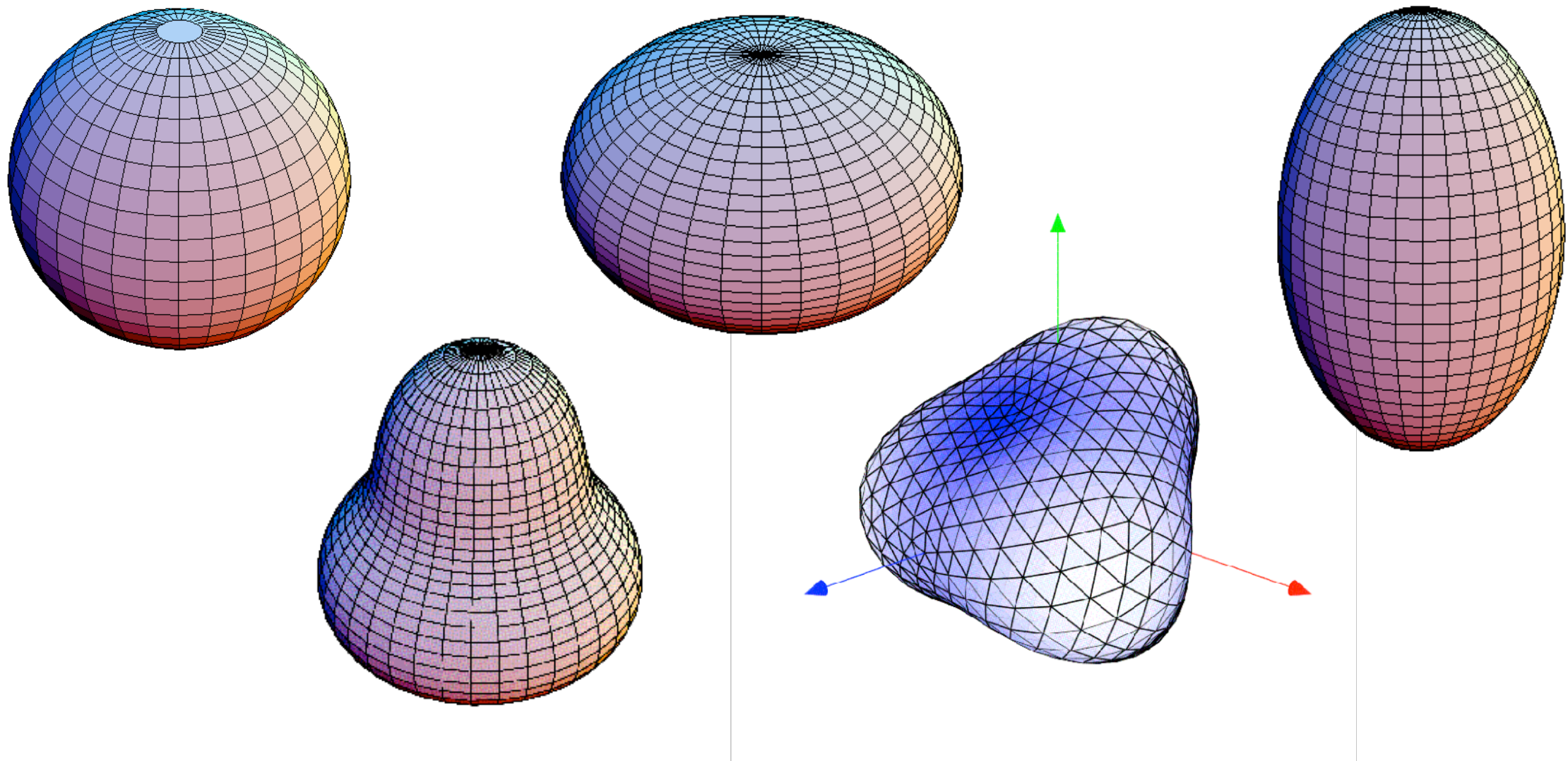


Shape Transitions and Coexistence: self-consistent mean-field and beyond



Dario Vretenar
University of Zagreb

How can nuclear matter support a diversity of shapes?

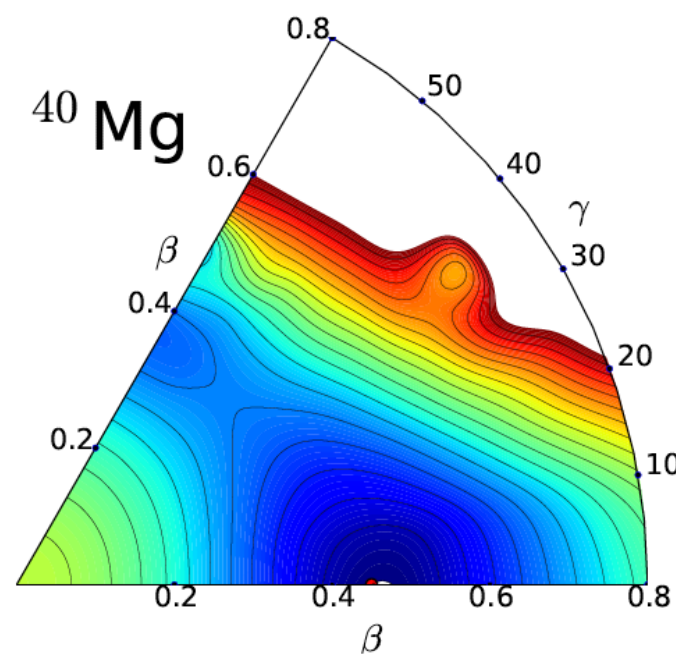
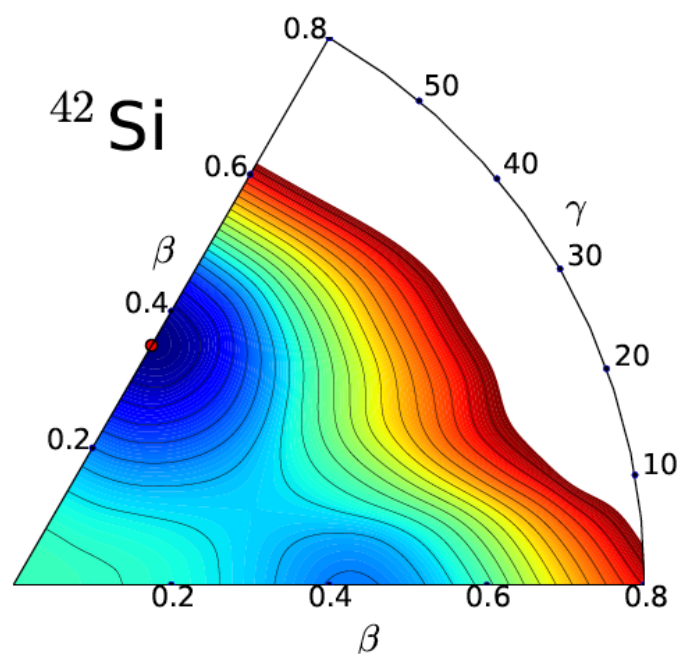
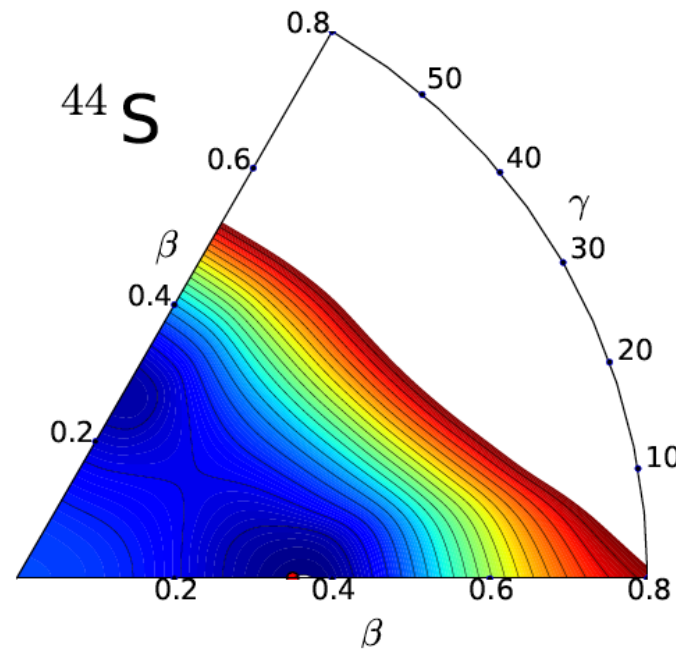
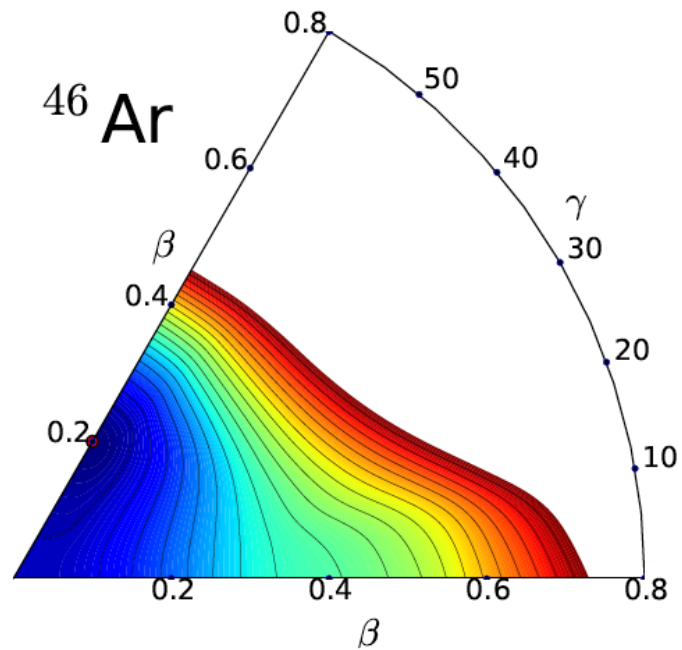


Evolution of shell structure with nucleon number and/or angular momentum.

Shape transitions within a single nucleus ([shape coexistence](#)) or as a function of nucleon number ([shape evolution](#)) → universal phenomena that occur in light, medium-heavy, heavy and superheavy nuclei.

Universal theory framework: Nuclear Energy Density Functionals

Basic implementation: the self-consistent mean field method → produces semi-classical energy surfaces as functions of intrinsic deformation parameters.



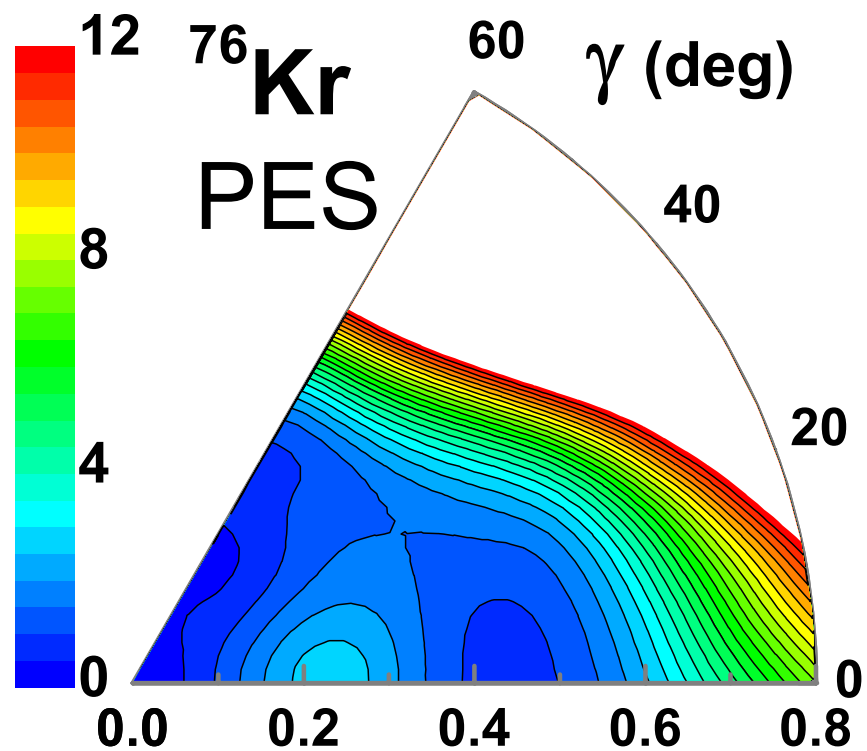
→ include static correlations: deformations & pairing

→ do not include dynamic (collective) correlations that arise from symmetry restoration and quantum fluctuations around mean-field minima

Collective Hamiltonian

Prog. Part. Nucl. Phys. **66**, 519 (2011).

Phys. Rev. C **79**, 034303 (2009).



... nuclear excitations determined by quadrupole vibrational and rotational degrees of freedom:

$$H_{\text{coll}} = \mathcal{T}_{\text{vib}}(\beta, \gamma) + \mathcal{T}_{\text{rot}}(\beta, \gamma, \Omega) + \mathcal{V}_{\text{coll}}(\beta, \gamma)$$

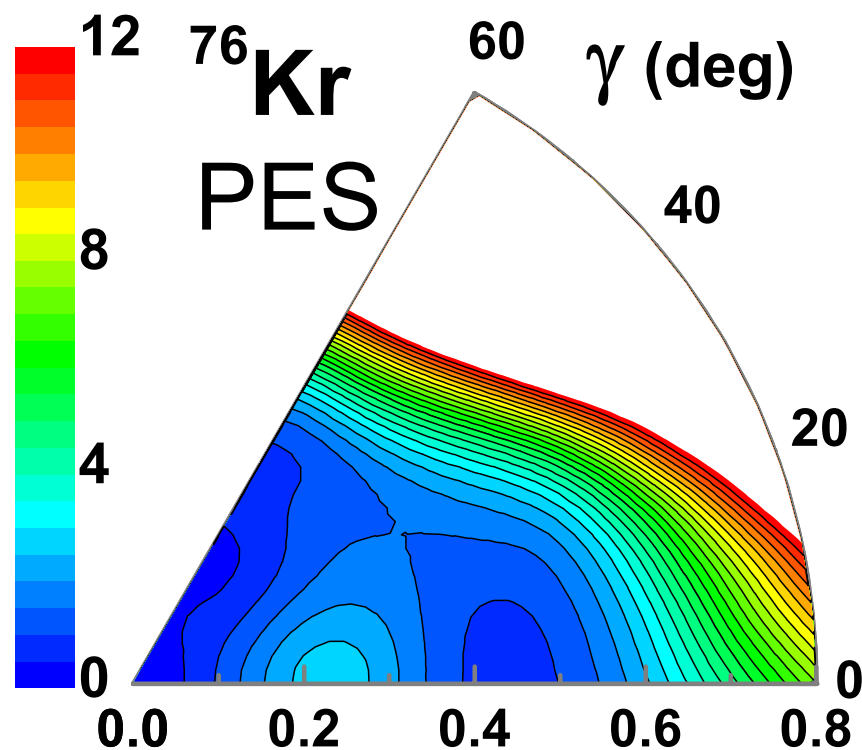
$$\mathcal{T}_{\text{vib}} = \frac{1}{2} B_{\beta\beta} \dot{\beta}^2 + \beta B_{\beta\gamma} \dot{\beta} \dot{\gamma} + \frac{1}{2} \beta^2 B_{\gamma\gamma} \dot{\gamma}^2$$

$$\mathcal{T}_{\text{rot}} = \frac{1}{2} \sum_{k=1}^3 \mathcal{I}_k \omega_k^2$$

The dynamics of the collective Hamiltonian is determined by: the self-consistent collective potential, the three mass parameters: $B_{\beta\beta}$, $B_{\beta\gamma}$, $B_{\gamma\gamma}$, and the three moments of inertia \mathcal{I}_k , functions of the intrinsic deformations β and γ .

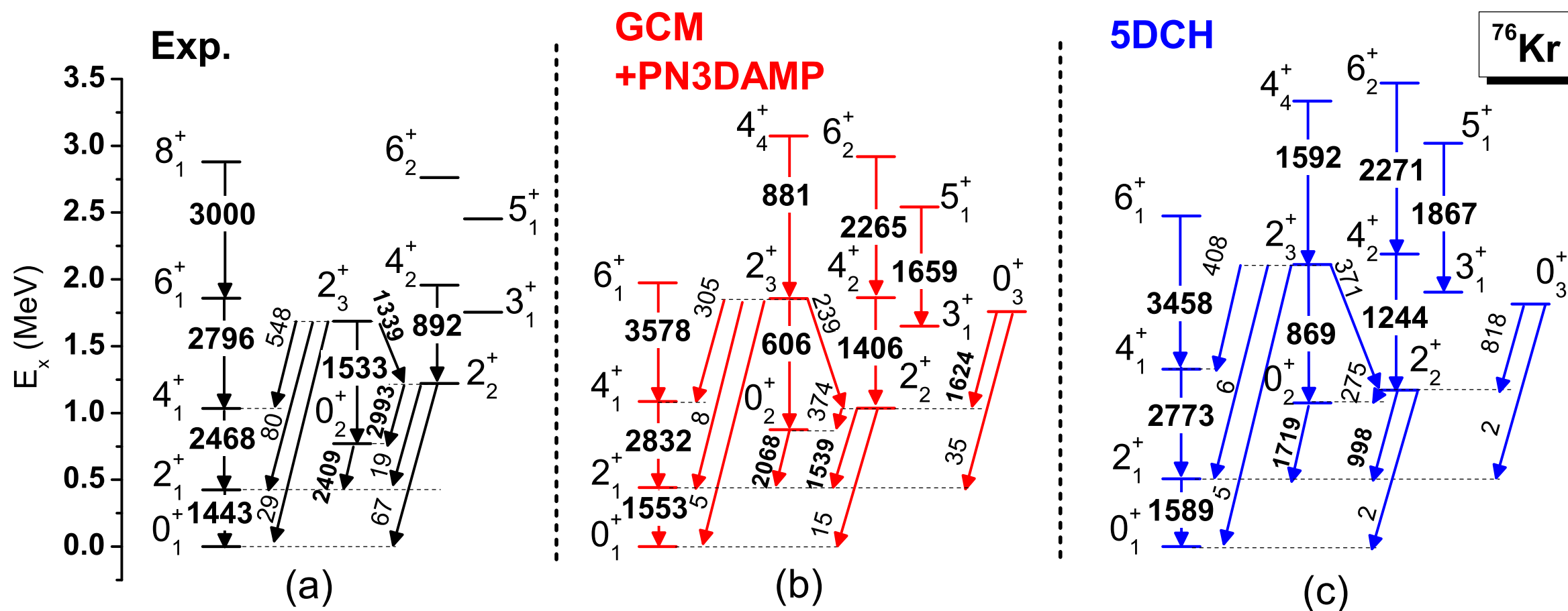
... collective eigenfunction:

$$\Psi_{\alpha}^{IM}(\beta, \gamma, \Omega) = \sum_{K \in \Delta I} \psi_{\alpha K}^I(\beta, \gamma) \Phi_{MK}^I(\Omega)$$



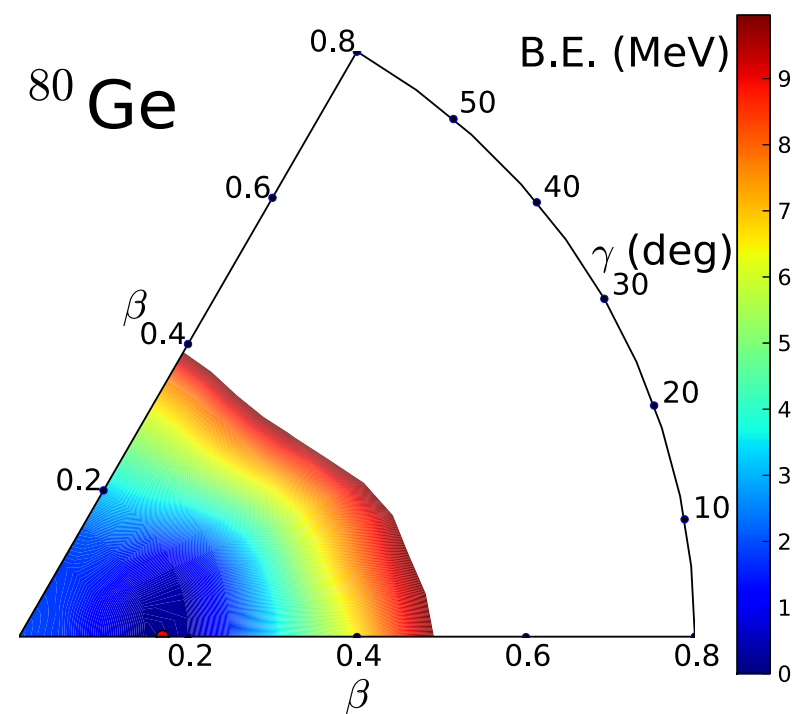
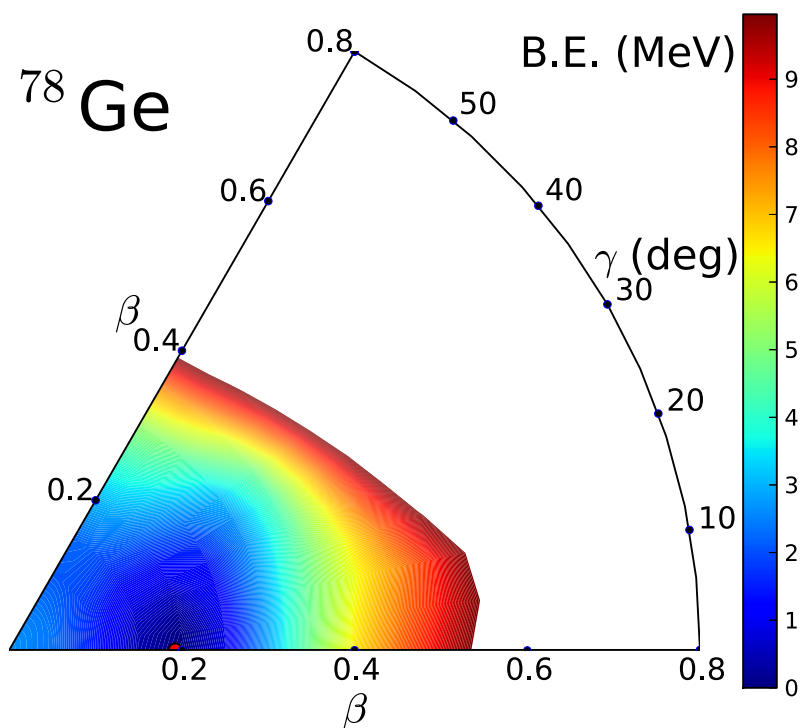
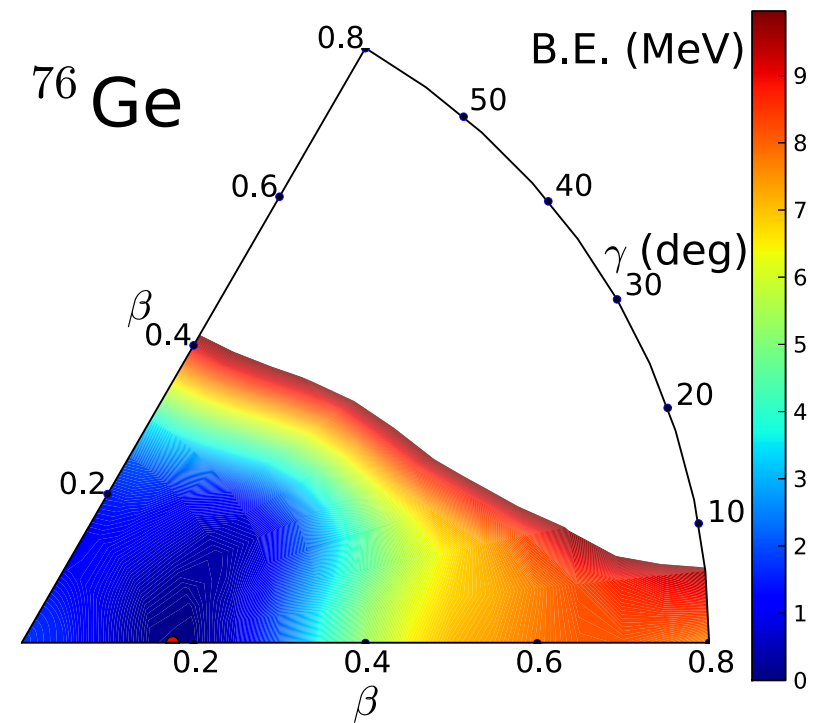
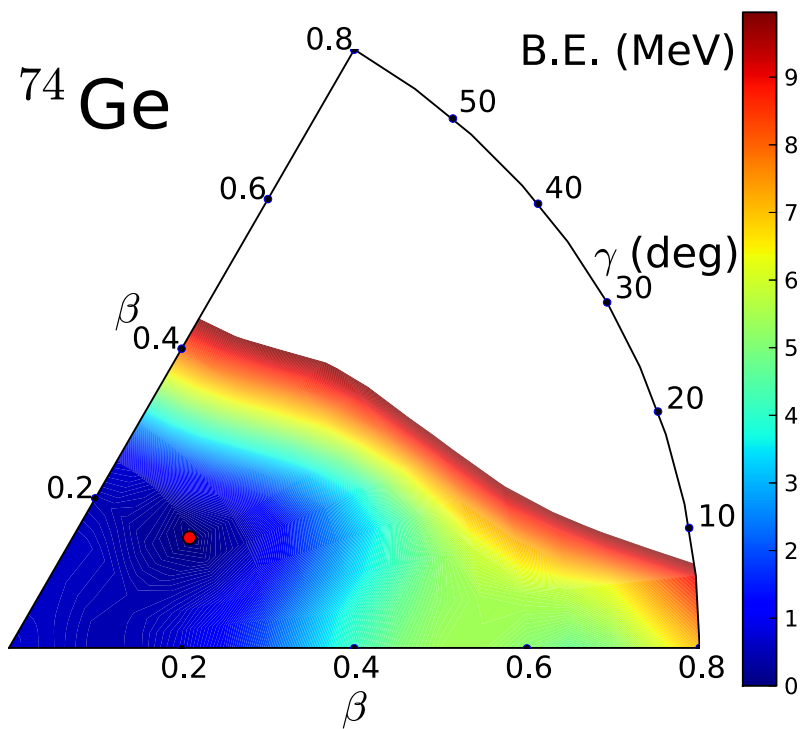
✓ an intuitive interpretation of mean-field results in terms of *intrinsic shapes* and *single-particle states*

✓ the *full model space* of occupied states can be used; no distinction between core and valence nucleons, *no need for effective charges!*

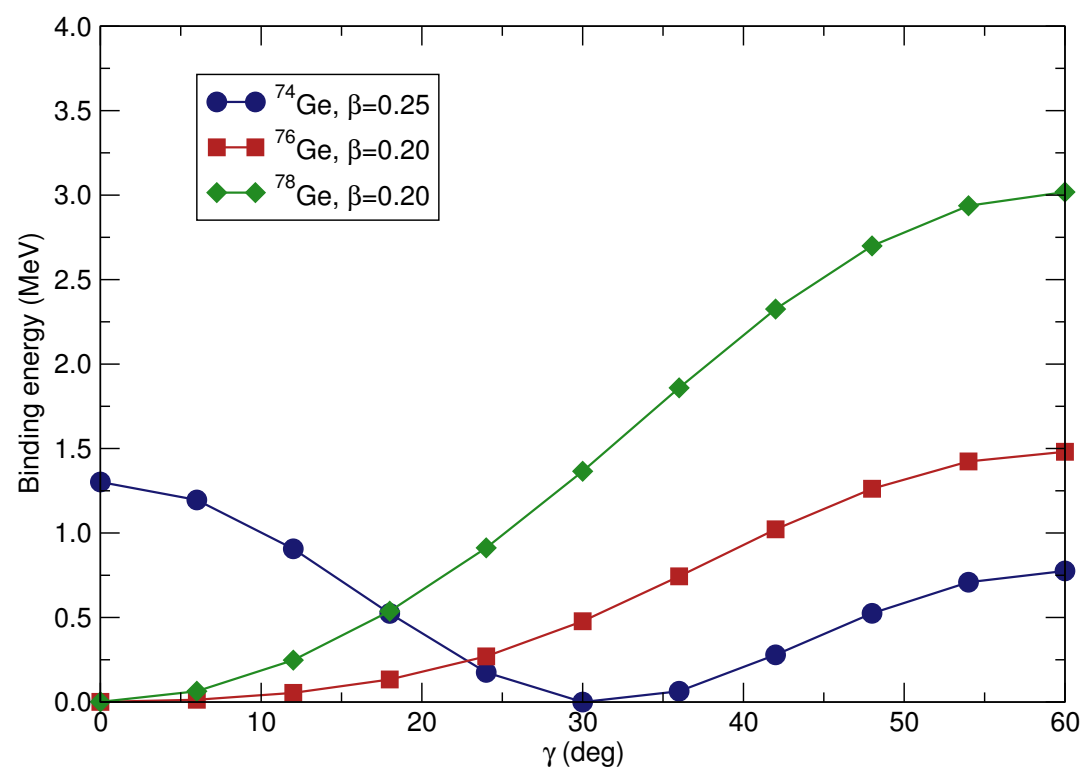
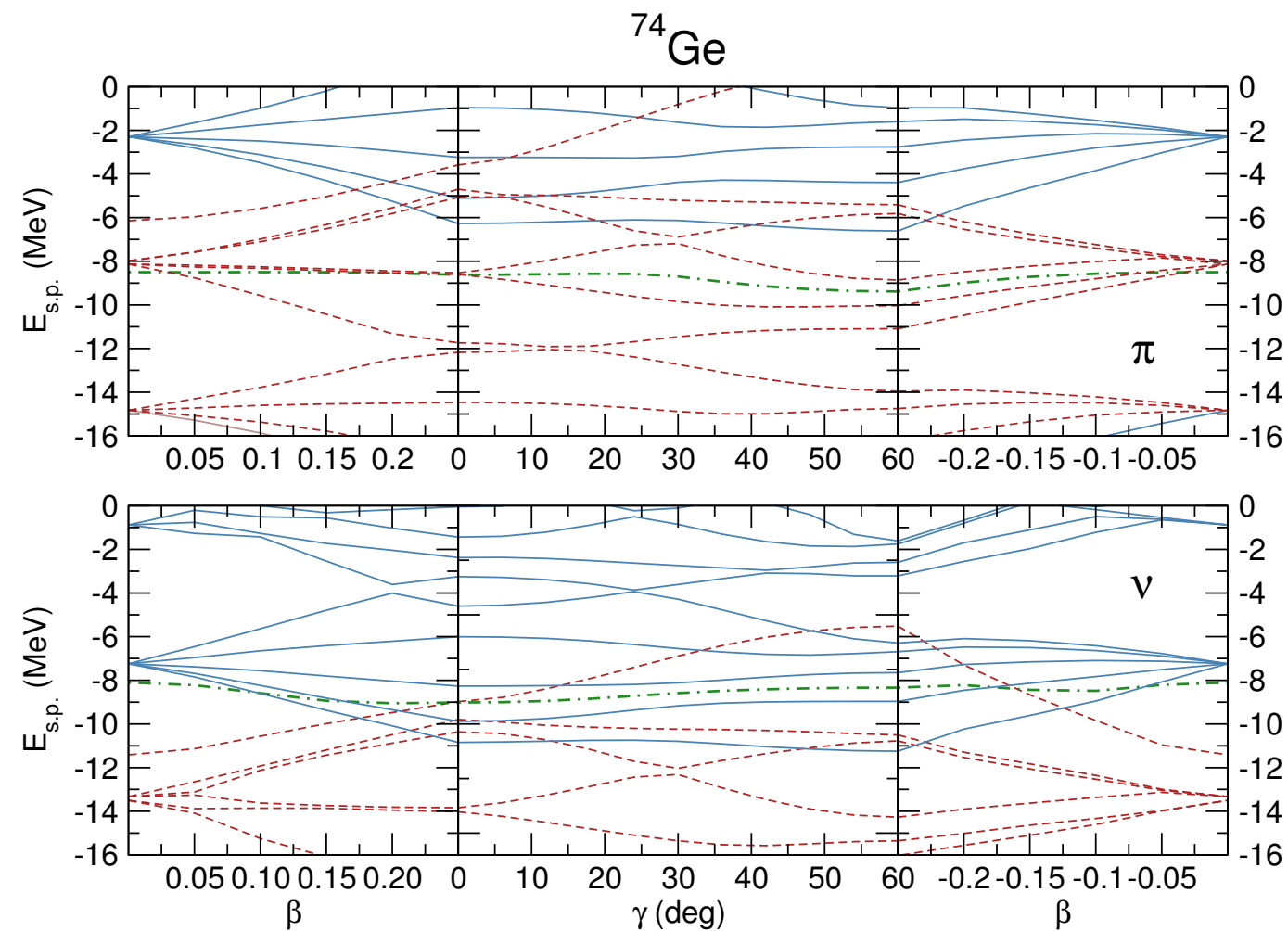
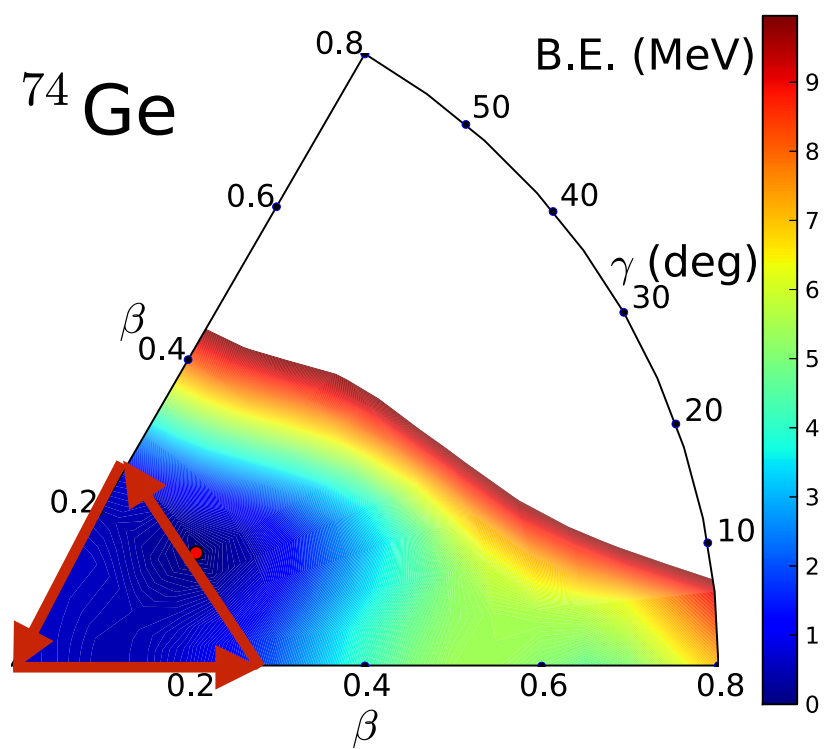


Shape evolution and triaxiality in germanium isotopes

Phys. Rev. C 89, 044325 (2014).



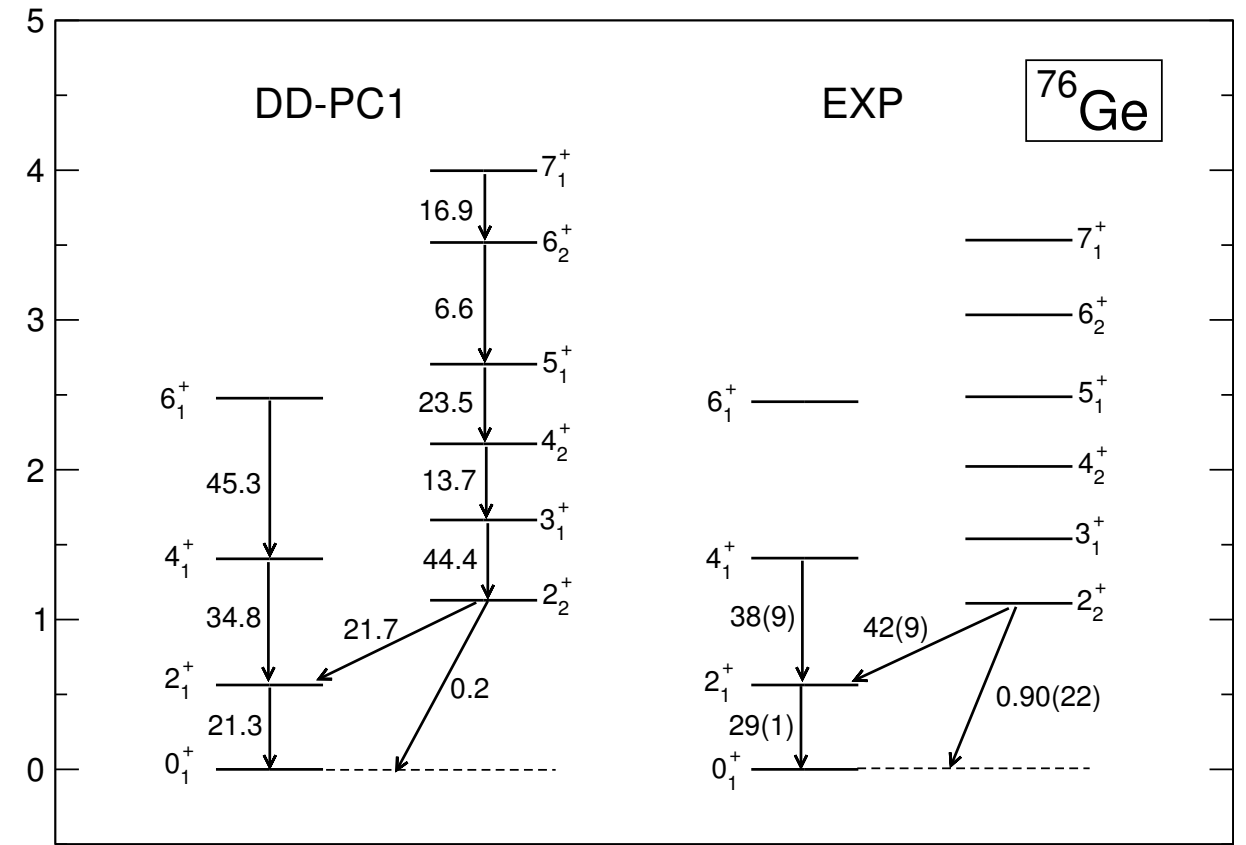
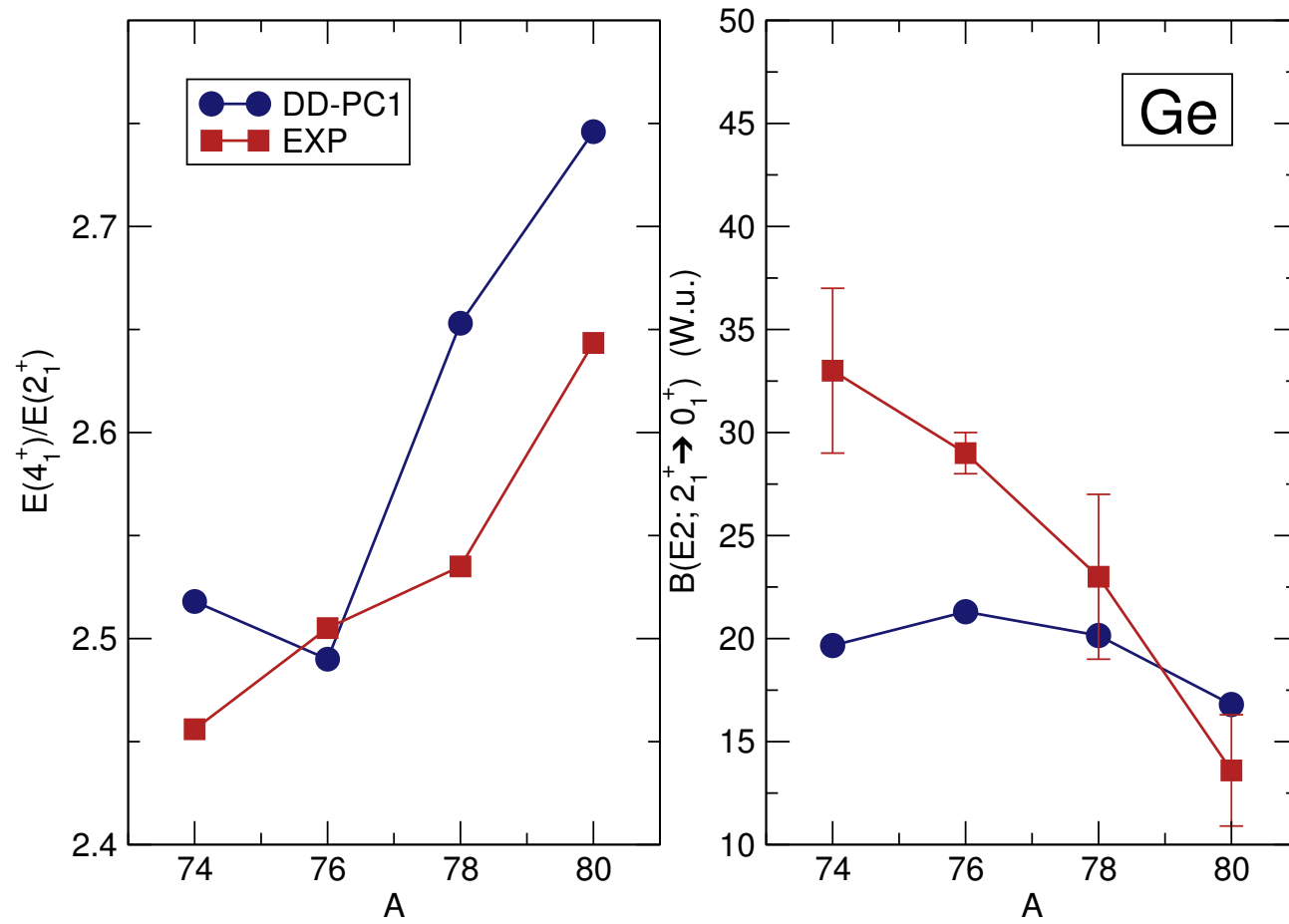
... formation of deformed minima \rightarrow regions of low single-particle level density around the Fermi surface.



...shallow triaxial minimum at $\gamma = 30^\circ$

\rightarrow important role of dynamical effects related to restoration of broken symmetries and fluctuations in collective coordinates.

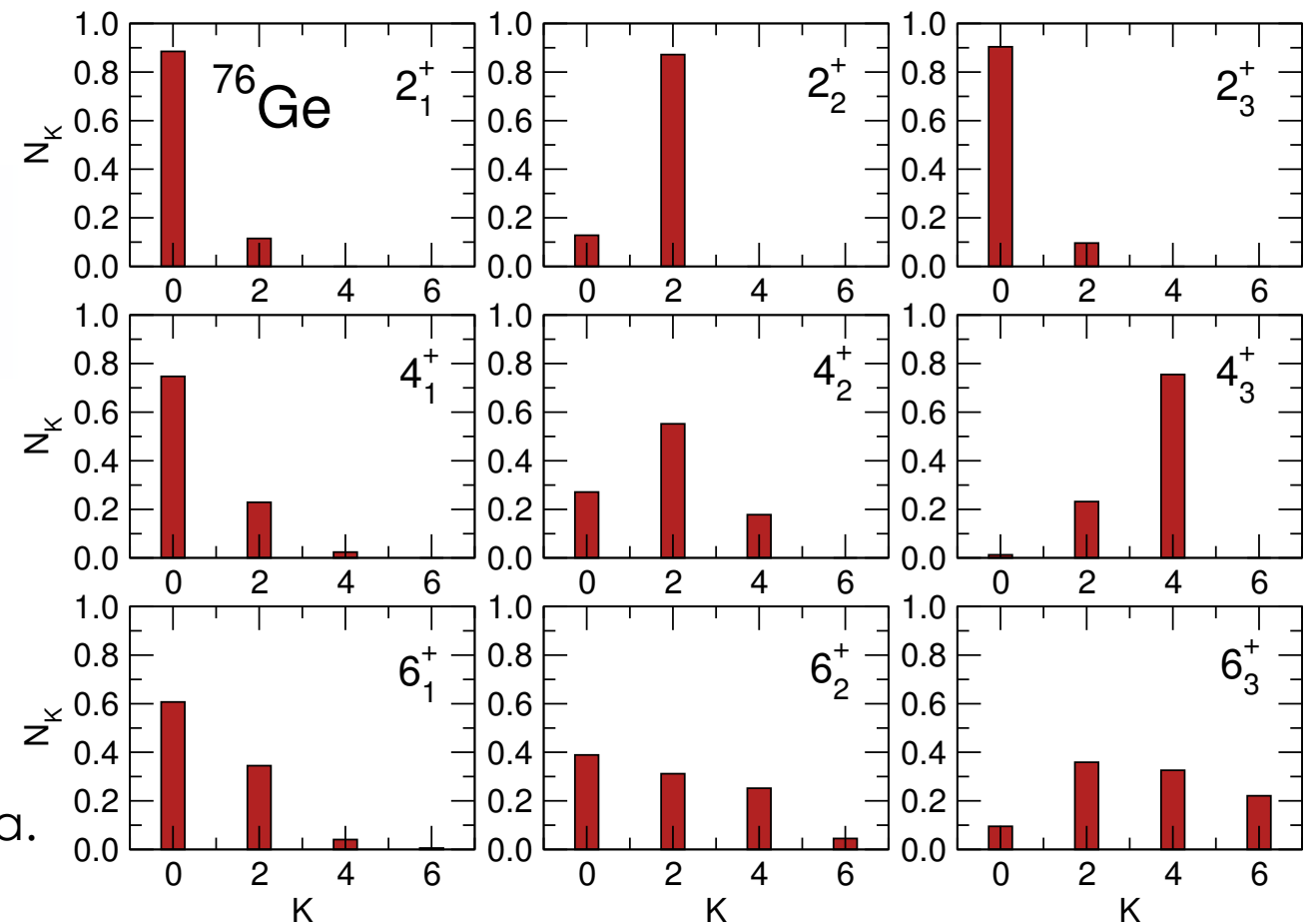
Quadrupole collective Hamiltonian based on the functional DD-PC1



Distribution of K components (projection of the angular momentum on the body-fixed symmetry axis) in the collective wave functions of the nucleus ^{76}Ge .

$$N_K = 6 \int_0^{\pi/3} \int_0^\infty |\psi_{\alpha,K}^J(\beta, \gamma)|^2 \beta^4 |\sin 3\gamma| d\beta d\gamma.$$

→ more K -mixing for states with higher angular momenta.

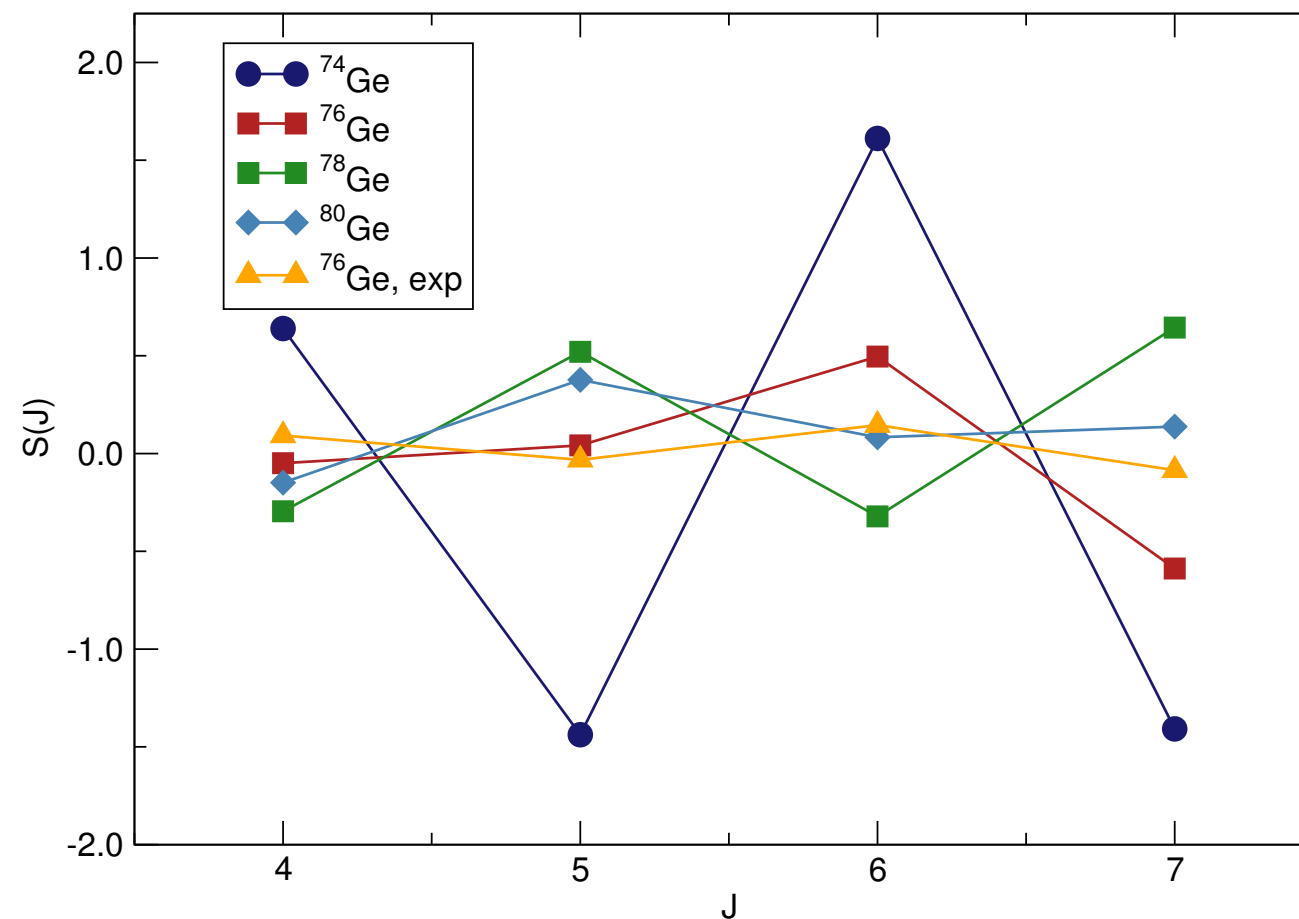


The level of K-mixing is reflected in the staggering in energy between odd- and even-spin states in the γ band:

$$S(J) = \frac{E[J_{\gamma}^{+}] - 2E[(J-1)_{\gamma}^{+}] + E[(J-2)_{\gamma}^{+}]}{E[2_1^{+}]}$$

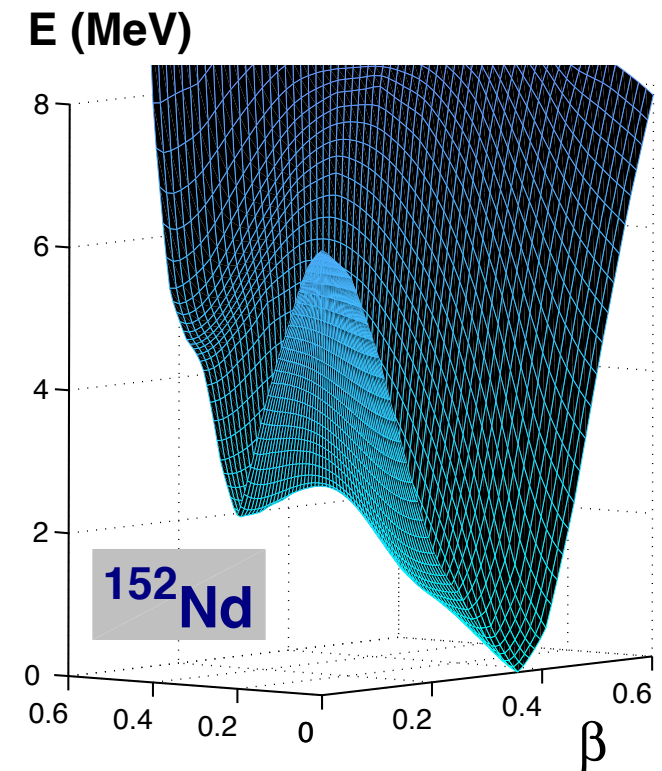
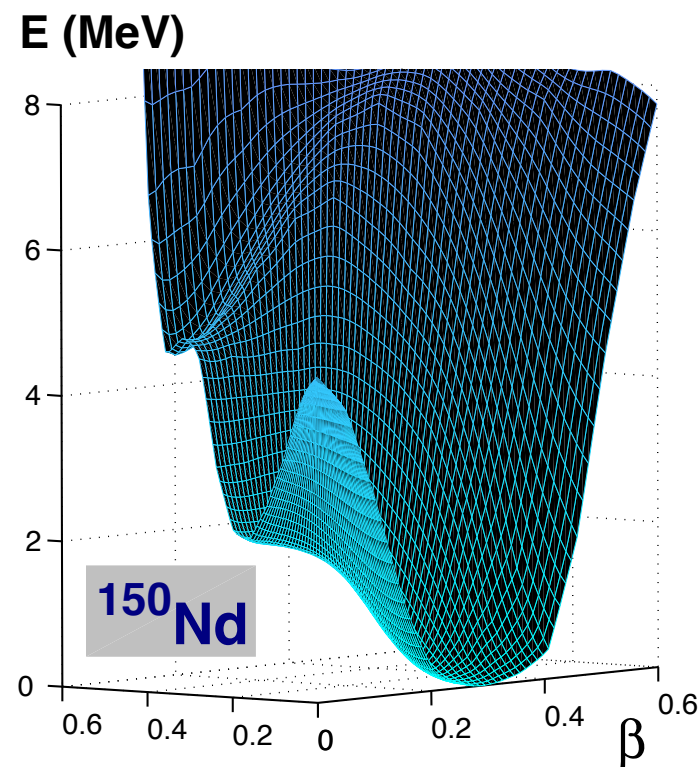
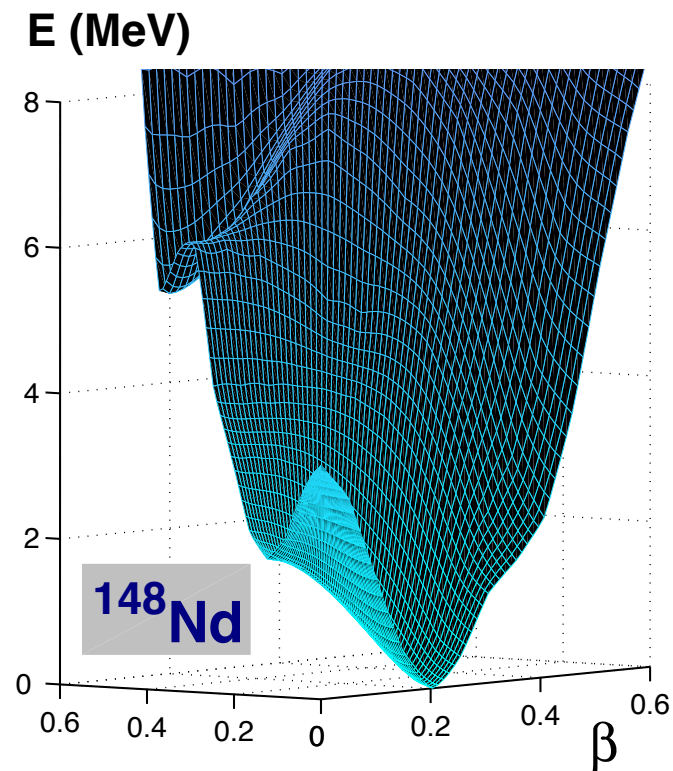
Deformed γ -soft potential $\Rightarrow S(J)$ oscillates between negative values for even-spin states and positive values for odd-spin states.

γ -rigid triaxial potential $\Rightarrow S(J)$ oscillates between positive values for even-spin states and negative values for odd-spin states.



The mean-field potential of ^{76}Ge is γ soft. The inclusion of collective correlations (symmetry restoration and quantum fluctuations) drives the nucleus toward triaxiality, but not strong enough to stabilize a $\gamma \approx 30^\circ$ shape.

Shape phase transitions in medium-heavy and heavy nuclei



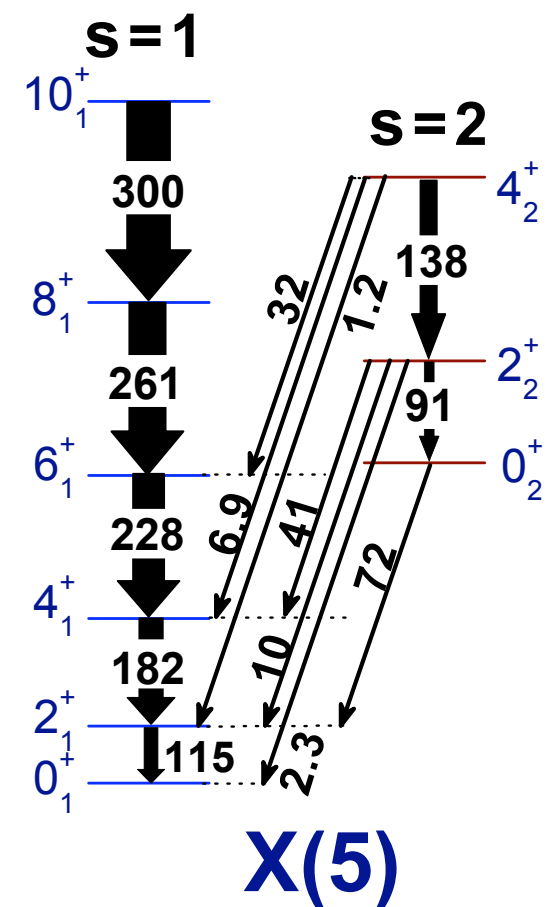
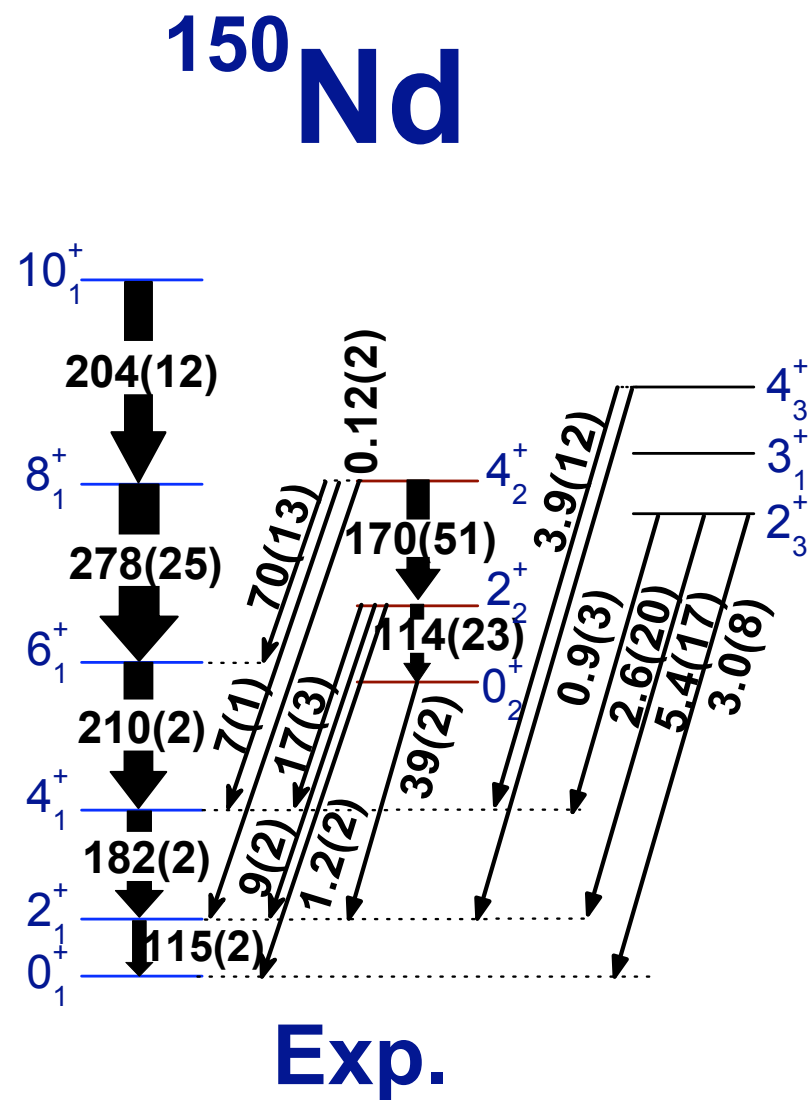
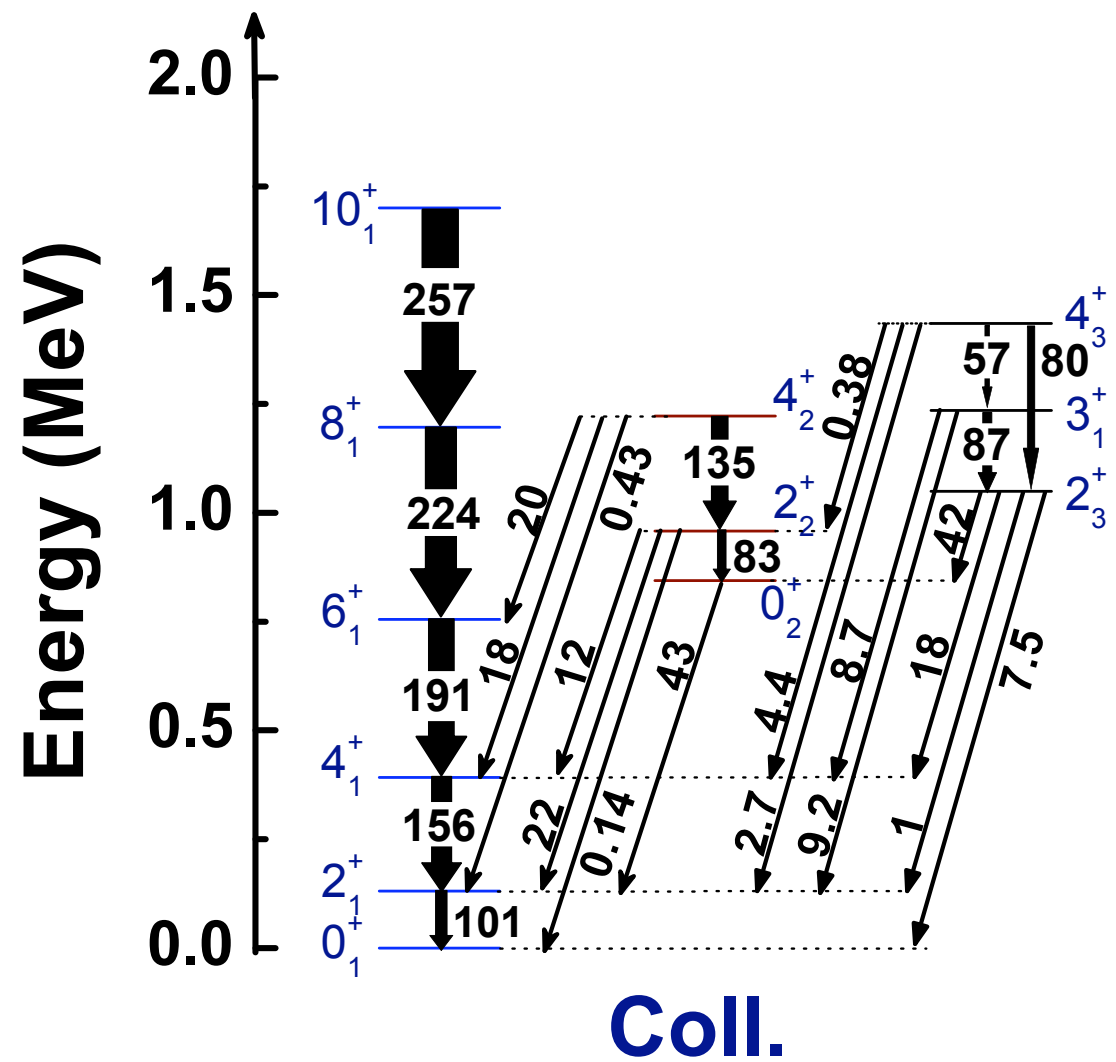
$N \approx 90$ rare-earth nuclei \rightarrow one of the best examples of shape evolution and shape phase transition.

Ground-state transitions:

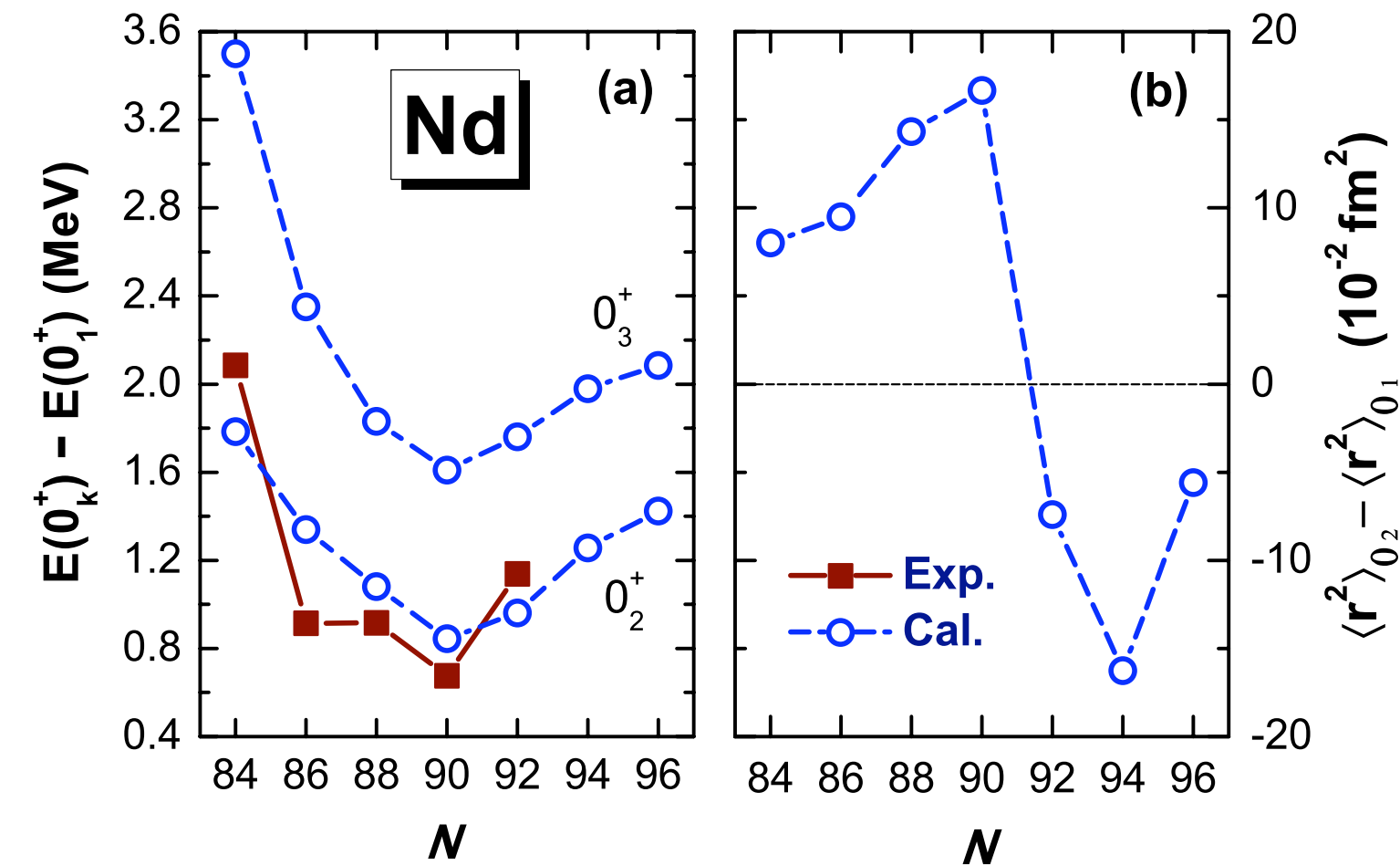
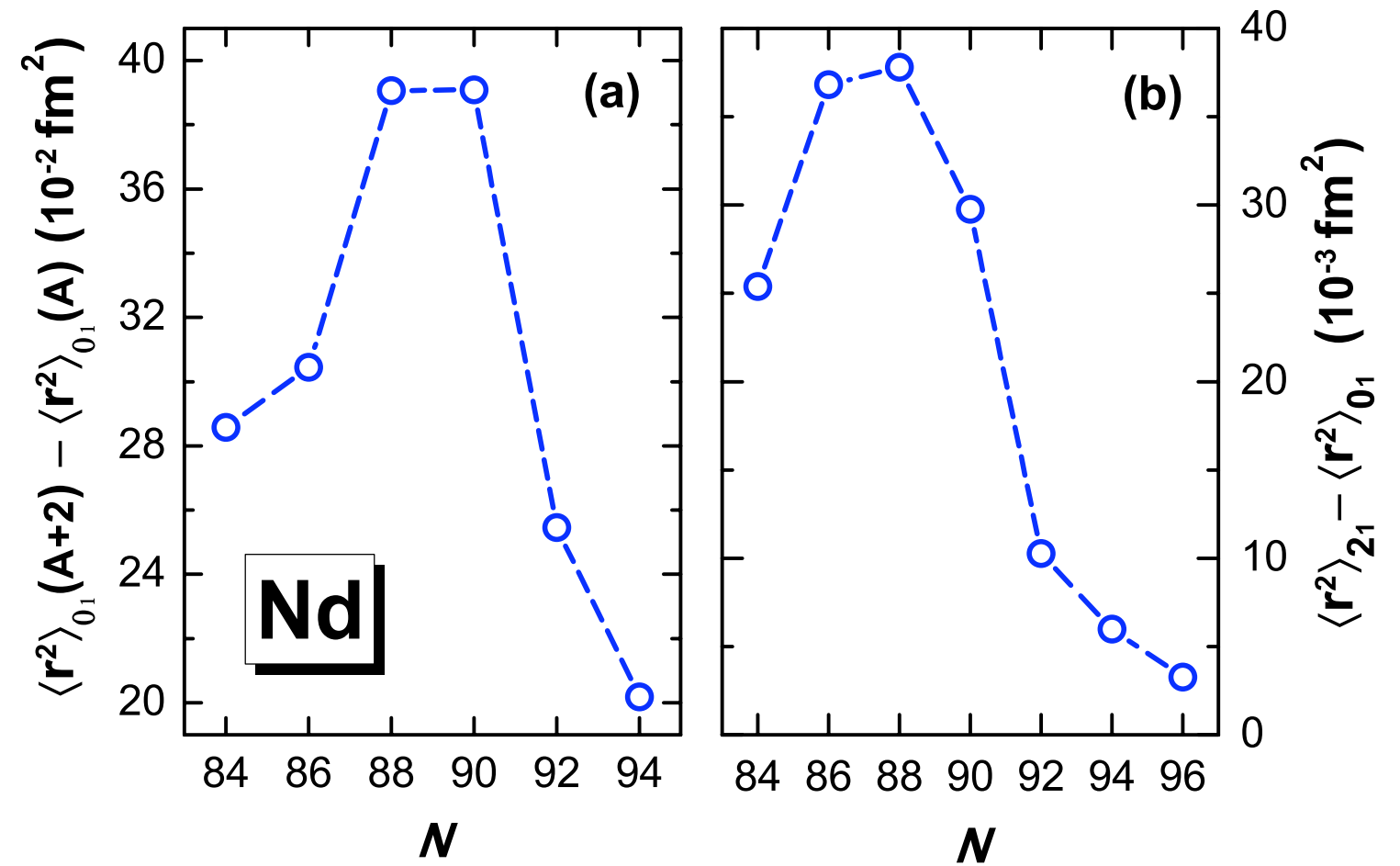
\Rightarrow the physical control parameter - **nucleon number** - discrete integer values!

\Rightarrow **order parameters** - expectation values of operators that as observables characterize the state of a nuclear system.

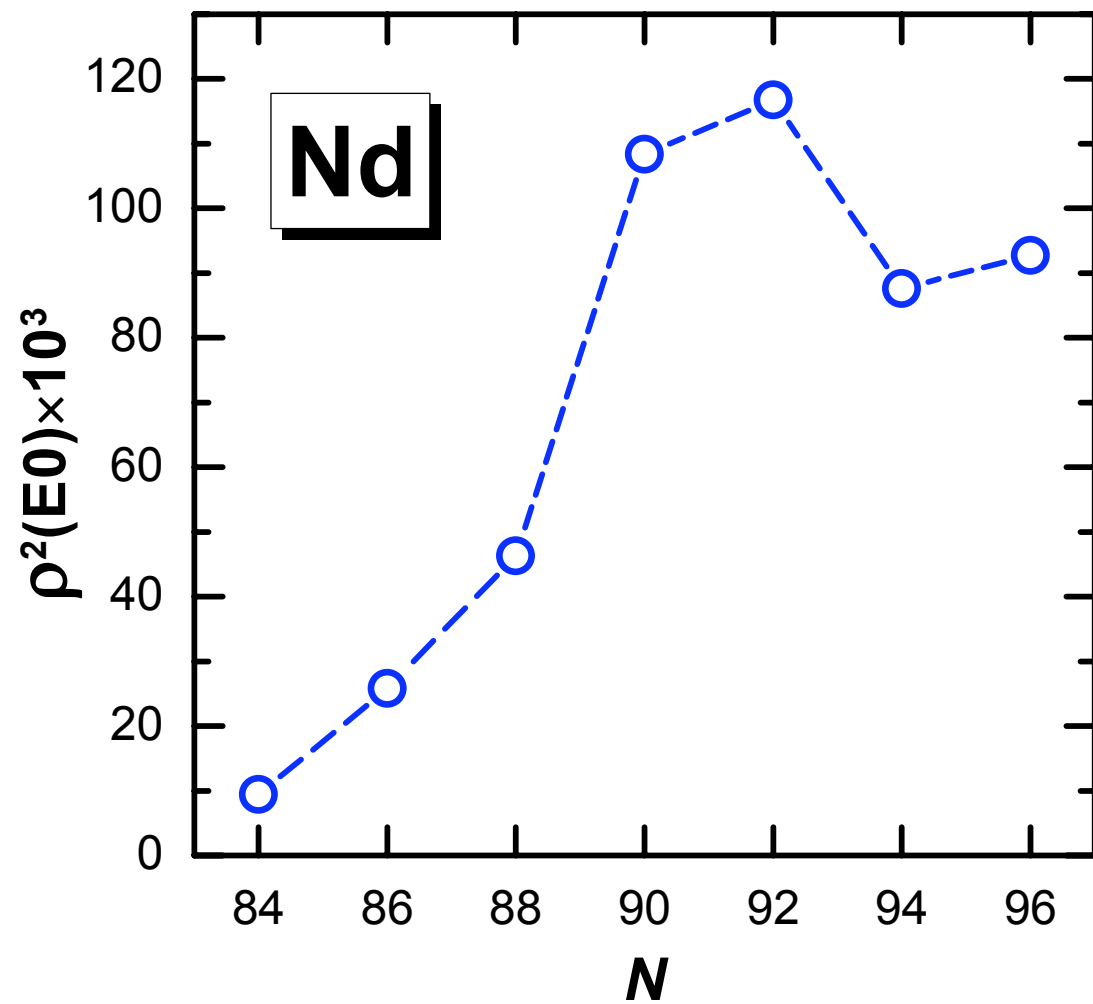
Experimental evidence for a first-order shape phase transition at $N \approx 90 \rightarrow$ associated with the $X(5)$ critical symmetry.



... using collective wave functions obtained by diagonalization of the five-dimensional Hamiltonian ...



... microscopic calculation of order parameters for a first-order nuclear QPT between spherical and axially deformed shapes.

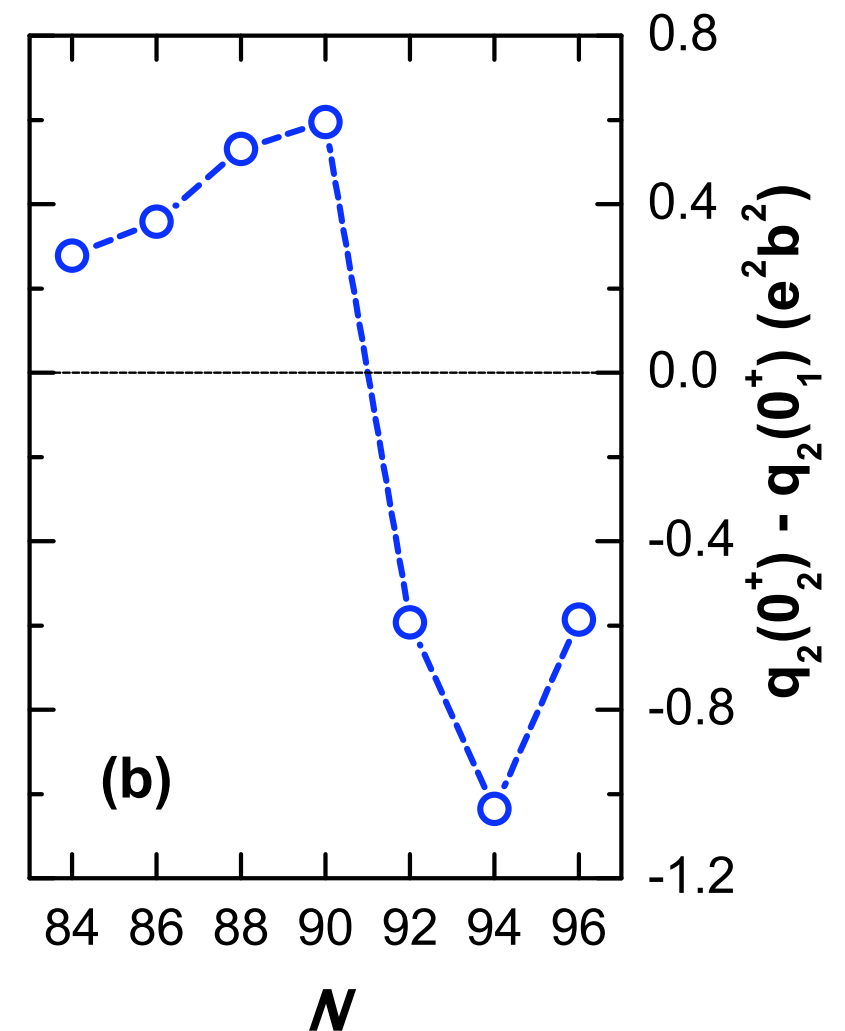
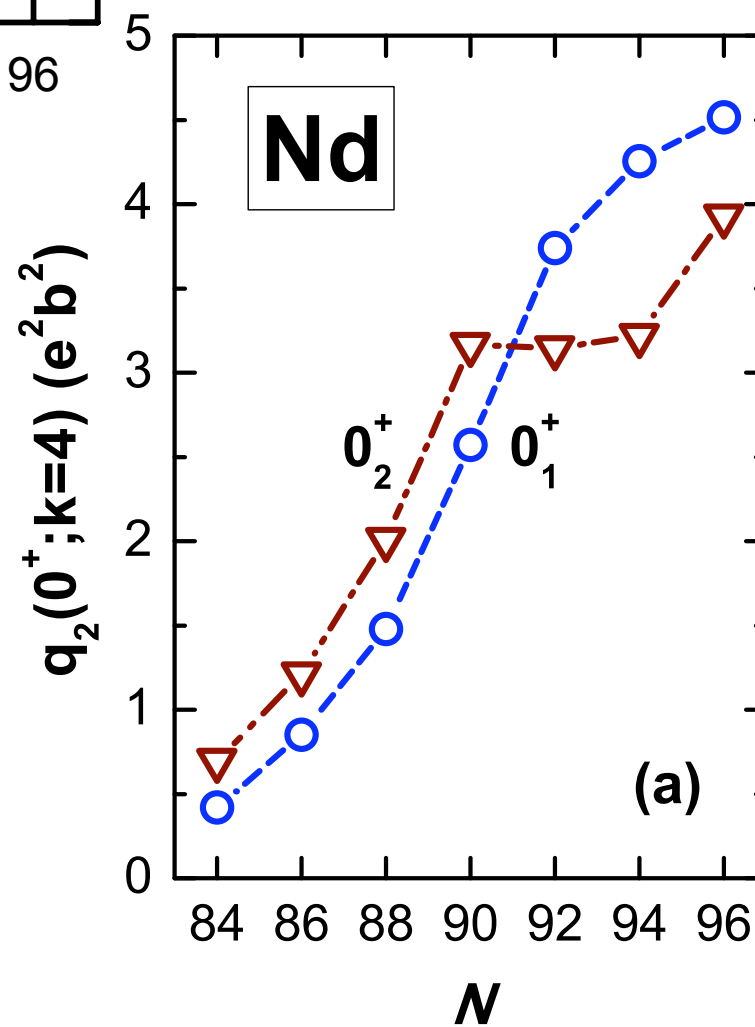


$$\hat{T}(E0) = \sum_k e_k r_k^2$$

$$\rho^2(E0; 0_2^+ \rightarrow 0_1^+) = \left| \frac{\langle 0_2^+ | \hat{T}(E0) | 0_1^+ \rangle}{eR^2} \right|^2$$

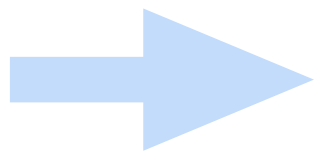
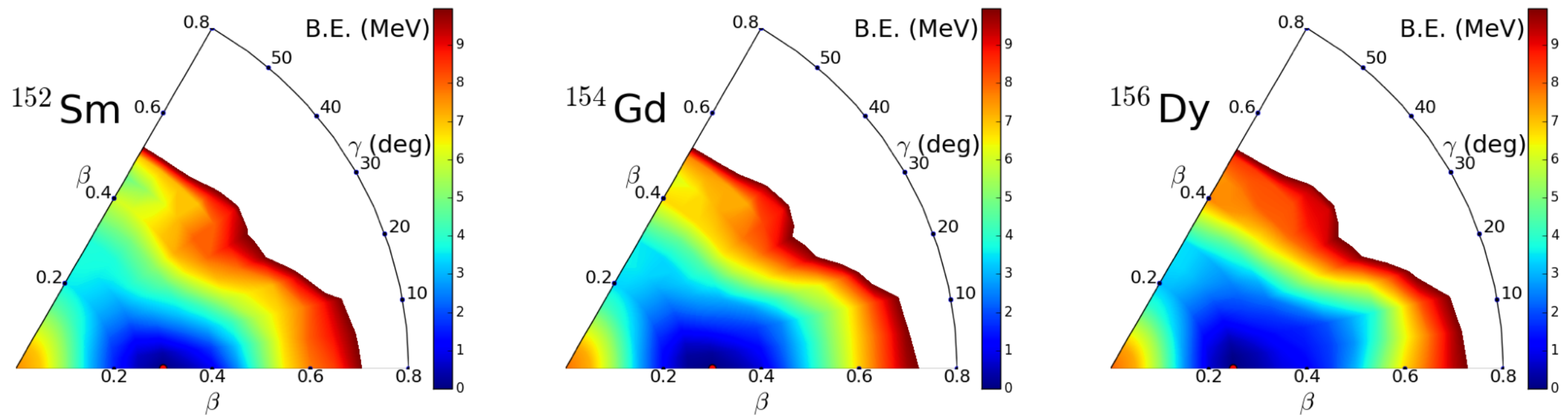
q shape invariants:

$$q_2(0_n^+; k) = \sum_{j=1}^k B(E2; 0_n^+ \rightarrow 2_j^+)$$

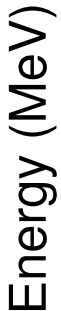


Lowest 0^+ excitations in $N \approx 90$ rare-earth nuclei

J. Phys. G: Nucl. Part. Phys. 43 (2016) 024005



... eigenspectra of the 5D quadrupole collective Hamiltonian:

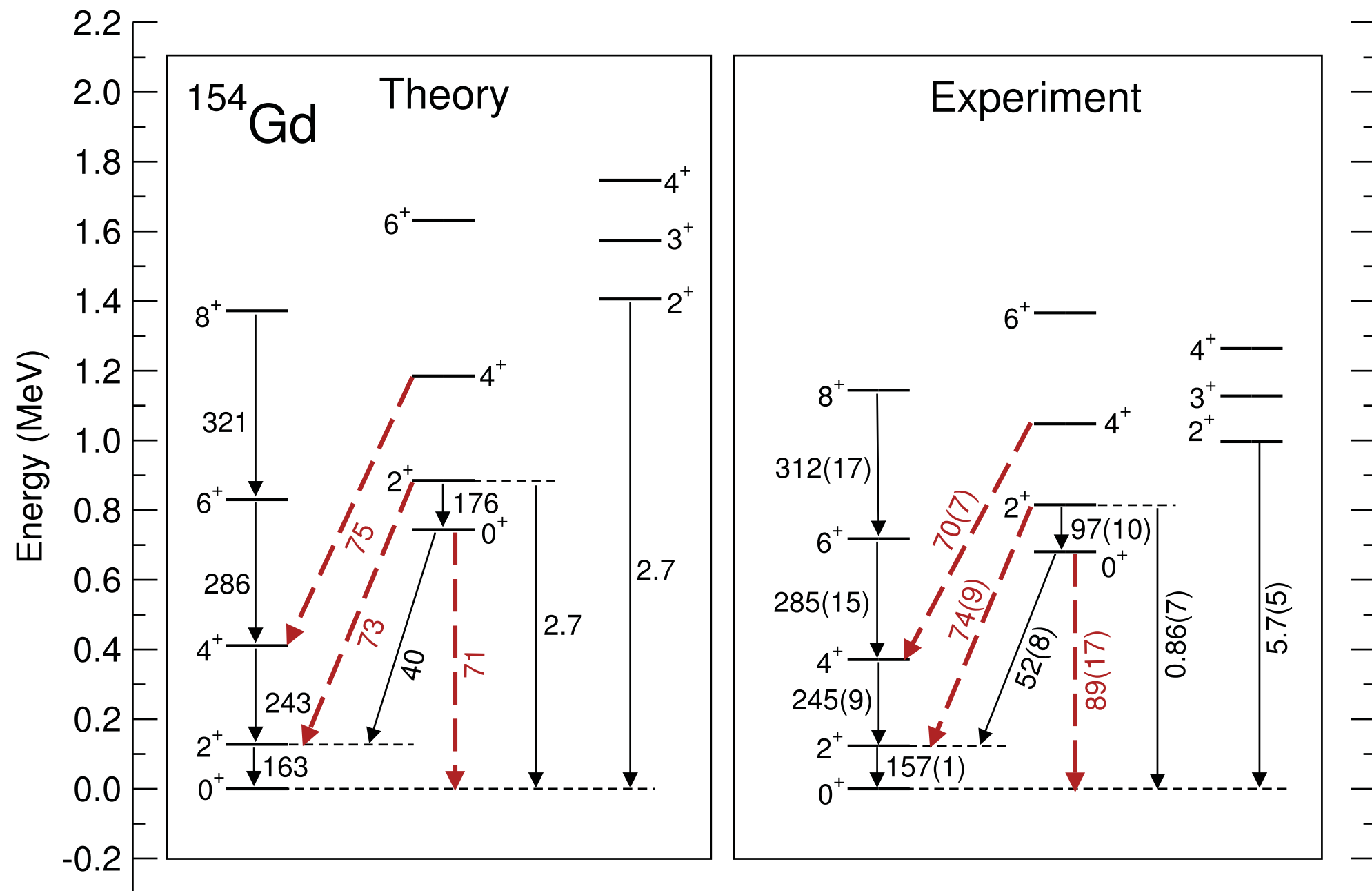


Criteria for the excited 0^+ state to be labelled as a β -vibration:

$$B(E2; 0_{\beta}^{+} \rightarrow 2_1^{+}) \approx 12 - 33 \text{ } W.u.$$

$$B(E2; 2_{\beta}^{+} \rightarrow 0_1^{+}) \approx 2.5 - 6 \text{ } W.u.$$

$$\rho^2(E0; 0_2^+ \rightarrow 0_1^+) \approx (85 - 230) \times 10^{-3}$$

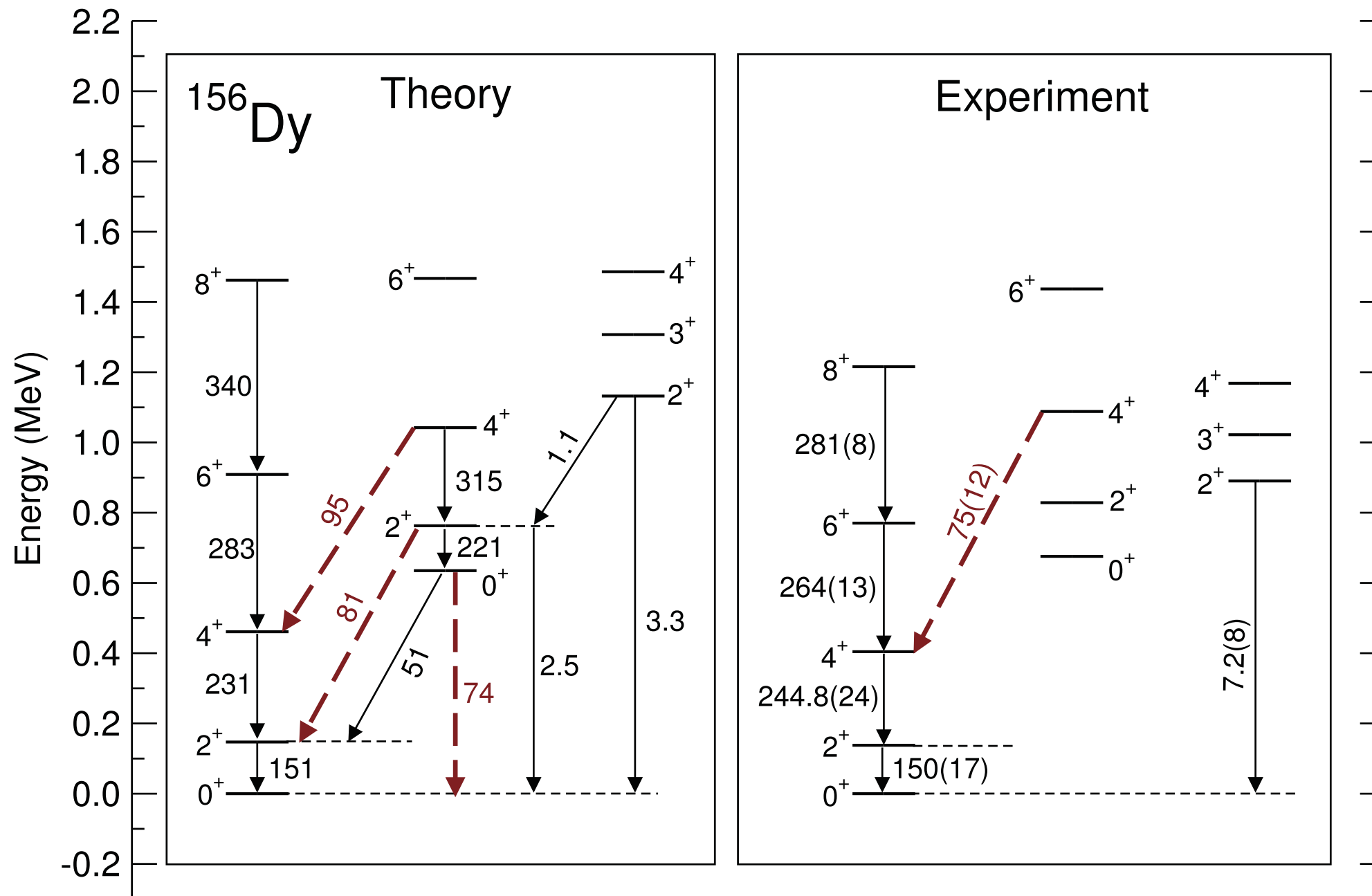


Criteria for the excited 0⁺ state to be labelled as a β -vibration:

$$B(E2; 0_{\beta}^{+} \rightarrow 2_1^{+}) \approx 12 - 33 \text{ } W.u.$$

$$B(E2; 2_{\beta}^{+} \rightarrow 0_1^{+}) \approx 2.5 - 6 \text{ } W.u.$$

$$\rho^2(E0; 0_2^{+} \rightarrow 0_1^{+}) \approx (85 - 230) \times 10^{-3}$$



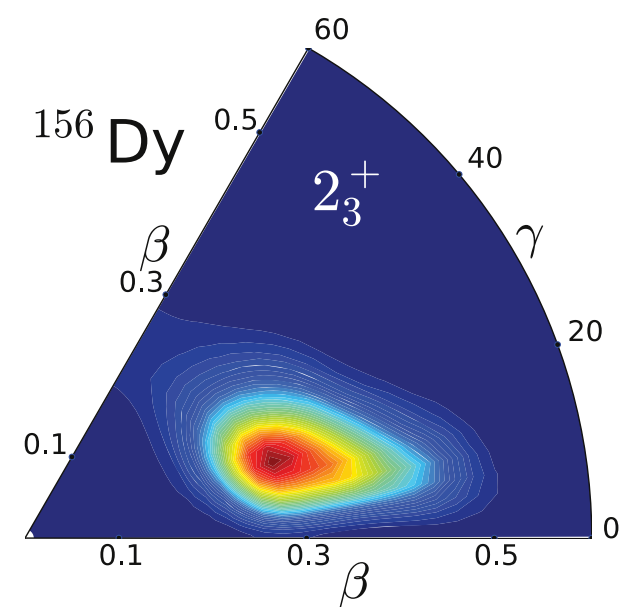
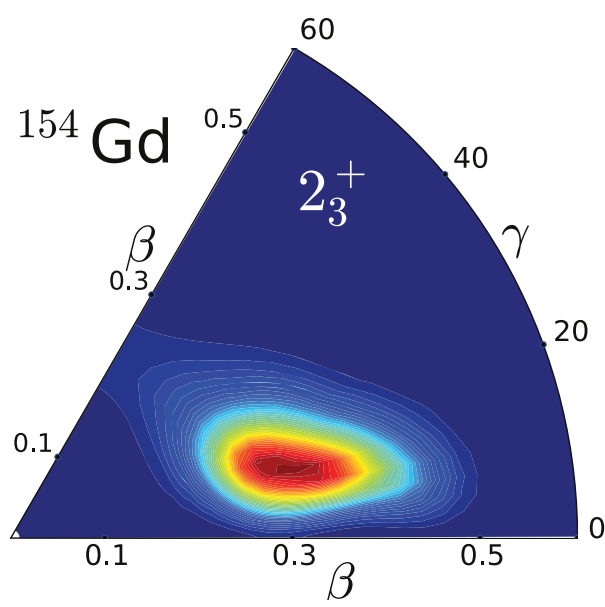
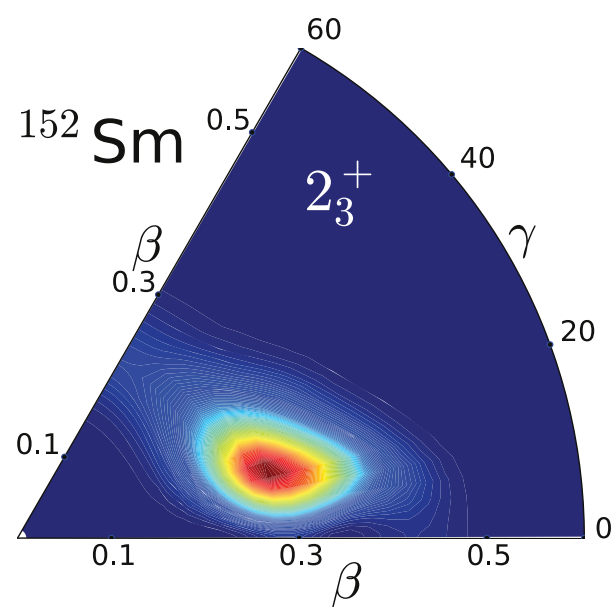
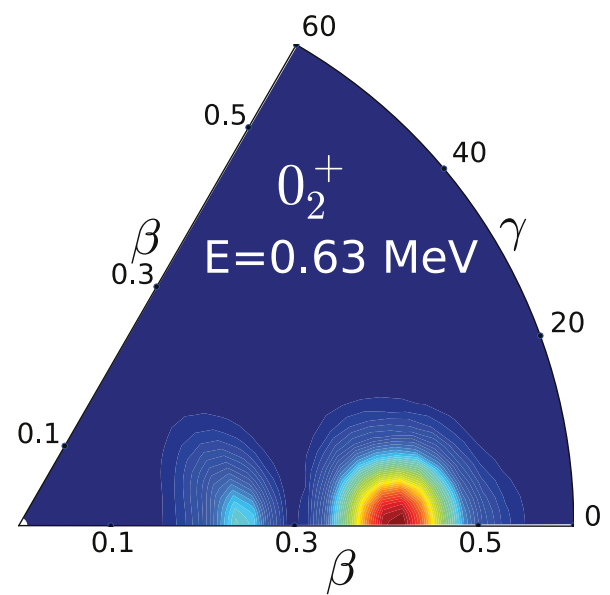
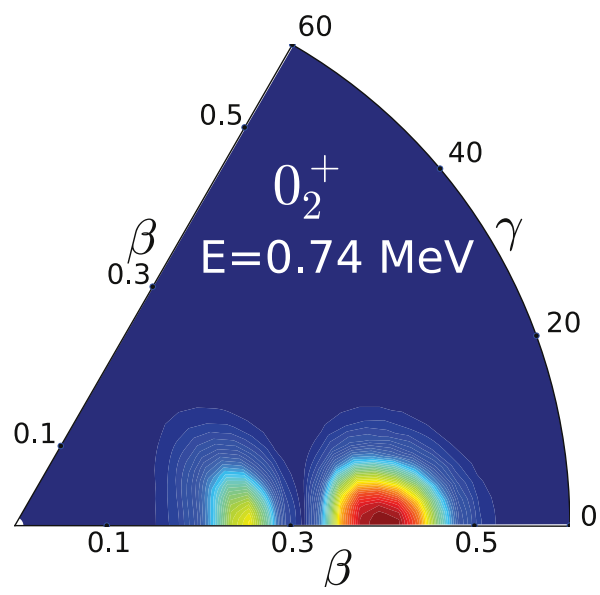
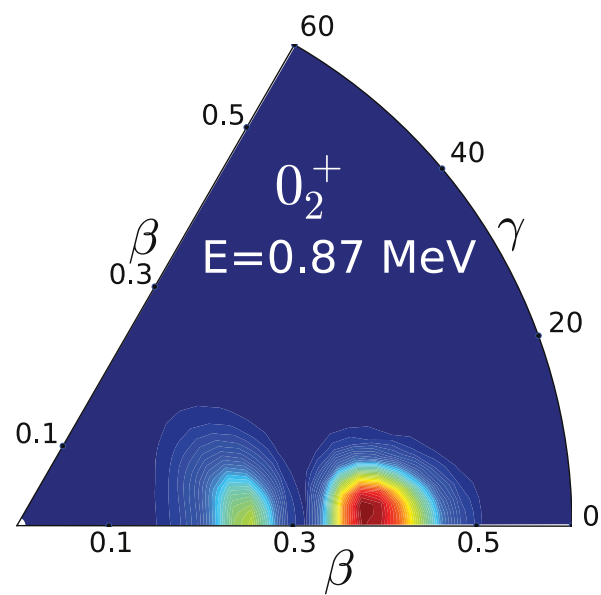
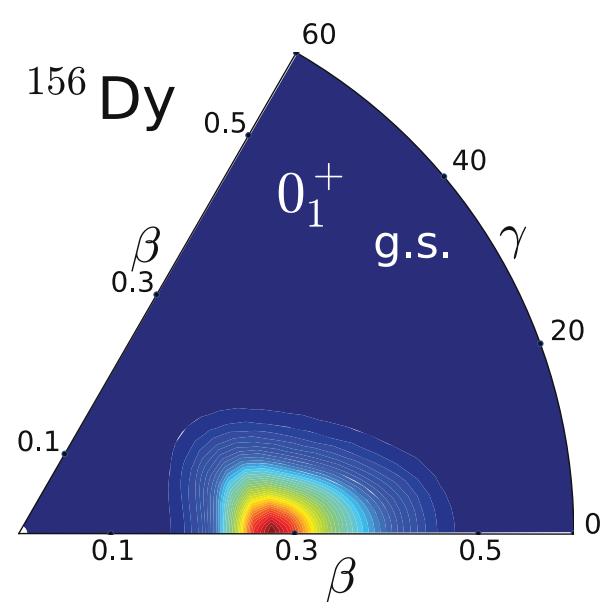
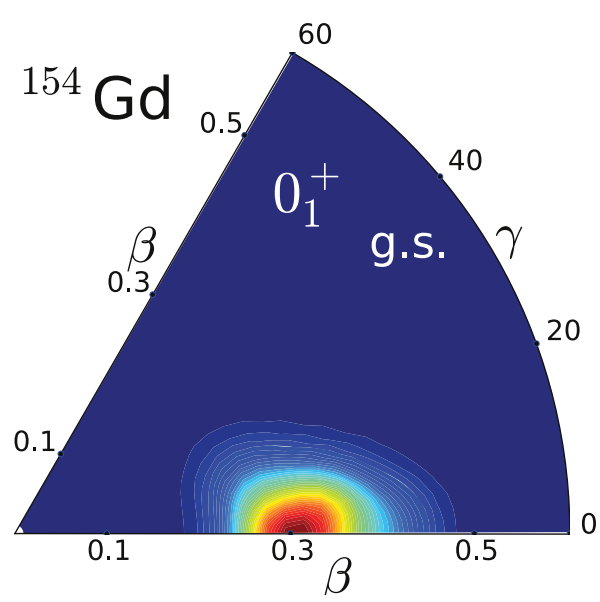
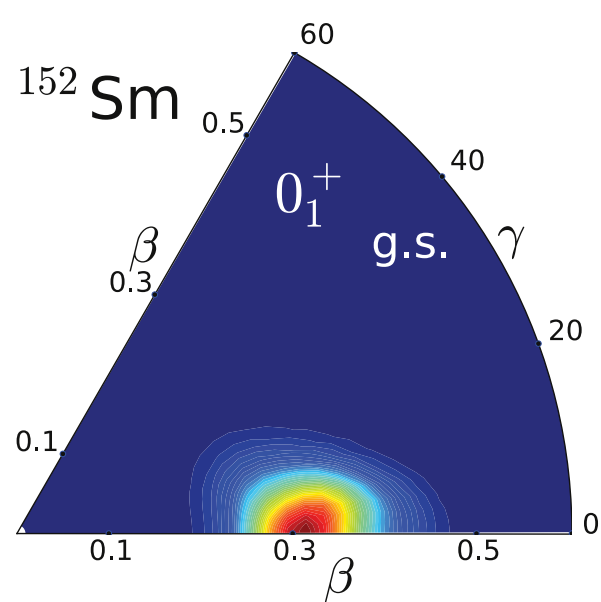
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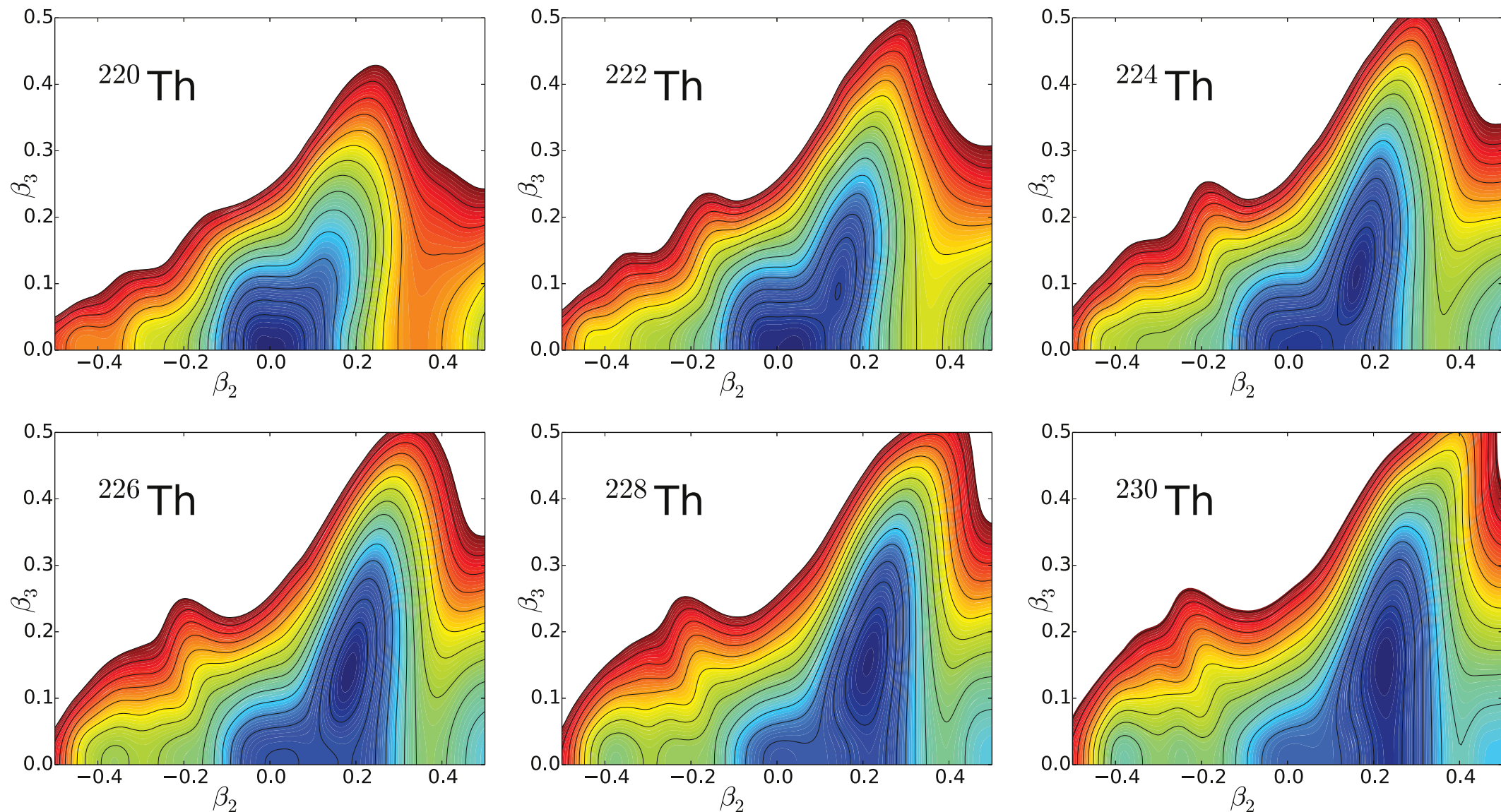
$$\rho^2(E0; 0_2^{+} \rightarrow 0_1^{+}) \approx (85 - 230) \times 10^{-3}$$

β -vibration or shape coexistence?



Quadrupole and octupole shape transition in thorium

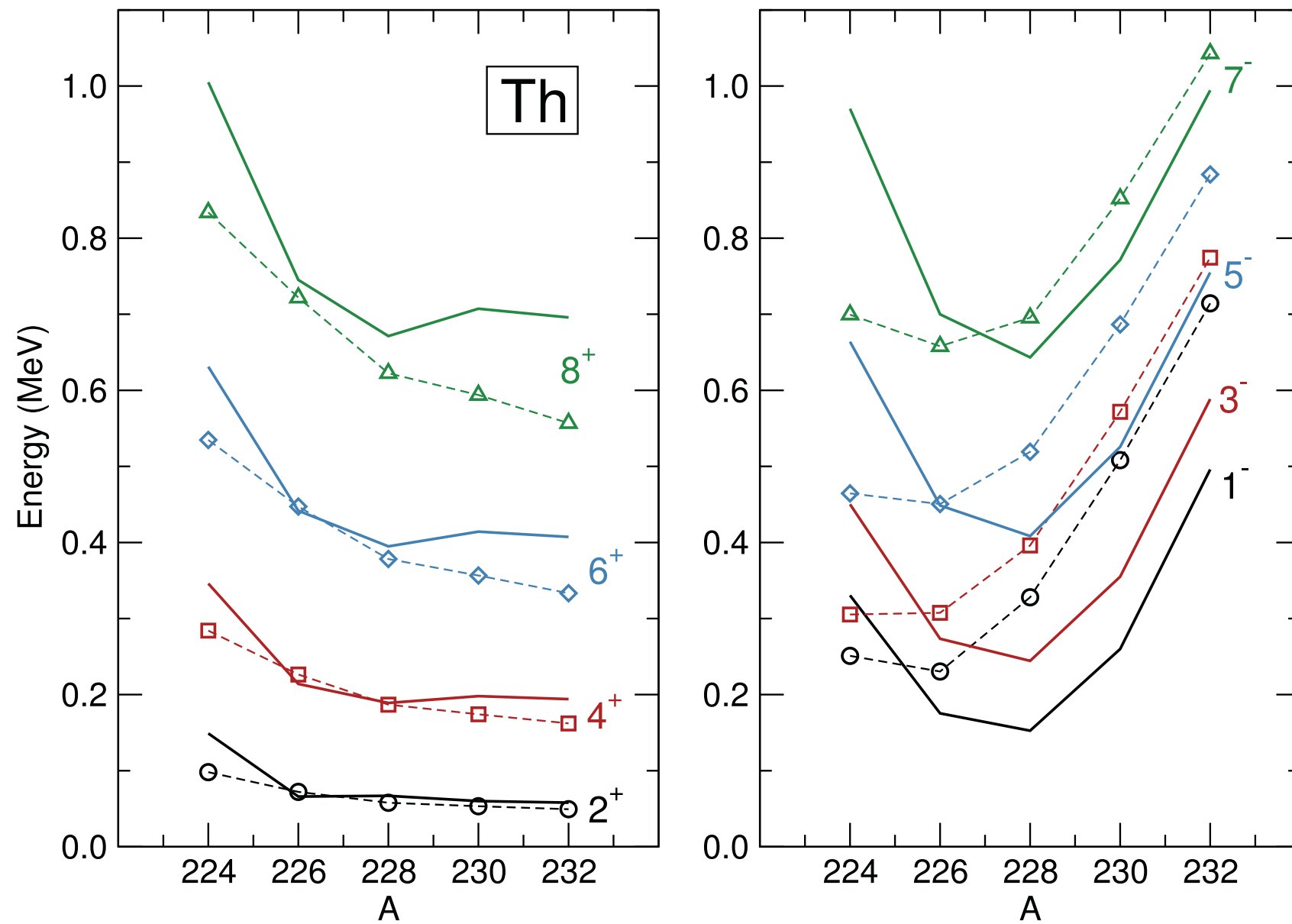
J. Phys. G: Nucl. Part. Phys. 43 (2016) 024005

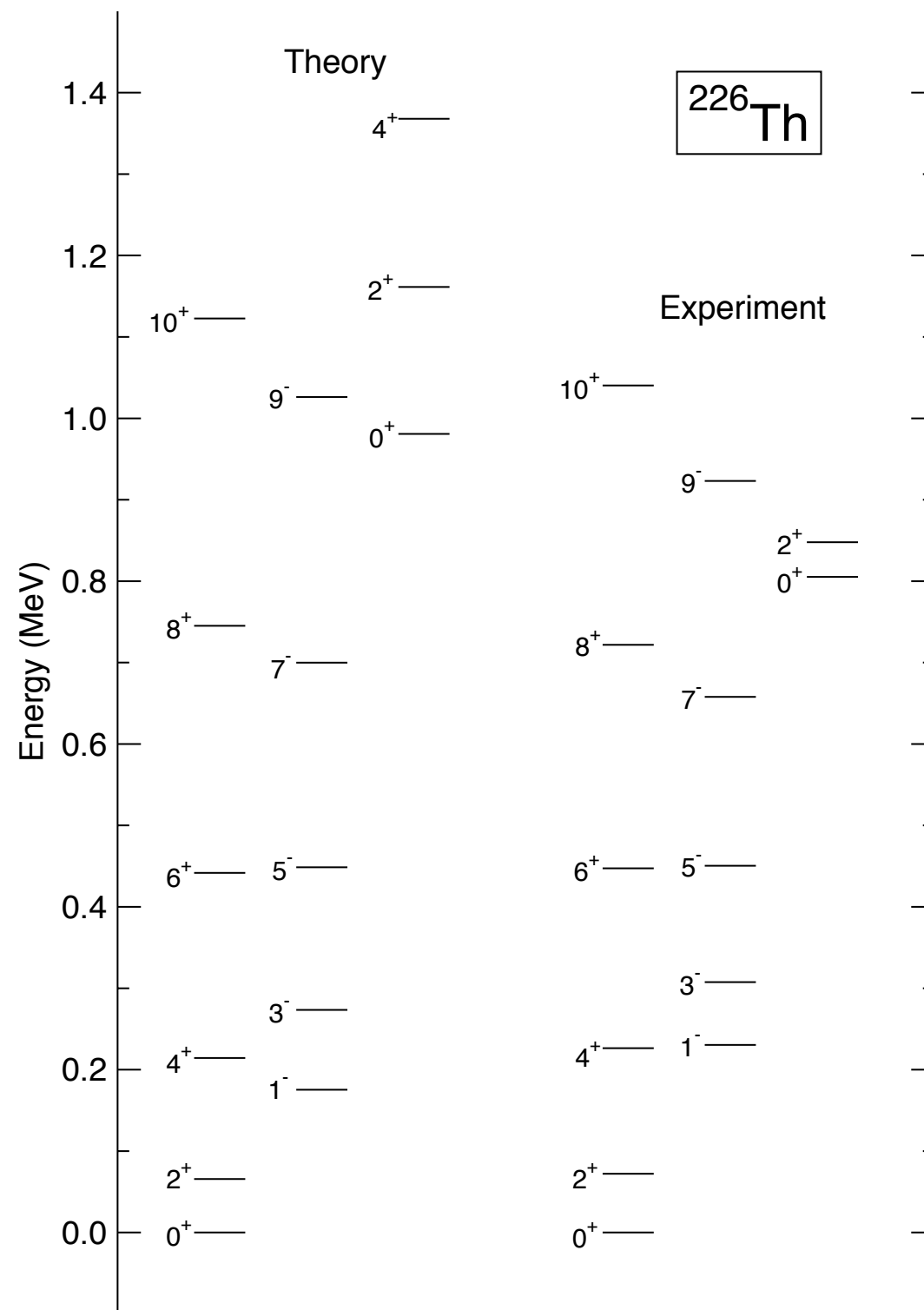
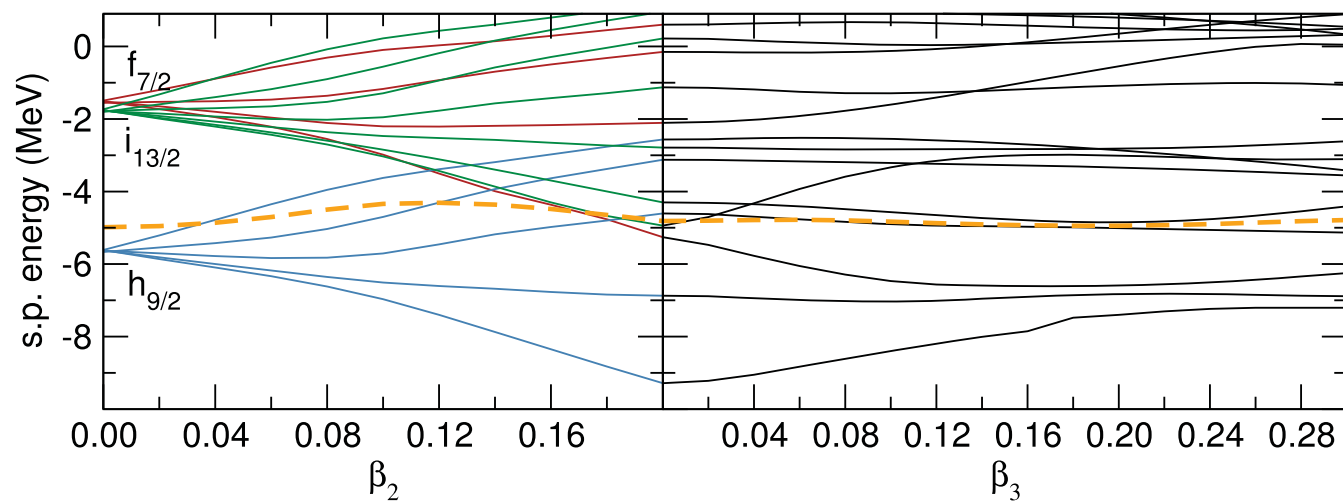
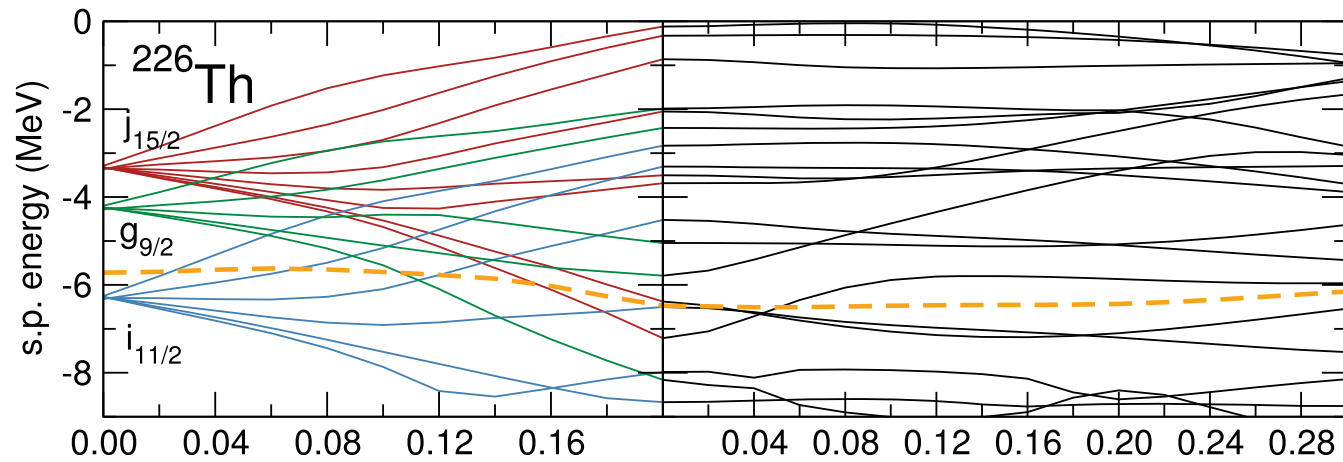
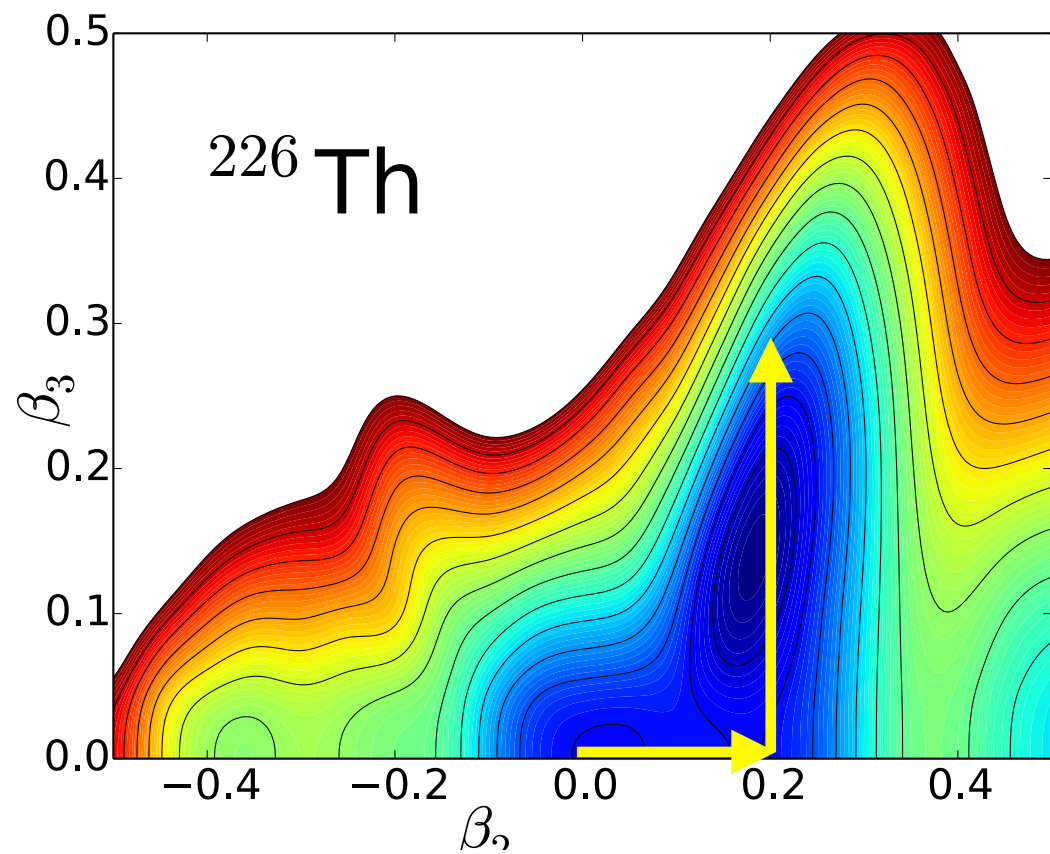


...quadrupole-octupole
collective Hamiltonian:

$$\hat{H}_{\text{coll}} = -\frac{\hbar^2}{2\sqrt{w\mathcal{I}}} \left[\frac{\partial}{\partial\beta_2} \sqrt{\frac{\mathcal{I}}{w}} B_{33} \frac{\partial}{\partial\beta_2} - \frac{\partial}{\partial\beta_2} \sqrt{\frac{\mathcal{I}}{w}} B_{23} \frac{\partial}{\partial\beta_3} - \frac{\partial}{\partial\beta_3} \sqrt{\frac{\mathcal{I}}{w}} B_{23} \frac{\partial}{\partial\beta_2} \right. \\ \left. + \frac{\partial}{\partial\beta_3} \sqrt{\frac{\mathcal{I}}{w}} B_{22} \frac{\partial}{\partial\beta_3} + \frac{\hat{j}^2}{2\mathcal{I}} + V(\beta_2, \beta_3) \right]$$

... systematics of energy spectra of the positive-parity ground- state band ($K^\pi = 0^+$) and the lowest negative-parity ($K^\pi = 0^-$) sequences in $^{224-232}\text{Th}$.





Nuclear Energy Density Functional Framework

- ✓ ...description of universal collective phenomena that reflect the organisation of nucleonic matter in finite nuclei → universal theory framework that can be applied to different mass regions.
- ✓ NEDFs provide an economic, global and accurate microscopic approach to nuclear structure that can be extended from relatively light systems to superheavy nuclei, and from the valley of β -stability to the particle drip-lines.
- ✓ NEDF-based structure models that take into account collective correlations → microscopic description of low-energy observables: excitation spectra, transition rates, changes in masses, isotope and isomer shifts, related to shell evolution with nuclear deformation, angular momentum, and number of nucleons.