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CMOS Pixel Technologies: Prospects for a VTX detector @ ILC

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Outline

- ILC-VTX: experimental conditions & requirements
- R&D roadmap for a VTX detector for ILC
- Summary and outlook

ILC-VTX: experimental conditions



- Integrate few bunches
- Readout between trains with time-stamping \Rightarrow chronopixels
- Readout between trains without time-stamping ⇒ very high granularity

ILC-VTX: requirements & design

Linear e⁺e[−] collider

- Exhibit milder running conditions than pp/LHC
 - Relaxed readout-speed & radiation tolerance
- Favours technologies focusing on resolution & material budget
 - \Rightarrow CMOS Pixel Sensors (CPS)



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ILC-VTX requirements

• Physics performances: $\sigma_{h} < 5 \oplus 10/p\beta \sin^{3/2}\theta \mu m$

 $\Rightarrow \sigma_{sp} \sim 3 \ \mu m \ (\sim 17 \ \mu m \ pitch) \ \&$ low material budget (~0.15% X₀/layer)

- Occupancy ⇔ readout-speed: few % occupancy (~5 hits/cm²/BX)
- Moderate radiation tolerance (/year): ~100kRad ⊕ 10¹¹ n_{en}/cm²



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ILC-VTX requirements

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ILD-VTX design: 3 x double-sided ladders

- Alignment & tracking improvement (pointing)
- 1 support/2-layers ⇒ lower material budget
- Background rejection capabilities?





CPS State-of-the-Art in operation: STAR-PXL detector

STAR-PXL @ RHIC 1st CPS @ a collider experiment!





ULTIMATE Sensor (Mimosa28)



- Rolling shutter r.o. ($t_{r.o.} \lesssim 200 \ \mu s$)
- $T_{operation} = 30 35^{\circ}C$
- $\epsilon_{det} \gtrsim 99.9\% \ \sigma_{sp} \gtrsim 3.5 \ \mu m \ \& f_{rate} \lesssim 10^{-5}$
- Rad. Hard: ≥ 150 kRad $\oplus 3 \times 10^{12}$ n_{eq}/cm²

STAR-PXL HALF-BARREL (180M pixels)

- 2 layers @ r = 2.8, 8 cm
- 20 ladders (10 sensors/ladder) (0.37% X₀)

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Several Physics-runs

- 1st /2nd run in 2014/2015
- Currently 3rd run (Since Jan. 2016)
 - $\sigma_{ip}(p_T)$ matching requirements ~40 μm @ 750 MeV/c for K[±]

Observation of D⁰ production

- **STAR:** peak significance = 18
- **ALICE:** peak significance = 5

CPS @ PICSEL - IPHC: A long term R&D



CPS @ PICSEL - IPHC: A long term R&D



Next challenge: ALICE-ITS upgrade



- Upgraded ALICE-ITS (Installation during LS2)
 - **Present detector:** 2xHPD/2xDrift-Si/2xSi-strips
 - Future detector: 7-layers with CPS (25k sensors)
 - \Rightarrow 1st large tracker entirely based on CPS (~ 10 m²)



Requirements for ITS inner & outer layers (comparison with STAR-PXL chip)

	σ_{sp}	$t_{r.o.}$	Dose	Fluency	T_{op}	Power	Active area
STAR-PXL	$<$ 4 μm	$<$ 200 μs	150 kRad	$3{\cdot}10^{12}~{ m n}_{eq}/{ m cm}^2$	30-35°C	160 mW/cm^2	0.15 m^2
ITS-in	\lesssim 5 μm	\lesssim 30 μs	2.7 MRad	$\textbf{1.7.10}^{13}~\textbf{n}_{eq}/\textbf{cm}^2$	30°C	$<$ 300 mW/cm 2	$0.17 \mathrm{~m}^2$
ITS-out	\lesssim 10 μm	\lesssim 30 μs	100 kRad	$1\cdot 10^{12} \mathrm{~n}_{eq}/\mathrm{cm}^2$	30°C	$<$ 100 mW/cm 2	\sim 10 m 2

 \Rightarrow 0.35 μm CMOS process (STAR-PXL) marginally suited to r.o. speed & radiation hardness

Transition to new CMOS process for improving readout speed and radiation hardness \Rightarrow Tower-Jazz 0.18 µm CIS

ALICE-ITS upgrade: 2 r.o. architectures R&D





MISTRAL-O: prototypes tested on beam

Full Scale Building Block (FSBB) sensor

- Full 2-row r.o. chain & 2D sparsification $t_{ro} = 40 \ \mu s$
- Sensitive area (~1 cm²) ≈ final building bock
- Similar Nb of pixels (~170k) to final chip
- Epi-layer: high-ρ 18 μm thick
- **BUT:** small pixels (22x32.5 μm²) & sparsification circuitry is oversized (power!)
- Tested in 2015 @ DESY (3-6 GeV/c e⁻) & CERN (120GeV/c π⁻)

Large-pixel prototype (MIMOSA-22THRb)

- Two slightly different large pixels
 - > $36x62.5 \ \mu m^2$ & $39x50.8 \ \mu m^2$
- Pads over pixel (3ML used for in-pixel circuitry)
- Epi-layer: high- ρ 18 μ m thick
- BUT: only ≤ 10 mm², 4k pixels & no sparsification
- Tested @ Frascati (450 MeV/c e⁻) March 2015



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Asynchronous r.o. architecture: ALPIDE

- Concept similar to hybrid pixel r.o. architecture
- Continuously power active in each pixel
 - FEE: single stage amplifier (~100) + shaping (~5 μs) / current comparator
 - Dynamic memory cell, ~80fF storage capacitor which is discharged by an NMOS controlled by the FE
- **Data driven readout** \Rightarrow only zero-suppressed data transferred to periphery



pALPIDE-3 pixel layout

Sensor Integration in Ultra-Light devices



- Plume 02 prototype: 6 ladders for 2016
 - Reduced material budget: $\rightarrow 0.35/0.42 \% X_0$ (Al/Cu flex PCB)



Plume 02 fully functional prototype

Application @ SuperKEK-B

-10

10

track-hit position (µm)

15

20

Beam-background measurement @ Belle II

-15

- 2 Plume 02 ladders will be installed inside Belle II inner volume in 2017
- MIBEL project (ANR-2016): 1st stage cleared
 - PI: Isabelle Ripp-Baudot (IPHC)

On-chip background rejection with Neural Network



- Neural Network (NN) on chip
- NN advantages
 - Reconstruction of particle incident angles ($\theta \& \phi$)
 - Potential reduction of bandwidth & power
- NN application @ ILC
 - Filter beam-background hits in VTX
 - Improve double-sided ladder hit association

CIRENE (Défi instrumentation aux limites 2016 CNRS)

- PI: Auguste Besson (IPHC)
- Success (with Bourgogne University)
- 2016
 - NN proof of principle (implemented in FPGA)
 - > Data taking with different sources (lases, β^{\pm} , ...)
- 2017: summit a dedicated chip

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80

100

120

140

160

) 180 0 [dea]

Improving epitaxial-layer depletion

- Motivations
 - **HEP:** faster signal \Rightarrow better tolerance to NIEL
 - X-Ray detection: thicker sensitive volume
- Beam-test @ SOLEIL (Mi26 sensor)
 - Validation of rolling shutter architecture
- Pegasus-1/2 prototypes (Tower/Jazz 0.18 μm CIS)
 - $V_{Bias} = 0 30 V + AC$ -coupling to Pre-Amp
 - 56 x 8 pixels (25 μ m pitch)
 - Epi-layer: 18 μm & 1 k\Omega cm
 - TN \approx 16 ± 1 e⁻ ENC @ 10°C

SYNAPS project partnership with Set Ell

- High granularity/counting-rate CPS for soft X-ray detection (0.1 5 keV)
- SYNAPS project (ANR-2016): 1st stage cleared
 - PI: Jérôme Baudot (IPHC)





Next-to-next challenge: MVD of CBM @ SIS100



Micro Vertex Detector (MVD)

- Layout: 4 planes of pixels sensors
- Factor 10 improvement in rad. Hardness
- Vacuum compatible
- Operation @ negative T

Sensor properties	FSBB	MIMOSIS-100 (preliminary)
Active surface (mm ²)	9.2 × 13.7	~10 × 30
# pixels (cols \times rows)	416 × 416 (173k)	1500 imes 300 (450k)
Pixel pitch (µm)	22 × 33	22 × 33
Integration time (µs)	40	30
Data rate (Mbps)	2 × 320	> 6 × 320
NEIL (10 ³ n _{eq} /cm ²)	≥ 1 —	3 (*)
TID (MRad)	≥ 1.6	3 (*)
T _{opetation} (°C)	+30	-20

Summary and outlook

- **CPS with rolling shutter r.o. (mainly AMS-0.35 μm process) in use for several years**
 - High precision beam-telescopes: multi-GeV → sub-GeV beams (CERN, DESY, LNF)
 - Vertex detectors for flavour tagging (STAR-PXL, FIRST)
- Ultra-light double-sided ladders
 - Added value for tracking & alignment (studies showed in previous meetings)
 - Ultra-light ladder assembly validated & getting improved ⇒ PLUME collaboration
 - Spin-off (MIBEL): beam-background measurement @ SuperKEKB/Belle II
- Tower-Jazz 0.18 um CIS technology validated for future projects
 - STAR-PXL chip successfully translated \Rightarrow 2-4 faster & \geq 10 times more rad. tolerant
 - Asynchronous r.o. progressing towards $t_{ro} < 10 \ \mu s$ (ALPIDE)
 - ALICE-ITS: 1st large tracker fully based on CPS
- Outlook
 - Depleted epitaxy CPS under study ⇒ SYNAPS project
 - Few μs asynchronous r.o. CPS for CBM @ FAIR & ILC @ Japan



CPS: Development motivation

- CPS triggered by the need of very granular and low material budget sensors
- CPS applications exhibit milder running conditions than at pp/LHC
 - Relaxed readout (r.o.) speed & rad. tolerance



- Application domain widens continuously (existing/foreseen/potential)
 - Heavy-ion collisions
 - STAR-PXL, ALICE-ITS, CBM-MVD, NA61...
 - e⁺e[−] collisions
 - BES-III, ILC, Belle II (BEAST II)
 - Non-collider experiments
 - FIRST, NA63, Mu2e, PANDA, …
 - High-precision beam-telescopes (adapted to medium/low energy e⁺ beams)
 - Few μm resolution @ DUT achievable with EUDET-BT (DESY), BTF-BT (Frascati)

CPS: Main features

The basic working principle

- Secondary charges generated in epi-layer by ionization
 - Signal proportional to epi-thickness
- Charges transport driven by 3 potentials
 - P-well/coll. node/P++ (usually GND/few volts/GND)
- Epi-layer not fully depleted: $d_{dep} \sim 0.3 \sqrt{\rho_{sub} \times U_{bias}}$

 \Rightarrow transport is mix of thermal diffusion & drift

Prominent features



- High granularity \Rightarrow excellent spatial resolution (O(μ m))
- Signal generated in thin (10-40 μ m) epi-layer \Rightarrow usual thinning up to 50 μ m total thickness
- Standard fabrication process ⇒ low cost & easy prototyping, many vendors, …

CPS technology developments

- Mainly driven by commercial applications ⇒ Not fully optimized for particle detection
- R&D largely consists in exploiting as much as accessible industrial processes



CPS performances: Spatial Resolution (σ_{sn})

Several parameters govern σ_{sp}

- Pixel pitch
- Epi-layer: thickness & ρ
- Sensing node: geometry & electrical properties
- Signal-encoding resolution: Nb of bits
- σ_{sp} function of:
 pitch ⊕ SNR ⊕ charge-sharing ⊕ ADCu ⊕ ...
- Pixel-pitch impact (analogue output)
 - Pitch = 10 (40) μ m $\Rightarrow \sigma_{so} \sim 1 \mu$ m ($\leq 3 \mu$ m)
 - Nearly linear improvement in σ_{so} vs pixel pitch



• $\sigma_{sp}^{digi} = pitch/(12)^{1/2}$

 \Rightarrow e.g. σ_{sp}^{digi} ~ 5.7 µm for 20 µm pitch

Significant improvement in σ_{sp} by increasing signal encoding resolution

Nb of bits



3-4

pitch (microns)

1

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12

CPS performances: r.o. speed & rad. hardness







- 15 years of experience of PICSEL group in developing CPS
- Strong collaboration with ADMOS group at Frankfurt

r.o. speed evolution

Two orders of magnitude
 improvement in 15 years of research

Radiation tolerance

- Significant improvement with time
- Sensor validation up to 10 MRad \otimes $10^{14}n_{_{eq}}/cm^2$
- Adequacy to ALICE-ITS and CBM applications

ALICE-ITS: Readout chain components



Typical readout components

- **AMP:** in-pixel low noise pre-amplifier
- Filter: in-pixel filter
- **ADC** (1-bit = discriminator): may be implemented at end-of-column or pixel level
- Zero suppression (SUZE): only hit pixel info is retained and transferred
 - > Implemented at sensor periphery (usual) or inside pixel array
- Data transmission: O(Gbps) link implemented at sensor periphery

r.o. alternatives

- data-driven (asynchronous): only hit pixels are output upon request (priority encoding)
- Rolling shutter (synchronous): || column r.o. reading N-lines at the time (usually N = 1-2)
 - Best approach for twin-well process
 - \Rightarrow trade-off between performance, design complexity, pixel dimensions, power
 - e.g.: Mimosa-26 (EUDET-BT), Mimosa-28 (STAR-PXL)

Synchronous readout Architecture: Rolling Shutter Mode



(Row readout time) \times (No. of Rows)

Ν

- Power: only the selected rows (N=1,2,3 ...) to be readout
- Speed: N rows of pixels are readout in ||
 - > Integration-time (t_{int}) = frame readout time $\Rightarrow t_{int}$

CPS @ PICSEL - IPHC: A long term R&D

Ultimate objective: ILC, with staged performances

...

on-going R&D

HR-CMOS for X-rays (2018)

& CPS applied to other experiments with intermediate requirements



ILC >2020 International Linear Collider



EUDET (R&D for ILC, EU project) STAR (Heavy Ion physics) CBM (Heavy Ion physics) ILC (Particle physics) HadronPhysics2 (generic R&D, EU project) AIDA (generic R&D, EU project) FIRST (Hadron therapy) ALICE/LHC (Heavy Ion physics) EIC (Hadron physics) CLIC (Particle physics) BESIII (Particle physics)

<u>CBM >2018</u>

Compressed Baryonic Matter

RICH mirror

RICH rediat

Dipole magne

Silicon tracker

enio

STAR 201



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Example of Application : Upgrade of ALICE-ITS

- ALICE Inner Tracking System (ITS) foreseen to be replaced during LS2/LHC
 - \rightarrow higher luminosity (\equiv collision rate), improved charm tagging
- Expected improvement in pointing resolution and tracking efficiency



CMOS Process Transition: STAR-PXL \rightarrow ALICE-ITS



- Use of PMOS in pixel array not allowed
 ⇒ parasitic q-collection of additional N-well
- Limits choice of readout architecture strategy
- Already demonstrated excellent performances
 - **STAR-PXL:** Mi-28 (AMS 0.35 μ m process) $\Rightarrow \varepsilon_{det} > 99.5\%, \sigma_{sp} < 4\mu m$
 - ² 1st CPS detector @ collider experiment







- N-well of PMOS transistors shielded by deep P-well \Rightarrow both types of transistors can be used
- Widens choice of readout architecture strategies
 - New ALICE-ITS: 2 sensors R&D in || using TowerJazz CIS 0.18 um process (quadru. well)
 - → Synchronous Readout R&D: proven architecture ⇒ safety
 - Asynchronous Readout R&D: challenging



ALICE-ITS: Boundaries of the CPS Development

New fabrication process (TowerJazz CIS 0.18 μm)

- Expected to be ration tolerant enough
- Expected to allow for fast enough readout
- Larger reticule: ~ 25 x 32 mm²
- Drawback of smaller feature size
 - 1.8 V operative voltage (instead of 3.3 V)

 \Rightarrow reduced dynamics in signal processing circuit & epi-layer depletion voltage

• Increase risk of Random Telegraph Signal (RTS) noise

Requirements of the larger surface to cover: Mainly outer layers

- Good fabrication yield ⇒ sensor design robustness
- Mitigate noisy pixels
- Sensor operation stable along 1.5 m ladder (voltage drop)
- Minimize material budget
 - Minimize power consumption
 - Minimal connexions to the outside

STAR-PXL ALICE-ITS added-value $0.35 \, \mu m$ **0.18** μm speed, TID, power 6 ML 4 ML speed, power twin-well quadruple-well speed, power EPI 14/20 μm EPI 18/40 μm SNR $EPI \gtrsim 0.4 \ k\Omega \cdot cm$ EPI \sim 1 - 8 k $\Omega \cdot cm$ SNR. NITD

Main MIMOSA-22THRb detection performances (1/2)



Validation of large pixel design for the outer layers of the ALICE-ITS!

Main FSBB-M0 detection performances (1/3)



Detection performances stability

- Same results obtained @ DESY (4.5 GeV/c e^{-}) and CERN-SPS (120 GeV/c π^{-})
- Same results for different particles rates: 1 25 hits/frame
- Robust performances in terms of operation parameters

Main FSBB-M0 detection performances (2/3)

Spatial resolution vs cluster pixel size



Main FSBB-M0 detection performances (2/3)

Spatial resolution vs cluster pixel size



U residue (µm)

U residue (um)

Main FSBB-M0 detection performances (2/3)

0

Spatial resolution vs cluster pixel size



- Telescope pointing resolution $\sim 2 \,\mu m$
- Charge sharing depends on track impinging position w.r.t coll. diode
- Spatial resolution is mostly dependent on # pixels/cluster
- $σ_{sn}$ (Mult=1) ~ 4.2 μm < $σ^{digi}_{sn}$ ~ 7.8 μm
- Staggering mitigates $\sigma_{s_{D}}$ difference in raw/column directions

Residue RMS in the raw/column parallel direction as a function of cluster pixel multiplicity



Main FSBB-M0 detection performances (3/3)

Study of rad. tolerance @ T ≥ 30 °C: loads relevant to ALICE-ITS inner layers

• Load: 1.6 MRad \oplus 10¹³n_{ed}/cm²



ALPIDE Detection Performance Assessment

- ALPIDE-2 beam tests :
 - Final sensor dimensions : 15 mm \times 30 mm
 - $_{\circ}$ About 0.5 M pixels of 27 $\mu m imes$ 29 μm
 - Various sensing node geometries studied
 - Substrate reverse biased for the sake of SNR
 - \hookrightarrow default : - 6 V
 - Possibility to mask pixels (fake rate mitigation)



Spacing

D+ p' Diameter

nwell

Spacing

p-

D

10-11

Performances vs pitch (simulations)

9 Resolution (deg)

Resolution (deg)

CIRENE



Performances vs ADC (for pitch = $20 \ \mu m$)



CIRENE.

Performances vs ADC (for pitch = $15 \mu m$)







Improving epitaxial-layer depletion: via sensing diode

Energy of the identified peak [keV]

- Pegasus-2 sensor:
 - Tower-Jazz 0.18 CIS process
 - $_\circ~$ 56 x 8 pixels (25 μm pitch)
 - $\circ~$ Epitaxy: 18 μm , 1 k $\Omega \cdot cm$ predominantly depleted
 - $_{\circ}~$ TN \simeq 16 \pm 1 e^-ENC at 10 $^{\circ}$ C







Vertexing, tracking and alignment studies

Tracking with mivi-vectors (G. Voutsinas PhD thesis, now @ DESY)



Alignment with mini-vectors (L. Cousin PhD Thesis, defended in 2015)

- Use beam-backgrounds particles
 ⇒ low momentum tracks (p ≥ few 100 MeV/c)
- Quick alignment (~10k tracks/hour)
- Expect a sub-micron precision

