

Effective thermodynamics for a driven athermal system with dry and viscous friction

Giacomo Gradenigo

In collaboration with:

E. Bertin, E. Ferrero, J.-L. Barrat

CPTGA, LAPTh, Annecy le vieux, 12-05-2016

STATISTICAL MECHANICS OF FRICTIONAL ATHERMAL SYSTEMS ?

Grains with friction



Dissipation



$$\cancel{e^{-\beta \mathcal{H}}}$$

Edwards

Hypothesis: All packings where grains occupy the same volume are equiprobable

Assumption: change of configuration due to "extensive operations" guarantees "ergodicity"

$$\mathcal{C} = (\mathbf{x}_1, \phi_1, \dots, \mathbf{x}_N, \phi_N)$$

$$S = \log \int \mathcal{D}\mathcal{C} \delta(V - \mathcal{W}(\mathcal{C}))$$

S.F Edwards, C.C. Mounfield, Physica A (210), 1994



$$\frac{1}{T} = \frac{\partial S}{\partial E} \implies \frac{1}{X} = \frac{\partial S}{\partial V}$$

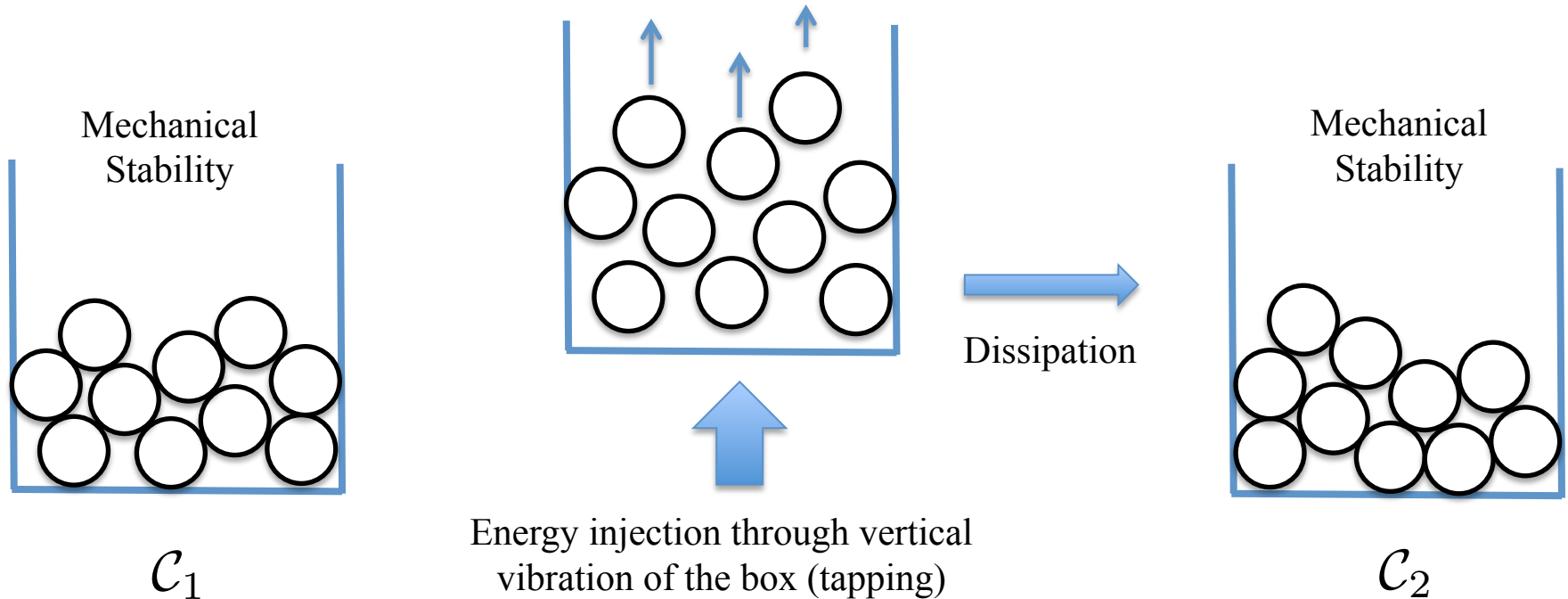
Compactivity

TEST OF EDWARDS ASSUMPTION: TAPPING

DYNAMIC averages

$$\langle \mathcal{O} \rangle_V$$

Mechanically stable configurations at fixed Volume



THERMODYNAMIC averages

$$X^{-1} = \frac{\partial S}{\partial V}$$

$$\langle \mathcal{O} \rangle_X = Z^{-1} \sum_c \mathcal{O}(c) e^{-W(c)/X}$$

HARMONIC CHAIN WITH DRY FRICTION

True
Dynamics

- TAPPING DYNAMICS
- BLOCKED CONFIGURATIONS
- RELEVANT OBSERVABLES

Effective
Thermodynamics

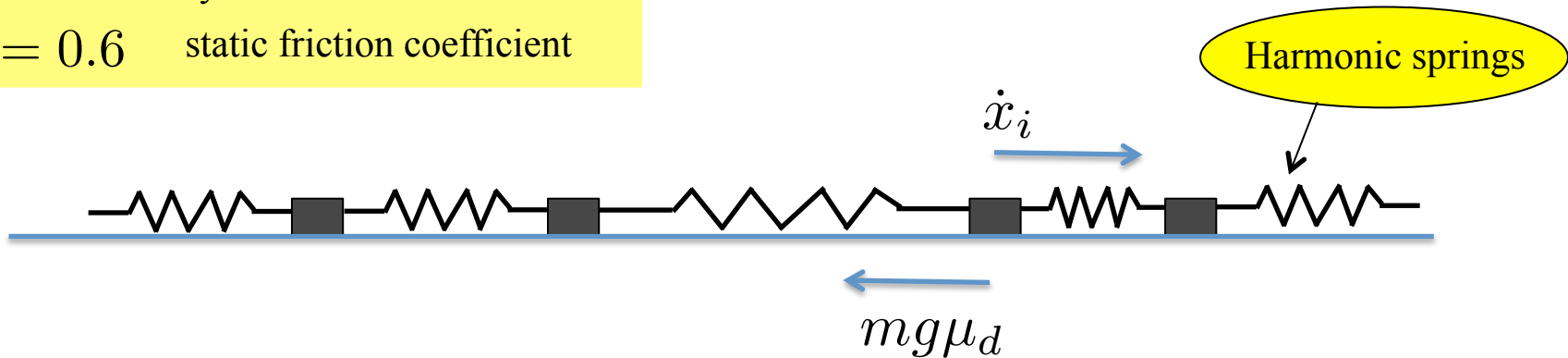
- DEFINITION OF THE EFFECTIVE THEORY
- PREDICTIONS EFFECTIVE THEORY
- COMPARISON BETWEEN EFFECTIVE THEORY AND DRIVEN A THERMAL DYNAMICS

Viscous friction

- RESULTS OF SIMULATIONS
- FIELD THEORETIC APPROACH

HARMONIC CHAIN WITH DRY (Coulomb) FRICTION

$\mu_d = 0.5$ dynamic friction coefficient
 $\mu = 0.6$ static friction coefficient



-Equations of motion (dynamic friction)

$$m \ddot{x}_i = \underbrace{-mg\mu_d \operatorname{sgn}(\dot{x}_i)}_{\text{Dynamic friction: energy dissipation}} + (x_{i+1} + x_{i-1} - 2x_i) + \underbrace{F_i(t)}_{\text{External Force: energy gain}}$$

Dynamic friction: **energy dissipation**

External Force: **energy gain**

-Condition to start moving (static friction)

$$\left. \begin{array}{l} \dot{x}_i = 0 \\ |(x_{i+1} + x_{i-1} - 2x_i + F(t))| > \mu mg \end{array} \right\} \longrightarrow \dot{x}_i > 0$$

TAPPING DYNAMICS

1) External force **switched on** for a fixed duration t : energy injection

$$m \ddot{x}_i = -mg\mu_d \operatorname{sgn}(\dot{x}_i) + (x_{i+1} + x_{i-1} - 2x_i) + F n_i$$

Not all the particles are pulled ! $\rho = \frac{1}{N} \sum_{i=1}^N n_i \quad \rho < 1$

2) External force **switched off**: relaxation to a **MECHANICALLY STABLE** configuration with all particles are at rest (absorbing state)

$$m \ddot{x}_i = -mg\mu_d \operatorname{sgn}(\dot{x}_i) + (x_{i+1} + x_{i-1} - 2x_i)$$

Dynamics is arrested

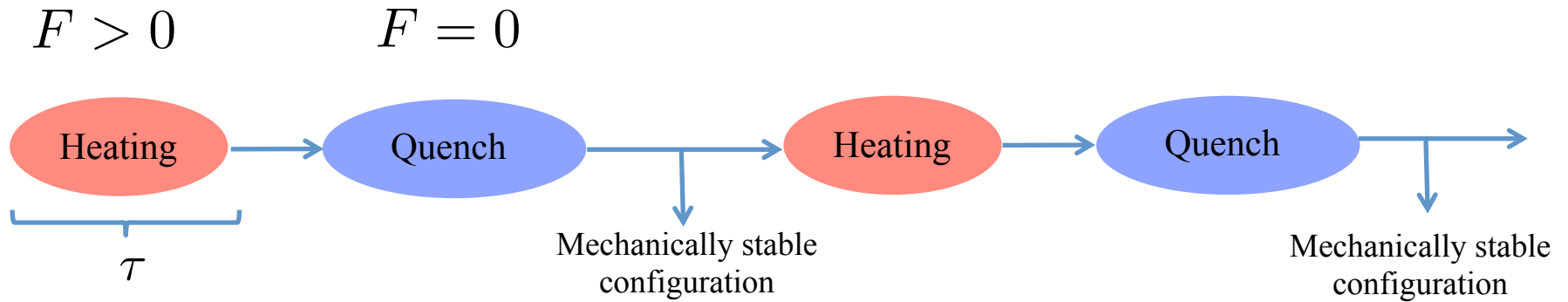
$$\dot{x}_i = 0 \quad \forall i$$

$$|x_{i+1} + x_{i-1} - 2x_i| < \mu mg$$

$$e = \frac{1}{N} \sum_{i=1}^N \frac{\xi_i^2}{2} \quad \text{Energy of the mechanically stable configurations}$$

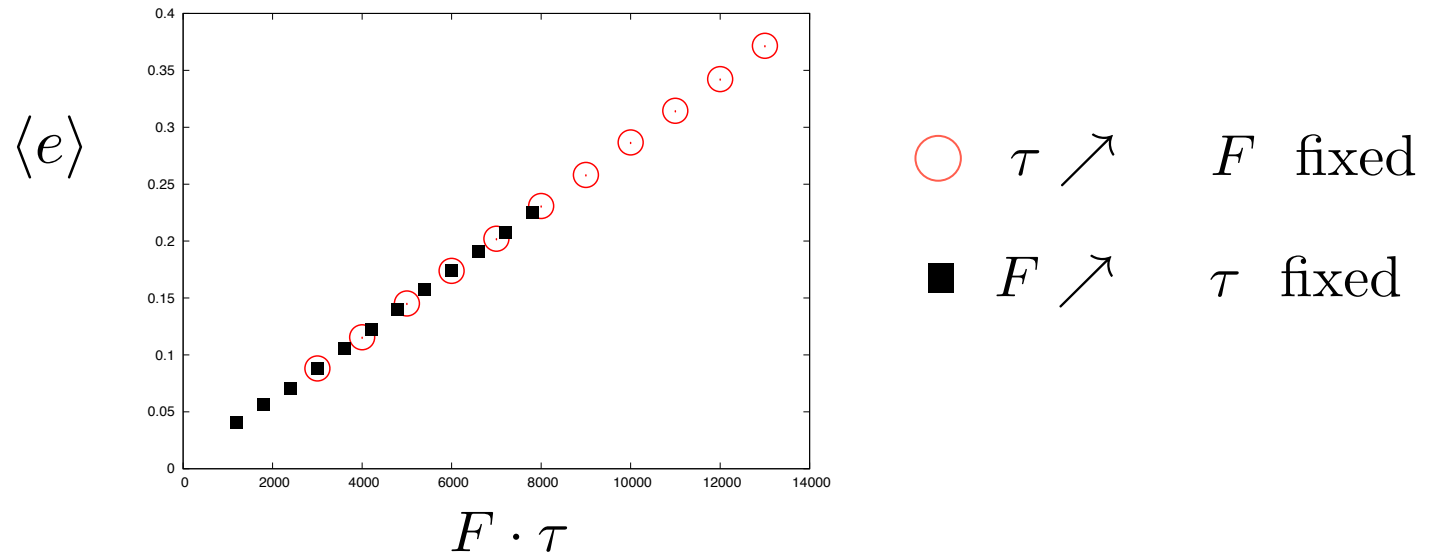
$$\xi_i = x_i - x_{i-1} - \ell_0 \quad \text{Spring elongation}$$

HARMONIC CHAIN WITH DRY (Coulomb) FRICTION

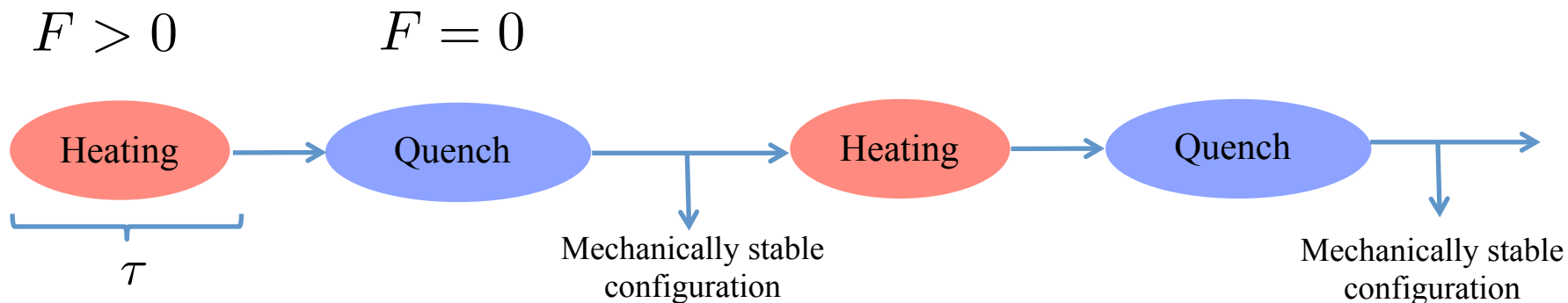


After few cycles the energy of blocked configurations fluctuates around a stationary value

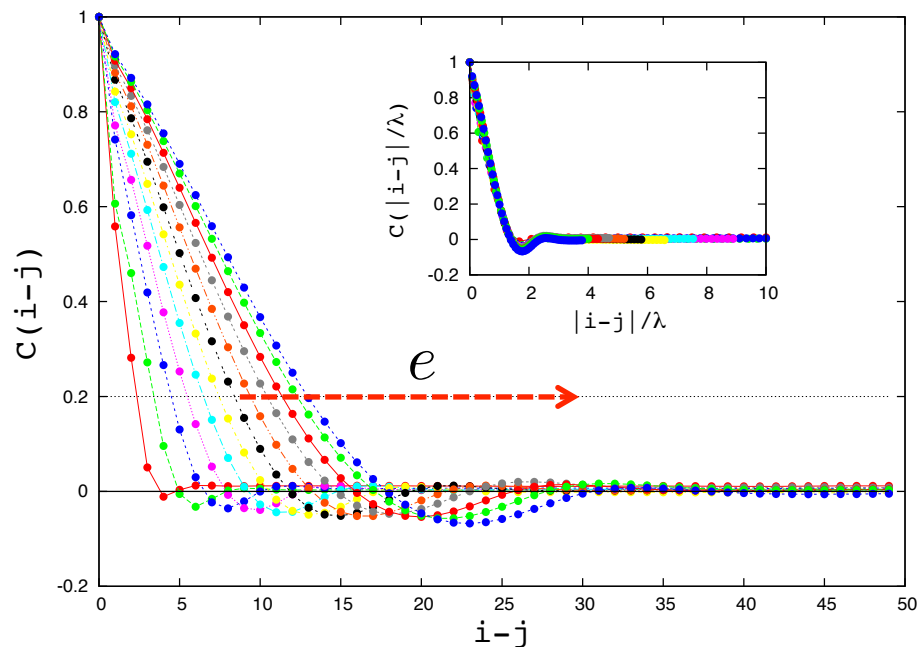
Energy of mechanically stable configurations (N=256)



SPRING-SPRING CORRELATION (IN MECHANICALLY STABLE CONFIGURATIONS)



$\langle \xi_i \xi_j \rangle$ Spring-spring correlation



$$\langle \xi_i \xi_j \rangle \sim C(|i - j|/\ell(e))$$

$$\ell(e) \sim e$$

Extent of correlation between springs grows as the energy stored by the springs

EFFECTIVE THERMODYNAMICS “À LA EDWARDS”

“Given a certain situation attained dynamically, physical observables are obtained by averaging over the *usual equilibrium distribution* at the corresponding volume, energy, etc. but restricting the sum to ‘blocked’ configurations.” (Barrat, Kurchan, Loreto, Sellitto, PRL, 2000)

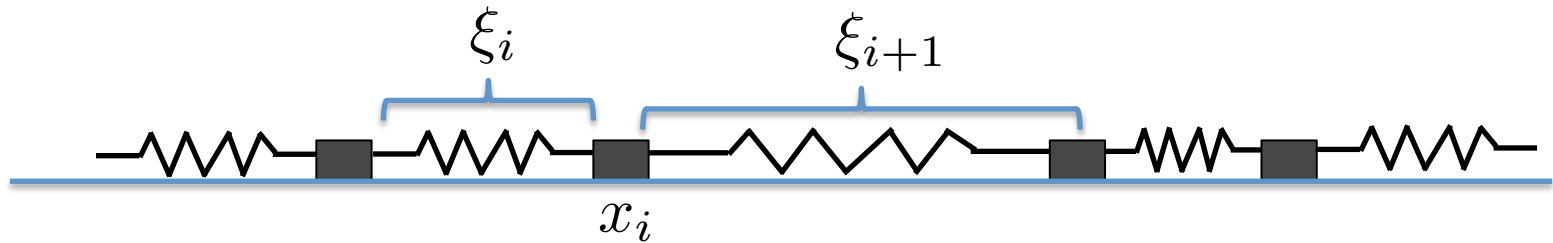
$$\boldsymbol{\xi} = \{\xi_1, \dots, \xi_N\} \quad \text{Springs elongations}$$

$$\int \mathcal{D}\boldsymbol{\xi} e^{-\beta_{\text{Ed}} E[\boldsymbol{\xi}]} \delta(\mathcal{F}[\boldsymbol{\xi}])$$

$$\text{Mechanical stability} \quad \mathcal{F}(\boldsymbol{\xi}) = 0$$

$$E[\boldsymbol{\xi}] = \sum_{i=1}^N \frac{\xi_i^2}{2} \quad \beta_{\text{Ed}} = \left[\frac{\partial S}{\partial E} \right]_{\mathcal{F}(\boldsymbol{\xi})=0}$$

EFFECTIVE THERMODYNAMICS “À LA EDWARDS”



$$\mathcal{Z} = \int d\xi_1 \dots d\xi_N e^{-\beta_{Ed} \sum_{i=1}^N \frac{\xi_i^2}{2}} \prod_{i=1}^N \Theta(\mu - |\xi_{i+1} - \xi_i|)$$

$$T(x, y) = e^{-\beta_{Ed} \frac{x^2}{4}} \Theta(\mu - |x - y|) e^{-\beta_{Ed} \frac{y^2}{4}}$$

$$\mathcal{Z} = \int d\xi_1 \dots d\xi_N T(\xi_1, \xi_2) \dots T(\xi_N, \xi_1) = \text{Tr}(\mathcal{T}^N)$$

Transfer Operator Formalism

$$\mathcal{T}[f](x) = \int_{-\infty}^{\infty} dy T(y, x) f(x)$$

“THERMODYNAMIC” POTENTIALS

$$\mathcal{T}[f](x) = \int_{-\infty}^{\infty} dy T(y, x) f(y)$$

$$T(x, y) = e^{-\beta_{Ed} \frac{x^2}{4}} \Theta(\mu - |x - y|) e^{-\beta_{Ed} \frac{y^2}{4}}$$

Transfer operator is well behaved:
complete spectrum of eigenvalues and eigenvectors

$$f(\beta_{Ed}, \mu) = -\frac{1}{\beta_{Ed} N} \log(\mathcal{T}^N)$$

$$f(\beta_{Ed}, \mu) = -\frac{1}{\beta_{Ed}} \log[\lambda_{\max}(\beta_{Ed}, \mu)]$$

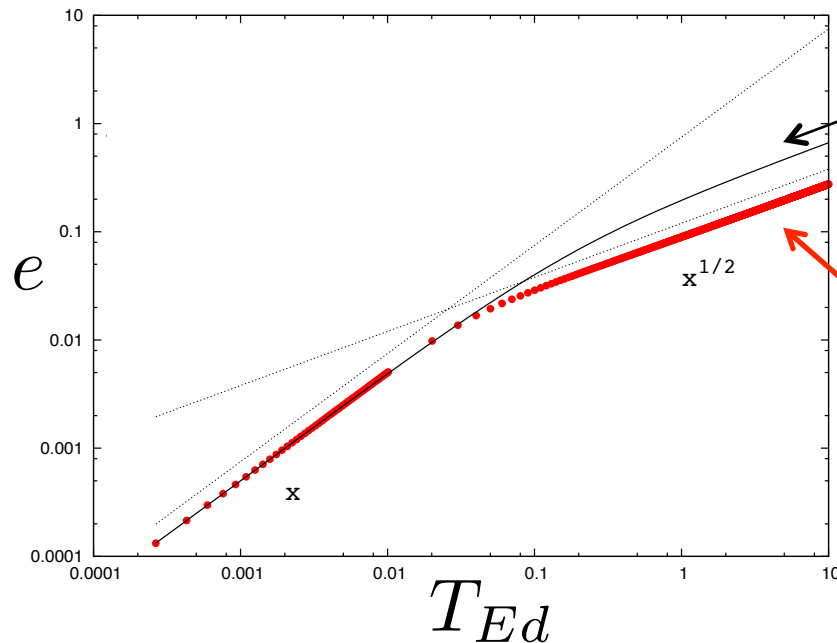
$$e = \partial_{\beta_{Ed}}(\beta_{Ed} f) \quad e = -\lambda_{\max}^{-1} \langle \lambda_{\max} | \partial_{\beta_{Ed}} \mathcal{T} | \lambda_{\max} \rangle$$

SMOOTHENING OF THE DRY FRICTION CONSTRAINT

$$\Theta(\mu - |x - y|) \sim \frac{1}{\sqrt{\pi}} \exp\left(-\frac{|x - y|^2}{4\mu^2}\right)$$

$$\mathcal{Z} = \int d\xi_1 \dots d\xi_N \exp[-\xi \cdot A\xi]$$

Explicit expressions become available



$$e = \frac{1}{2} \frac{\mu T_{Ed}}{\sqrt{2T_{Ed} + \mu^2}}$$

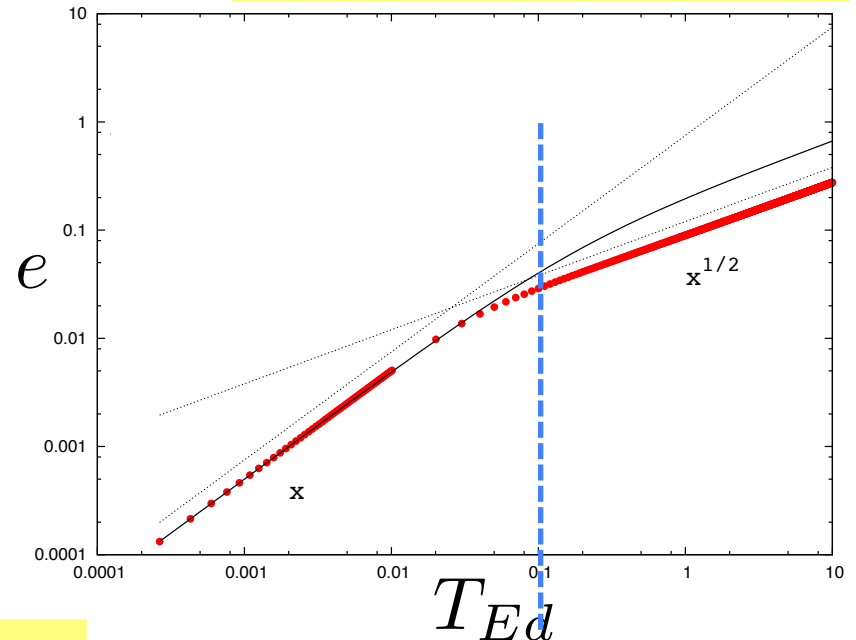
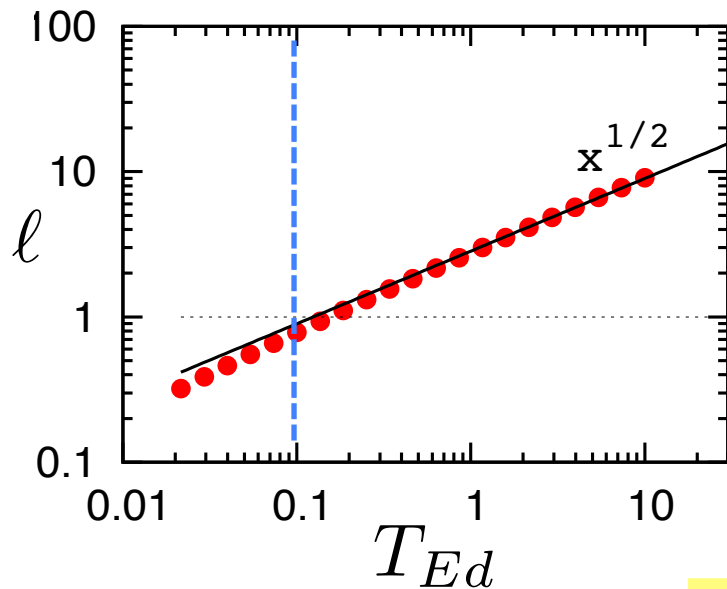
Transfer Operators exact result

GAUSSIAN APPROXIMATION: CORRELATION FUNCTION

$$\langle \xi_i \xi_j \rangle = 2 e(\mu_s, T_{Ed}) \exp\left(-\frac{|i-j|}{\ell(\mu_s, T_{Ed})}\right)$$

$$\mu^2 / T_{Ed} \ll 1 \implies \ell \sim \frac{\sqrt{T_{Ed}}}{\mu}$$

Correlations appear in the
"out-of-equilibrium" regime



$$\ell(e) \sim e$$

DRIVEN ATHERMAL DYNAMICS

$$m \ddot{x}_i = F_{\text{diss}} + F_{\text{el}} + F_{\text{ext}}$$



Blocked configurations

$$\langle \xi_i \xi_j \rangle \sim C \left(\frac{|i-j|}{l(e)} \right)$$

$$l(e) \sim e$$

EFFECTIVE THERMODYNAMICS

$$\mathcal{Z} = \int_{\xi \in \text{blocked}} \mathcal{D}\xi e^{-\beta_{Ed} E[\xi]}$$

$$\langle \xi_i \xi_j \rangle \sim \exp \left(-\frac{|i-j|}{l(e)} \right)$$

$$l(e) \sim e$$

GAUSSIAN APPROXIMATION

Field-theoretic description

Edwards effective theory $\mathcal{Z} = \int \mathcal{D}\xi e^{-S(\xi)}$

$$S(\xi) = \frac{1}{2} \left[\underbrace{\beta_{\text{Ed}} \sum_{i=1}^N \xi_i^2}_{\text{Energy}} + \frac{1}{2\mu_s^2} \underbrace{\sum_{i=1}^N (\xi_{i+1} - \xi_i)^2}_{\text{Dry friction (smooth) constraint}} \right]$$

Continuum limit

$$\xi_i \rightarrow \xi(x)$$

$$\xi_{i+1} - \xi_i \rightarrow \frac{\partial \xi(x)}{\partial x}$$

$$m^2 = 2\mu_s^2 \beta_{\text{Ed}}$$

$$S(\xi) \sim \int dx \left[\frac{1}{2} \left(\frac{\partial \xi(x)}{\partial x} \right)^2 + \frac{1}{2} m^2 \xi^2(x) \right]$$

GAUSSIAN APPROXIMATION

Field-theoretic description

Edwards effective theory

$$\mathcal{Z} = \int \mathcal{D}\xi e^{-S(\xi)}$$

$$\langle \xi(x)\xi(y) \rangle \sim e^{-m|x-y|}$$

$$l \sim \sqrt{T_{\text{Ed}}}$$

Continuum limit

$$\xi_i \rightarrow \xi(x)$$

$$\xi_{i+1} - \xi_i \rightarrow \frac{\partial \xi(x)}{\partial x}$$

$$m^2 = 2\mu_s^2 \beta_{\text{Ed}}$$

$$S(\xi) \sim \int dx \left[\frac{1}{2} \left(\frac{\partial \xi(x)}{\partial x} \right)^2 + \frac{1}{2} m^2 \xi^2(x) \right]$$

Mechanically stable configuration = Trajectories (of a fictitious stochastic process)

Partition sum
$$\mathcal{Z} = \int \mathcal{D}\xi \exp \left(- \int dx \left[\frac{1}{2\mu^2} \left(\frac{\partial \xi}{\partial x} \right)^2 + \frac{\beta_{\text{Ed}}}{2} \xi^2(x) \right] \right) \quad (1)$$

spring elongation $\xi \iff$ "space variable"

spring position $x \iff$ "time variable"

Typical configurations "are generated" by a Langevin equation with white noise

$$\frac{d\xi}{dx} = -\mu\sqrt{\beta_{\text{Ed}}} \xi(x) + \eta(x) \quad \langle \eta(x)\eta(y) \rangle = \Gamma(x, y) = 2\mu^2\sqrt{2\pi} \delta(x - y)$$

Sum over path probabilities (2)
(Langevin equation)



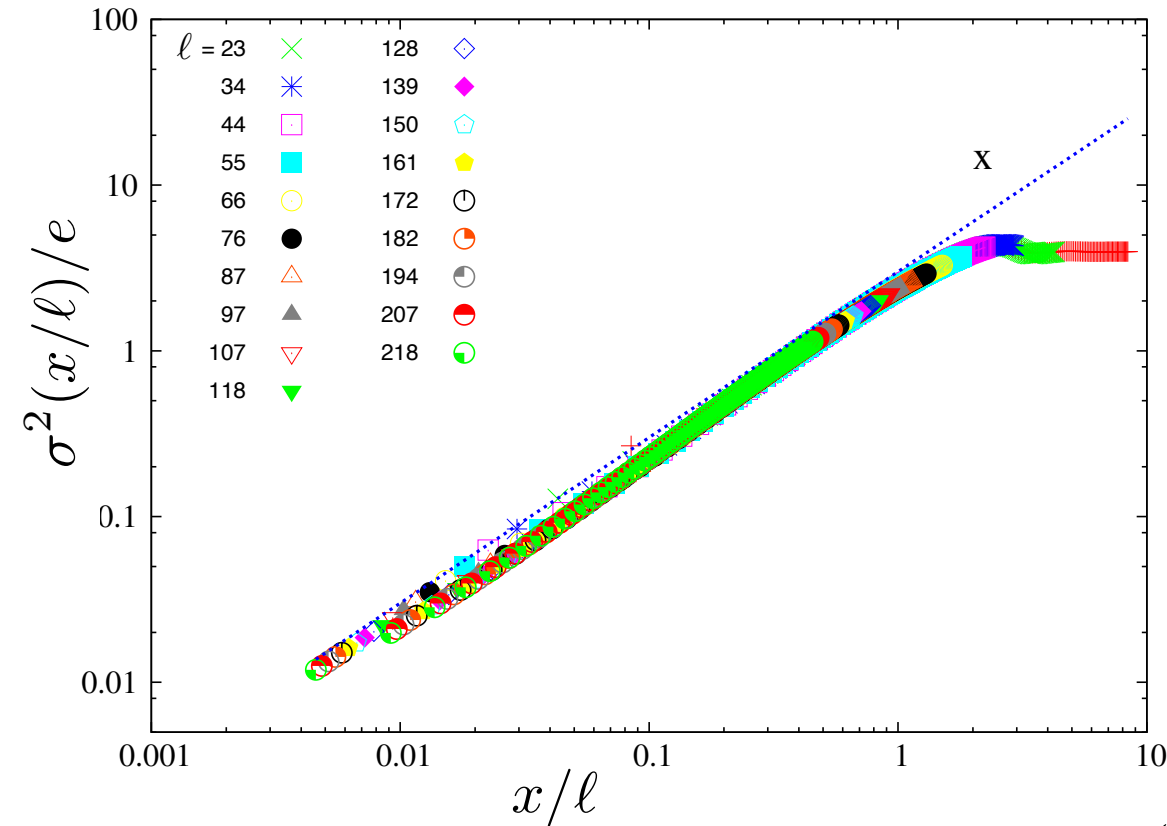
Partition sum (1)
(Field-theory)

$$\mathcal{Z} = \int \mathcal{D}\xi \exp \left(- \int dx dy L\xi(x) \Gamma^{-1}(x - y) L\xi(y) \right) \quad (2)$$

$$L = \frac{\partial}{\partial x} + \mu\sqrt{\beta_{\text{Ed}}}$$

Mean square displacement (MSD) of spring elongations

- Numerical data from Mechanically Stable Configurations at different energies



$$\sigma^2(x) = \langle (\xi(x) - \xi(0))^2 \rangle$$

Mean square displacement of spring elongation along the chain in a mechanically stable configuration

$$\sigma^2(x) \sim x$$

$$\frac{d\xi}{dx} = -\mu\sqrt{\beta_{\text{Ed}}}\xi(x) + \eta(x)$$

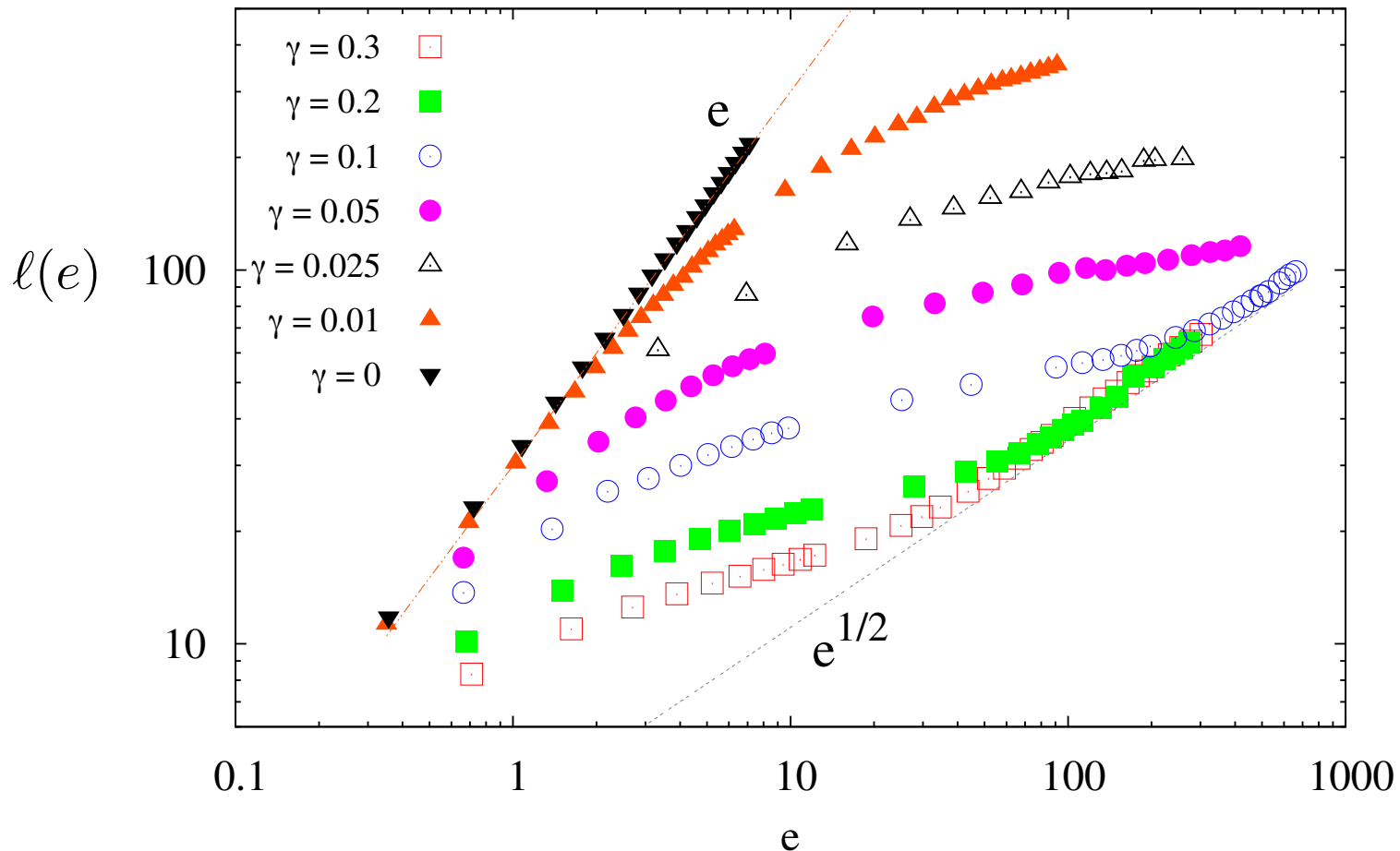
$\ell(e) \iff$ "characteristic time"

$$\langle \eta(x)\eta(y) \rangle = \Gamma(x, y) = 2\mu^2\sqrt{2\pi}\delta(x - y)$$

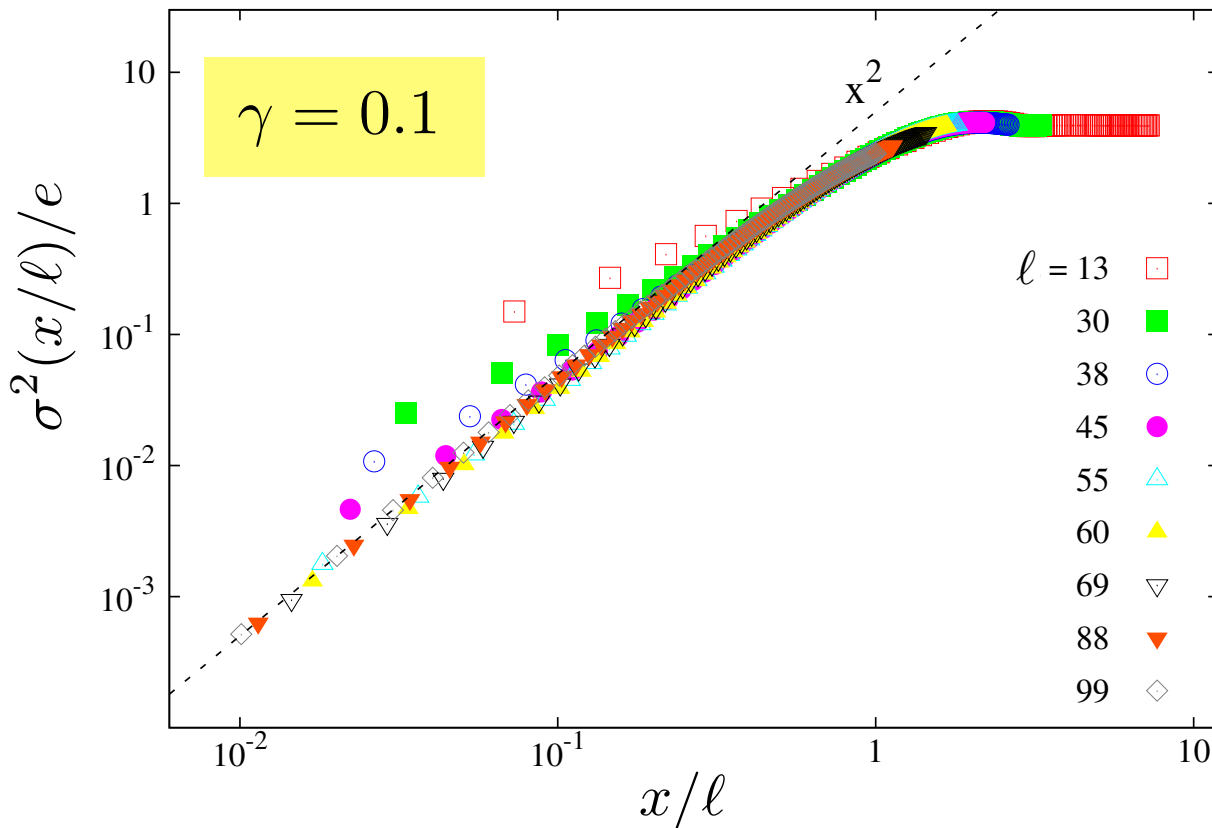
Viscous friction: Deviation from the Edwards theory !!

$$m \ddot{x}_i = -\gamma \dot{x}_i - mg\mu_d \operatorname{sgn}(\dot{x}_i) + (\xi_{i+1} - \xi_i) + F_i^{\text{ext}}(t)$$

Edwards \longrightarrow Iso-energetic mechanically stable configurations have identical probability $\longrightarrow \ell(e) \sim e$



MSD of spring elongations with viscous friction



$$\sigma^2(x) = \langle (\xi(x) - \xi(0))^2 \rangle$$

Mean square displacement of spring elongation along the chain in a mechanically stable configuration

Ballistic increase

$$\sigma^2(x) \sim x^2$$

Langevin equation with COLOURED noise

$$\frac{d\xi}{dx} = -\mu\sqrt{\beta_{Ed}} \xi(x) + \eta(x)$$

$$\langle \eta(x)\eta(y) \rangle = \Gamma(x, y) = 2\mu^2\sqrt{2\pi} \delta(x - y) + \frac{D_{col}}{\tau} e^{-|x-y|/\tau}$$

Langevin equation with COLOURED noise

$$\frac{d\xi}{dx} = -\mu\sqrt{\beta_{\text{Ed}}}\xi(x) + \eta(x)$$

$$\langle \eta(x)\eta(y) \rangle = \Gamma(x, y) = 2\mu^2\sqrt{2\pi}\delta(x - y) + \frac{D_{\text{col}}}{\tau}e^{-|x-y|/\tau}$$

Sum over path probabilities

$$\mathcal{Z} = \int \mathcal{D}\xi \exp\left(-\int dx dy L\xi(x) \Gamma^{-1}(x - y) L\xi(y)\right)$$

$$L = \frac{\partial}{\partial x} + \mu\sqrt{\beta_{\text{Ed}}}$$

Sum over path probabilities
(Langevin equation)



Partition sum
(Field-theory)



Partition sum:
NON LOCAL
GAUSSIAN FIELD
THEORY

$$\mathcal{Z} = \int \mathcal{D}\xi \exp\left(-\int dx dy \mathcal{L}\xi(x) g(x - y) \mathcal{L}\xi(y)\right)$$

Non-local field theory for the mechanically stable configurations sampled with viscous (+dry) friction

$$\mathcal{Z} = \int \mathcal{D}\xi \exp \left(- \int dx dy \mathcal{L}\xi(x) g(x-y) \mathcal{L}\xi(y) \right)$$

$$g(x-y) = \frac{1}{2\sqrt{2}\mu M \tau} \exp \left(-M \frac{|x-y|}{\tau} \right) \quad M = \sqrt{1 + \frac{D_{\text{col}}}{\mu\sqrt{2\pi}}}$$

$$\mathcal{L} = \tau \frac{\partial^2}{\partial x^2} + (1 + 2\sqrt{\beta_{\text{Ed}}}\mu \tau + \tau^2) \frac{\partial}{\partial x} + \mu\sqrt{\beta_{\text{Ed}}}$$

Dry friction only

$$D_{\text{col}} \implies 0$$

$$\tau \implies 0$$

Local theory is recovered

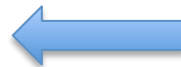
$$\mathcal{Z} = \int \mathcal{D}\xi \exp \left(- \int dx \left[\frac{1}{2\mu^2} \left(\frac{\partial \xi}{\partial x} \right)^2 + \frac{\beta_{\text{Ed}}}{2} \xi^2(x) \right] \right)$$

CONCLUSIONS

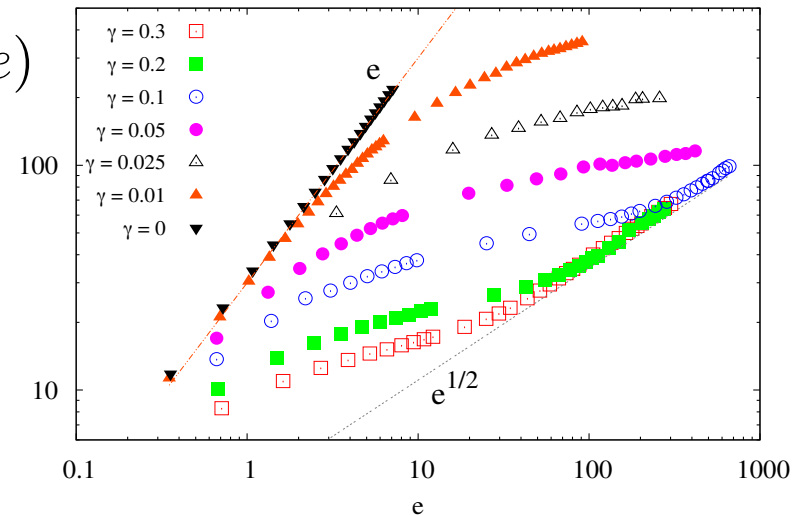
- We presented a 1D model with **dry friction** where the effective thermodynamics *à la* Edwards works very well: transfer operator techniques, gaussian approximation of the constraint
- Uniform Edwards theory works well for dry friction: iso-energetic mechanically stable configurations are sample with identical probability
- What about viscous friction? Non-uniform Edwards theory!
- What about viscous friction? Non-local field theory, coloured noise

$$D_{\text{col}}(T_{\text{Ed}}, \gamma) = ?$$

$$\tau(T_{\text{Ed}}, \gamma) = ?$$



$\ell(e)$

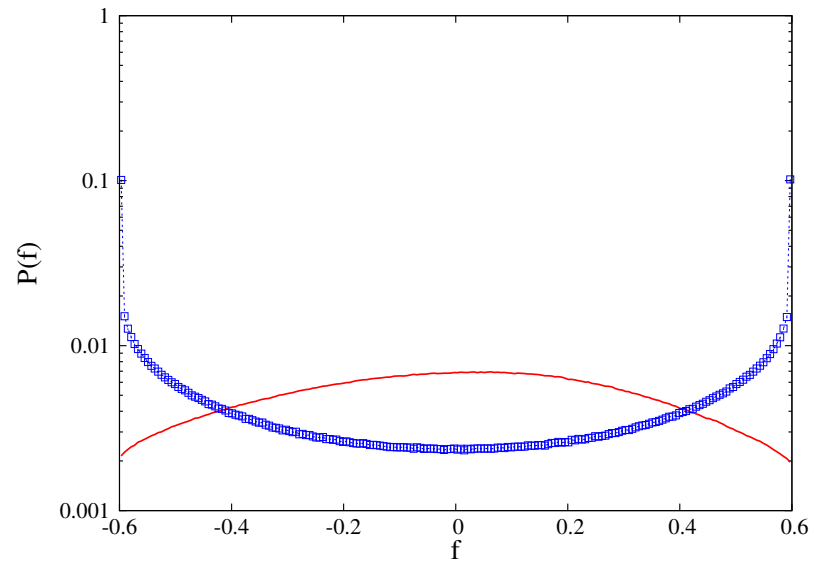
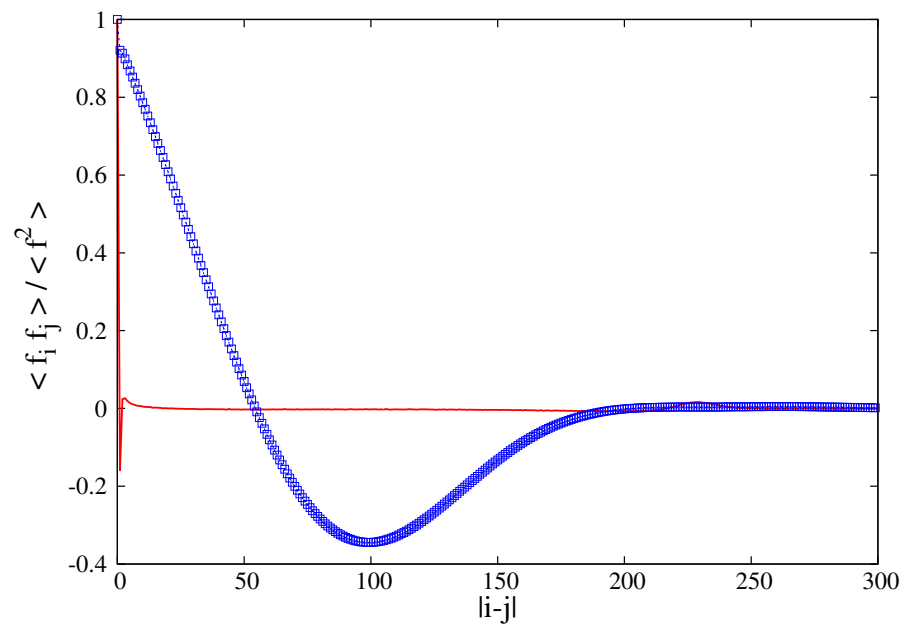
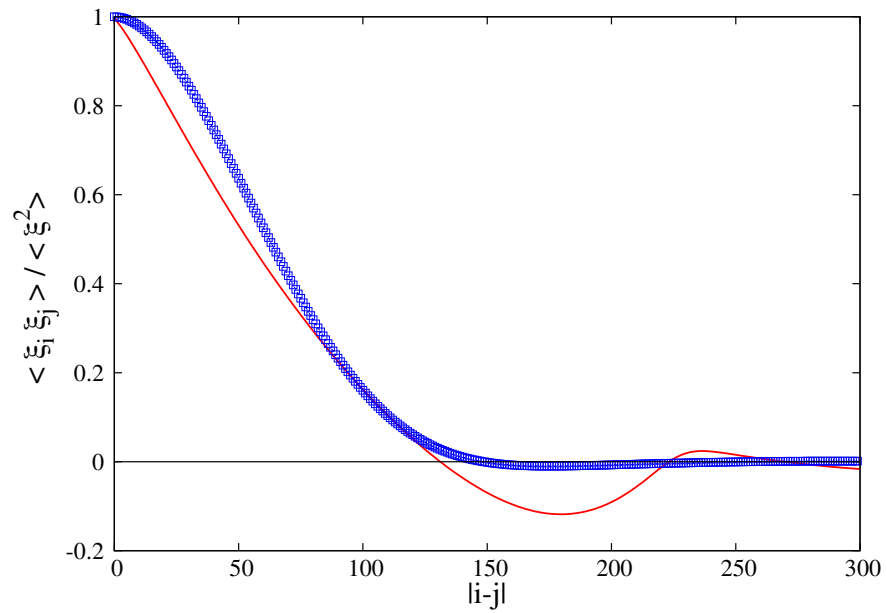


Thermodynamics = ?

$$f = (N\beta_{\text{Ed}})^{-1} \log(\mathcal{Z}) = ?$$

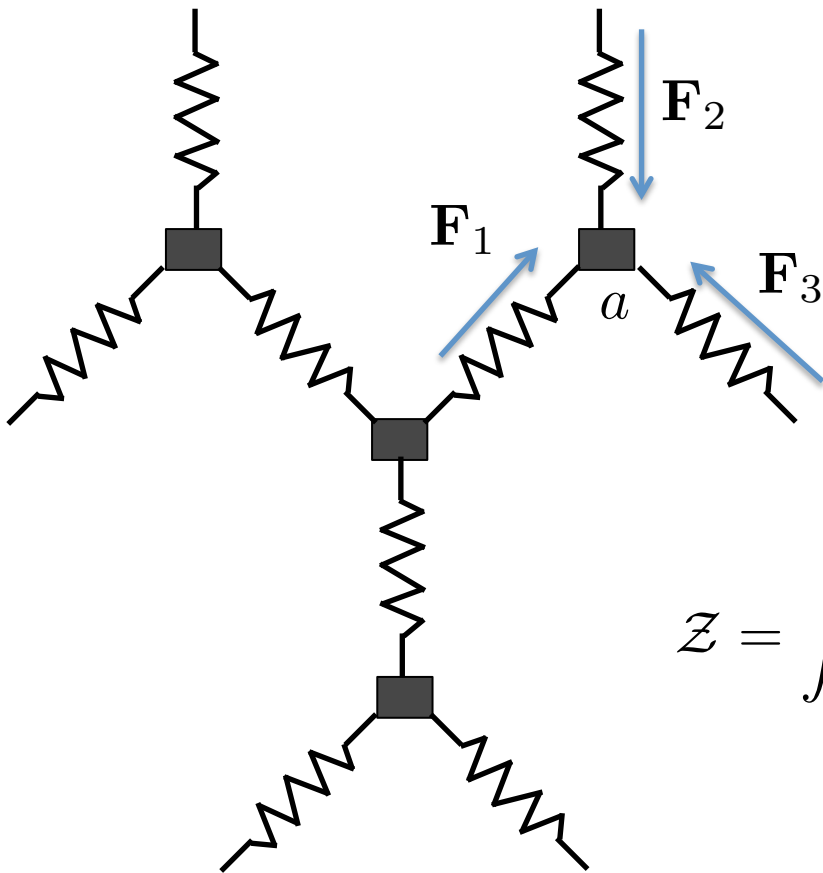
$$e = \partial_{\beta_{\text{Ed}}}(\beta_{\text{Ed}} f)$$

THANK FOR
YOUR
ATTENTION



PERSPECTIVES

- Transfer operator approach on the tree-like random graph
(cavity equations)



$$\Theta \left(\mu - \left| \sum_{i \in \partial a} \mathbf{F}_i \right| \right)$$

$$\mathbf{F} = (F_i^x, F_i^y)$$

$$\mathcal{Z} = \int \mathcal{D}\xi \ e^{-\beta_{\text{Ed}} \sum_i \frac{\xi_i^2}{2}} \prod_a \Theta \left(\mu - \left| \sum_{i \in \partial a} \mathbf{F}_i \right| \right)$$

PERSPECTIVES

- Study of non-linear springs (1D)

Tapping dynamics

$$m \ddot{x}_i = -mg\mu_d \operatorname{sgn}(\dot{x}_i) + (\xi_{i+1} - \xi_i) + \alpha(\xi_{i+1}^3 - \xi_i^3) + F_i^{\text{ext}}(t)$$

Effective theory

$$\mathcal{Z} = \int \mathcal{D}\xi \ e^{-\beta E_d \sum_{i=1}^N [\frac{1}{2} \xi_i^2 + \frac{\alpha}{4} \xi_i^4]} \delta[\mathcal{F}(\xi) - 1]$$

Gaussian approximation: very well known field theory

$$S(\xi) \sim \int dx \left[\frac{1}{2} \left(\frac{\partial \xi(x)}{\partial x} \right)^2 + \frac{1}{2} m^2 \xi^2(x) + \frac{\alpha m^2}{4} \xi^4(x) \right]$$

Career

Ph. D. : **Supercooled liquids** ; Trento (Italy), 2007-2009

Supervisor : P. Verrocchio.

Collaborations: G. Parisi, A. Cavagna, I. Giardinà, T. Grigera, C. Cammarota

Post-Doc : **Non-equilibrium Statistical Mechanics**; Rome, 2010-2012

Advisor: A. Puglisi, A. Vulpiani

Collaborations: H. Touchette, R. Burioni, U. Marconi, A. Cavagna, T. Grigera, P. Verrocchio, A. Sarracino, D. Villamaina

Post-Doc : **Glass transition**; Paris, 2013-2014

Advisor: G. Biroli, S. Franz

Post-Doc : **Non-equilibrium Statistical Mechanics**; Grenoble, 2015-present

Advisor: E. Bertin, J.-L. Barrat

Collaborations: E. Ferrero

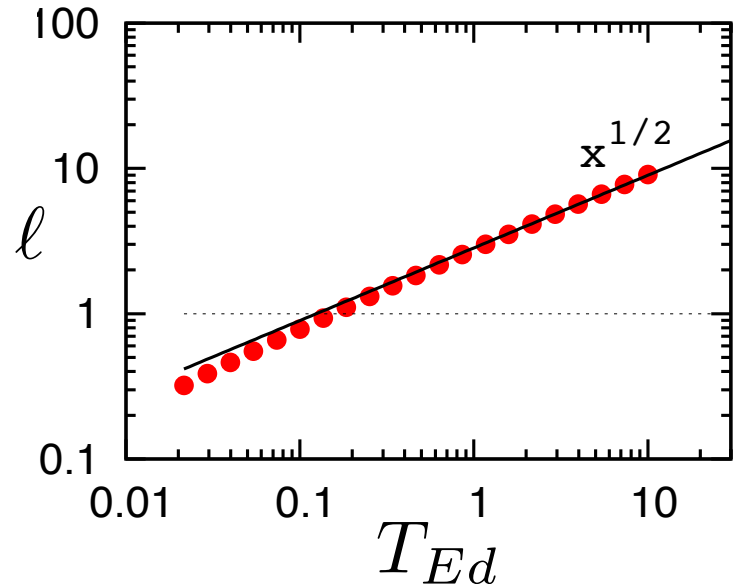
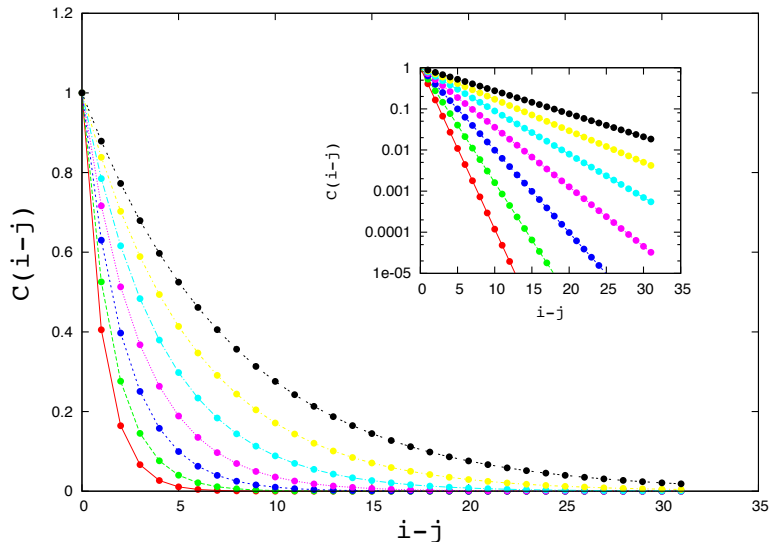
CORRELATION FUNCTION

$$C(|n - m|) \sim \exp(-|n - m|/\ell(e))$$

The operator (real, symmetric kernel) has an orthonormal basis

$$\mathcal{T}[f_b](x) = \lambda_b f_b(x) \quad \int_{-\infty}^{\infty} f_b(x) f_a(x) = \delta_{a,b}$$

$$\lim_{N \rightarrow \infty} \langle \xi_m \xi_n \rangle_{Ed} = \sum_{b \in Sp(\mathcal{T})} \left(\frac{\lambda_b}{\lambda_{\max}} \right)^{n-m} \left| \int_{-\infty}^{\infty} dx x f_b(x) f_{\lambda_{\max}}(x) \right|^2$$



ENTROPY

Non-interacting springs approximation

Marginal distribution $p(\xi) = \mathcal{Z}^{-1} \int d\xi_1 \dots d\xi_{N-1} P(\xi) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\xi^2/(2\sigma^2)}$

$$\sigma \sim \sqrt{T_{\text{Ed}}}$$

$$s = - \int d\xi p(\xi) \log(p(\xi)) \sim \log(T_{\text{Ed}})$$

Exact result

$$Q(\xi) = P(\xi)/\mathcal{Z} \quad s = - \int \mathcal{D}\xi Q(\xi) \log(Q(\xi)) = \beta_{\text{Ed}} e - \beta_{\text{Ed}} f$$

$$\lim_{T_{\text{Ed}} \rightarrow \infty} = \frac{1}{2} \log 2 + \log \mu$$

SCALINGS

Fluctuations of chain length

$$\mathcal{L} = L_0 + \sum_{i=1}^N \xi_i$$

$$\langle \mathcal{L}^2 \rangle - \langle \mathcal{L} \rangle^2 = \sum_{ij} \langle \xi_i \xi_j \rangle$$

$$\langle \mathcal{L}^2 \rangle - \langle \mathcal{L} \rangle^2 \sim \xi(\beta_{\text{Ed}}, \mu_s) e(\beta_{\text{Ed}}, \mu_s) L_0$$

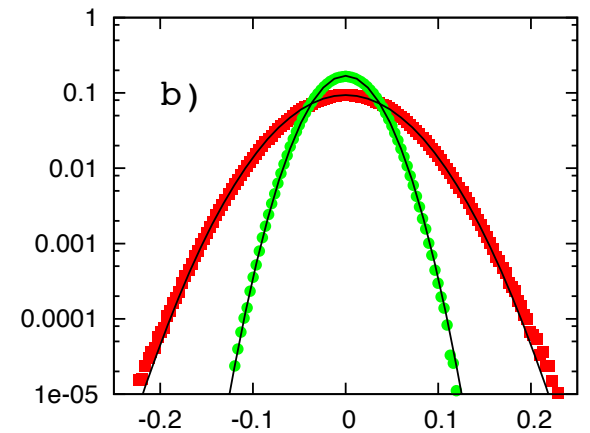
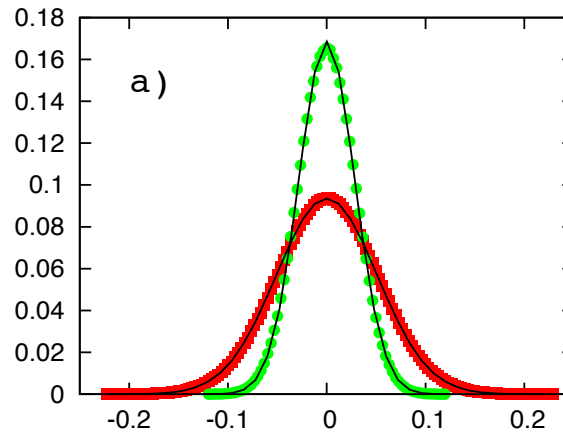
$$\langle \mathcal{L}^2 \rangle - \langle \mathcal{L} \rangle^2 \sim T_{\text{Ed}}$$

SPRING-LENGTH PROBABILITY DISTRIBUTIONS $P(\xi)$

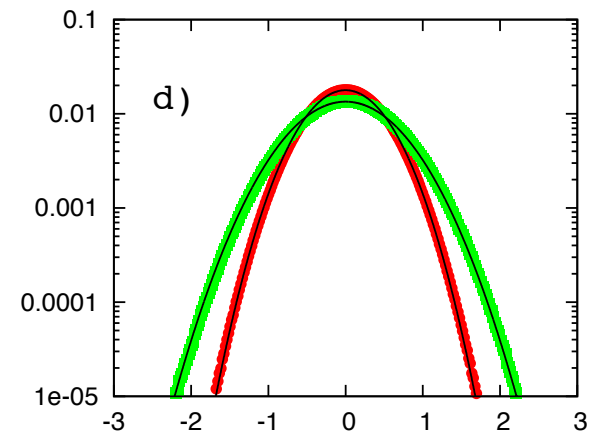
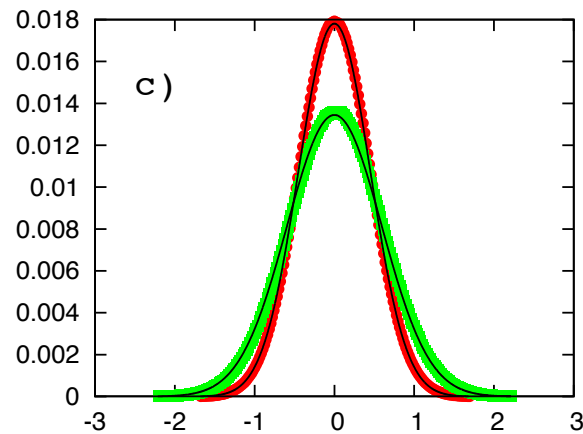
Lines: effective theory

Points: simulations

$$e \sim T_{Ed}$$

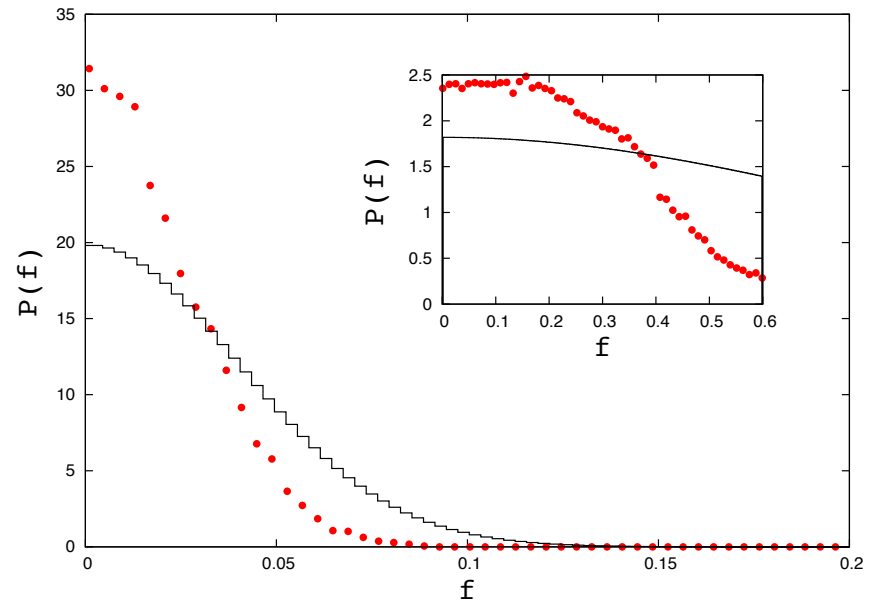
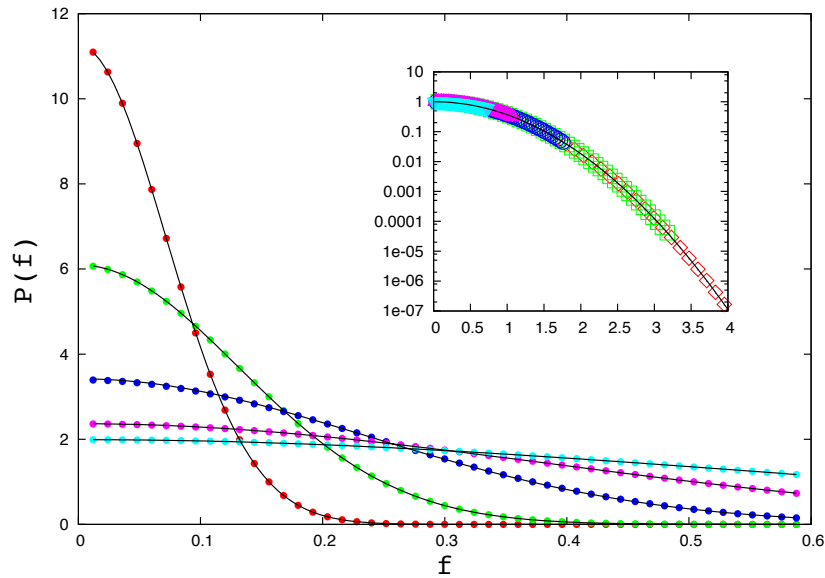


$$e \sim \sqrt{T_{Ed}}$$



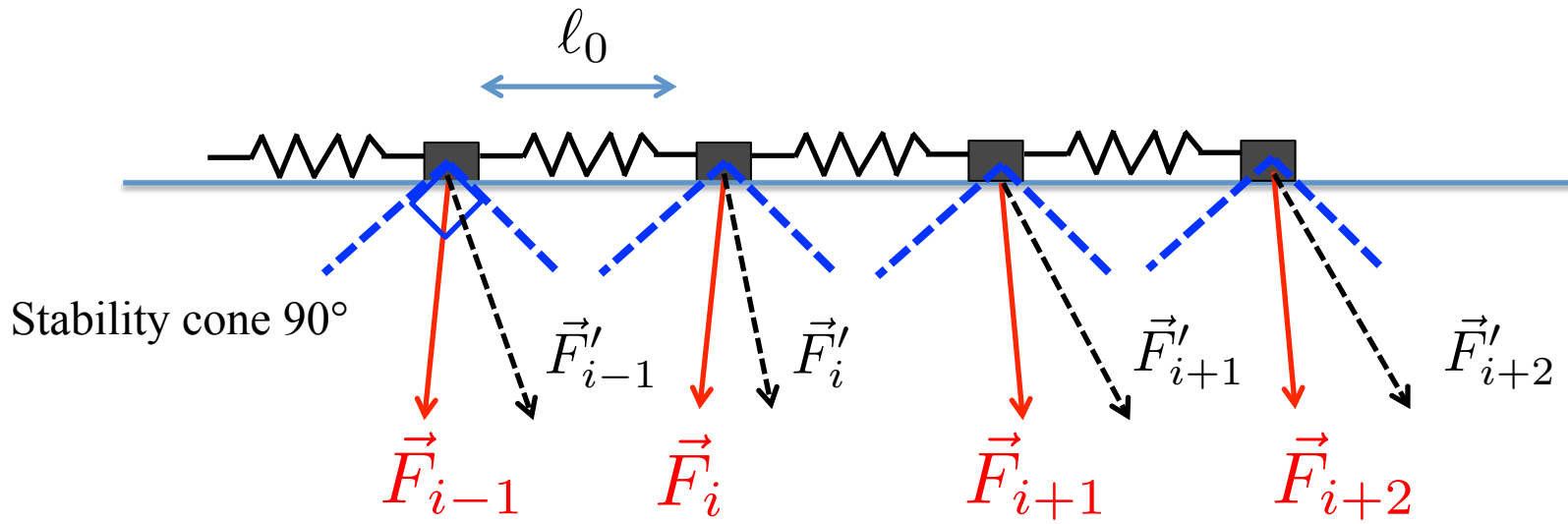
PROBABILITY DISTRIBUTION OF FORCE

Effective theory

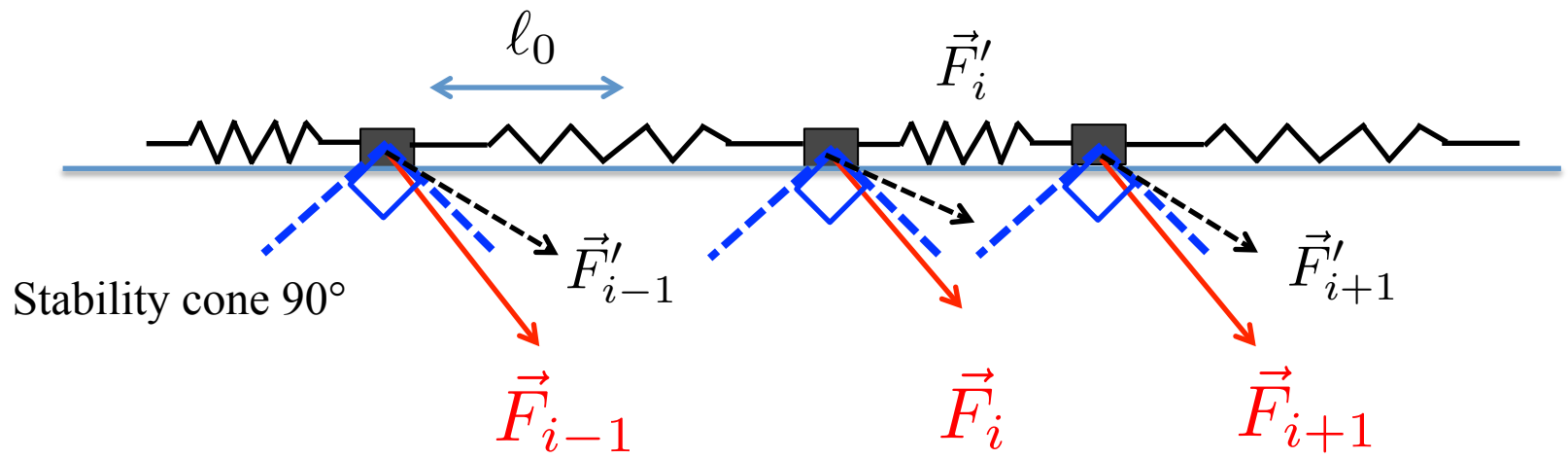


Effective theory (lines) VS
simulations (points)

STABLE



UNSTABLE



AMORPHOUS PACKINGS & GLASSES

Number of blocked structures in frictional granular assemblies at given Volume

$$\log[\mathcal{N}_{\text{blocked}}(V)] \sim N$$

$$\frac{1}{X} = \frac{\partial S_{\text{blocked}}}{\partial V}$$

Number of energy minima in models of glasses at given Energy

$$\log[\mathcal{N}_{\text{minima}}(E)] \sim N$$

$$\frac{1}{T_{\text{eff}}} = \frac{\partial S_{\text{states}}}{\partial E}$$

ANGORICITY

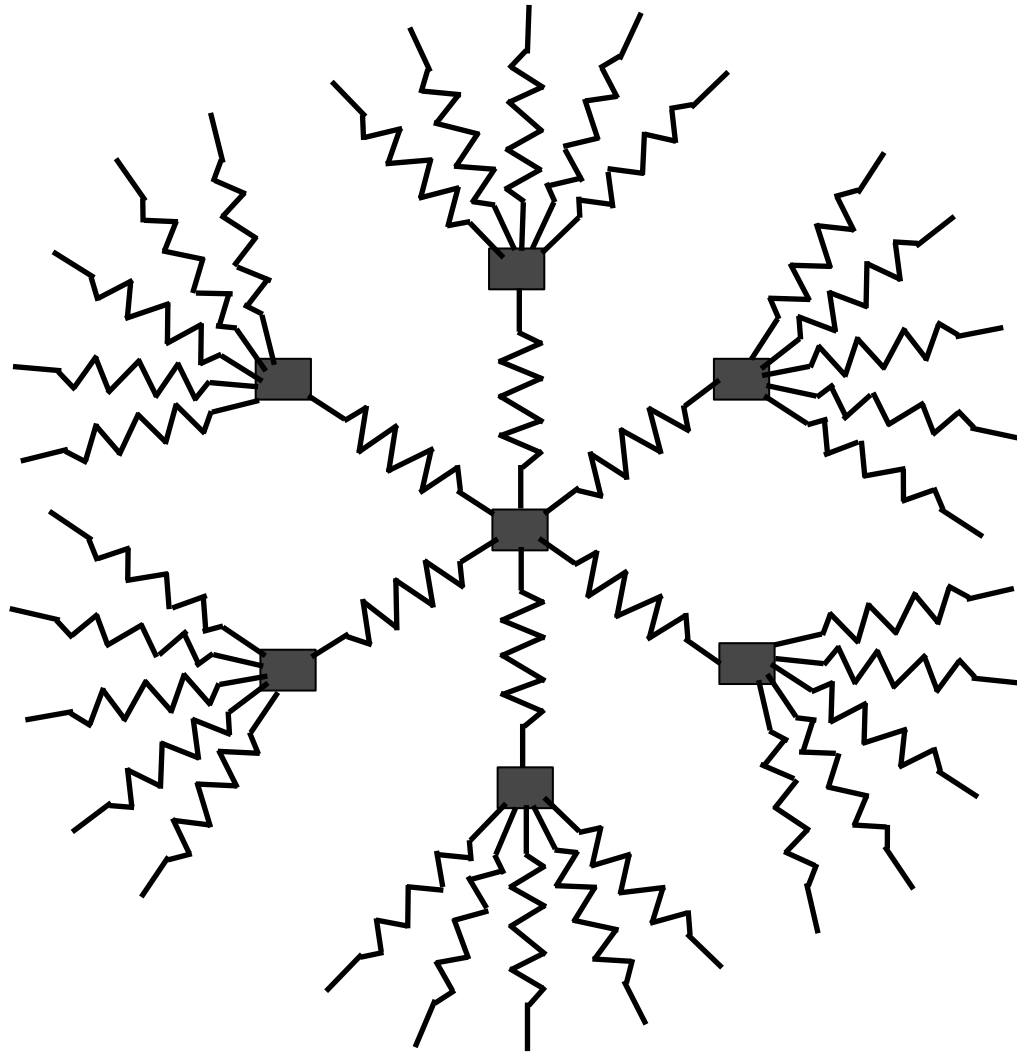
$$\Sigma_{\mu\nu} = \sum_{ij} [\vec{d}_{ij} \otimes \vec{f}_{ij}]_{\mu\nu}$$

Force-momentum tensor is conserved

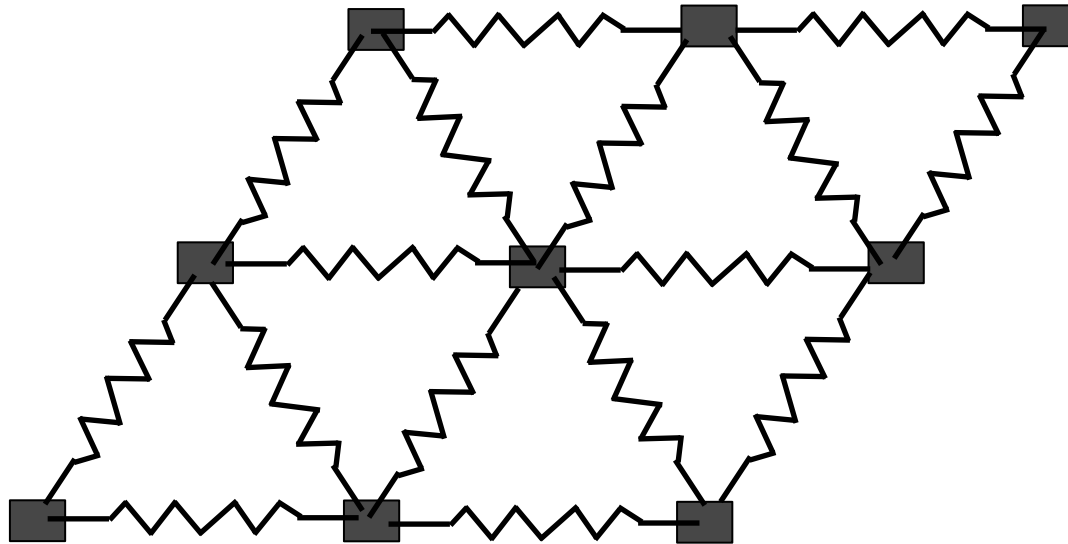
$$\alpha_{\mu\nu} = \frac{\partial S}{\partial \Sigma_{\mu\nu}}$$

“The Statistical Physics of athermal materials”,
D. Bi, **S. Henkes**, K. E. Daniels, B. Chakraborty
arXiv:1404.1854

BETHE LATTICE



2D LATTICE



AMORPHOUS PACKINGS & GLASSES

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PHYSICAL REVIEW LETTERS

11 DECEMBER 2000

Edwards' Measures for Powders and Glasses

Alain Barrat,¹ Jorge Kurchan,² Vittorio Loreto,³ and Mauro Sellitto⁴

VOLUME 90, NUMBER 19

PHYSICAL REVIEW LETTERS

week ending
16 MAY 2003

Possible Test of the Thermodynamic Approach to Granular Media

David S. Dean and Alexandre Lefèvre

TEST OF EDWARDS IN ISING MODEL

A. Lefèvre & D. Dean, *J. Phys. A: Math. Gen.* **34** (2001)

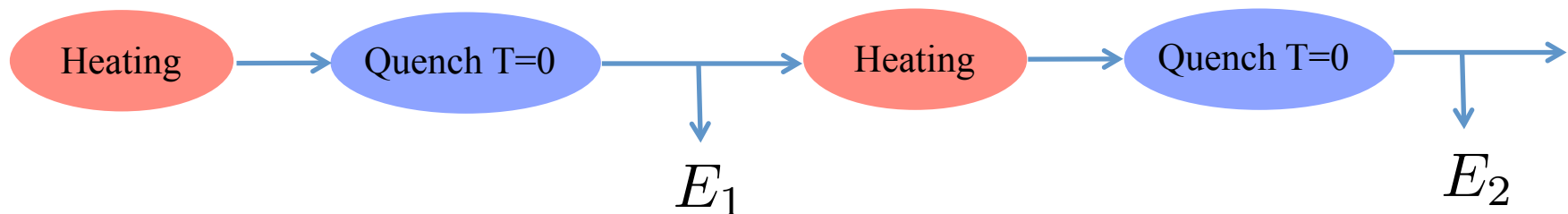
TAPPING
DYNAMICS

1) Heating: all spins are flipped with probability p
 $p \in [0, 1/2[$

2) Quench at $T=0$: only spin flips which lower the energy are allowed

“BLOCKED CONFIGURATIONS”

Energy cannot be lowered with a single spin flip



Average over states collected
via tapping dynamics

$$\langle \mathcal{O} \rangle_{E(p)} = \mathcal{N}^{-1} \sum_{i=1}^N \mathcal{O}(\mathcal{C}_i) \delta(E - E_i)$$

$$\beta(p) = \left[\frac{\partial S}{\partial E} \right]_{E(p)}$$

$$\langle \mathcal{O} \rangle_{\beta(p)} = \mathcal{Z}^{-1} \sum_{\sigma | \sigma \in \text{blocked}} \mathcal{O}(\sigma) e^{-\beta(p)E(\sigma)}$$

TEST OF EDWARDS IN ISING MODEL

A. Lefèvre & D. Dean, *J. Phys. A: Math. Gen.* **34** (2001)

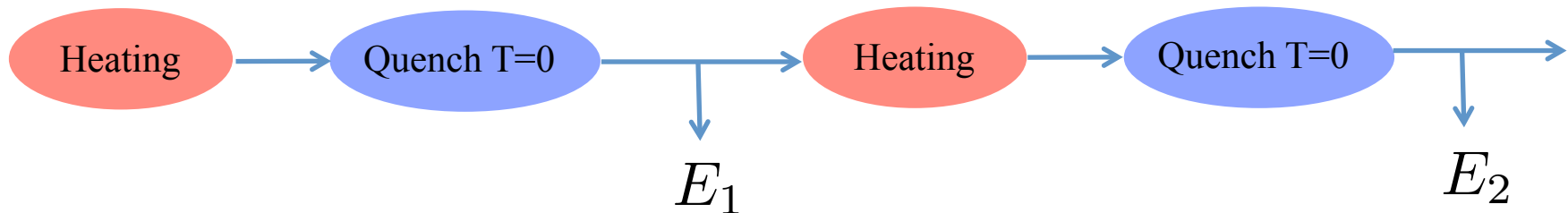
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“BLOCKED CONFIGURATIONS”

Energy cannot be lowered with a single spin flip



$$\mathcal{Z} = \sum_{\sigma} e^{\beta E_d \sum_i \sigma_i \sigma_{i+1}} \prod_i \Theta(\sigma_{i-1} \sigma_i + \sigma_i \sigma_{i+1}) \quad \begin{array}{l} x \geq 0 \rightarrow \Theta(x) = 1 \\ x < 0 \rightarrow \Theta(x) = 0 \end{array}$$

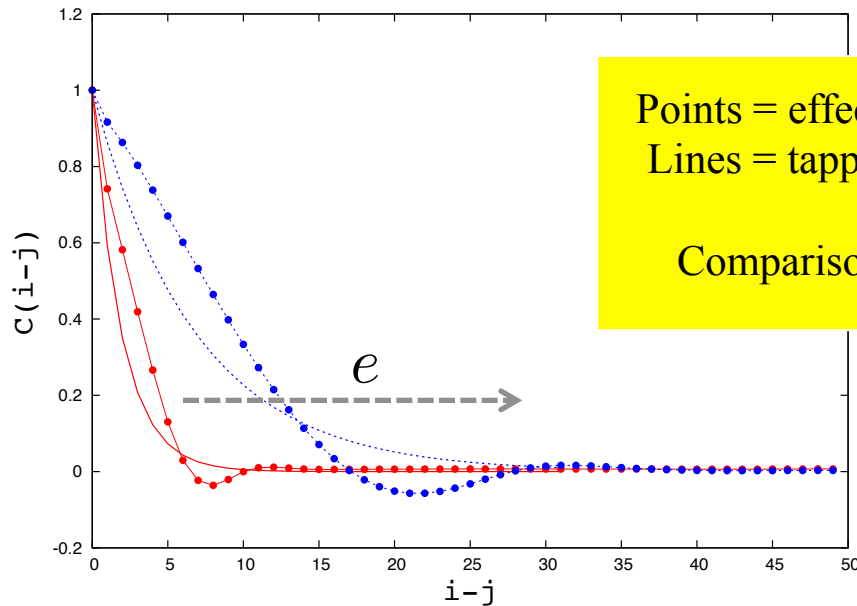
$$\beta(p) = \left[\frac{\partial S}{\partial E} \right]_{E(p)} \quad \langle \mathcal{O} \rangle_{\beta(p)} = \mathcal{Z}^{-1} \sum_{\sigma | \sigma \in \text{blocked}} \mathcal{O}(\sigma) e^{-\beta(p) E(\sigma)}$$

SPRING-SPRING CORRELATION FUNCTION

Make use of eigenvalues and eigenvectors

$$\mathcal{T}[f_b](x) = \lambda_b f_b(x) \quad \int_{-\infty}^{\infty} f_b(x) f_a(x) = \delta_{a,b}$$

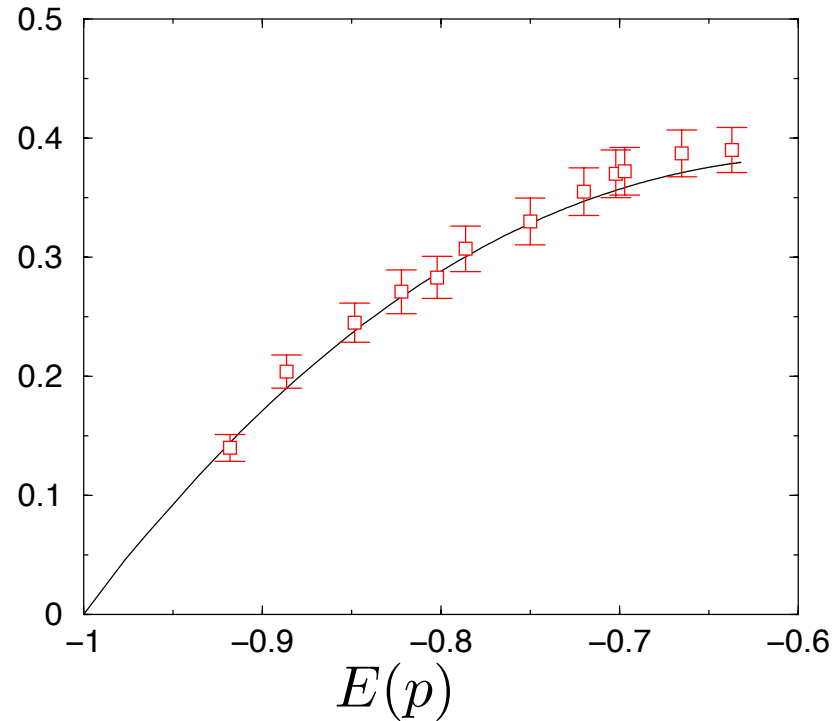
$$\lim_{N \rightarrow \infty} \langle \xi_m \xi_n \rangle_{Ed} = \sum_{b \in Sp(\mathcal{T})} \left(\frac{\lambda_b}{\lambda_{\max}} \right)^{n-m} \left| \int_{-\infty}^{\infty} dx x f_b(x) f_{\lambda_{\max}}(x) \right|^2$$



TEST OF EDWARDS IN ISING MODEL

A. Lefèvre & D. Dean, *J. Phys. A: Math. Gen.* **34** (2001)

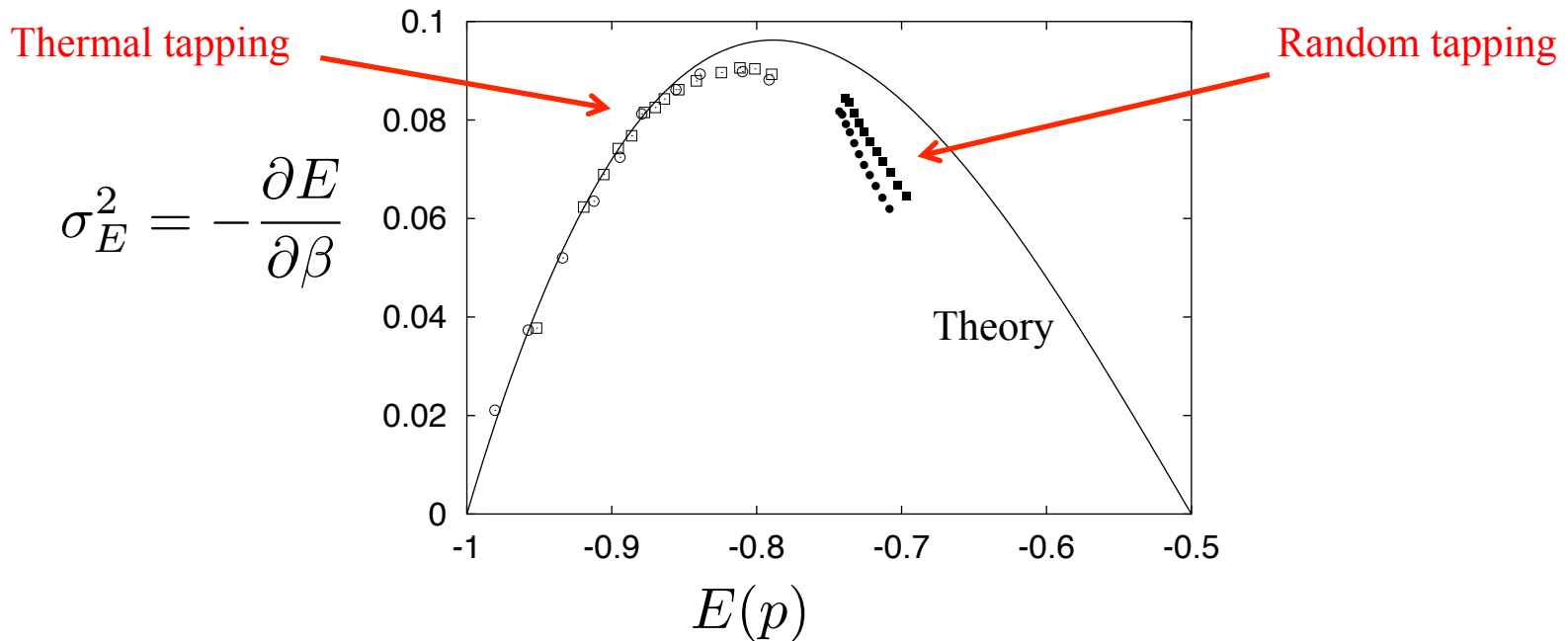
$$\sigma_E^2 = -\frac{\partial E}{\partial \beta}$$



$$\mathcal{Z} = \sum_{\sigma} e^{\beta E_d \sum_i \sigma_i \sigma_{i+1}} \prod_i \Theta(\sigma_{i-1} \sigma_i + \sigma_i \sigma_{i+1}) \quad \begin{array}{l} x \geq 0 \rightarrow \Theta(x) = 1 \\ x < 0 \rightarrow \Theta(x) = 0 \end{array}$$

$$\beta(p) = \left[\frac{\partial S}{\partial E} \right]_{E(p)} \quad \langle \mathcal{O} \rangle_{\beta(p)} = \mathcal{Z}^{-1} \sum_{\sigma | \sigma \in \text{blocked}} \mathcal{O}(\sigma) e^{-\beta(p) E(\sigma)}$$

TEST OF EDWARDS IN ISING MODEL + KINETIC CONSTRAINTS

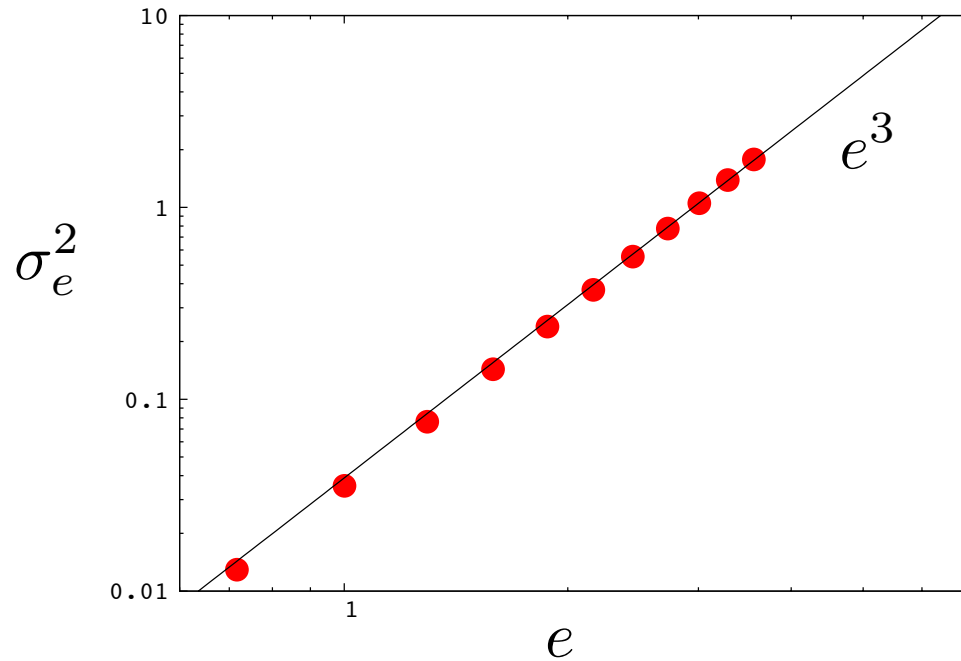


J. Berg, S. Franz, M. Sellitto, *EPJ B* (2002)

- Same test on one-dimensional Kinetically Constrained Models
- **Disagreement between dynamical averages and Edwards effective theory**

De Smedt, Godrèche, Luck, *EPJ B* (2002)

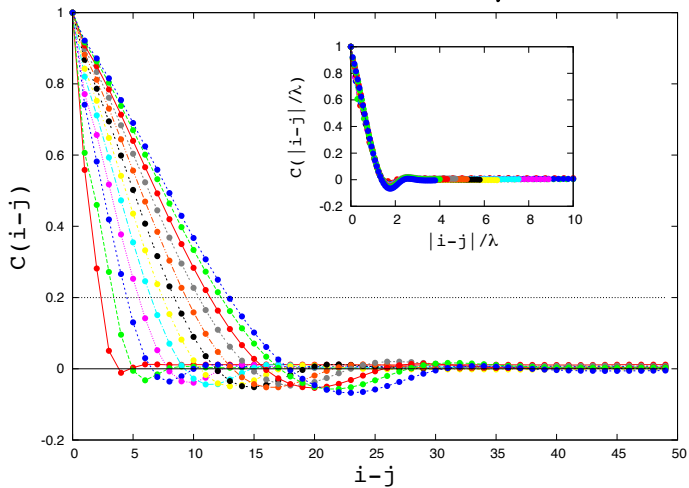
FLUCTUATIONS OF ENERGY



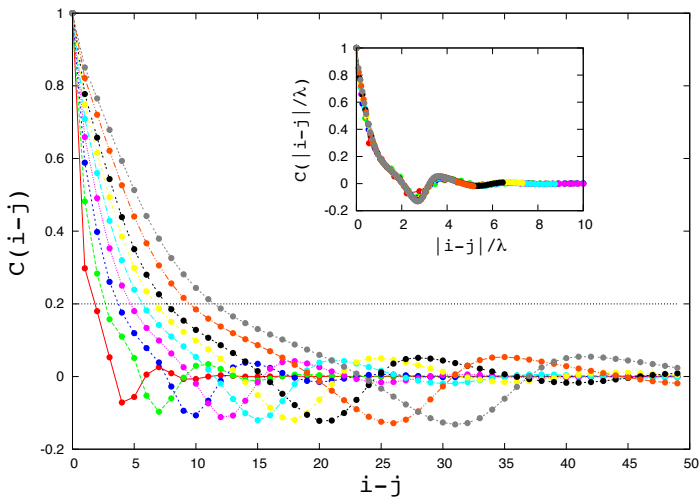
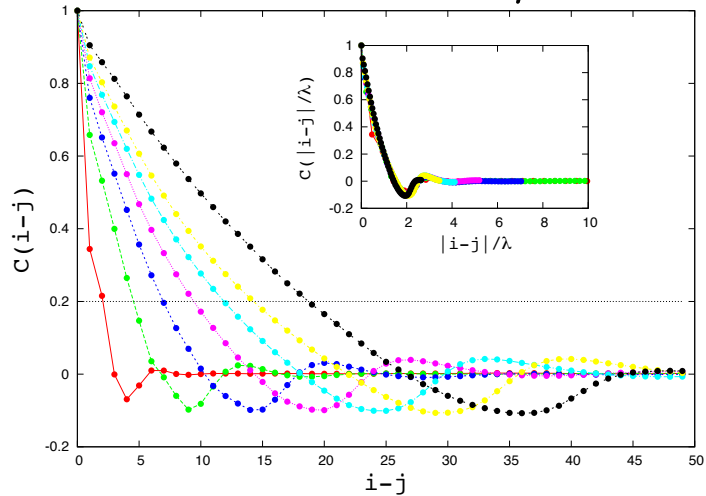
Effective theory

$$\left. \begin{aligned} e &\sim \sqrt{T_{Ed}} \\ \sigma_e^2 &= -\frac{\partial e}{\partial \beta_{Ed}} \sim T_{Ed}^{3/2} \end{aligned} \right\} \sigma_e^2 \sim e^3$$

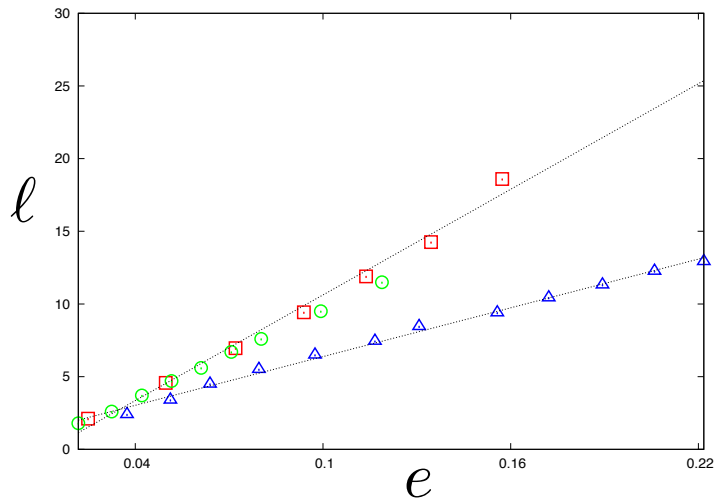
$$f_i = F \quad \rho = 0.3$$



$$f_i = F \quad \rho = 0.8$$



$$f_i \sim e^{-\frac{(f-F)^2}{\sigma}} \quad \rho = 1.0$$



$$l(e) \sim e$$

Linear increase of correlation length for all the "tapping" dynamics we used

GAUSSIAN APPROXIMATION OF THE CONSTRAINT

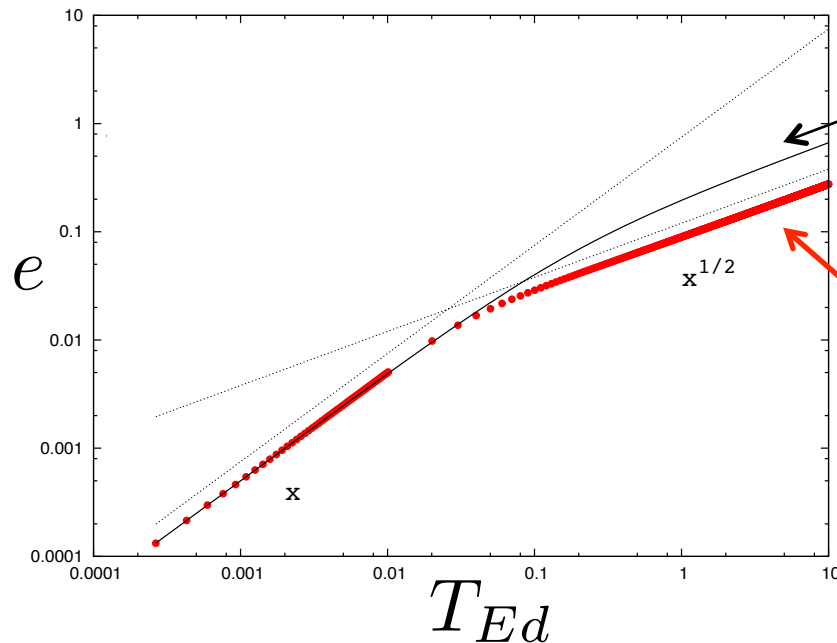
$$\mathcal{Z} = \text{Tr}[\mathcal{T}^N]$$

$$T(x, y) = e^{-\beta_{Ed} \frac{x^2}{4}} \Theta(\mu - |x - y|) e^{-\beta_{Ed} \frac{y^2}{4}}$$

Smooth approximation
of the constraint

$$\Theta(\mu - |x - y|) \sim \frac{1}{\sqrt{\pi}} \exp\left(-\frac{|x - y|^2}{4\mu^2}\right)$$

$$\mathcal{Z} = \int d\xi_1 \dots d\xi_N \exp[-\xi \cdot A\xi]$$



$$e = \frac{1}{2} \frac{\mu T_{Ed}}{\sqrt{2T_{Ed} + \mu^2}}$$

$$e = -\lambda_{\max}^{-1} \langle \lambda_{\max} | \partial_{\beta_{Ed}} \mathcal{T} | \lambda_{\max} \rangle$$

DRIVEN ATHERMAL DYNAMICS

$$m \ddot{x}_i = F_{\text{diss}} + F_{\text{el}} + F_{\text{ext}}$$



Blocked configurations

$$\langle \xi_i \xi_j \rangle \sim C \left(\frac{|i-j|}{l(e)} \right)$$

$$l(e) \sim e$$

$$e \sim \sqrt{?}$$

EFFECTIVE THERMODYNAMICS

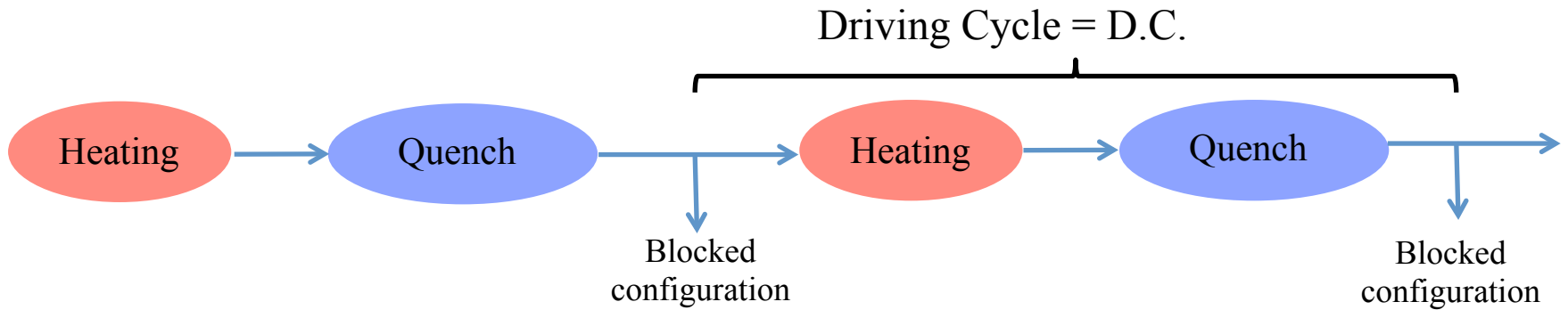
$$\mathcal{Z} = \int_{\xi \in \text{blocked}} \mathcal{D}\xi e^{-\beta_{Ed} E[\xi]}$$

$$\langle \xi_i \xi_j \rangle \sim \exp \left(-\frac{|i-j|}{l(e)} \right)$$

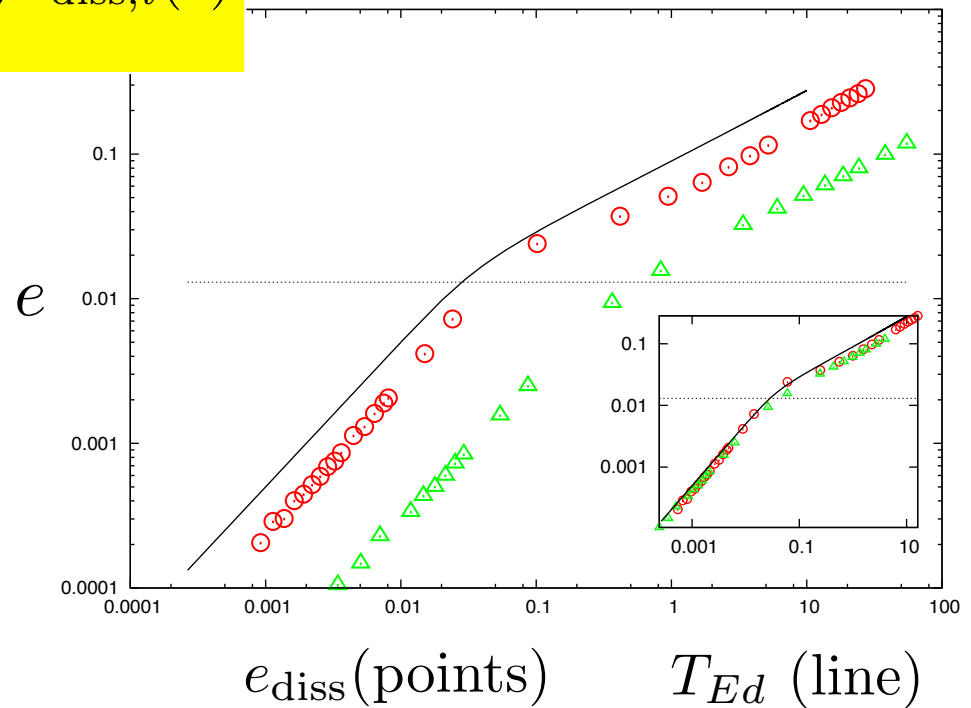
$$l(e) \sim e$$

$$e \sim \sqrt{T_{Ed}}$$

EDWARDS PARAMETER = DISSIPATED ENERGY



$$e_{\text{diss}} = -\frac{1}{N} \sum_{i=1}^N \int_{D.C.} ds v_i(s) F_{\text{diss},i}(s)$$



$$e_{\text{diss}} \ll 1 \quad e \sim e_{\text{diss}}$$

$$e_{\text{diss}} \gg 1 \quad e \sim \sqrt{e_{\text{diss}}}$$

DRIVEN AETHERMAL DYNAMICS

$$m \ddot{x}_i = F_{\text{diss}} + F_{\text{el}} + F_{\text{ext}}$$



Blocked configurations

$$\langle \xi_i \xi_j \rangle \sim C \left(\frac{|i-j|}{l(e)} \right)$$

$$l(e) \sim e$$

$$e \sim \sqrt{e_{\text{diss}}}$$

$$e_{\text{diss}} = T_{Ed}$$

EFFECTIVE THERMODYNAMICS

$$\mathcal{Z} = \int_{\xi \in \text{blocked}} \mathcal{D}\xi e^{-\beta_{Ed} E[\xi]}$$

$$\langle \xi_i \xi_j \rangle \sim \exp \left(-\frac{|i-j|}{l(e)} \right)$$

$$l(e) \sim e$$

$$e \sim \sqrt{T_{Ed}}$$