

# Leptoquark Flavor Patterns and *B* Decay Anomalies

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# Motivation

- ▶ Experimental data shows several anomalies in  $B$ -decays

- ▶  $R_{D^{(*)}} = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu})}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} l \bar{\nu})}$  ( $3.9\sigma$ , [BaBar, Belle, LHCb] )

- ▶  $R_K = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{K} \mu^+ \mu^-)}{\mathcal{B}(\bar{B} \rightarrow \bar{K} e^+ e^-)}$  ( $2.6\sigma$ , [LHCb] )

- ▶ Anomalies involve leptons and quarks

⇒ Popular approach: Leptoquark models

[Bečirević, Fajfer, Košnik et al. + many more]

- ▶ Anomalies hint at lepton non-universality and involve various quark flavors

⇒ Satisfying explanation requires a model of flavor

⇒ Study leptoquarks in flavor models

# Leptoquark models

Schematically:

$$\mathcal{L}_{\text{LQ}} \sim Y \bar{Q} L \Delta$$
$$\Rightarrow \mathcal{L}_{\text{eff}} \supset \frac{Y Y^*}{M_\Delta^2} [\bar{Q} \Gamma Q] [\bar{L} \Gamma L]$$

- ▶ Leptoquark  $\Delta$  couples to quarks and leptons
- ▶ Coupling  $Y$ :  $3 \times 3$  matrix in flavor space  
columns  $\rightarrow$  lepton gen., rows  $\rightarrow$  quark gen.

$\Rightarrow$  Contributions to various sectors  
(depends on the explicit LQ model)

Charm FCNCs, Kaon decays, ...

$$Y = \begin{pmatrix} Y_{11} & Y_{12} & Y_{13} \\ Y_{21} & Y_{22} & Y_{23} \\ Y_{31} & Y_{32} & Y_{33} \end{pmatrix} \begin{matrix} R_D^{(*)} \\ R_K \end{matrix}$$

# Flavor models

**Goal** Model (hierarchies of) masses and mixing of quarks and leptons

**Approach** Modification of Yukawa interactions

$$\mathcal{L}_{\text{mass}} = -y_{ij} H \bar{\psi}_L^i \psi_R^j$$

- ▶ Replace hierarchical Yukawa couplings with  $\mathcal{O}(1)$  couplings
- ▶ Introduce flavon field  $\theta$  with VEV  $\frac{\langle \theta \rangle}{\Lambda} \sim \lambda \sim 0.2$
- ▶ Use a flavor symmetry and appropriate charges or representations to generate the desired hierarchy by multiple insertions of the flavon field

$$\mathcal{L}_{\text{mass}} = -y'_{ij} \left( \frac{\theta}{\Lambda} \right)^{n_{ij}} H \bar{\psi}_L^i \psi_R^j$$

# Froggatt–Nielsen-Mechanism

$$\mathcal{L}_{\text{mass}} = - \underbrace{y'_{ij} \left( \frac{\theta}{\Lambda} \right)^{q(\bar{\psi}_L^i) + q(\psi_R^j)}}_{y_{ij}} H \bar{\psi}_L^i \psi_R^j$$

Introduce  $U(1)_{\text{FN}}$ -symmetry and assign the following charges:

▶  $q(\theta) = -1$

▶  $q(\bar{Q}) = q(U) = (4, 2, 0), \quad q(D) = (3, 2, 2)$

⇒ Quark masses and mixing

Works well for hierarchical structures

[Froggatt and Nielsen (Nucl. Phys. B147, 1979)]

## $A_4$ -based flavor models

Successful models for non-hierarchical structures (PMNS) are based on discrete, non-abelian subgroups of  $SU(3)$

Representations of  $A_4$ :

- ▶ Three singlets:  $1, 1'$  and  $1''$  which form a  $Z_3$
- ▶ One triplet with multiplication rules

$$(AB)_1 = a_1 b_1 + a_2 b_2 + a_3 b_3$$

$$(AB)_{1'} = a_1 b_2 + a_2 b_1 + a_3 b_3$$

$$(AB)_{1''} = a_1 b_3 + a_2 b_2 + a_3 b_1$$

## $A_4 \times Z_3 \times U(1)$ model

	$L$	$e_R$	$\mu_R$	$\tau_R$	$\phi_\ell$	$\phi_\nu$	$\xi$	$\xi'$
$A_4$	3	1	1'	1''	3	3	1	1'
$Z_3$	1	1	1	1	0	2	2	2

VEVs:  $\langle \phi_\ell \rangle / \Lambda = c_\ell(1, 0, 0)$ ,  $\langle \phi_\nu \rangle / \Lambda = c_\nu(1, 1, 1)$ ,  $\langle \xi^{(\prime)} \rangle / \Lambda = \kappa^{(\prime)}$ ,  
where  $c_{\ell,\nu}, \kappa^{(\prime)} \lesssim \lambda^{\text{few}}$

Neutrino mixing (TBM + correction for  $\theta_{13}$  by  $\xi'$ ):

$$w_\nu = y[L\nu^c]h_u + (x_A\xi + x'_A\xi') [\nu^c\nu^c] + x_B[\phi_\nu\nu^c\nu^c]$$

Diagonal mass matrix for charged leptons:

$$w_L = \frac{y_e}{\Lambda^3}\theta^4[\phi_\ell L]e^c h_d + \frac{y_\mu}{\Lambda^2}\theta^2[\phi_\ell L]'\mu^c h_d + \frac{y_\tau}{\Lambda}[\phi_\ell L]''\tau^c h_d$$

Hierarchy from FN charges  $q(E) = (4, 2, 0)$ ,  $q(L) = 0$

[Altarelli, Feruglio (arXiv:hep-ph/0504165), Varzielas, Pridt (arXiv:1211.5370)]

# Resulting patterns for the leptoquark couplings

Assumptions:

- ▶ Quarks are trivial singlets of  $A_4 \times Z_3$
- ▶ Leptoquark  $\Delta$  is a (non-)trivial singlet of  $A_4$  and carries no FN charge

Consequences of the  $A_4 \times Z_3$  structure at leading order:

- ▶ Isolation of lepton generations – e.g. if  $[\Delta]_{A_4} = 1''$

$$\mathcal{L}_{\text{LQ}} \supset \bar{Q}[\phi_\ell L]' \Delta \quad \text{or} \quad \bar{Q} \mu_{\text{R}} \Delta$$

isolates muons

- ▶ Couplings to lepton doublets are suppressed relative to the singlet couplings by one VEV
- ▶ Non-vanishing  $Z_3$  charges of  $\Delta$  have to be compensated by additional flavon insertions  $(\phi_\nu, \kappa^{(l)})$ 
  - $\Rightarrow$  Isolation of two generations via  $\kappa$  and  $\kappa'$  (lepton singlets)
  - $\Rightarrow$  Democratic patterns (doublets and singlets)



## Resulting patterns for the leptoquark couplings II

Consequences of the  $U(1)_{\text{FN}}$  charges:

- ▶ Rows of LQ coupling matrices are suppressed by the FN charges of the quarks
- ▶ Lepton singlets are also charged under  $U(1)_{\text{FN}}$ 
  - ⇒ Interference of quark and lepton FN charges in couplings
  - ⇒ Cancellations are possible

Examples for  $[\Delta]_{A_4} = 1''$

$$Y_{\bar{Q}L} \sim Y_{QL} \sim \begin{pmatrix} 0 & c_\ell \lambda^4 & 0 \\ 0 & c_\ell \lambda^2 & 0 \\ 0 & c_\ell \lambda^0 & 0 \end{pmatrix} \equiv L_\mu(\bar{Q}L) = L_\mu(QL)$$

$$Y_{\bar{Q}E} \sim \begin{pmatrix} 0 & \lambda^6 & 0 \\ 0 & \lambda^4 & 0 \\ 0 & \lambda^2 & 0 \end{pmatrix} \equiv R_\mu(\bar{Q}E), \quad Y_{QE} \sim \begin{pmatrix} 0 & \lambda^2 & 0 \\ 0 & \lambda^0 & 0 \\ 0 & \lambda^2 & 0 \end{pmatrix} \equiv R_\mu(QE)$$

# Mass basis rotations and higher order corrections

- ▶ LQ couplings are defined in the flavor basis  
⇒ Mass basis rotations are necessary
- ▶ Hierarchies of the rotation matrices are dictated by the flavor symmetry
  - ▶ Quark rotations preserve the hierarchies in the LQ couplings
  - ▶ Lepton mass matrix is diagonal at LO, neutrinos are inclusively reconstructed in experiments
- ▶ Higher order flavon corrections induce additional effects
  - ▶ “0”s are filled in with entries of  $\mathcal{O}(\delta)$  (two VEVs), amended by FN charges of quarks and leptons
  - ▶ “0”s in  $R$ -patterns can be filled in with entries  $\sim \mathcal{O}(\delta')$  (three VEVs), which can be larger than the former corrections if there are cancellations between FN charges

## Quarks in non-trivial representations of $A_4$

- ▶ Assigning individual quark generations to non-trivial singlet representations of  $A_4$  provides additional possibilities
- ▶ Flavon insertions are necessary to preserve  $A_4$  invariance of the mass terms
  - $\Rightarrow Z_3$  and FN charges have to be adjusted accordingly
  - $\Rightarrow$  Mixing of patterns for the respective rows
  - $\Rightarrow$  NLO corrections and rotations become more complicated

Example:  $[\bar{Q}_2]_{A_4} = [\Delta]_{A_4} = 1'' \quad (\theta^2 H \bar{Q}_2 D \rightarrow \xi' H \bar{Q}_2 D)$

$$\Rightarrow L_\mu(\bar{Q}L) = \begin{pmatrix} 0 & c_\ell \lambda^4 & 0 \\ 0 & c_\ell \lambda^2 & 0 \\ 0 & c_\ell \lambda^0 & 0 \end{pmatrix} \rightarrow \tilde{L}_\mu(\bar{Q}L) = \begin{pmatrix} 0 & c_\ell \lambda^4 & 0 \\ c_\nu & c_\nu & c_\nu \\ 0 & c_\ell \lambda^0 & 0 \end{pmatrix}$$

# Phenomenologically viable patterns

Maximal effects in  $R_{D^{(*)}}$  are achieved with modified  $\tau$ -isolation patterns:

$$\blacktriangleright \tilde{L}_\tau(\bar{Q}L) \sim \begin{pmatrix} \lambda^2 c_\nu & \lambda^2 c_\nu & \lambda^2 c_\nu \\ c_\nu & c_\nu & c_\nu \\ \lambda^2 c_\nu + \delta c_\ell & \lambda^2 c_\nu + \delta c_\ell & c_\ell \end{pmatrix}$$

$\blacktriangleright$  Similar pattern:  $L_\tau(\bar{U}L)$  (additional suppression  $c_\nu \rightarrow c_\nu \kappa$ )

$$\blacktriangleright R_\tau(AE) \sim \begin{pmatrix} \delta^{(\prime)*} & \delta^{(\prime)*} & \lambda^{q(A_1)} \\ \delta^{(\prime)*} & \delta^{(\prime)*} & \lambda^{q(A_2)} \\ \delta^{(\prime)*} & \delta^{(\prime)*} & \lambda^{q(A_3)} \end{pmatrix}$$

Entries “\*” are powers of  $\lambda$  and can become large if there are cancellations of FN charges

$\Rightarrow LL$ -contributions suppressed by at least two VEVs,  
chirality-flipping contributions require only one flavon insertion

# Experimental bounds

The strongest constraints arise from:

- ▶ LFV Kaon processes  $s \rightarrow d e \mu$
- ▶  $B \rightarrow K \nu \nu$
- ▶  $\mu - e$ -conversion in nuclei

Kaon decays,  $\mu - e$ -conversion

$$\tilde{L}_\tau \sim \begin{pmatrix} \lambda^2 c_\nu & \lambda^2 c_\nu & \lambda^2 c_\nu \\ c_\nu & c_\nu & c_\nu \\ * & * & c_\ell \end{pmatrix} R_{D^{(*)}}$$

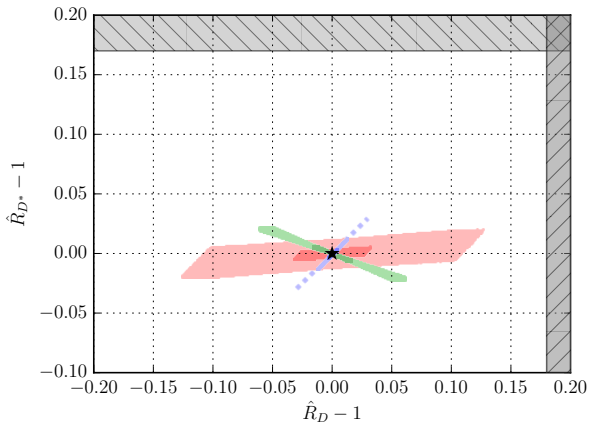
$B \rightarrow K \nu \nu$

$\Rightarrow$  Strong bounds on  $c_\nu$

$\Rightarrow$  Small effects in  $R_{D^{(*)}}$

# Leptoquark effects in $R_{D^{(*)}}$

$$\mathcal{L}_{\text{LQ}} \supset \left( Y_{\bar{U}L} \bar{u}_R L_L + Y_{\bar{Q}E} \bar{Q}_L i \sigma_2 e_R \right) S_2^\dagger \\ + \left( Y_{\bar{Q}L} \bar{Q}_L \gamma_\mu L_L + Y_{\bar{D}E} \bar{d}_R \gamma_\mu e_R \right) V_1^{\mu\dagger} + Y_{\bar{Q}L} \bar{Q}_L \gamma_\mu \vec{\sigma} L_L \vec{V}_3^{\mu\dagger}$$



## Leptoquark effects in $b \rightarrow sll$

$$\mathcal{L}_{\text{LQ}} \supset Y_{QL} \bar{Q}_L^c i \sigma_2 \vec{\sigma} L_L \vec{S}_3^\dagger + Y_{\bar{Q}L} \bar{Q}_L \gamma_\mu \vec{\sigma} L_L \vec{V}_3^{\mu\dagger} \\ + \left( Y_{\bar{Q}L} \bar{Q}_L \gamma_\mu L_L + Y_{\bar{D}E} \bar{d}_R \gamma_\mu e_R \right) V_1^{\mu\dagger}$$

- ▶ Using the  $\tilde{L}_\mu$ -pattern, LQs  $S_3$ ,  $V_3$  and  $V_1$  induce  $C_9^{\text{NP}\mu} = -C_{10}^{\text{NP}\mu}$
- ▶  $R_K$  can be explained, while experimental bounds from Kaon decays,  $\mu - e$ -conversion and  $B$ -mixing are satisfied
- ▶ In  $V_1$  right-handed couplings can induce large effects in Kaon decays and  $\mu \rightarrow e\gamma$  if FN-cancellations occur  
Solution: Flip signs of FN charges  $q(E)$

## Summary and conclusion

- ▶ Flavor symmetries that explain quark and lepton masses and mixings give rise to patterns for LQ couplings
- ▶ Generic suppression of lepton doublet couplings  
⇒ Chirality-flipping contributions  $>$  SM-like  $LL$ -operators
- ▶ Constraints from Kaon decays,  $\mu - e$ -conversion and  $b \rightarrow s\nu\nu$  limit the reach of LQ effects in flavor observables  
→ present world average of  $R_{D^{(*)}}$  cannot be explained
- ▶ Natural explanations of  $R_K$  are possible using muon isolation patterns
- ▶ Due to the suppression of the couplings, the LQ mass needs to be relatively small (several hundred GeV to a few TeV)  
⇒ close to experimental bounds of direct searches at ATLAS and CMS