

# Nuclear Matrix Elements for Neutrinoless Double-Beta Decay

J. Engel

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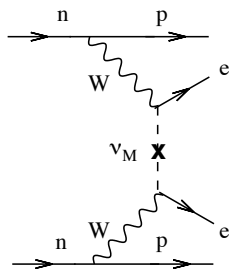
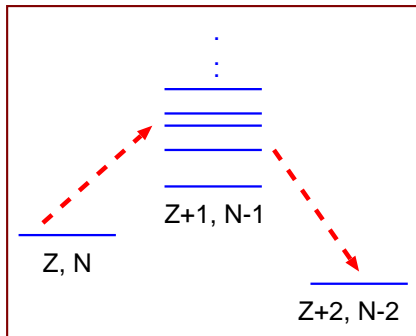


# $0\nu \beta\beta$ Decay

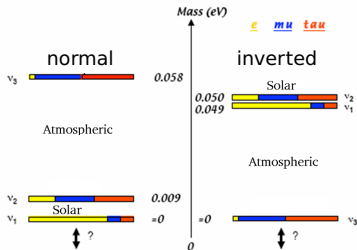
If energetics are right (ordinary beta decay forbidden)...

and neutrinos are their own antiparticles...

can observe two neutrons turning into protons, emitting two electrons and nothing else, e.g. via



# Significance



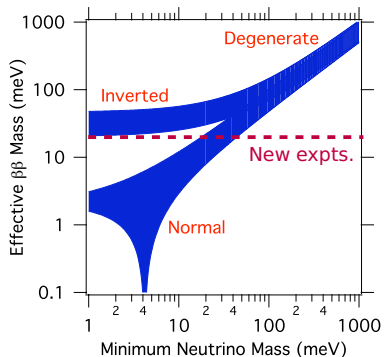
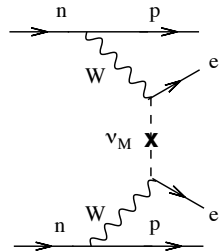
In usual scenario, rate depends on effective neutrino mass:

$$m_{\text{eff}} \equiv \sum_i m_i U_{ei}^2$$

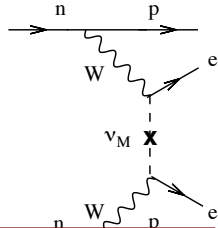
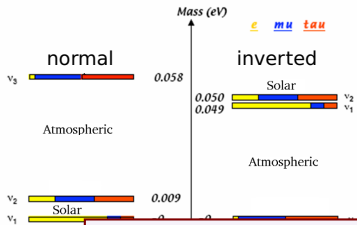
If lightest neutrino is light:

▶  $m_{\text{eff}} \propto \sqrt{\Delta m_{\text{sol}}^2}$  **normal**

▶  $m_{\text{eff}} \propto \sqrt{\Delta m_{\text{atm}}^2}$  **inverted**



# Significance



But rate also depends on a nuclear matrix element.

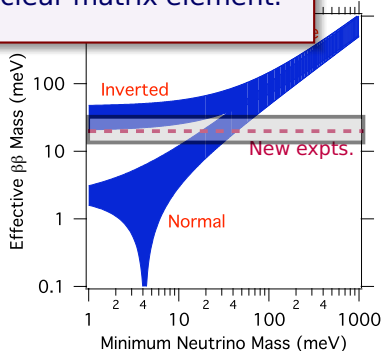
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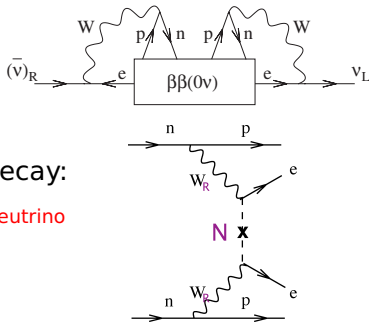


# Other Mechanisms Can Contribute

If neutrinoless decay occurs then  $\nu$ 's are Majorana, no matter what:

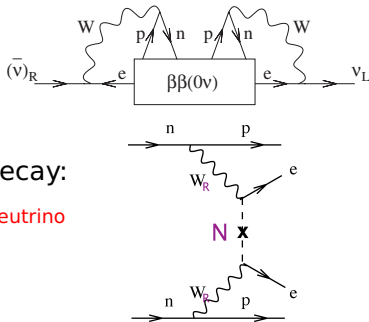
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Exchange of heavy right-handed neutrino  
in left-right symmetric model.



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Amplitude of exotic mechanism:

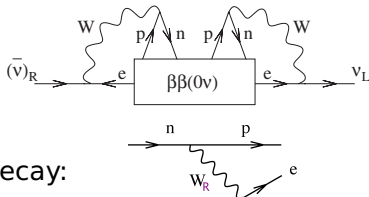
$$\frac{Z_{0\nu}^{\text{heavy}}}{Z_{0\nu}^{\text{light}}} \approx \left( \frac{M_{W_L}}{M_{W_R}} \right)^4 \left( \frac{\langle q^2 \rangle}{m_{\text{eff}} m_N} \right) \quad \langle q^2 \rangle \approx 10^4 \text{ MeV}^2$$

$$\approx \mathbf{1} \quad \text{if } m_N \approx 1 \text{ TeV} \quad \text{and} \quad m_{\text{eff}} \approx \sqrt{\Delta m_{\text{atm}}^2}$$

So exotic stuff can occur with roughly the same rate as light- $\nu$  exchange. Untangling would seem to require several expts and accurate nuclear matrix elements for all processes.

## Other Mechanisms Can Contribute

If neutrinoless decay occurs then  $\nu$ 's are Majorana, no matter what:



but light neutrinos may not drive the decay:

But apparently, LHC should either see many such things or rule them out as competition to light- $\nu$  exchange in inverted hierarchy.

Amplit

$$\frac{Z_{0\nu}}{Z_{0\nu}^{\text{light}}} \approx \left( \frac{M_{W_L}}{M_{W_R}} \right) \left( \frac{\langle q^2 \rangle}{m_{\text{eff}} m_N} \right) \quad \langle q^2 \rangle \approx 10^4 \text{ MeV}^2$$

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## Light- $\nu$ -Exchange Matrix Element

$$M_{0\nu} = M_{0\nu}^{GT} - \frac{g_V^2}{g_A^2} M_{0\nu}^F + \dots$$

with

$$M_{0\nu}^{GT} = \langle F | \sum_{i,j} H(r_{ij}) \sigma_i \cdot \sigma_j \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$M_{0\nu}^F = \langle F | \sum_{i,j} H(r_{ij}) \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$H(r) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \quad \text{roughly } \propto 1/r$$

Contribution to integral peaks at  $q \approx 200$  MeV inside nucleus.  
Corrections are from “forbidden” terms, weak nucleon form factors, many-body currents ...



# Nuclear-Structure Methods in One Slide

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- ▶ **Density Functional Theory & Related Techniques:** Mean-field-like theory plus relatively simple corrections in very large single-particle space with phenomenological (perhaps density-dependent) interaction.
- ▶ **Shell Model:** Partly phenomenological interaction in a small single-particle space — a few orbitals near nuclear Fermi surface — but with arbitrarily complex correlations.
- ▶ **Ab Initio Calculations:** Start from a well justified two-nucleon + three-nucleon Hamiltonian, then solve full many-body Schrödinger equation to good accuracy in space large enough to include all important correlations. At present, works pretty well in systems near closed shells up to  $A \approx 50$ .
- ▶ **Interacting Boson Model:** Model for collective states (as bosonic excitations).

⋮



New!

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- ▶ **Interboson** Has potential to combine and ground virtues of shell model and density functional theory. (as

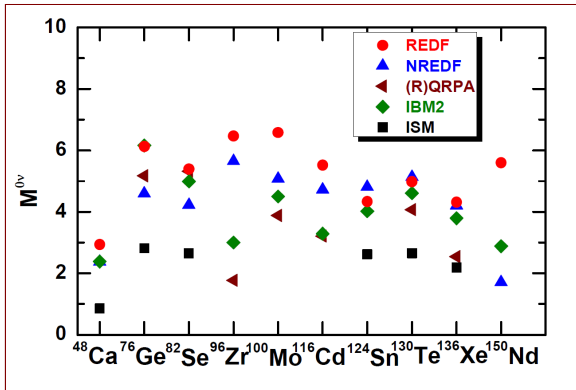


New!

## Level of Agreement So Far

Significant spread.  
And all the models  
could be missing  
important physics.

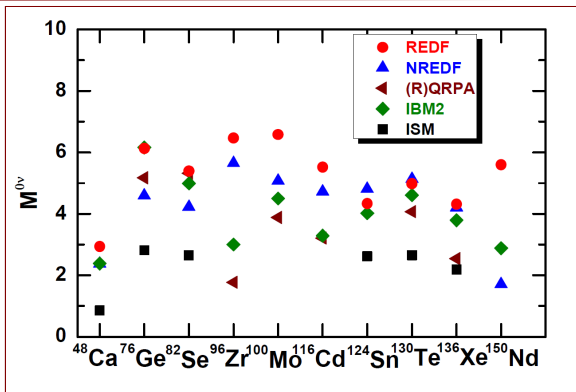
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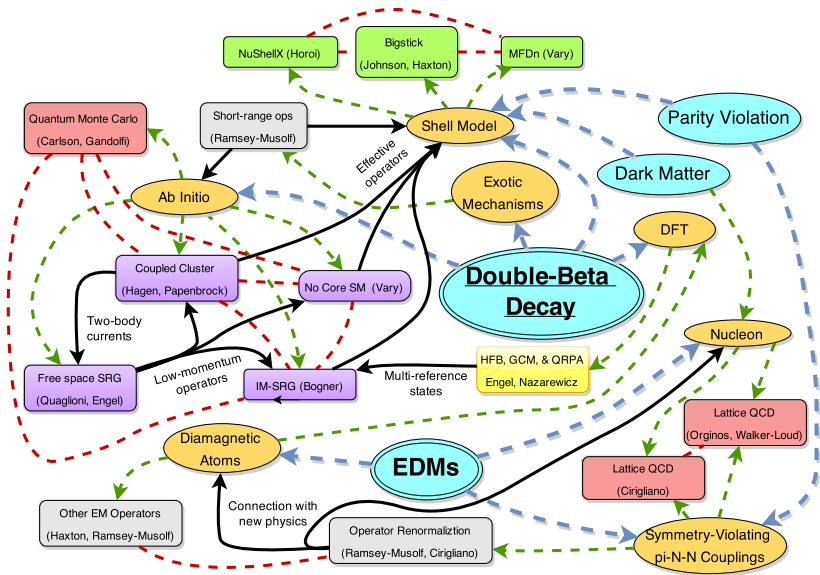
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More computing power and new many-body methods  
responsible for major recent progress in ab initio theory.

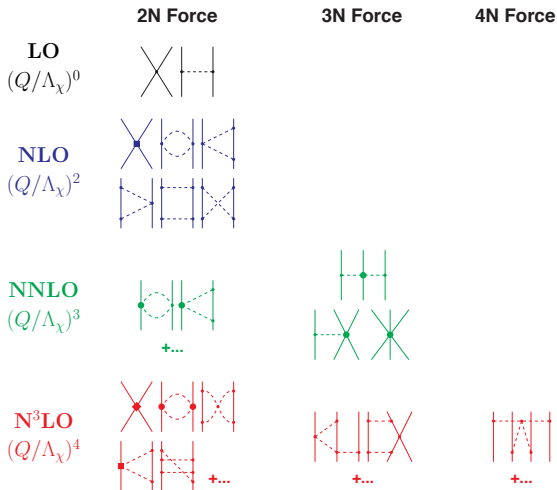
Theorists are organizing; should be able to improve all the  
models above and connect them to ab initio work, reducing  
and quantifying uncertainty.

# $\beta\beta$ and Fund. Symmetries Topical DOE Collaboration



# Ab Initio Nuclear Structure in Heavy Nuclei

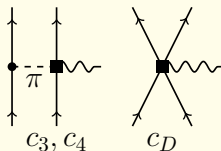
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2N Force      2N Force      4N Force

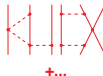


And comes with consistent weak current.

NNLO  
 $(Q/\Lambda_\chi)^3$

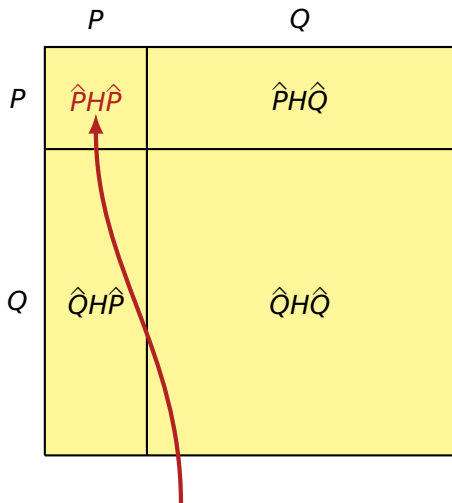


N<sup>3</sup>LO  
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# Ab Initio Shell Model

## Partition of Full Hilbert Space



Shell model done here.

$P$  = valence space

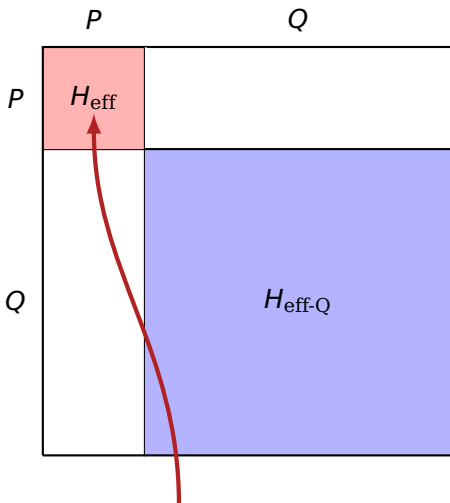
$Q$  = the rest

Task: Find unitary transformation to make  $H$  block-diagonal in  $P$  and  $Q$ , with  $H_{\text{eff}}$  in  $P$  reproducing  $d$  most important eigenvalues.



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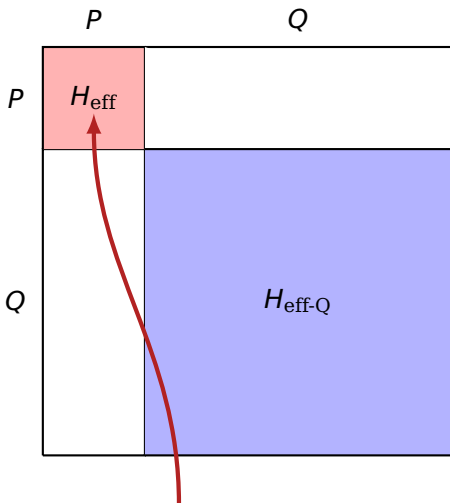
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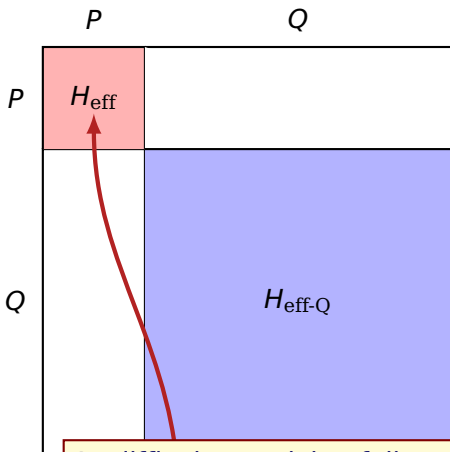
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As difficult as solving full problem. But idea is that N-body effective operators may not be important for  $N > 2$  or 3.

Shell model done here.

# Method 1: Coupled-Cluster Theory

Ground state in closed-shell nucleus:

$$|\Psi_0\rangle = e^T |\varphi_0\rangle \quad T = \sum_{i,m} t_i^m a_m^\dagger a_i + \sum_{ij,mn} \frac{1}{4} t_{ij}^{mn} a_m^\dagger a_n^\dagger a_i a_j + \dots$$

*m,n > F*    *i,j < F*

Slater determinant

States in closed-shell + a few constructed in similar way.

## Construction of Unitary Transformation to Shell Model:

1. Calculate low-lying spectra of  $^{56}\text{Ni} + 1$  and 2 nucleons (and 3 nucleons in some approximation), where full calculation feasible.
2. Do **Lee-Suzuki mapping** of lowest eigenstates onto  $f_{5/2}pg_{9/2}$  shell, determine effective Hamiltonian and decay operator.

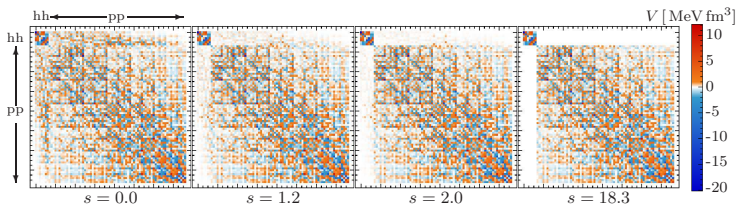
Lee-Suzuki maps  $d$  lowest eigenvectors to orthogonal vectors in shell model space in way that minimizes difference between mapped and original vectors.

3. Use these operators in shell-model calculation of matrix element for  $^{76}\text{Ge}$  (with analogous plans for other elements).

## Option 2: In-Medium Similarity Renormalization Group

Flow equation for effective Hamiltonian. Asymptotically decouples shell-model space.

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = [H_d(s), H_{od}(s)], \quad H(\infty) = H_{\text{eff}}$$

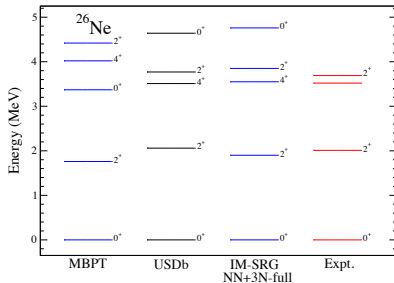
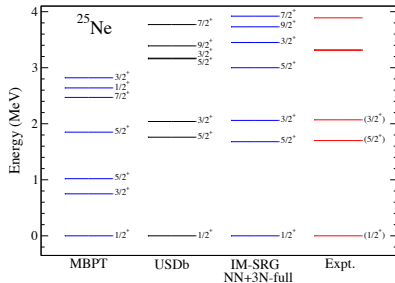
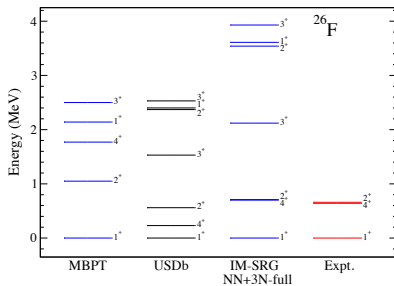
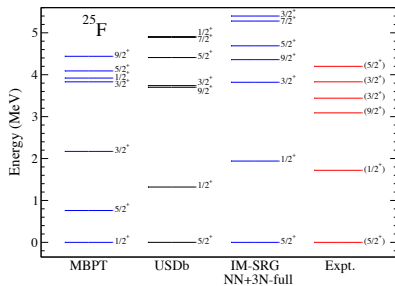


Hergert et al.

If shell-model space contains just a single state, approach yields ground-state energy. If it is a typical valence space, result is effective interaction and operators.

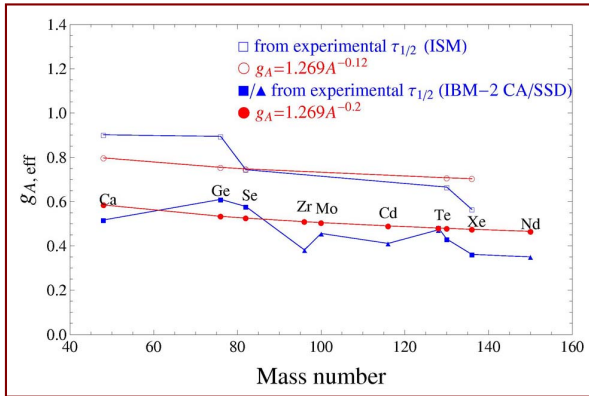
Development about as far along as coupled clusters.  
Beginning to look at renormalization of double-beta operators.

# Preliminary Results in sd Shell



# Issue Facing All Models: “ $g_A$ ”

40-Year-Old Problem Particularly Important in  $\beta\beta$  Decay:  
Effective  $g_A$  needed for two-neutrino decay in shell model and IBM



F. Iachello, MEDEX'13 meeting

If  $0\nu$  matrix elements quenched by same amount, experiments will be less sensitive; rates go like fourth power of  $g_A$ .

# We Should Resolve the Issue Soon

---

Problem must be due to some combination of:

1. Truncation of model space.

Should be fixable in ab-initio shell model, which compensates effects of truncation via effective operators. Will calculate  $\beta$ ,  $2\nu\beta\beta$ , and  $0\nu\beta\beta$  decay, e.g., in  $sd$  shell and compare results with those of phenomenological shell-model with bare decay operators.



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2. Many-body weak currents.

Size still not clear, particularly for  $0\nu\beta\beta$  decay, where current is needed at finite momentum transfer  $q$ .

Leading terms in chiral EFT for finite  $q$  only recently worked out. Careful fits and use in decay computations will happen in next year or two.

## Finally...

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Existence of topical collaboration will speed progress in next few years on this and other fronts:

- ▶  $g_A$  problem
- ▶ Uncertainty quantification
- ▶ Other mechanisms for  $\beta\beta$  decay, short-range physics
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Goal is accurate matrix elements with quantified uncertainty by end of collaboration (5 years from now).

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That's all; thanks  
for listening.