

Theorie LHC France workshop – GDR QCD 2016
IPNO, Nov. 2016

Heavy flavor production in pp and pA at LHC

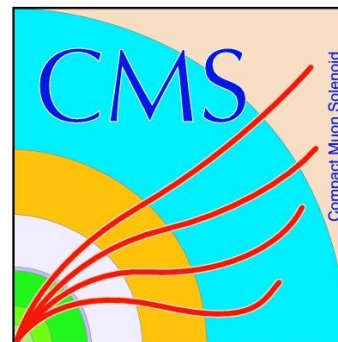
Yanxi ZHANG (LAL)



ALICE



ATLAS
EXPERIMENT



8 Nov. 2016

Outline

- Introduction
- Production cross-sections
- Associated production
- Heavy quarkonia polarization
- Production in pA
- Summary

Personal biased selections

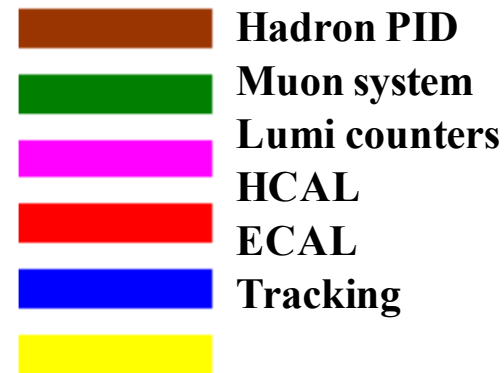
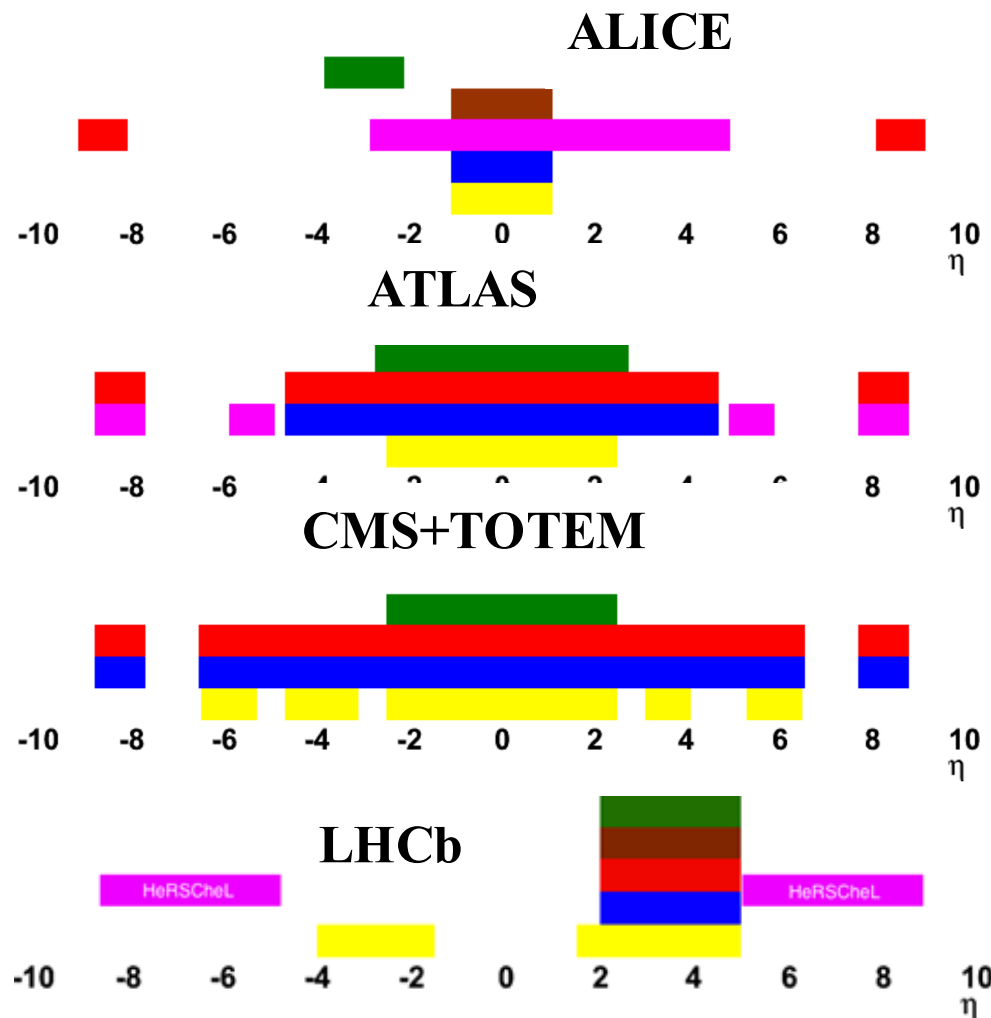
Introduction

- Heavy flavor productions are tools to understand QCD
 - Heavy quark (pair) production can be calculated perturbatively within QCD
 - Many ways to deal with non perturbative effects, ideas tested with data
 - ✓ Quarkonia production: CEM, CSM, NRQCD

$$\sigma(pp \rightarrow Q + X) = \sum_n \hat{\sigma}(pp \rightarrow Q\bar{Q}[n] + X) \times \langle \mathcal{O}^Q(n) \rangle$$

- Better understanding of QCD is fundamental and essential for new physics searches
 - Important inputs for precision measurements of SM EW physics
 - Anomalies observed in beauty/charm decays: new physics or QCD effects?
- Heavy flavor productions also probe nuclear matter effects
 - Produced in early stage of collisions, then interact with medium
 - Production in pA collisions is reference for AA collisions for cold nuclear effects
 - ✓ Shadowing, anti-shadowing, energy loss, CGC...

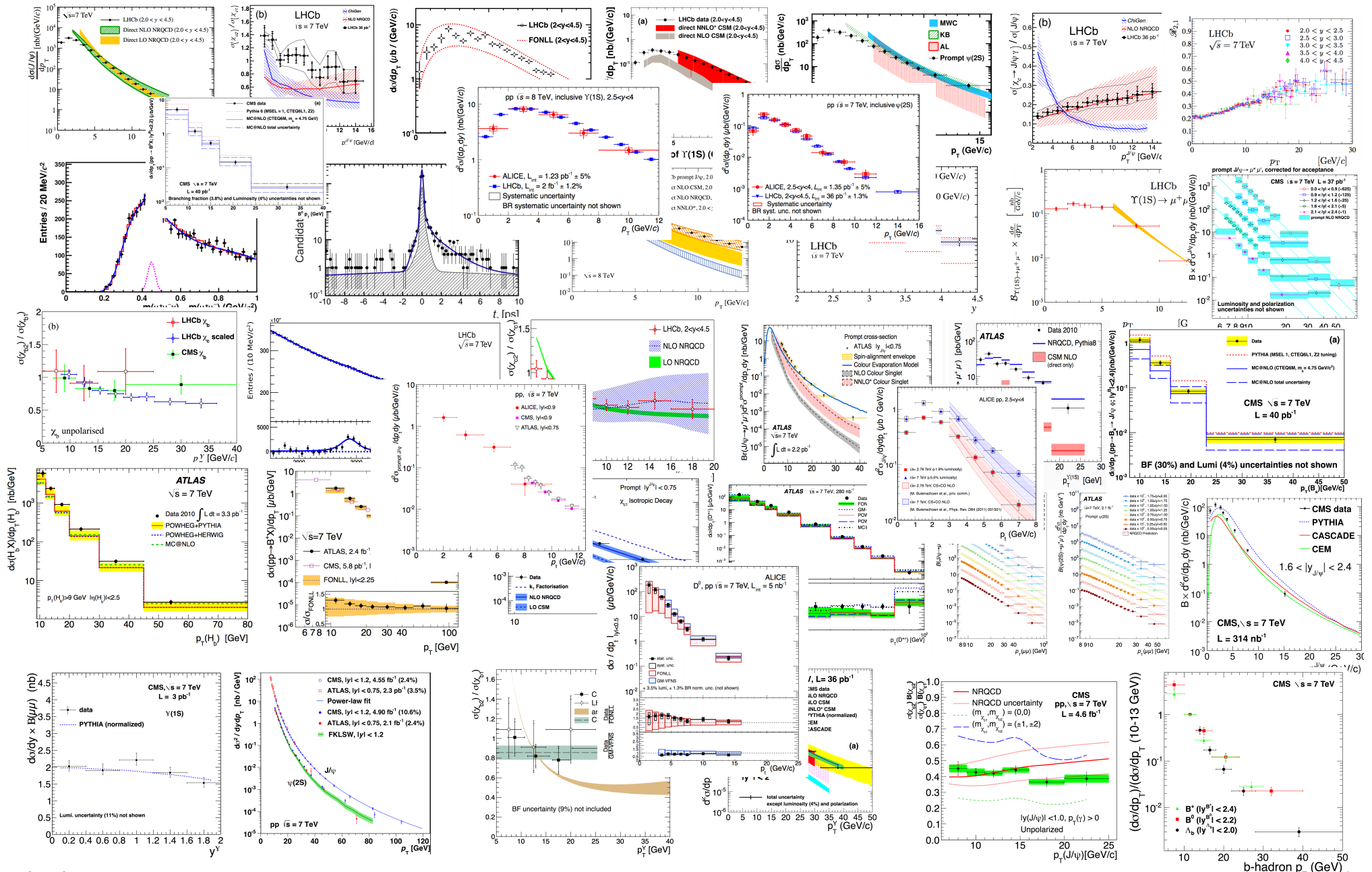
LHC experiments



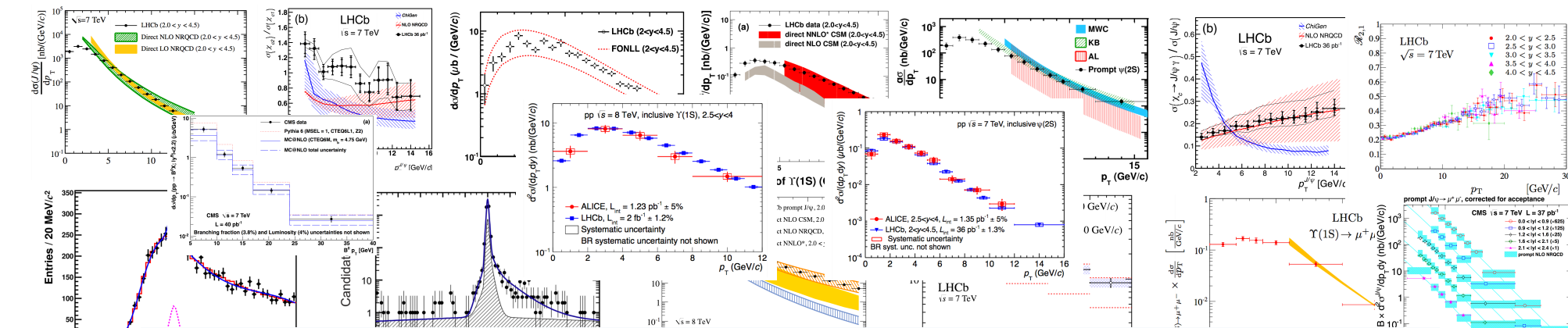
ATLAS, CMS: mid rapidity, high p_T
 LHCb: large rapidity, low p_T
 ALICE: large rapidity for muons,
 mid rapidity for hadrons

Productions in pp collisions in LHC run I

Heavy flavor production at LHC Run I

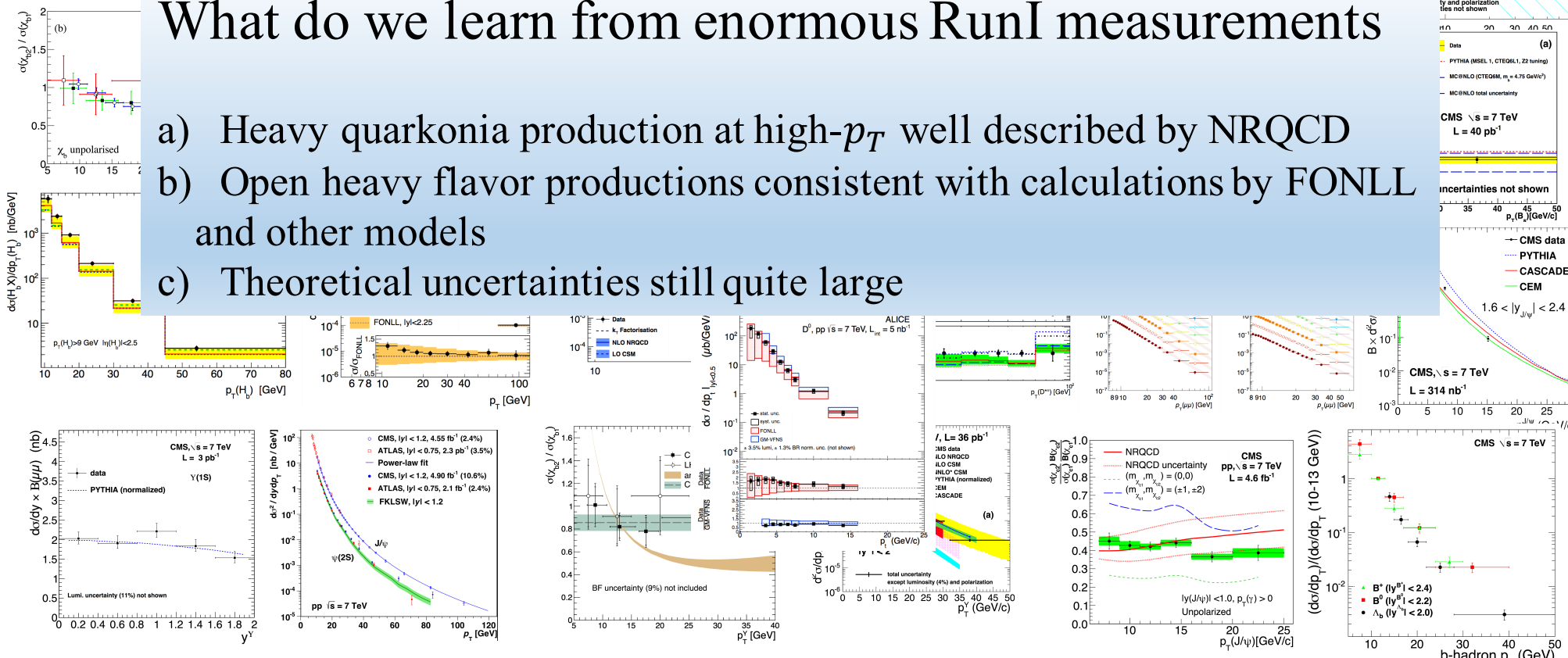


Heavy flavor production at LHC RunI



What do we learn from enormous RunI measurements

- Heavy quarkonia production at high- p_T well described by NRQCD
- Open heavy flavor productions consistent with calculations by FONLL and other models
- Theoretical uncertainties still quite large

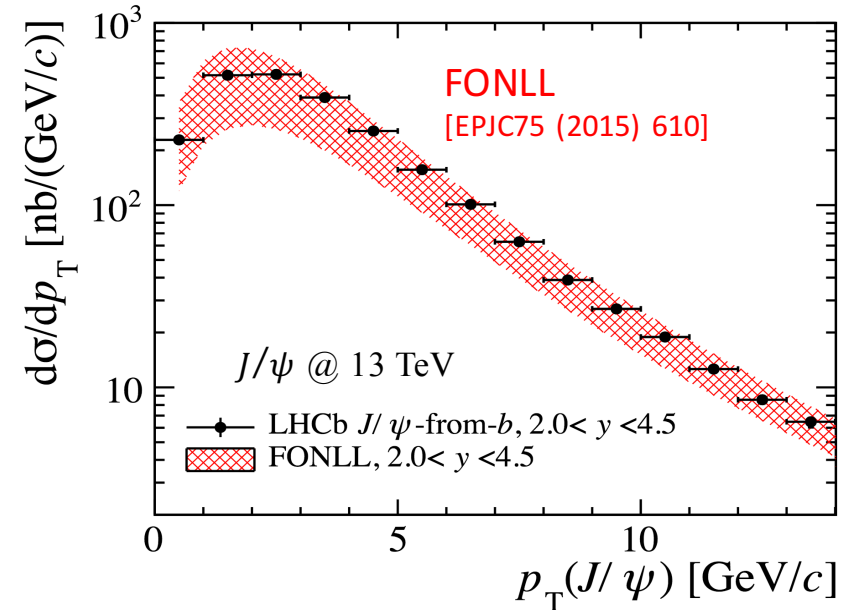
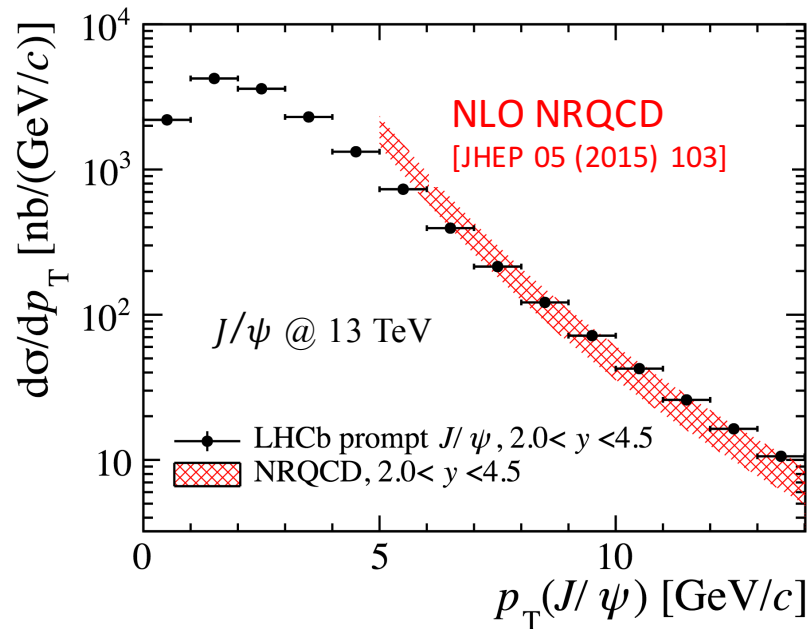


Productions in pp collisions (LHC run II data)

- J/ψ @ 13 TeV (LHCb) JHEP 10 (2015) 172
- Prompt charm @ 5, 13 TeV (LHCb) JHEP 03 (2016) 159
- B^+ @ 13 TeV (CMS) arXiv:1610.02230
- B^+ @ 13 TeV (CMS) arXiv:1609.00873

J/ψ : p_T distributions

LHCb: JHEP 10 (2015) 172

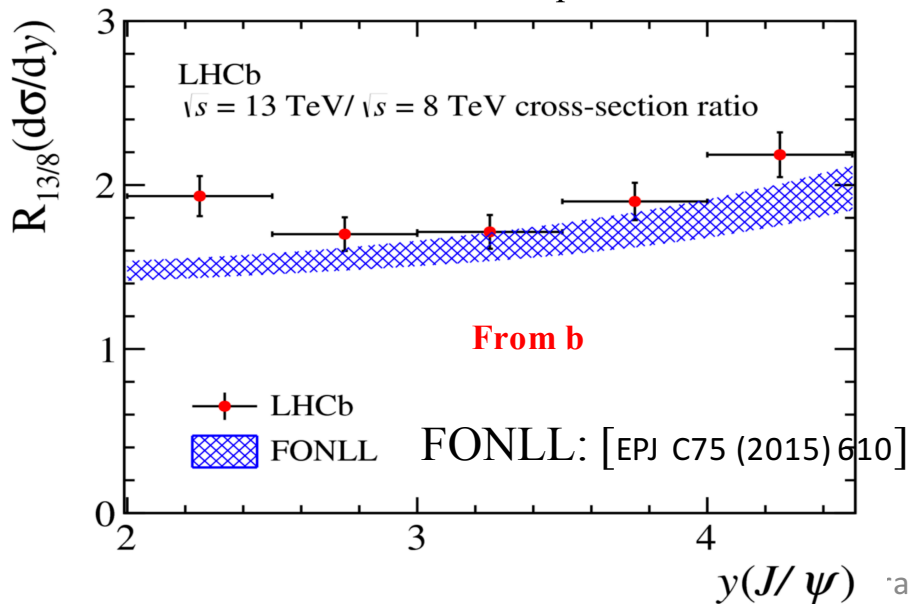
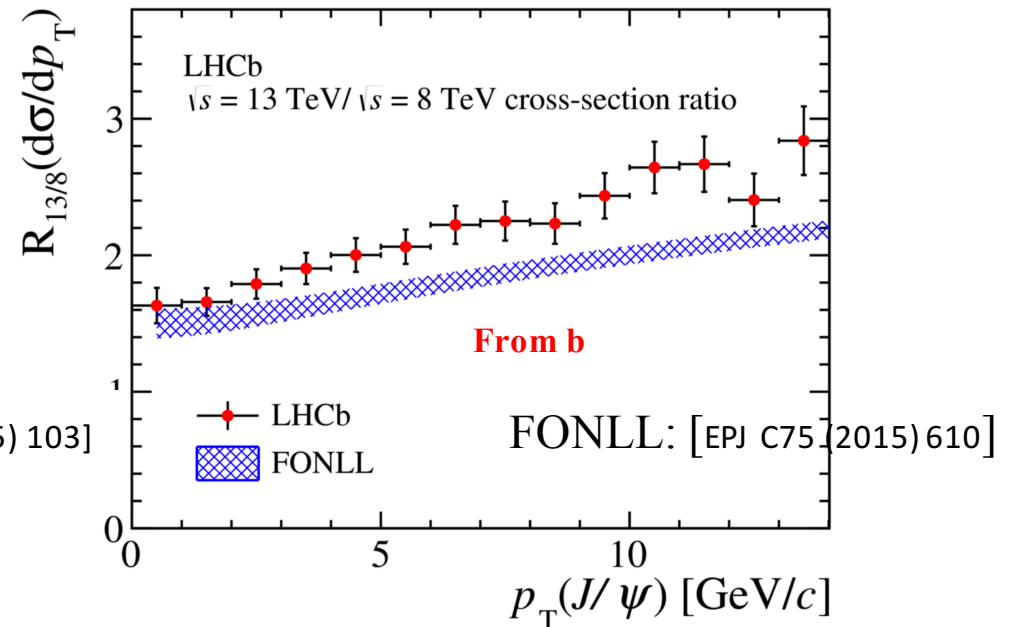
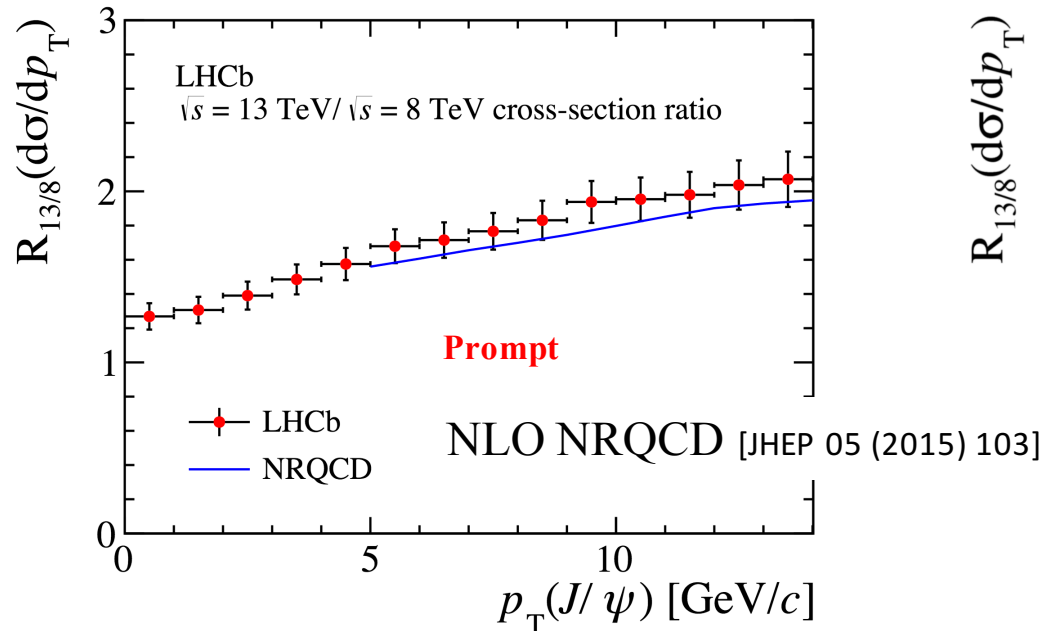


- Prompt: in good agreement with NLO NRQCD predictions
- From b : consistent with FONLL calculations

Ratio of $\sigma(J/\psi)$ at 13, 8 TeV

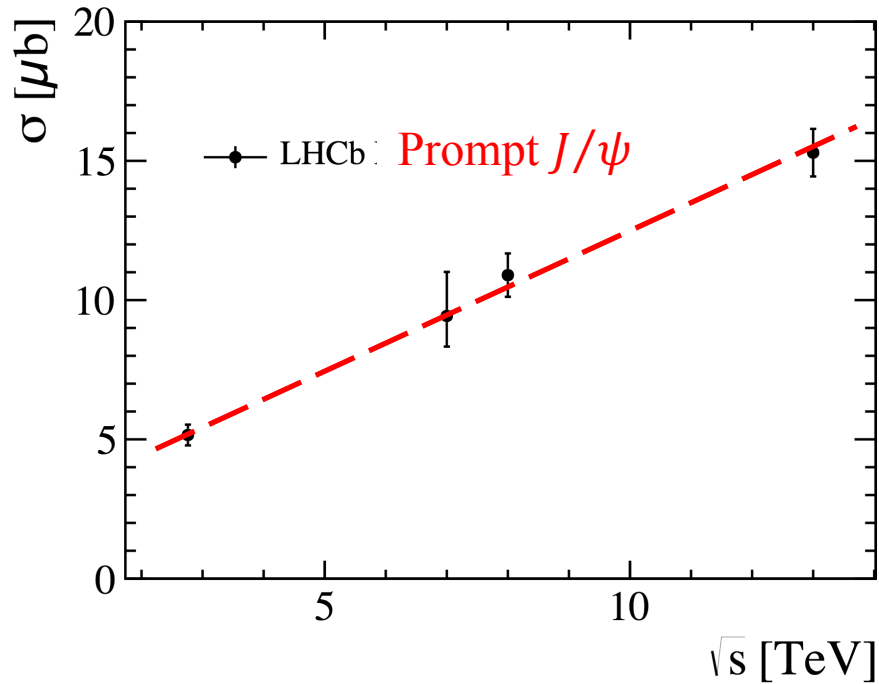
Uncertainties largely cancel

LHCb: JHEP 10 (2015) 172

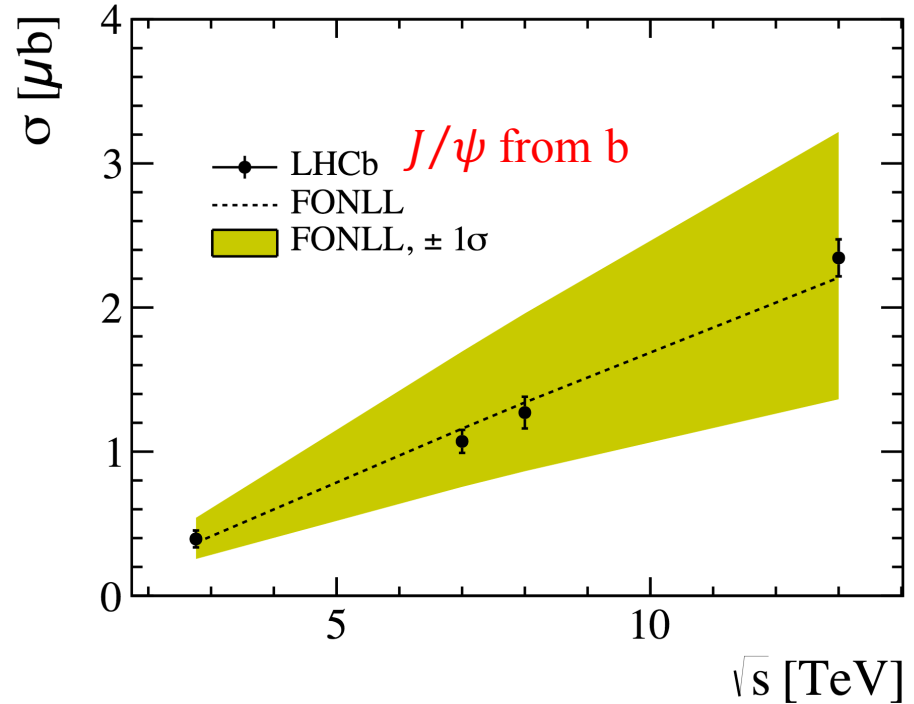


- Cross-section ratio between 13 and 8 TeV increases with p_T
- Ratio for prompt J/ψ is consistent with NLO NRQCD predictions
- Agreement with FONLL also not bad

$\sigma(J/\psi)$ as a function of \sqrt{s}



LHCb: JHEP 10 (2015) 172



$\sigma(J/\psi, \text{prompt})$ scales almost linearly with \sqrt{s} in range 2.76-13 TeV

$\sigma(J/\psi, \text{from } b)$ well described by FONLL prediction [JHEP 05 (1998) 007]

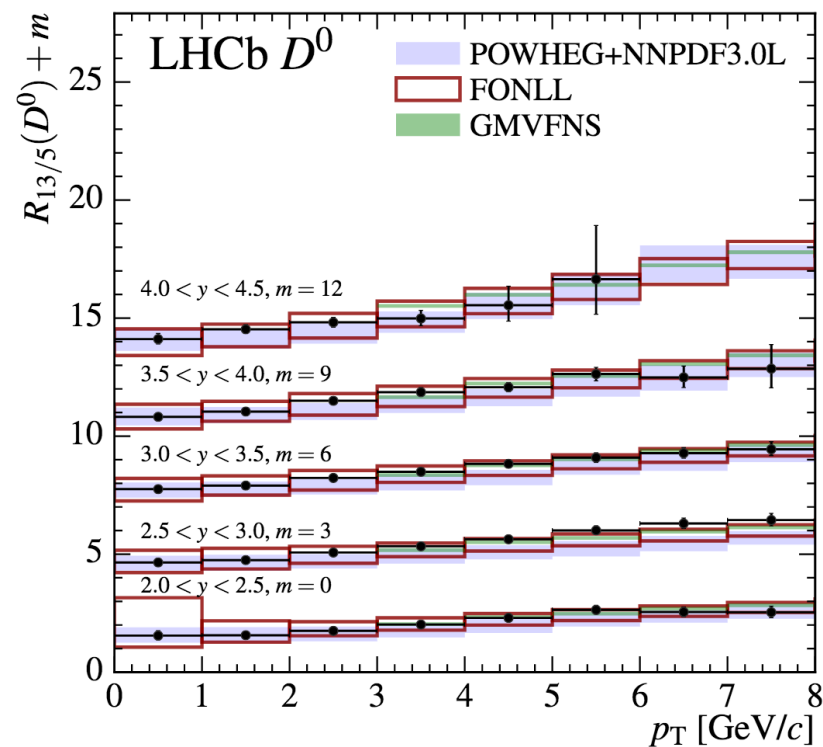
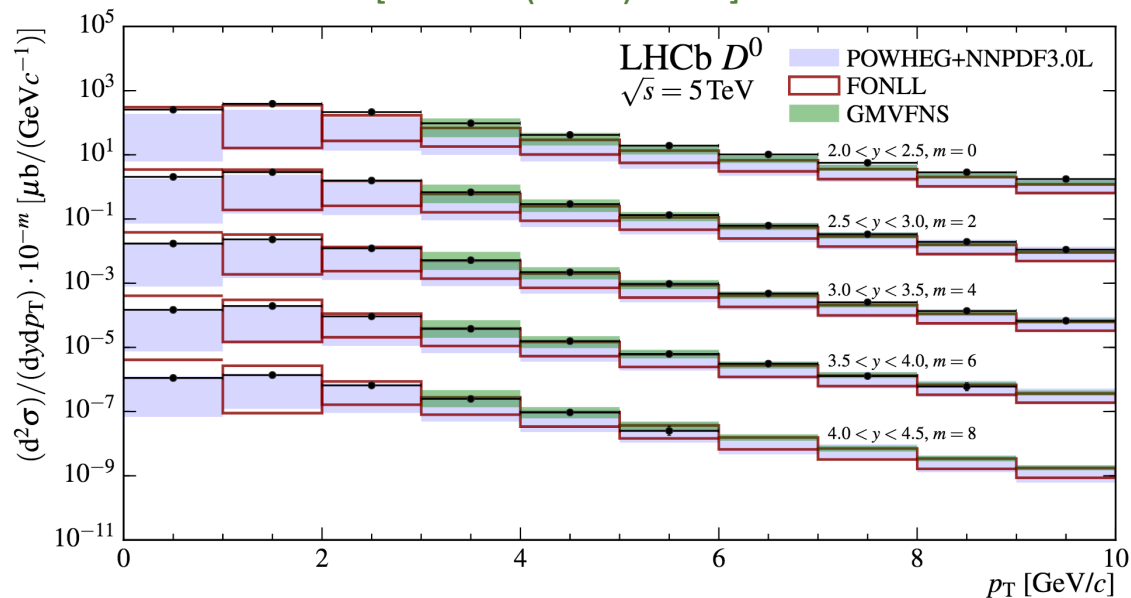
Open charm: p_T distributions

LHCb: JHEP 03 (2016) 159, arXiv:1610.02230

POWHEG+NNPDF2.0L: [JHEP 11 (2015) 009]

FONLL: [EPJ C75 (2015) 610]

GMVFNS: [EPJ C72 (2012) 2082]



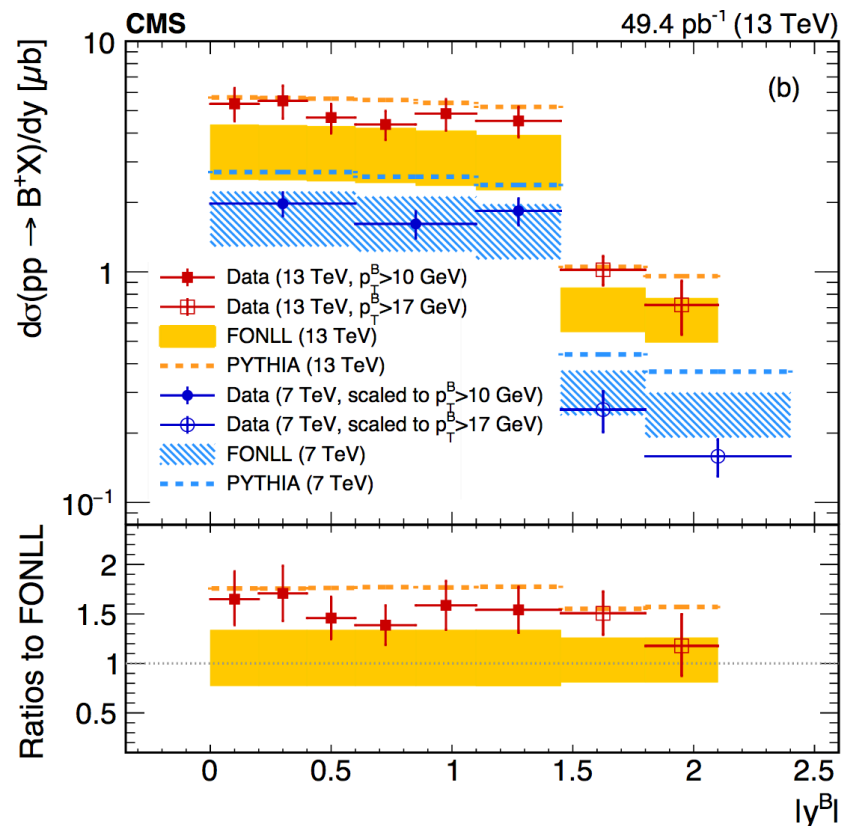
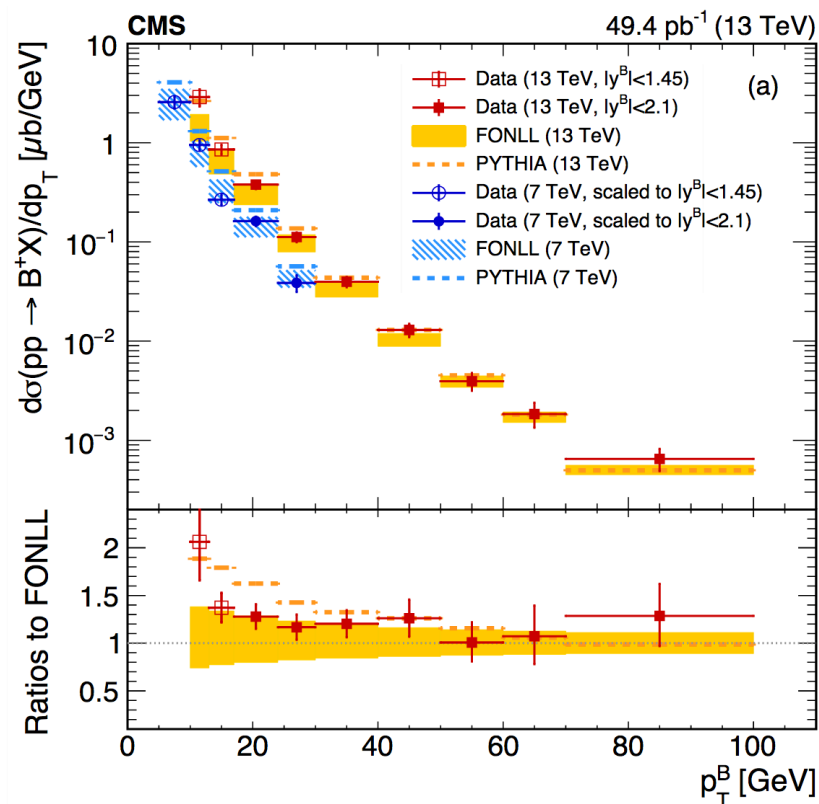
p_T distributions in good agreement with theoretical predictions,
ratio cross-sections described surprisingly well.

B^+ : differential cross-sections

CMS: arXiv:1609.00873, PRL 106 (2011) 112001

PYTHIA: 8.1

FONLL: [JHEP 05 (1998) 007] [JHEP 03 (2001) 006]



Distributions in good agreement with predictions from PYTHIA and FONLL in terms of shape and normalization

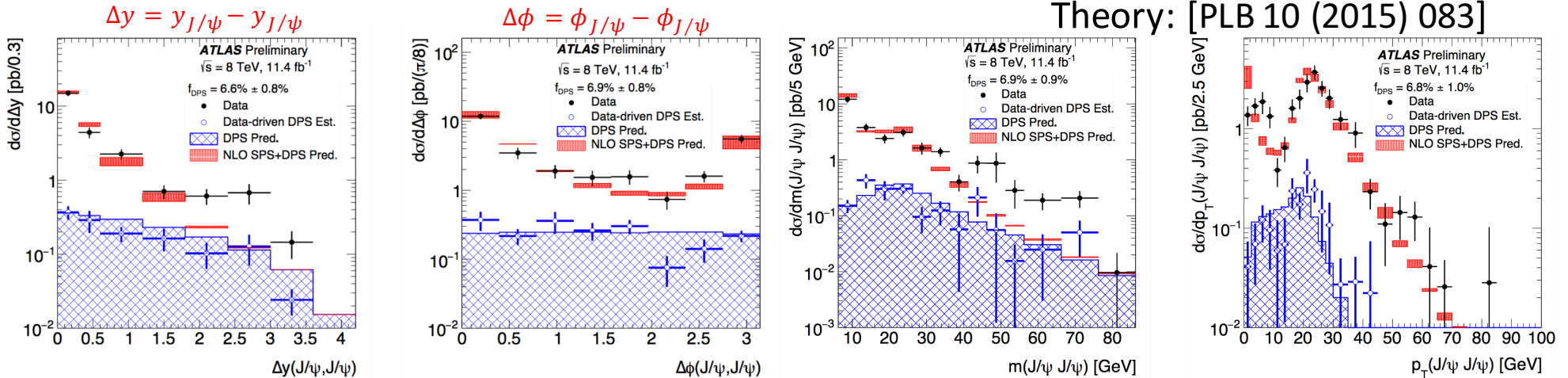
Associated production

- Double J/ψ @ LHCb, CMS, ATLAS
- J/ψ + open charm
- Υ + open charm

PLB707 (2012) 52
JHEP 06 (2012) 141
JHEP 09 (2014) 094
JHEP 07 (2016) 052
ATLAS-CONF-2016-047

Double J/ψ by ATLAS

ATLAS: $p_T(J/\psi) > 8.5$ GeV, $|y(J/\psi)| < 2.1$, f_{DPS} determined assuming DPS dominates for $|\Delta y| \geq 1.8$ and $|\Delta\phi| \leq \frac{\pi}{2}$, $f_{DPS} \approx (7 \pm 1)\%$, **dominated by SPS in full phase space**



- DPS templates in data obtained using mixed events
- DPS distributions well described by data
- Peaking in $|\Delta y| = 0$, $|\Delta\phi| = 0, \pi$ and $|p_T| \approx 20$ GeV suggests SPS dominated
- Tensions between data and NLO SPS in large $m(J/\psi J/\psi)$ and large $|\Delta y|$

Effective cross sections:

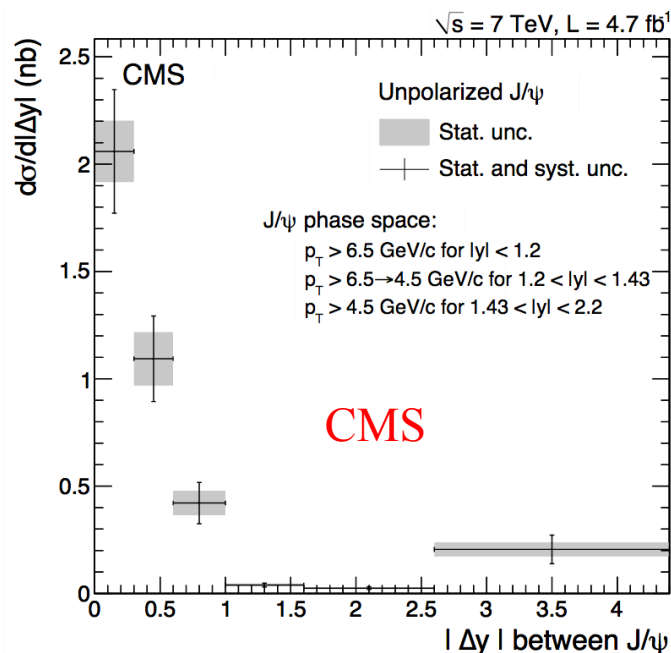
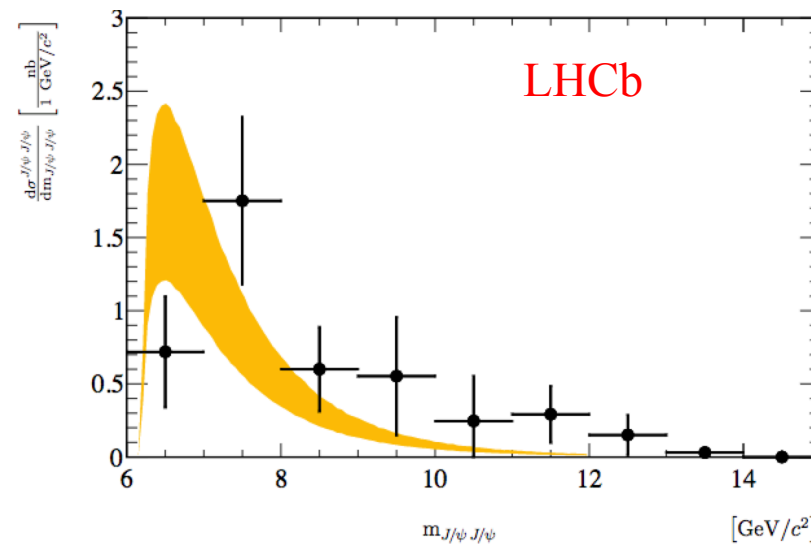
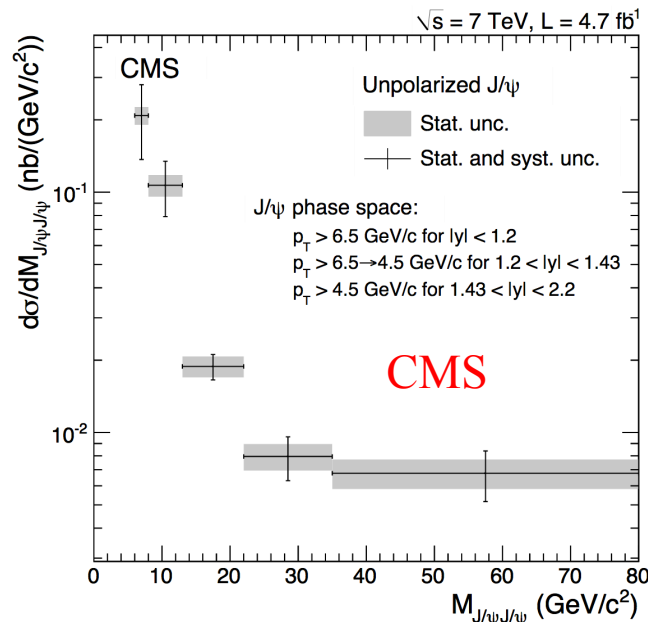
DPS (SPS): double (Single) parton scattering

$$\sigma_{\text{eff}} = \frac{1}{2} \frac{\sigma_{J/\psi} \sigma_{J/\psi}}{\sigma_{\text{DPS}}^{J/\psi, J/\psi}} = \frac{1}{2} \frac{\sigma_{J/\psi} \sigma_{J/\psi}}{f_{\text{DPS}} \times \sigma_{J/\psi J/\psi}} \approx 8.7 \pm 1.1(\text{stat}) \pm 1.4(\text{syst}) \text{ mb}$$

slightly lower than other measurements (15-20 mb)

Double J/ψ by LHCb, CMS

PLB707 (2012) 52
JHEP 09 (2014) 094



$$\sigma^{J/\psi J/\psi} = 5.1 \pm 1.5 \text{ nb}$$

$$\sigma^{J/\psi J/\psi}$$

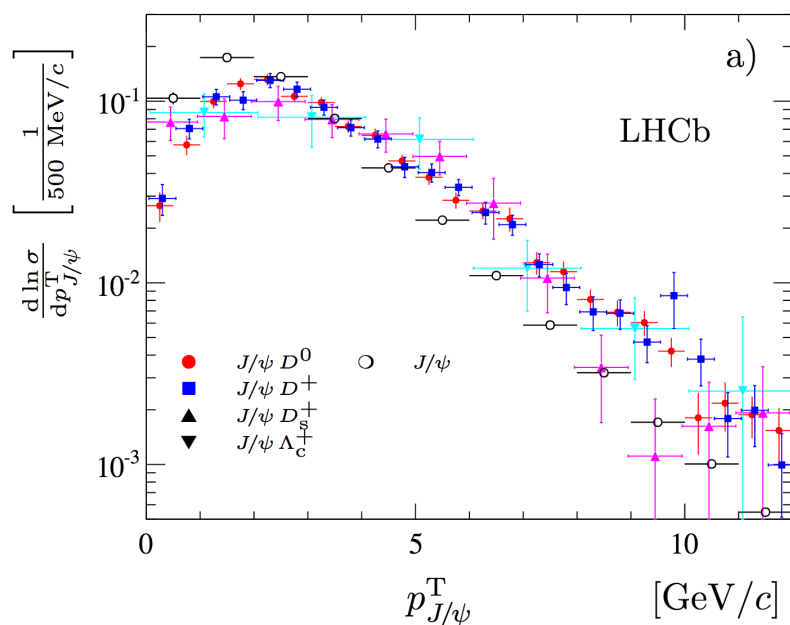
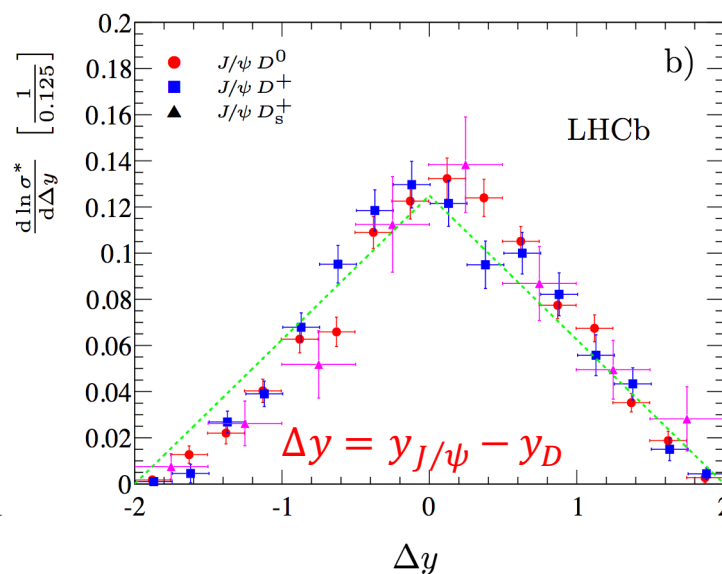
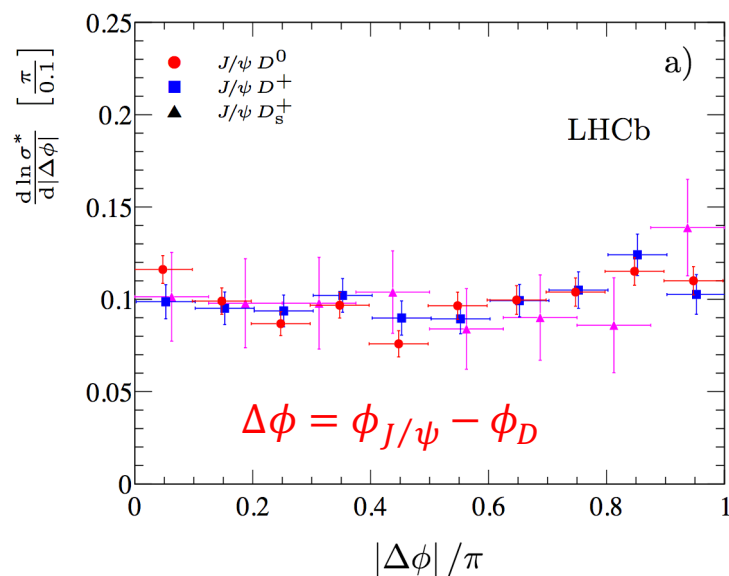
$$\frac{\sigma^{J/\psi J/\psi}}{\sigma^{J/\psi}} = (5.1 \pm 1.0 \pm 0.6_{-1.0}^{+1.2}) \times 10^{-4}$$

$$\Rightarrow \sigma_{\text{eff}} \geq \frac{\sigma^{J/\psi J/\psi}}{2\sigma^{J/\psi}} \approx 10 \text{ mb} \quad \text{large DPS?}$$

- $|\Delta y|$ and $m(J/\psi J/\psi)$ distributions at CMS agree with ATLAS
- LHCb result suggests a hint of large DPS contribution (at low p_T)

J/ψ + open charm

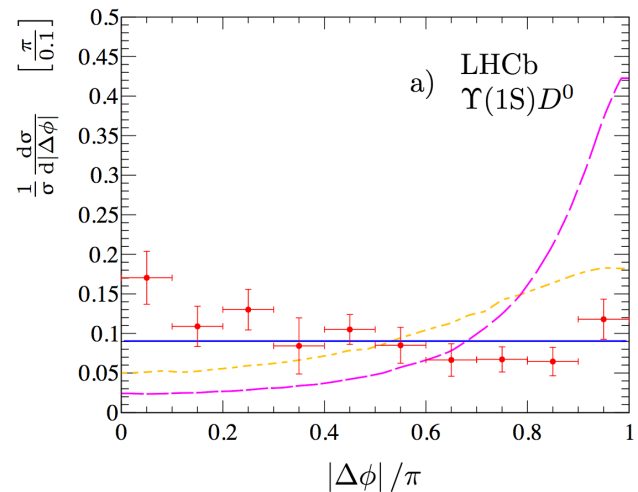
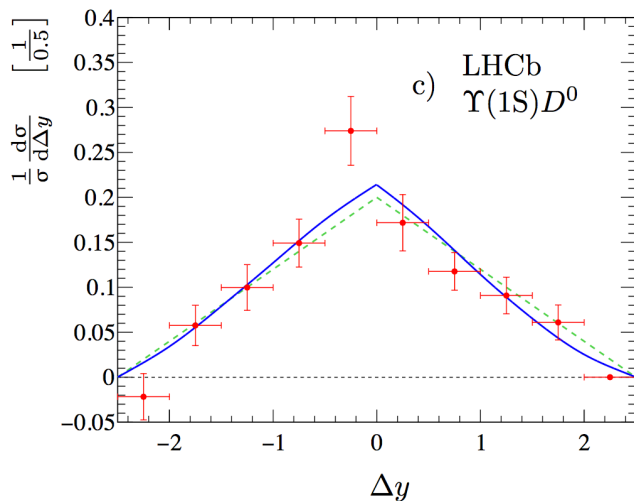
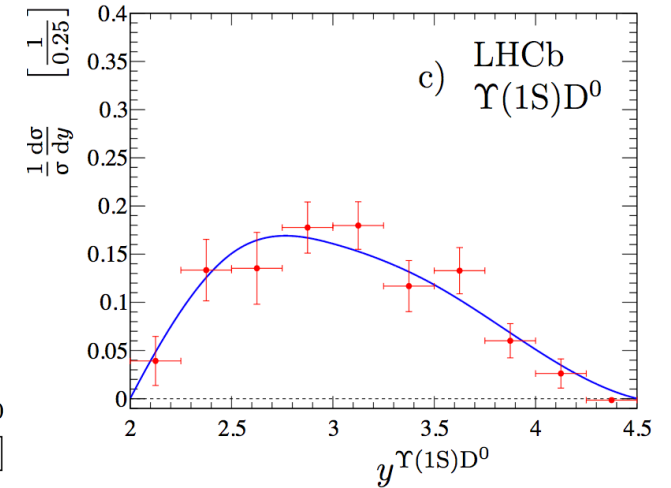
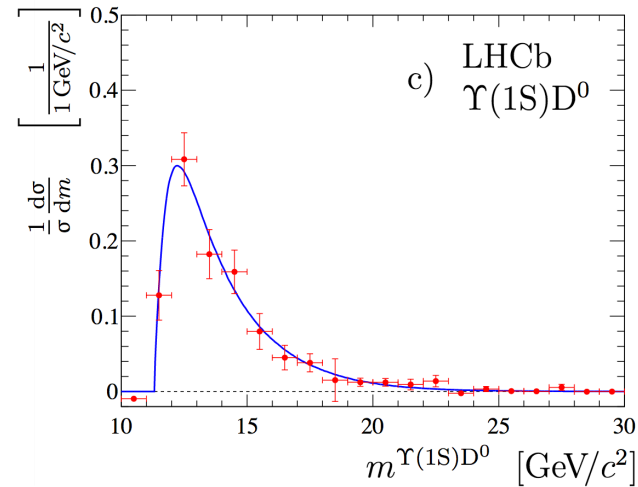
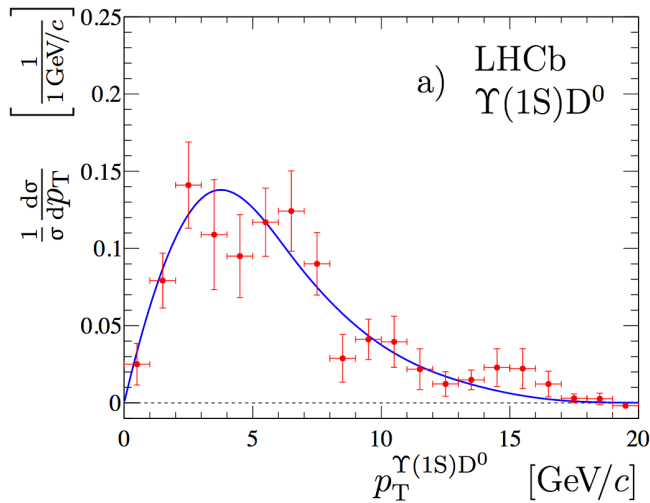
LHCb: JHEP 06 (2012) 141



- Correlation between J/ψ and D^0 is small
- J/ψ p_T distribution is harder than inclusive

Υ + open charm

LHCb: JHEP 07 (2016) 052



— Assume Υ and D produced independently (as DPS)

SPS: [S. P. Barano: k_T -factorization and collinear approximation]

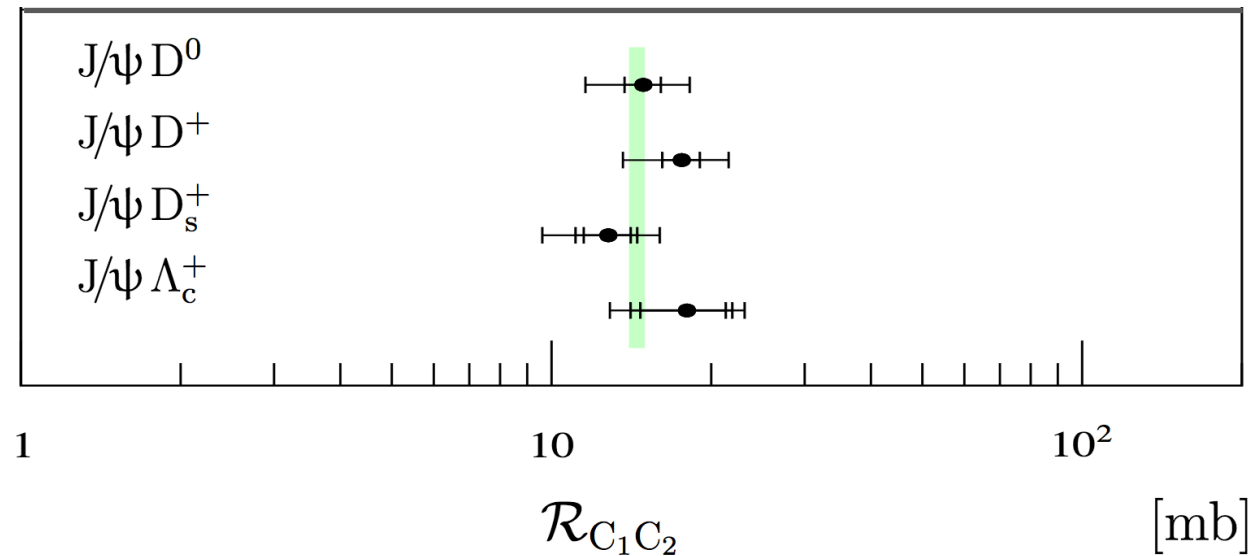
Data suggest Υ and D are not strongly correlated, favoring DPS production

Effective cross-section: σ_{eff}

- Assume associated production is purely from Double Parton Scattering:

LHCb: JHEP 06 (2012) 141
 JHEP 07 (2016) 052

$$\sigma_{\text{eff}}^{\text{DPS}} = \alpha \frac{\sigma_{c_1} \times \sigma_{c_2}}{\sigma_{C_1 C_2}^{\text{DPS=asso.}}}$$



$$\sigma_{\text{eff}} |_{\Upsilon(1S)D^{0,+}, \sqrt{s}=7 \text{ TeV}} = 18.0 \pm 2.1 \text{ (stat)} \pm 1.2 \text{ (syst)} = 18.0 \pm 2.4 \text{ mb}$$

$$\sigma_{\text{eff}} |_{\Upsilon(1S)D^{0,+}, \sqrt{s}=8 \text{ TeV}} = 17.9 \pm 1.8 \text{ (stat)} \pm 1.2 \text{ (syst)} = 17.9 \pm 2.1 \text{ mb}$$

Consistent with other measurements, dominated by DPS?

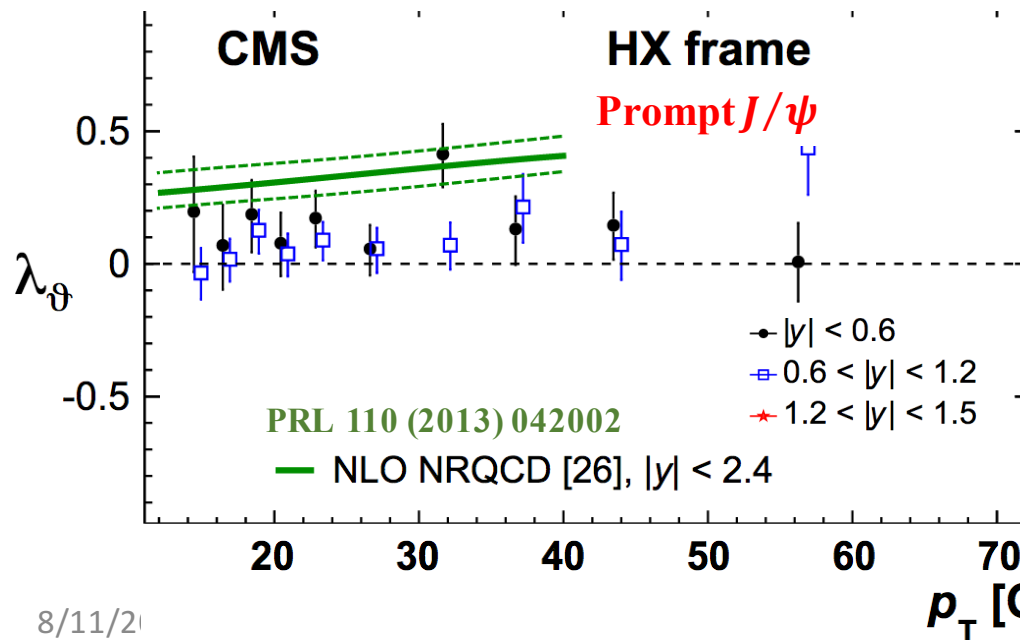
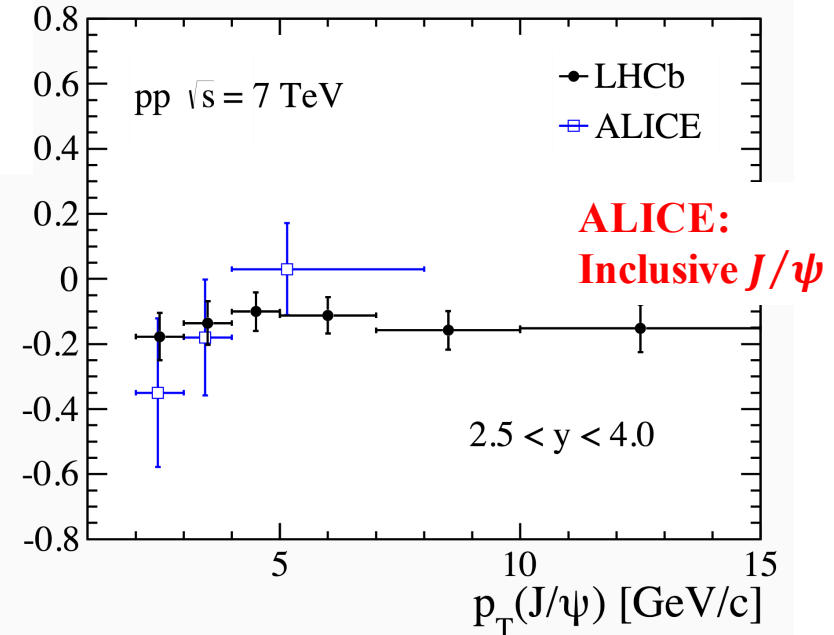
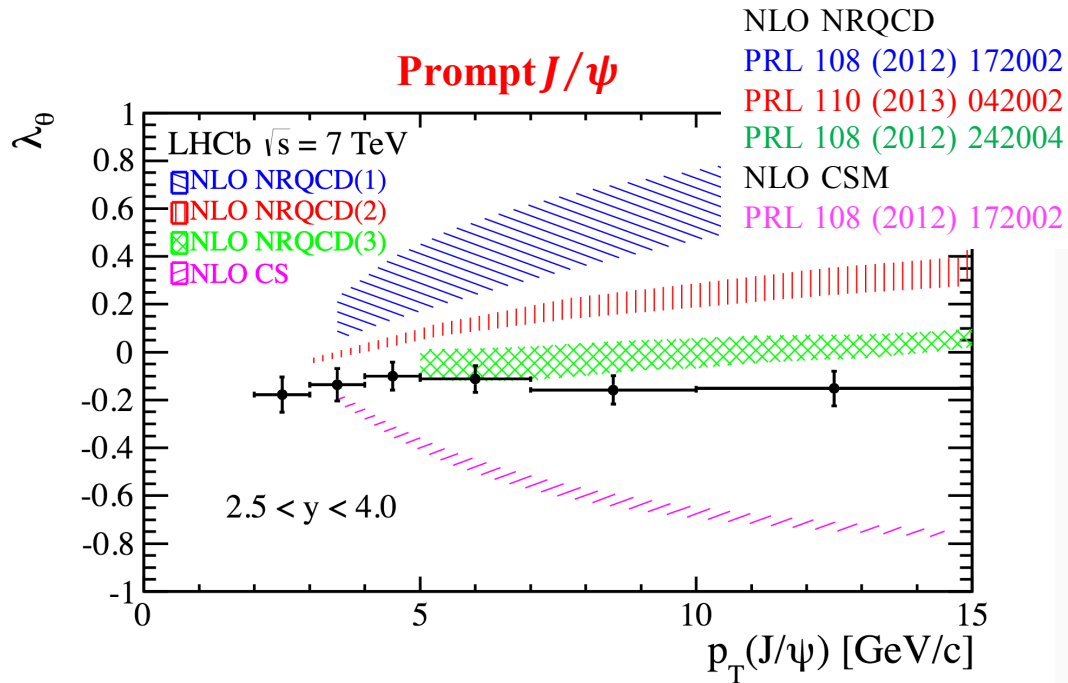
Polarization of quarkonia in pp collisions

- J/ψ and $\psi(2S)$ polarisation at LHCb
- J/ψ polarisation at ALICE
- J/ψ , $\psi(2S)$ and $Y(1S)$ polarisation at CMS

EPJC73 (2013) 2631
EPJC74 (2014) 2872
PRL 108 (2012) 082001
PLB 727 (2013) 381
PRL 110 (2013) 081802
PLB 761(2016) 31

J/ψ polarisation

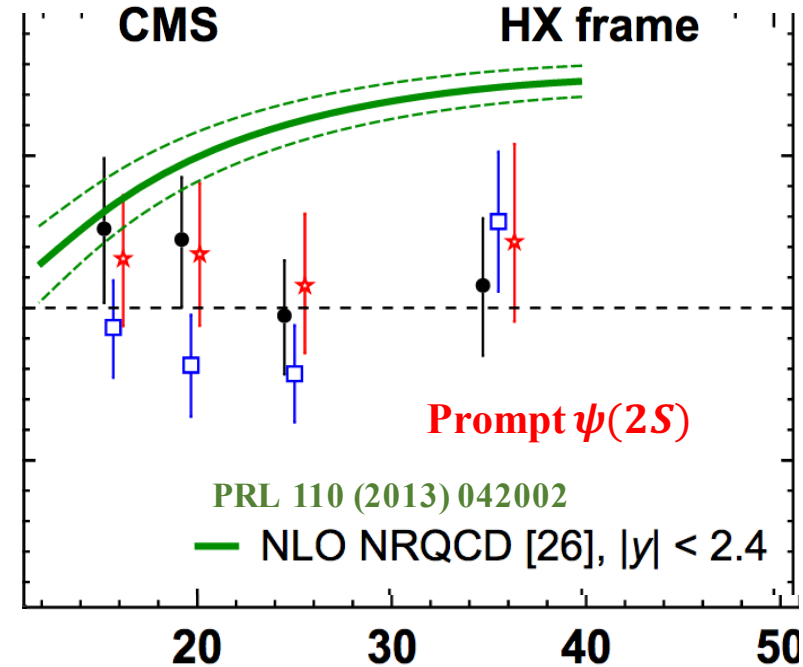
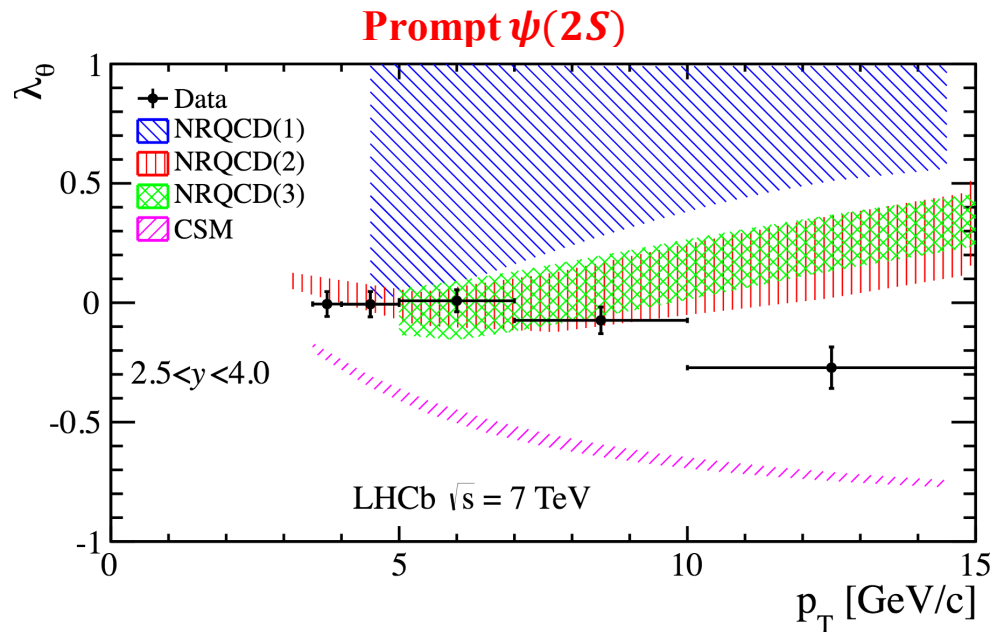
EPJC73 (2013) 2631
 PRL 108 (2012) 082001
 PLB 727 (2013) 381



- Data consistent with no/small polarization
- No strong p_T dependence
- Rule out NLO CSM predictions
- NLO NRQCD calculations also not satisfactory

$\psi(2S)$ polarisation

EPJC74 (2014) 2872
PLB 727 (2013) 381



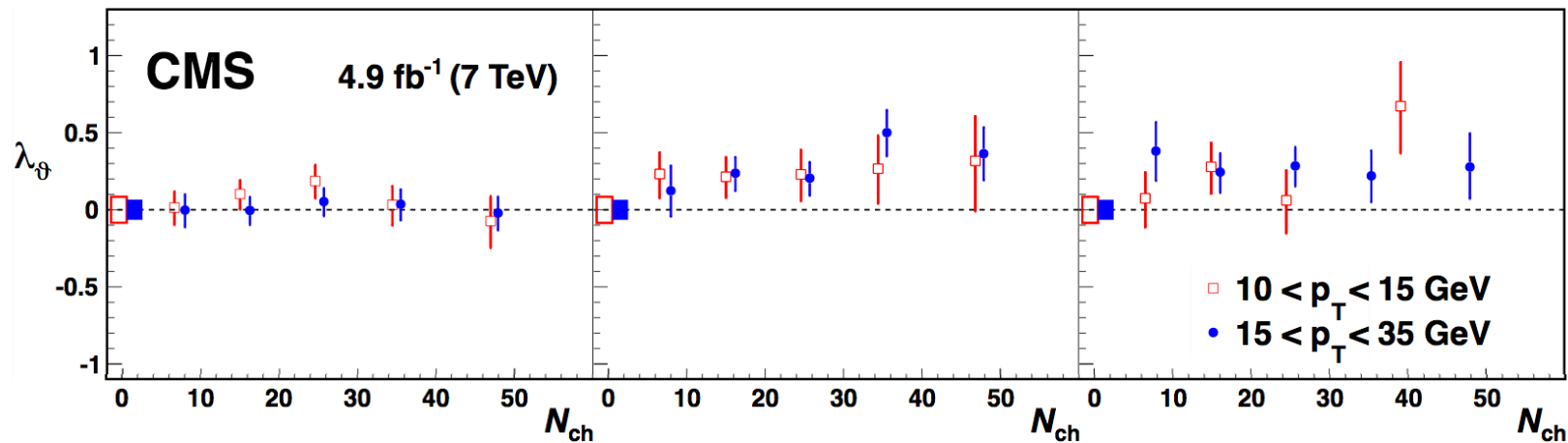
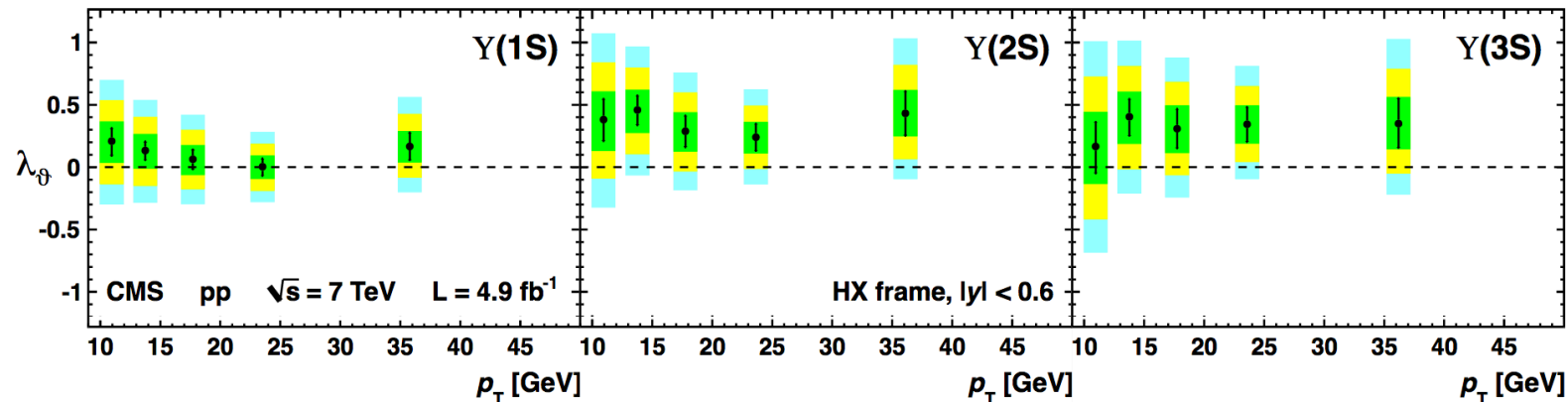
NLO NRQCD
PRL 108 (2012) 172002
PRL 110 (2013) 042002
PRL 108 (2012) 242004
NLO CSM
PRL 108 (2012) 172002

Feed down is negligible

- Data consistent with no/small polarization
- No strong p_T dependence
- Rule out NLO CSM predictions
- NLO NRQCD calculations also not satisfactory

$\Upsilon(nS)$ polarisation

PRL 110 (2013) 081802
PLB 761(2016) 31



- Data consistent with no/small polarization
- No sign of p_T dependence
- No sign of event activity dependence

Note strong feed-down even for $\Upsilon(3S)$

Heavy flavor production in pPb data

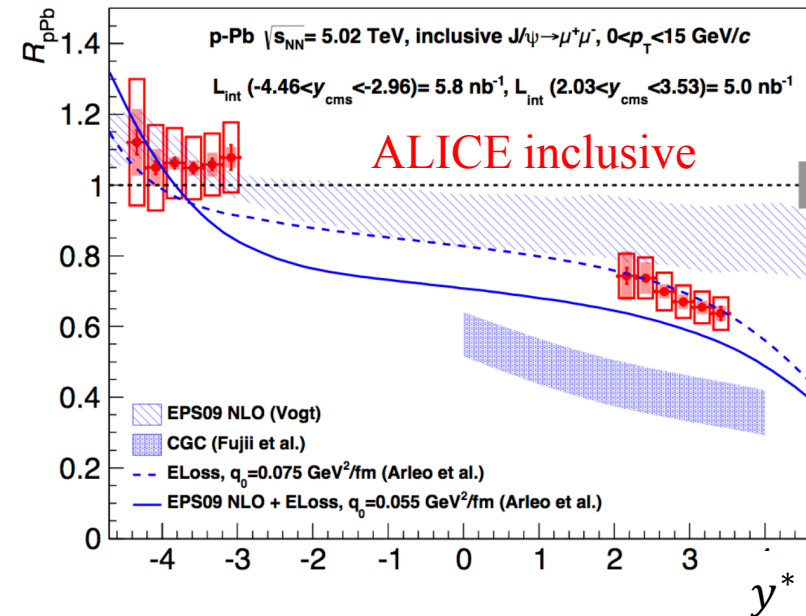
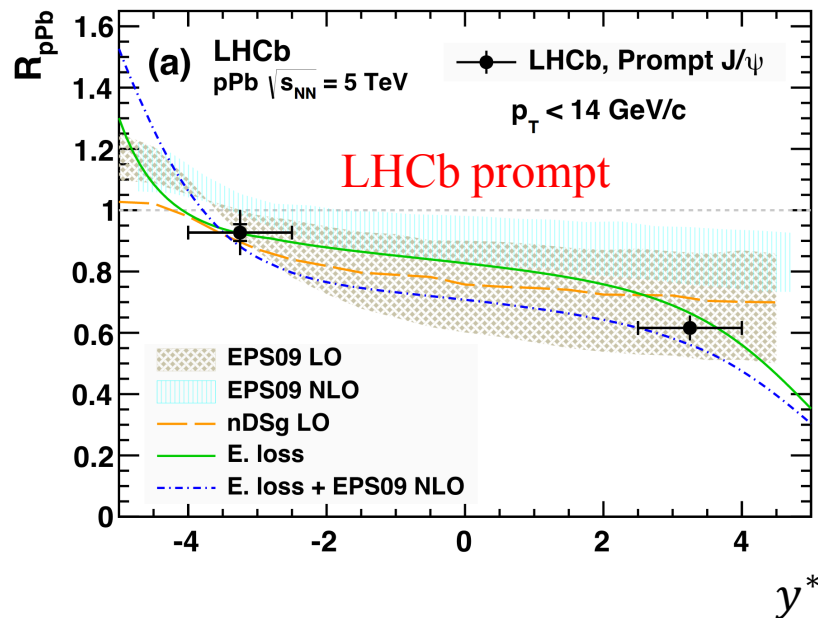
- J/ψ , $\psi(2S)$ at LHCb, ALICE
- Prompt D^0 at LHCb
- Prompt charm at ALICE

JHEP 02 (2014) 072
JHEP 02 (2014) 073
JHEP 12 (2014) 073
PRL 113 (2014) 232301
JHEP 06 (2015) 55
JHEP 03 (2016) 133
LHCb-CONF-2016-003

J/ψ in pPb

JHEP 02 (2014) 072

JHEP 02 (2014) 073



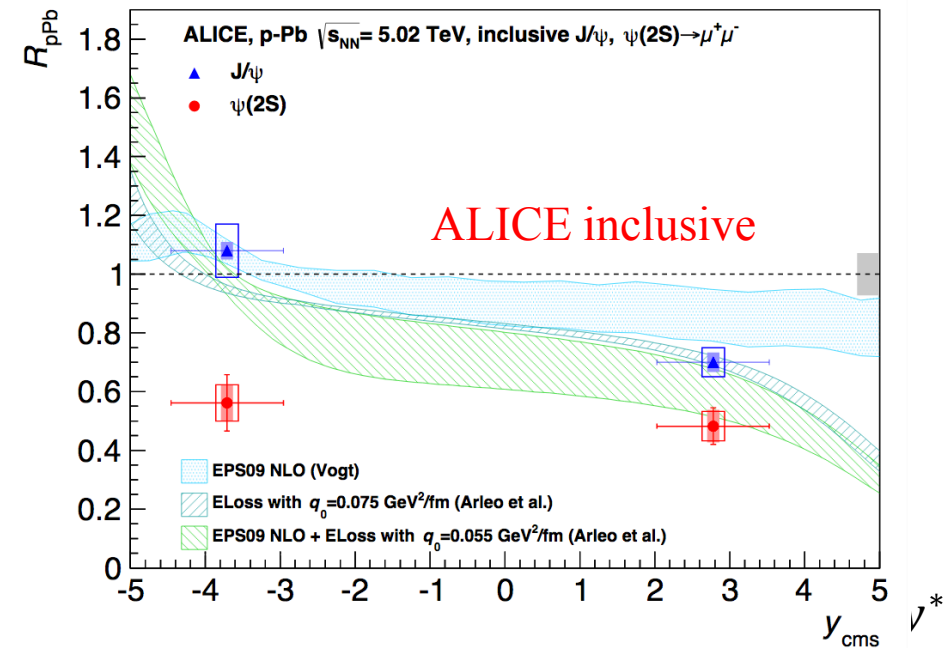
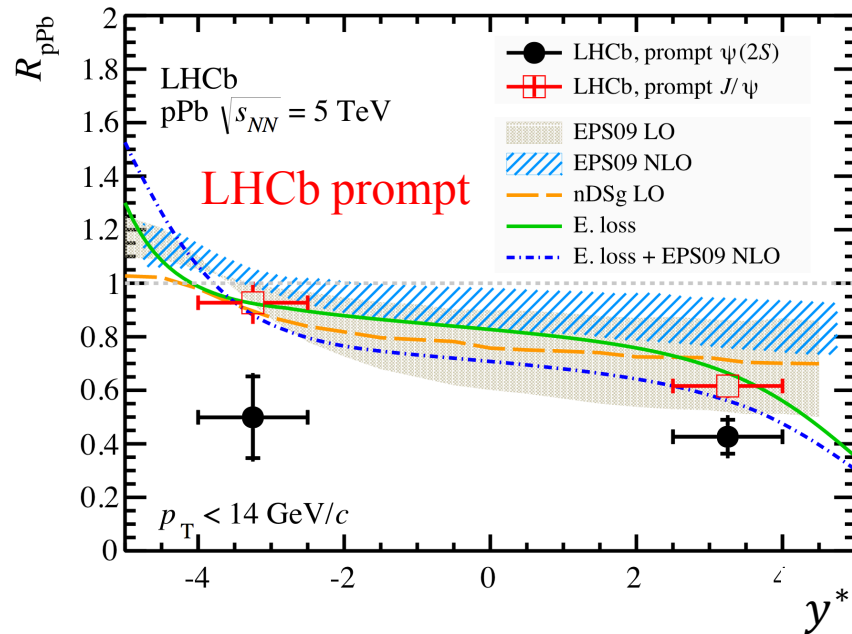
$$R_{pPb} = \frac{1}{A} \times \frac{d\sigma_{pPb}/dy}{d\sigma_{pp}/dy}$$

- **Forward rapidity:** strongly suppressed in forward region, significant signs of cold nuclear matter effects
→ Data well described by **energy loss models** w/ and w/o shadowing
- **Backward rapidity:** compatible with no suppression

Refer to papers for various models

$\psi(2S)$ in pPb

JHEP 03 (2016) 133
JHEP 12 (2014) 073

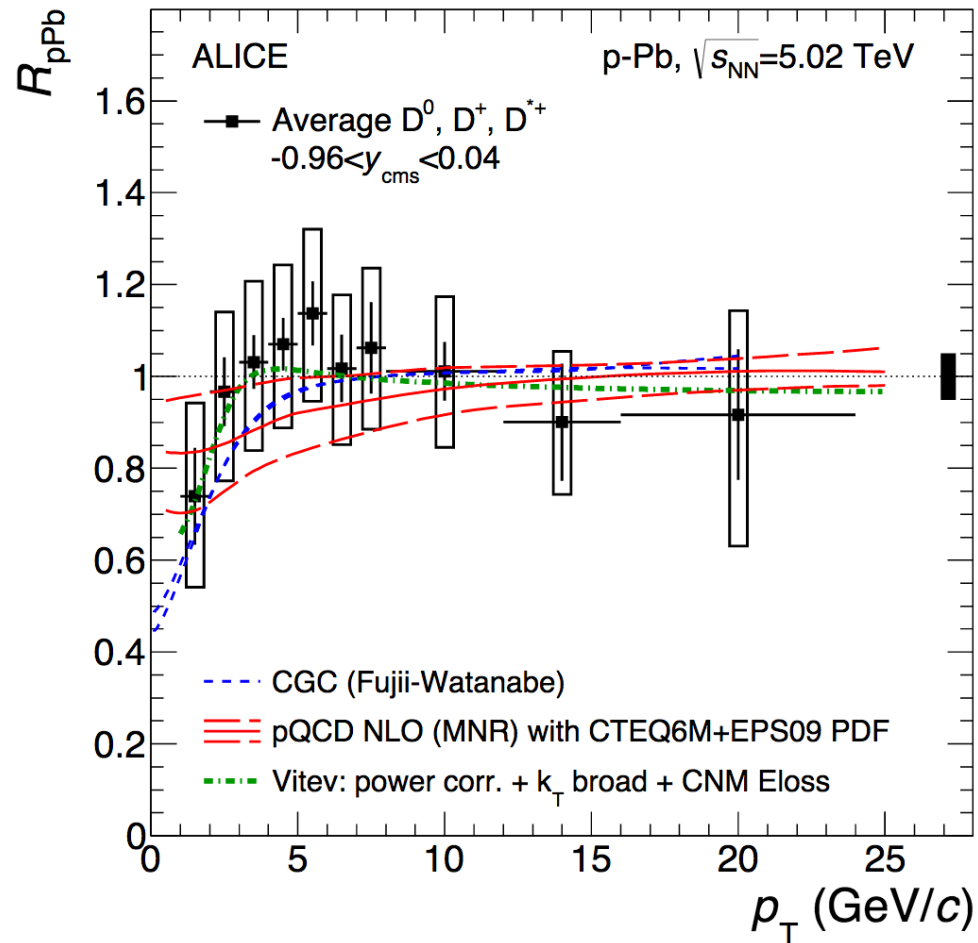


- $\psi(2S)$ suppressed than J/ψ , intriguing suppression in backward rapidity
→ Energy loss+shadowing don't explain $\psi(2S)$ suppression in backward rapidity
- LHCb and ALICE results are consistent

Refer to papers for various models

Open charm at ALICE

PRL 113 (2014) 232301

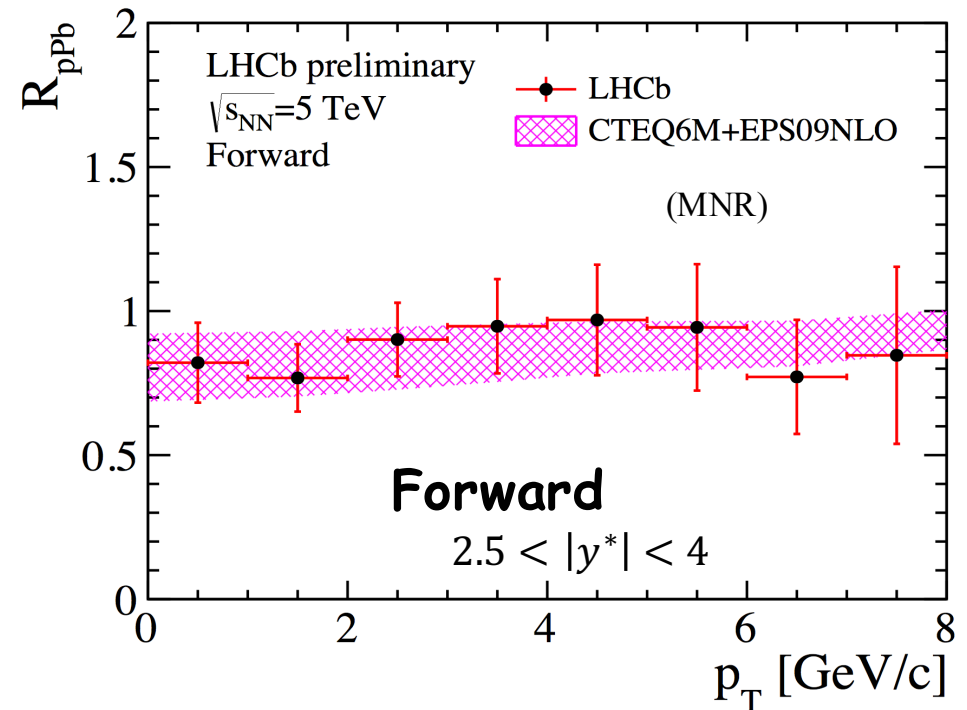
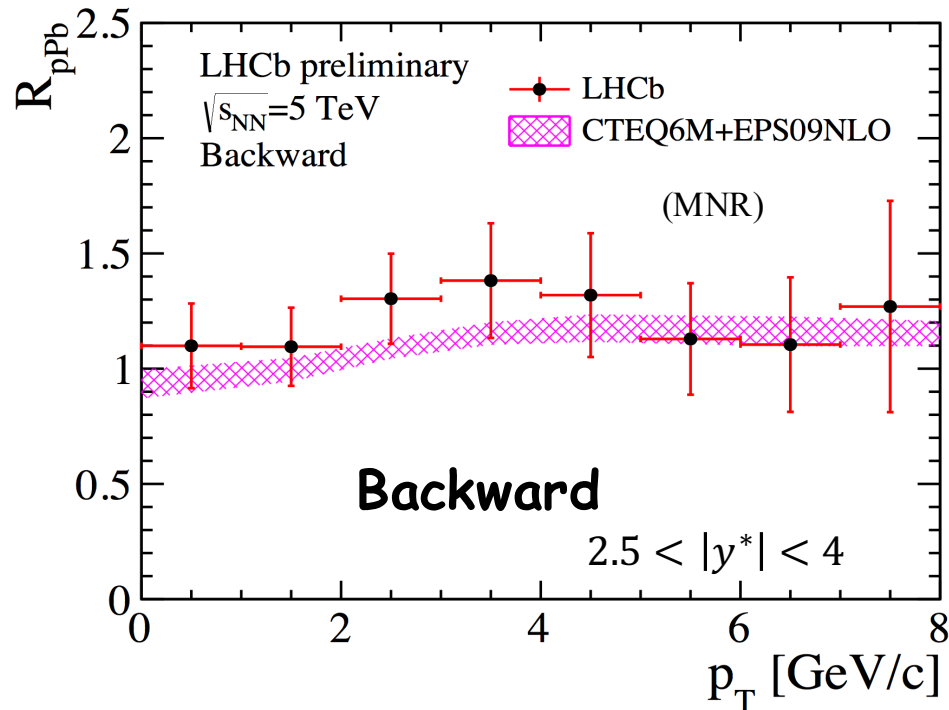


CGC: [Nucl.Phys. A920 (2013) 78-93]
MNR: [Nucl. Phys. B 373 (1992) 295]
Vitev: [Phys. Rev. C 80 (2009)054902]

Consistent with no suppression at mid rapidity within large uncertainties
Results well described by various theoretical models

Prompt D^0 at LHCb

LHCb-CONF-2016-003



Reference D^0 cross-section in pp collision at $\sqrt{s} = 5$ TeV extrapolated using LHCb measurements at 7 and 13 TeV, dominating uncertainties [Nucl. Phys. B87 \(2013\)](#), [JHEP 03 \(2016\) 159](#)

➤ Being updated with direct measurement in pp at $\sqrt{s} = 5$ TeV, R_{pPb} decreases by $\sim 20\%$

[arXiv:1610.02230](#)

R_{pPb} for D^0 meson has no strong dependence on p_T

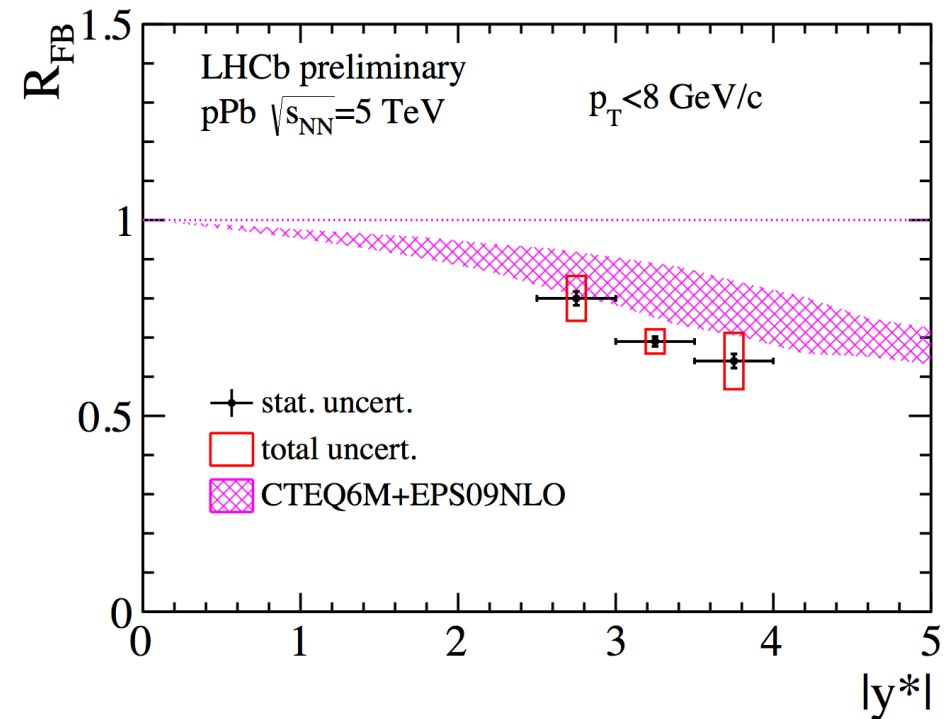
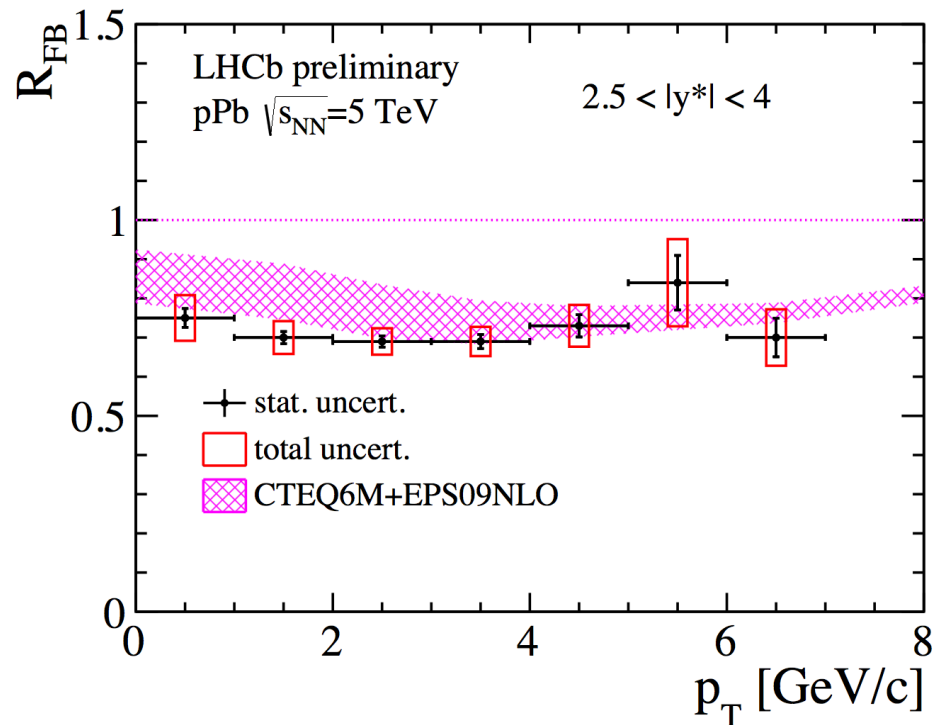
Measurements consistent with NLO MNR prediction using CTEQ6M+NLO EPS09 nPDF

MNR with CTEQ6M+EPS09NLO: [Nucl. Phys. B373 \(1992\) 295](#), [JHEP 10 \(2003\) 046](#), [JHEP 04 \(2009\) 065](#)

Prompt D^0 forward-backward ratio

LHCb-CONF-2016-003

- Calculated as $R_{FB}(|y^*|, p_T) = \frac{\sigma_{pPb}(+|y^*|, p_T, \sqrt{s_{NN}})}{\sigma_{Pb p}(-|y^*|, p_T, \sqrt{s_{NN}})}$, systematic uncertainty largely cancels



- R_{FB} for D^0 meson indicates significant production asymmetry in forward-backward rapidities (more important at large rapidity)
- Data consistent with NLO MNR prediction

MNR with CTEQ6M+EPS09NLO: [Nucl. Phys. B373 \(1992\) 295](#), [JHEP 10 \(2003\) 046](#), [JHEP 04 \(2009\) 065](#)

Summary



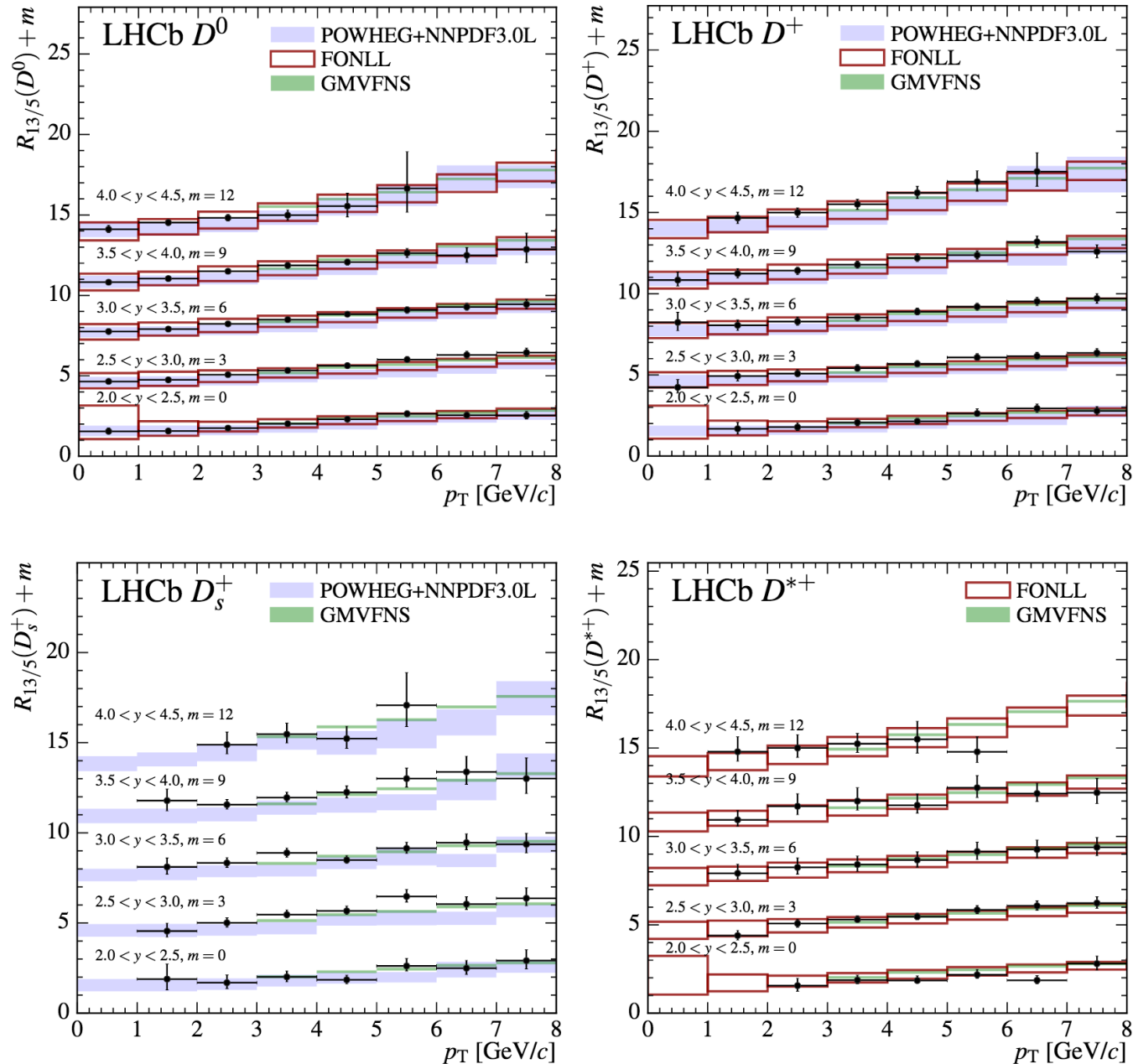
- Heavy flavor productions are important tools to understand QCD
- LHC made a lot of studies in heavy flavor productions in RunI and many new results in RunII coming out
- What we know:
 - Heavy quarkonia production by NRQCD
 - Open heavy flavor production by FONLL and other models
 - Cold nuclear effects exist in pPb, and described by models
- That need more efforts
 - Quarkonia polarisation
 - Double parton scattering?
 - All cold nuclear effects participate or only some of them, how to distinguish
 - Cold nuclear effects for $\psi(2S)$
- The good news is that LHC continues questing

Thank you for your attention

Backups

Ratio cross sections for charm at LHCb

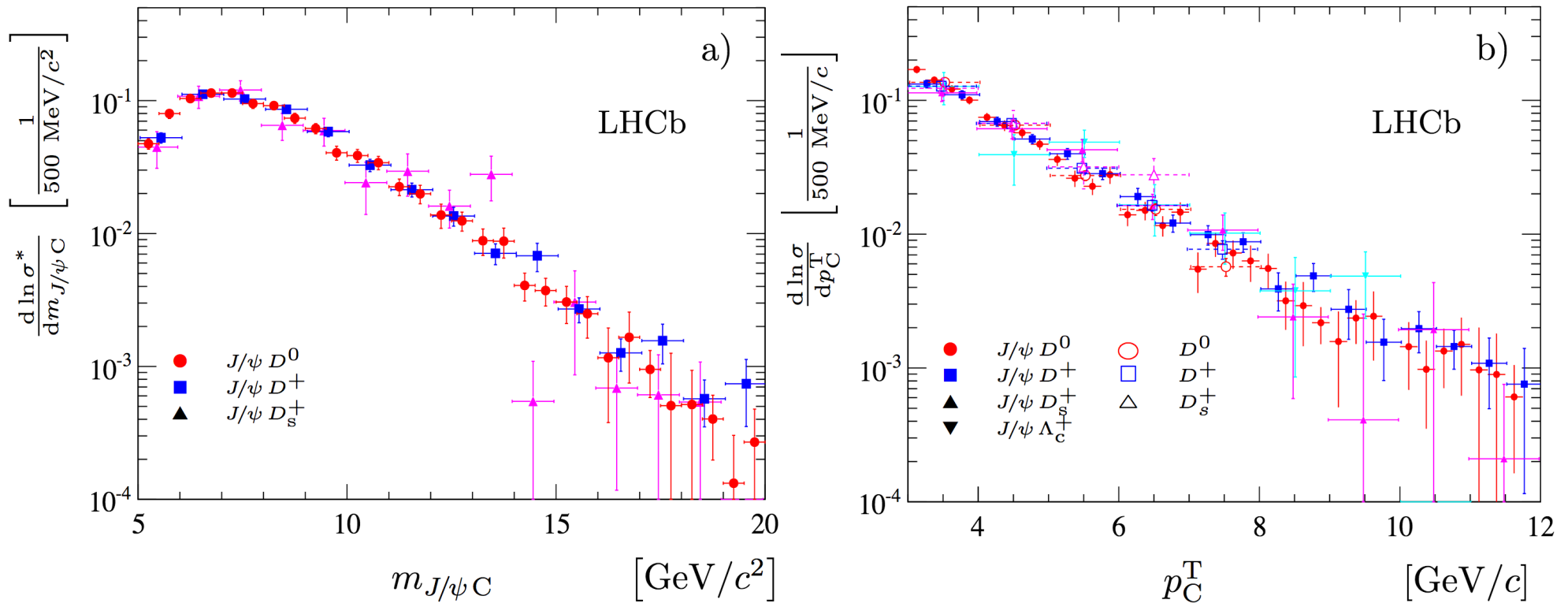
arXiv:1610.02230



J/ψ + open charm (LHCb)

- Invariant mass and charm p_T

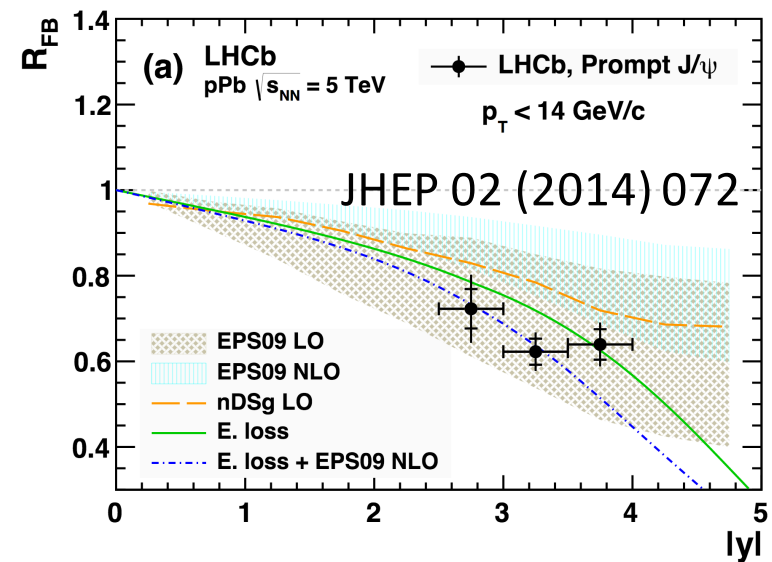
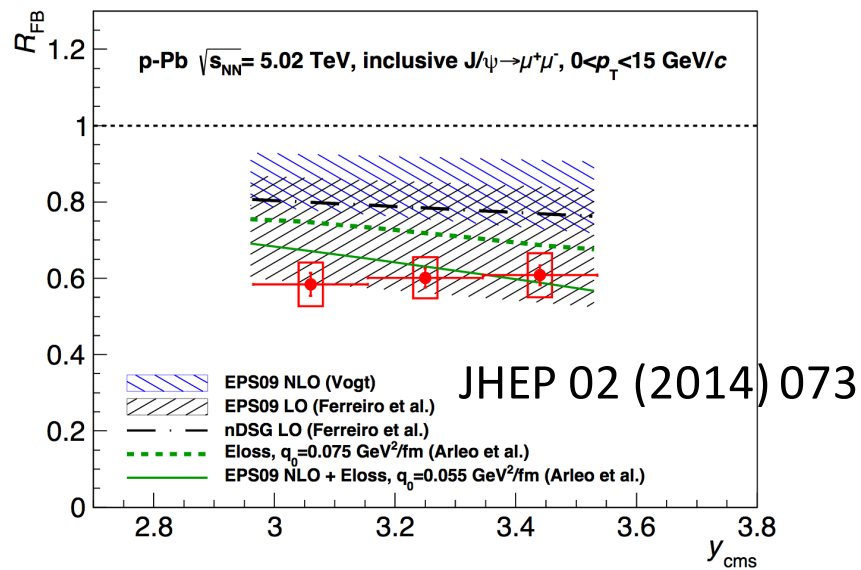
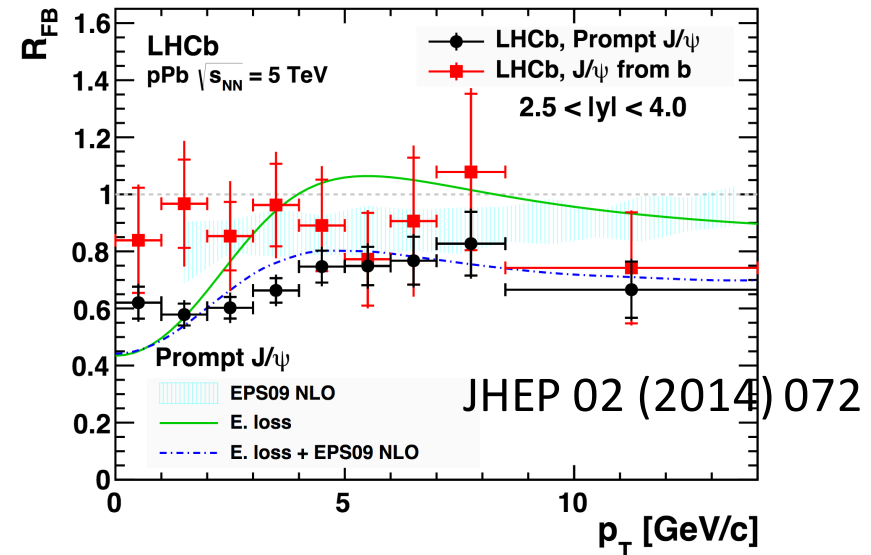
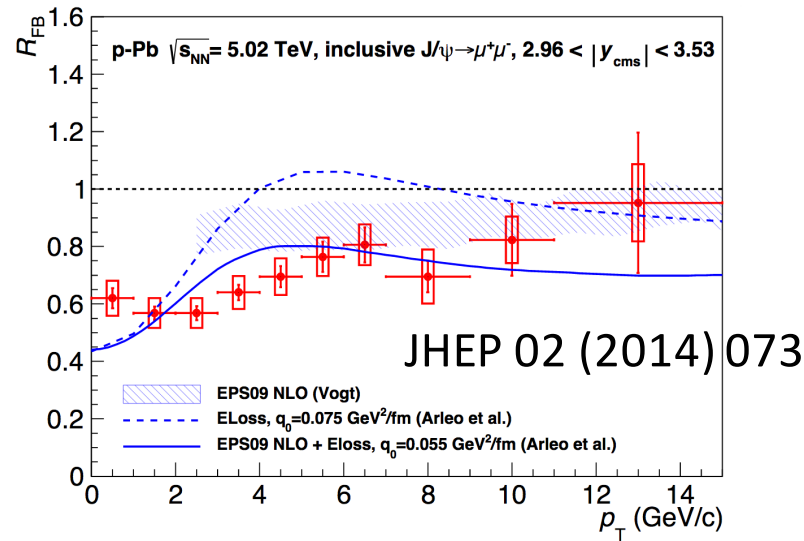
JHEP 07 (2016) 052



Charm p_T distributions similar to inclusive ones

$J/\psi(1S)$ in pPb

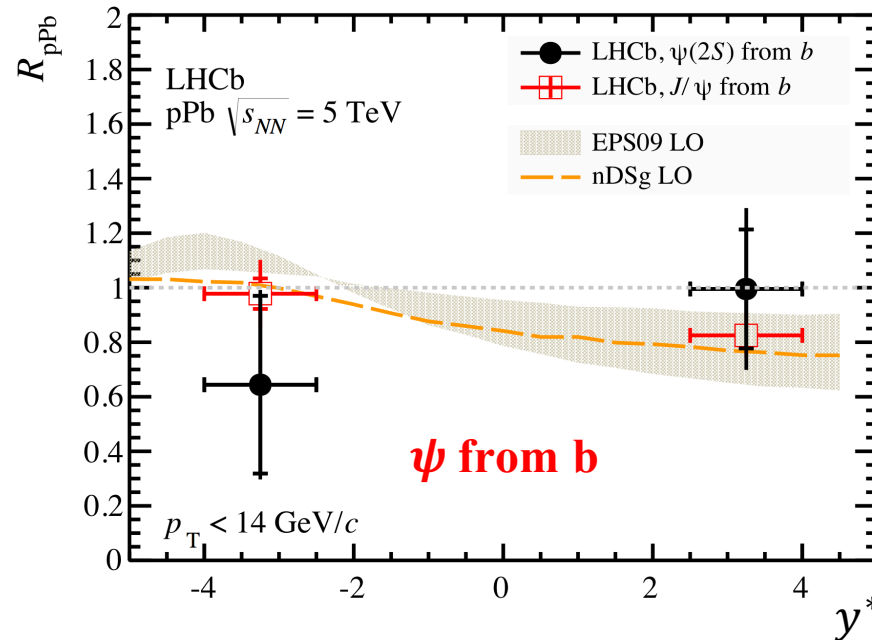
- R_{FB} as a function of p_T



Nuclear modification factor

JHEP 02 (2014) 072

JHEP 03 (2016) 133



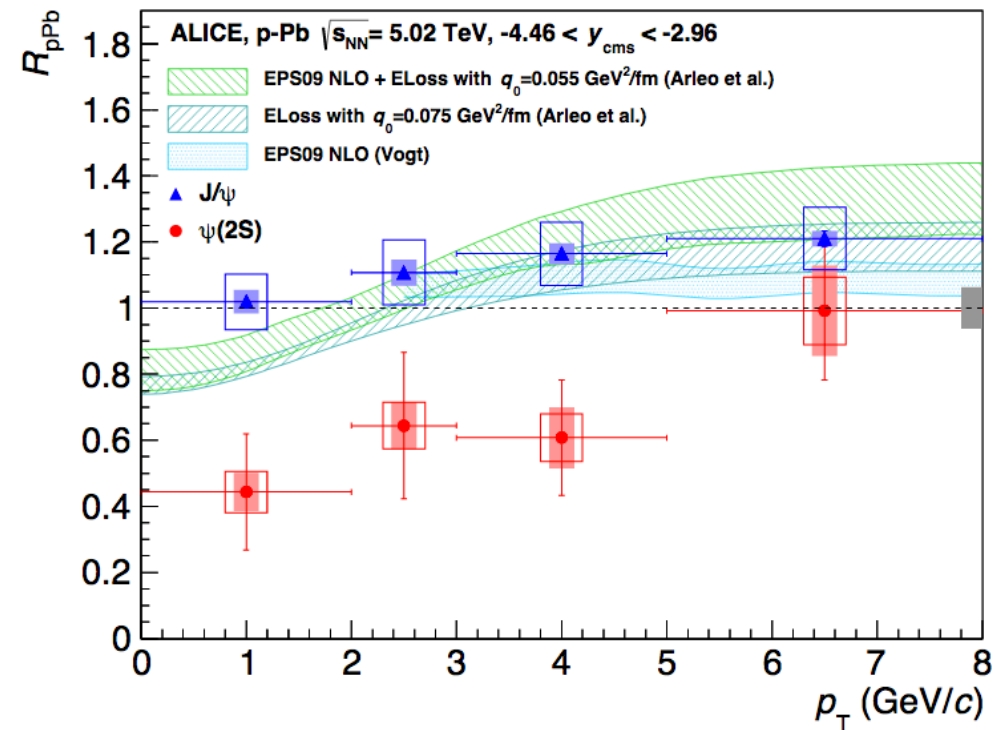
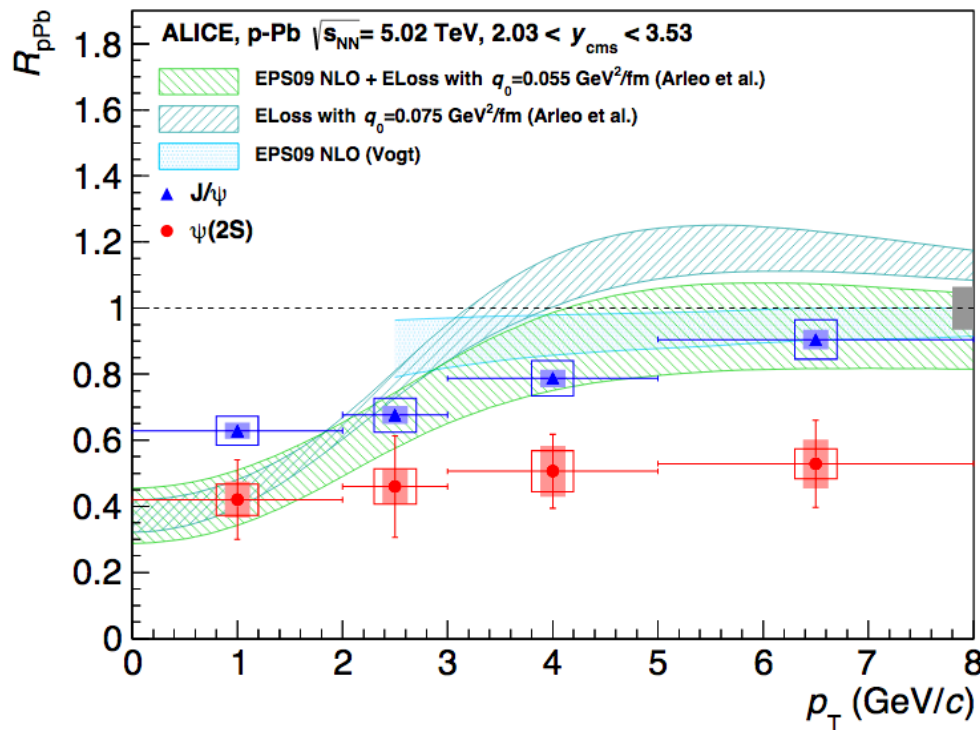
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- **J/ψ from b** : modest suppression in forward region, signs of b -hadron production
- **$\psi(2S)$ from b** : nuclear modification factor consistent with that of J/ψ

J/ψ and $\psi(2S)$ in pPb

- R_{pPb} as a function of p_T

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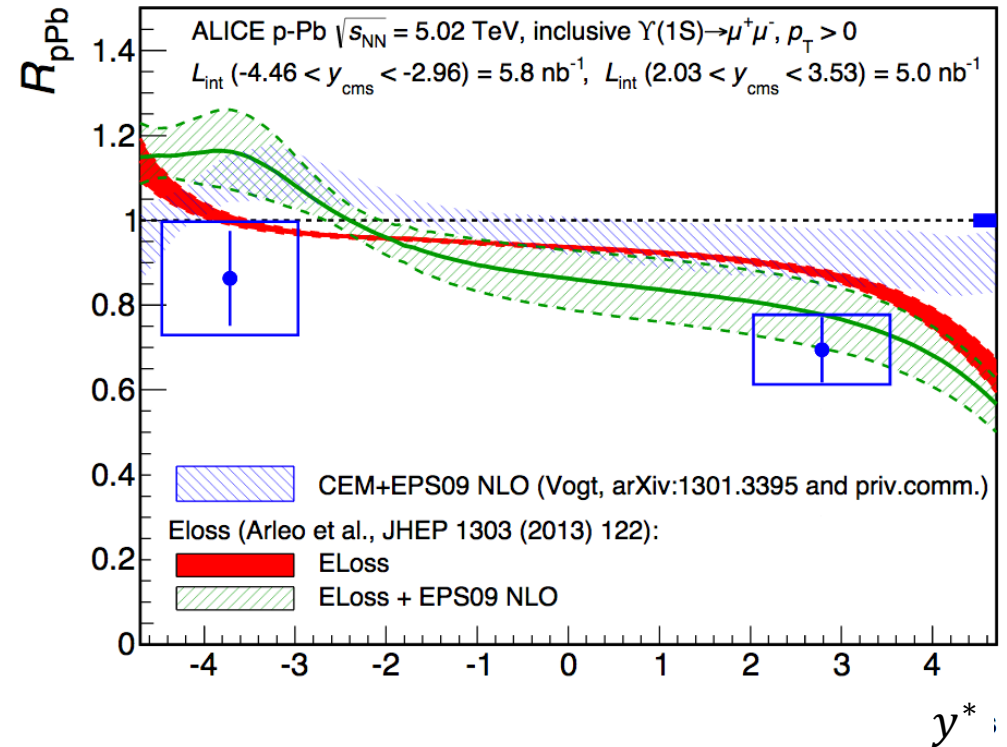
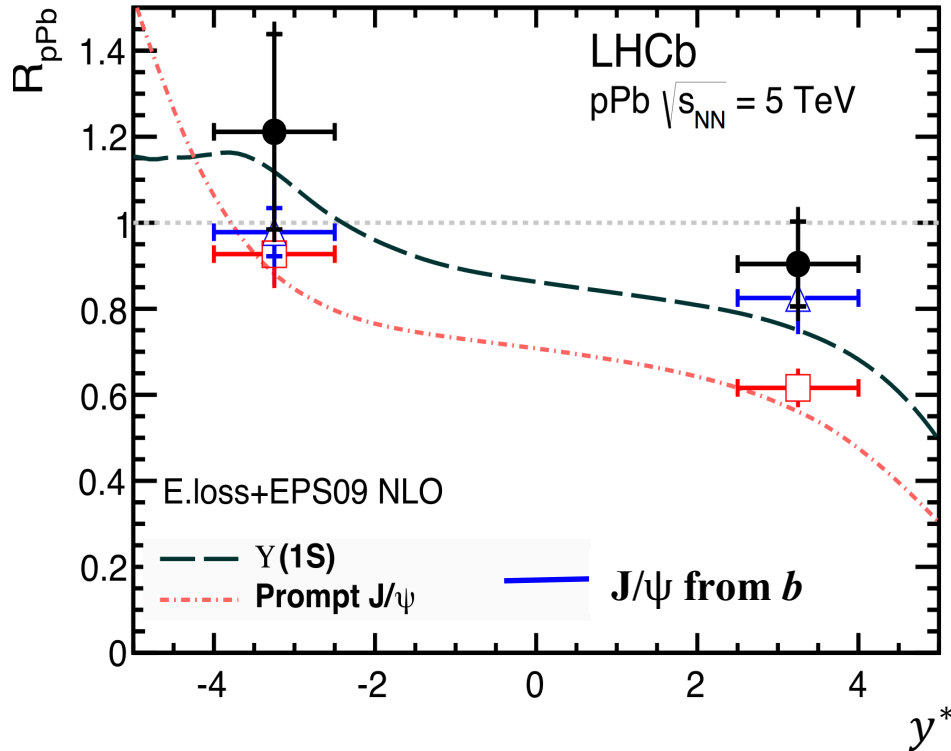
$\psi(2S)$ is more suppressed than J/ψ consistently in p_T bins

$\Upsilon(1S)$ in pPb

- R_{pPb} as a function of rapidity

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PLB 740 (2015) 105-117



$\psi(2S)$ is more suppressed than J/ψ consistently in p_T bins