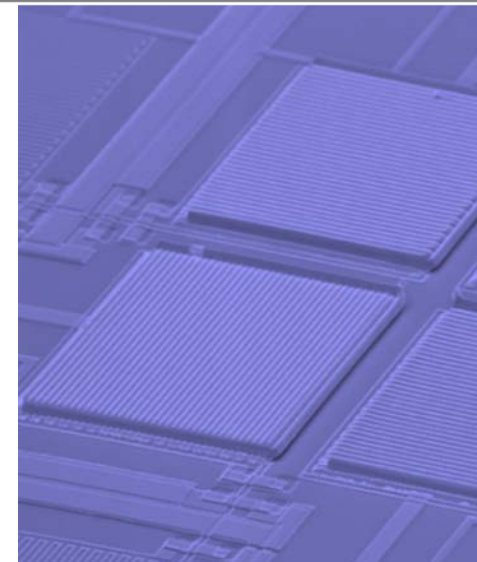
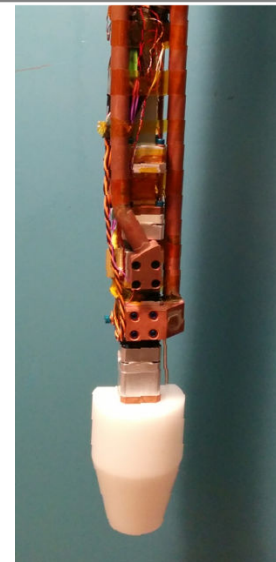
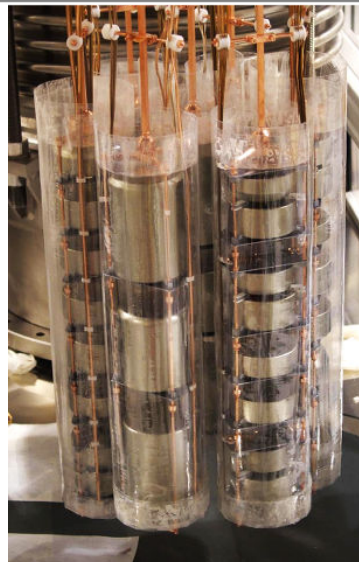


# “Neutrino mass and nature: status and prospects”

- Florian Fränkle -

Institute for Nuclear Physics (IKP), Karlsruhe Institute of Technology (KIT)



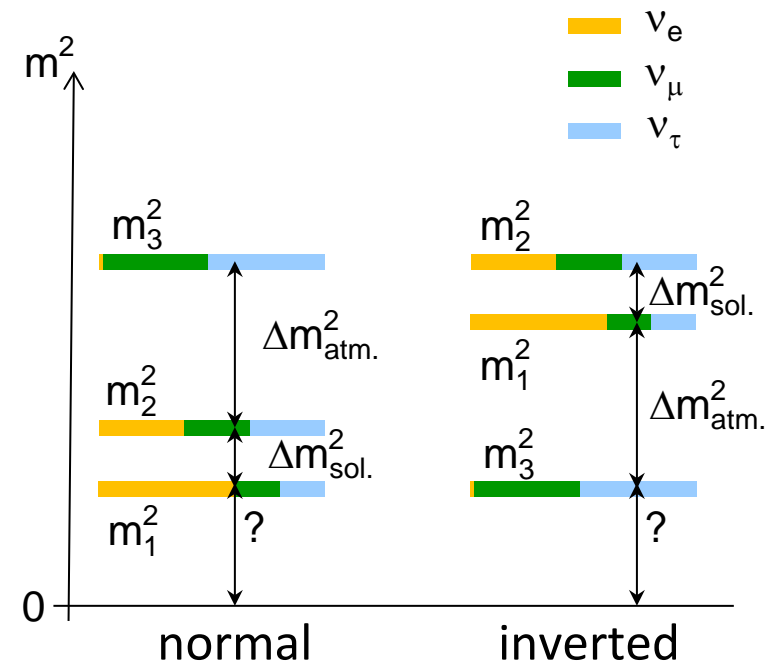
- Neutrino masses
- Single  $\beta$ -decay experiments
- $^{163}\text{Ho}$  electron capture experiments
- $0\nu\beta\beta$ -decay experiments
- Summary

# Neutrino masses

- Neutrino flavour eigenstates are related to neutrino mass eigenstates by the lepton mixing matrix (PMNS)
- Neutrino oscillations are sensitive to the differences between the squares of neutrino masses
- Two mass ordering scenarios possible
- The value of the lightest neutrino mass is unknown

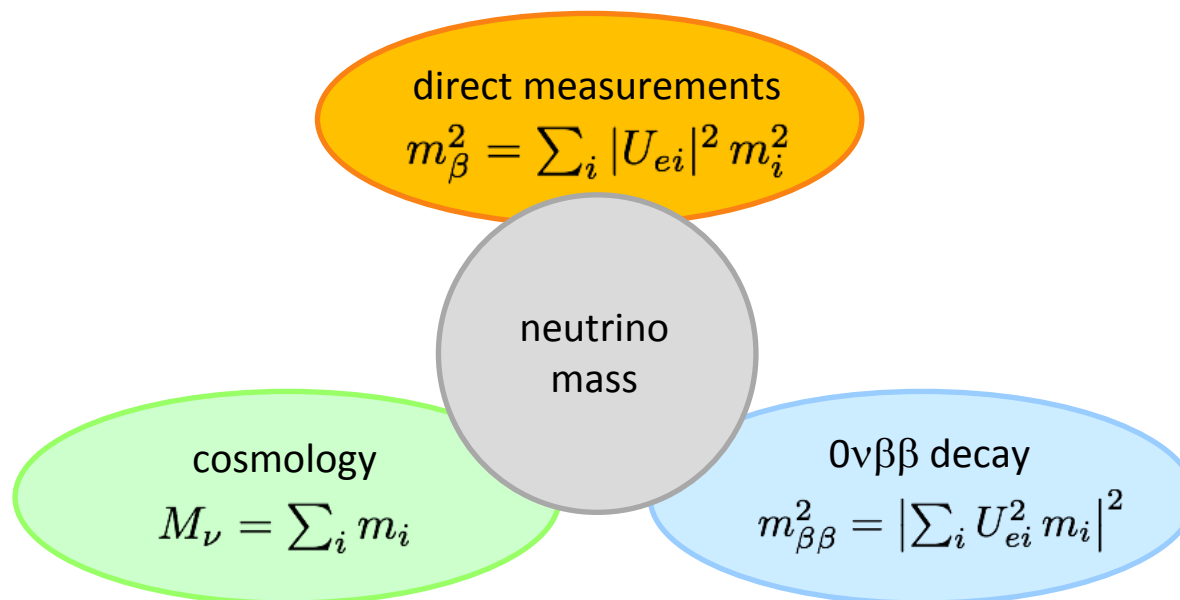
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \\ m_3 \end{pmatrix}$$

## mass ordering



# Neutrino masses

- Neutrinos are massive particles, but so far there are only upper and lower limits
- Absolute neutrino mass scale is one of the big open questions in particle physics, astrophysics and cosmology
- Different approaches to determine neutrino mass:



# Neutrino mass and single $\beta$ -decay

- $\beta$ -decay:  $n \rightarrow p + e^- + \bar{\nu}_e$
- Neutrino mass influences energy spectrum of  $\beta$ -decay electrons
- Neutrino mass determination via precise measurement of the spectral shape close to the endpoint
- Model independent method

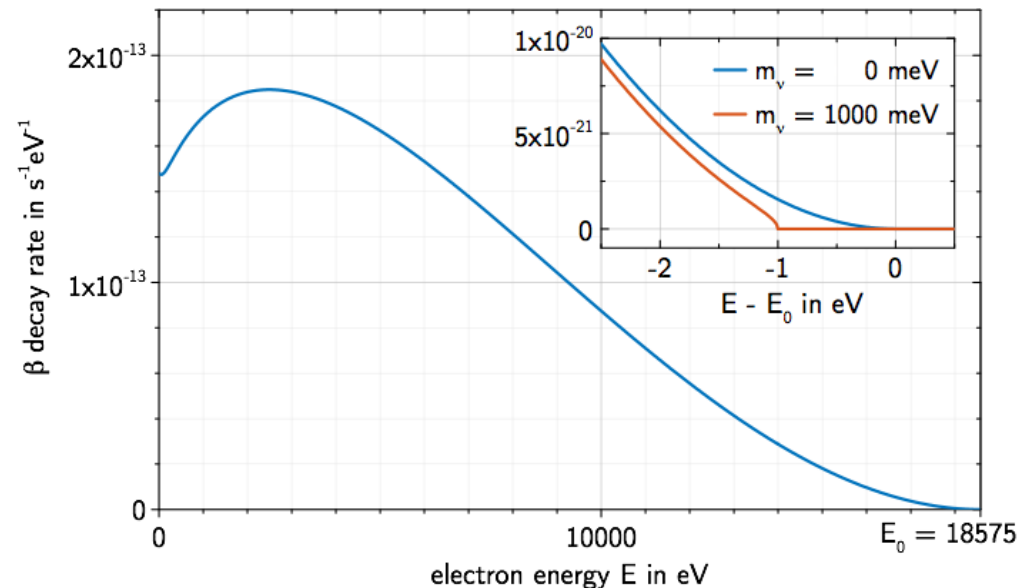
Fermi theory of  $\beta$ -decay:

$$\frac{dN}{dE} = C \cdot F(E, Z) \cdot p(E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_\nu^2}$$

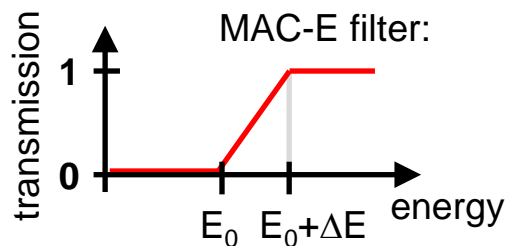
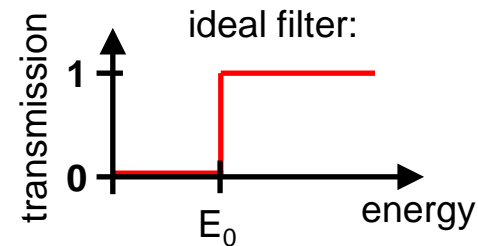
observable:

$$m_{\nu_e}^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$$

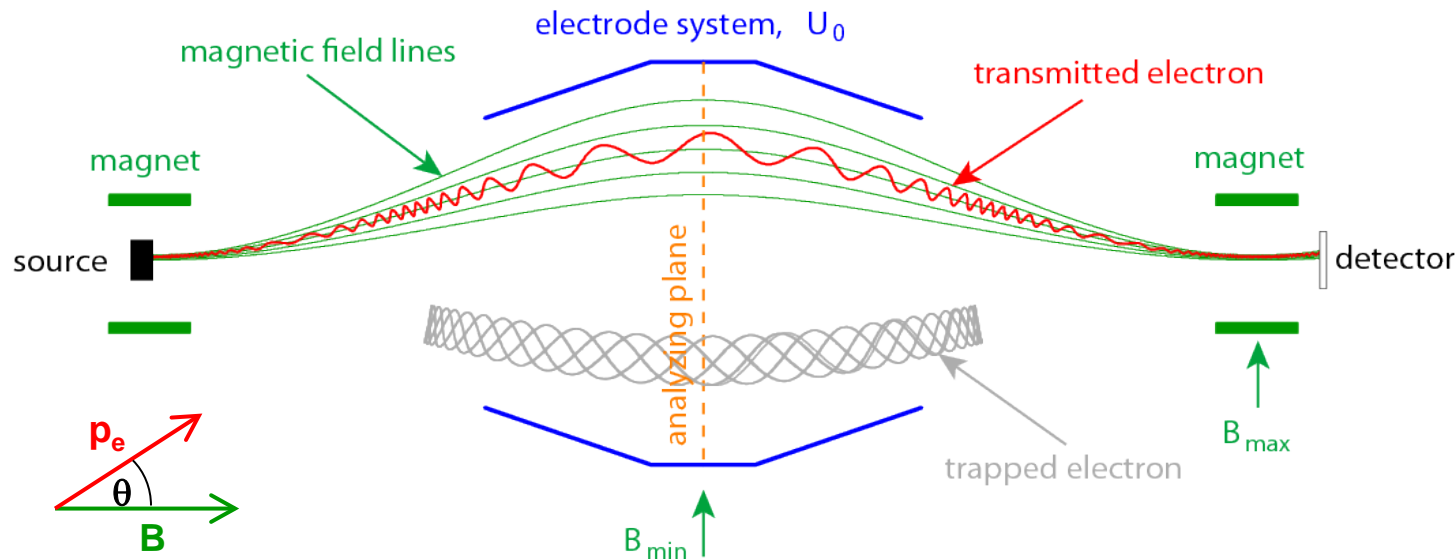
$\beta$ -spectrum for tritium ( $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  y):



# MAC-E filter



- **Magnetic Adiabatic Collimation** combined with an **Electrostatic Filter**
- Technique used by Mainz and Troitsk neutrino mass experiments, current best upper limit  $m_\nu < 2 \text{ eV}/c^2$



magnetic moment:

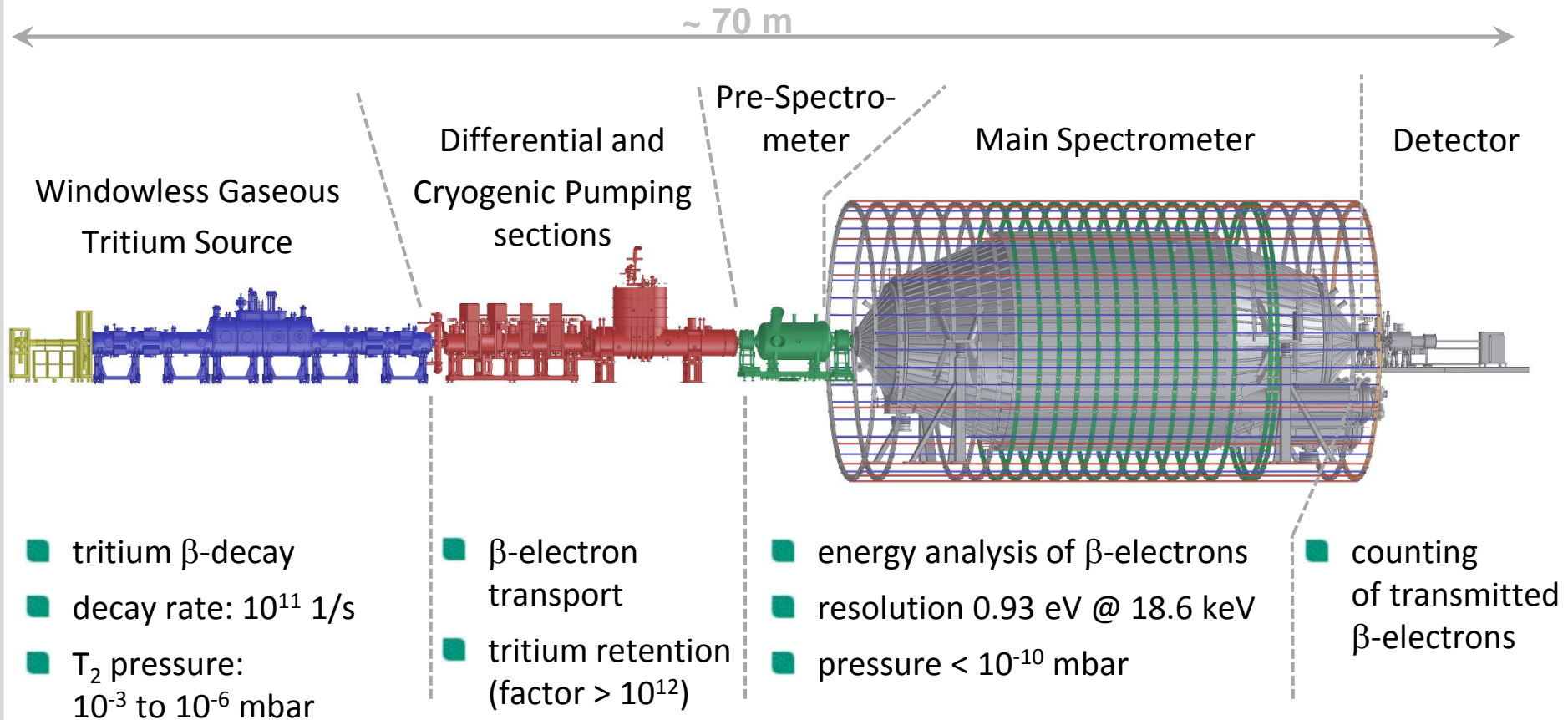
$$\mu = \frac{E_t}{B} = \text{const}$$

energy resolution:

$$\Delta E = \frac{B_A}{B_{\max}} E_0$$

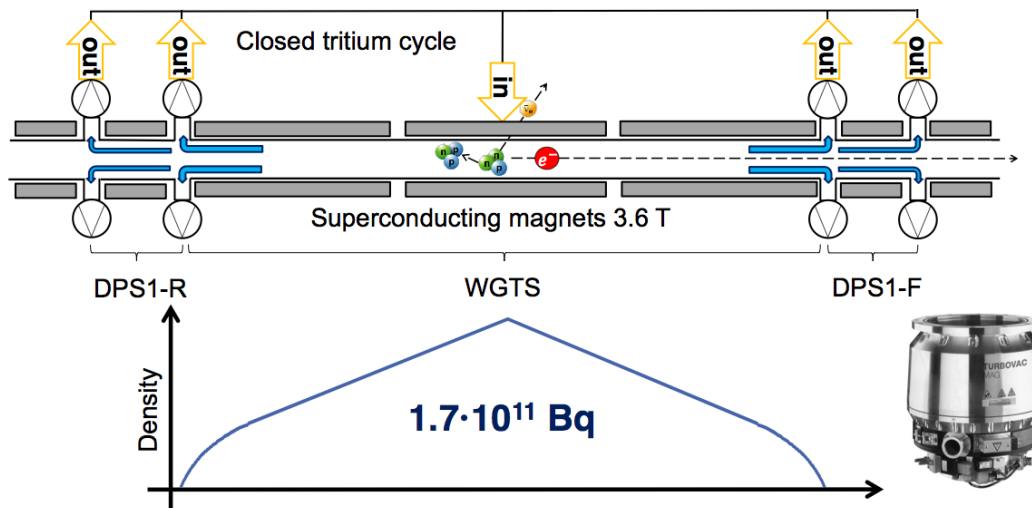
# The KATRIN experiment

- **K**ARlsruhe **T**Ritium **N**eutrino experiment
- Goal: Measure neutrino mass with a sensitivity of **200 meV/c<sup>2</sup>** (90% C.L.)





# KATRIN – Windowless Gaseous Tritium Source



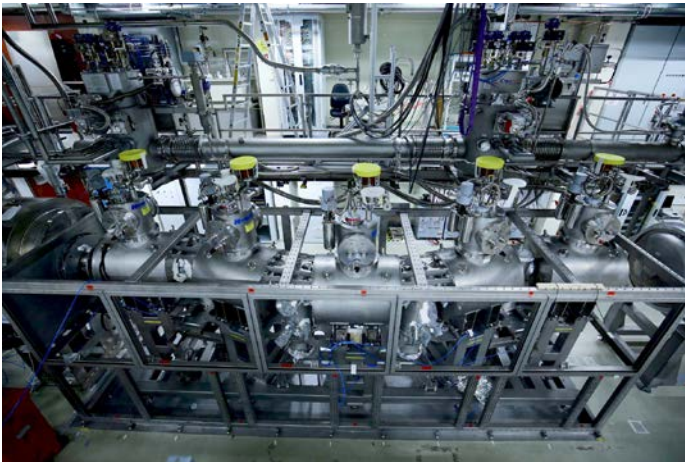
- Stability of  $T_2$  density profile of  $10^{-3}$  (function of  $T_2$  injection rate, purity, beamtube temperature  $T_B$  stability and homogeneity, pump rate)
- $T_B$  stability in prototype experiment 10× better than specified\*
- Tritium loop processes  $1.4 \times 10^{16} \text{ Bq}$  tritium / day (same scale as ITER)
- WGTS currently cooling to operational temperature (30 K)

\* S. Grohmann et al. "The thermal behaviour of the tritium source in KATRIN", Cryogenics, V. 55–56, 2013, p. 5–11, DOI: 10.1016/j.cryogenics.2013.01.001



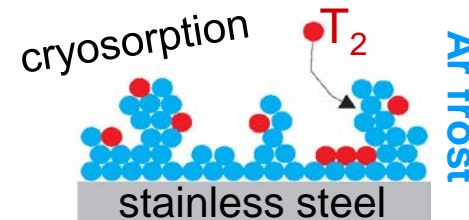
# KATRIN – pumping sections

## Differential pumping



- $T_2$  partial pressure reduction ( $10^5$ ) via differential pumping
- Magnetic guiding of  $\beta$ -electrons
- Removal of positive ions

## Cryogenic pumping

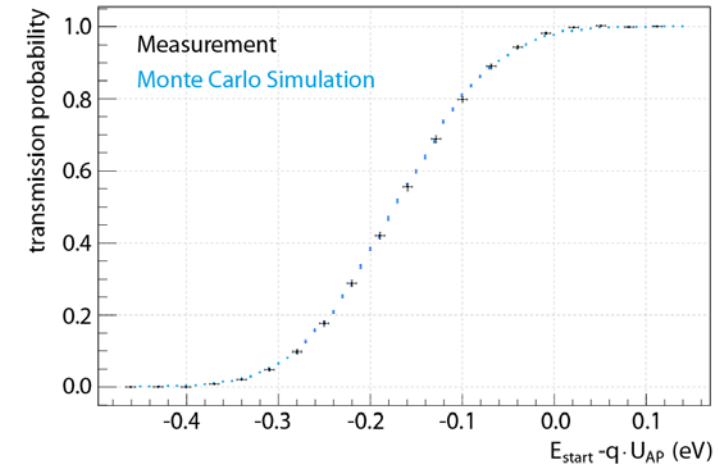
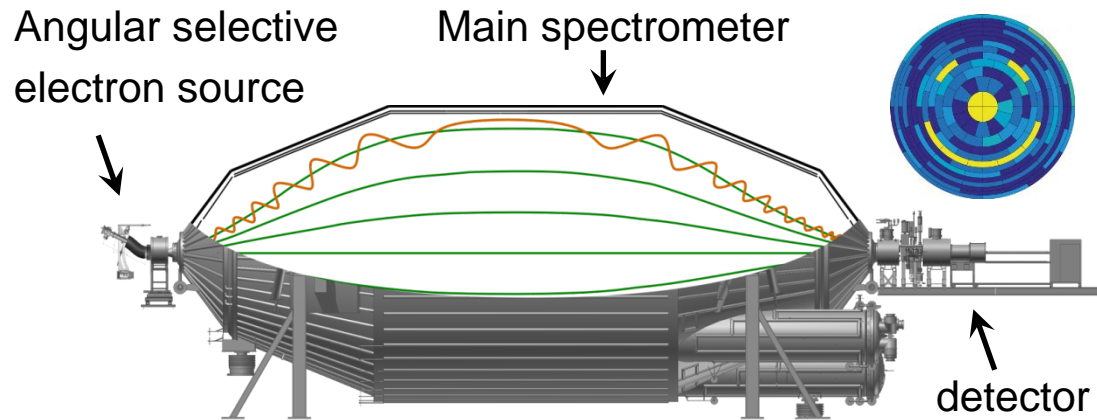


- $T_2$  partial pressure reduction ( $10^7$ ) via cryosorption of  $T_2$  on argon frost
- Concept successfully tested\*
- Magnet system successfully tested



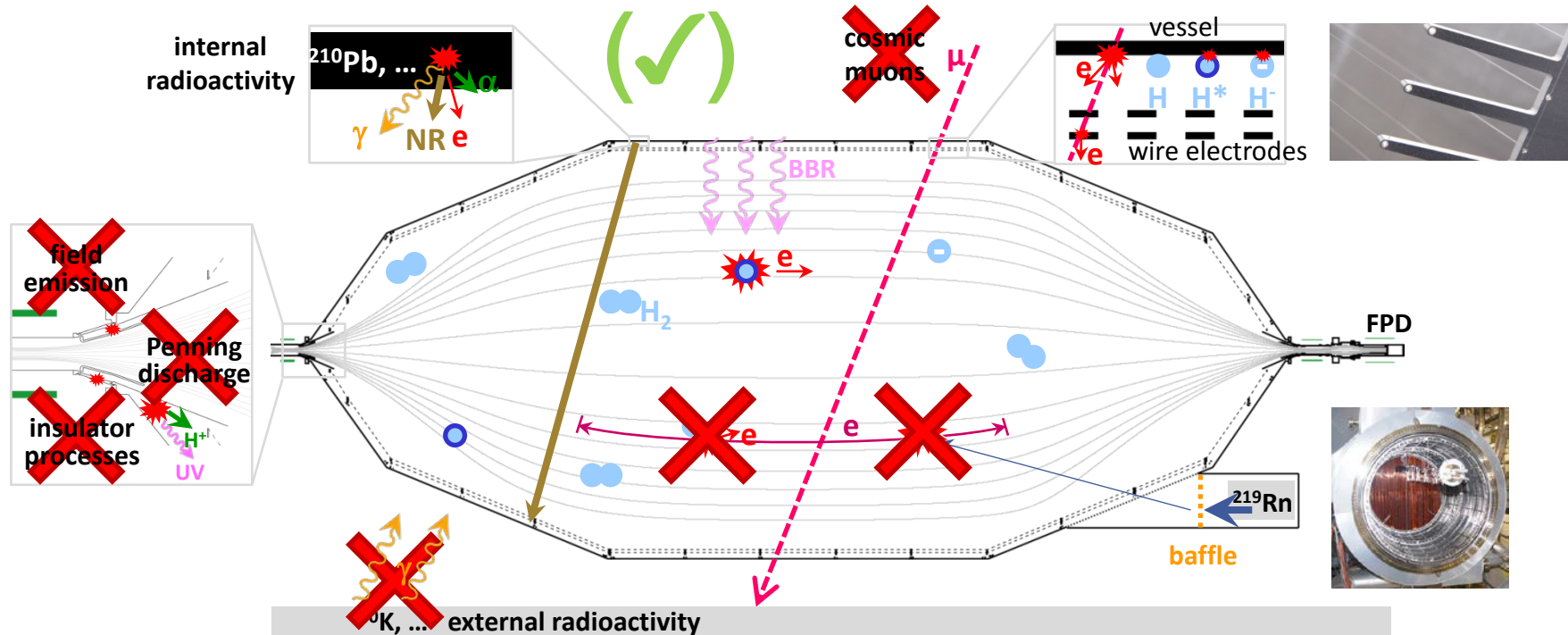
\* F. Eichelhardt et al. "First Tritium Results of the KATRIN Test Experiment Trap" Fusion Science and Technology 54 (2008), Nr. 2, p. 615-618

# KATRIN – Spectrometer & Detector Section (SDS)



- Two SDS commissioning measurement phases since autumn 2013
- Main spectrometer successfully operated at -18.6 kV
- Spectrometer pressure  $\sim 10^{-10}$  mbar
- Transmission characteristics of main spectrometer as expected
- Detailed investigations of spectrometer backgrounds

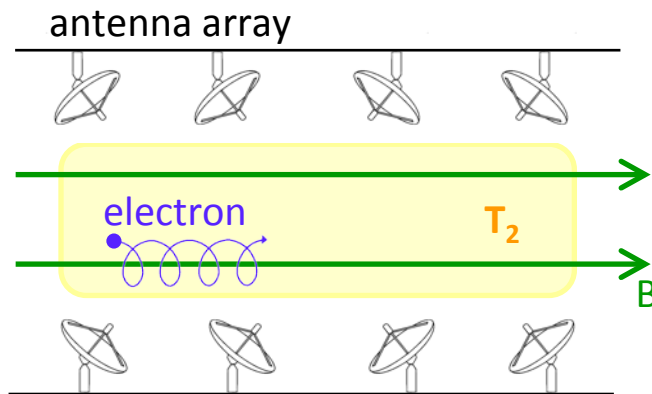
# KATRIN backgrounds



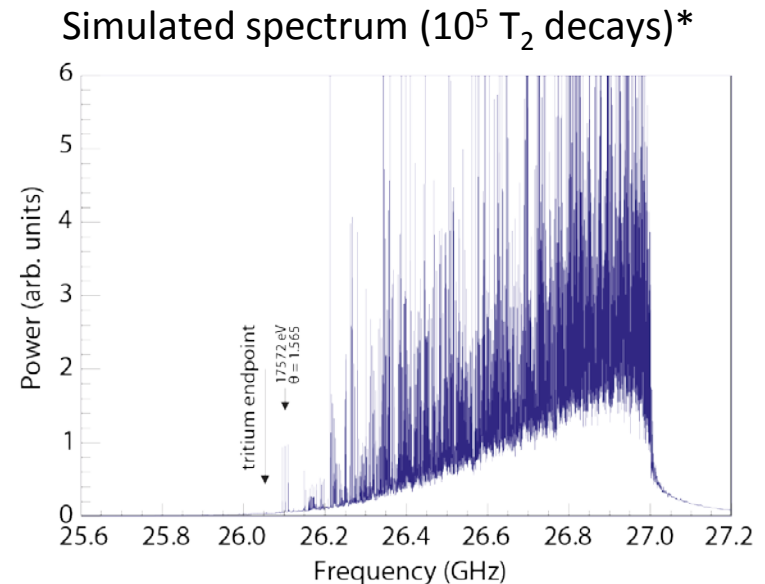
- All previously known background processes are efficiently suppressed
- Background rate about 50 times larger than design value (10 mcps), presumably due to ionization of Rydberg atoms by black body radiation

- Elevated background reduces KATRIN sensitivity from 200 to 240 meV/c<sup>2</sup> but further background reduction measures being studied
- Commissioning of complete KATRIN beamline without tritium will start on October 14<sup>th</sup> (“first light”)
- Test of source beamtube cooling system
- Completion of closed tritium loops
- **First tritium data in 2017**

# Cyclotron Resonance Emission Spectroscopy



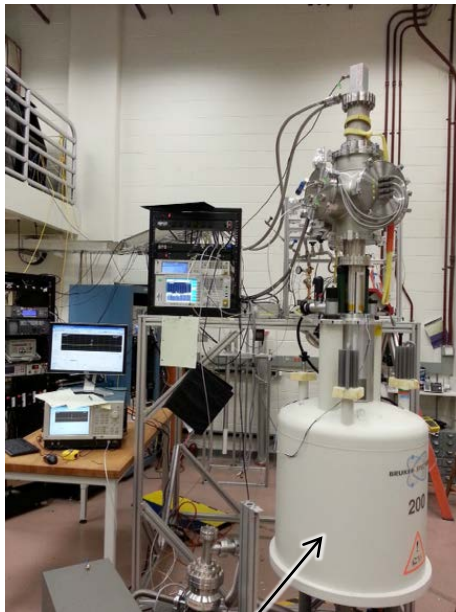
$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{E + m_e}$$



- Idea: Measure  $\beta$ -spectrum via coherent cyclotron radiation emitted by an energetic electron in a magnetic field (radiated power about 1 fW)
- Frequency of emitted radiation independent of electron pitch angle  $\Theta$
- New form of nondestructive spectroscopy

\* B. Monreal, J.A. Formaggio, PHYSICAL REVIEW D 80, 051301(R) (2009), DOI: 10.1103/PhysRevD.80.051301

# Project 8



magnet ( $\sim 1\text{T}$ )

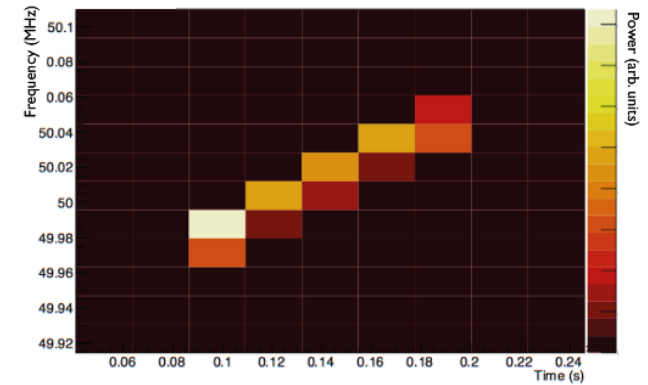


gas lines  
( $^{83\text{m}}\text{Kr}$ )

waveguide

electron  
trapping

expected signal (simulation) \*

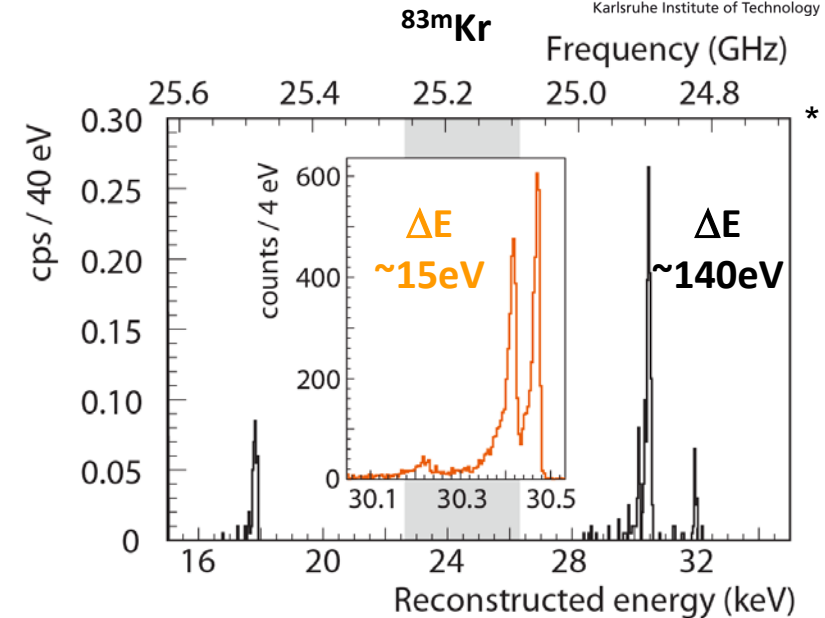
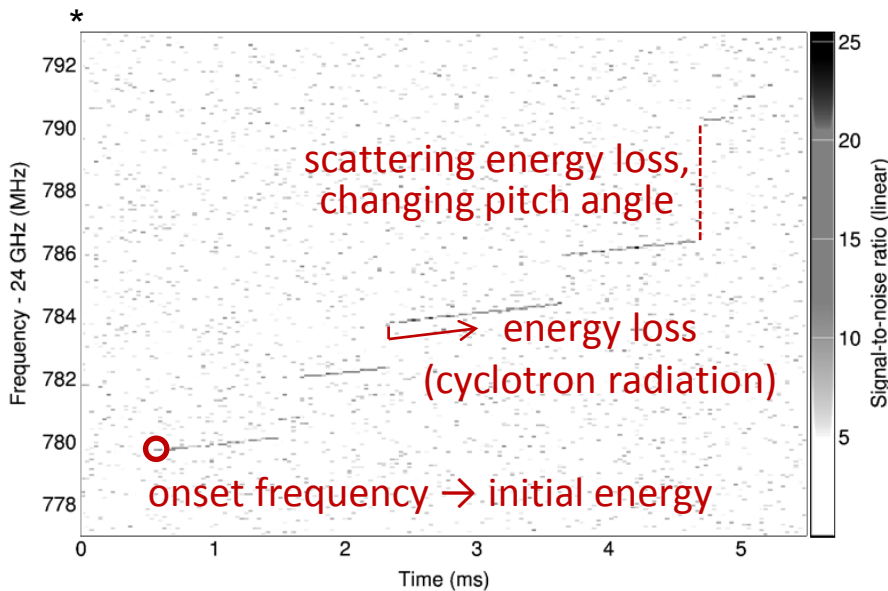


- Prototype system for “proof of principle” test
- Goal: detect single electrons from  $^{83\text{m}}\text{Kr}$
- Measurement phase finished

\* Noah Oblath, „The Project 8 Experiment“, KATRIN Analysis Workshop 2014



# Project 8



- First detection of single-electron cyclotron radiation (June 2014)
- Spectrum generation via event reconstruction
- Initial energy resolution could be improved to 15 eV (FWHM)
- Measure first tritium spectrum in 2017
- Long-term goal: large scale experiment with atomic tritium source and sub-eV sensitivity (hierarchy scale)

\*D.M. Asner et. al. "Single-Electron Detection and Spectroscopy via Relativistic Cyclotron Radiation", Physical Review Letters, 114, 162501 (2015), DOI: 10.1103/PhysRevLett.114.162501

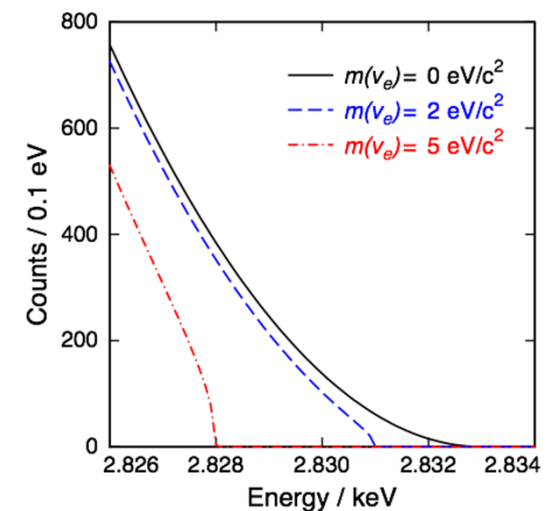
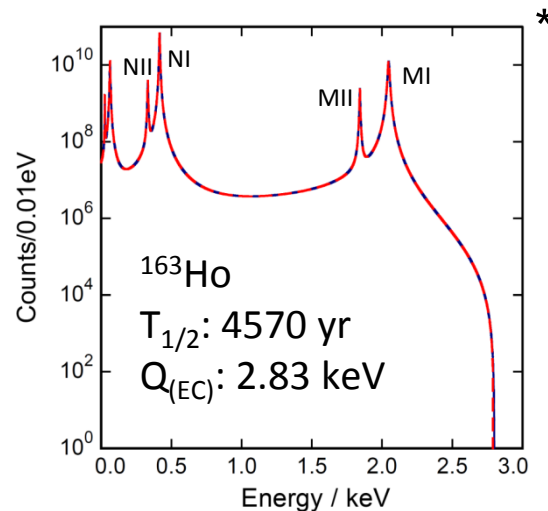
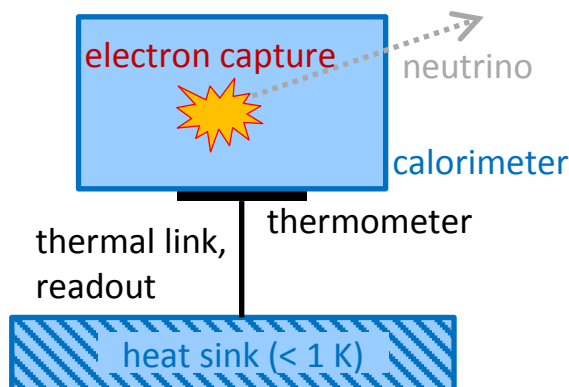


# Neutrino mass and electron capture

- Electron capture:  $p + e^- \rightarrow n + \nu_e$
- Neutrino mass affects the de-excitation energy spectrum

$$\frac{dN}{dE_C} = A(Q_{EC} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{EC} - E_C)^2}} \sum C_H n_H B_H \phi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$

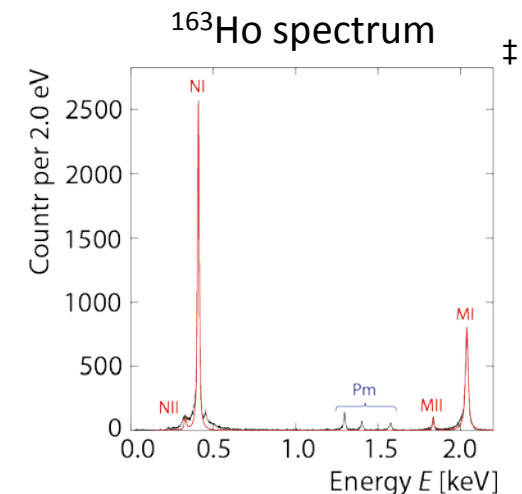
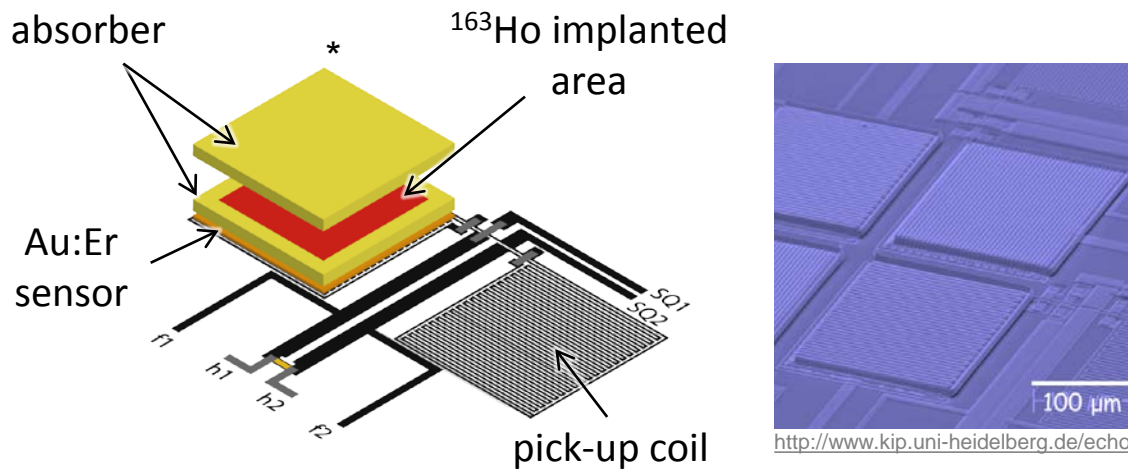
- Calorimetric measurement of atomic de-excitation (x-rays, Auger electrons, Coster-Kronig transitions)



\* Christian Enss, "Neutrino Mass Determination by Electron Capture in Holmium-163" ECT workshop Determination of the absolute electron (anti-)neutrino mass, Trento

# ECHo

- magnetic micro-calorimeter (MMC) arrays with microwave squid multiplexing readout
- fast rise time ( $\sim 130$  ns) and excellent linearity & resolution ( $\Delta E \sim 5$  eV)



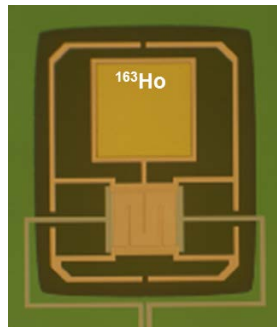
- Phase I: 100 detectors (10 Bq each),  $m_\nu < 10$  eV/ $c^2$  by 2018
- Phase II:  $10^5$  detectors (10 Bq each), sub-eV sensitivity

\* P.C.-O. Ranitzsch et al., J Low Temp Phys (2012) 167:1004–1014 DOI: 10.1007/s10909-012-0556-0 †L. Gastaldo et al., J Low Temp Phys (2014) 176:876–884 DOI: 10.1007/s10909-014-1187-4

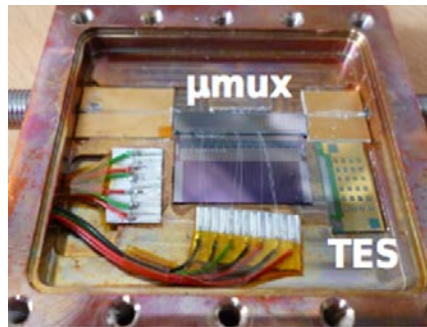
# Holmes / NuMECS

- measurement of  $^{163}\text{Ho}$  electron capture using transition edge sensors

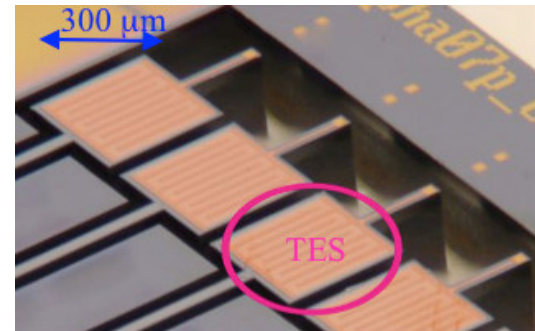
**HOLMES**



\*



**NuMECS**

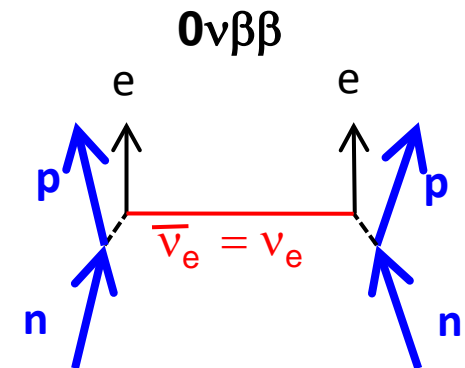
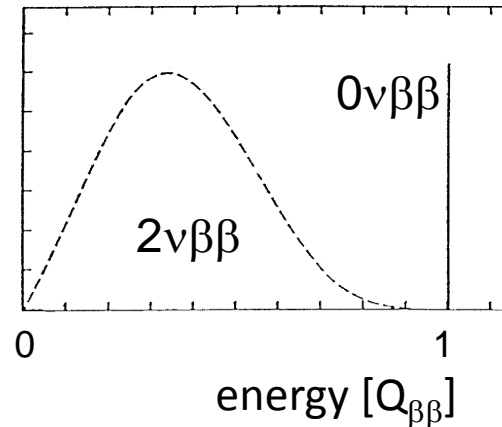
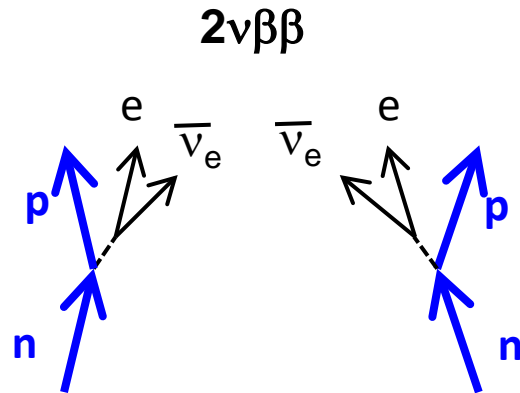


\*

- |                                                                                                                                                                                                        |                                                                                                                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>■ funding received for 1000 channel <math>^{163}\text{Ho}</math> detector experiment</li> <li>■ smaller demonstrator array to start data taking 2017</li> </ul> | <ul style="list-style-type: none"> <li>■ <math>^{163}\text{Ho}</math> production by proton irradiation of natural dysprosium</li> <li>■ first <math>^{163}\text{Ho}</math> spectrum measured but still limited statistics and resolution</li> </ul> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

\* Kathrin Valerius, "Direct probes of neutrino mass" Neutrino 2016, London

# Double $\beta$ -decay



if  $0\nu\beta\beta$  is discovered:

- lepton number violation
- neutrino is its own antiparticle (Majorana particle)
- measure of effective neutrino mass  $m_{\beta\beta}$

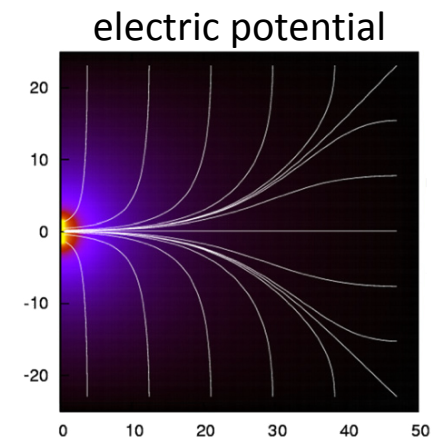
$$m_{\beta\beta} = \sum_i U_{ei}^2 m_i \quad \left(T_{1/2}^{0\nu\beta\beta}\right)^{-1} = G^{0\nu} |M|^2 m_{\beta\beta}^2$$

# $0\nu\beta\beta$ experiments

Experiment	Isotope	Technique
AMoRE	$^{100}\text{Mo}$	Low-T MMC
CANDLES	$^{48}\text{Ca}$	$\text{CaF}_2$ scintillator + veto
CDEX	$^{76}\text{Ge}$	Point contact Ge
COBRA	$^{116}\text{Cd}$ , etc	$\text{CdZnTe}$
CUORE/CUORE-0	$^{130}\text{Te}$	$\text{TeO}_2$ bolometers
CUPID	$^{130}\text{Te}$ , $^{82}\text{Se}$ , $^{100}\text{Mo}$ , $^{116}\text{Cd}$	Hybrid bolometers
DCBA/MTD	$^{100}\text{Mo}$	Foils + tracker
EXO200	$^{136}\text{Xe}$	LXe TPC
GERDA	$^{76}\text{Ge}$	Semicoax / point contact HPGe
KamLAND-Zen	$^{136}\text{Xe}$	Liquid scintillator
MAJORANA DEMONSTRATOR	$^{76}\text{Ge}$	Point contact HPGe
MOON	$^{100}\text{Mo}$	Foils + scintillator
nEXO	$^{136}\text{Xe}$	LXe TPC
NEXT	$^{136}\text{Xe}$	High-P TPC
NG-Ge76	$^{76}\text{Ge}$	Point contact Ge
PandaX III	$^{136}\text{Xe}$	High-P TPC
SNO+	$^{130}\text{Te}$	Liquid scintillator
SuperNEMO	$^{82}\text{Se}$	Foils + tracker

# Germanium detectors

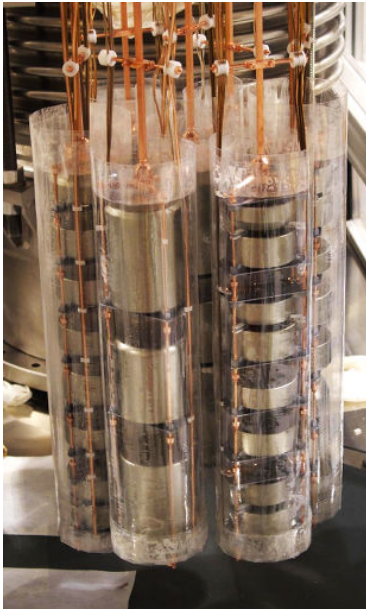
- $^{76}\text{Ge}$  has a relative large Q-value (2.039 MeV), above most backgrounds
- Natural abundance of 7.4 % but enrichment up to > 86 % possible
- Production of high-purity germanium (HPGe) crystals removes unwanted naturally occurring radioactive impurities
- HPGe crystal acts simultaneously as source and detector with excellent energy resolution (4 keV @ 2039 keV)
- Point contact detectors allow for multi-site event identification and background reduction



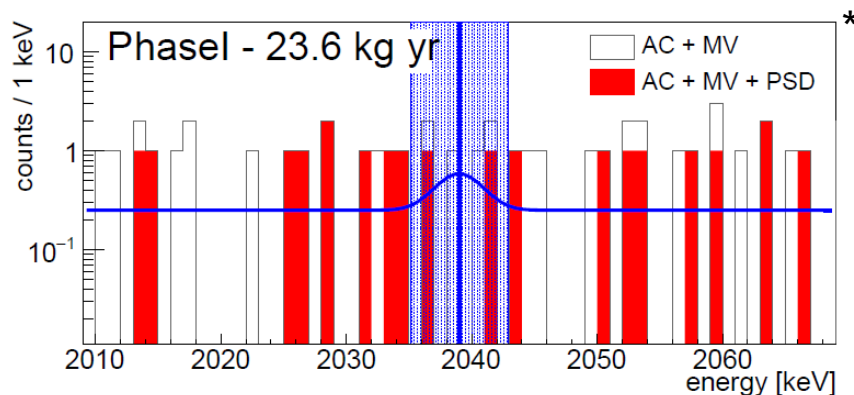
\* Steve Elliott, "Initial Results from the MAJORANA DEMONSTRATOR" Neutrino 2016, London

# GERDA

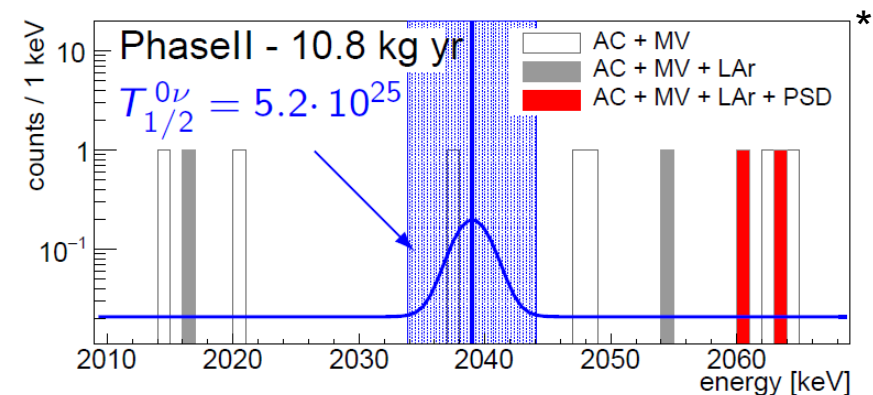
‡



- The GERmanium Detector Array (GERDA) searches for neutrinoless double beta decay in  $^{76}\text{Ge}$
- Located underground at Gran Sasso National Laboratory
- Five detector strings with a total target mass of 20 kg are installed in  $64 \text{ m}^3$  of liquid argon
- Installed LAr scintillation light veto for background suppression after Phase I
- Phase II measurements currently ongoing



\* Matteo Agostini, "First results from Gerda Phase II" Neutrino 2016, London

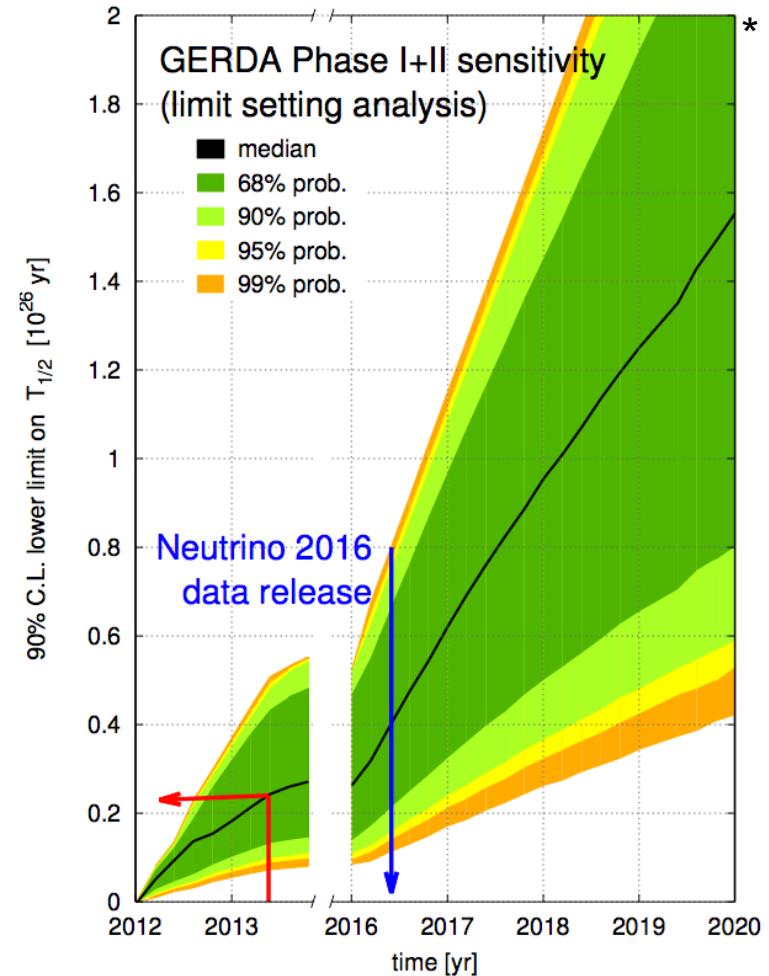
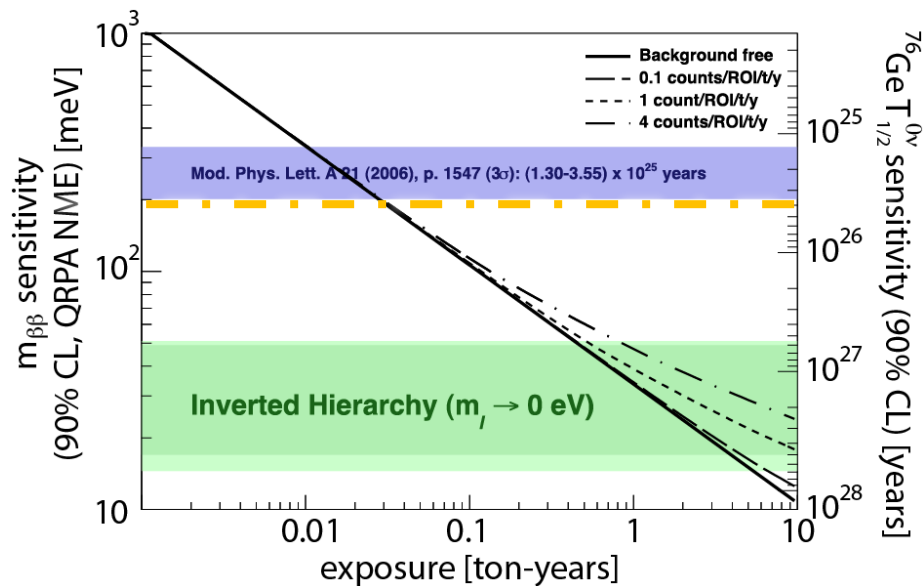


\* Christoph Wiesinger, "GERDA meets KATRIN" KATRIN analysis workshop 2016, Munich



# GERDA

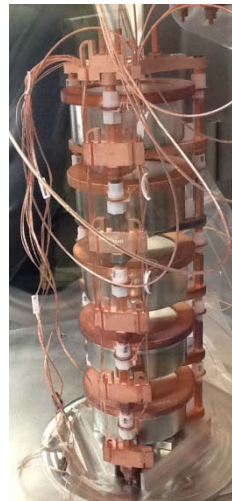
- GERDA Phase II is a high-resolution and background-free experiment
- Combined Phase I + II sensitivity:  
 $T_{1/2}^{0\nu} > 4.0 \times 10^{25} \text{ yr (90\% C.L.)}$ , preliminary



\* Matteo Agostini, "First results from Gerda Phase II" Neutrino 2016, London

# MAJORANA DEMONSTRATOR

- goal: demonstrate backgrounds low enough to justify building a tonne scale experiment
- 44.8 kg of Ge detectors: 29.7 kg enriched (88 %), 15.1 kg natural Ge
- two independent cryostats made from ultra-clean electroformed copper
- located underground at 4850' Sanford Underground Research Facility
- Cryostat I in operation since January 2016, blind data collection since April 2016
- collected 3 kg yr before blinding:
 
$$T_{1/2}^{0\nu} > 3.7 \times 10^{24} \text{ yr}$$
- commissioning of Cryostat II ongoing



\*

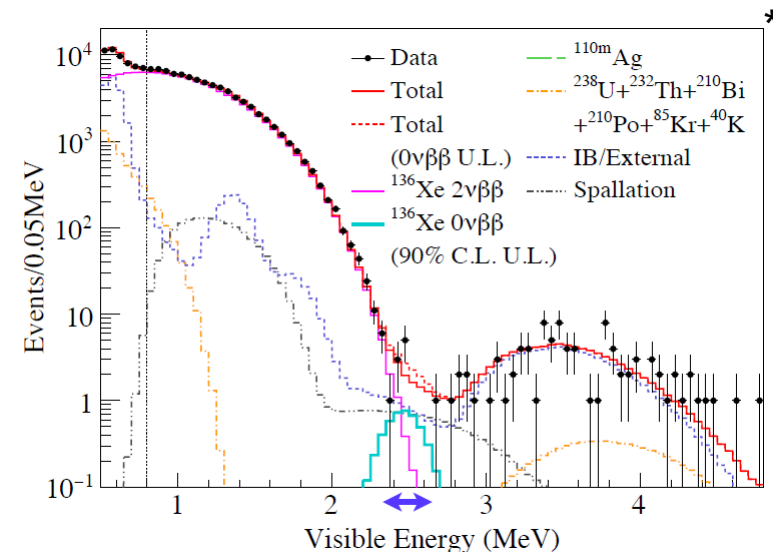
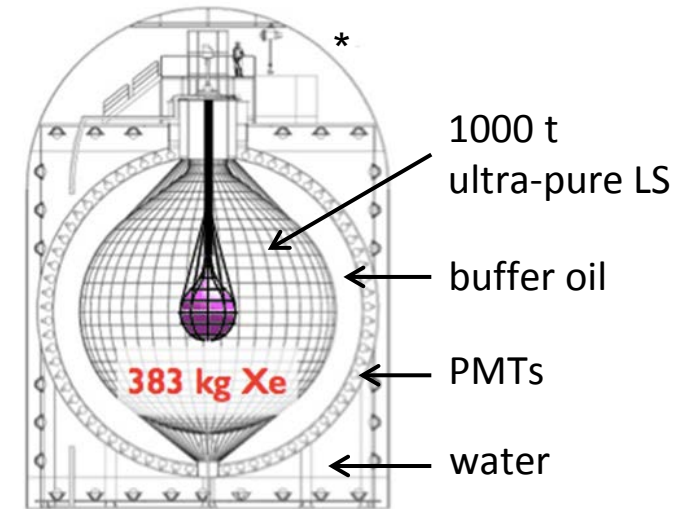


\* Steve Elliott, "Initial Results from the MAJORANA DEMONSTRATOR" Neutrino 2016, London

# KamLAND-Zen

- KamLAND-Zen repurposed the KamLAND liquid scintillator detector for the search of neutrinoless double beta decay in  $^{136}\text{Xe}$
- Target mass 383 kg Xe (91 %  $^{136}\text{Xe}$ )
- Xe purification system
- Combined result phase 1 + 2:  

$$T_{1/2}^{0\nu} > 1.07 \times 10^{26} \text{ yr (90\% C.L.)}$$
- Detector is currently being upgraded for operation with 750 kg enriched Xe
- R&D for future upgrade to 1000 kg Xe in order to cover the region of inverted mass ordering

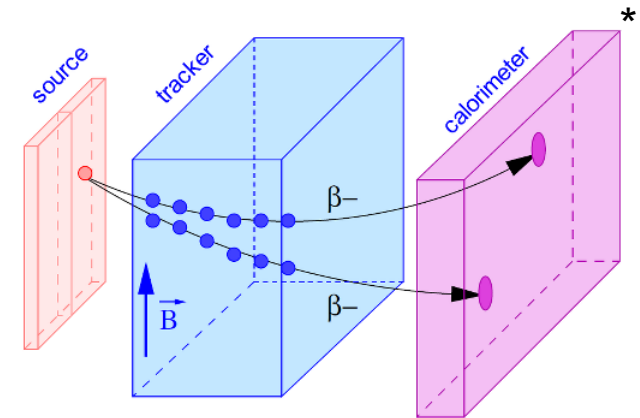


\* Junpei Shirai, "Results and future plans for the KamLAND-Zen" Neutrino 2016, London

# Nemo-3 & SuperNEMO

- Located at 4800 m.w.e. at the Laboratoire Souterrain de Modane (LSM)
- Source separated from detector
- Topological event reconstruction and particle identification allows strong background reduction
- World's best measurements of  $2\nu\beta\beta$  for various isotopes ( $^{100}\text{Mo}$ ,  $^{82}\text{Se}$ ,  $^{96}\text{Zr}$ ,  $^{48}\text{Ca}$ ,  $^{116}\text{Cd}$ ,  $^{130}\text{Te}$ ,  $^{150}\text{Nd}$ )
- Result for  $0\nu\beta\beta$  in  $^{100}\text{Mo}$ :  

$$T_{1/2}^{0\nu} > 1.1 \times 10^{24} \text{ yr (90\% C.L.)}$$
- SuperNEMO Demonstrator Module nearing completion at the LSM

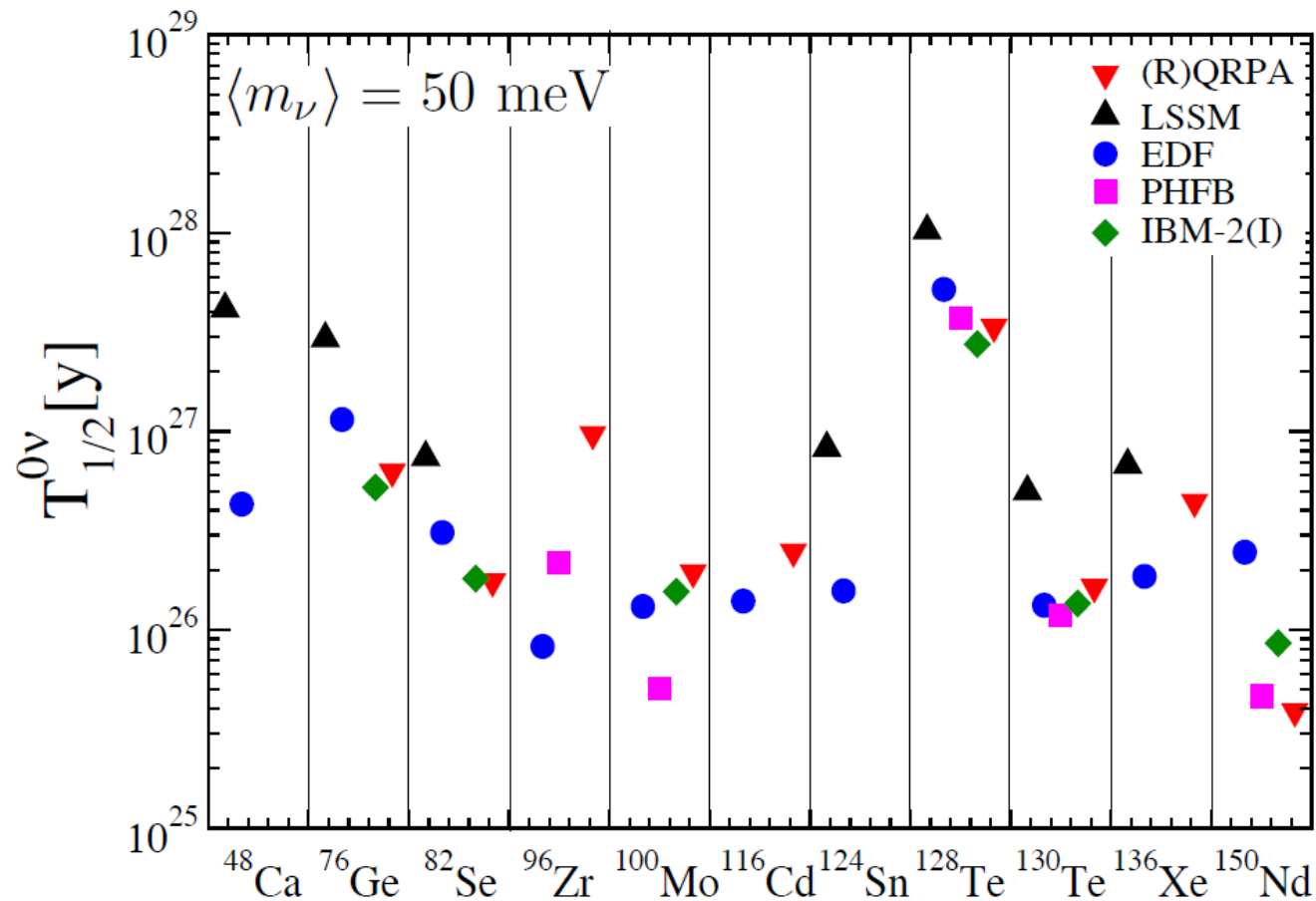


\* David Walters, "Latest Results from NEMO-3 & Status of the SuperNEMO Experiment" Neutrino 2016, London

- KATRIN experiment is preparing for first tritium runs in 2017
- R&D for new approaches in direct neutrino mass measurements: electron capture of  $^{163}\text{Ho}$  and cyclotron resonance emission spectroscopy
- Several  $0\nu\beta\beta$  experiments are currently taking data
- R&D for next-generation  $0\nu\beta\beta$  experiments targeting the region of inverted mass ordering

**Backup**

# $0\nu\beta\beta$ nuclear matrix elements



Vergados et al. 2012