# Microscopic Neutron Emission and Fission Rates in Compound Nuclei



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#### **Collaborations:**

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- > Yi Zhu and J.C. Pei, Phys. Rev. C 90, 054316(2014).
- > Yi Zhu and J.C. Pei, Phys. Rev. C 94, 024329(2016).

#### SSNET Workshop, 2016.11, Gif sur Yvette, France



## Introduction

- Overview of fission theory
- Thermal neutron emission rates
- Thermal fission rates

## Summary

## Motivation

**Practical Interests:** fast-neutron induced fission in reactors, fission in astrophysical environments, synthesis of superheavy nuclei.....



	40 Cd	In	Sn	Sb	JZ Te	55 I	Xe	
1	80	81	82	83	84	85	86	
	Hg	TI	Pb	Bi	Po	At	Rn	
Ī	112	113	114	115	116	117	118	
	Cn	Nh	FI	Мс	Lv	Ts	Og	



4 new elements named by IUPAC/IUPAP

fission in neutron start merger affect r-process abundance

## Introduction

- The competition between neutron emission and fission is crucial for the survival probabilities of compound SHN.
- It is particularly an interesting issue for hot-fusion reactions

$$\sigma_s(E_{\text{c.m.}}) = \sigma_{cap}^{eff}(E_{\text{c.m.}})P_{CN}(E_{\text{c.m.}})W_s(E_{\text{c.m.}})$$



 The calculations of survival probabilities are usually based on Bohr-Wheeler statistical model



- Systematic survival probabilities have been calculated at optimal energies
   C.J. Xia, B.X. Sun, E.G. Zhao, S.G. Zhou, Sci. Chin. Phys., 2011
- However, statistical model relies on parameterized level densities, fission barriers, temperature dependence..... and many corrections and associated parameters are introduced

 Microscopic Finite-Temperature Hartree-Fock-Bogoliubov can describe the thermal equilibrium compound nuclei: quantum effects (superfluidity and shell effects) can self-consistently and gradually disappear

$$\rho(\mathbf{r}) = \sum_{i} [f_{i} | U_{i}(\mathbf{r})|^{2} + (1 - f_{i}) | V_{i}(\mathbf{r})|^{2}]$$
A. L. Goodman, NPA 352, 30 (1981).  
J. L. Egido, et al., PRL 85, 26 (2000).  

$$\tilde{\rho}(\mathbf{r}) = -\sum_{i} (1 - 2f_{i}) V_{i}(\mathbf{r}) U_{i}^{*}(\mathbf{r})$$

$$f_{i} = \frac{1}{1 + e^{(E_{i}/kT)}}$$

$$S = -k \sum_{i} [f_{i} \ln f_{i} + (1 - f_{i}) \ln(1 - f_{i})].$$

 Microscopic Finite-Temperature Skyrme Hartree-Fock-Bogoliubov can naturally describe the temperature dependent fission barriers and neutron gas at surfaces, without free parameters

F = E - TS Fission barriers in terms of free energy



#### Microscopic thermal fission barriers

J. C. Pei, W. Nazarewicz, J. A. Sheikh, and A. K. Kerman, PRL102, 192501 (2009).

- The temperature dependence of fission barrier heights are different for specific nuclei, due to quantum shell effects at high temperatures.
- Usually in experimental analysis, a damping factor is introduced

$$B_{f} = B_{LD} - \delta W e^{-\gamma_{D} E^{*}}$$

M.G. Itkis, Yu. Ts. Oganessian and V.I. Zagrebaev, Phys. Rev. C 65, 044602(2002).

#### From classical statistical to quantum statistical decays

#### 1. The Bohr-Wheeler transition state theory(1939)

fission barriers don't change with temperatures depends on level densities at minimum and saddle points

 $\Gamma_{f} = \frac{1}{2 \pi} \frac{1}{\rho_{CN}(E)} \int_{0}^{E-B_{f}} \rho_{sad}(E-B_{F}-\varepsilon) d\varepsilon$   $\Gamma_{f} = \frac{T}{2 \pi} e^{-B_{f}/T}$ 

Level density at saddle point is not know



 2. The dynamic Kramers theory (related to Fokker-Planck)(1940) consider the influences of barrier widths and dissipation Strutinsky(1973) consistent or inconsistent with B-W without dissipation?



#### 3. The Imaginary Free Energy method (ImF)

A general theory at all temperatures for metastable systems(chemical reactions) A more strict quantum treatment; fission barriers in free energy Connected to Kramers theory at high temperatures A transition temperature: from quantum tunneling to thermal decays

$$Z = \sum_{n} e^{-\beta z_n} = \sum_{n} e^{-\beta (E_n - i\hbar\gamma_n/2)} \qquad F = -(1/\beta) \ln Z$$

J.S. Langer, Ann. Phys. (N.Y.) 41, 108(1967).

I. Affleck, Phys. Rev. Lett 46, 388 (1981)

Our objective: microscopic study thermal fission rates (Im*F*) with the temperature dependent fission barriers and thermal neutron emission rates, and then survival probabilities, instead of conventional statistical models

A well-known challenge for nuclear many-body theory towards a predictive microscopic fission theory Review: N. Schunck, and L. M. Robledo, arXiv:1511.07517v2.

- Fission is a large amplitude collective motion
- Spontaneous fission has been extensively studied as a quantum tunneling process.
- Non-adiabatic studies: real-time dynamics only applicable after saddle points for fragment distributions (TD-HFB)
- Adiabatic DFT have to identify the neck and scission mechanism

#### **Two essential inputs:**

- Microscopic DFT studies of mass inertial parameters: cranking approximation, GCM, ATDHFB, local QRPA
- Microscopic DFT studies of fission barriers: large deformation properties(and beyond mean-field corrections); multi-dimensional potential energy surfaces(q<sub>20</sub>, q<sub>22</sub>, q<sub>30</sub>)

#### **WKB + Langevin dynamics**

Spontaneous fission fragments



Jhilam Sadhukhan, Witold Nazarewicz, and Nicolas Schunck Phys. Rev. C 93, 011304(R) (2016)

#### **TD-HFB**

- Pairing is important: allow orbital changes (m,-m) - (m', -m')
- A large spherical fragment + a small deformed excited fragment
- Evolution time is longer than expected, not due to viscosity
- Nuclear forces are not sensitive



Aurel Bulgac, Piotr Magierski, Kenneth J. Roche, and Ionel Stetcu Phys. Rev. Lett. 116, 122504 (2016)

#### **TD-GCM**

$$i\hbar \frac{\partial}{\partial t}g(\boldsymbol{q},t) = \left[-\frac{\hbar^2}{2}\sum_{\alpha\beta}\frac{\partial}{\partial q_{\alpha}}B_{\alpha\beta}(\boldsymbol{q})\frac{\partial}{\partial q_{\beta}} + V(\boldsymbol{q})\right]g(\boldsymbol{q},t),$$
$$F(\xi,t) = \int_{t=0}^{t}dT\int_{\boldsymbol{q}\in\xi}\boldsymbol{J}(\boldsymbol{q},t)\cdot d\boldsymbol{S}.$$

- Adiabatic and no internal excitation
- Uncertainty of scission points
- Up to 2-dimensional and costly
- Suitable for fragment distribution





#### A number of libraries at the application level:

Level density; potential energy surface; shape before scission, Gaussian distribution, neck, potential curvature, dissipation ...

Very wide applications:

Fission fragments, TKE, neutron emission, gammy emission...



## **Thermal neutron gas**



- In FT-HFB, the thermal neutron gas at surfaces are generated to produce equilibrium pressure
- The neutron gases are unphysical, and should be subtracted from the system selfconsistently
- The neutron emission (evaporation) rates is proportional to the gas density

Zhu, Pei, PRC, 90, 054316 (2014)

## **Thermal neutron emission rates**



The level density parameter *a*, which can be dependent on mass regions, deformations, and temperatures



• A large box is required to get convergence for deformed cases.



 Self-consistent subtraction of gas is important for neutron-rich nuclei, large boxes and high temperatures.

## **Compare neutron emission rates**

kT	FT-	HFB	Sta	t-M
(MeV)	n <sub>gas</sub>	Г	$\Gamma(a)$	$\Gamma(b)$
<sup>238</sup> U				
1.0	$2.07 \times 10^{-6}$	$3.69 \times 10^{-3}$	$1.11 \times 10^{-3}$	$1.15 \times 10^{-3}$
1.5	$2.09 \times 10^{-5}$	$4.57 \times 10^{-2}$	$6.15 \times 10^{-2}$	$2.96 \times 10^{-2}$
2.0	$7.67 \times 10^{-5}$	$1.94 \times 10^{-1}$	$2.56 \times 10^{-1}$	$1.55 \times 10^{-1}$
<sup>258</sup> U				
1.0	$1.67 \times 10^{-5}$	$3.16 \times 10^{-2}$	$1.73 \times 10^{-2}$	$1.02 \times 10^{-2}$
1.5	$7.82 \times 10^{-5}$	$1.82 \times 10^{-1}$	$2.04 \times 10^{-1}$	$1.10 \times 10^{-1}$
2.0	$2.11\!\times\!10^{-4}$	$5.70 \times 10^{-1}$	$7.71 \times 10^{-1}$	$4.10 \times 10^{-1}$
kT	FT-I	HFB	Stat	t-M
(MeV)	ngas	Г	$\Gamma(a)$	$\Gamma(b)$
$^{278}_{112}$ Cn				
1.0	$5.53 \times 10^{-7}$	$1.09 \times 10^{-3}$	$1.36 \times 10^{-4}$	$5.14 \times 10^{-4}$
1.5	$8.84 \times 10^{-6}$	$2.14 \times 10^{-2}$	$2.76 \times 10^{-2}$	$2.04 \times 10^{-2}$
2.0	$3.76 \times 10^{-5}$	$1.06 \times 10^{-1}$	$2.21 \times 10^{-1}$	$1.21 \times 10^{-1}$
292 1				
114 11				
114 <b>Г</b> 1.0	$1.15 \times 10^{-6}$	$3.69 \times 10^{-3}$	$5.36 \times 10^{-4}$	$9.04 \times 10^{-4}$
114F1 1.0 1.5	$1.15 \times 10^{-6}$ $1.51 \times 10^{-5}$	$3.69 \times 10^{-3}$ $3.79 \times 10^{-2}$	$5.36 \times 10^{-4}$ $3.13 \times 10^{-2}$	$9.04 \times 10^{-4}$ $2.64 \times 10^{-2}$

Zhu, Pei, PRC, 2014

#### **Statistical model:**

(a) use a level density a=A/13
(b) use a level density a=E/T<sup>2</sup>
from FT-HFB calculations (*a* connection)

- Generally agree with the statistical results, however, with detailed differences...
- Microscopic results without any parameters.
- Level density parameters are difficult to be determined experimentally

## **Compare neutron emission rates**

**Statistical model and microscopic model:** level density a=E/T<sup>2</sup> from FT-HFB calculations (*a connection*)

$$\rho(E^*) = \frac{\sqrt{\pi} \exp(2\sqrt{aE^*})}{12a^{1/4}E^{*5/4}}$$

 $a = \frac{S}{2T}, a = \frac{E^*}{T^2}$   $a = \frac{S^2}{4E^*}$ 

Microscopic energy dependence



P. BONCHE et al, NPA, 1984

## **Thermal fission studies**

- Thermal fission involves all the issues of the spontaneous fission
- Gradually evolve from quantum tunneling to statistical escape mechanism
- Wide application interests: fast-neutron induced fission in reactors, fission in astrophysical environments, hot-fusion of superheavy nuclei
- Conventional studied by Bohr-Wheeler transition state theory and later the dynamical Kramers theory
- We use the imaginary free energy theory that is more microscopic and general for all temperatures.

I. Affleck, Phys. Rev. Lett 46, 388 (1981).

#### **Microscopic Finite-Temperature Skyrme-HF+BCS studies of fission barriers**

- Temperature dependent fission barrier heights, pathways (Pei, et al, PRL, 2009)
- Temperature dependent fission barrier curvatures (frequency) are also important inputs but has rarely been discussed. (Zhu, Pei, PRC, 2016)





# Mass parameters

Perturbative cranking approximations for mass parameters

 $M_{20} = \hbar^2 [\mathcal{M}^{(1)}]^{-1} [\mathcal{M}^{(3)}] [\mathcal{M}^{(1)}]^{-1}$ 

$$\mathcal{M}_{ij}^{(K)} = \frac{1}{2} \sum \frac{\langle 0|Q_i|\mu\nu \rangle \langle \mu\nu|Q_j|0\rangle}{(E_\mu + E_\nu)^K} (u_\mu v_\nu + u_\nu v_\mu)^2$$

Finite-Temperature cranking approximations for mass parameters

$$\begin{aligned} \mathcal{M}_{ij,T}^{(K)} &= \frac{1}{2} \sum < 0 |Q_i| \mu \nu > < \mu \nu |Q_j| 0 > \\ &\left\{ \frac{(u_\mu u_\nu - v_\mu v_\nu)^2}{(E_\mu - E_\nu)^K} \left[ \tanh(\frac{E_\mu}{2kT}) - \tanh(\frac{E_\nu}{2kT}) \right] \right. \\ &\left. + \frac{(u_\mu v_\nu + u_\nu v_\mu)^2}{(E_\mu + E_\nu)^K} \left[ \tanh(\frac{E_\mu}{2kT}) + \tanh(\frac{E_\nu}{2kT}) \right] \right\} \end{aligned}$$

### **Mass parameters**



- As the pairing disappears, the mass parameters increase and become much irregular
- As the shell effects disappear, the mass parameters decrease and become like irrotational liquid

# Thermal fission rates at all temperatures

#### Imaginary Free energy (ImF) decays

$$\Gamma = [2\sinh(\frac{1}{2}\beta\hbar\omega_0)]\frac{1}{2\pi\hbar}\int_0^{V_b} P(E)\exp(-\beta E))dE$$

#### The ImF formula at high temperatures

$$\Gamma = \frac{\omega_b}{2\pi} \frac{\sinh(\frac{1}{2}\beta\omega_0)}{\sin(\frac{1}{2}\beta\hbar\omega_b)} \exp(-\beta V_b)$$

#### The Kramers formula

$$\Gamma_{\rm Kramers} = \frac{\omega_0}{2\pi} \exp(-\beta V_b)$$

I. Affleck, Phys. Rev. Lett 46, 388 (1981).

## **Temperature dependent potential curvatures**





• The  $\omega_b$  has not been considered in Bohr-Wheeler formula



- Curvatures are now obtained microscopically
- Both  $\omega_0$ ,  $\omega_b$  are decreasing and play a role as dissipation

## **Microscopic fission lifetimes**

Т		<sup>260</sup> Fm		<sup>240</sup> Pu
(MeV)	$\mathrm{E}^*$	$T_f(\mathbf{s})$	$E^*$	$T_f(\mathbf{s})$
0.1	0.001	$1.50 \times 10^{-3}$	0.002	$2.55 \times 10^{10}$
0.2	0.11	$1.59 \times 10^{-6}$	0.13	$2.80 \times 10^{-3}$
0.3	0.83	$3.67 \times 10^{-10}$	0.81	$4.50 \times 10^{-8}$
0.4	2.67	$1.94 \times 10^{-12}$	2.43	$3.48 \times 10^{-10}$
0.5	5.67	$7.87 \times 10^{-14}$	4.85	$9.08 \times 10^{-11}$
0.6	8.63	$3.48 \times 10^{-15}$	7.02	$8.17 \times 10^{-12}$
0.75	10.91	$2.07 \times 10^{-16}$	11.19	$9.61 \times 10^{-13}$

- Nuclei with different curvatures can have different critical temperatures:  $T_{c} = \omega_{b}/2\pi$
- For Pu240, with a very low critical temperature and lifetime deceases very rapidly. Low temperature ImF formula is not suitable.

## **Microscopic fission lifetimes**

-						
$^{240}$ Pu			<sup>260</sup> Fm		Т	
	$T_f(\mathbf{s})$	$\mathrm{E}^*$	$T_f(\mathbf{s})$	$\mathrm{E}^*$	(MeV)	
-	$3.25 \times 10^{-8}$	0.81	$1.90 \times 10^{-9}$	0.83	0.3	
	$2.92 \times 10^{-11}$	2.43	$4.90 \times 10^{-12}$	2.67	0.4	
	$4.51 \times 10^{-13}$	4.85	$9.03 \times 10^{-14}$	5.67	0.5	
	$5.51 \times 10^{-15}$	7.02	$1.85 \times 10^{-15}$	8.63	0.6	
	$8.13 \times 10^{-17}$	11.19	$1.11 \times 10^{-17}$	10.91	0.75	
	$1.12 \times 10^{-18}$	21.22	$4.72 \times 10^{-19}$	23.92	1.0	
	$9.14 \times 10^{-20}$	35.42	$6.01 \times 10^{-20}$	38.38	1.25	
	$3.27 \times 10^{-20}$	54.40	$2.29 \times 10^{-20}$	58.80	1.5	
-	<sup>292</sup> Fl		$^{278}Cn$		Т	
-	$T_f(\mathbf{s})$	$\mathrm{E}^*$	$T_f(\mathbf{s})$	$\mathrm{E}^*$	(MeV)	
-	$1.01 \times 10^{-13}$	5.82	$3.54 \times 10^{-17}$	4.70	0.5	
	$1.25 \times 10^{-16}$	14.1	$3.56 \times 10^{-19}$	11.25	0.75	
	$1.66 \times 10^{-18}$	24.27	$2.32 \times 10^{-20}$	23.17	<b>o</b> 1.0	
	$2.09 \times 10^{-19}$	40.22		40.17	1.25	
	$7.33 \times 10^{-20}$	69.01		62.34	1.5	

- Large difference in low temperatures; Small differences in high temperatures
- Quantum effects are important at low temperatures
- Large survival probabilities are obtained for 292FI

## **Thermal fission: a transition**



- Fission lifetimes decrease very rapidly at low excitation energies
- A smooth connection between low-T formula and high-T formula.
- The applicability of low-T formula is slightly higher than T<sub>c</sub>
- Kramers formula overestimate fission lifetime at low temperatures

<sup>274</sup>Hs

#### **Experiment Survival probability**

Large experimental survival probability of 274Hs has been given at 63 MeV, R.Yanez, et al., PRL 112, 152702 (2014)

Statistical model: **23%** Experiments: **89%**, large dissipation?

Identify the prescission and postscission components of the neutron multiplicities in each system Mg26+Cm248

Our calculations: ~30%

Many questions about this experimental data

<sup>210</sup>Po



## Uncertainties and open questions

Significant model dependences at spontaneous fission





## Summary

- A roadmap towards microscopic descriptions of induced fission rates, based on temperature dependent fission barrier heights and curvatures, and mass parameters.
- Neutron emission rates in deformed nuclei is obtained, agree with statistical model
- Now we can derive the microscopic survival probability of compound superheavy nuclei without free parameters for the first time.

#### Outlook:

- Thermal fission in multi-dimensional deformation spaces,
- Fission lifetime and fragment distributions together
- We have dropped out the viscosity and dissipation which can be important at high temperatures.

#### **Collaborations:**

F.R. Xu, W. Nazarewicz, G. Fann, M. Kortelainen, P. Schuck, Y. Zhu, Y.N. Zhang, X.Y. Xiong, K. Wang, N. Fei..... And many others for discussions

# Thank you for your attention !