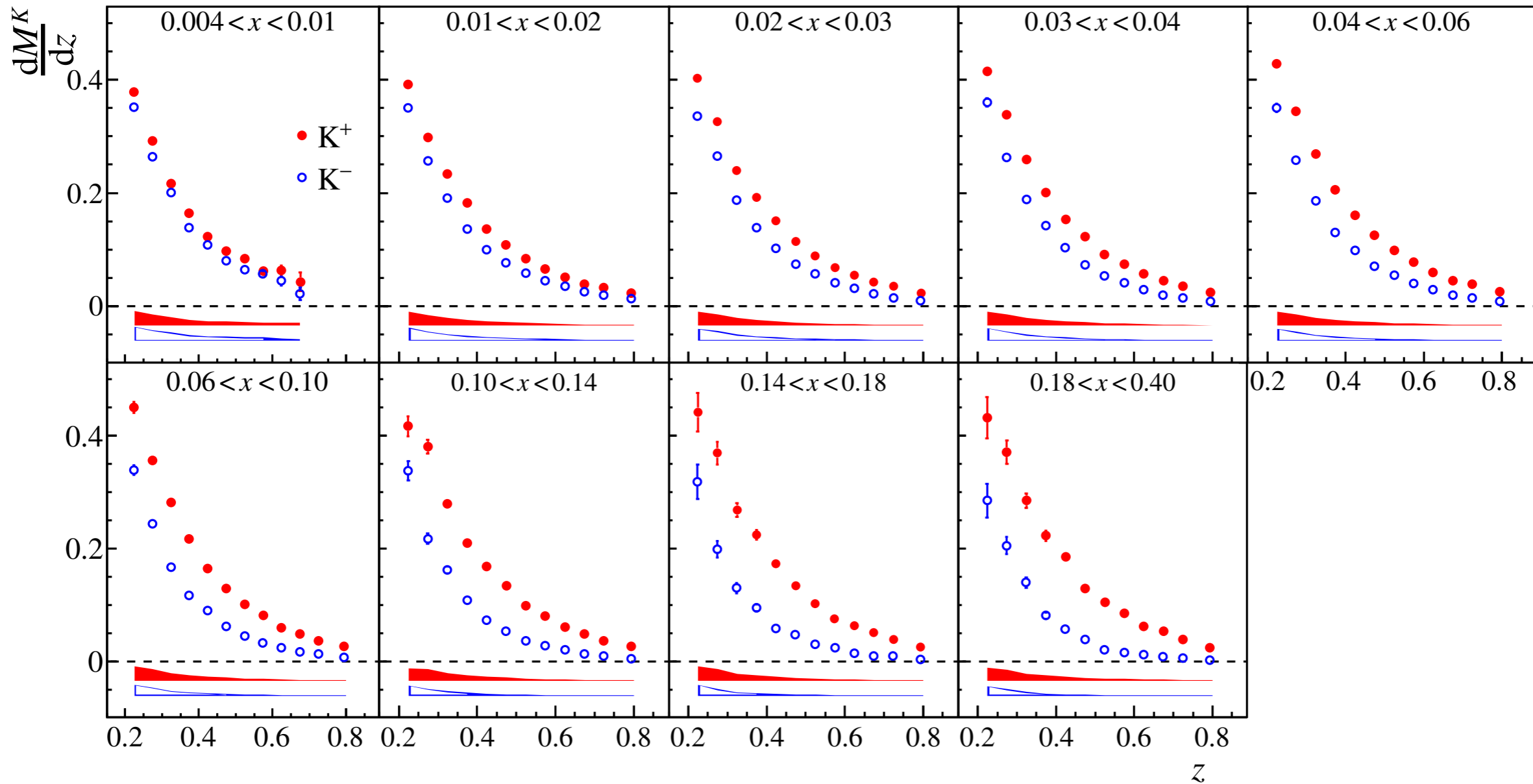
Pion : Flat shape as expected from LO predictions.

Disagreement with HERMES (however taken at lower energy).

Hadron : Results on charged hadrons in good agreement with EMC results (similar kinematic range).

# KAON MULTIPLICITY RESULTS

arXiv:1608.06760v1  
CERN-EP-2016-206  
(submitted to PLB)



## Systematic studies :

Acceptance : 5%

RICH PID/Efficiency for  $K^\pm$  : 0.2% (low  $y$ ) - 15% (high  $y$ , high  $z$ )

Diffractive VM correction : < 6% max (low  $x$ , mid  $z$ )

**x, y, z 3D-binning**  
**317 kinematic bins**  
**Strong z-dependance**

# EXTRACTION OF QUARK FF INTO KAONS

All in all, 12 FFs to extract. But using similar **symmetries** and **assumptions**, reduction to 3 **independent** FFs :

For an isoscalar target, in LO :

$$M^{K^+}(x, z, Q^2) = \frac{2\bar{s}D_{str} + 4(u+d)D_{fav} + (u+d+5(\bar{u}+\bar{d})+2s)D_{unf}}{5(u+d+\bar{u}+\bar{d})+2(s+\bar{s})}$$

$$M^{K^-}(x, z, Q^2) = \frac{2sD_{str} + 4(\bar{u}+\bar{d})D_{fav} + (5(u+d)+\bar{u}+\bar{d}+2\bar{s})D_{unf}}{5(u+d+\bar{u}+\bar{d})+2(s+\bar{s})}$$

Only possibility to extract the quark FFs into kaons is to **fit** the multiplicities.

These precise data will constitute an important input for global NLO QCD fits and to extract the quark fragmentation functions, in particular  $D_{str}^K$ .



# KAON MULTIPLICITY SUM

For an isoscalar target, at LO :

$$\frac{dN^{K^+K^-}}{dN^{\text{DIS}}} = \frac{(u+d+\bar{u}+\bar{d})(4D_{fav}^K+6D_{unf}^K) + (s+\bar{s})(D_{str}^K+D_{unf}^K)}{5(u+d+\bar{u}+\bar{d})+2(s+\bar{s})} = \frac{QD_Q^K + SD_S^K}{5Q+2S}$$

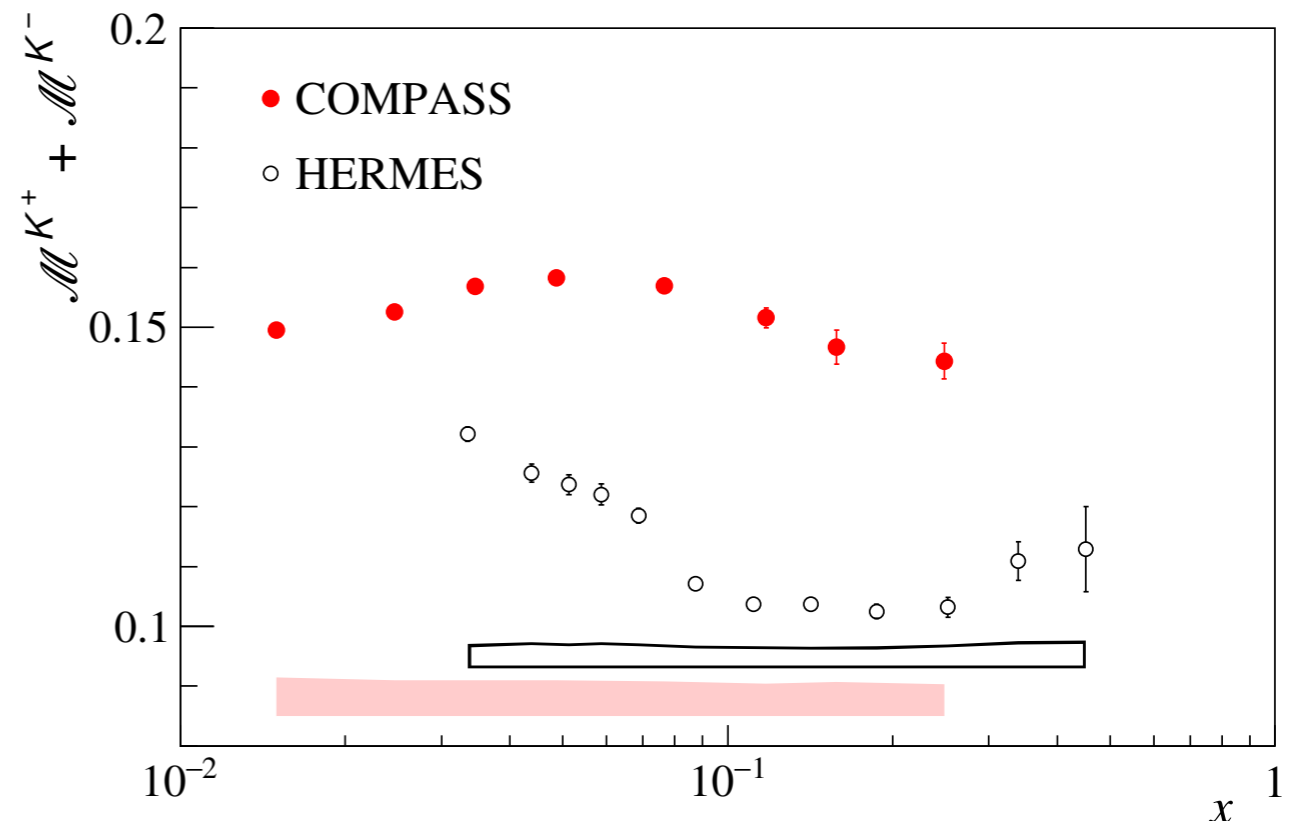
► At high x,  $S \sim 0$  then

$$\frac{dN^{K^+K^-}}{dN^{\text{DIS}}} \approx \frac{(4D_{fav}^K+6D_{unf}^K)}{5} = \frac{D_Q^K}{5}$$

From COMPASS fit :  $D_Q^K \sim 0.7$

From DSS fit :  $D_Q^K \sim 0.43 \pm 0.04$

Points to larger non-strange FFs than DSS



► At low x,  $D_{str}^K > D_{fav}^K$  then

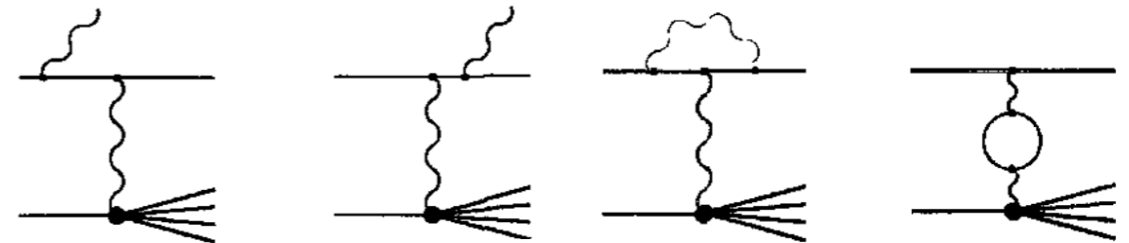
$D_Q^K$  has weak  $Q^2$  dependance in our range, one would expect a rise in the kaon multiplicity sum at low x, which is not the case.

Points to smaller  $R_{SF}$  than DSS

$$2\Delta S = f(R_{SF}),$$

$$R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$$

# RADIATIVE CORRECTIONS



## Radiative corrections (RC)

= Emission of a real photon, vertex correction and vacuum polarization.

$$\eta(x, y) = \frac{d^2\sigma_{1\gamma} / dx dy}{d^2\sigma_{measured} / dx dy}$$

COMPASS uses RADGEN.

OK for inclusive DIS, but not for SIDIS. Does not include the z dependence.

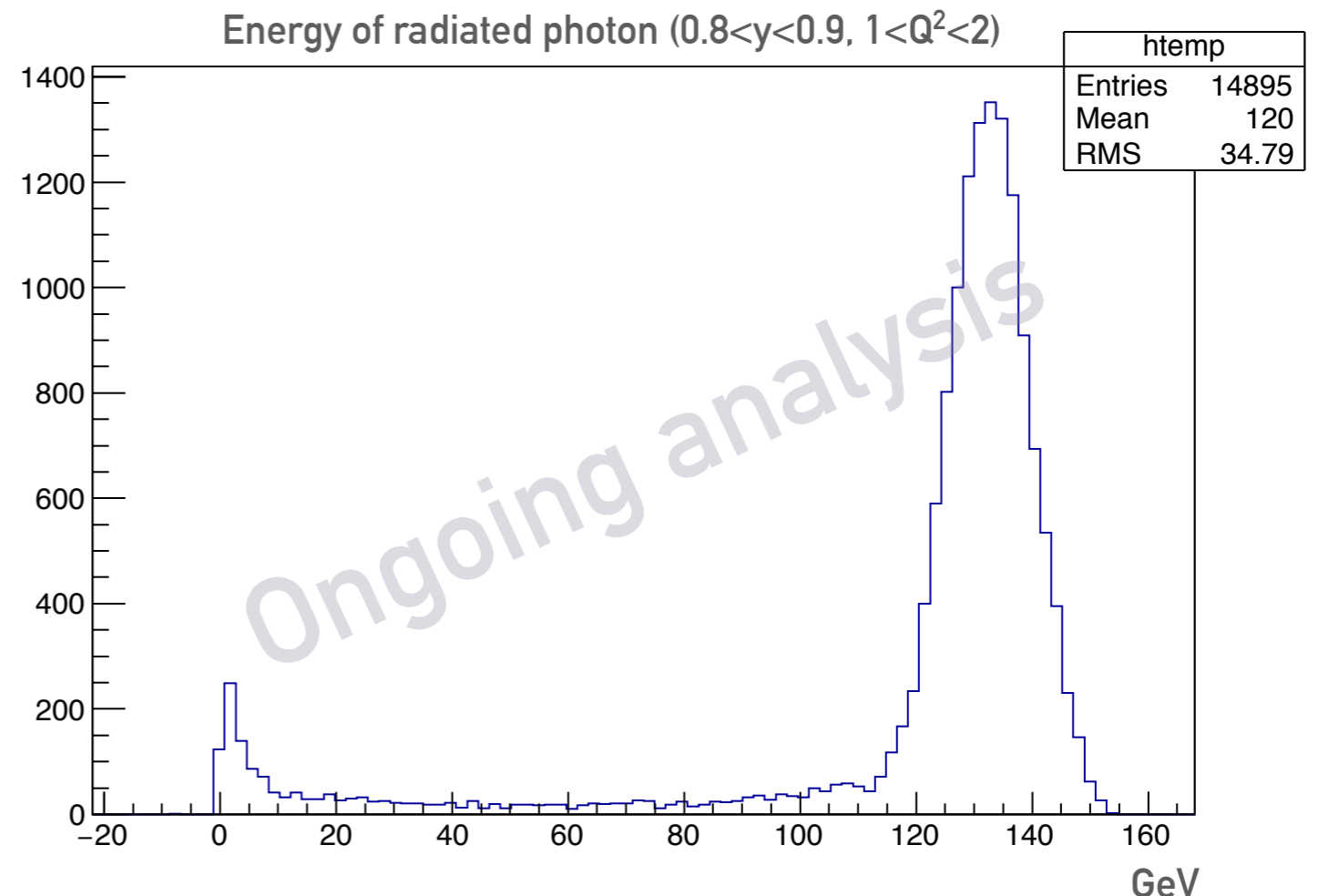
Total RC applied to the multiplicity goes from 5% at low x - high y to <1% at high x - low y.

# IMPROVING THE RESULTS

RADGEN : produces more hard photons (high energy photons) than soft photon (low energy photons).

Naïve thinking : in theory, more soft than hard photons.

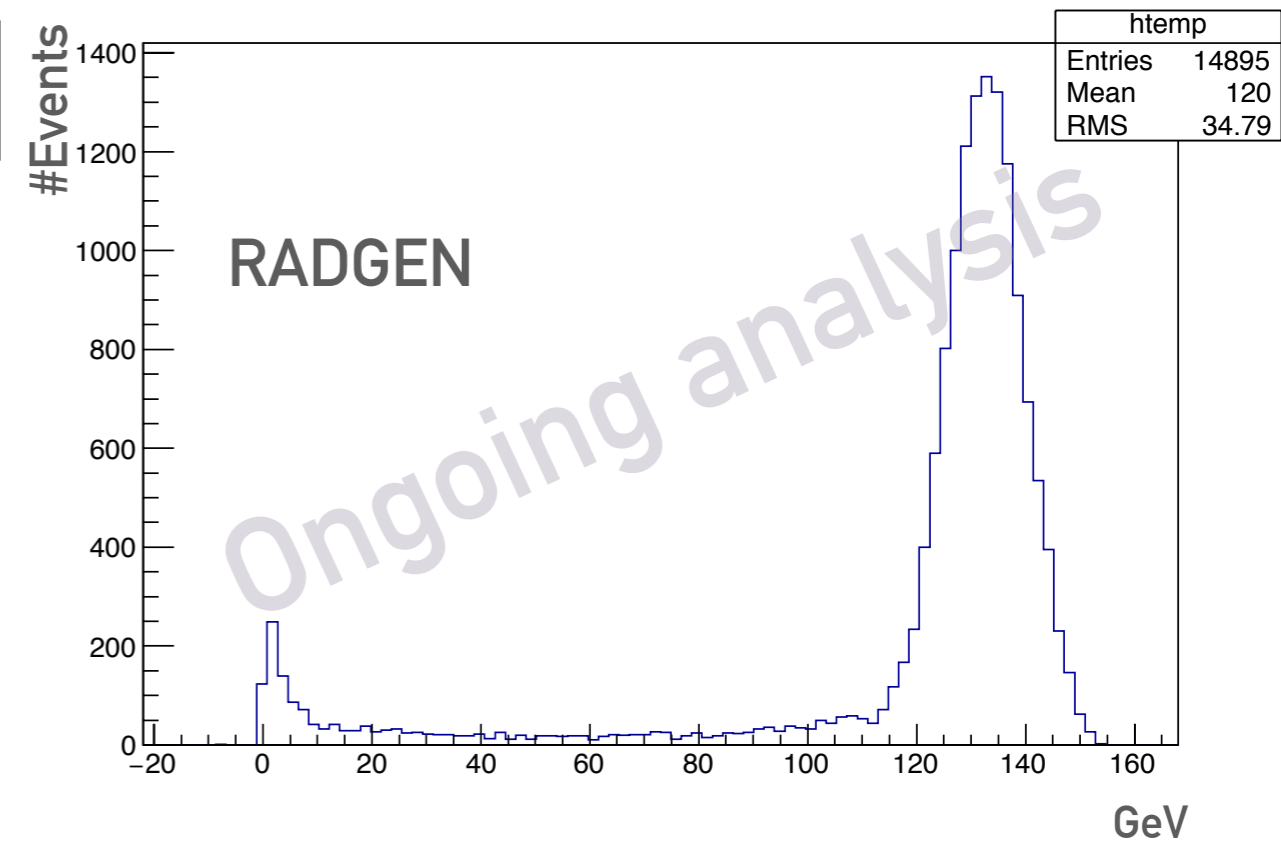
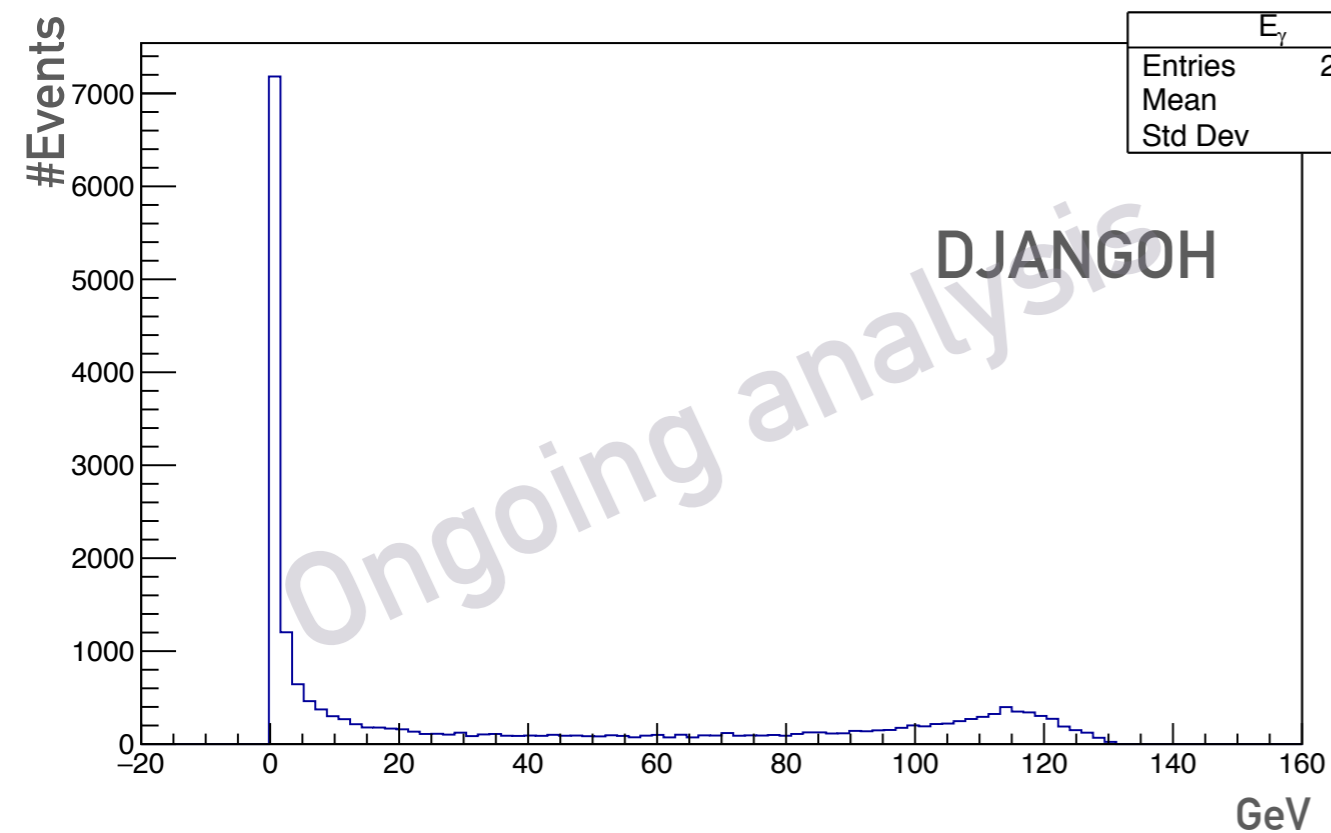
But : MC simulation + RADGEN do not describe well data : **too much hard photon.**



Can we use another MC generator for radiative events and see if it reproduces the results of RADGEN ?

# DJANGO / RADGEN COMPARISON

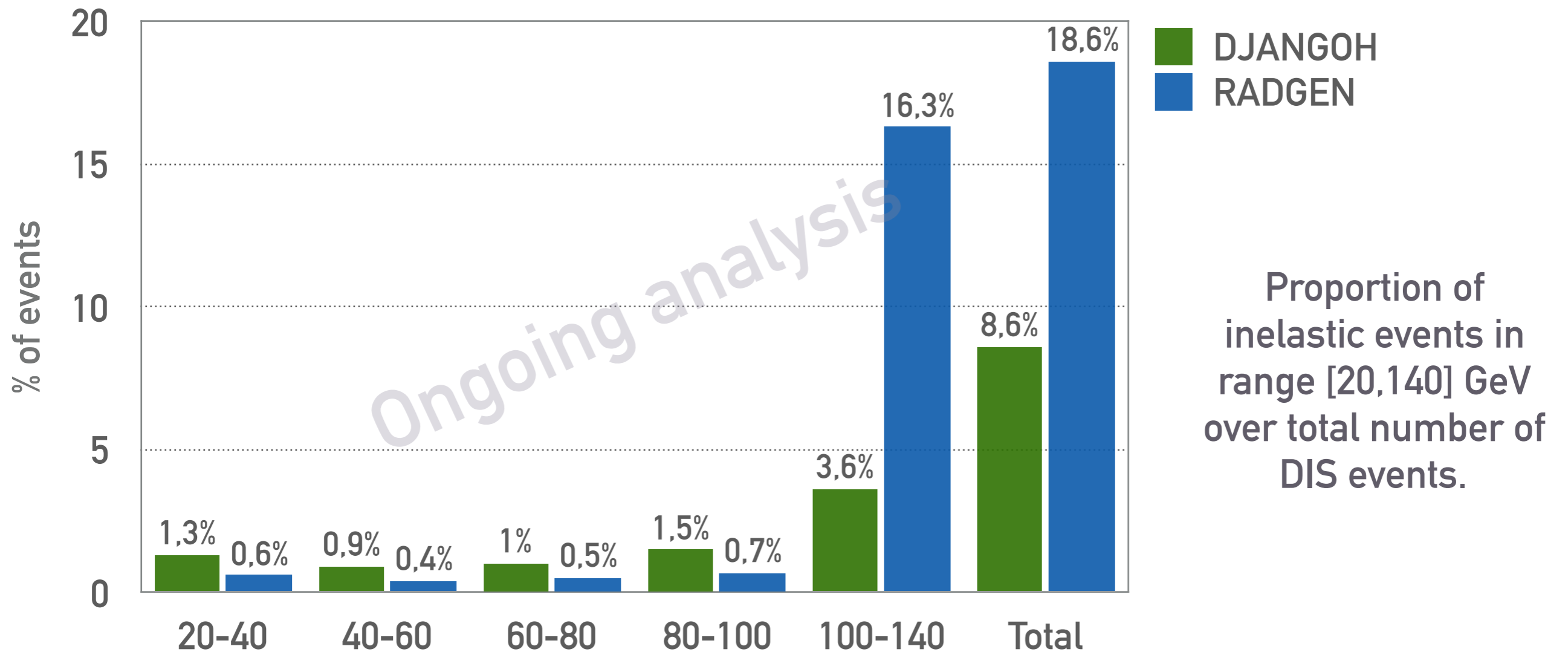
Energy of radiated photon ( $0.8 < y < 0.9$ ,  $1 < Q^2 < 2$ )



First observation :

- ▶ DJANGO produces **more soft photons than hard photons**

# DJANGO / RADGEN COMPARISON



**DJANGO produces less hard photons than RADGEN**

# SUMMARY AND PROSPECTS

## Summary

- ▶ High precision data on charged pion, kaon and hadron multiplicities measured from COMPASS data taken with an isoscalar  ${}^6\text{LiD}$  target and a 160 GeV  $\mu^+$  beam.
- ▶ Good agreement with EMC results and a discrepancy with respect to HERMES results.
- ▶ Pion :  $D^{\pi}_{\text{fav}}$  and  $D^{\pi}_{\text{unf}}$  extracted from LO fits to COMPASS multiplicities in good agreement with NLO fits of world data.
- ▶ Kaon : present results point to potentially larger  $D^{\text{K}}_{\text{fav}}$  than previously thought.
- ▶ Very large set of precise data will constrain FF into kaons.
- ▶ The result is much awaited for the nucleon spin puzzle.

## Prospects

- ▶ RC results from DJANGO may have an impact on kaon multiplicities.
- ▶ Ongoing work on 2016 COMPASS data taken with a  $\text{LH}_2$  target and a 160 GeV  $\mu^+/\mu^-$  beam.

**BACKUP**

# THE DJANGO GENERATOR

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- ▶ Event generator for neutral/charged current ep interactions at HERA by H. Spiesberger.
- ▶ Simulates DIS using LEPTO including both QED and QCD radiative effect.
- ▶ Includes single photon emission from lepton/quark line, self energy corrections and complete set of one-loop weak corrections.
- ▶ Includes also the background from  $ep \rightarrow ep\gamma$
- ▶ Capable of obtaining hadronic final state via the use of JETSET.
- ▶ Modified to work for  $\mu p$  interactions.



# ACCEPTANCE AND SMEARING

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Correction for the limited geometrical acceptance, reconstruction and detectors inefficiencies as well as resolutions and electron contamination in the reconstructed sample.

$$A(x, y, z) = \frac{M_{rec}}{M_{gen}} = \frac{N_{rec}^h(x', y', z') / N_{rec}^{DIS}(x', y')}{N_{gen}^h(x'', y'', z'') / N_{gen}^{DIS}(x'', y'')} \sim 70\%$$

reconstructed multiplicities

kinematic bin determine using reconstructed values

generated multiplicities

kinematic bin determine using generated values

MC technical features :

- Events are generated with the LEPTO generator
- JETSET package for parton harmonization with compass high  $p_T$  tuning
- FLUKA used to simulate secondary interactions in the target
- Spectrometer simulated using GEANT3

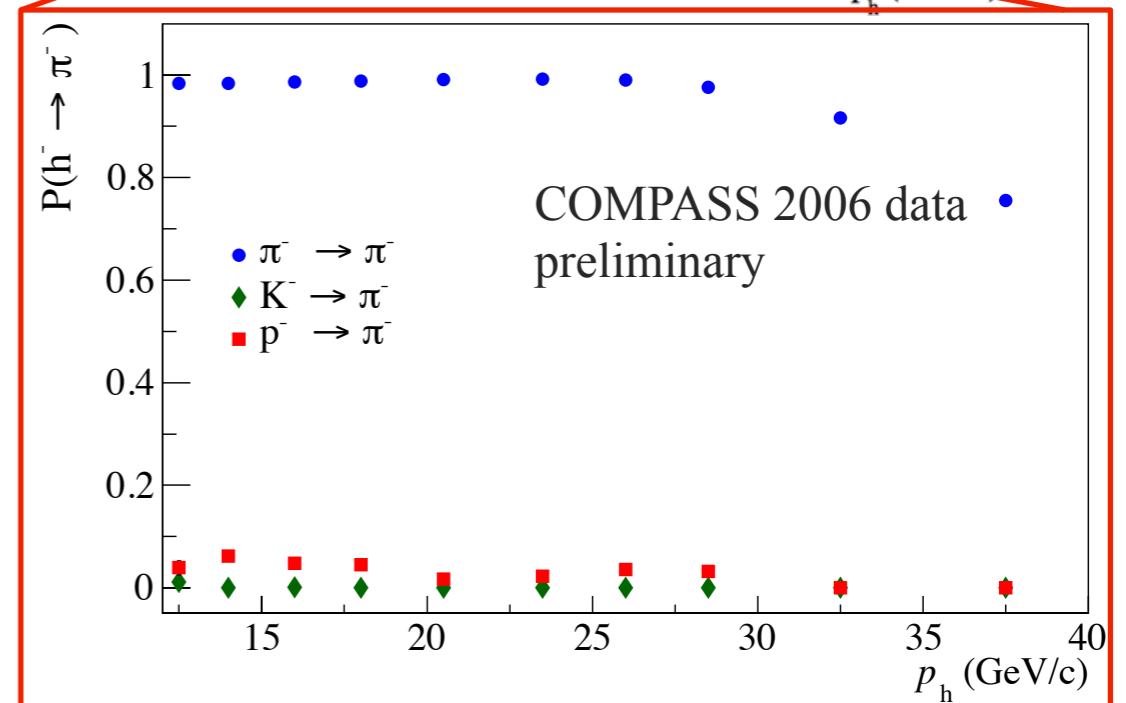
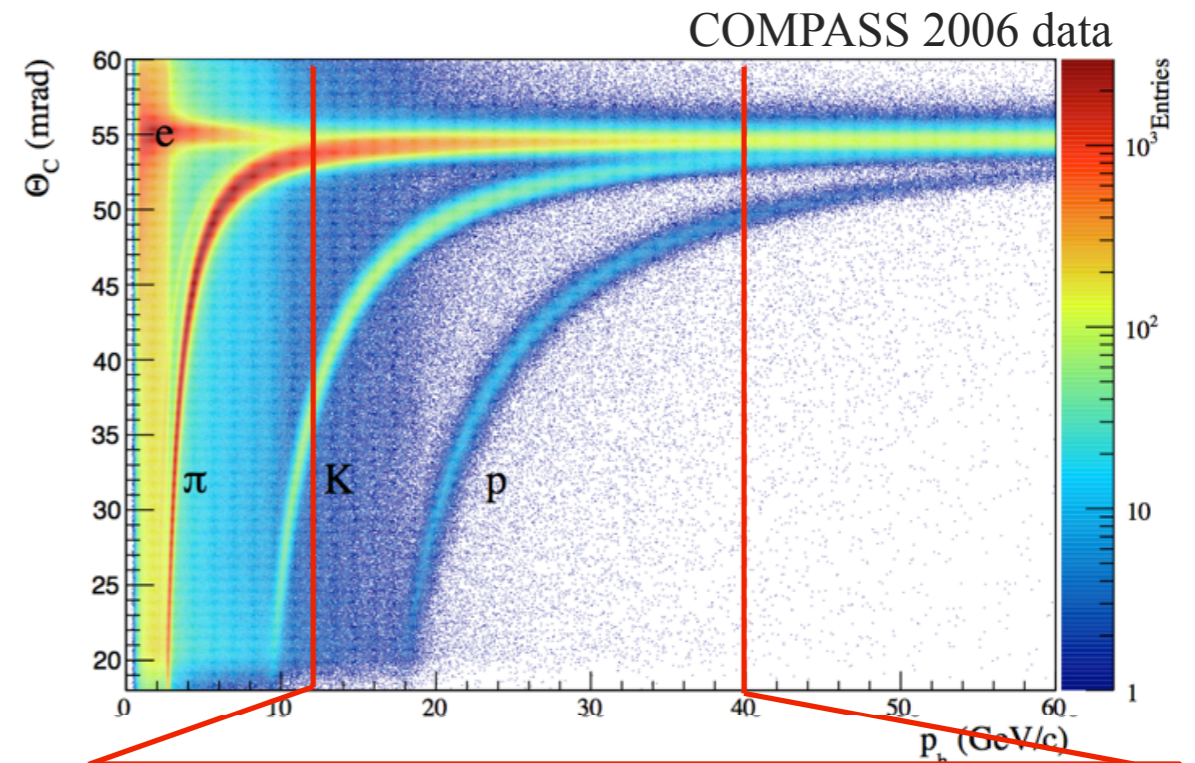
# RICH PID AND UNFOLDING

- Particle identification uses likelihoods based on the number and distribution of detected photons in RICH associated to a charged particle
- Purity of the pion and kaon sample depends on the probability of correct identification and misidentification, determined through analysis of known decay channel in data
- The pion and kaon yields are corrected using the probabilities by unfolding :

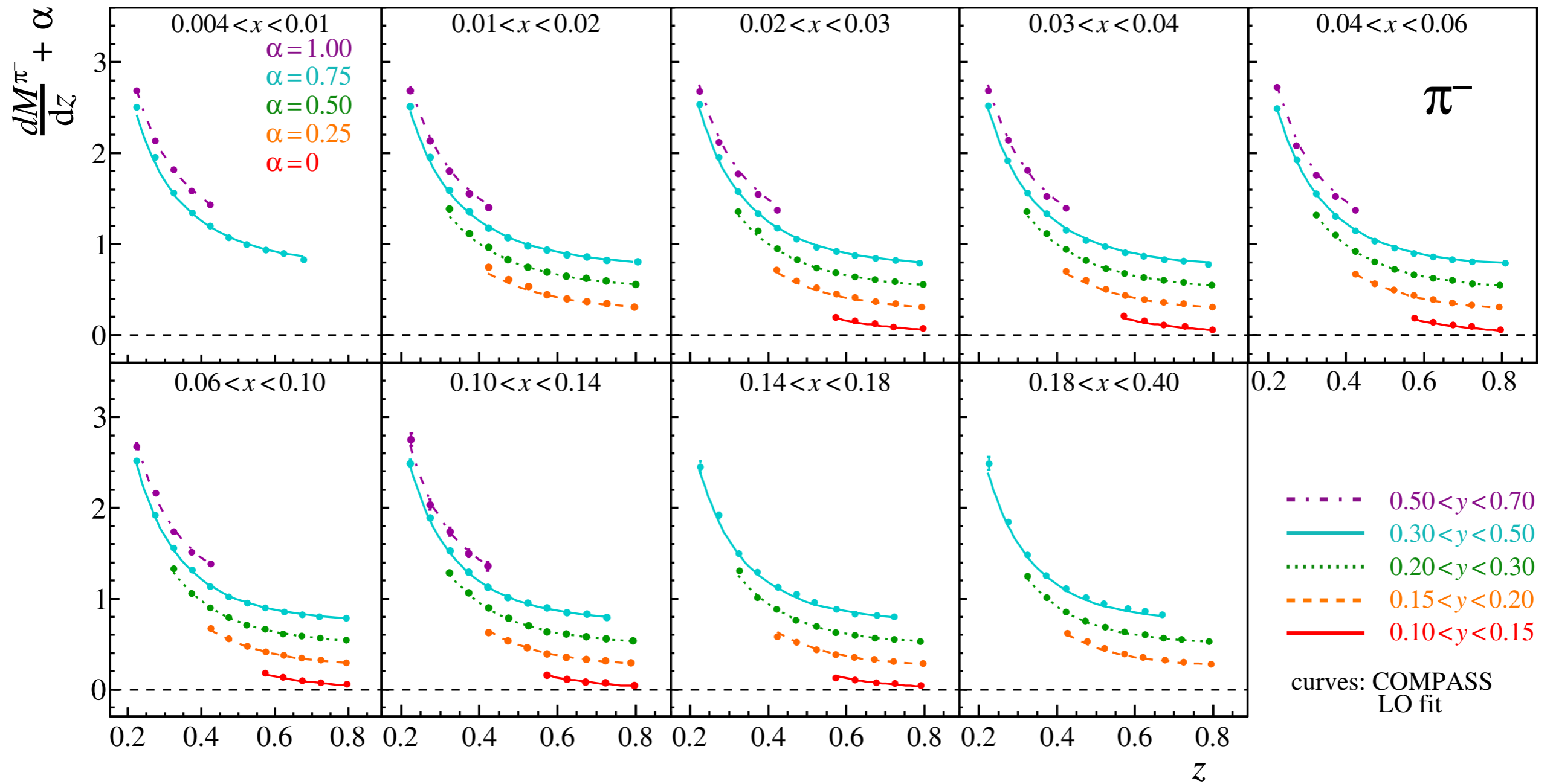
RICH probabilities

$$\begin{pmatrix} I_{\pi} \\ I_K \\ I_p \end{pmatrix} = \begin{pmatrix} P(\pi^{\pm} \Rightarrow \pi^{\pm}) & P(\pi^{\pm} \Rightarrow K^{\pm}) & P(\pi^{\pm} \Rightarrow p^{\pm}) \\ P(K^{\pm} \Rightarrow \pi^{\pm}) & P(K^{\pm} \Rightarrow K^{\pm}) & P(K^{\pm} \Rightarrow p^{\pm}) \\ P(p^{\pm} \Rightarrow \pi^{\pm}) & P(p^{\pm} \Rightarrow K^{\pm}) & P(p^{\pm} \Rightarrow p^{\pm}) \end{pmatrix} \begin{pmatrix} T_{\pi} \\ T_K \\ T_p \end{pmatrix}$$

Identified
“True”



# MULTIPLICITY FITS



# MULTIPLICITY FITS

