

Steriles at Long- and Short- Baseline

(with accelerators)

- The **NEUTRINO** scenario and the “sterile” issue at 1 eV mass scale
- LBL, results for $\nu_\mu \rightarrow \nu_\tau, \nu_e$ appearances and $\nu_\mu \rightarrow \nu_\mu$ disappearance (OPERA, MINOS)
- SBL, is there any chance with accelerators ?
(T2K, SBN and missing NESSiE)
- Perspectives and Conclusions

High Sensitivity Experiments Beyond the Standard Model

Quy Nhon, Vietnam, July 31 – August 7, 2016

The present neutrino scenario

From masses to flavours:

\mathbf{U} is the 3×3 Neutrino Mixing Matrix,

mixing given by 3 angles, θ_{23} , θ_{12} , θ_{13} and one phase δ (CPV for $\delta \neq 0, \pi$).

$$|\nu_e\rangle = U_{e1} |\nu_1\rangle + U_{e2} |\nu_2\rangle + U_{e3} |\nu_3\rangle$$

$$|\nu_\mu\rangle = U_{\mu 1} |\nu_1\rangle + U_{\mu 2} |\nu_2\rangle + U_{\mu 3} |\nu_3\rangle$$

$$|\nu_\tau\rangle = U_{\tau 1} |\nu_1\rangle + U_{\tau 2} |\nu_2\rangle + U_{\tau 3} |\nu_3\rangle$$

Oscillations have amplitudes driven by the mixing angles and frequencies by $\Delta m^2_{\text{solar}} = \Delta m^2_{21}$
 $\Delta m^2_{\text{atm}} = |\Delta m^2_{31}| \approx |\Delta m^2_{32}|$
(with L, E experimental parameters)

E.g. if only two flavours are taken, at leading order, neglecting interference terms, and with some approxs:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{\Delta m^2_{31} L}{4E} \right)$$

APPEARANCE

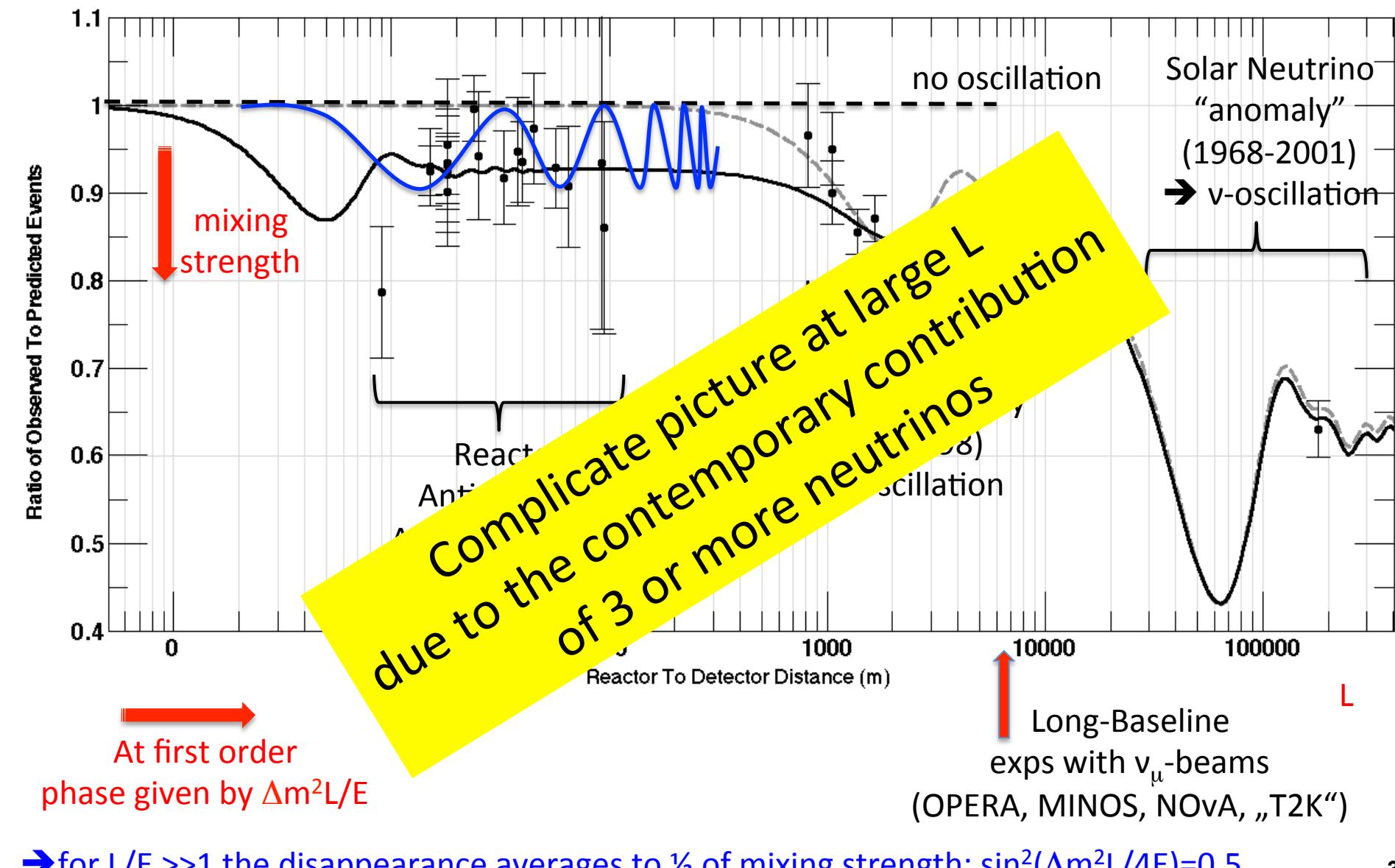
$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m^2_{31} L}{4E} \right)$$

DISAPPEARANCE

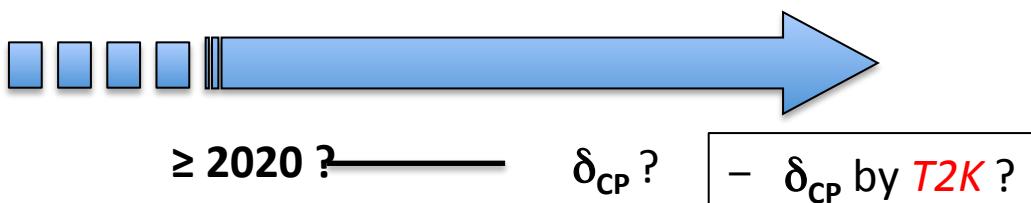
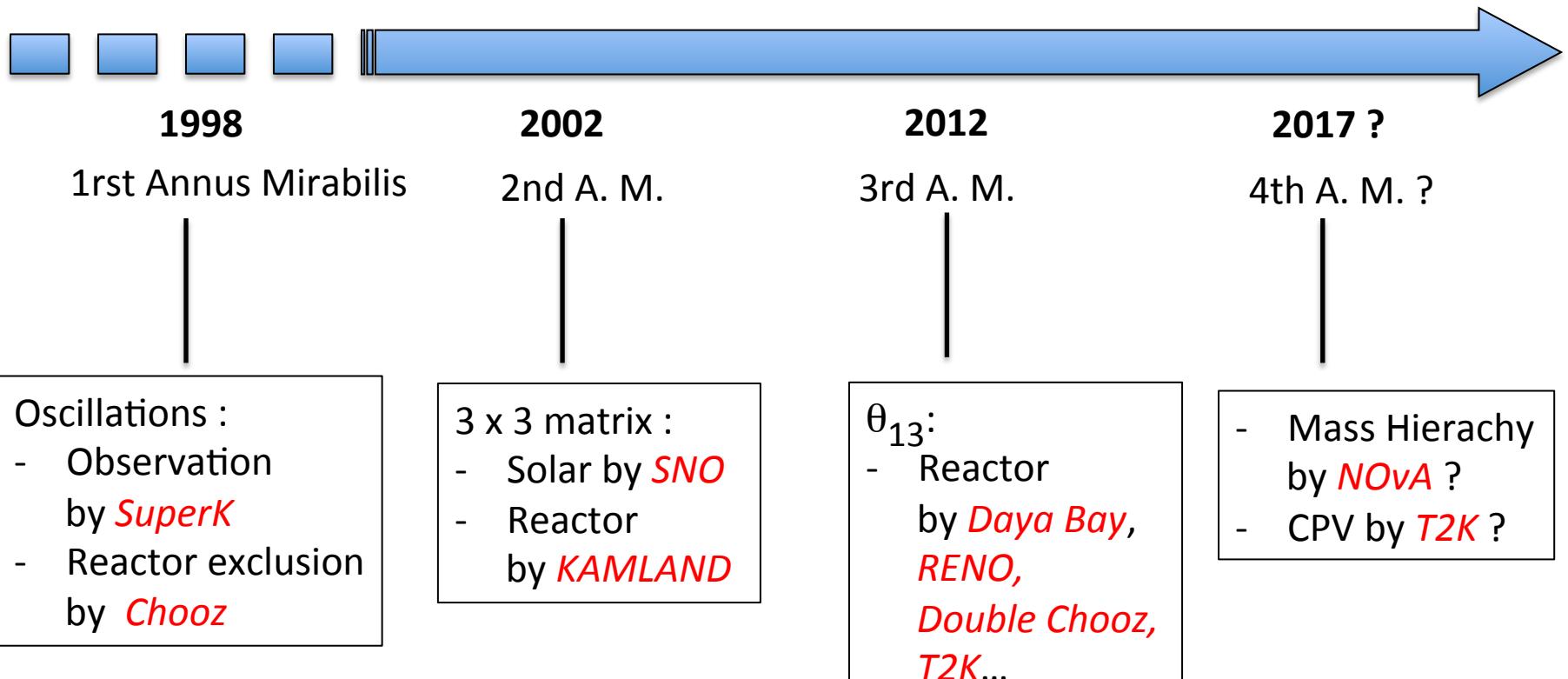
amplitude

frequency

The oscillation scenario in terms of distance L from the (reactor) source



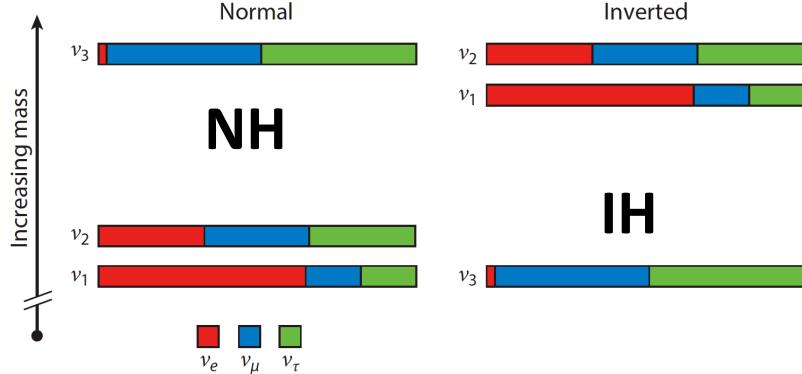
The recent Neutrino History



The wonderful frame pinpointed for the **3 standard neutrinos**, beautifully adjusted by the θ_{13} measurement, left out some relevant questions:

- Leptonic CP violation
- Mass of neutrinos
- Anomalies and discrepancies in some measurements
- Dark Matter

Mass Ordering



before really entering in the precision era, there are still 4 results to be obtained, at least at first order :

- 1) Leptonic CP violation
- 2) Mass ordering (MO)
- 3) (θ_{23} octet)
- 4) Presence or not of sterile neutrinos at eV scale



One or two states at higher mass ?

L. S., S. Dusini and M. Tenti, arXiv:1606.09454

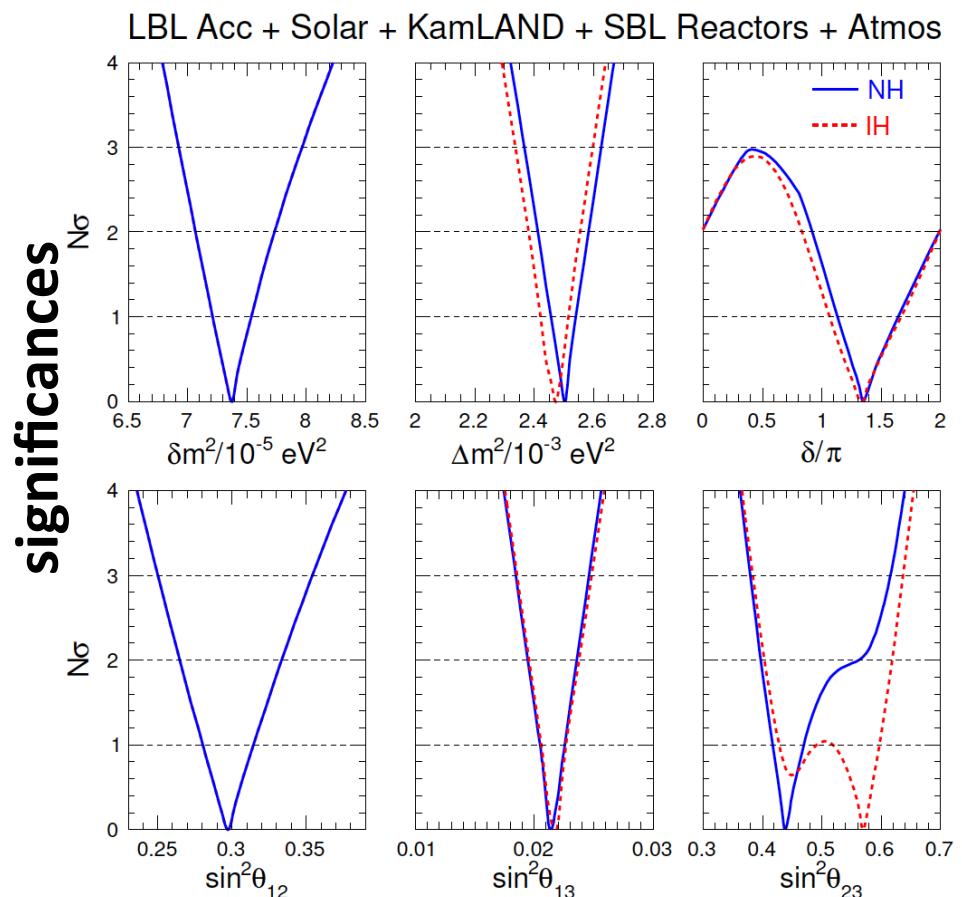
**These 4 items are interconnected,
They are ALL crucial for the near future !**

L. S., Rev. in Phys. 1 (2016) 90

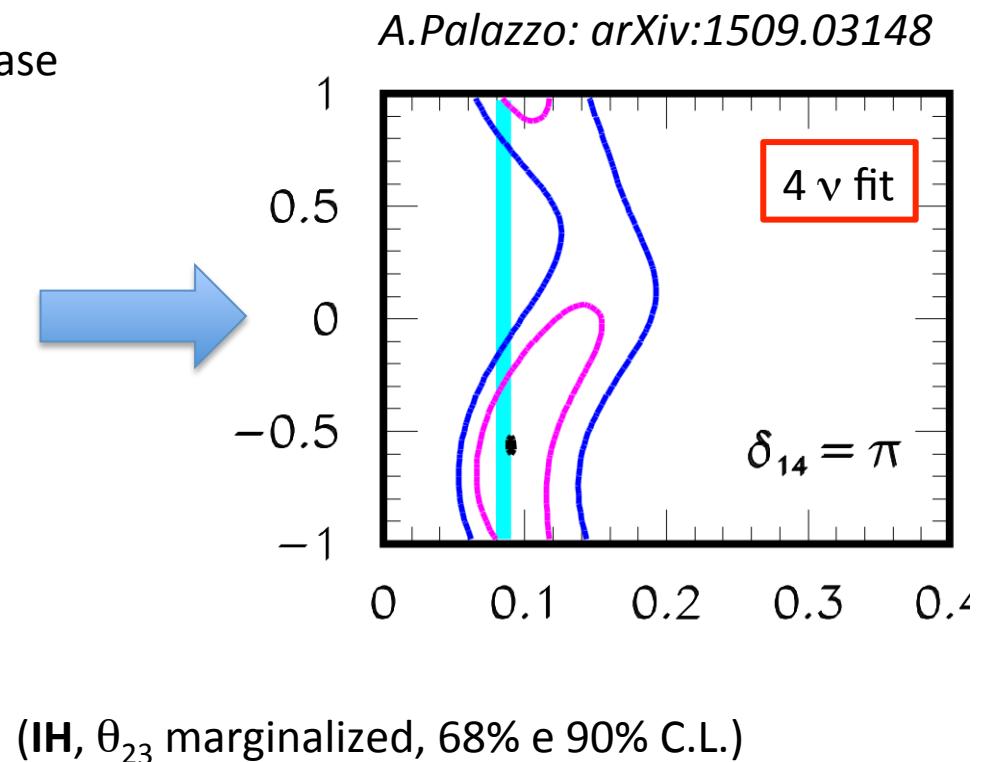
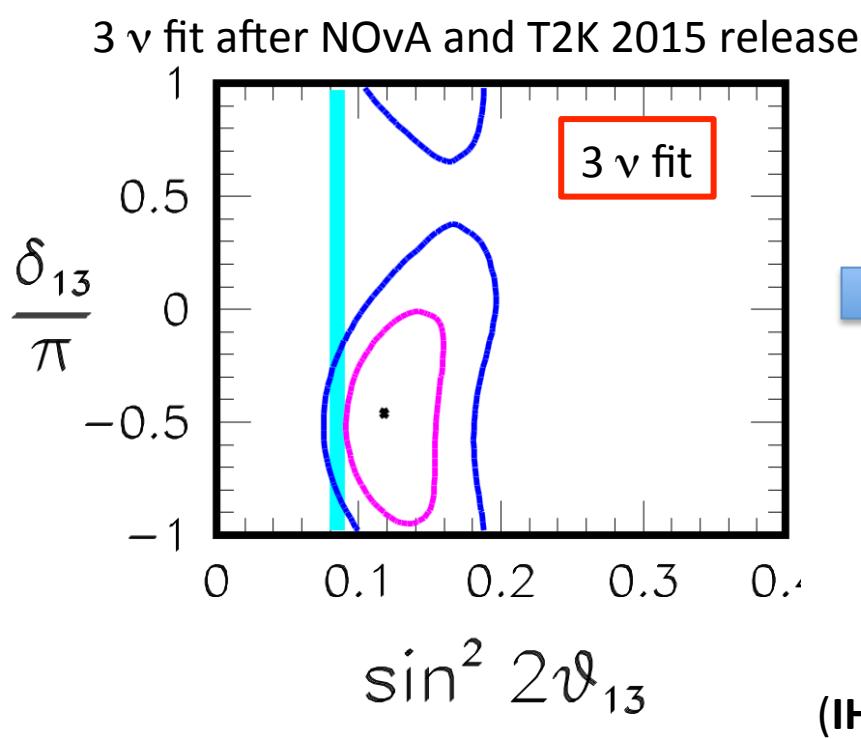
Present knowledge
(from Global Fits)



From few % to about 10%,
but with no knowledge
on MO



How steriles at 1 eV mass scale may wash out results on MH and δ_{CP}

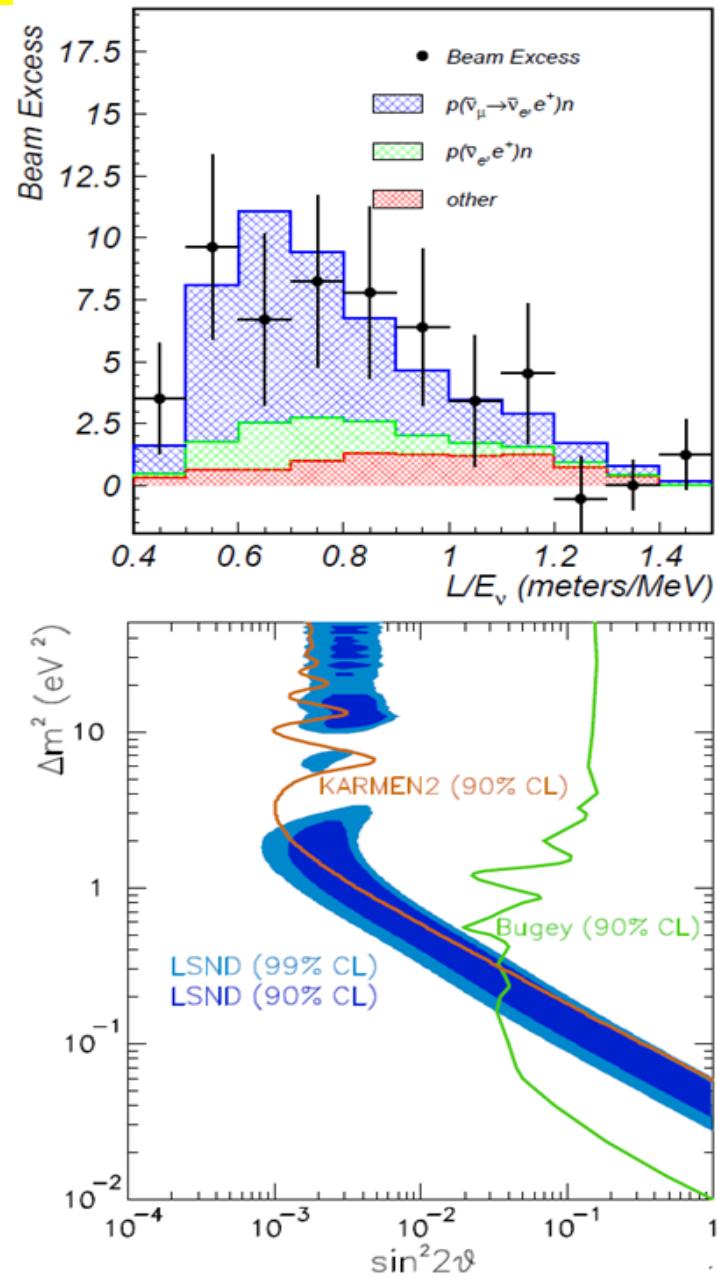
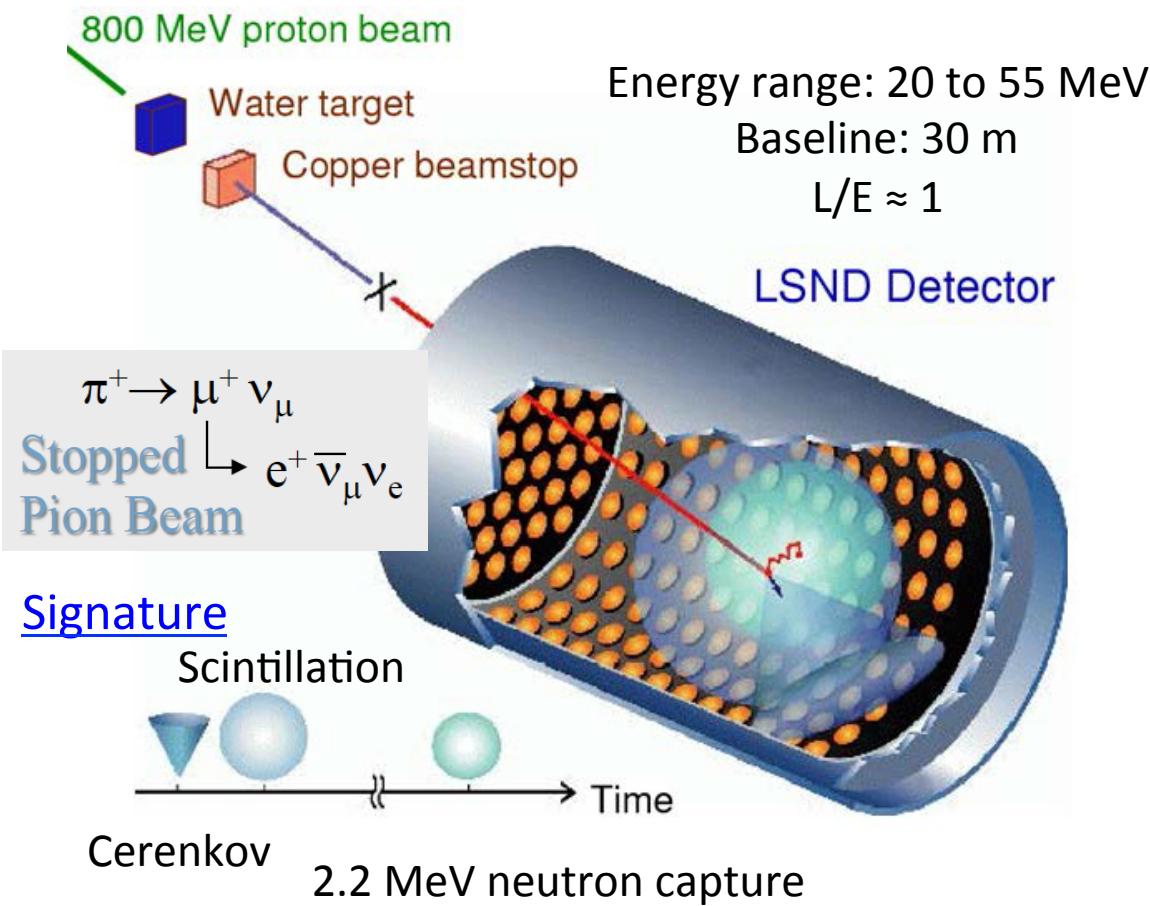


It is MANDATORY to close this SBL sterile story in the near future.

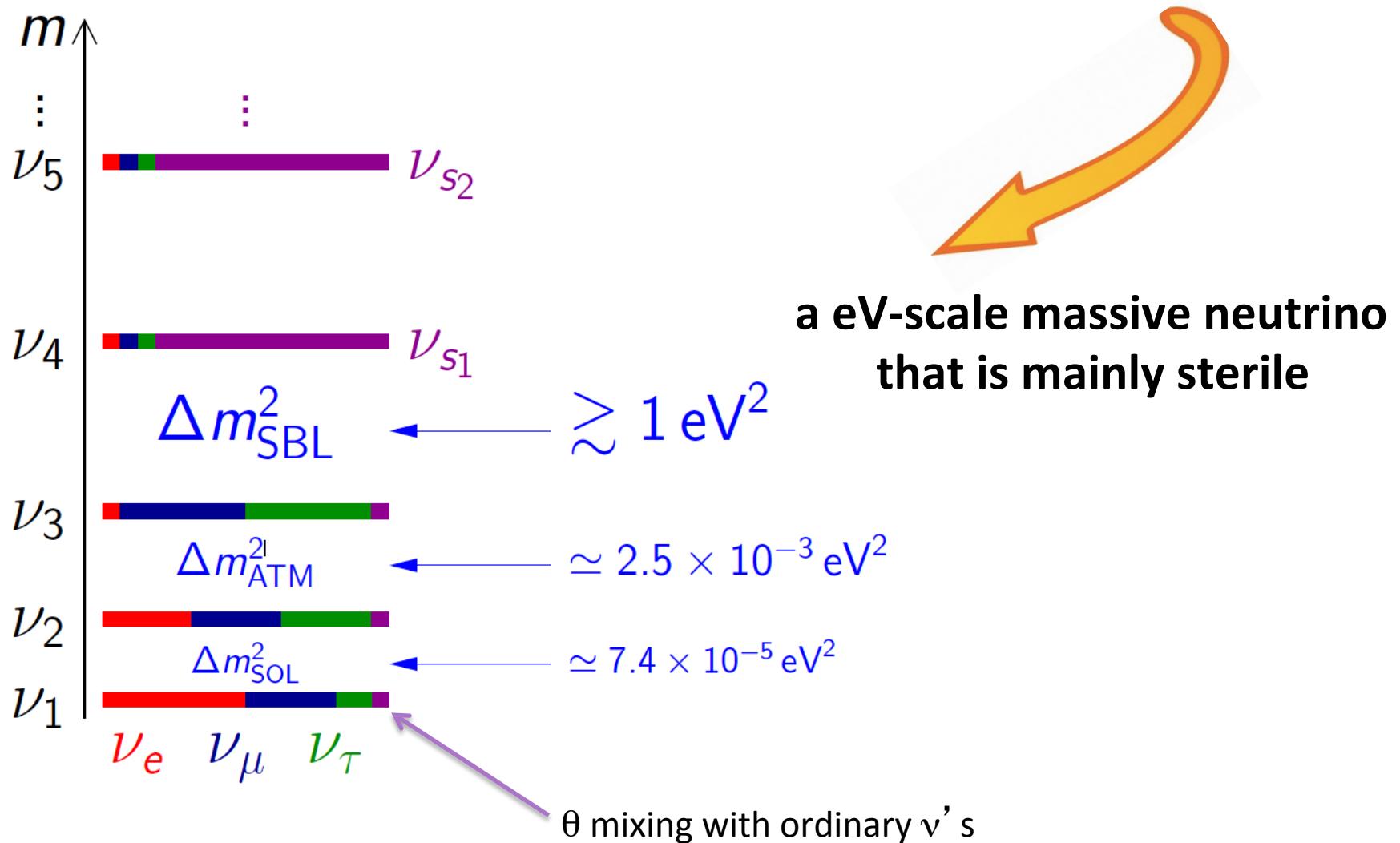
The “sterile” issue at eV mass scale

The LSND “story”:

PRL 75 (1995) 2650, ..., PRD 64 (2001) 112007



and the Reactor anomaly: Mention et al, PRD 83 (2011) 073006; upd in WP, arXiv:1204.5379
and the Gallium anomaly: M. Laveder et al., PRC 83 (2011) 065504



The “sterile” issue (cnt.)

The oscillation picture is working wonderfully.
So it should stay whenever extensions are allowed !

Exploit 3+1 or even 3+2 oscillating models, by adding one or more “**sterile**” neutrinos

$$\begin{bmatrix} U_{e1} & U_{e2} & U_{e3} & \textcolor{red}{U_{e4}} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & \textcolor{red}{U_{\mu 4}} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & \textcolor{green}{U_{\tau 4}} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{bmatrix} \rightarrow \begin{array}{c} \textcolor{red}{\rightarrow} \\ \textcolor{green}{\leftarrow} \end{array}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

APPEARANCE

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

DISAPPEARANCE

when $\Delta m_{21}^2 \ll \Delta m_{31}^2 \ll \Delta m_{41}^2$ and $|U_{s4}^2| \leq 1$

with $\sin^2 2\theta_{e\mu} = 4|U_{e4}|^2 |U_{\mu 4}|^2$ and
for **APPEARANCE**

$$\sin^2 2\theta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2)$$

$$\sin^2 2\theta_{\mu\mu} = 4|U_{\mu 4}|^2 (1 - |U_{\mu 4}|^2)$$

for **DISAPPEARANCE**

sterile: not weakly interacting neutrinos (B. Pontecorvo, JETP, 53, 1717, 1967)

The “sterile” issue (cnt.)

- Experimental hints for more than 3 standard neutrinos, at eV scale
- Strong tension with any formal extension of 3x3 mixing matrix

ν_e disappearance

Reactor anomaly $\sim 2.5\sigma$

Re-analys of data on anti-neutrino flux from reactor short-baseline ($L \sim 10-100$ m) shows a small deficit of

$$R = 0.943 \pm 0.023$$

G.Mention et al, Phys.Rev.D83, 073006 (2011), A.Mueller et al. Phys.Rev.C 83, 054615 (2011).

Gallex/SAGE anomaly

Deficit observed by Gallex in neutrinos coming from a ^{51}Cr and ^{37}Ar sources

$$R = 0.76+0.09 -0.08$$

C. Giunti and M. Laveder, Phys.Rev. C83, 065504 (2011), arXiv:1006.3244

ν_e appearance

Accelerator anomaly $\sim 3.8\sigma$

Appearance of anti- ν_e in anti- ν_μ beam (LSND). *A.A. Aguilar et al. (LSND Collaboration Phys. Rev. D 74, 022007 (2001)).*

Not confirmed by miniBooNE (which saw appearance of ν_e in a ν_μ beam) *A.Aguilar et al. (MiniBooNE Collaboration) Phys.Rev.Lett. 110 161801 (2013)*

Anomaly inside the anomalies

No hint so far for

- ν_μ appearance/disappearance
- ν_τ appearance/disappearance

Tau appearance in the presence of a sterile neutrino (3+1)

$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{2E}$

[neglect solar driven oscillation $\Delta_{21} \sim 0$]

\sim standard oscillation	pure exotic oscillation
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$$P_{\nu_\mu \rightarrow \nu_\tau} = 4|U_{\mu 3}|^2 |U_{\tau 3}|^2 \sin^2 \frac{\Delta_{31}}{2} + 4|U_{\mu 4}|^2 |U_{\tau 4}|^2 \sin^2 \frac{\Delta_{41}}{2}$$

$$+ 2\Re[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin \Delta_{41}$$

$$- 4\Im[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin \Delta_{41}$$

$$+ 8\Re[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin^2 \frac{\Delta_{41}}{2}$$

$$+ 4\Im[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin^2 \frac{\Delta_{41}}{2}$$

interference terms

$C = 2|U_{\mu 3}||U_{\tau 3}|$

$\phi = \text{Arg}(U_{\mu 3}^* U_{\tau 3}^* U_{\mu 4}^* U_{\tau 4})$

$\sin 2\theta_{\mu\tau} = 2|U_{\mu 4}||U_{\tau 4}|$

(effective mixing) CP-violating

$$P(Energy) = C^2 \sin^2 \frac{\Delta_{31}}{2} + \sin^2 2\theta_{\mu\tau} \sin^2 \frac{\Delta_{41}}{2}$$

$$+ \frac{1}{2}C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin \Delta_{31} \sin \Delta_{41}$$

$$- C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2} \sin \Delta_{41}$$

$$+ 2C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2} \sin^2 \frac{\Delta_{41}}{2}$$

$$+ C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin \Delta_{31} \sin^2 \frac{\Delta_{41}}{2}$$

Mass Hierarchy dependence

At Long-Baselines and eV mass scale: $\sin \Delta_{41} \approx 0$

$$\sin^2 \frac{\Delta_{41}}{2} \approx \frac{1}{2}$$

$$P(Energy) = C^2 \sin^2 \frac{\Delta_{31}}{2} + \frac{1}{2} \sin^2 2\theta_{\mu\tau}$$

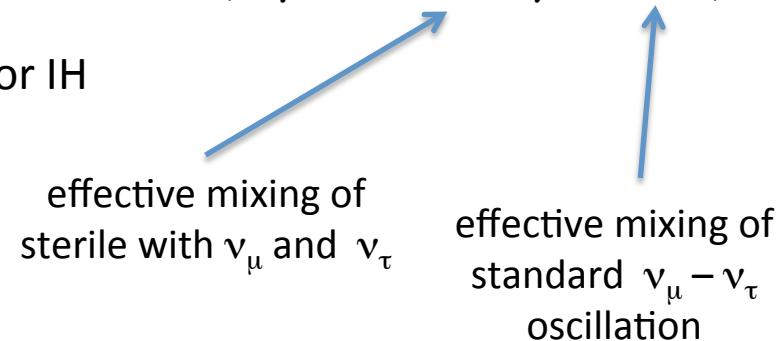
$$+ C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2}$$

$$+ \frac{1}{2} C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin \Delta_{31}$$

Sensitive to mixing “sterile” angles, MH and phase CP-violation

Separate analyses for NH and IH and maximize Likelihood as $L(\phi_{\mu\tau}, \sin^2 \theta_{\mu\tau}, C^2)$

Use $|\Delta m^2_{31}|=0.00243 \text{ eV}^2$ for NH and 0.00238 eV^2 for IH



OPERA search for ν_τ sterile “anomalies”

published in JHEP, 6, 69 (2015) and arXiv:1503.01876, based on 4 taus' candidates

Separate analyses for “high” and low $|\Delta m^2_{41}|$

For $|\Delta m^2_{41}| > 1 \text{ eV}^2$ 

$\sin^2 2\theta_{\mu\tau} < 0.116$ at 90% C.L.
when integrating over ϕ
(quasi-equal results for NH and IH)

Note: 0.069 obtained if neglect interference terms

OPERA observed a 5th candidate (PRL 115, 121802, arXiv:1507.01417)

Channel	Expected background				Expected signal	Observed
	Charm	Had. re-interac.	Large μ -scat.	Total		
$\tau \rightarrow 1h$	0.017 ± 0.003	0.022 ± 0.006	–	0.04 ± 0.01	0.52 ± 0.10	3
$\tau \rightarrow 3h$	0.17 ± 0.03	0.003 ± 0.001	–	0.17 ± 0.03	0.73 ± 0.14	1
$\tau \rightarrow \mu$	0.004 ± 0.001	–	0.0002 ± 0.0001	0.004 ± 0.001	0.61 ± 0.12	1
$\tau \rightarrow e$	0.03 ± 0.01	–	–	0.03 ± 0.01	0.78 ± 0.16	0
Total	0.22 ± 0.04	0.02 ± 0.01	0.0002 ± 0.0001	0.25 ± 0.05	2.64 ± 0.53	5

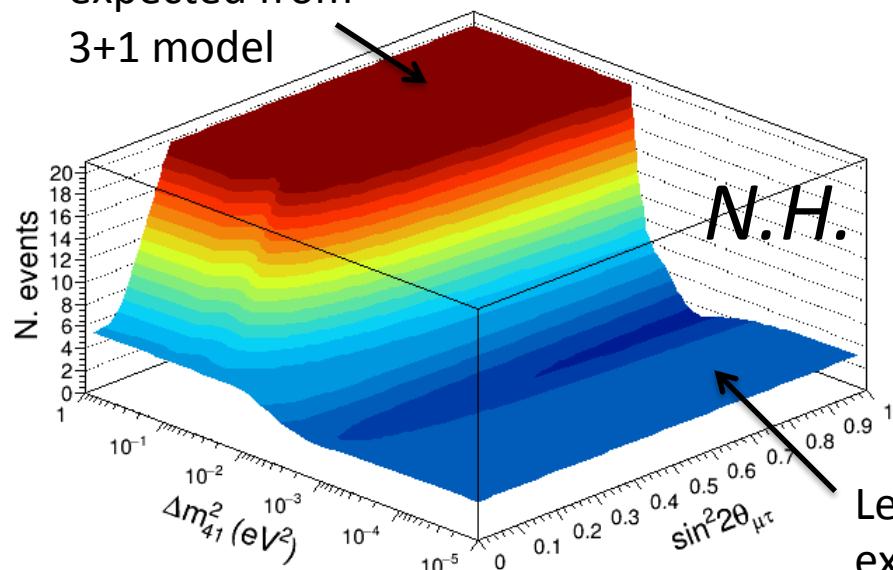
Preliminary update of the analysis with 5 ν_τ candidates

L. Stanco (OPERA) at EPS2015, and arXiv:1510.04151

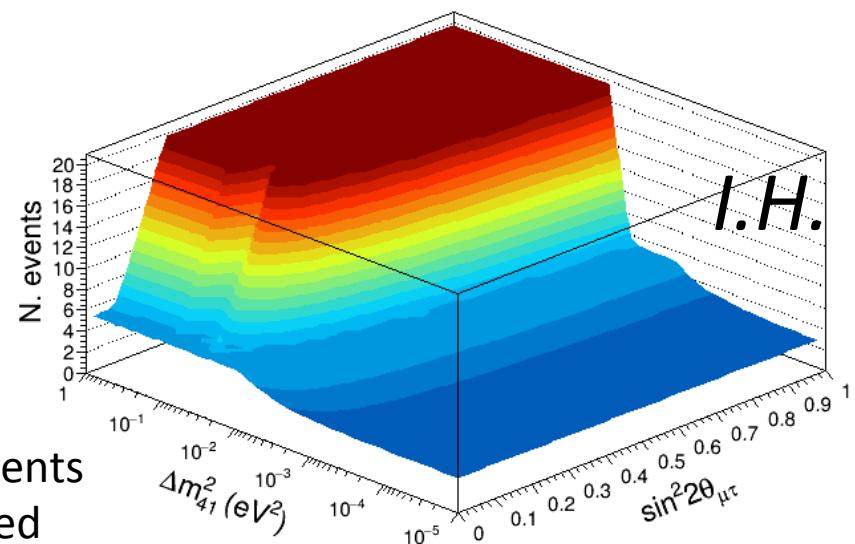
Interplay of interference



More events
expected from
3+1 model

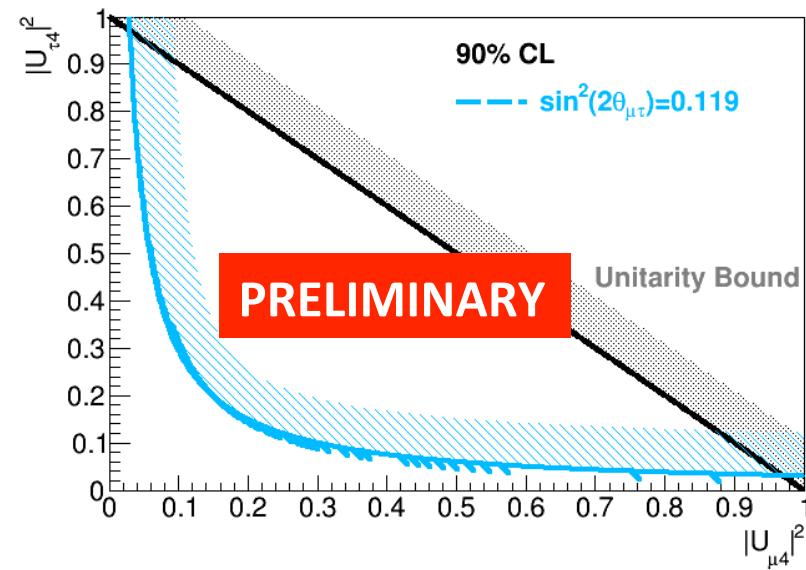
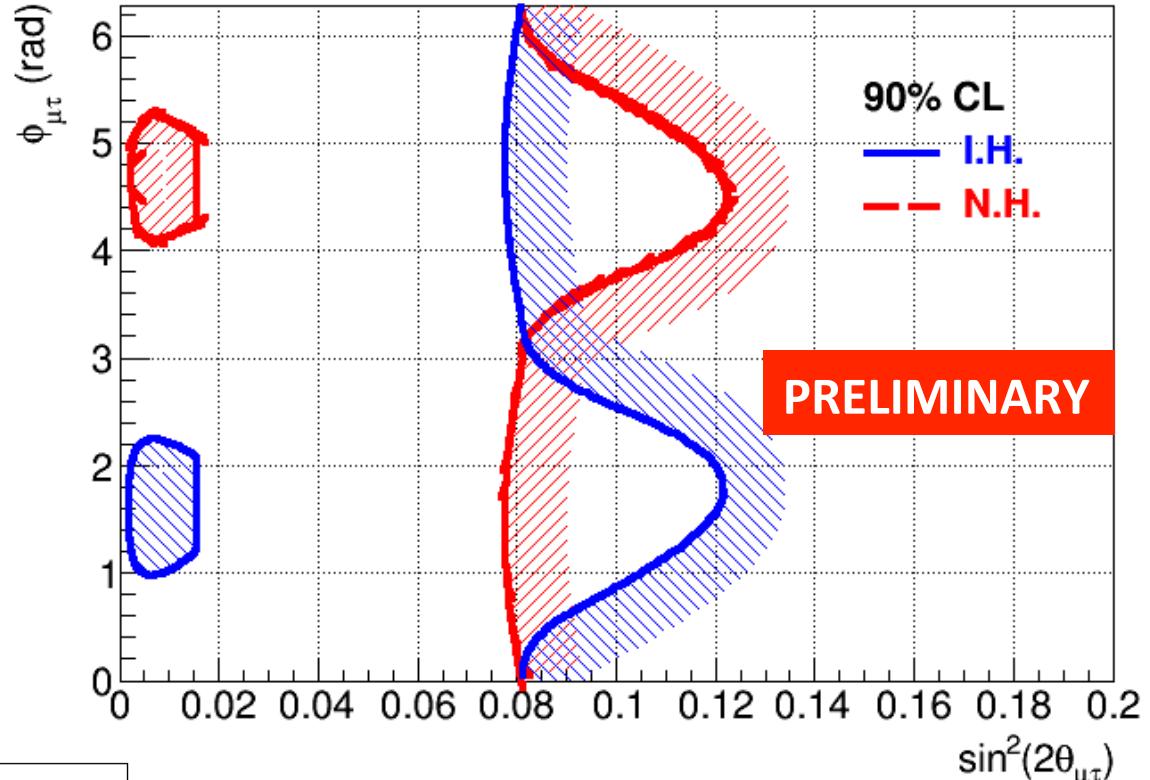


Less events
expected



For $|\Delta m^2_{41}| > 1 \text{ eV}^2$

(Raster-scan
à la Feldman&Cousins)

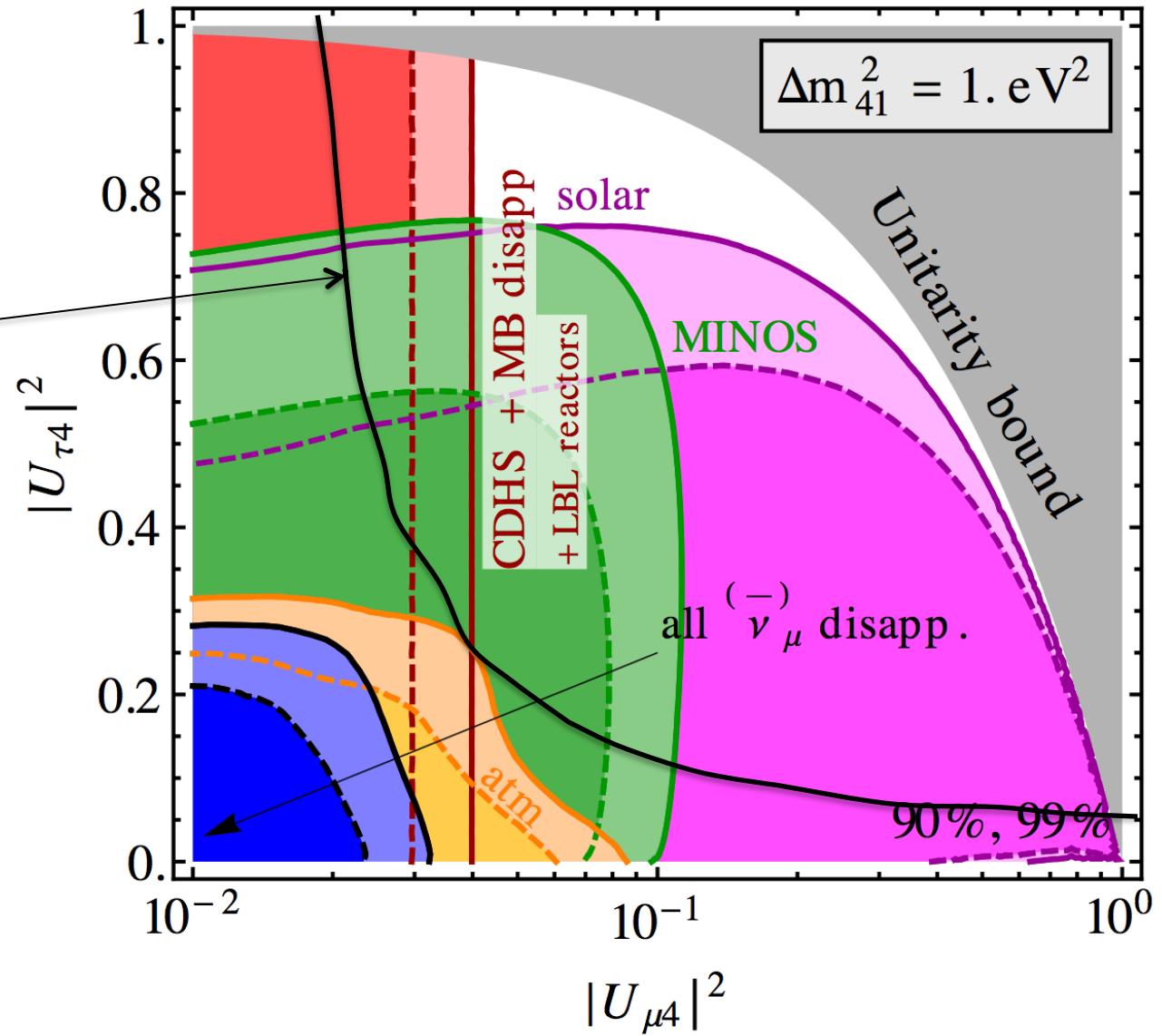


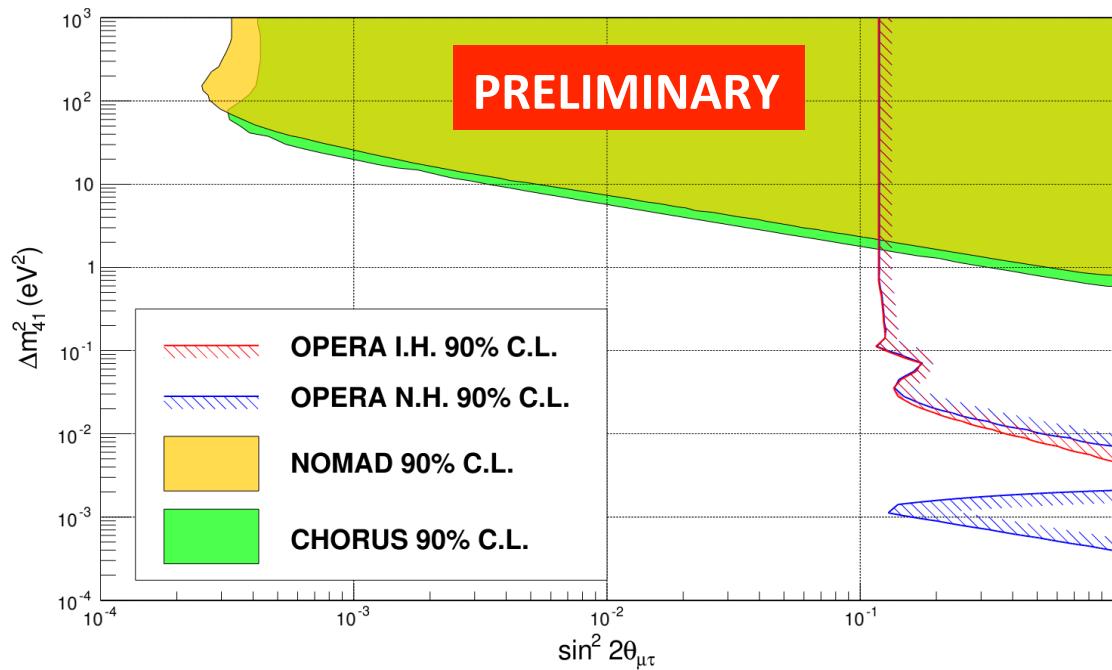
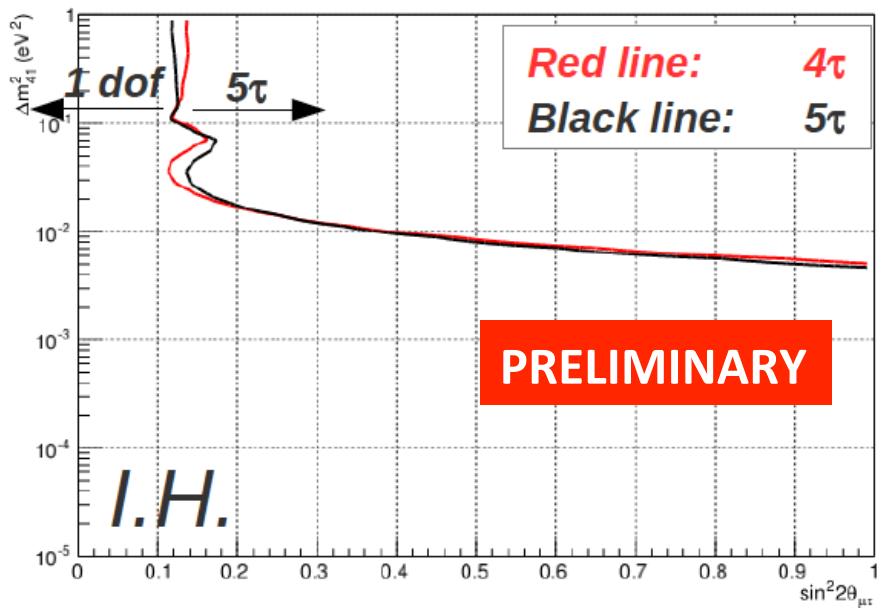
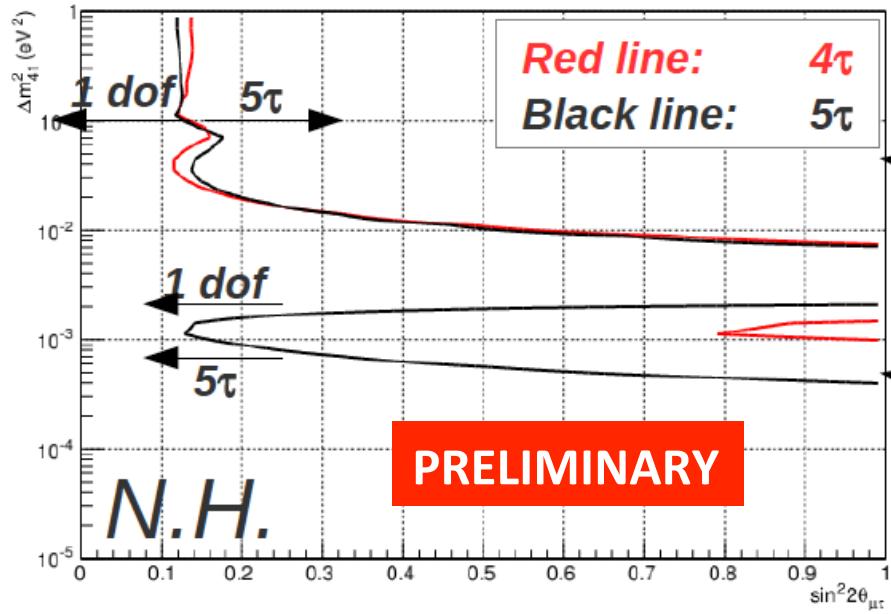
$\sin^2 2\theta_{\mu\tau} < 0.119$ at 90% C.L.
when integrating over ϕ
(quasi-equal results for NH and IH)

PRELIMINARY

Complementary
measurement wrt
disappearance
experiments

OPERA
PRELIMINARY
(just sketched)

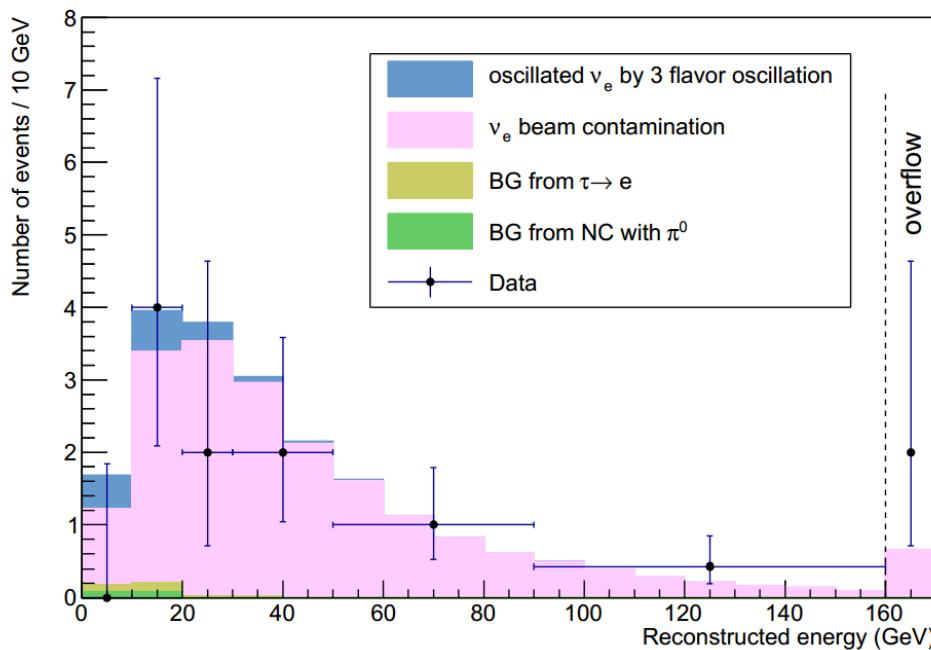




Full analysis with GLOBES
 (matter effects, Δm_{21}^2 included,
 profiled out on Δm_{31}^2)

OPERA can perform similar analysis based on ν_e observation

Old result from 2008+2009 data sample (30% of total): JHEP 4, 1307 (2013) and arXiv:1303.3953



E<20 GeV		
ν_e candidates	19	4
background	19.8 ± 2.8	4.6

Compatible with expectation from
intrinsic ν_e component in the
CNGS ν_μ beam: 0.9%



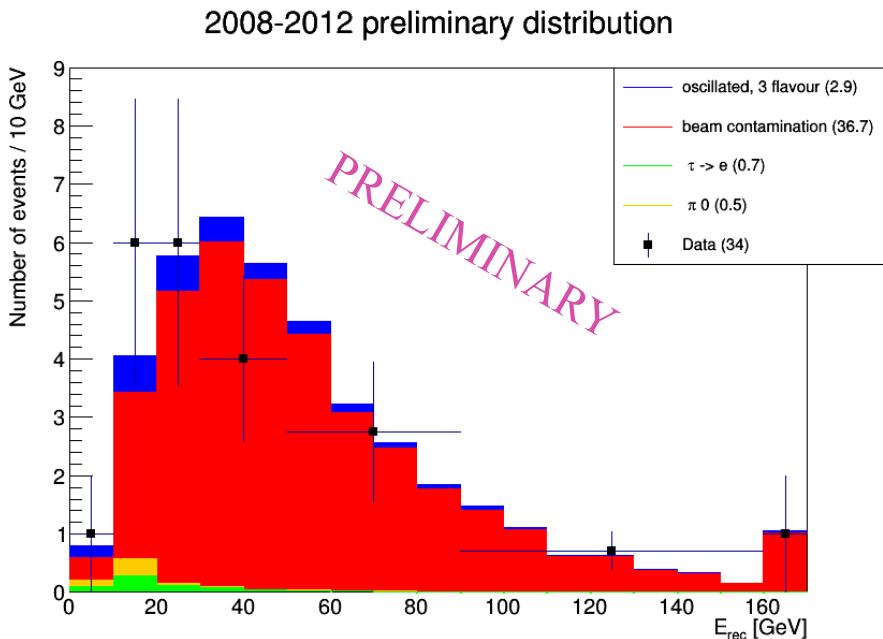
put rough limits to exclude
mixing on θ_{14} with a 2 flavour model

Approximate analysis, see OPERA previous result
and e.g. A.Palazzo, PRD 91, 91301(R) (2015)

Waiting for the ν_e analysis on the full data sample

(3.5 more statistics)

ν_e candidates selected by Emulsion Analysis



→ Observed 34 ν_e events

Expected ν_e events :

ν_e beam contamination 36.7 ± 5

Background $\tau \rightarrow e + \text{mis-id}'d \pi^0$ 1.2 ± 0.1

From 3-flavour oscillation:

$\nu_\mu \rightarrow \nu_e$ 2.9 ± 0.4 evts

$(\sin^2(2\theta_{13}) = 0.098)$

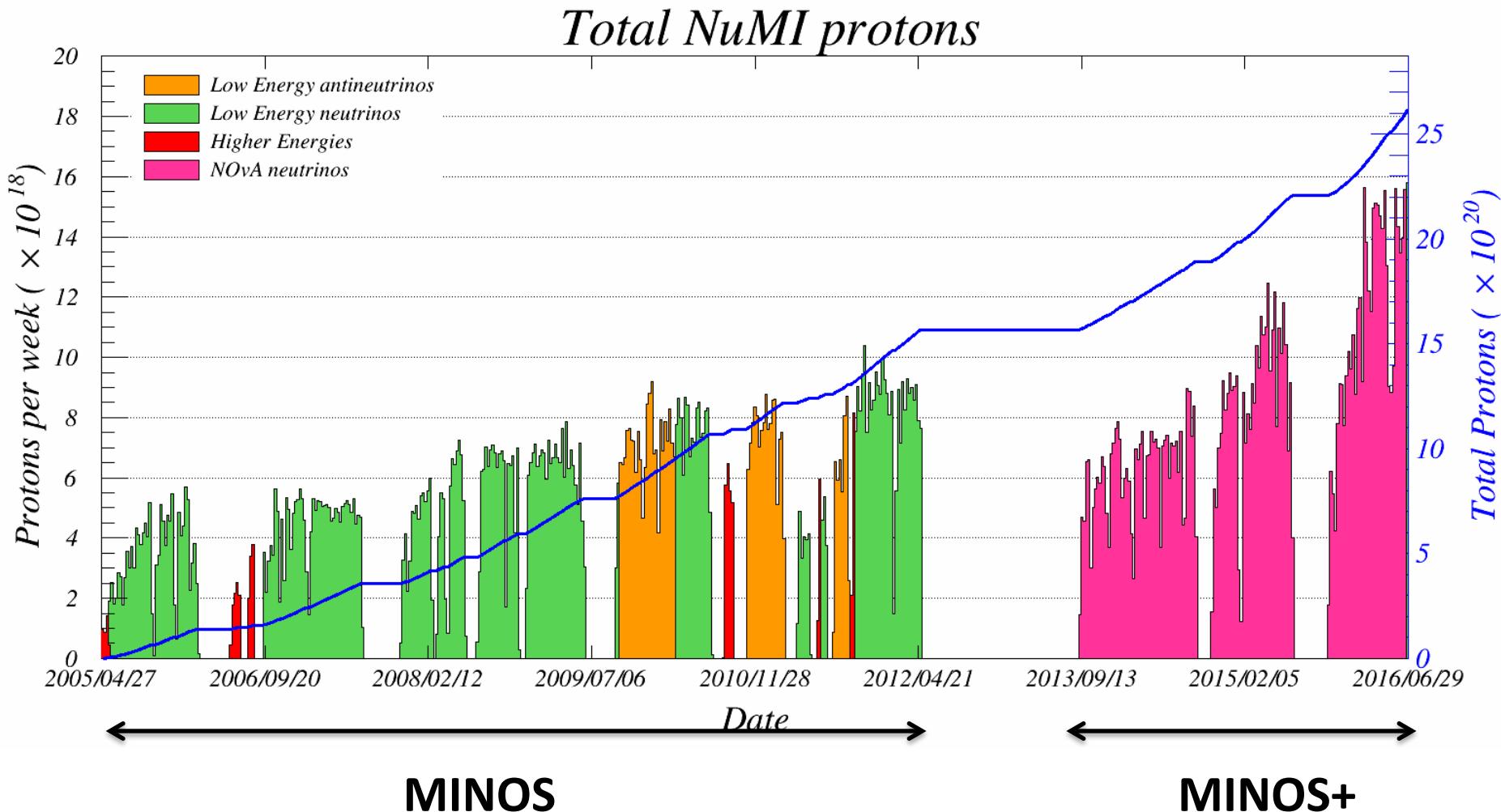
To increase signal-to-background ratio: $E < 30$ GeV
13 observed events for

9.2 ± 1 background expected + 1.4 ± 0.2 (from $\nu_\mu \rightarrow \nu_e$)

Numbers are preliminary.

D. Duchesneau@NEUTRINO2016

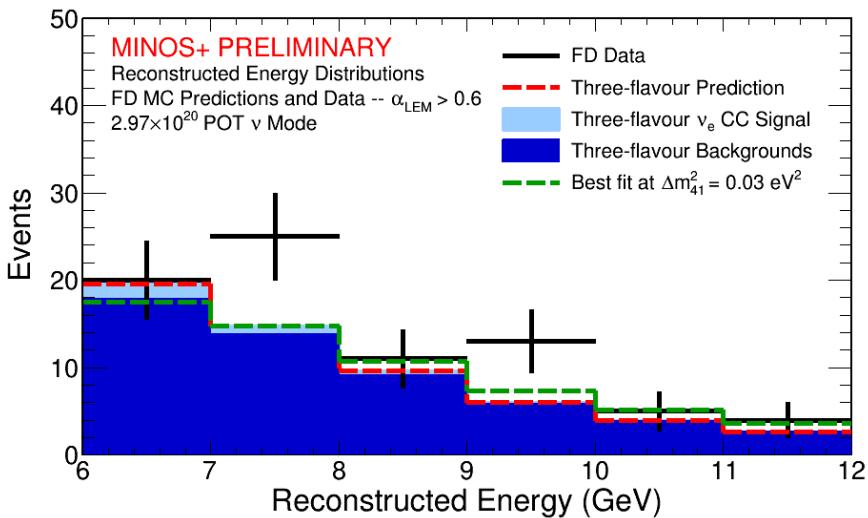
MINOS(+) Analysis on $\nu_\mu \rightarrow \nu_\mu / \nu_e$



10.56×10^{20} PoT MINOS neutrino-mode
 5.80×10^{20} PoT MINOS+ neutrino-mode
 3.36×10^{20} PoT MINOS antineutrino-mode

J. Evans @ NEUTRINO2016

MINOS(+) Analysis on $\nu_\mu \rightarrow \nu_e$



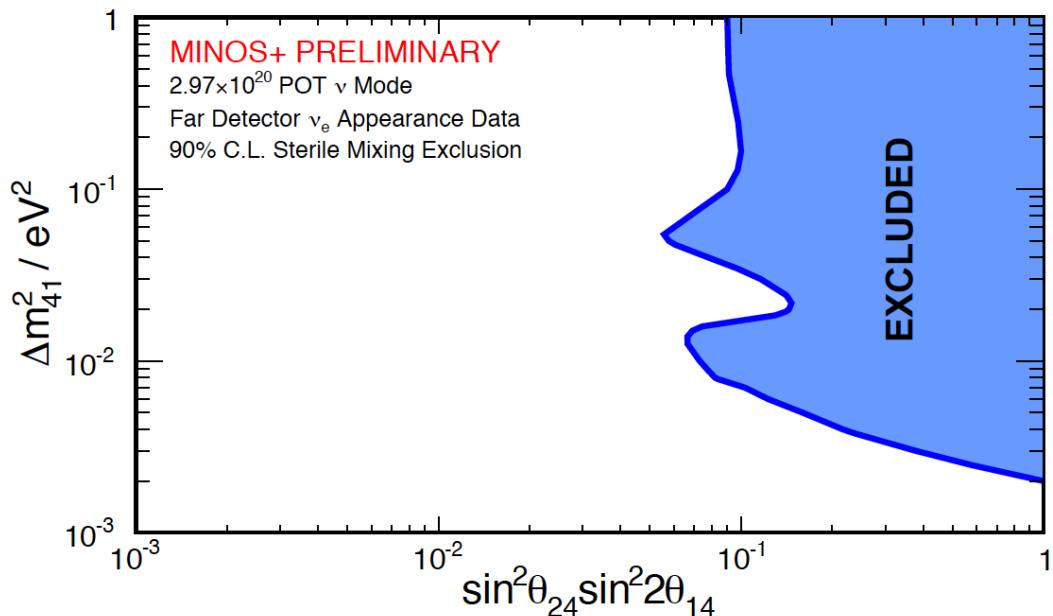
Near detector used to produce a Far Detector prediction

Expect 56.7 events, observe 78

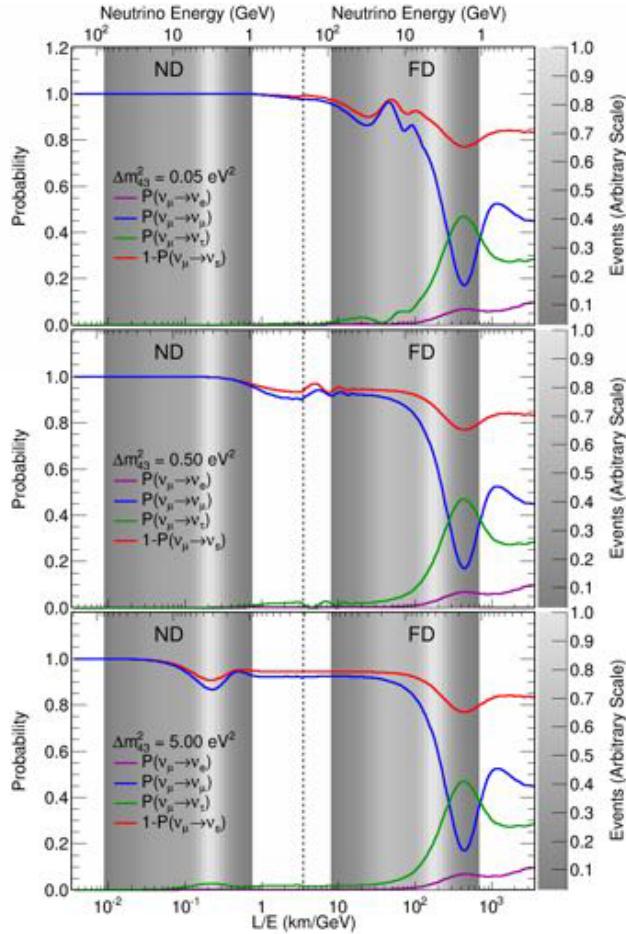
➤ 2.3σ excess

Three times more data to come

overfluctuation of the data gives a not-so-strong limit

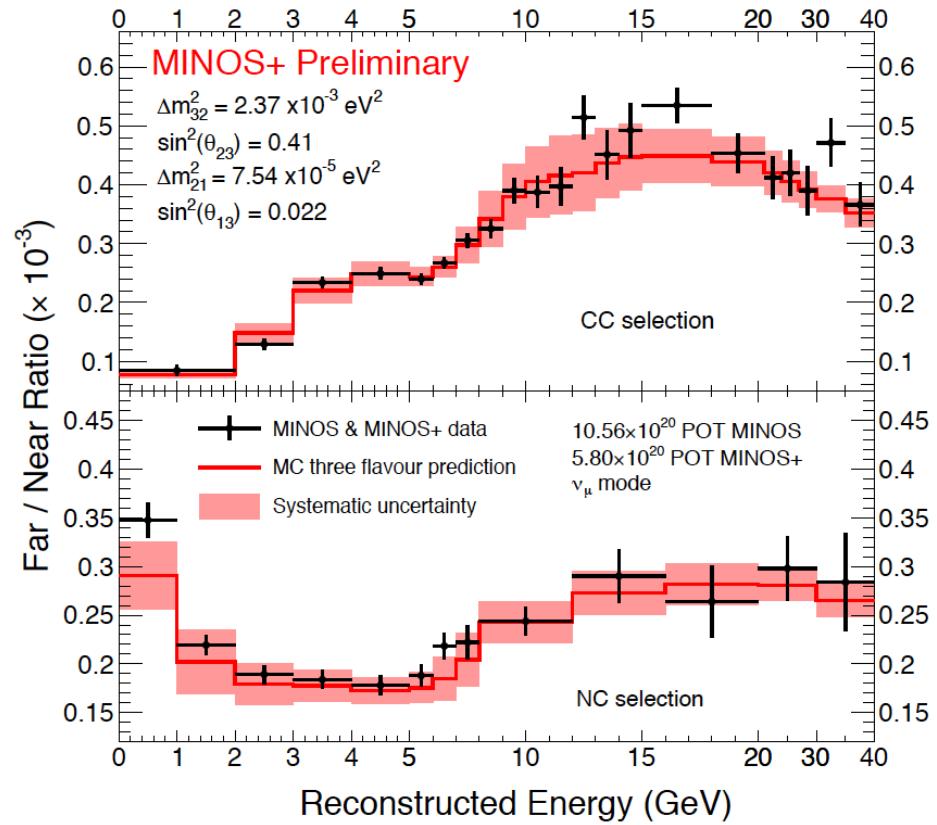


MINOS(+) on ν_μ sterile “anomalies”

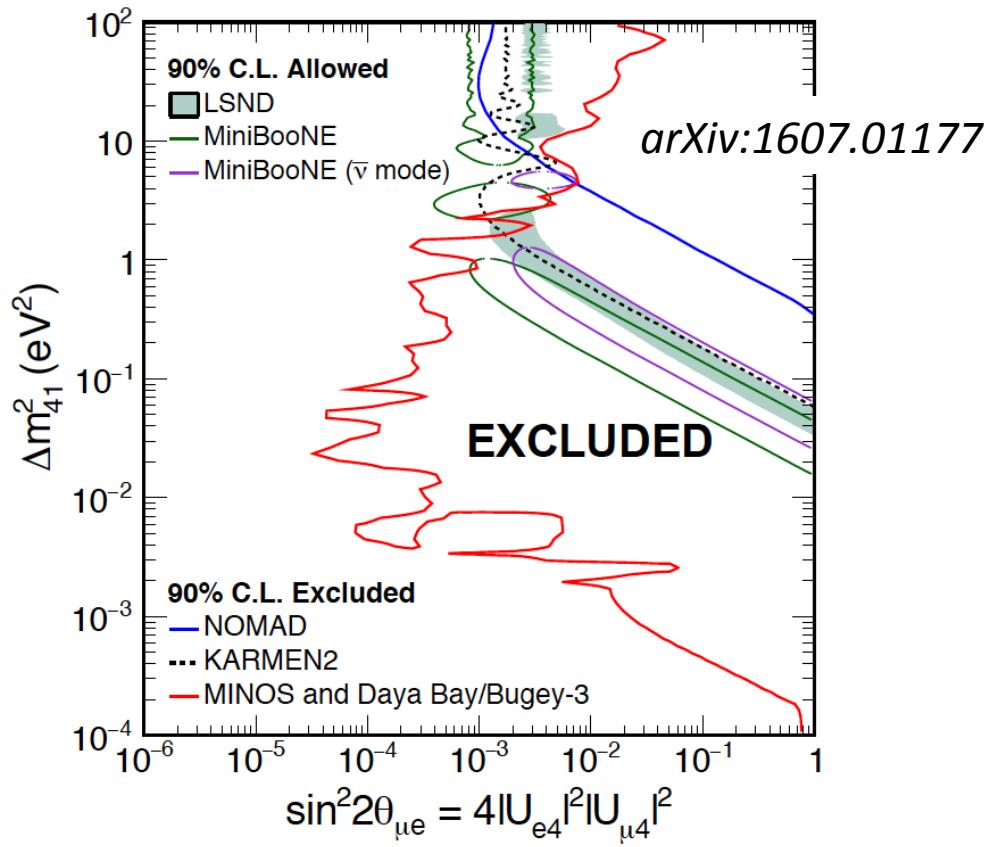
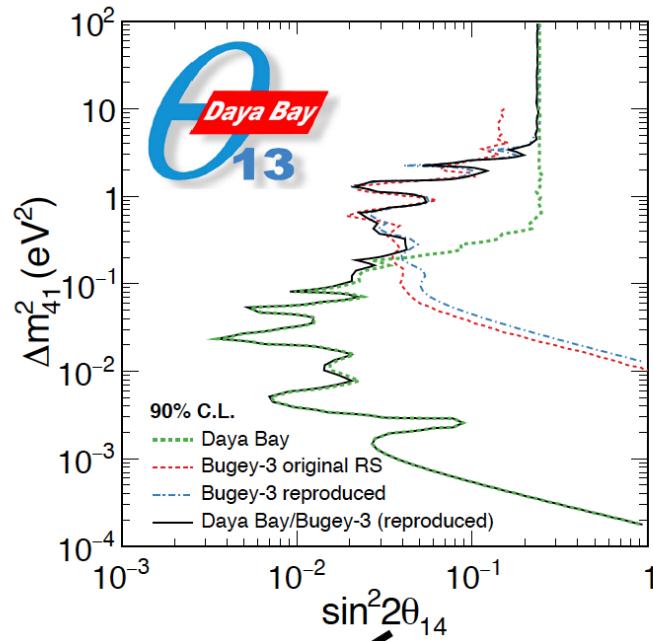
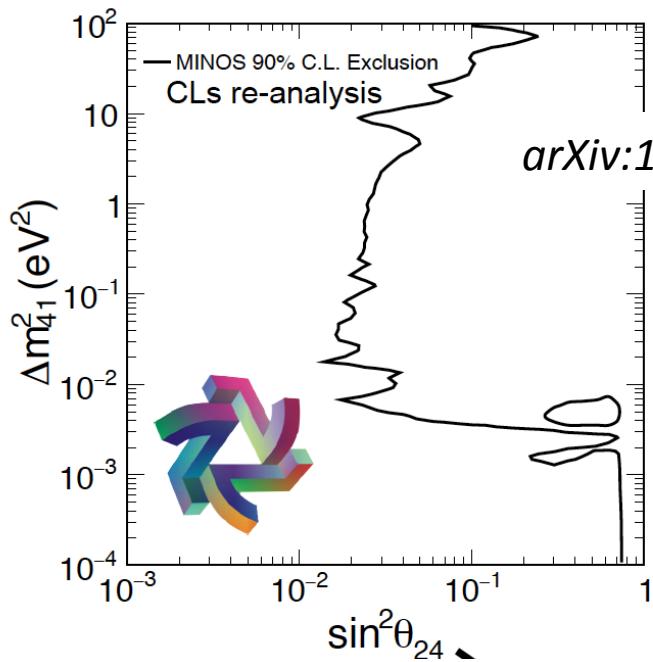


CC and NC searches:

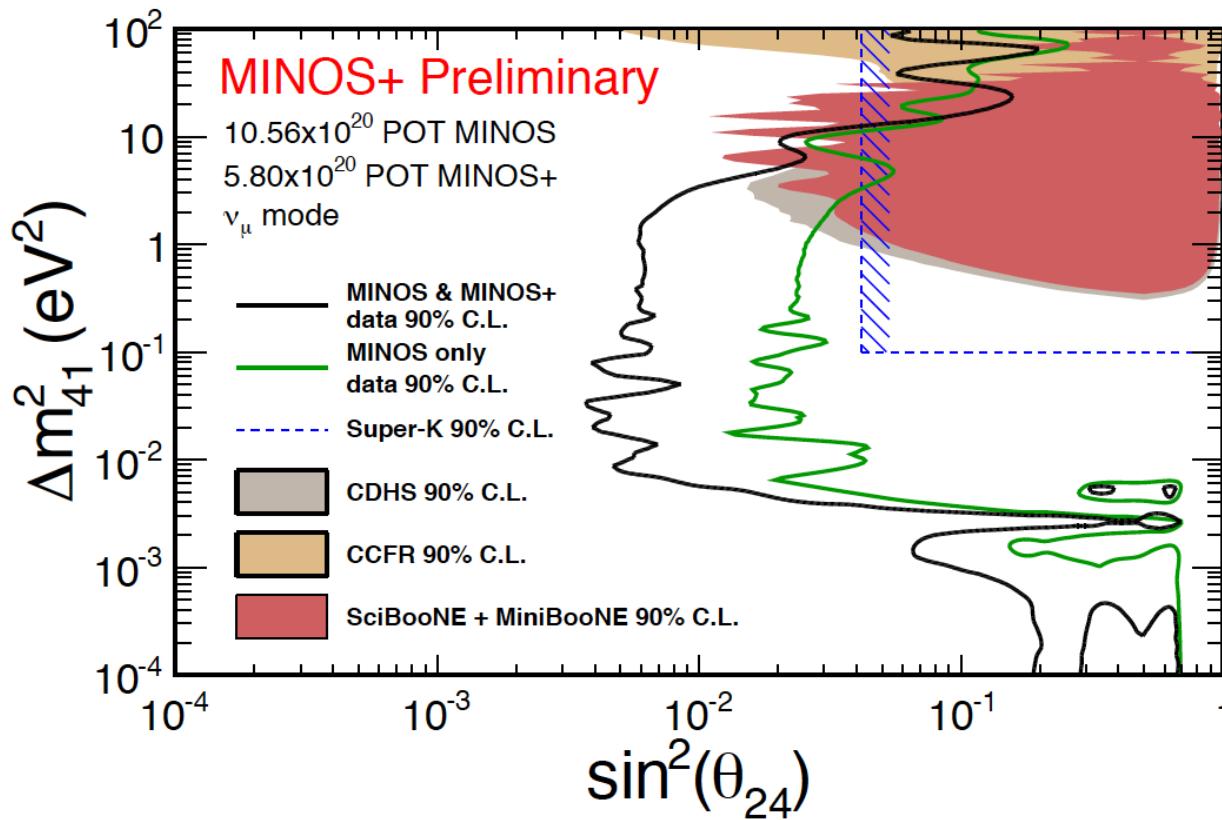
- Excellent agreement with best-fit with 3 flavors
- Extended range in Δm^2 due to large energy span



Re-analysis of MINOS and Daya-Bay, including old Bugey-3 data



Adding MINOS+

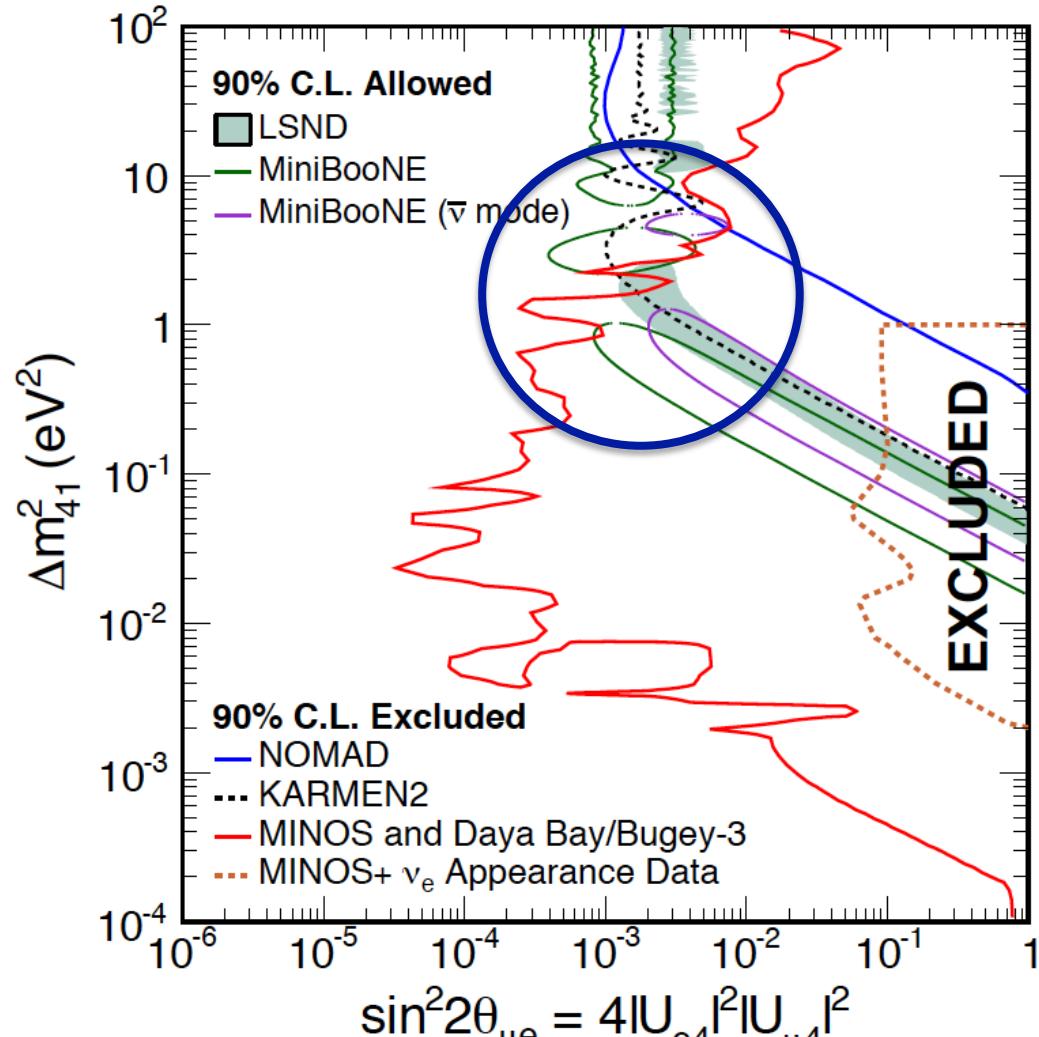


increase tension with
 ν_e sterile “anomalies”

- possible to double the dataset
- Preliminary result with antineutrino
(limit around 0.08)

end of the story ? → comments

Putting all together

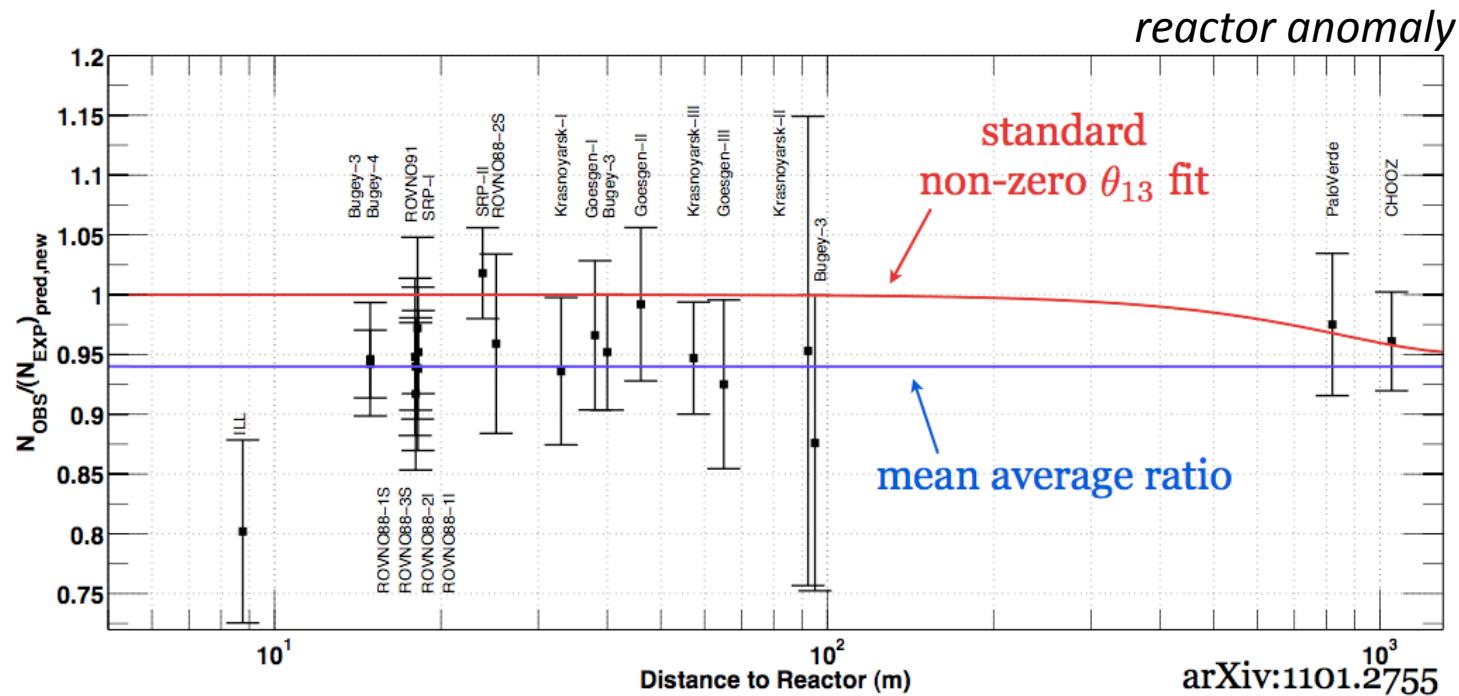


still marginal exclusion,
same for ν_μ disappearance,
same for new Icecube results (see backup slides)

and... 90% C.L. ?? One should use 95% C.L.

Short-Baseline at accelerators

Life is much easier, but choose the right baseline \leftrightarrow energy, and the right detectors/system



Two flavour approximation OK:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

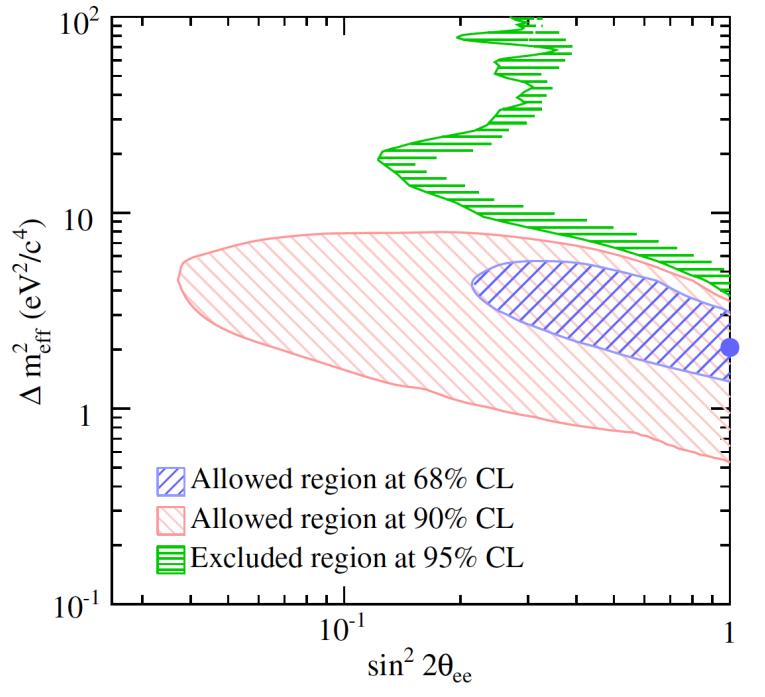
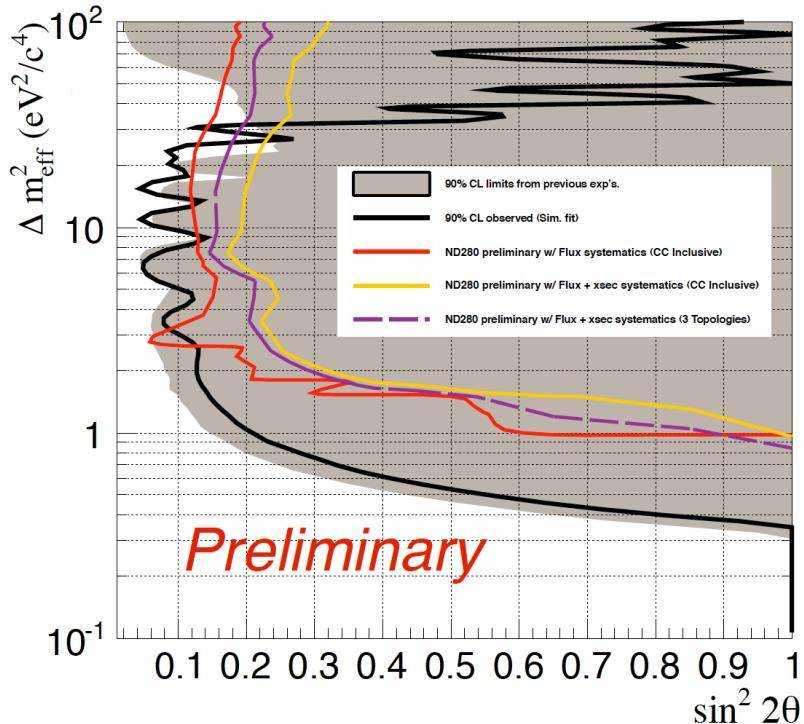
But contributions from interplayed oscillations can wash out the disentanglement

T2K Near (ND280) detector (ν_e disappearance)

T2K, Phys.Rev. D91, 051102(R) (2015), arXiv:1410.8811

Interesting, but assuming $|U_{4\mu}|=0$ and no real exclusion of existing hints.

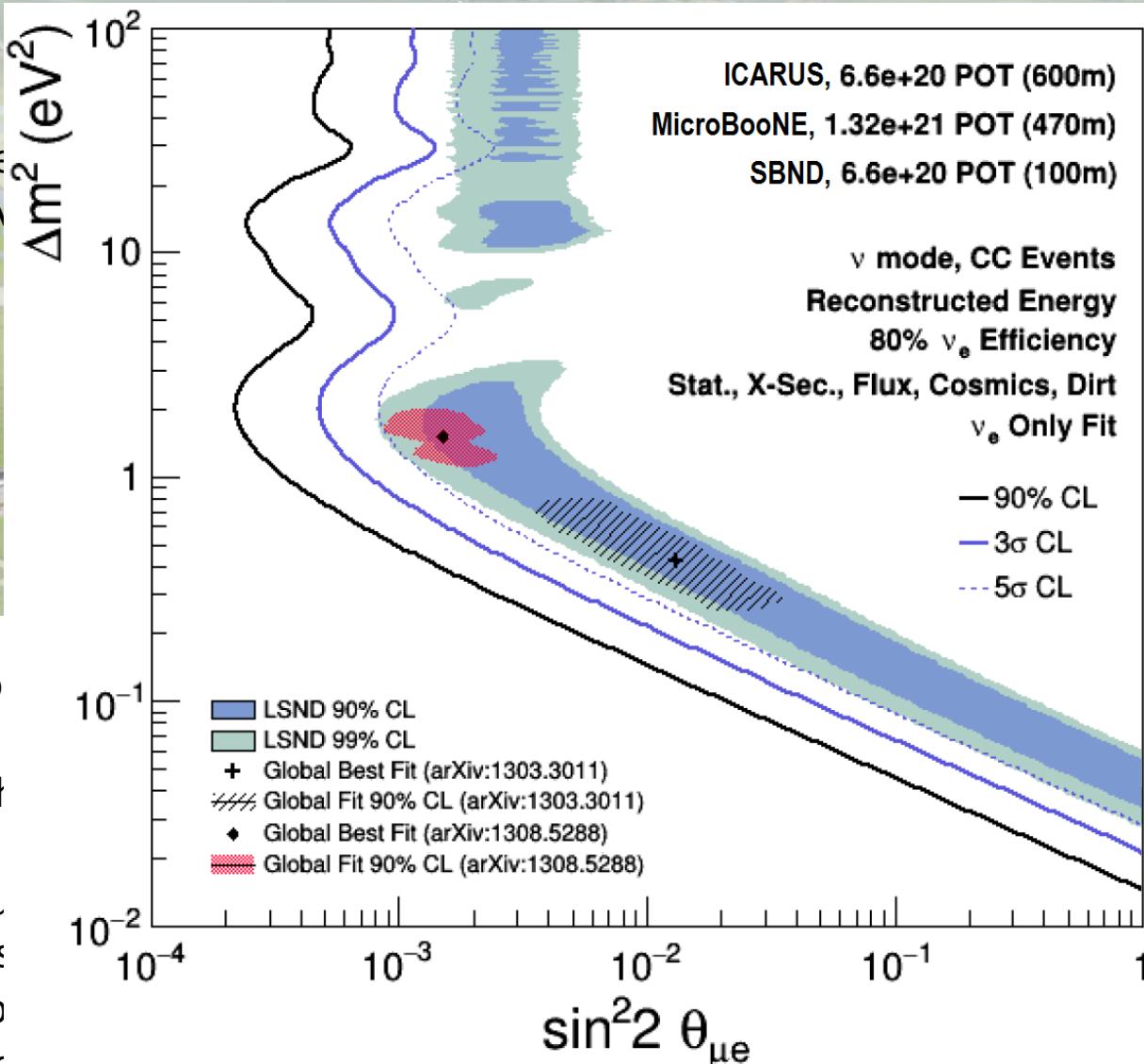
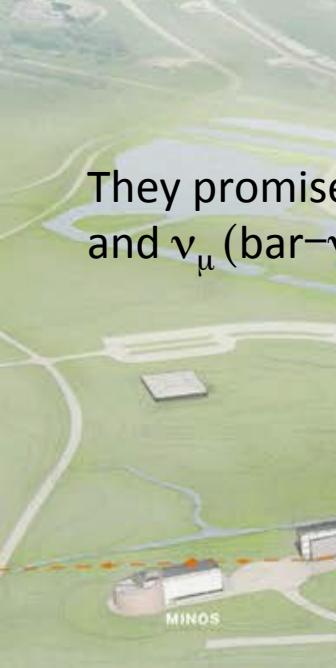
Still waiting for the promised
 ν_μ disappearance:



T2K, expected sensitivity, arXiv:1504.08237

The Fermilab Short-Baseline Program

arXiv:1503.01520



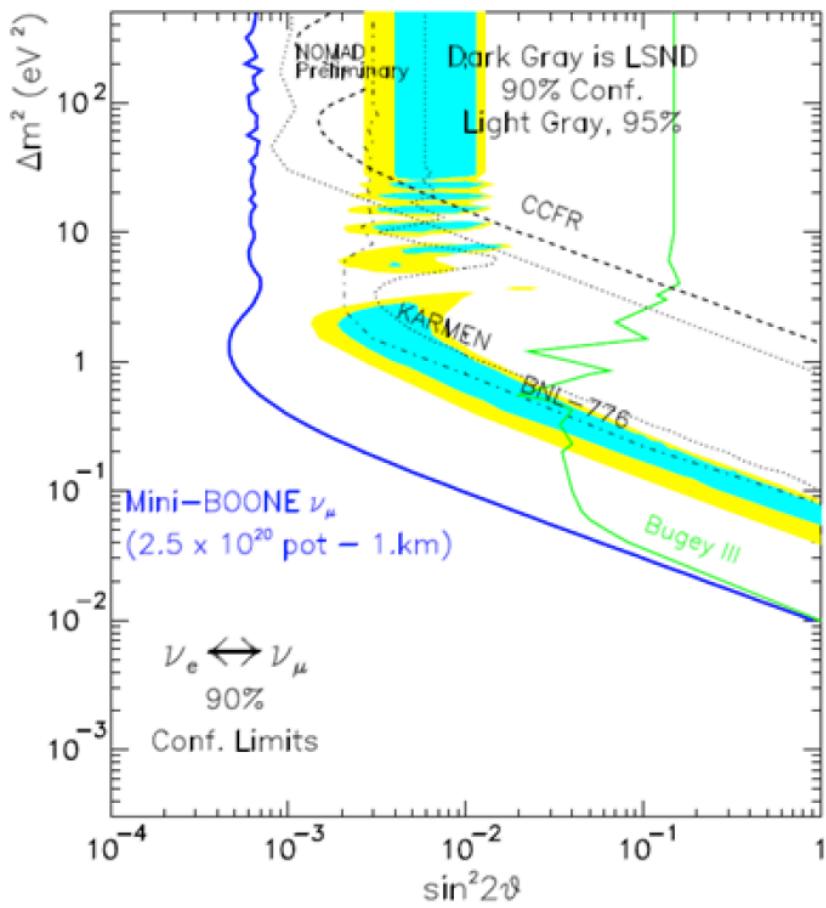
Baseline	Mass
10 m	112 t
70 m	89 t
00 m	476 t

NEAR TOWER BOOSTER TARGET 110 m

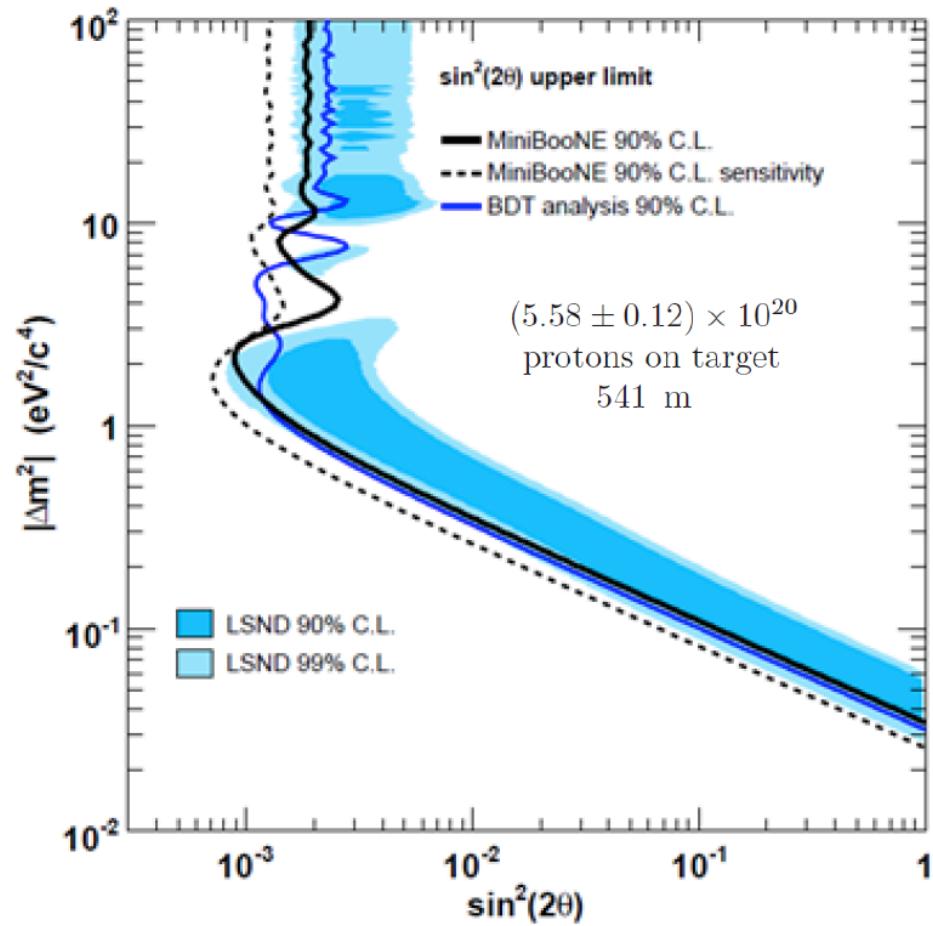
Excellent for cross calibration
Concerns:
- Excellent technology
no extended baseline
- All (only) Liquid Argon
mainly for test
- Not robust for neutrino mass
- A 5 σ exclusion

Caution on Proposal Sensitivities

MiniBooNE LOI arXiv:nucl-ex/9706011 (1997)



Phys.Rev.Lett. 98,231801 (2007)

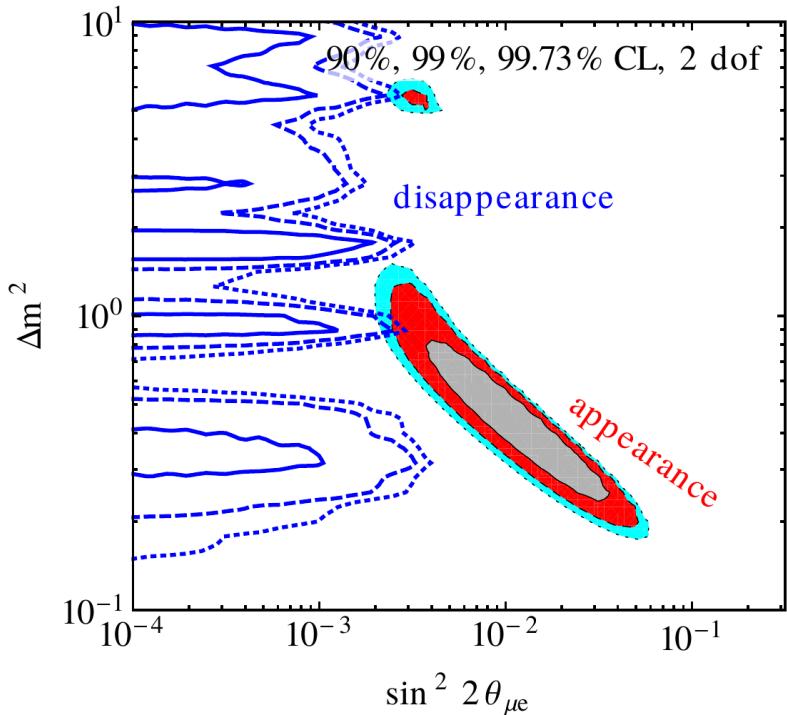


Jonathan Link at TAUP2015

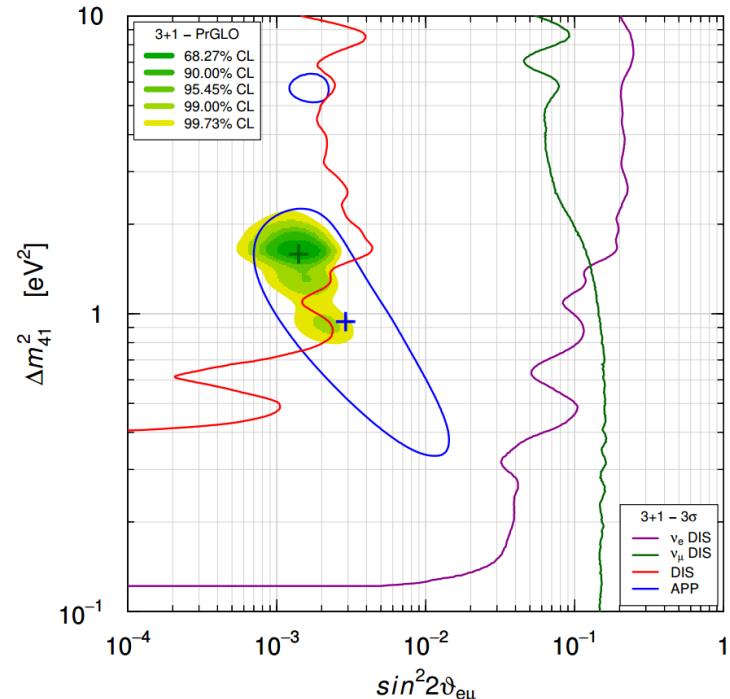
Too optimistics or something present ?

A valid alternative: NESSiE proposal at FNAL (*not approved*)

J. Kopp et al, JHEP 1305 (2013) 050



S. Gariazzo et al, arXiv:1507.08204



Why not directly going to measure the ν_μ disappearance?

Search for sterile neutrinos in the ν_μ disappearance mode at FNAL arXiv:1503.01876v2

A conclusive experiment to determine the ν_μ disappearance behaviour at 1 eV mass scale, with spectrometers exploited to provide muon charge assignment and momentum measurement

Key-points for NESSiE

1. The ν_μ disappearance is **mandatory**

- either in case of null result on electron-neutrino

the presence of sterile neutrino could be hidden due to interference modes and data mis-interpretation for the interplay between νe appearance/disappearance

- or in case of positive result on electron-neutrino at SBL

*to address the correct interpretation of sterile neutrino oscillation,
see current tension between $\nu e / \nu \mu$ appearance/disappearance*

...

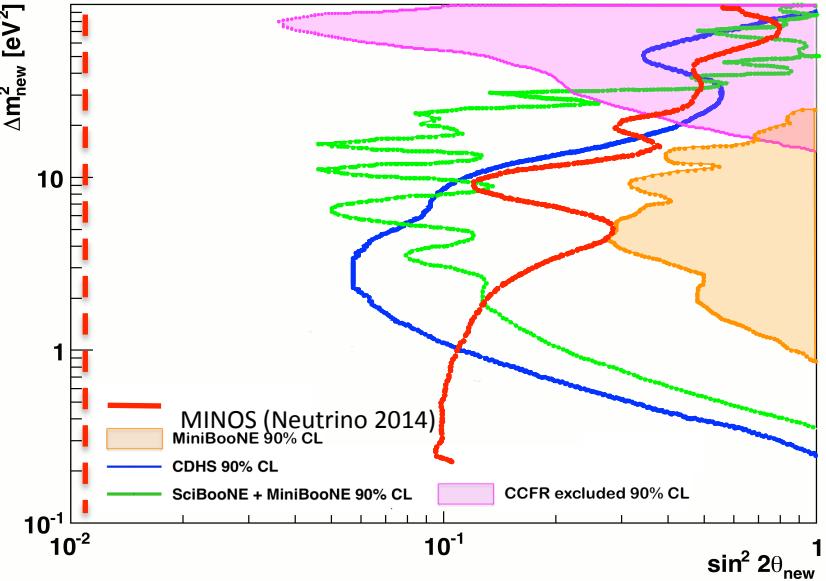
5. No R&D/refurbishing/upgrade: **robustness of the program** (technologically, time- and cost-wise)

- 80% of re-used well proven detectors,
- straightforward extension,
- low power needed at each site

(Two - really cloned - sites with 1 kton of Iron interleaved with RPC muon detectors)

Sensitivity

from here (now)

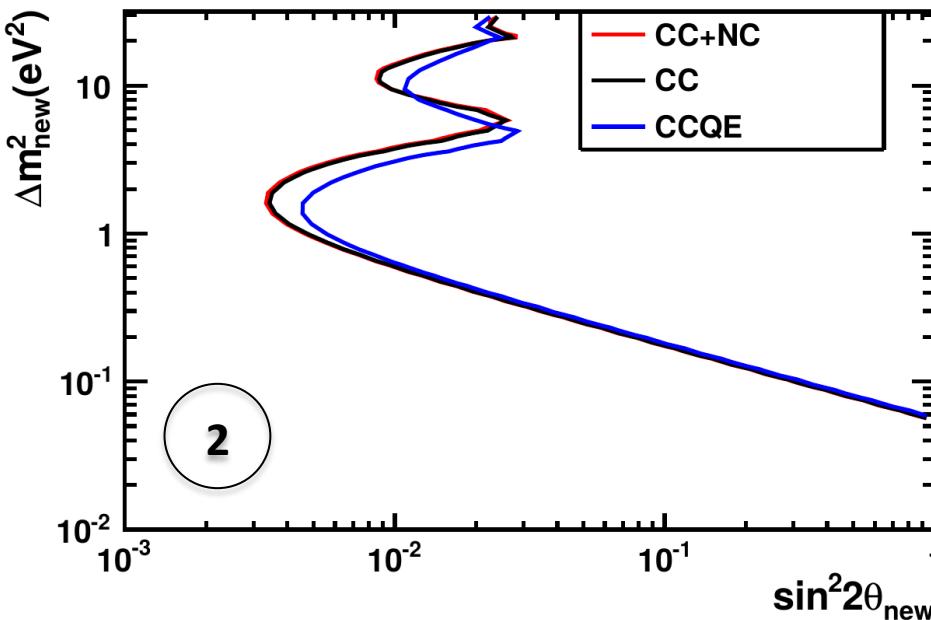
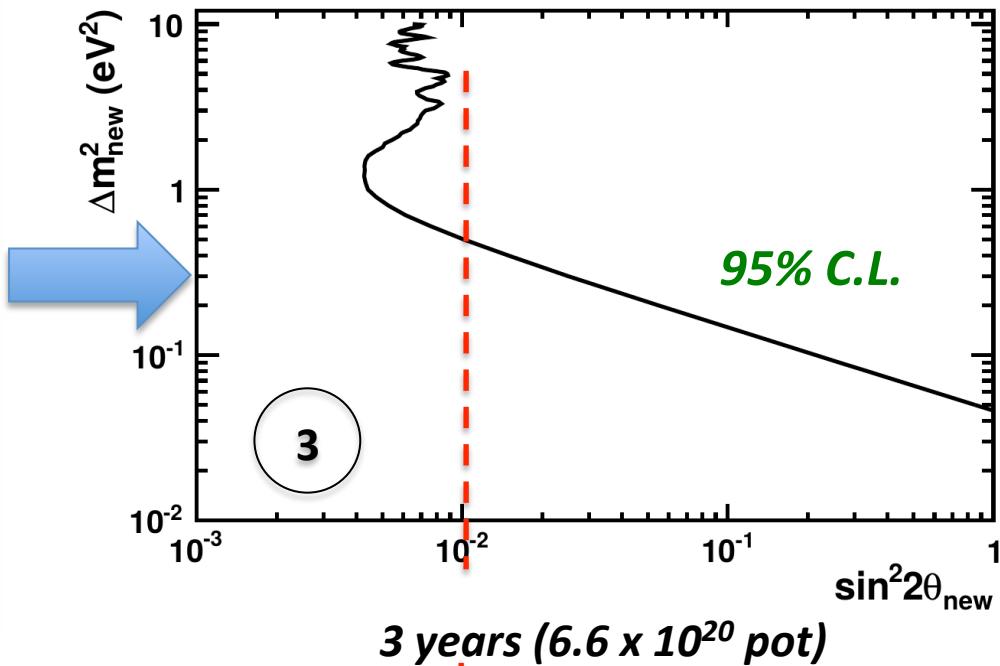


Full simulation and careful treatment
of systematics errors

Three **independent** analyses, with different
statistical approaches

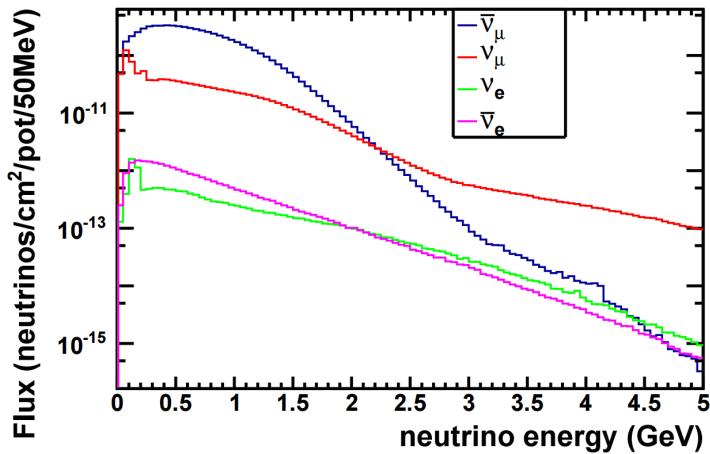
- 1) Feldman & Cousins
- 2) χ^2 + Near/Far correlation matrix
- 3) CLs profile likelihoods

to here (NESSiE)



Unique feature of NESSiE for the anti-neutrino mode

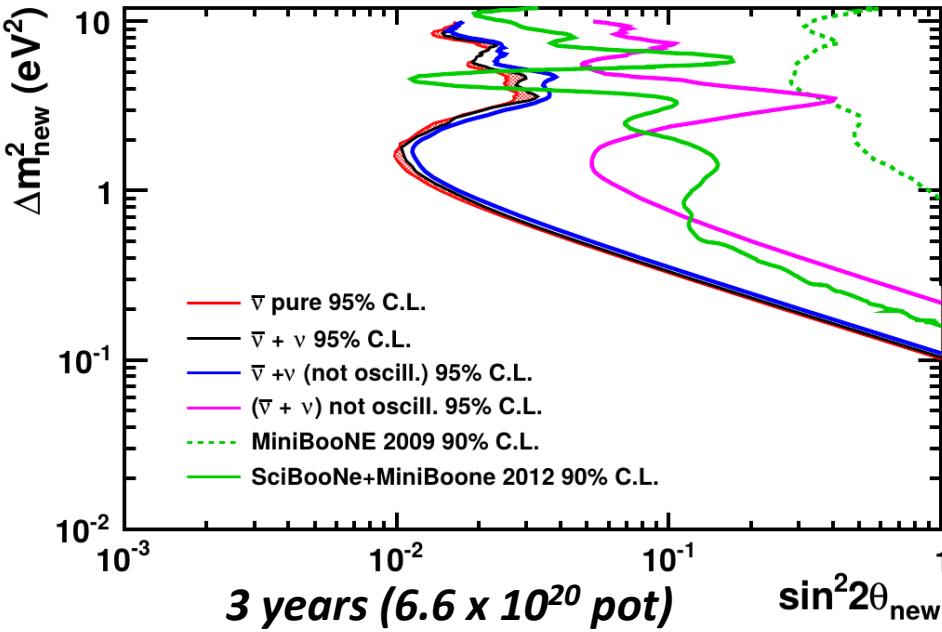
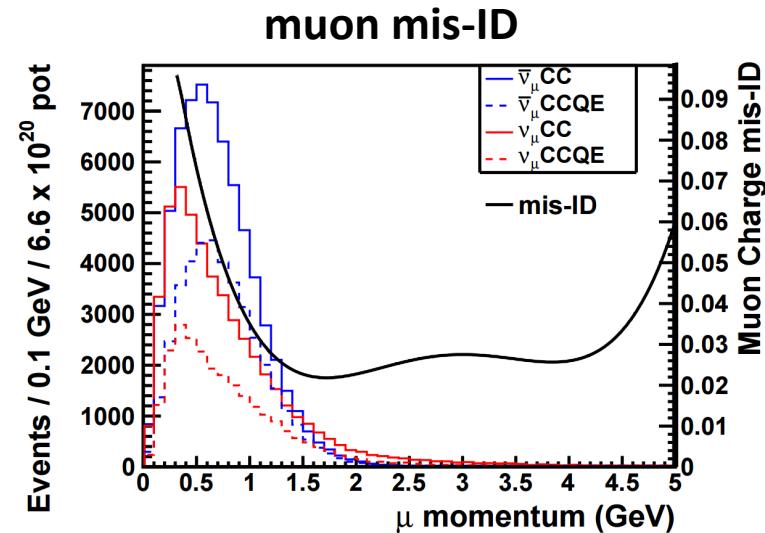
In negative-focusing mode the Booster beam contains a large neutrino component



Exploiting of charge measurement
on event-by-event basis,
anti- ν_μ disappearance is possible

Four scenario studied:

- 1) Pure sample of antineutrinos
- 2) Antineutrinos plus neutrino contamination,
both oscillating and identified by the muon charge
- 3) With neutrino not oscillating
- 4) Without muon charge identification



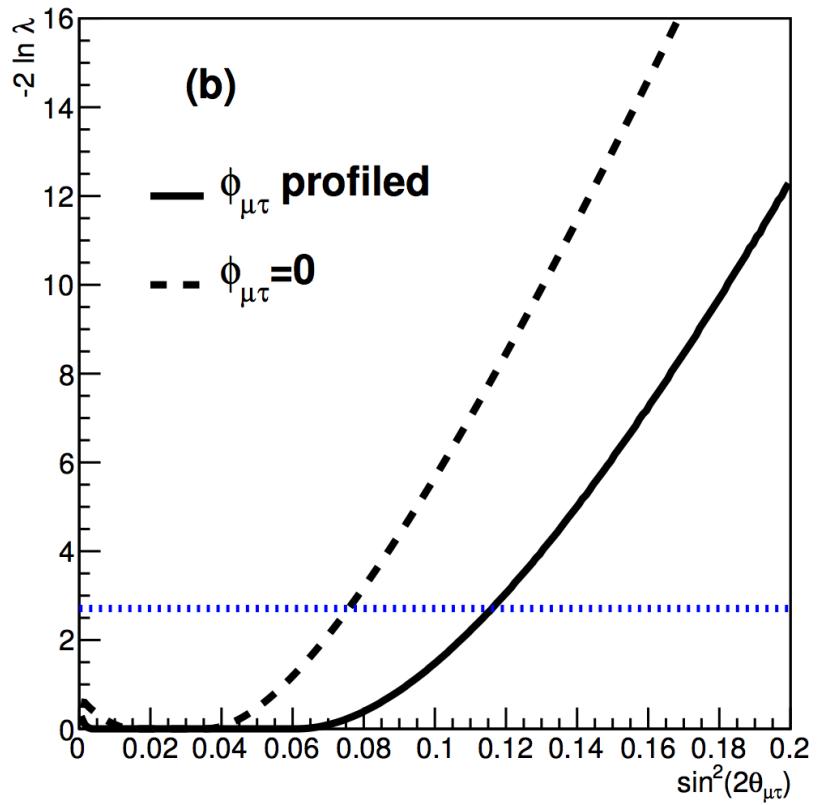
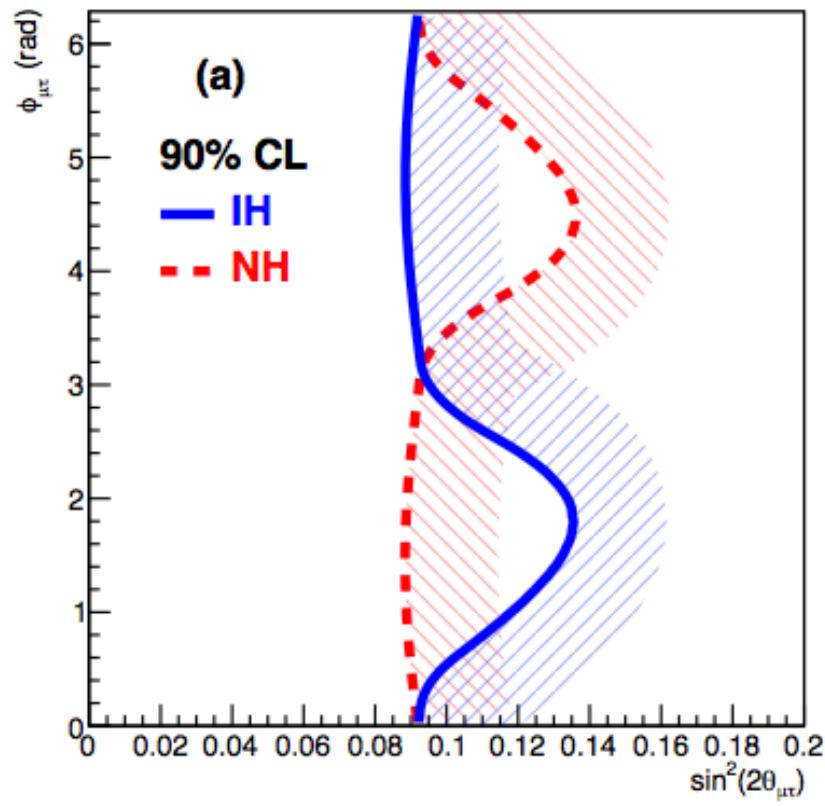
Conclusions and perspectives

- Sterile mixing angle, if it exists, corresponds to low values (order of 1%)
- The sterile issue at eV mass scale can be studied also at **LBL** ν_μ beams
- Many results from OPERA, MINOS, Super-K are already available
- New preliminary result from OPERA on possible ν_τ sterile “anomalies”
Soon new results (without too restrictive assumptions) from OPERA on ν_e oscillation
- New results from MINOS/MINOS+ on ν_e and ν_μ oscillation
- **SBL** project at FNAL: technologically challenging, physically limited
 - Necessary to do an experiment on ν_μ disappearance,
exploiting high statistics (“brute force”) and muon ID
- We urge results in next 2-3 years from on-going projects
- New specific experiments should be settled and approved

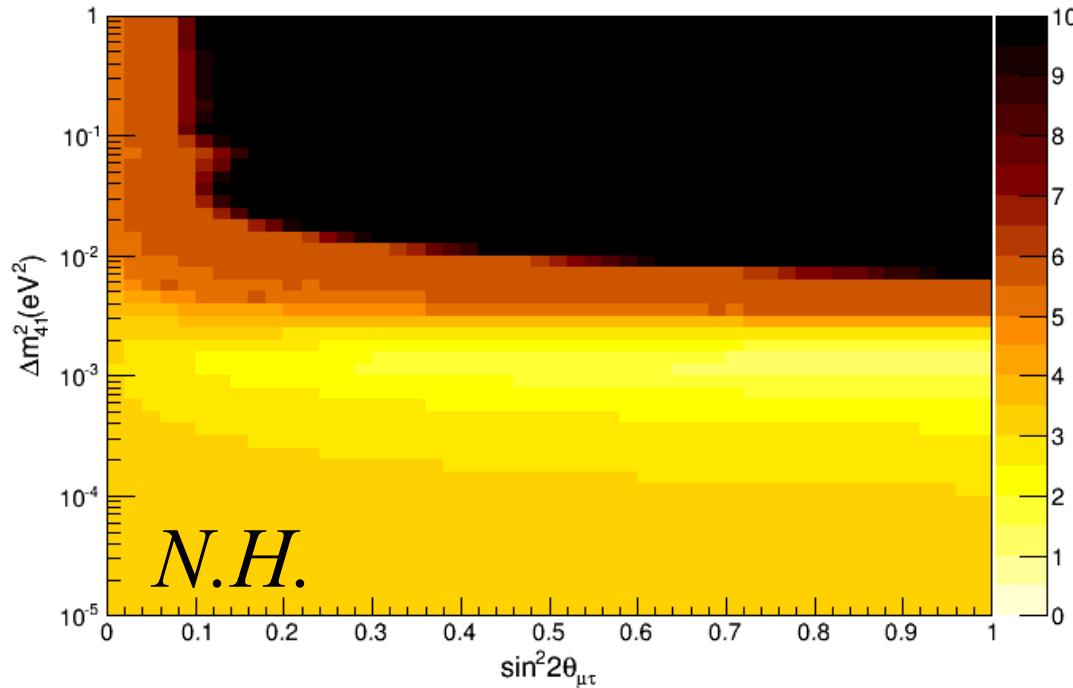
BACKUP

OPERA search for ν_τ sterile “anomalies”

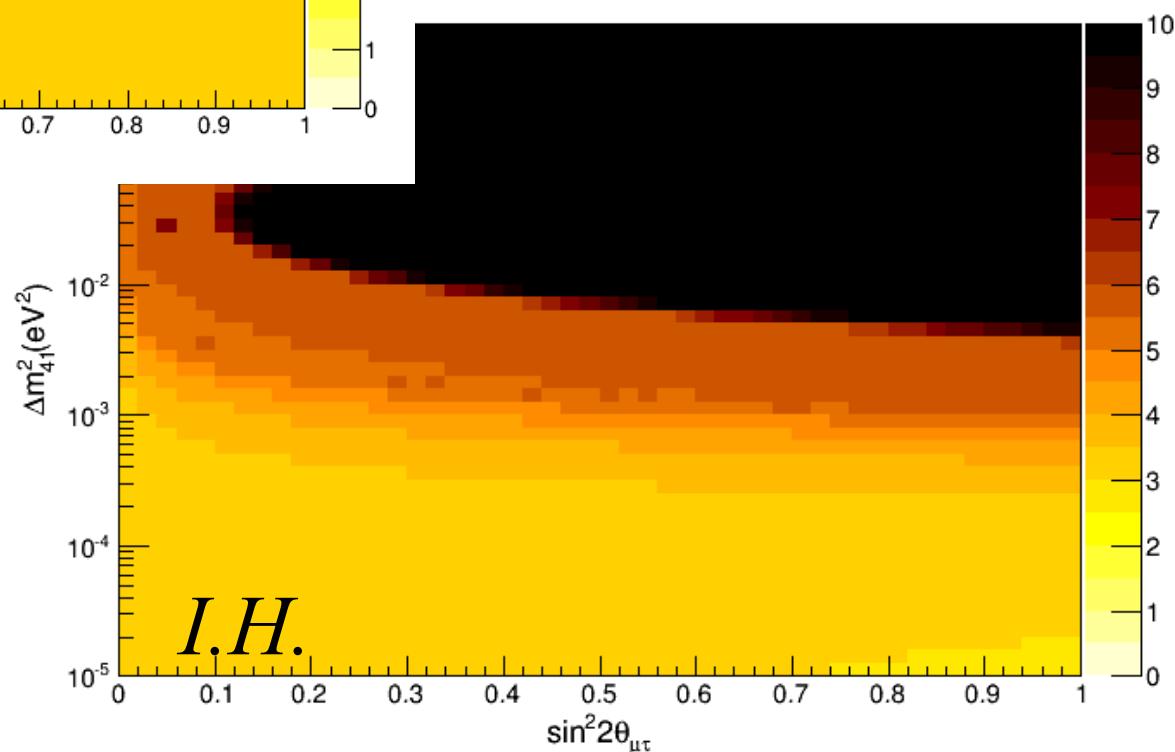
JHEP, 6, 69 (2015) and arXiv:1503.01876, based on 4 taus' candidates



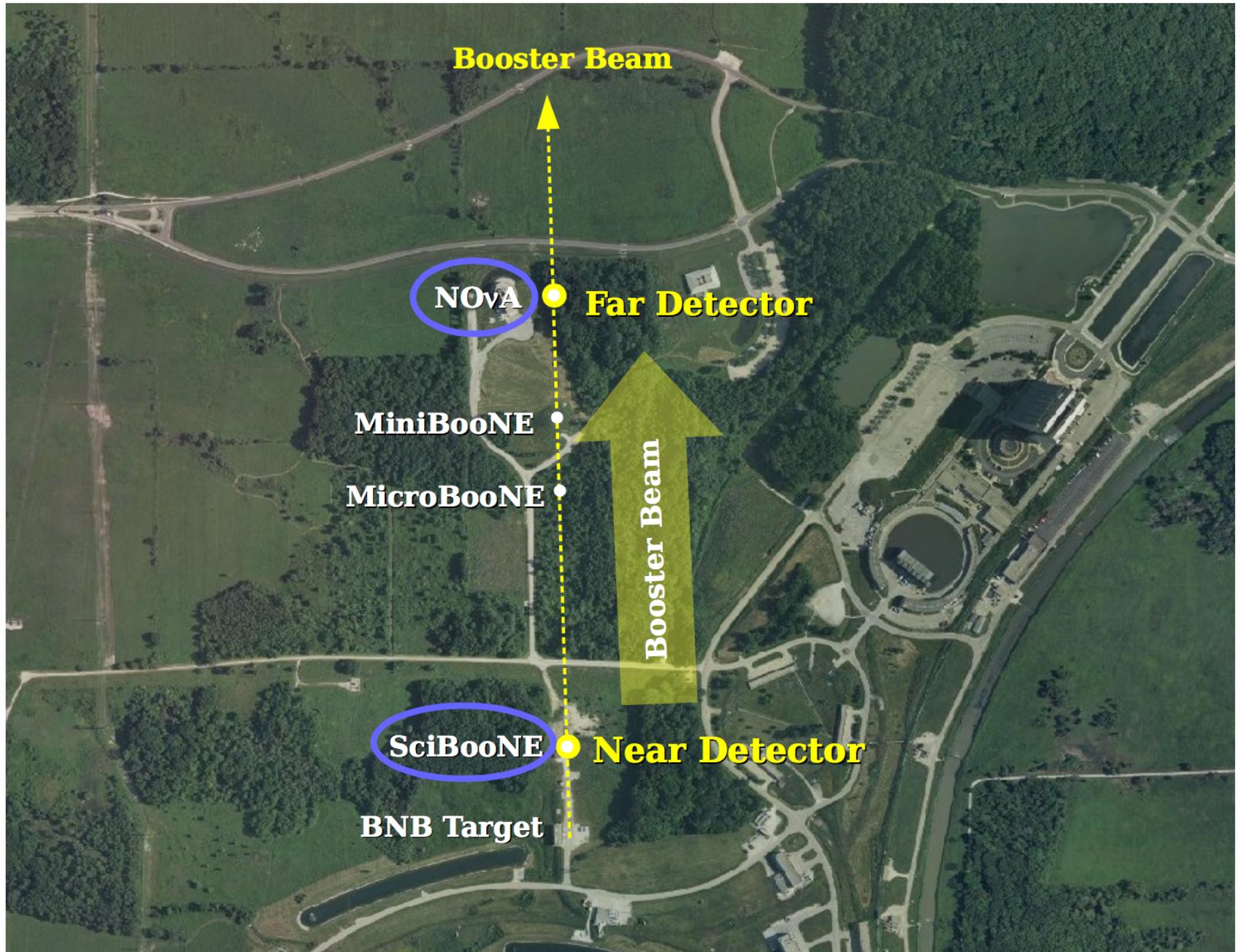
Number of expected ν_τ sterile events



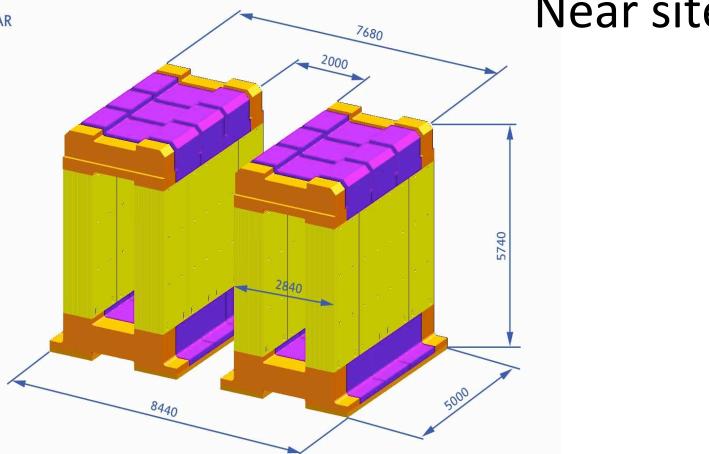
N.H.



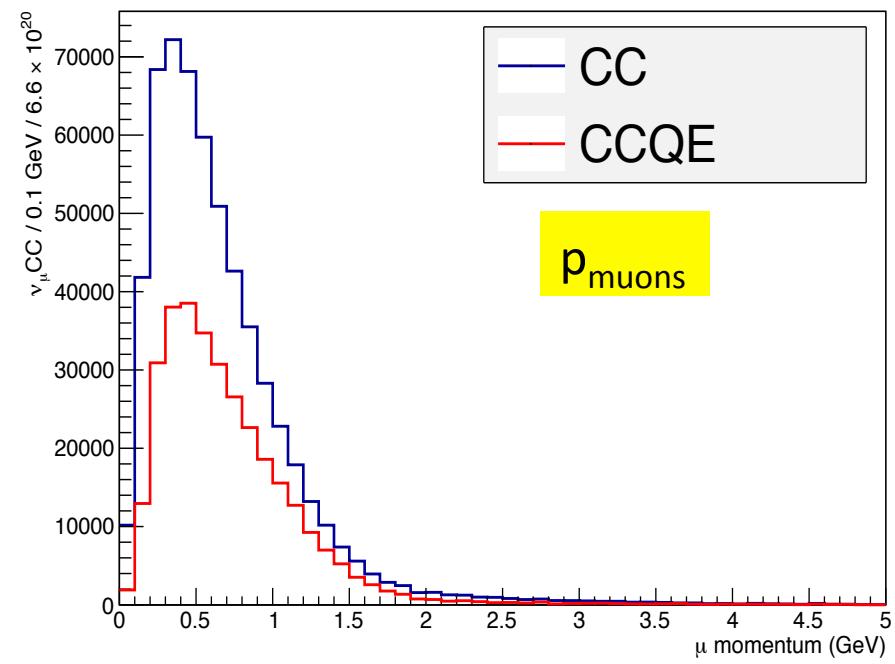
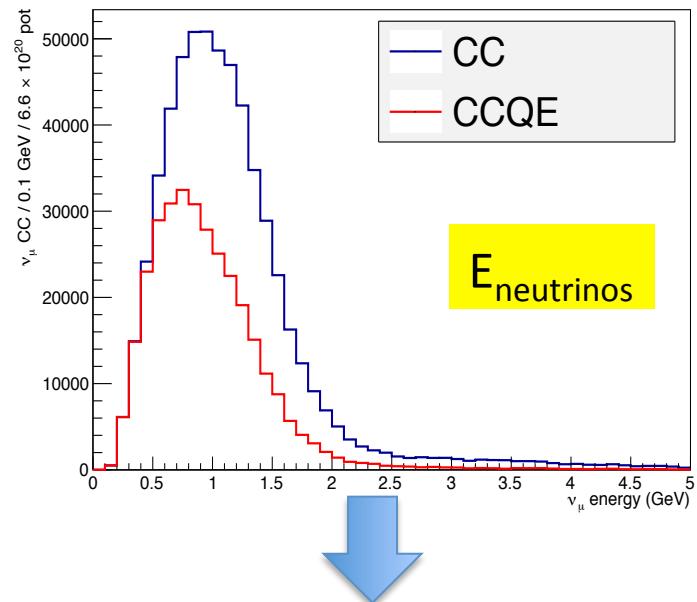
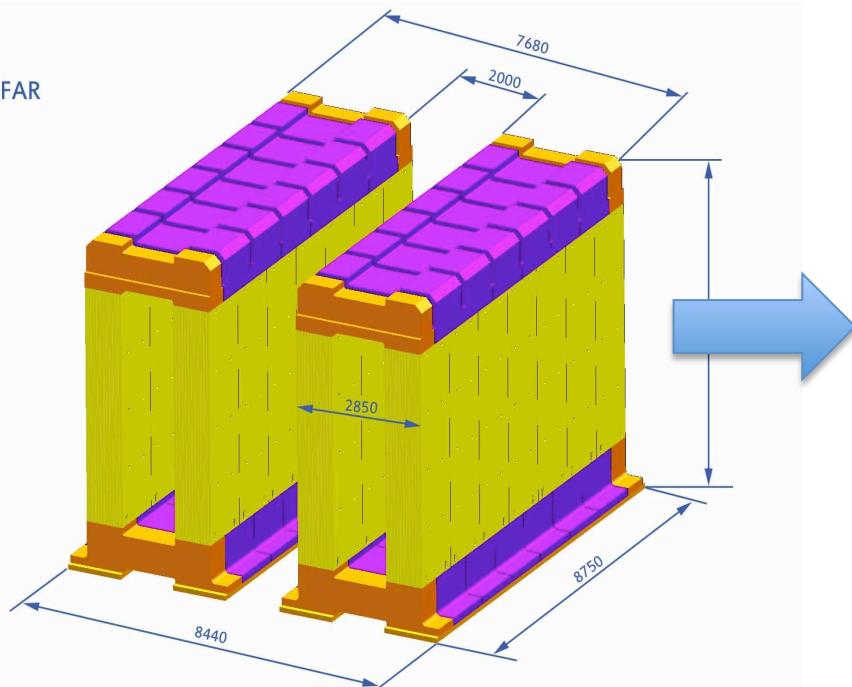
I.H.



NEAR

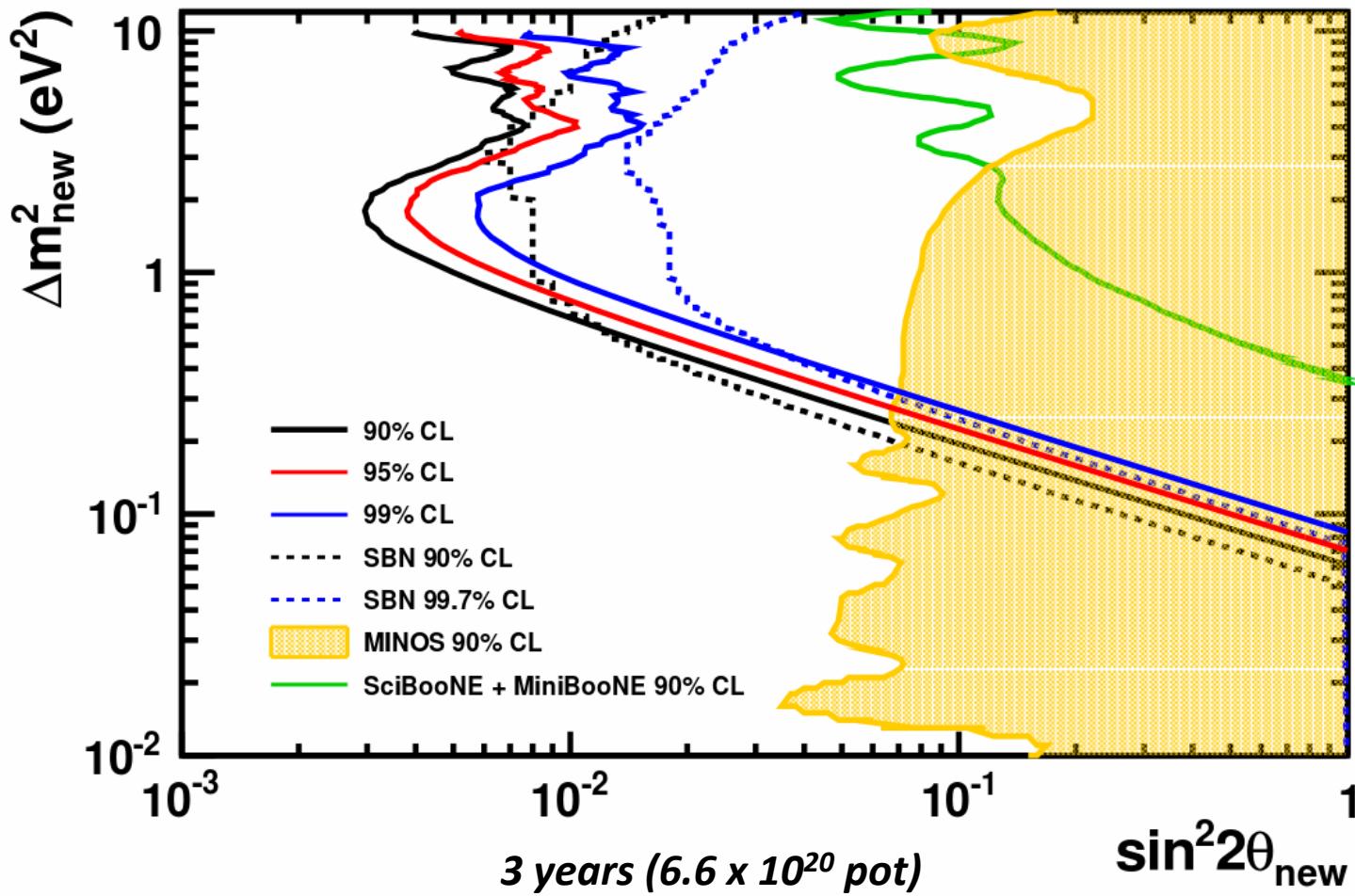


FAR



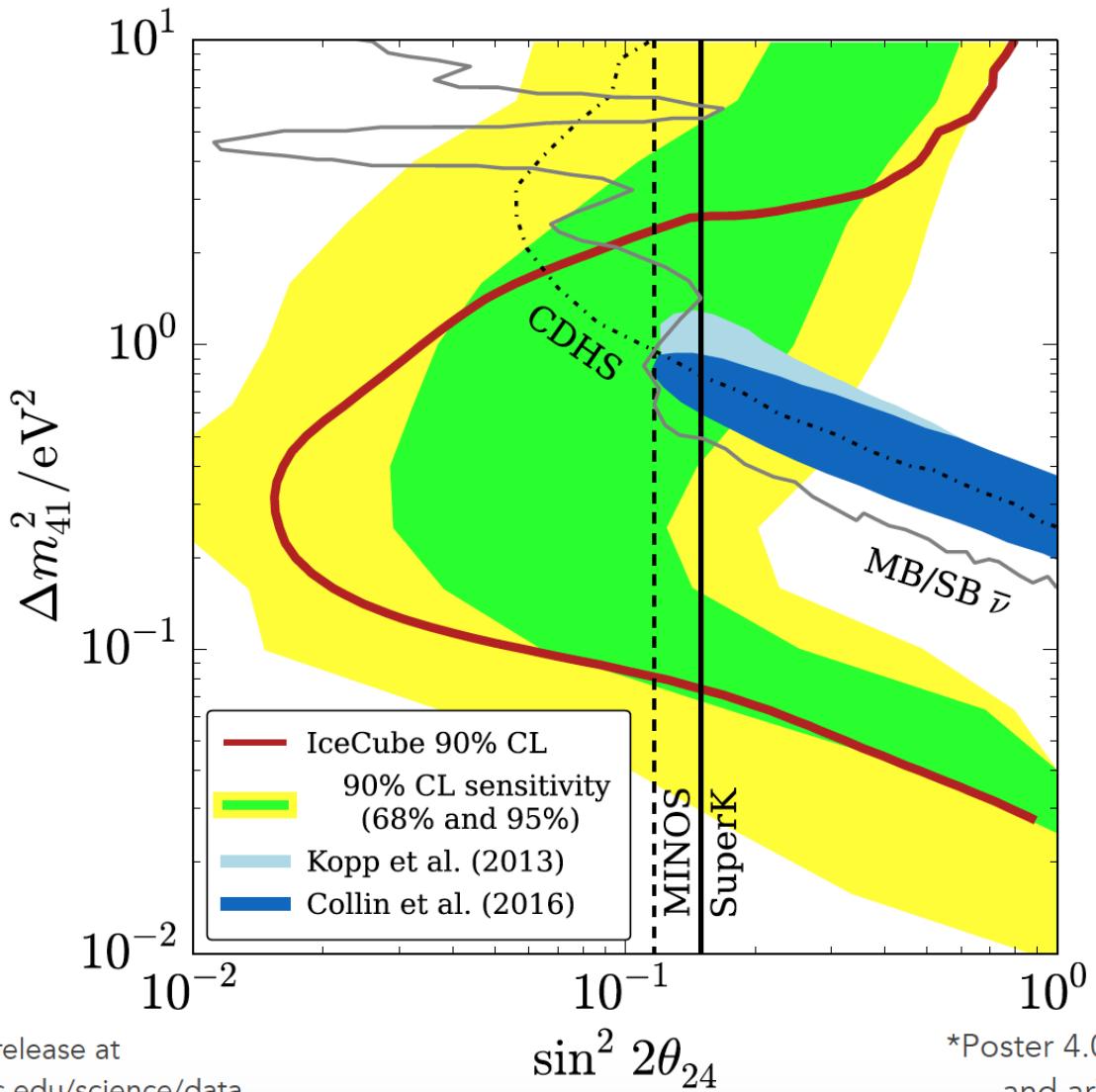
ABSOLUTE nb. interactions in the FAR fiducial volume, 3 years data taking

Compare Sensitivities at 90% C.L.



IceCube new ν Sterile Results

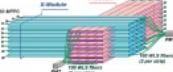
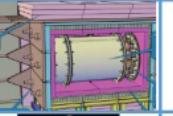
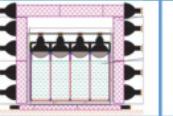
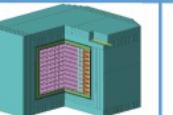
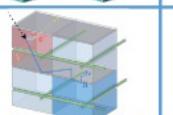
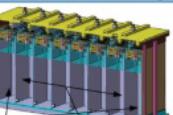
D. Jason Koskinen@Neutrino2016

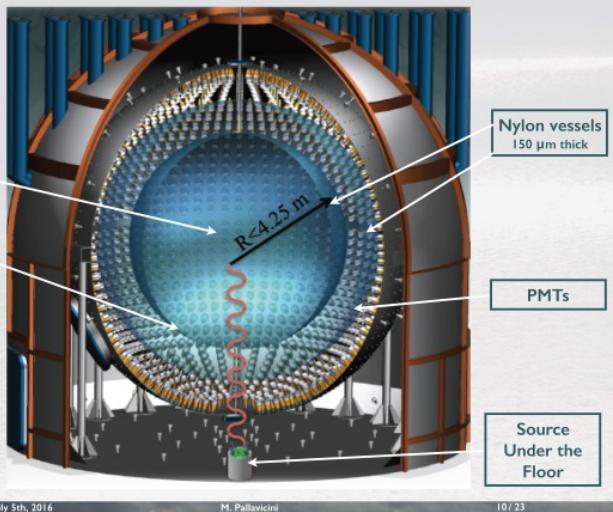


Data release at
wisc.edu/science/data

*Poster 4.088
and arXiv

Next generation sterile (reactor/source) experiments are almost ready

Experiment	Reactor Power/Fuel	Overburden (mwe)	Detection Material	Segmentation	Optical Readout	Particle ID Capability	
DANSS (Russia)		3000 MW LEU fuel	~50	Inhomogeneous PS & Gd sheets	2D, ~5mm	WLS fibers.	Topology only
NEOS (South Korea)		2800 MW LEU fuel	~20	Homogeneous Gd-doped LS	none	Direct double ended PMT	recoil PSD only
nuLat (USA)		40 MW ^{235}U fuel	few	Homogeneous ^6Li doped PS	Quasi-3D, 5cm, 3-axis Opt. Latt	Direct PMT	Topology, recoil & capture PSD
Neutrino4 (Russia)		100 MW ^{235}U fuel	~10	Homogeneous Gd-doped LS	2D, ~10cm	Direct single ended PMT	Topology only
PROSPECT (USA)		85 MW ^{235}U fuel	few	Homogeneous ^6Li -doped LS	2D, 15cm	Direct double ended PMT	Topology, recoil & capture PSD
SoLid (UK Fr Bel US)		72 MW ^{235}U fuel	~10	Inhomogeneous $^6\text{LiZnS}$ & PS	Quasi-3D, 5cm multiplex	WLS fibers	topology, capture PSD
Chandler (USA)		72 MW ^{235}U fuel	~10	Inhomogeneous $^6\text{LiZnS}$ & PS	Quasi-3D, 5cm, 2-axis Opt. Latt	Direct PMT/ WLS Scint.	topology, capture PSD
Stereo (France)		57 MW ^{235}U fuel	~15	Homogeneous Gd-doped LS	1D, 25cm	Direct single ended PMT	recoil PSD



e.g. SOX at LNGS (Borexino)

