



# The searches for neutrinoless double beta decay and other physics with EXO-200

Yung-Ruey Yen

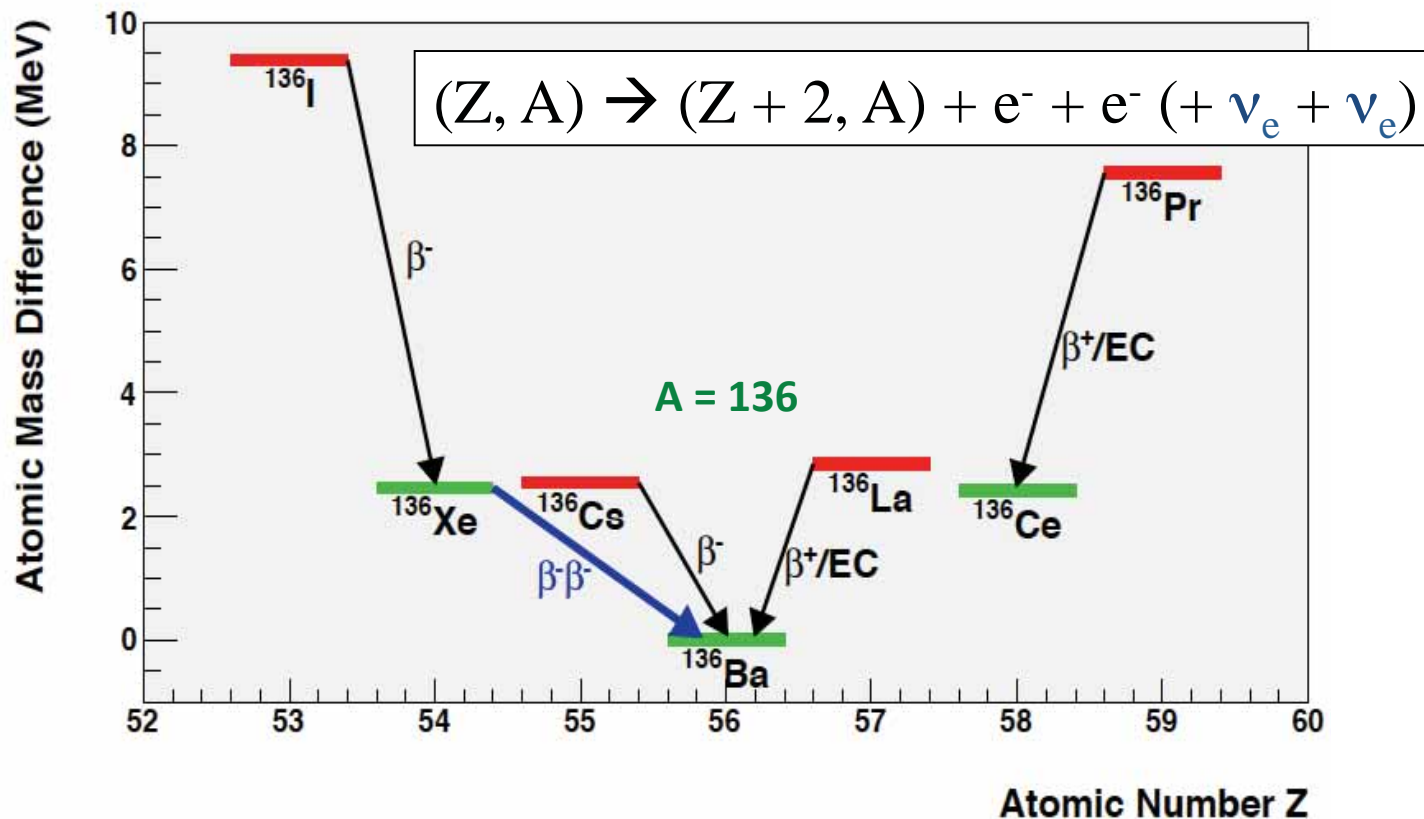
On behalf of the EXO-200 and nEXO collaborations

Drexel University

March 15, 2016



# Double Beta Decay of $^{136}\text{Xe}$

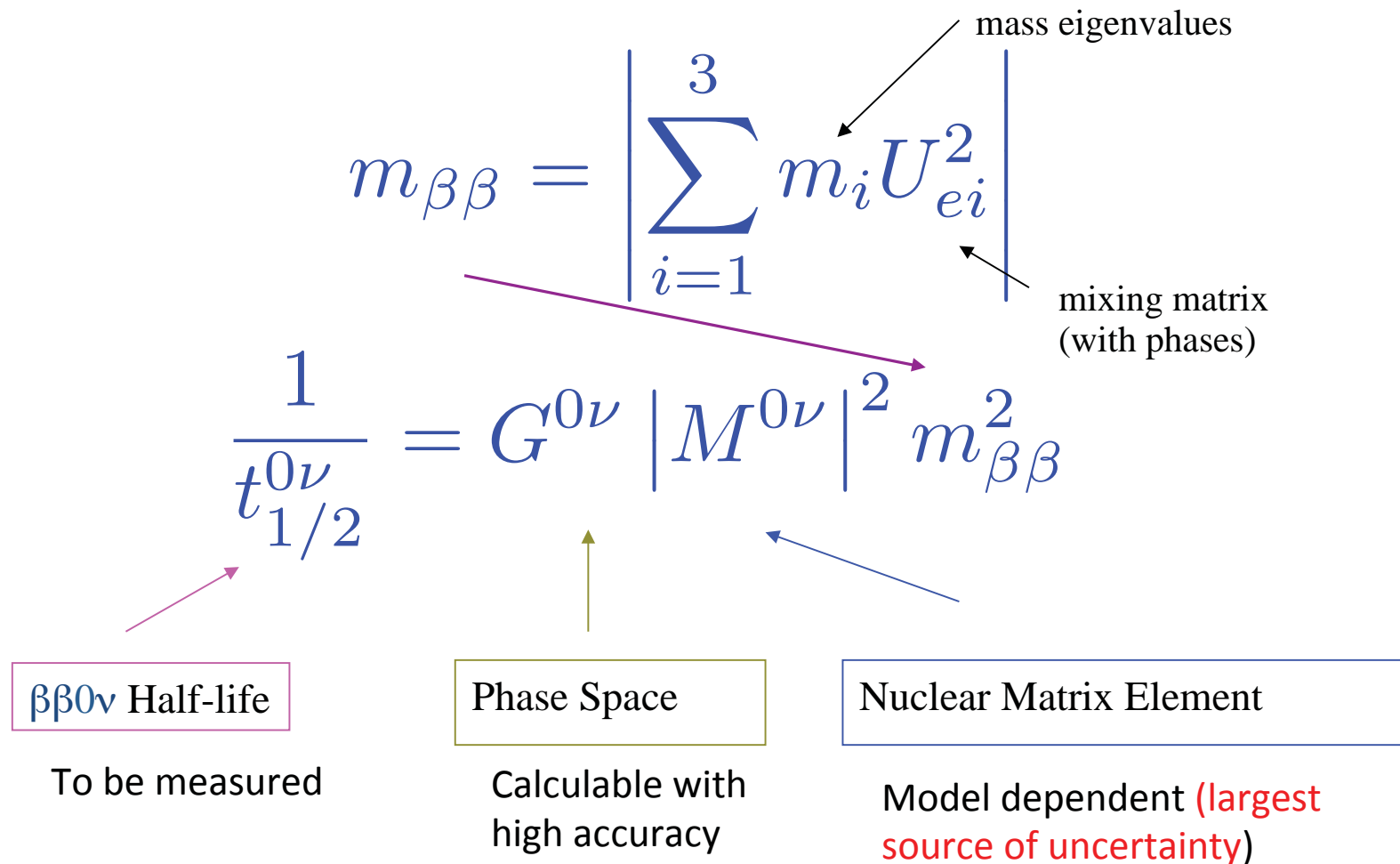


- $^{136}\text{Xe}$ , being the heaviest xenon isotope, is relatively easy to enriched
- Being a noble gas, xenon can be purified *in situ*
- Relatively high Q-value of **2458 keV**



# Mass Measurement with $\beta\beta 0\nu$

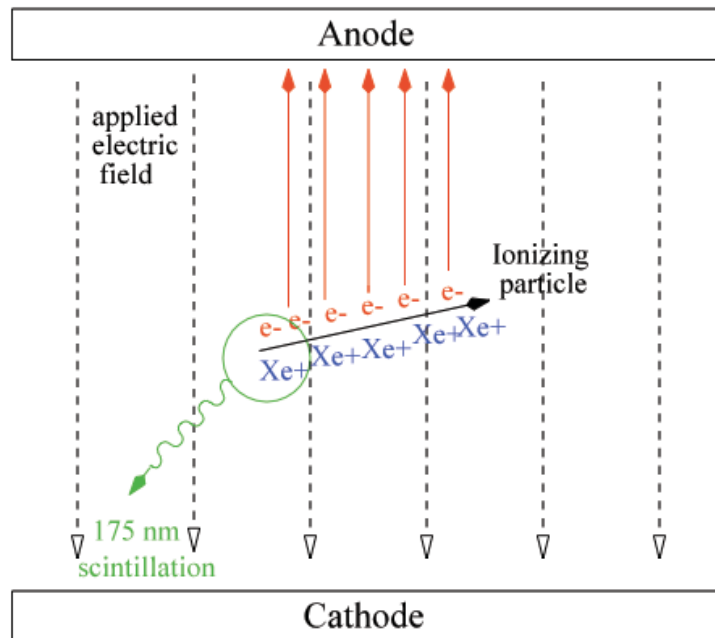
- Half-life depends on the **effective mass**,



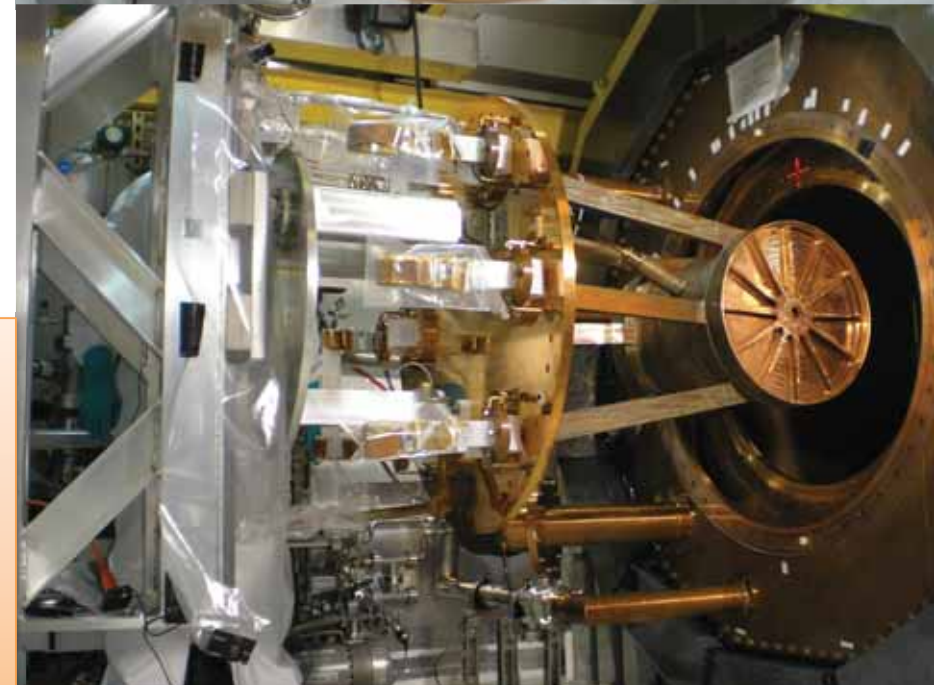
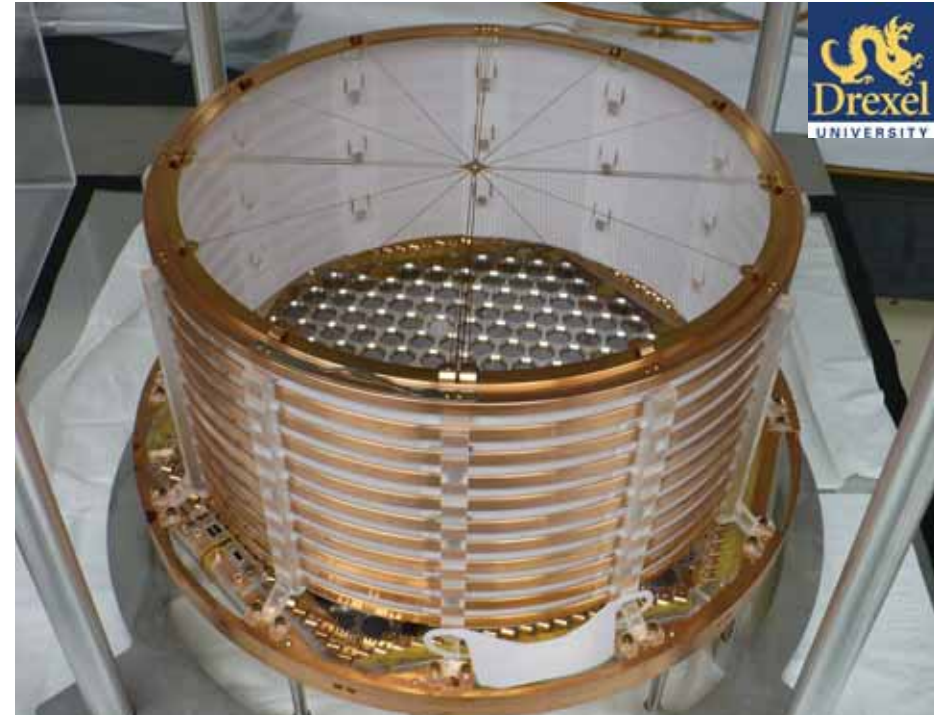


# EXO-200

## Liquid Xe Time Projection Chamber

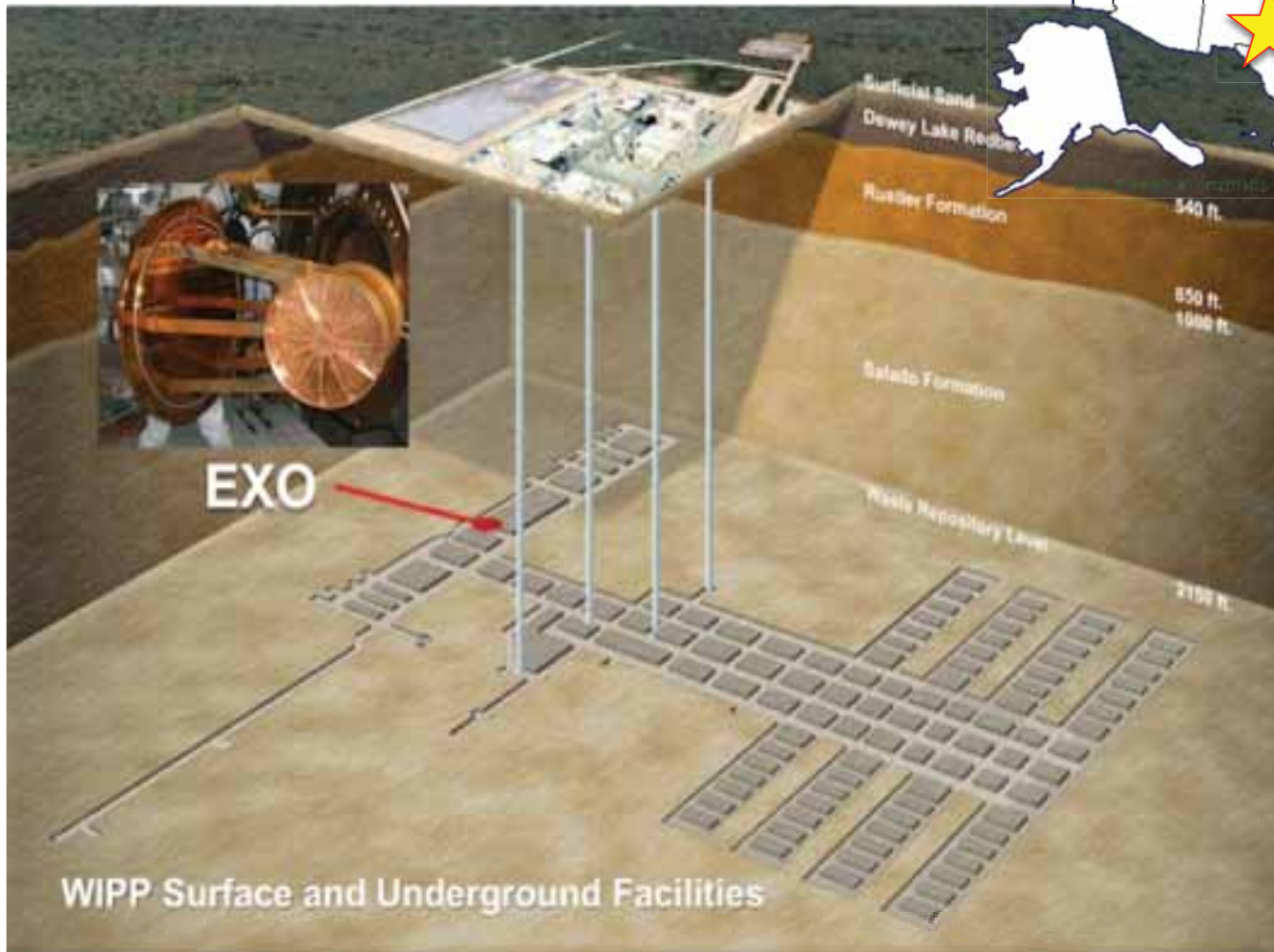
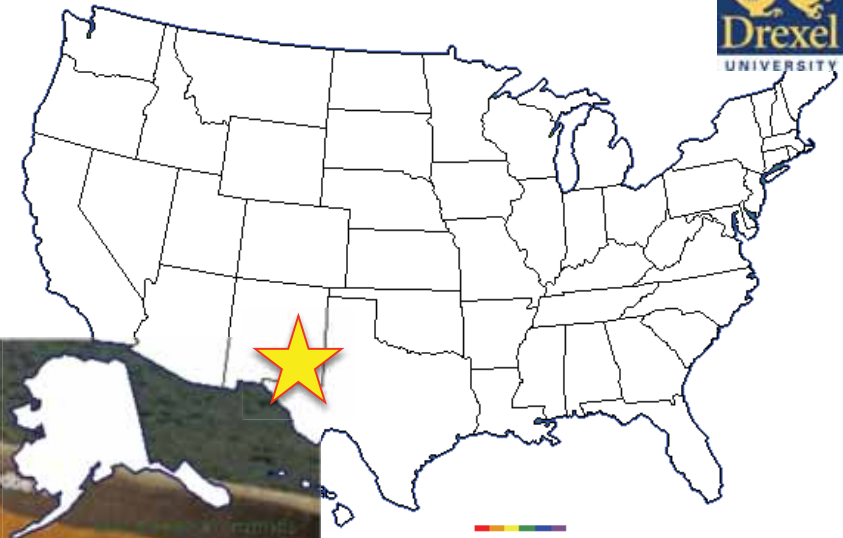


~110 kg active mass Xe enriched to 80% in  $^{136}\text{Xe}$ , ultralow background construction  
Readout plane is made up of LAAPDs (scintillation) + crossed wire grid (ionization)  
Achieve electron lifetime in liquid xenon  $\tau_e > 3$  ms  
Began operating with enriched Xe at the Waste Isolation Pilot Plant (WIPP) in May 2011



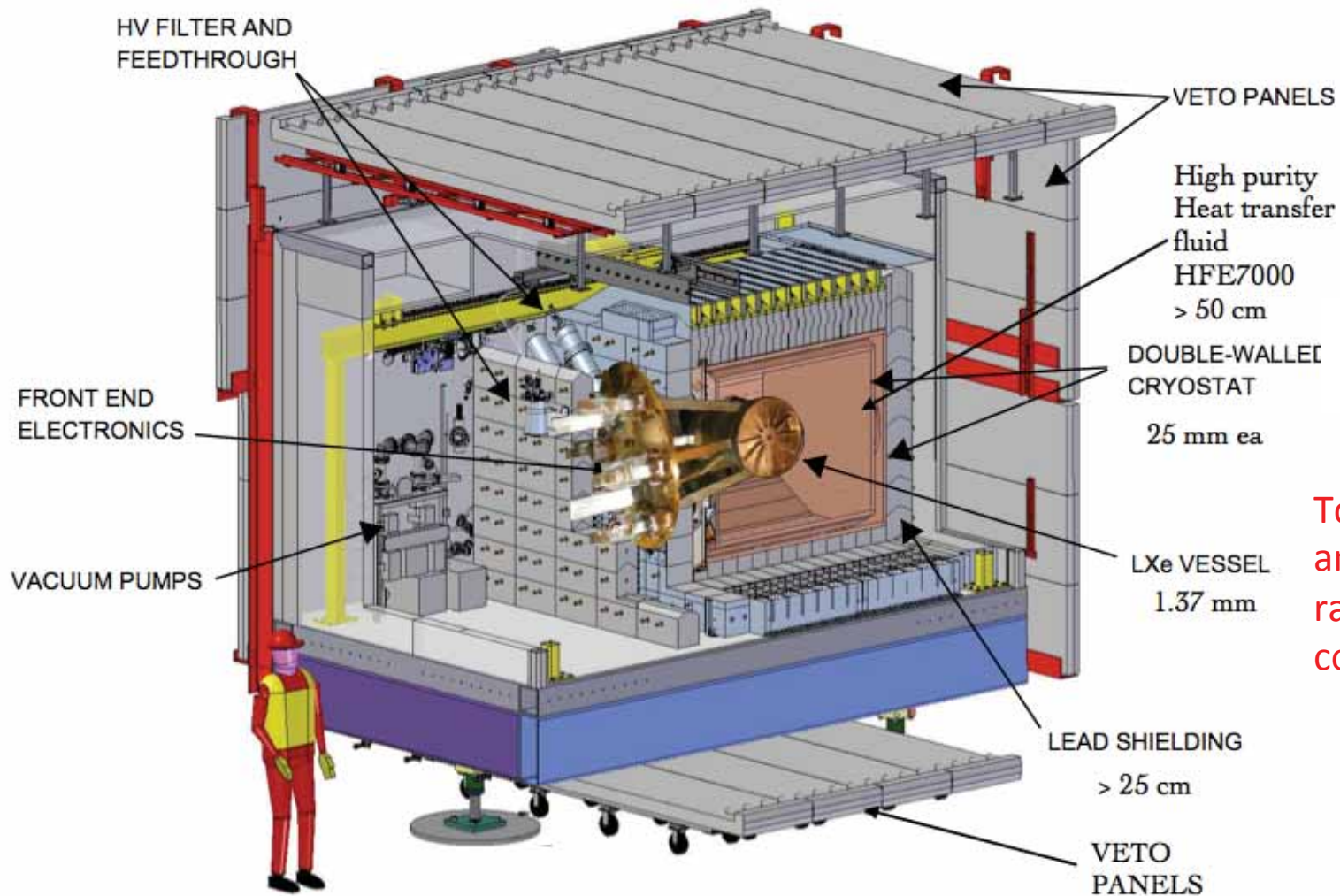


# Underground Detector Site



- Waste Isolation Pilot Plant in New Mexico, USA
- Overburden of 1585 meters water equivalent
- low salt radioactivity

# Active and Passive Shielding

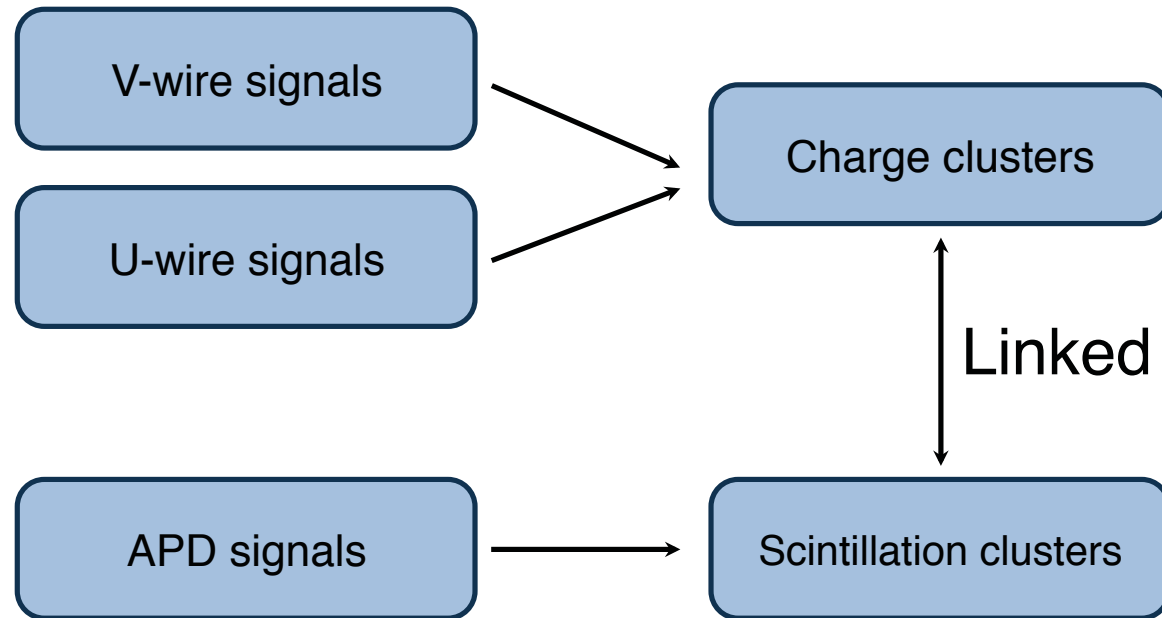


**LXe Vessel  
1.37 mm**

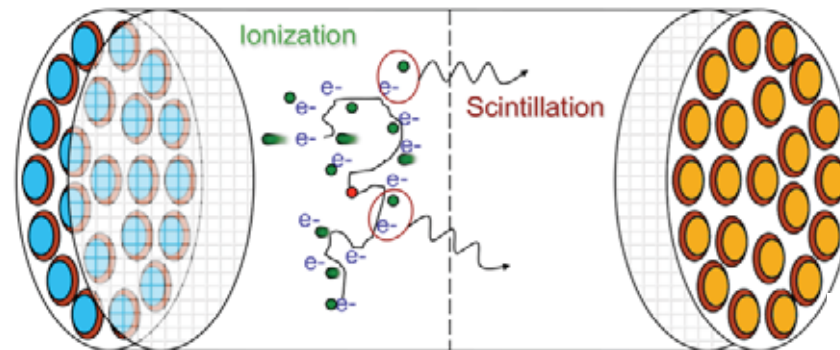
To minimize the amount of natural radioactivity in the copper "balloon"

# Event reconstruction

1. Event position
2. Event multiplicity
3. Energy measurement



**Ability to 100% reconstruct in 3D individual charge cluster down to ~200 keV (limited by induction signal)**

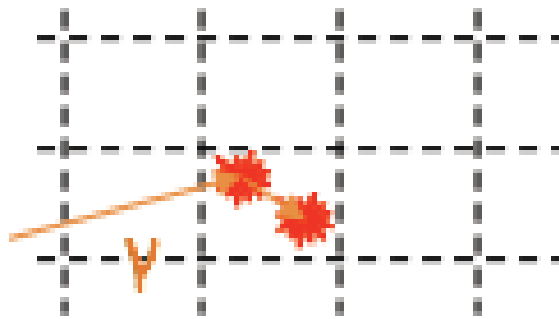




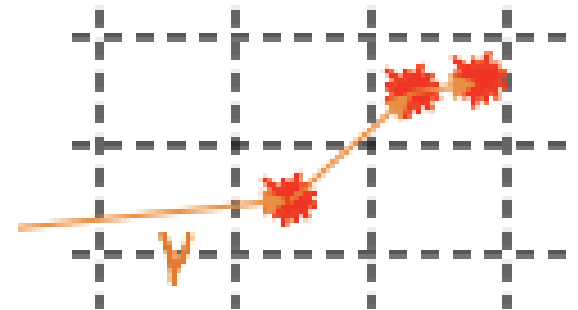
# Position and multiplicity reconstruction



Allows for background measurement and reduction



Single-Site (SS) event  
(1 charge cluster)



Multi-Site (MS) event  
(>1 charge cluster)

**$0\nu\beta\beta$ : ~90% SS**

Wires are ~1 cm apart

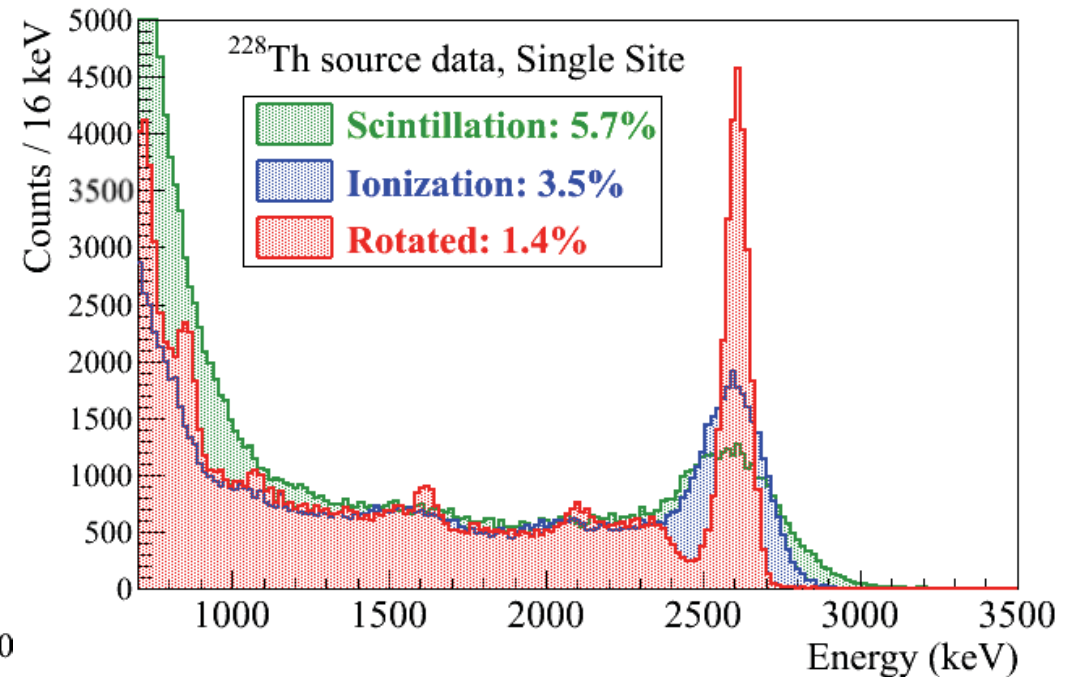
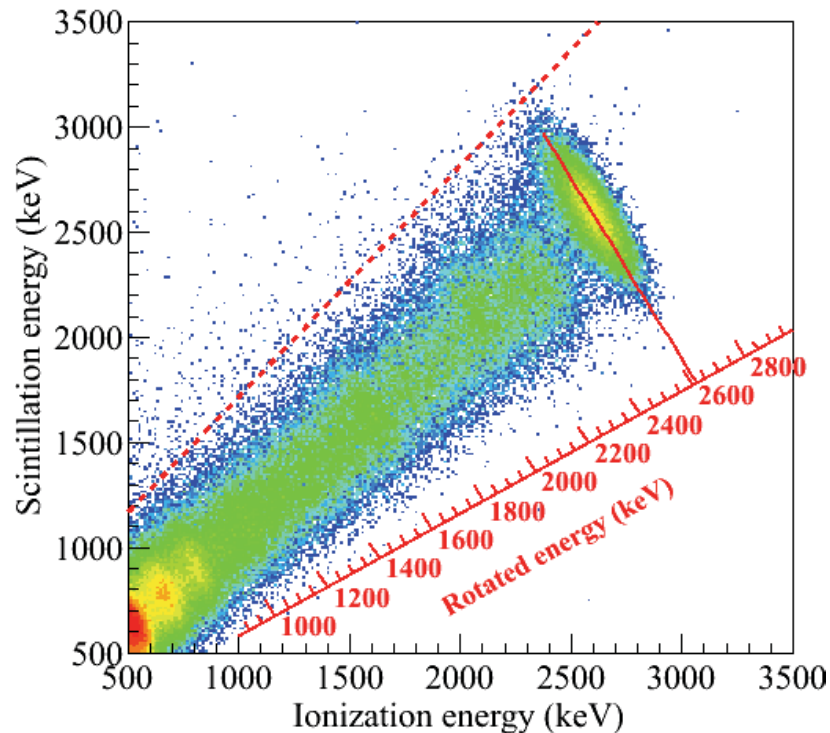
**$\gamma$ -rays: ~30% SS at  $0\nu\beta\beta$  Q-value**

Total error in fiducial volume from position reconstruction: **1.73%**



# Energy measurement

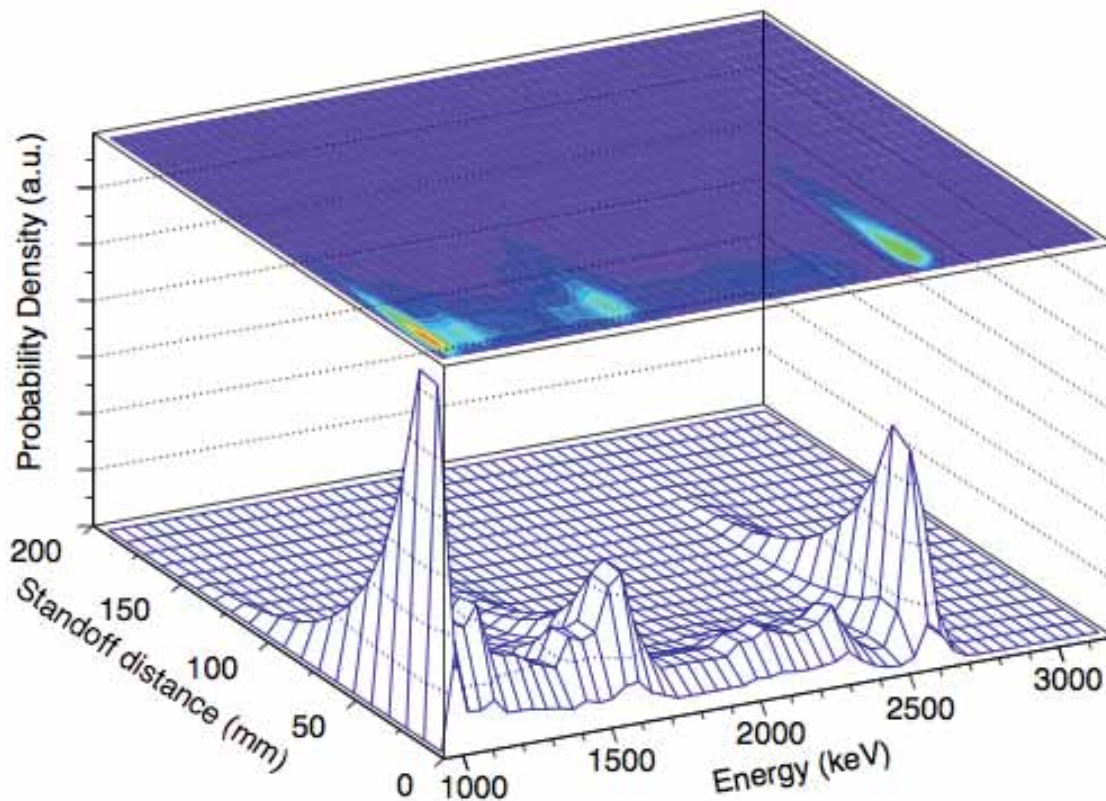
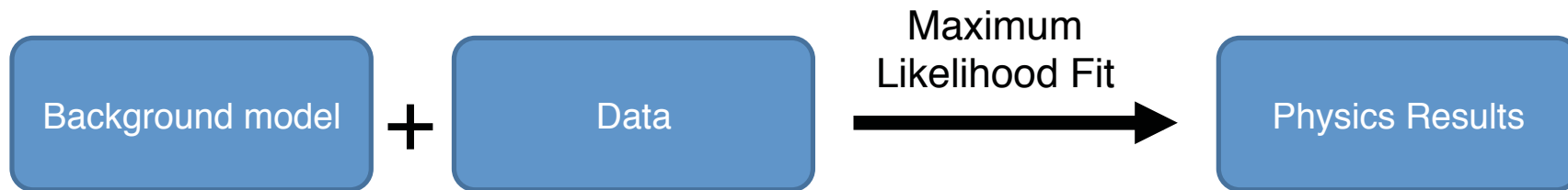
## Anti-correlation of charge and light



- Rotation angle determined weekly using  $^{228}\text{Th}$  source data, defined as angle which gives best rotated resolution
- Energy resolution is dominated by APD noise



# Extracting physics results



- Variables
  - Energy
  - Position (standoff distance)
- Multiplicity
  - SS
  - MS

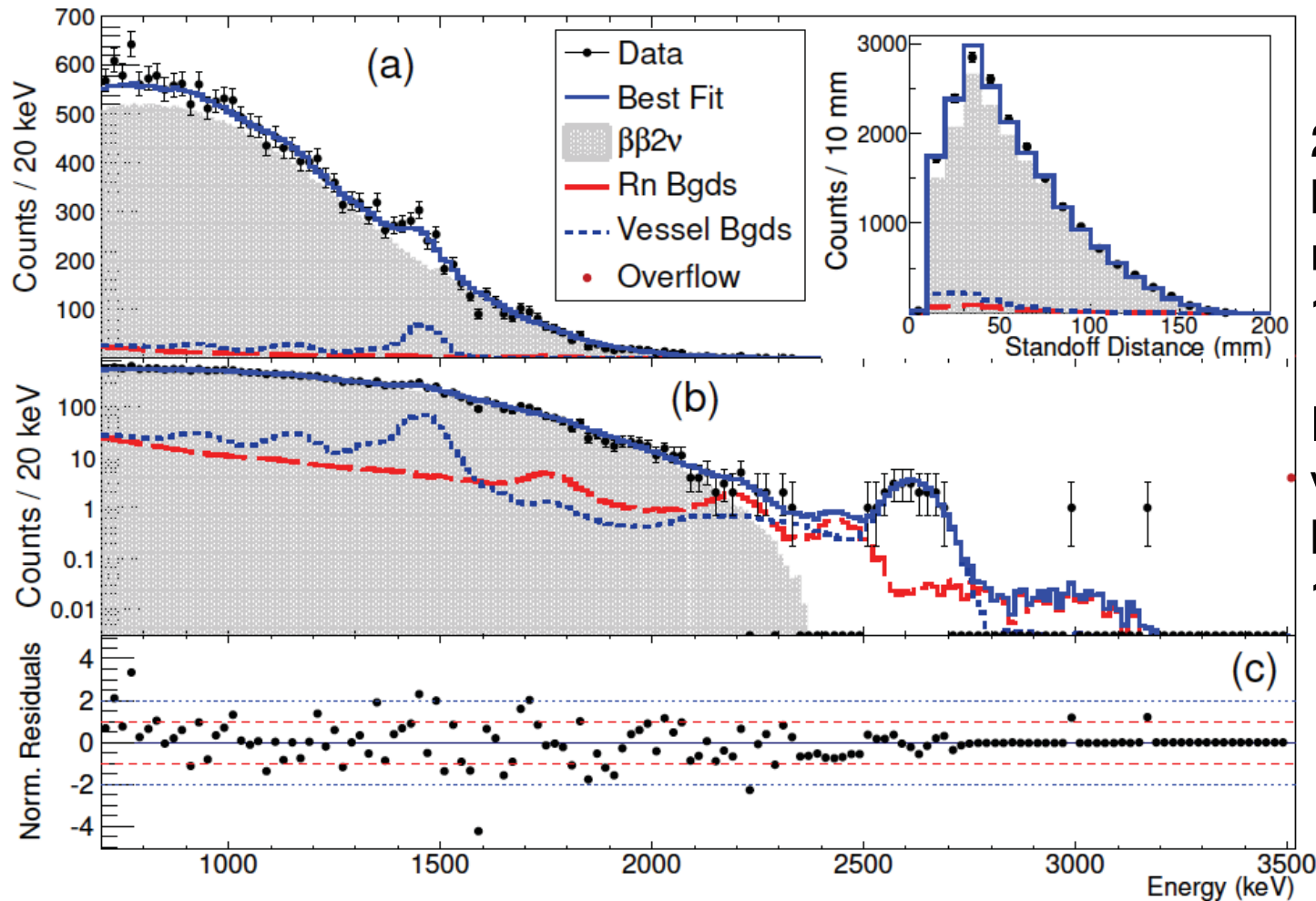
### Standoff Distance

- “Standoff” = closest distance between a charge cluster and detector component
- Gammas will be closer to detector sides,  $\beta\beta 0\nu$  will be evenly distributed in detector

Single site  $^{232}\text{Th}$  Probability Density Function in copper vessel



# $2\nu\beta\beta$ precision measurement



$2\nu\beta\beta$  signal to background ratio:  
**11: 1**

Inner 40% fiducial volume signal to background ratio:  
**19: 1**

Most precise measurement of the  $2\nu\beta\beta$  half-life

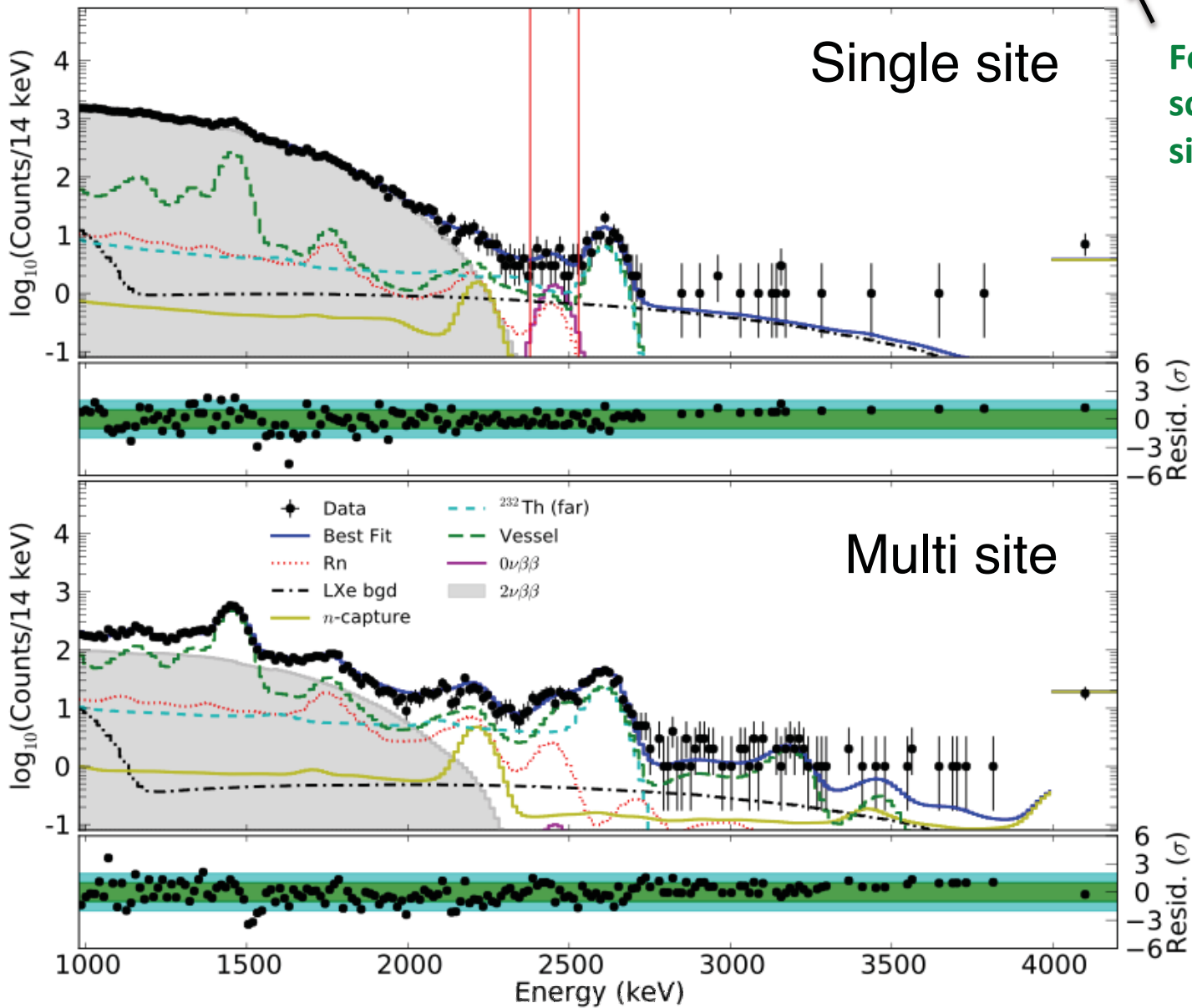
$$T_{1/2}^{2\nu\beta\beta} = 2.165 \pm 0.016(\text{stat}) \pm 0.059(\text{sys}) \times 10^{21} \text{ yr}$$

[PRC **89**, 015502 (2014)]

100 kg · yr  
736 mol · yr  $^{136}\text{Xe}$  exposure

# $0\nu\beta\beta$ Search

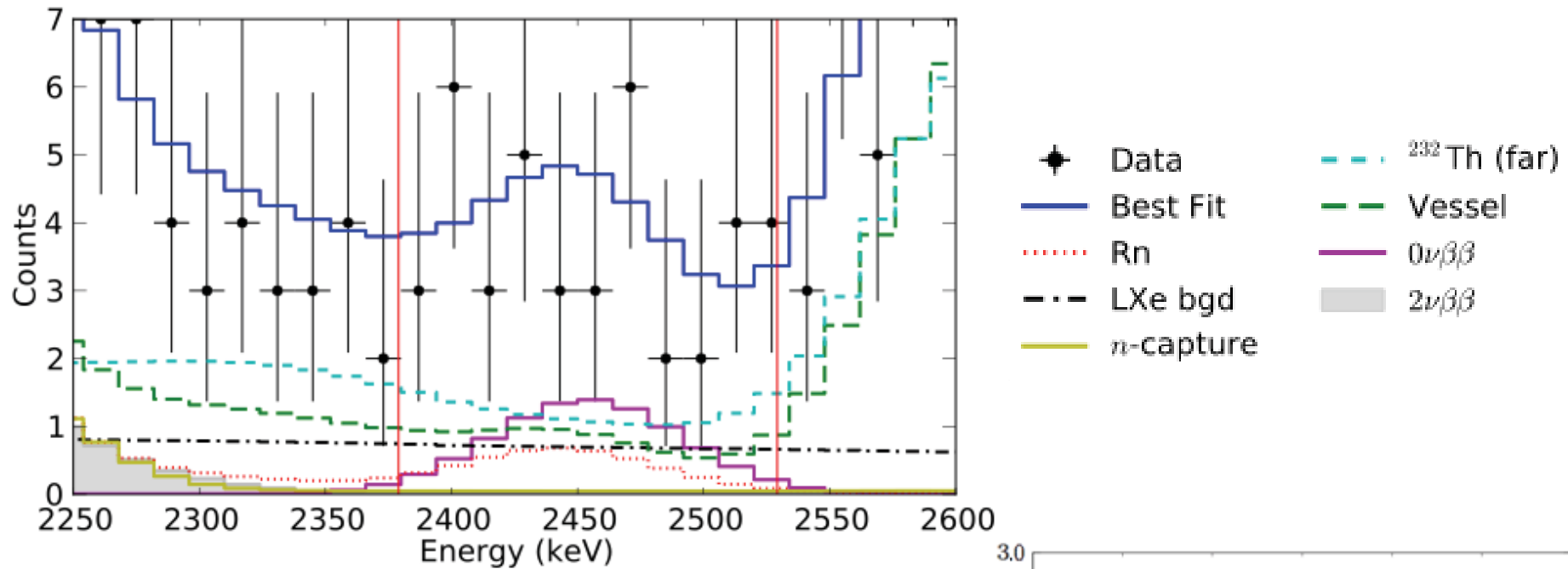
Analysis range:  
980 keV to 9800 keV



For 100%  
scintillation  
signal efficiency



# $0\nu\beta\beta$ Search

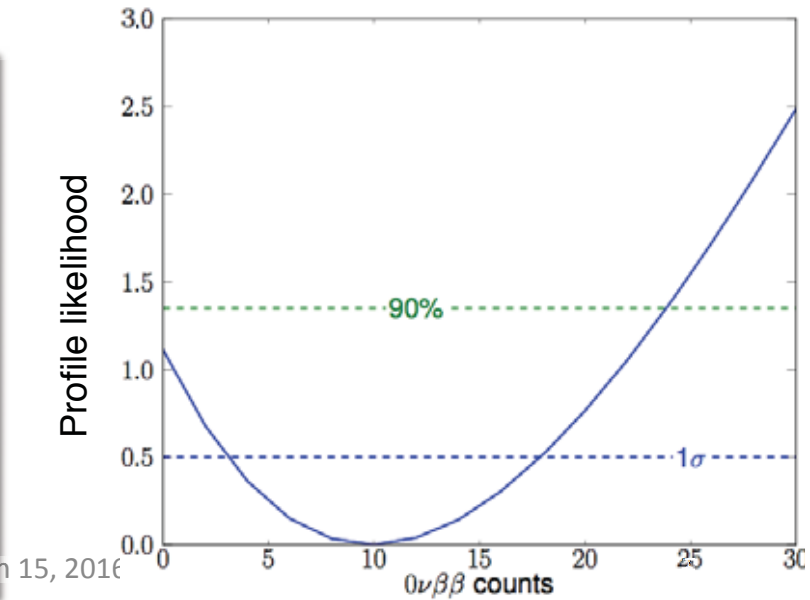


$T_{1/2}^{0\nu\beta\beta} > 1.1 \times 10^{25}$  yr

$\langle m_{\beta\beta} \rangle < 190 - 450$  meV

(90% C.L.)

[*Nature* **510**, 229 (2014)]

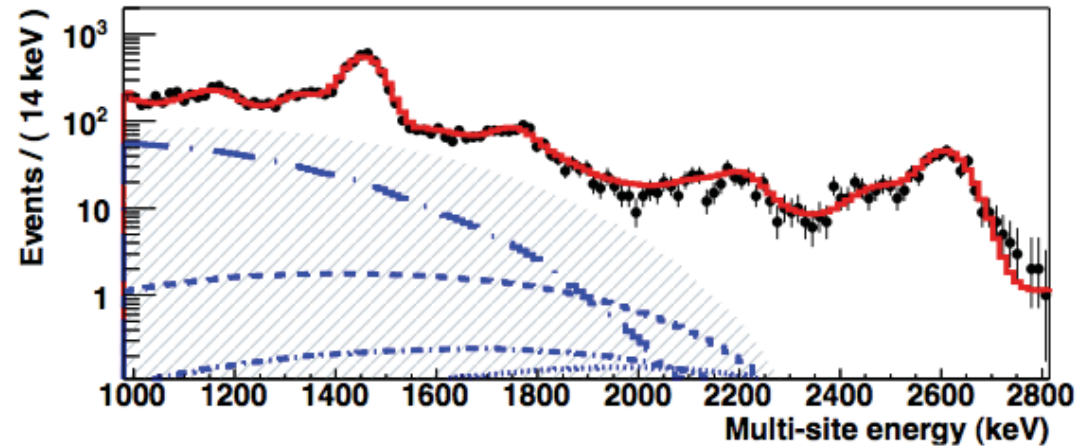
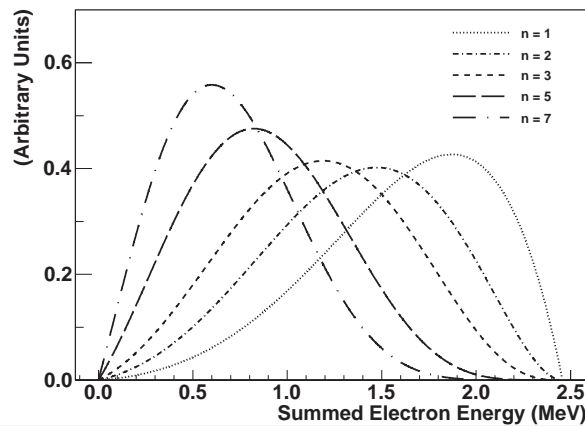
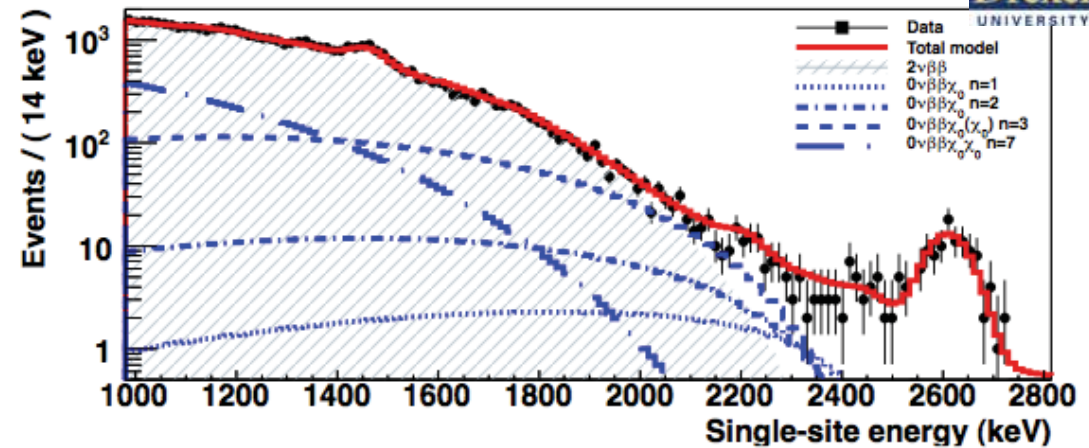




Phys. Rev. D 90, 092004 (2014)

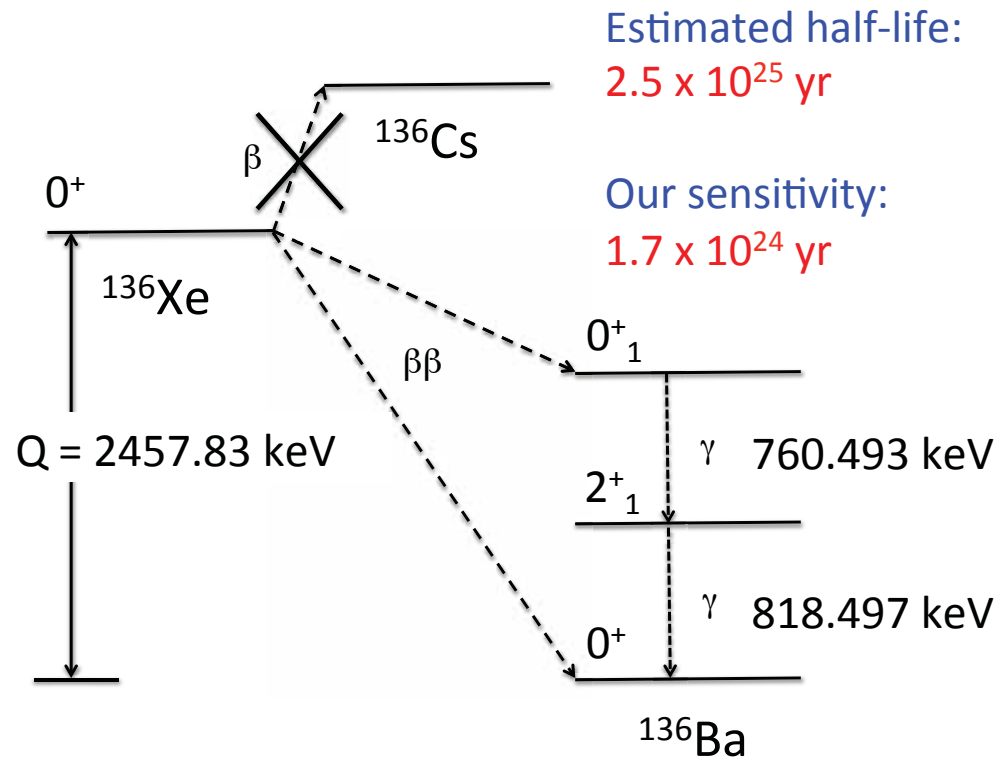
# Majoron Mode Search Results

- \*Data fit for each Majoron mode separately
- \*Background shown is for fit to n=1 mode



| Decay mode                   | Spectral index, n | Model types | $T_{1/2}$ , yr       | $ \langle g_{ee}^M \rangle $ |
|------------------------------|-------------------|-------------|----------------------|------------------------------|
| $0\nu\beta\beta\chi_0$       | 1                 | IB, IC, IIB | $>1.2 \cdot 10^{24}$ | $<(0.8-1.7) \cdot 10^{-5}$   |
| $0\nu\beta\beta\chi_0$       | 2                 | "Bulk"      | $>2.5 \cdot 10^{23}$ | —                            |
| $0\nu\beta\beta\chi_0\chi_0$ | 3                 | ID, IE, IID | $>2.7 \cdot 10^{22}$ | $<(0.6-5.5)$                 |
| $0\nu\beta\beta\chi_0$       | 3                 | IIC, IIF    | $>2.7 \cdot 10^{22}$ | $<0.06$                      |
| $0\nu\beta\beta\chi_0\chi_0$ | 7                 | IIE         | $>6.1 \cdot 10^{21}$ | $<(0.5-4.7)$                 |

# Decay to Excited State



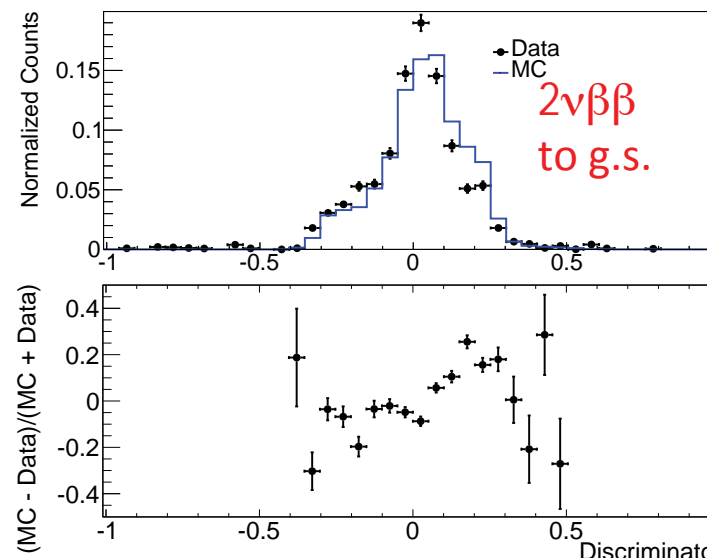
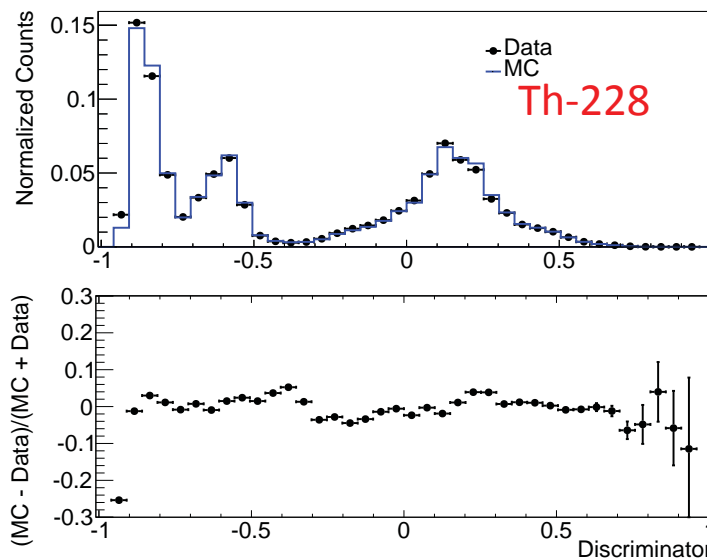
- Search for the  $2\nu\beta\beta$  decay to the excited state,  $0^+_1$ , of  $^{136}\text{Ba}$
- Decays to excited state has been observed in two isotopes:  $^{100}\text{Mo}$  and  $^{150}\text{Nd}$
- De-excitation from the  $0^+_1$  state produce two  $\gamma$ s – unique signature
- Main background is  $2\nu\beta\beta$  to the ground state

Knowledge of the decay to excited state rate  
check the various Nuclear Matrix Element  
calculations -> smaller uncertainty on the  
effective neutrino mass

# Decay to Excited State

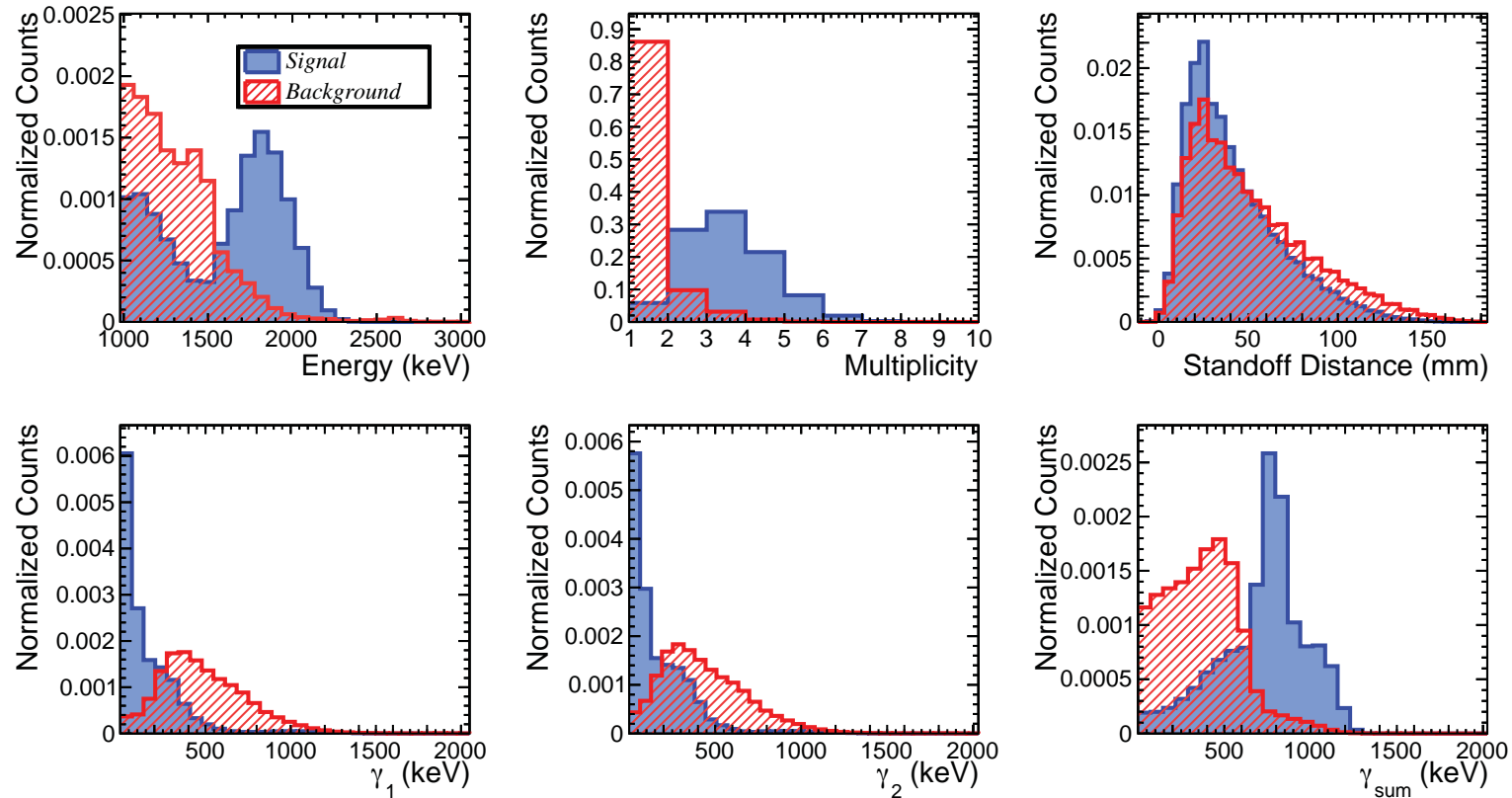


- 1<sup>st</sup> EXO-200 physics analysis to use machine learning
- Beware (the Ides of March!) and our robotic friends
  - Algorithm and input variables decided **prior to the final fit**
  - Method is chosen based on the **toy MC studies** of uncertainty (excited state normalization) after the “unskewing” of source agreements applied to PDFs





# Decay to Excited State

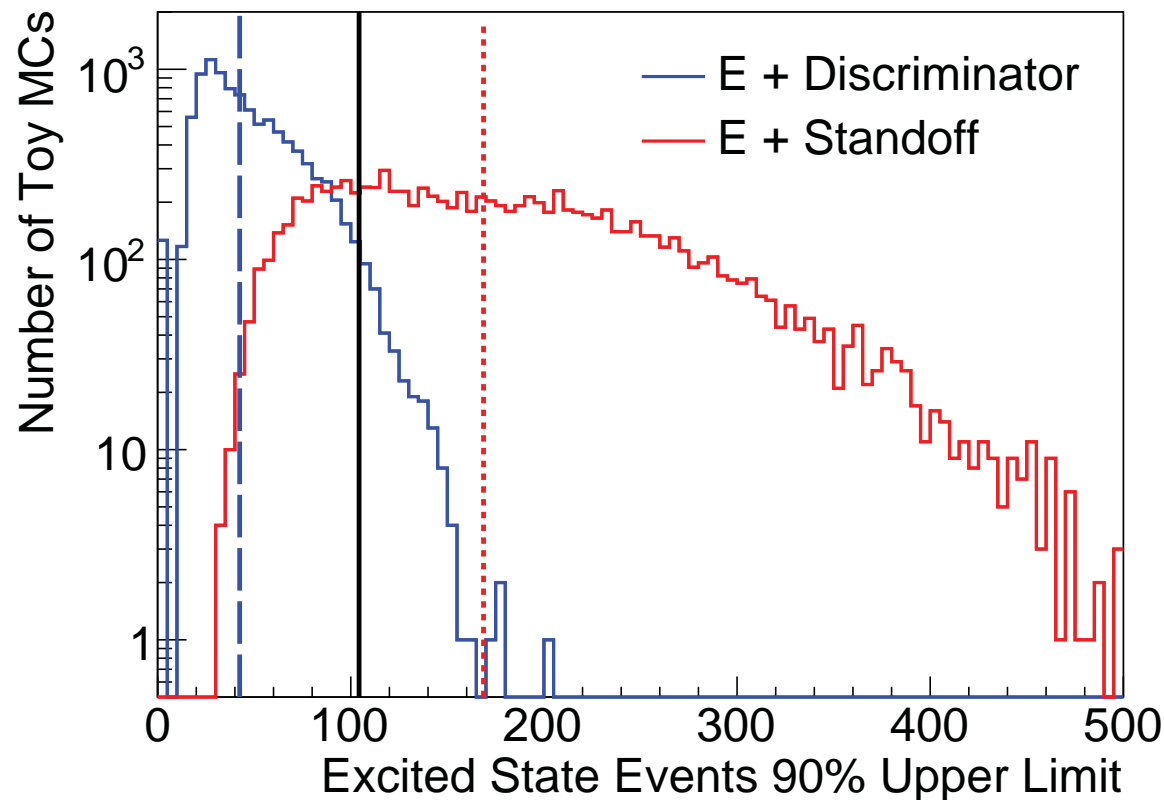


The “discriminator” variable is produced from a combination of 6 variables as determined by BDT, **boosted decision tree**, machine learning algorithm,



# Decay to Excited State

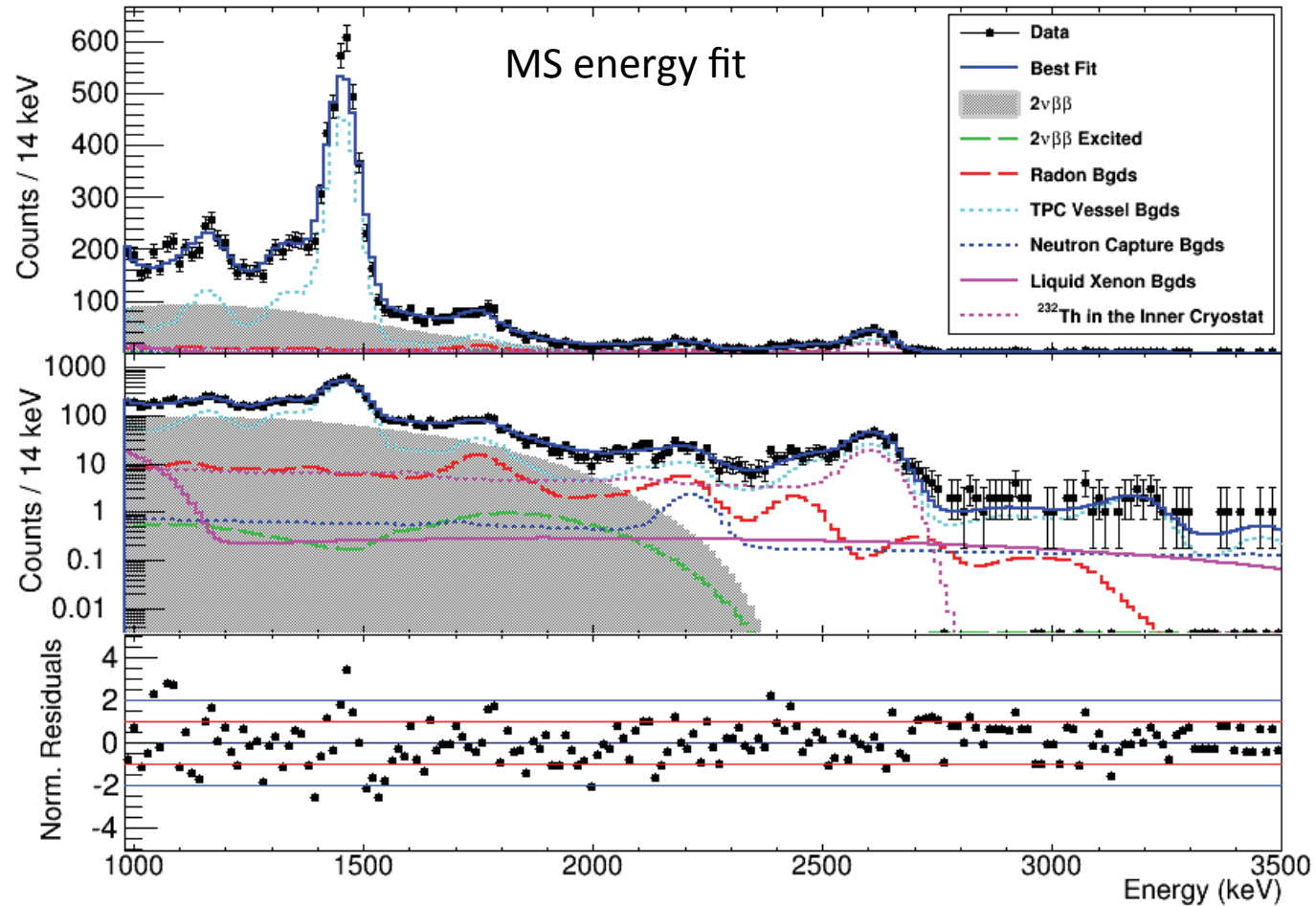
- Machine learning improves our sensitivity compares to traditional EXO-200 method



Sensitivity of  
 $1.7 \times 10^{24}$  yr



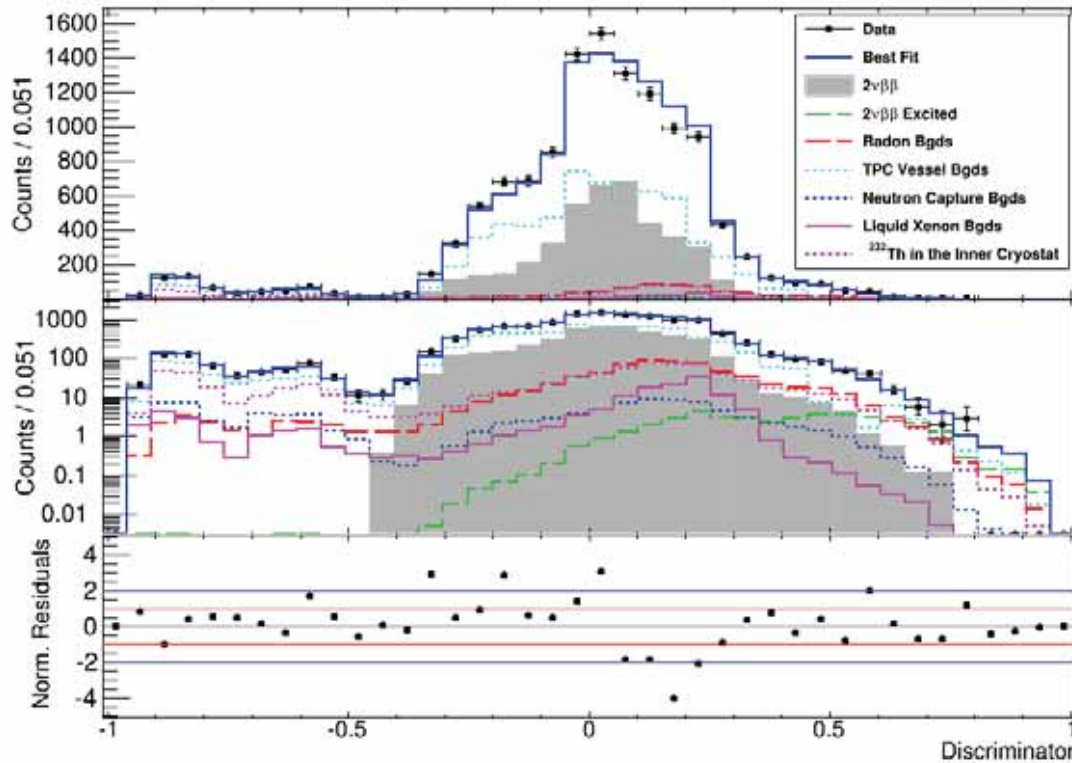
# Decay to Excited State



- 2D Maximum Likelihood fit in Energy + Discriminator



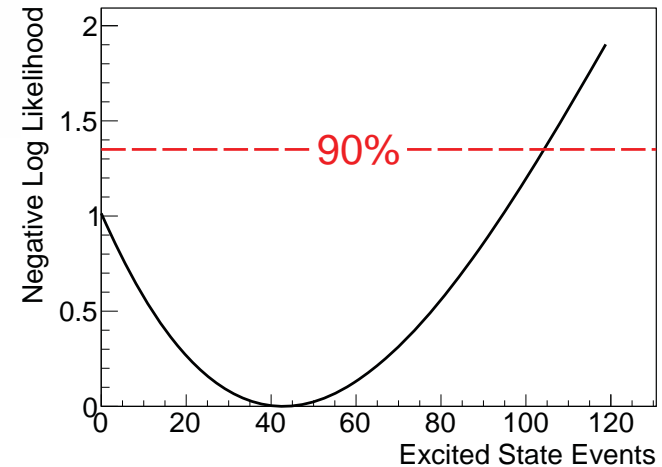
# Decay to Excited State



MS discriminator fit

$$T_{1/2} (0^+ \rightarrow 0^+_1) > 6.9 \times 10^{23} \text{ yr}$$

- Best fit value of 43 events
  - 90% CL of 104 events
  - Consistent with null hypothesis at  $1.6\sigma$





## Other Recent EXO-200 Physics Papers

- Lorentz and CPT violation: *arXiv:1601.07266*, submitted to *PRD*
  - 1<sup>st</sup> double beta decay experiment to set this limit
- Cosmological background: *arXiv:1512.06835*, accepted by *JCAP*
  - Improved understanding of Xe-137 (a muon induced background above our  $0\nu\beta\beta$  Q-value)
- Alphaion fraction and mobility: *PRC 92 4 (2015)*
  - Interesting info for potential future Ba tagging work
- Radioactivity-induced background: *PRC 92 1 (2015)*
  - Understanding of our backgrounds are detailed



# EXO-200 Status to Jan. 2016



- WIPP incidents (**NOT OUR FAULT!**):

- Feb. 5 2014 – Fire in WIPP underground
- Feb. 14 2014 – Airborne radiological event



- EXO-200 timeline:

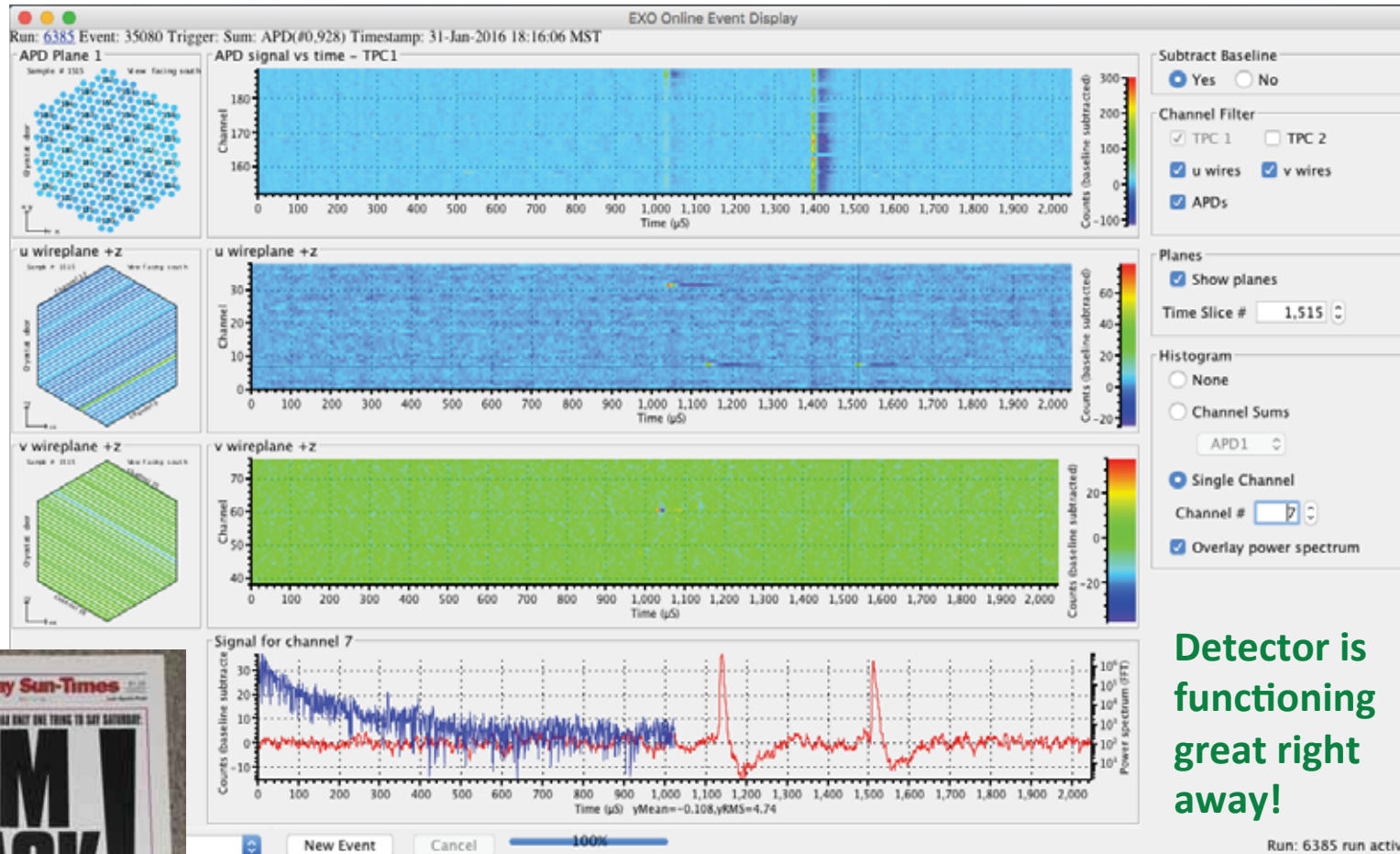
- In late Feb. 2014, with remote system access, Xe was successfully recovered (as designed), followed by controlled warm up of TPC/Cryostat.
- In Sept. 2014, lost underground power but regained access.
- Power restored in Feb. 2015
- Sample salt near the experiment show virtually zero contamination from the radiological event
- Ongoing cleanup and equipment repair/replacement
- Cooling and filling LXe TPC in the winter 2015/2016

**EXO-200 is  
nearly 4000 feet  
from the  
radiation event**



# EXO-200 is Back!

A Bi-Po alpha event right after turning the detector back on



Detector is functioning great right away!

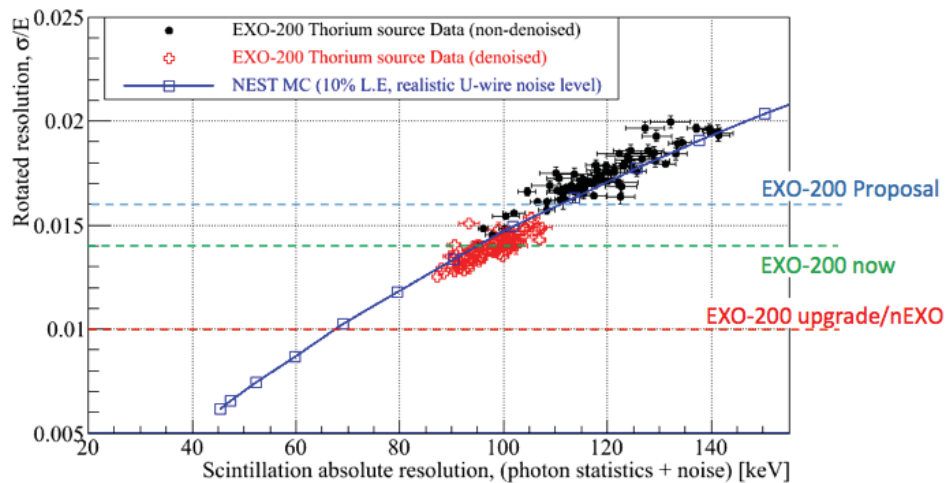


Data taking has resumed since January 31<sup>st</sup>, 2016

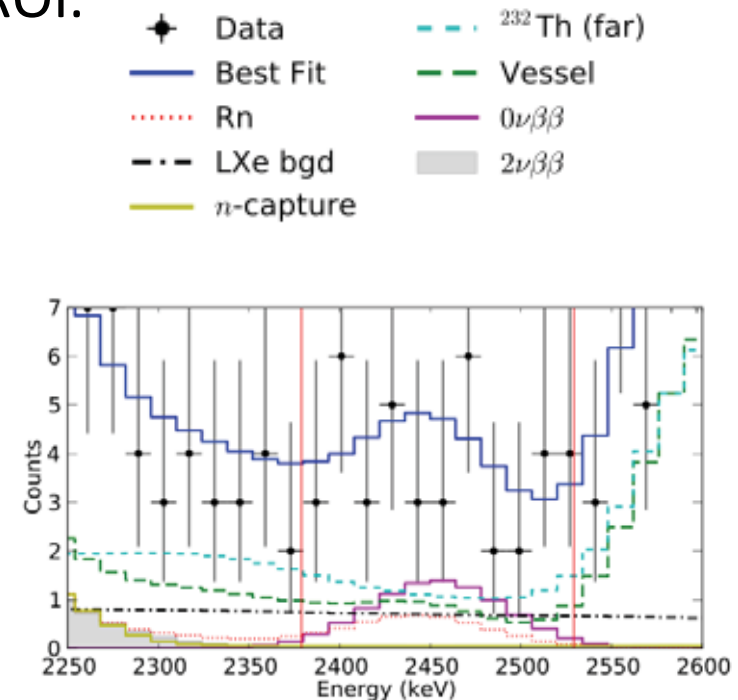


# Current EXO-200 Improvements

- Hardware upgrade to improve energy resolution at Q-value to 1% via improvement in minimizing APD noise
- Many of the ROI background counts come from radon daughters external to the detector. Deradonator should improve our background in the ROI.



Resolution curve from simulation using NEST:  
<http://nest.physics.ucdavis.edu/>

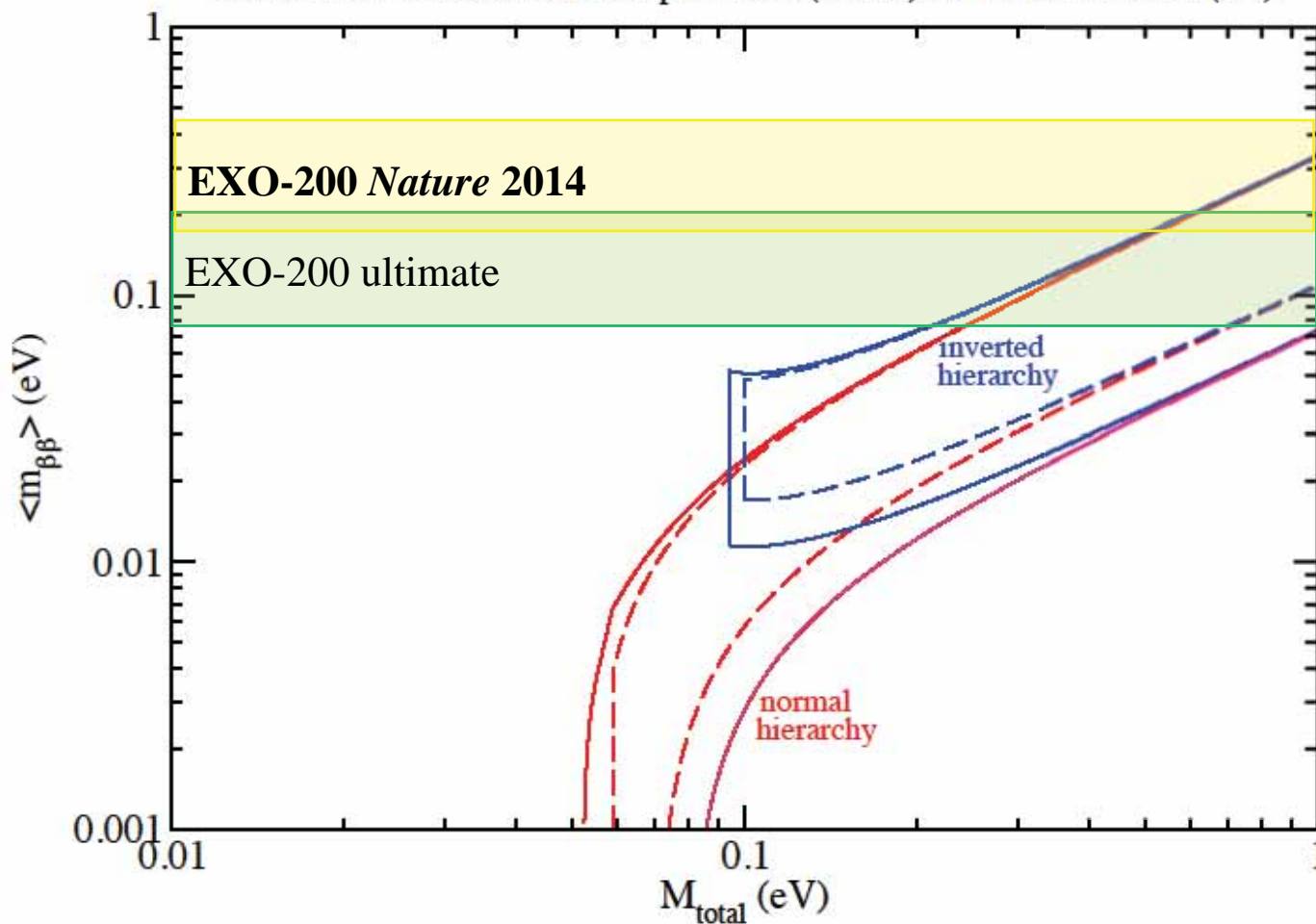




# Sensitivity outlook

Effective Majorana mass vs.  $M_{\text{total}}$

For the mean values of oscillation parameters (dashed) and for the  $3\sigma$  errors (full)



**EXO-200 current sensitivity (90%CL):**  
 $1.9 \times 10^{25}$  yr

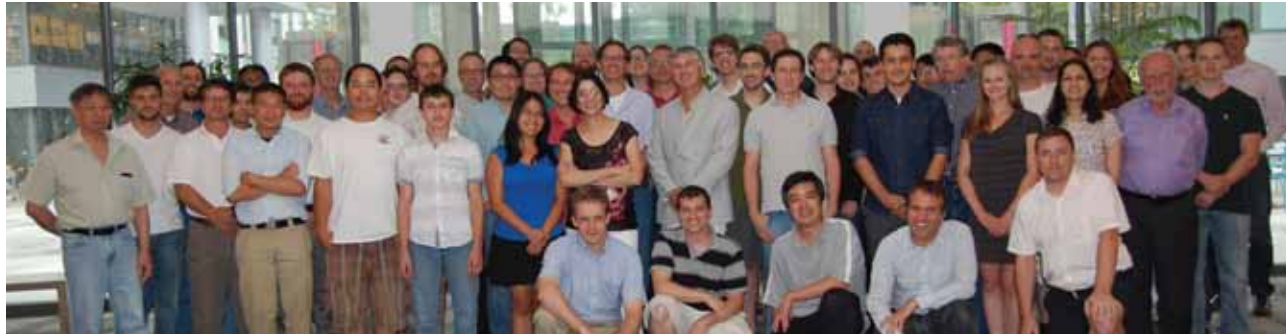
**EXO-200 ultimate sensitivity (90%CL):**  
 3 years additional lifetime with Rn removal and energy resolution improvement



The EXO-200 Collaboration

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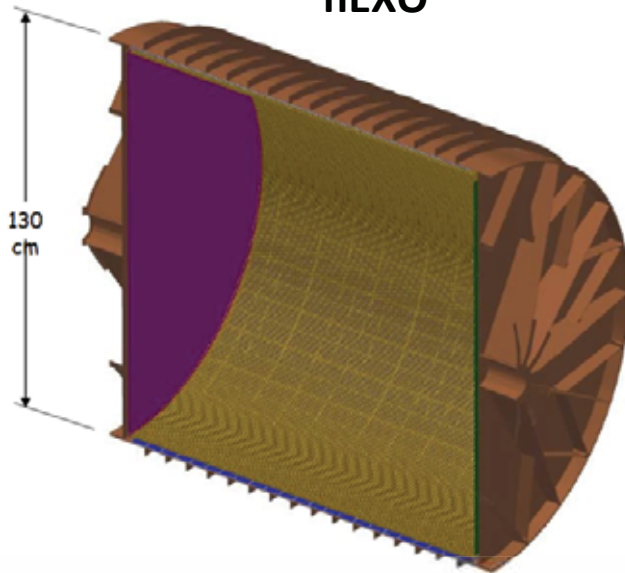


# nEXO ("next EXO")

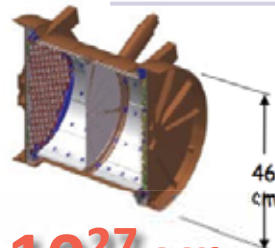


A detector 50x the size of EXO-200 is being designed

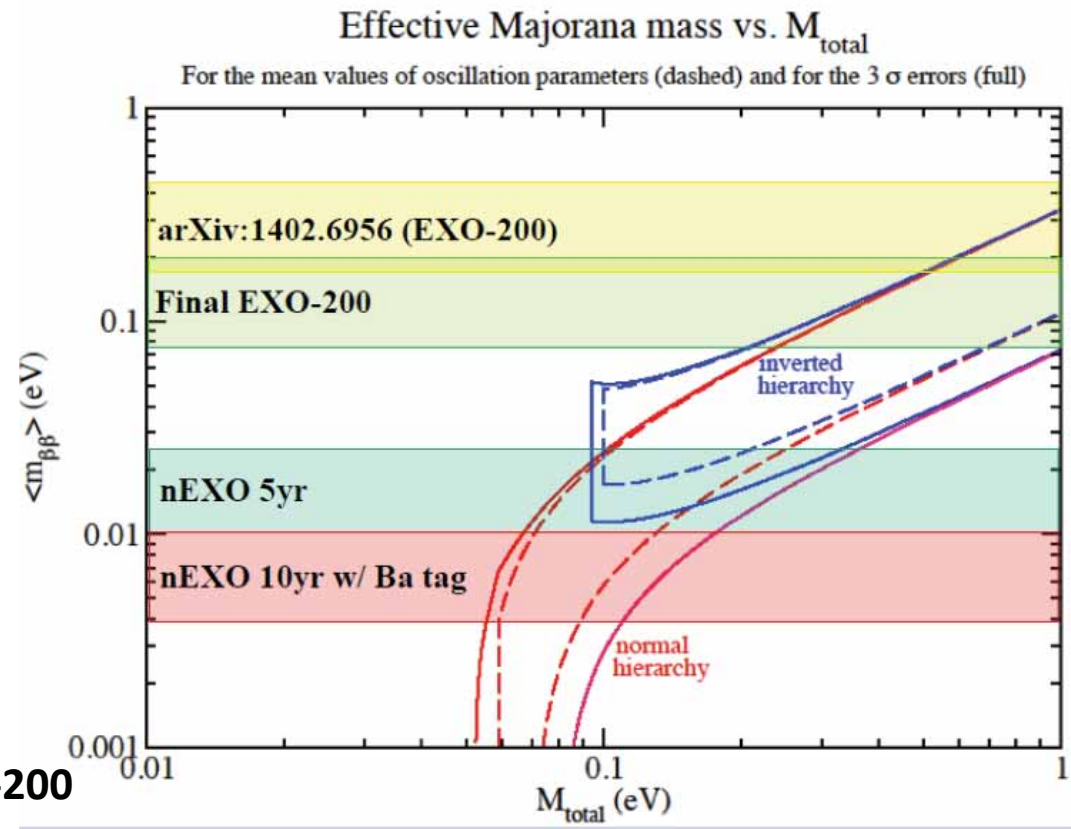
nEXO



EXO-200



**nEXO sensitivity:  $6.6 \cdot 10^{27}$  yr**



Projected sensitivity will probe the inverted hierarchy, and cover the inverted hierarchy with implemented  $^{136}\text{Ba}$  tagging



## Summary

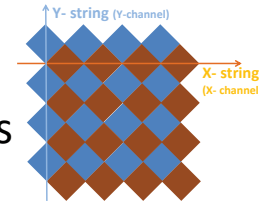
- 100 kg · yr (736 mol · yr)  $^{136}\text{Xe}$  exposure of EXO-200 data have resulted in
  - Precision  $2\nu\beta\beta$  measurement (*PRC* **89**, 015502 (2014))
  - $0\nu\beta\beta$  limit (*Nature* **510**, 229 (2014))
  - Majoron mode limits (*PRD* **90**, 092004 (2014))
  - $2\nu\beta\beta$  to the excited state limit (*PRC* **93**, 035501 (2016))
- After 2 yr hiatus, **EXO-200**, one of the most sensitive  $0\nu\beta\beta$  experiment currently, has **restarted** to take more data (Jan. 2016)
- Upgrades (electronics and deradonator) will help with nEXO (5 ton next-gen LXe experiment) R&D currently in progress

## EXTRA SLIDES

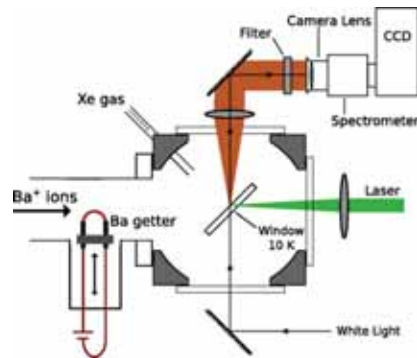


# nEXO R&D

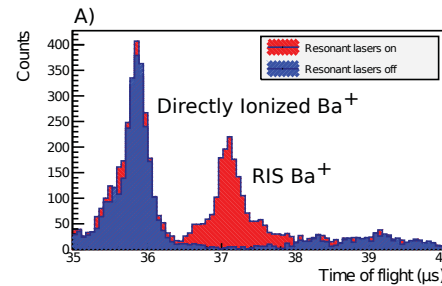
Charge readout tiles



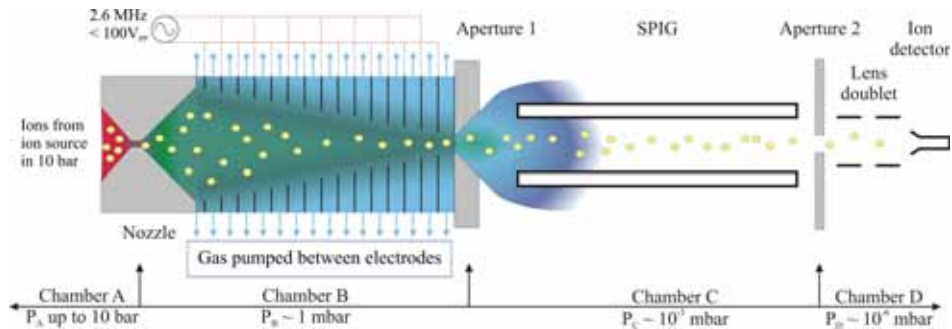
## Barium Tagging (0 background possible if we can ID the daughter barium ion)



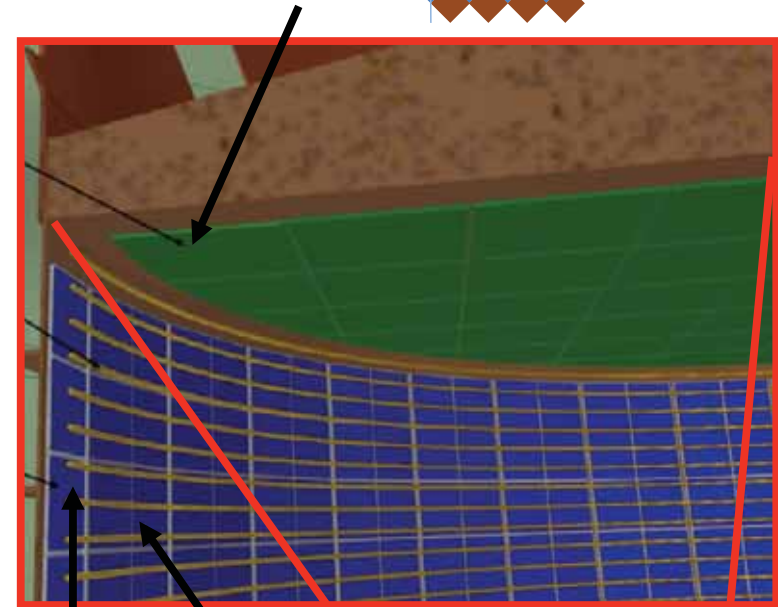
Ba deposit in solid Xe  
(Phys. Rev. A, V91, 2, 2015)



Ba ID in liquid Xe via Resonance Ionization Spectroscopy  
(Rev. of Sc.i Inst., V85, 9, 2014)

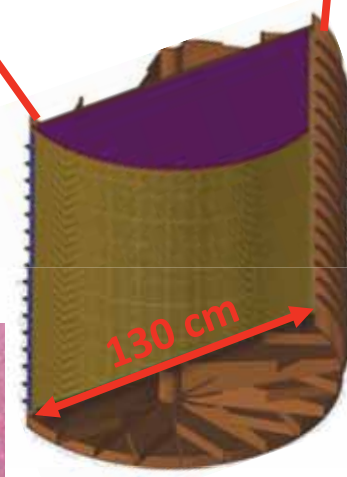


RF Funnel for Gas  
(Int. J. of Mass. Spec., V379, 110, 2015)



Light sensors  
Field shaping rings

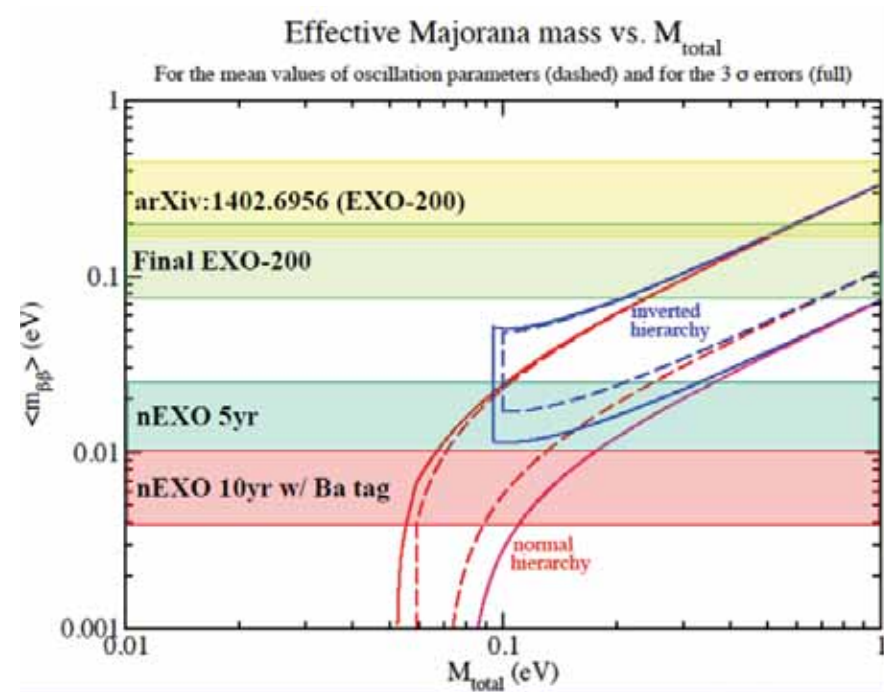
SiPM (arXiv 1502.07837)





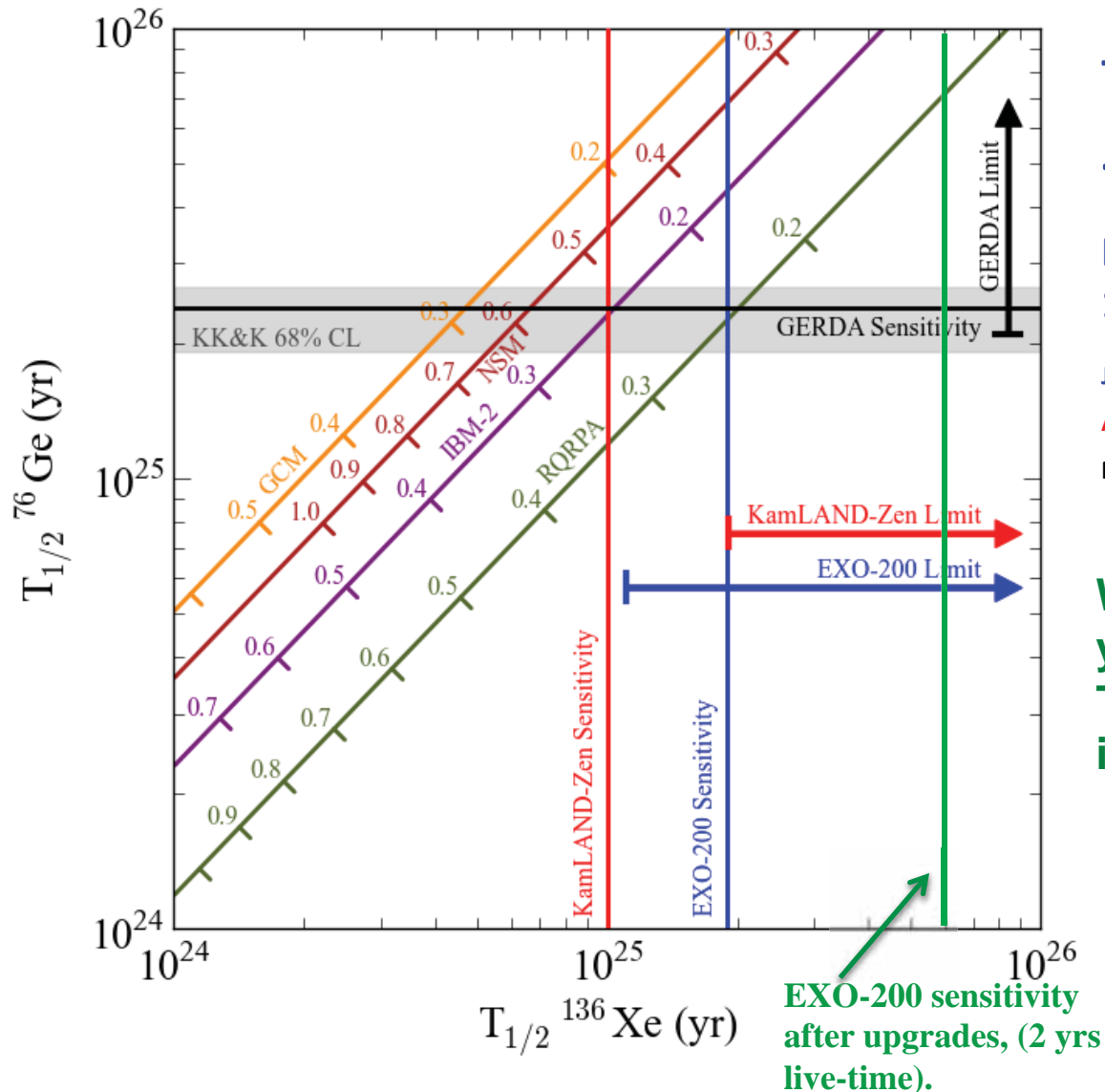
# nEXO vs. EXO-200

| Parameter   | nEXO                 | EXO-200        |
|---|----------------------|----------------|
| Fiducial mass (kg)  | 4780                 | 98.5           |
| Enrichment (%)  | 80-90                | 80             |
| Data taking time (yr)   | 5                    | 5              |
| Energy resolution @ $Q_{\beta\beta}$ (keV)                                      | 58                   | 88 (58)        |
| Depth (m.w.e)   | 6010                 | 1500           |
| Background within FWHM of endpoint (events/yr/mol <sub>136</sub> )              | $6.1 \times 10^{-4}$ | 0.022 (0.0073) |
| Background within FWHM of endpoint inner 3000kg (events/yr/mol <sub>136</sub> ) | $1.6 \times 10^{-4}$ |                |





# EXO-200 $0\nu\beta\beta$ Half-life Sensitivity



$T_{1/2}^{0\nu\beta\beta} > 1.1 \cdot 10^{25} \text{ yr (90\%CL)}$

$\langle m_\nu \rangle < 190 - 450 \text{ meV}$

Median  $T_{1/2}^{0\nu\beta\beta}$  sensitivity:  
 $1.9 \cdot 10^{25} \text{ yr}$

J.B. Albert et al. (EXO-200), Nature (6 June, 2014)

A. Gando et al. (KamLAND-ZEN), PRL 110 (2013) 062502

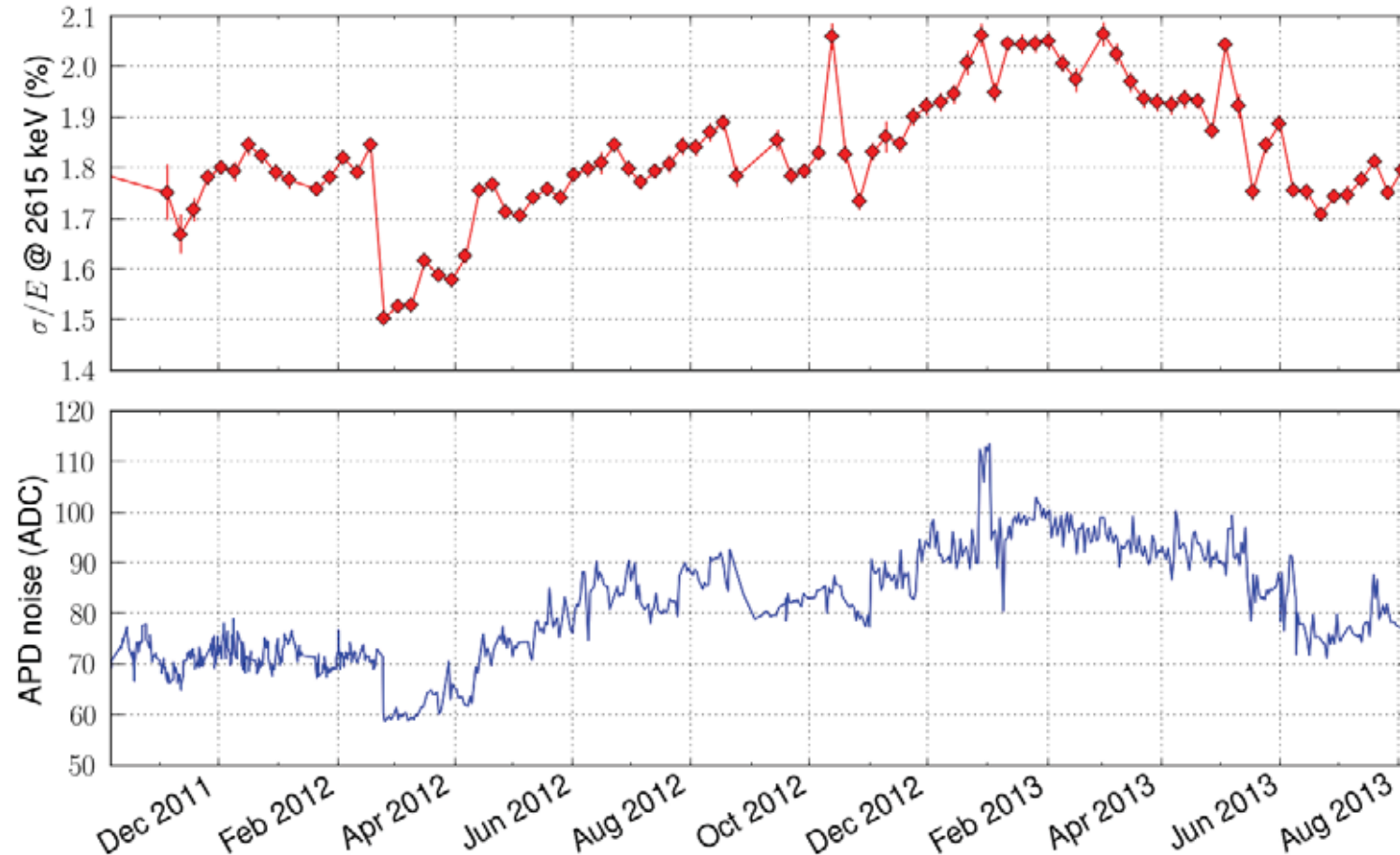
M. Agostini et al. (GERDA), PRL 111 (2013) 122503

With upgraded detector and 2 yrs of live-time, EXO-200  $T_{1/2}^{0\nu\beta\beta}$  median sensitivity will increase by a factor of 3.

One of the most sensitive  $0\nu\beta\beta$  experiments in the next 3 - 5 years.

# APD Denoising

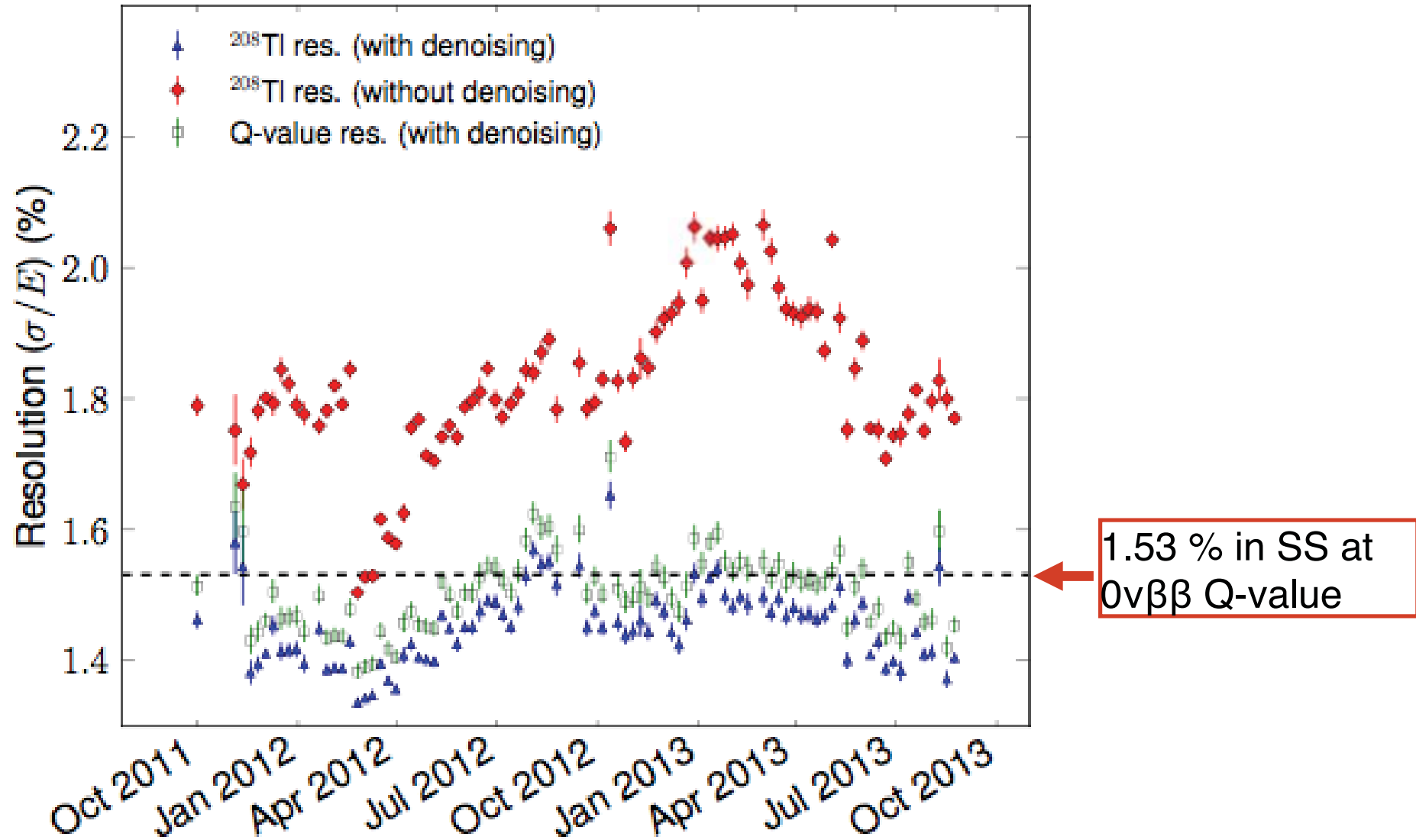
**Problem:** Noise and resolution is dependent on the varying (correlated) noise of the APDs



**(Current) solution:** Find the optimum combination of APD signals *per event*, given position and noise



# APD Denoising



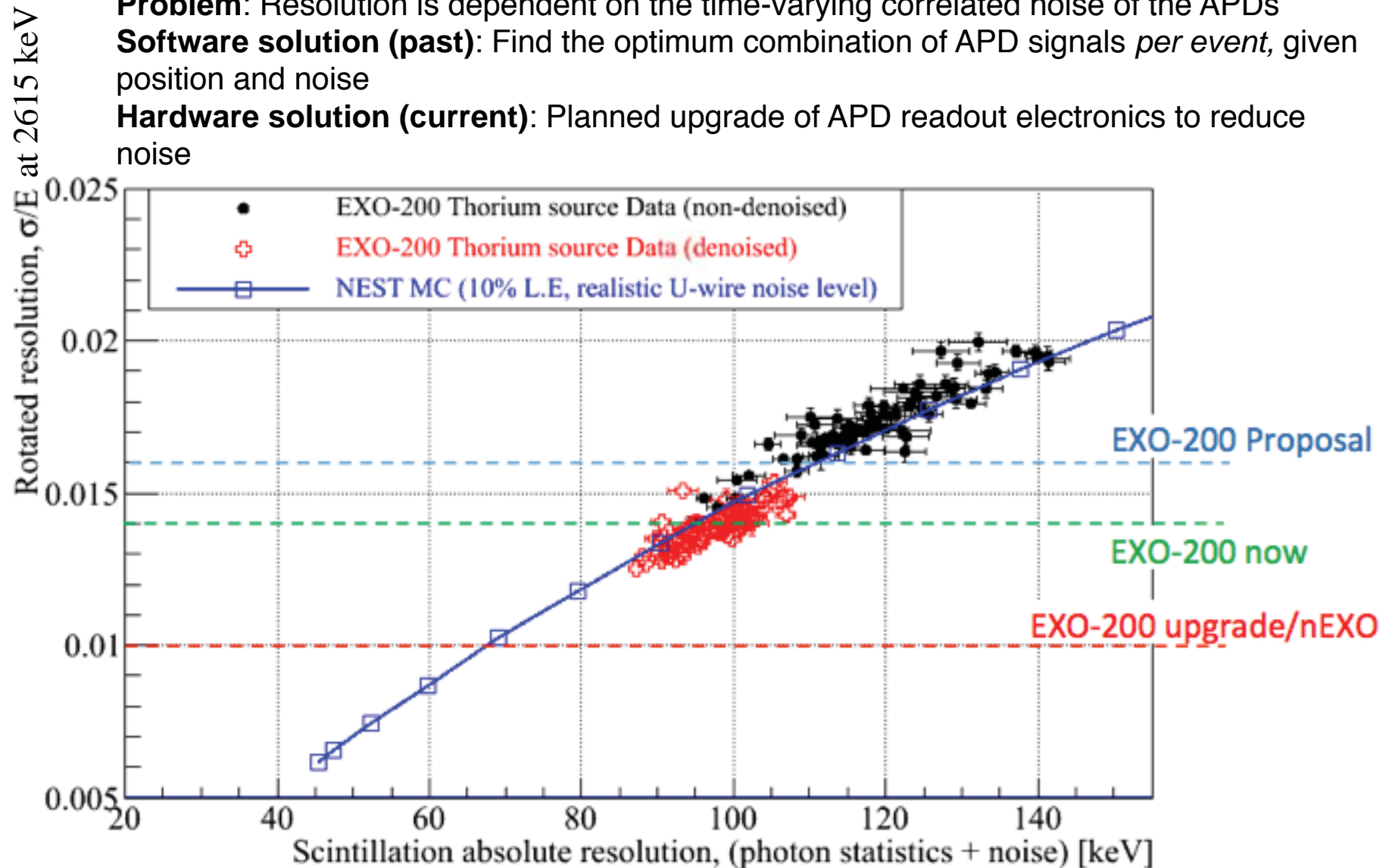


# APD Denoising

**Problem:** Resolution is dependent on the time-varying correlated noise of the APDs

**Software solution (past):** Find the optimum combination of APD signals *per event*, given position and noise

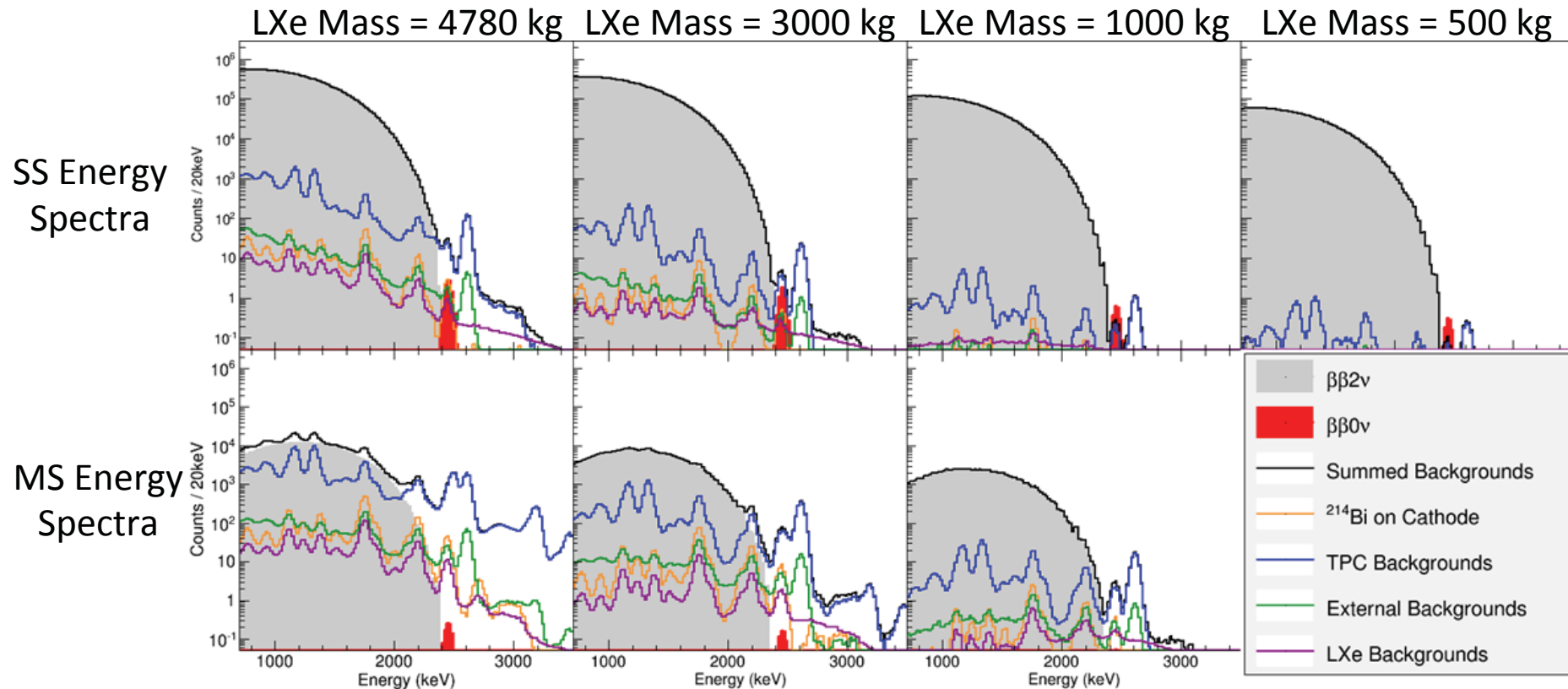
**Hardware solution (current):** Planned upgrade of APD readout electronics to reduce noise



Resolution curve from simulation using NEST: <http://nest.physics.ucdavis.edu/>



# Backgrounds and Signal

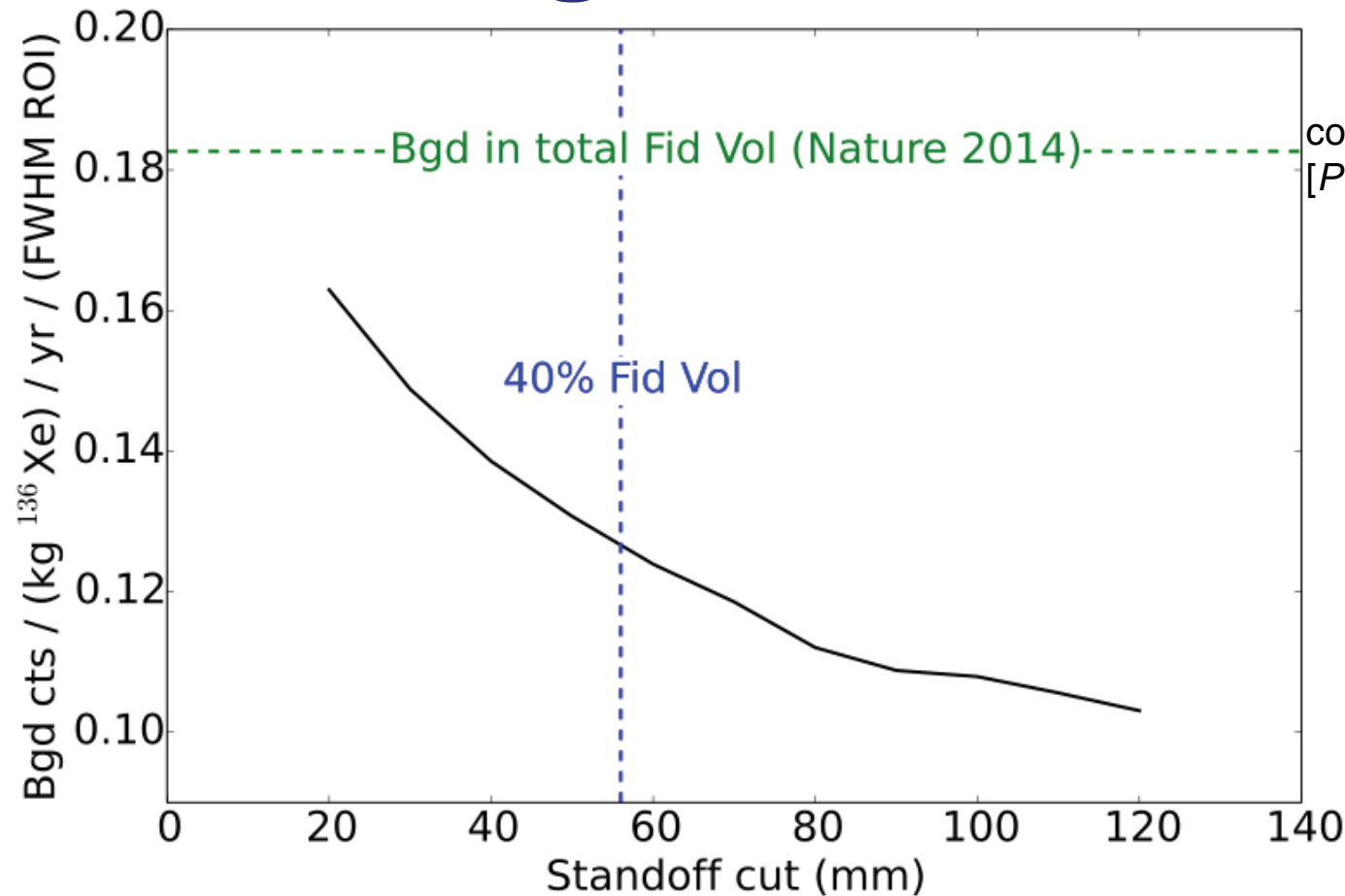


Energy spectra for different LXe masses, with energy discrimination at different positions in the detector without statistical fluctuations, after 5 year exposure.

**nEXO sensitivity:  $6.6 \cdot 10^{27}$  yr**



# Backgrounds in the ROI



consistent with previous result  
[PRL 109, 032505 (2012)]

**39 counts in  
 $\pm 2\sigma$  ROI**

| Backgrounds in $\pm 2\sigma$ R.O.I. |                                  |
|-------------------------------------|----------------------------------|
| Th-228 chain                        | 16.0                             |
| U-232 chain                         | 8.1                              |
| Xe-137                              | 7.0                              |
| <b>Total</b>                        | <b>31.1 <math>\pm</math> 3.8</b> |

**30% reduction of background index in inner 40% fiducial volume**

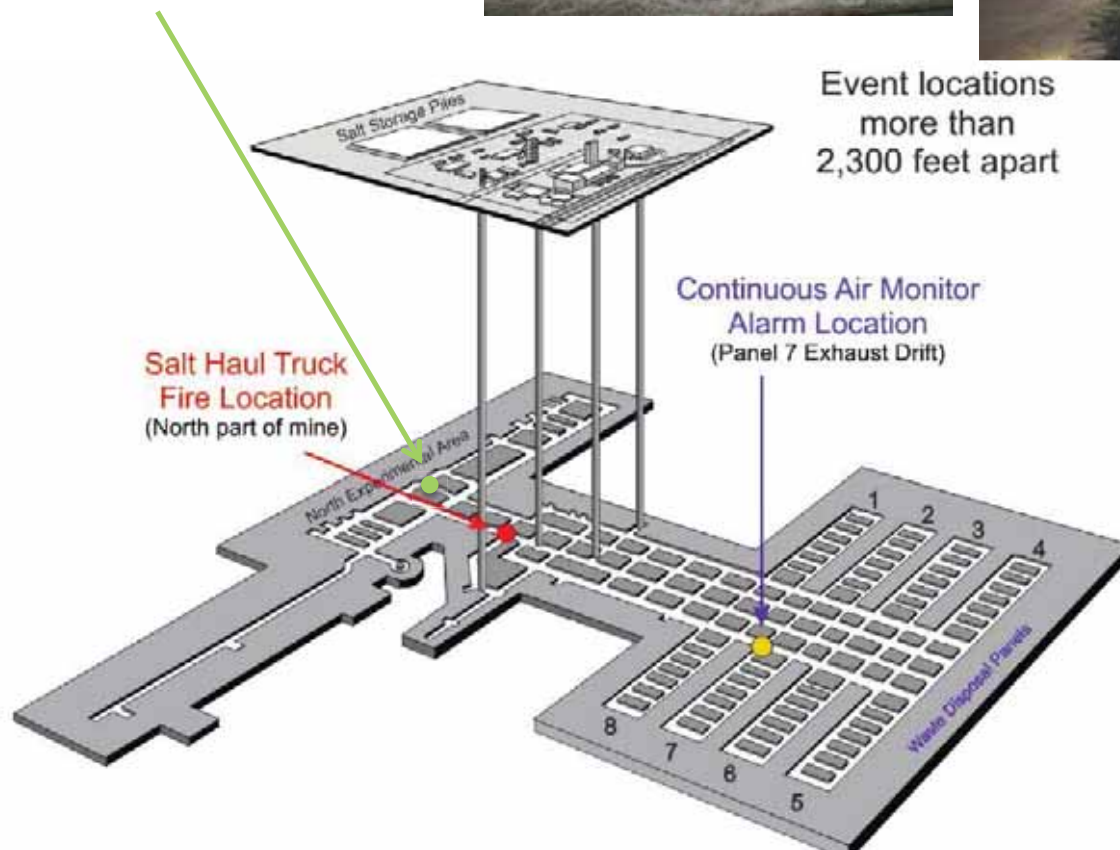


# WIPP Incident

EXO-200 is nearly 4000 feet from the radiation event

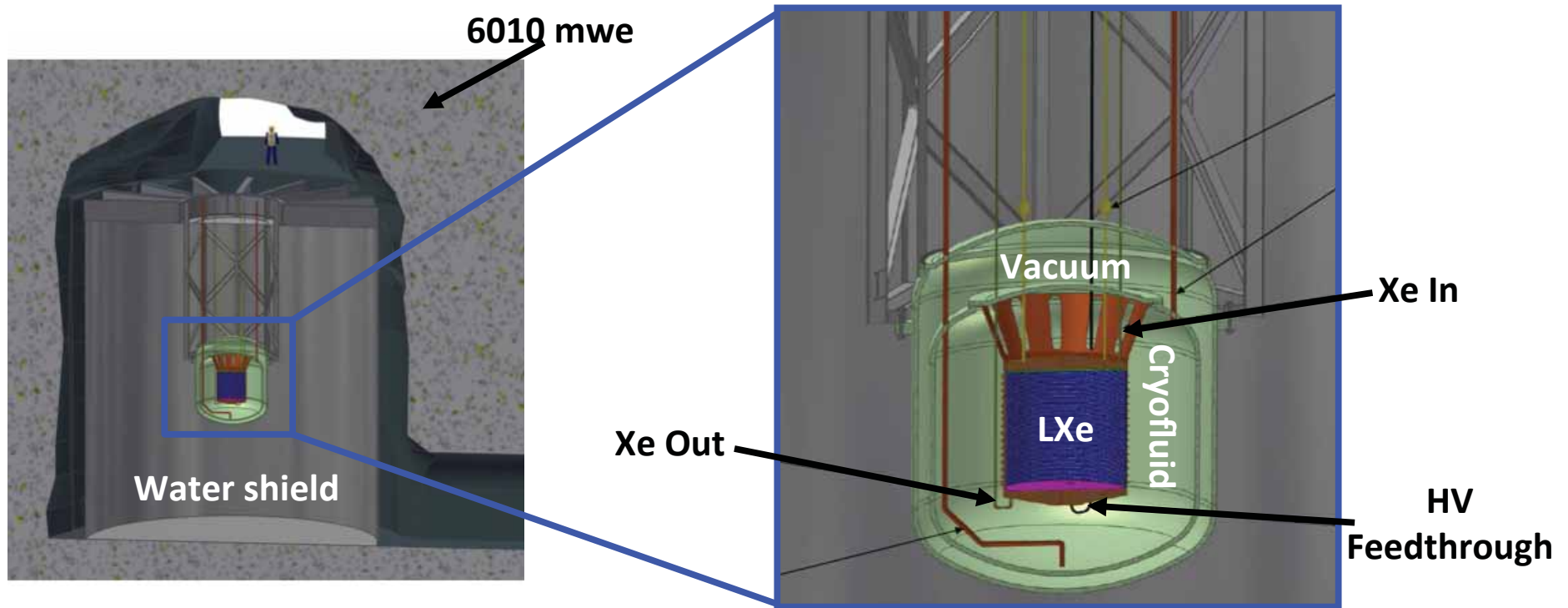


Event locations more than 2,300 feet apart



- **Feb. 5 2014:** Fire in WIPP underground
- **Feb. 14, 2014:** Radiation release event
- No radioactivity has been measured at EXO-200

# Preliminary design of nEXO



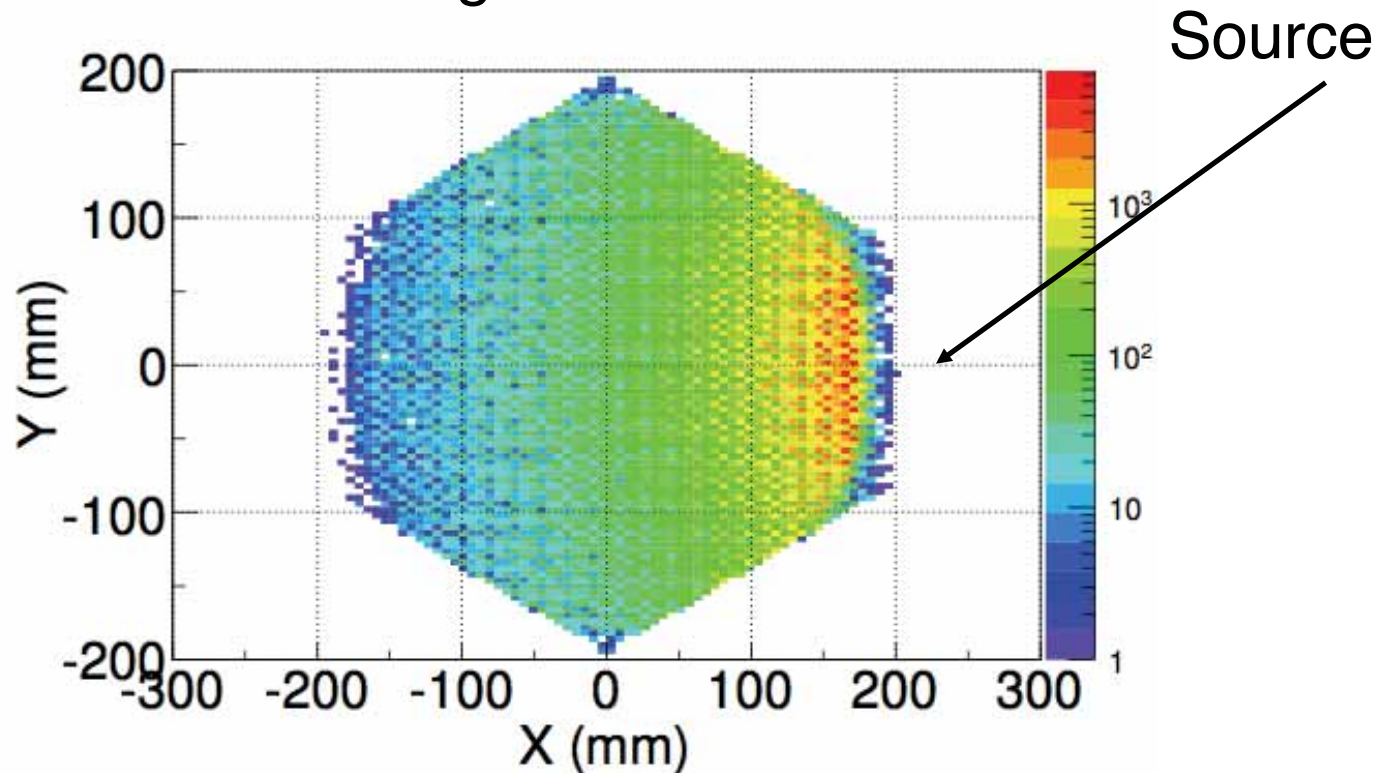
Preliminary design of the nEXO detector in SNOlab's cryopit





# Position/multiplicity reconstruction

Combine signals into “clusters”



Uncertainty, 2.4 (1.2) mm U (V) + 1.5 mm shift (taken as systematic error), Z (0.5 mm), measured using internal decays on the cathode

Total error in fiducial volume due to position reconstruction: **1.73%**



# Systematic errors



- $0\nu\beta\beta$  detection efficiency:

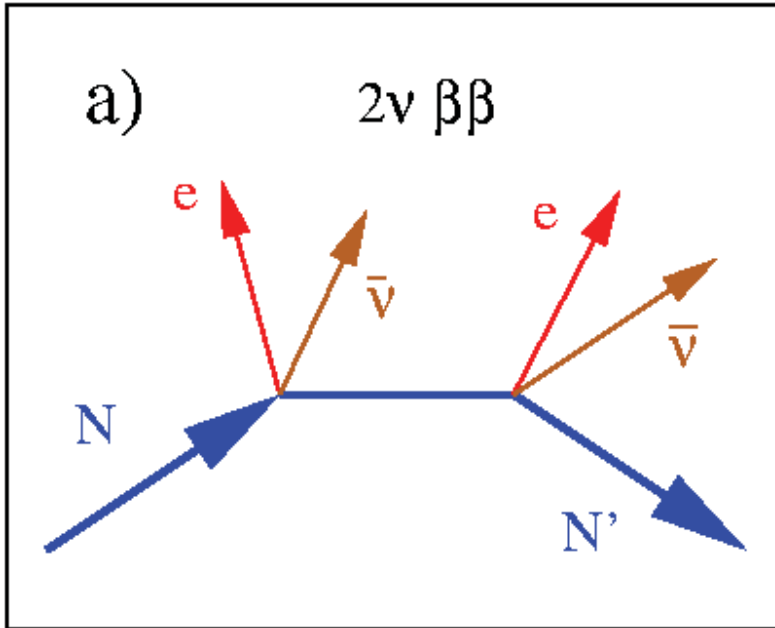
| Source:                                    | Signal efficiency [%]: | Relative error [%]: |
|--|------------------------|---------------------|
| Summary from PRC <b>89</b> , 015502 (2014) | 93.1                   | 0.9                 |
| Partial reconstruction                     | 90.9                   | 7.8                 |
| Fiducial volume/rate agreement             |                        | 3.4                 |
| <b>Total:</b>                              | <b>84.6</b>            | <b>8.6</b>          |

- Region-of-interest (ROI) backgrounds:

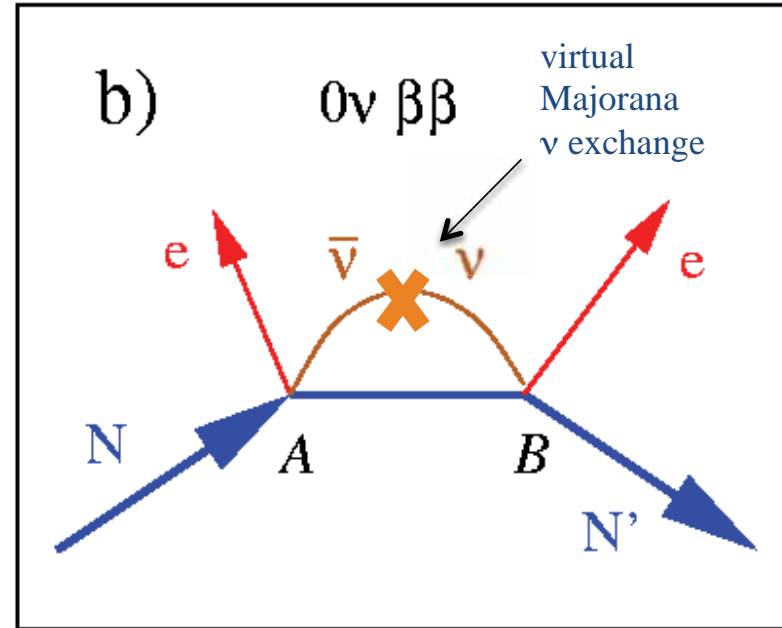
| Source:                                  | Relative error [%]: |
|--|---------------------|
| Background shape distortion              | 9.2                 |
| Choice of background model components    | 5.7                 |
| Variation of energy resolution over time | 1.5                 |
| <b>Total:</b>                            | <b>10.9</b>         |

- Location of  $0\nu\beta\beta$  ROI: “ $\beta$ -scale” allowed to float in fit
  - Deviations between  $\beta$  and  $\gamma$  energy scale:  $E_\beta = B \cdot E_\gamma \Rightarrow B = 0.999 \pm 0.002$
- Single-site fraction error: **9.6%** SS/MS fraction allowed to vary within this error in fit

# Two Modes of Double Beta Decay



- Two neutrino mode:
- Standard model process
  - Second order
  - $\Delta L = 0$  (lepton number conserved)

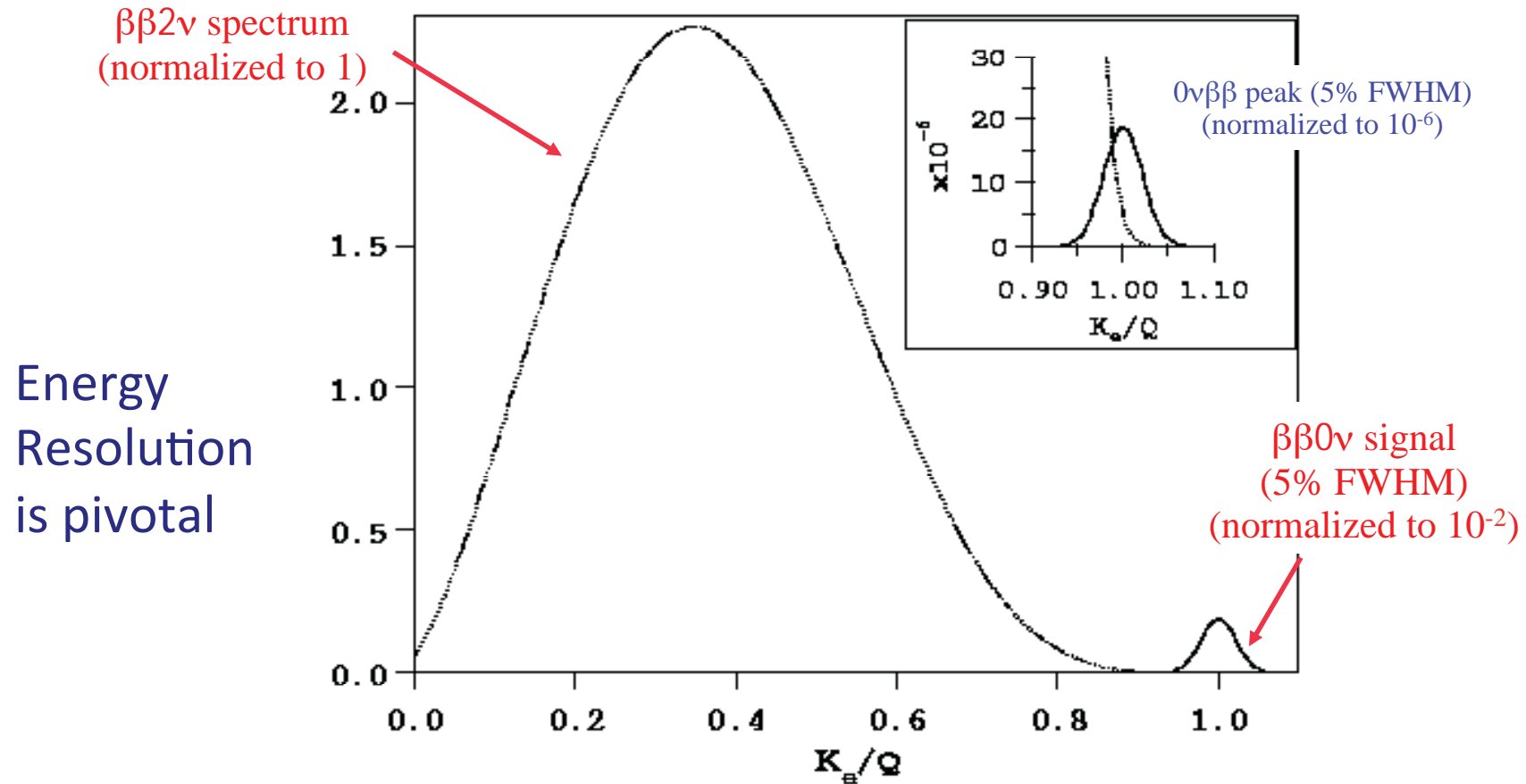


- Neutrinoless mode:
- Hypothetical “Beyond the Standard Model” process
  - Can only happen if:
    - neutrino has nonzero mass
    - neutrino is its own antiparticle (**Majorana** neutrino)
  - Total lepton number violating ( $\Delta L = 2$ )



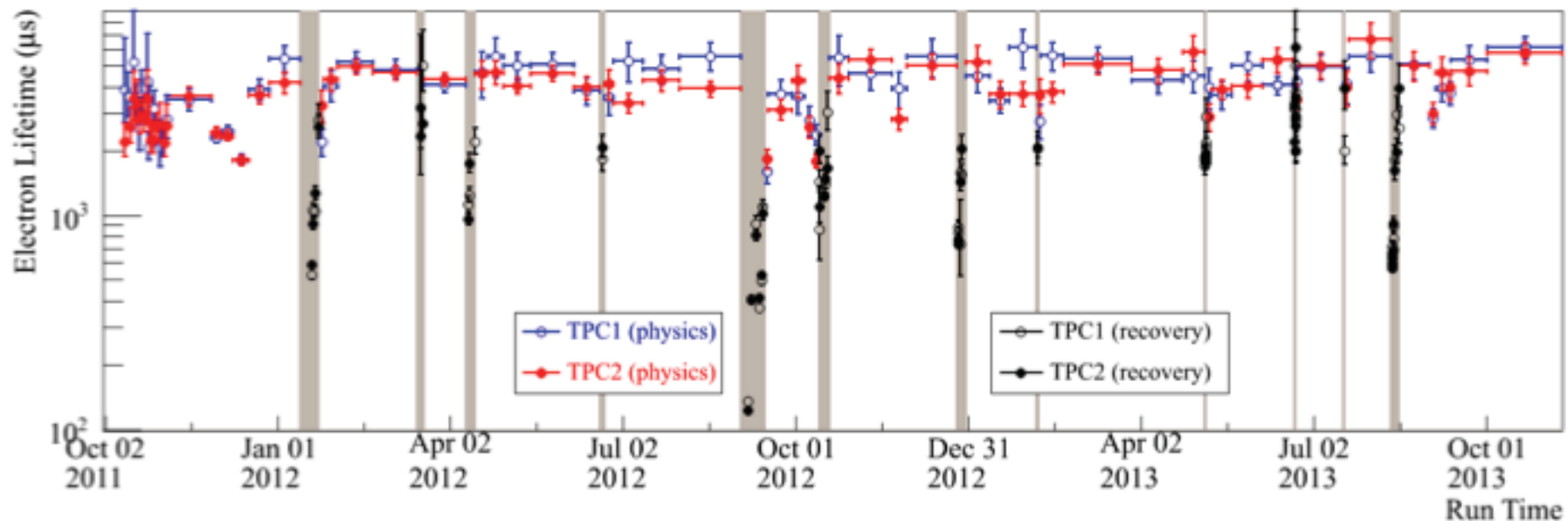


# $\beta\beta 0\nu$ signature: a peak in the $\beta\beta$ energy spectrum



Summed electron energy in units of the kinematic endpoint (Q)

# Xe Purity over Run 2



- Estimation based upon data from  $^{228}\text{Th}$  source runs
- Purity strongly correlated with circulation pump speed
- At  $\tau_e = 3$  ms: drift time  $< 110$   $\mu\text{s}$ , loss of charge: 3.6% at full drift length

# Calibration System

- Periodic campaigns with  $^{228}\text{Th}$ ,  $^{60}\text{Co}$ , and  $^{137}\text{Cs}$ ,  $^{226}\text{Ra}$
- Main calibration is done with 2615 keV gamma line from  $^{228}\text{Th}$  source.

