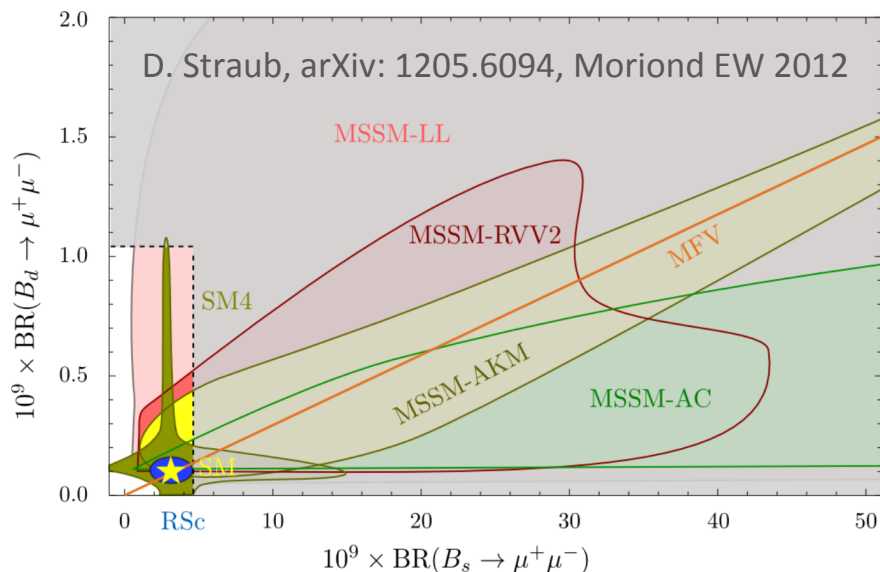


**Study of the rare decays of
 B^0 and B_s^0 to muon pairs
with the ATLAS detector at LHC Run-1**

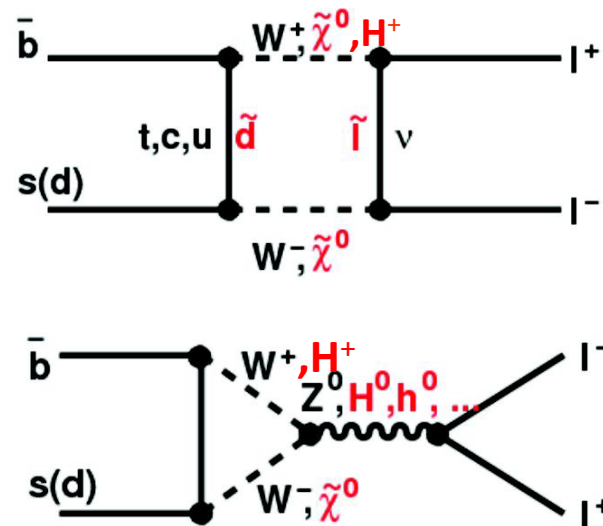
- Sandro Palestini (CERN)
- On behalf of the ATLAS Collaboration
- Rencontres de Moriond
- EW interaction and Unified Theories
- La Thuile, March 12-19 2016

Motivation and available results

- FCNC process, further affected by helicity suppression, and predicted accurately in the SM:
 - $BR(B_s^0 \rightarrow \mu^+ \mu^-) = (3.65 \pm 0.23) \times 10^{-9}$,
 - $BR(B^0 \rightarrow \mu^+ \mu^-) = (1.06 \pm 0.09) \times 10^{-10}$
 [C. Bobeth et al., PRL 112 (2104) 101801]
- Hence, sensitive to physics BSM



Examples of diagrams with BSM contributions



CMS and LHCb combined result:

- $BR(B^0_s) = (2.8+0.7-0.6)\times 10^{-9}$
 - $BR(B^0) = (3.9+1.6-1.4)\times 10^{-10}$
- [Nature 522 (2015) 68-72]

(Talk by Sanjay Kumar Swain in this conference)

SM prediction:

- $BR(B^0_s) = (3.65 \pm 0.23) \times 10^{-9}$,
 - $BR(B^0) = (1.06 \pm 0.09) \times 10^{-10}$
- [C. Bobeth et al., PRL 112 (2104) 101801]

New results from ATLAS are presented today,
based on $5+20 \text{ fb}^{-1}$ collected in LHC Run 1,
with improved analysis techniques over previous results from ATLAS.

Analysis flow

- **Trigger: muon pairs:** $p_T(\mu) > 4$ GeV in 2011, > 4 GeV or 6 GeV in 2012
- Require track reconstruction in both the inner detector and the muon spectrometer (*combined muons*)
- Reconstruct signal $B^0_{(s)} \rightarrow \mu^+ \mu^-$
and **reference channels:** $B^+ \rightarrow J/\psi K^+$, $B^0_s \rightarrow J/\psi \phi$ ($J/\psi \rightarrow \mu^+ \mu^-$, $\phi \rightarrow K^+ K^-$)
- $m(\mu^+ \mu^-)$ range for signal: 4766 to 5966 MeV, **interval 5166 to 5526 MeV blinded** until the selection and the entire analysis chain is defined.
- $p_T(B) > 8$ GeV, $|\eta(B)| < 2.5$.
- Enhance signal to background ratio by means of **two MVA classifiers:**
 - continuum-BDT, for the combinatorial background
 - fakes-BDT against the background due to hadron misidentification
- Signal extraction with **likelihood fit over mass distribution**, in different **intervals of continuum-BDT output**
- **Normalization with B^+ yield** and **efficiency ratio $B^+ / B^0_{(s)}$.**

Normalization

The yield N_s, N_d of $B^0 \rightarrow \mu^+ \mu^-$, $B^0 \rightarrow \mu^+ \mu^-$ events is normalized to the channel:

$$B^+ \rightarrow J/\psi (\rightarrow \mu^+ \mu^-) K^+$$

using the equation:

$$\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-) = \frac{N_{d(s)}}{\varepsilon_{\mu^+ \mu^-}} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)] \frac{\varepsilon_{J/\psi K^+}}{N_{J/\psi K^+}} \times \frac{f_u}{f_{d(s)}}$$

In more detail, the normalization is performed separately in four classes corresponding to three trigger selections used in 2012 and one in 2011:

$$\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-) = N_{d(s)} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)] \times \frac{f_u}{f_{d(s)}} \times \frac{1}{\mathcal{D}_{\text{norm}}}$$

with the normalization term including efficiency ratios and pre-scaling factors α_k common to the two channels.

$$\mathcal{D}_{\text{norm}} = \sum_k N_{J/\psi K^+}^k \alpha_k \left(\frac{\varepsilon_{\mu^+ \mu^-}}{\varepsilon_{J/\psi K^\pm}} \right)_k$$

Systematic uncertainties related to prescaling factors, trigger and reconstruction efficiency are minimized with this procedure

The key-word in these kind of studies.

In order of relative amplitude:

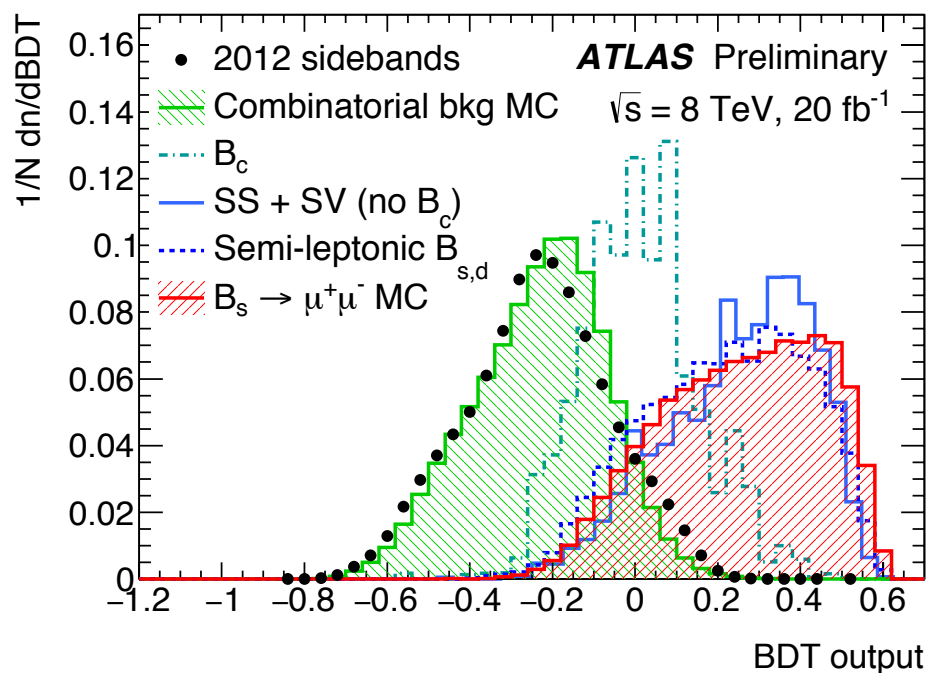
1. **Combinatorial background**: dominant component, small mass dependence
2. **Partially reconstructed $B \rightarrow \mu\mu X$ decays**: low mass, the tail extend to the signal region (Named Same Vertex / Same Side background SS+SV)
3. **Peaking background** from double-hadrons misidentification in $B^0_{(s)} \rightarrow hh'$ (smaller component, but overlaid with signal)

Combinatorial background and *continuum-BDT*

The dominant background due muon pair originate from the uncorrelated decays of hadrons produced in the hadronization of a b and a \bar{b} quark (or c, \bar{c} quark).

Signal and combinatorial background are separated with a MVA classifier:

- 15 variables related to the B candidate, to the muons forming the candidate, to the other tracks from the same collision and to pile-up primary vertices



Normalization of combinatorial bkg. In data is ≈ 3000 higher than SS+SV component, Semileptonic (with one fake-muon) account for $\approx 30\%$ of SV, B_c component is lower

Training of the BDT on a large MC sample (1.4 G events) of uncorrelated b -(c -) hadrons and \bar{b} -(\bar{c} -) hadrons with forced decays into final states containing muons.

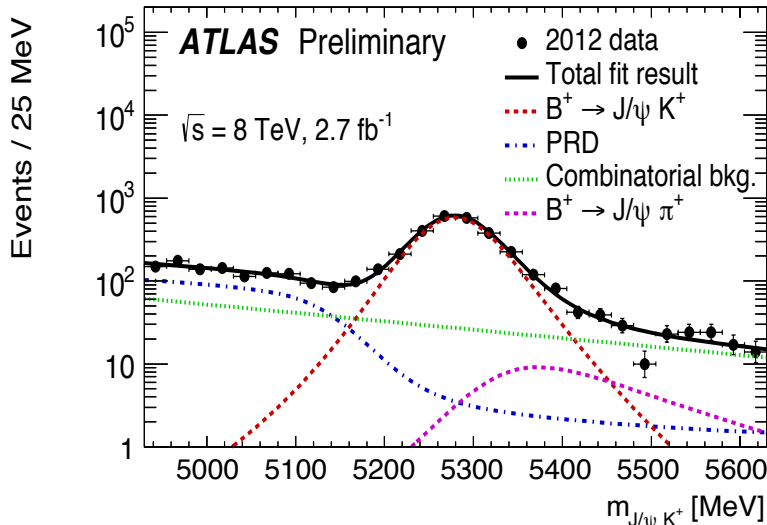
B^+ yield



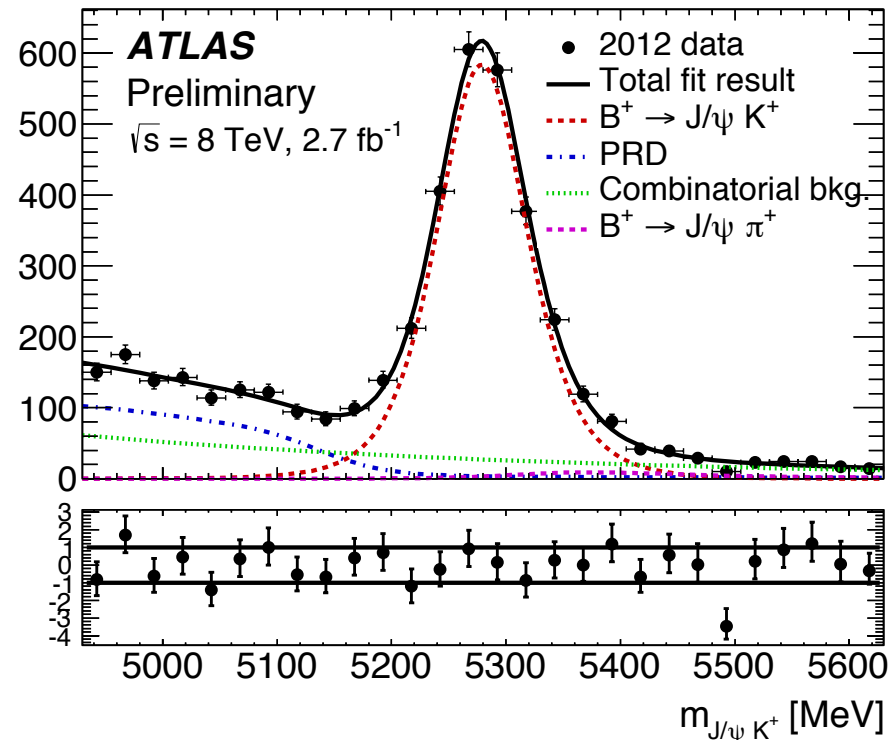
$$\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-) = N_{d(s)} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)] \times \frac{f_u}{f_{d(s)}} \times \frac{1}{\mathcal{D}_{\text{norm}}}$$

$$\mathcal{D}_{\text{norm}} = \sum_k N_{J/\psi K^+}^k \alpha_k \left(\frac{\epsilon_{\mu^+ \mu^-}}{\epsilon_{J/\psi K^\pm}} \right)_k$$

- Extracted after fakes-BDT and continuum-BDT selection
- Extracted separately in the 4 categories
- Unbinned fit in mass distribution maximum likelihood fit.



Events / 25 MeV



Pull

B^+ signal in the main category of the 2012 sample

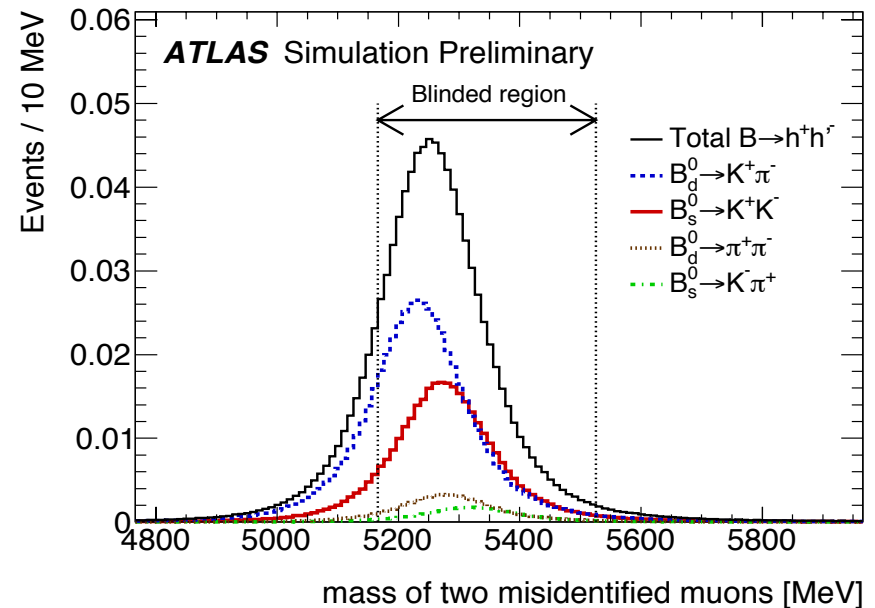
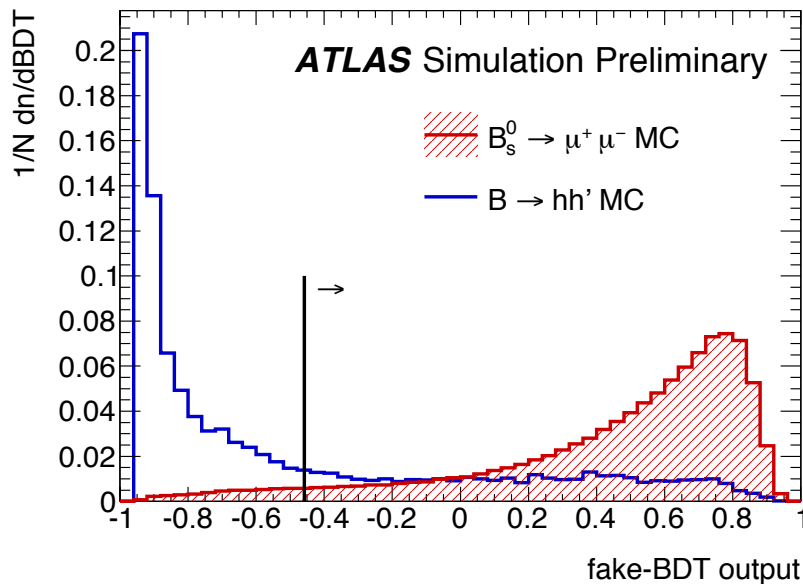


Hadron-to-muon misidentification and peaking background



- Studied with full simulation of of the $B \rightarrow hh'$, $\Lambda_b \rightarrow ph$ and of the detector response,
- validated with data from $\phi \rightarrow KK$ and $B^+ \rightarrow J/\psi K^+$ decay.
- The probability of misidentification as muon is low for *combined muons*: about 0.28% for kaons and 0.12% for pions.

This fraction is reduced by a factor 0.4 with a dedicated classifier (*fakes-BDT*) with an efficiency of prompt muons set at 95%.



Use $B^+ \rightarrow J/\psi K^+$ yield and efficiency ratio from master formula: the total number of peaking-background events is 1.0 ± 0.4

Efficiency ratio $B^+/B^0_{(s)}$

$$\mathcal{B}(B^0_{(s)} \rightarrow \mu^+ \mu^-) = N_{d(s)} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)] \times \frac{f_u}{f_{d(s)}} \times \frac{1}{\mathcal{D}_{\text{norm}}}$$

$$\mathcal{D}_{\text{norm}} = \sum_k N_{J/\psi K^+}^k \alpha_k \left(\frac{\epsilon_{\mu^+ \mu^-}}{\epsilon_{J/\psi K^\pm}} \right)_k$$

In each category the efficiency ratio is obtained from MC.

- p_T and η spectra are tuned on the reference channels.
- Trigger efficiencies are extracted from *tag & probe* studies based on J/ψ and Y .

MC-to-data comparison done also on the discriminating variables used in the continuum BDT:

- only Isolation (based on p_T of tracks within a cone of $\Delta R < 0.7$) requires tuning, in the B^+ mode.

For B^+/B^0_s :

- additional correction due to difference between mean B^0_s lifetime and $B_H^{(s)}$ lifetime (SM prediction for $\mu^+ \mu^-$ decay)

- Total correction to efficiency ratio: +3.4% for B^0 , -0.6% for B^0_s
- Total systematic uncertainty $\pm 5.9\%$

Extraction of $B^0_{(s)} \rightarrow \mu^+\mu^-$ signal

$$\mathcal{B}(B^0_{(s)} \rightarrow \mu^+\mu^-) = N_{d(s)} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+\mu^-)] \times \frac{f_u}{f_{d(s)}} \times \frac{1}{\mathcal{D}_{\text{norm}}}$$

$$\mathcal{D}_{\text{norm}} = \sum_k N_{J/\psi K^+}^k \alpha_k \left(\frac{\epsilon_{\mu^+\mu^-}}{\epsilon_{J/\psi K^\pm}} \right)_k$$

N_d and N_s are extracted with a likelihood fit to signal and background, in the $m(\mu^+\mu^-)$ mass distribution, performed in three intervals of continuum-BDT output

Signal and background model:

- **Signal:** two superimposed Gaussians (average width: 80 MeV, independent of continuum-BDT).
- **Low mass background:** exponential dependence on $m(\mu^+\mu^-)$, determined on data in mass sideband, independent of continuum-BDT),
- **Continuum background:** linear dependence on mass
(very small correlation between mass and continuum-BDT; sideband data consistent with MC)
- **Peaking background:** Gaussian mass dependence, equal amplitude in the BDT bins, normalization 1.0 ± 0.4 events in total.

Systematic uncertainties in the likelihood fit

For the signal model:

- Mass scale and resolution uncertainties
- Uncertainties in the relative efficiencies of the continuum BDT-intervals
 - Studied on the distributions of the BDT-output and of the discriminating variables in the reference channels (calibration and systematic uncertainty)

For the background model:

- Explicit modeling of 3-body semileptonic decays
- Mass dependence of combinatorial and SS+SV components
- Correlation between mass dependences and BDT dependences

The systematic uncertainties are smaller than the statistical uncertainties (by factor ≈ 5 for B^0_s , ≈ 2.5 to 5 for B^0 - depending on signal amplitude)

Likelihood fit to branching fractions



$$\mathcal{B}(B_{(s)}^0 \rightarrow \mu^+ \mu^-) = N_{d(s)} \times [\mathcal{B}(B^+ \rightarrow J/\psi K^+) \times \mathcal{B}(J/\psi \rightarrow \mu^+ \mu^-)] \times \frac{f_u}{f_{d(s)}} \times \frac{1}{\mathcal{D}_{\text{norm}}}$$
$$\mathcal{D}_{\text{norm}} = \sum_k N_{J/\psi K^+}^k \alpha_k \left(\frac{\epsilon_{\mu^+ \mu^-}}{\epsilon_{J/\psi K^\pm}} \right)_k$$

The normalization includes:

- B^+ branching fraction (world averages)
- The production ratio for f_u/f_s from the ATLAS measurement of f_s/f_d performed in the same p_T, η range [PRL 115(2015)262001]
- The efficiency ratios and B^+ yields in the $\mathcal{D}_{\text{norm}}$ term

The total uncertainty in the normalisation is

- $\pm 11\%$ for $\text{BR}(B_s^0 \rightarrow \mu^+ \mu^-)$
- $\pm 7\%$ for $\text{BR}(B^0 \rightarrow \mu^+ \mu^-)$

Interpolated background and expected signal

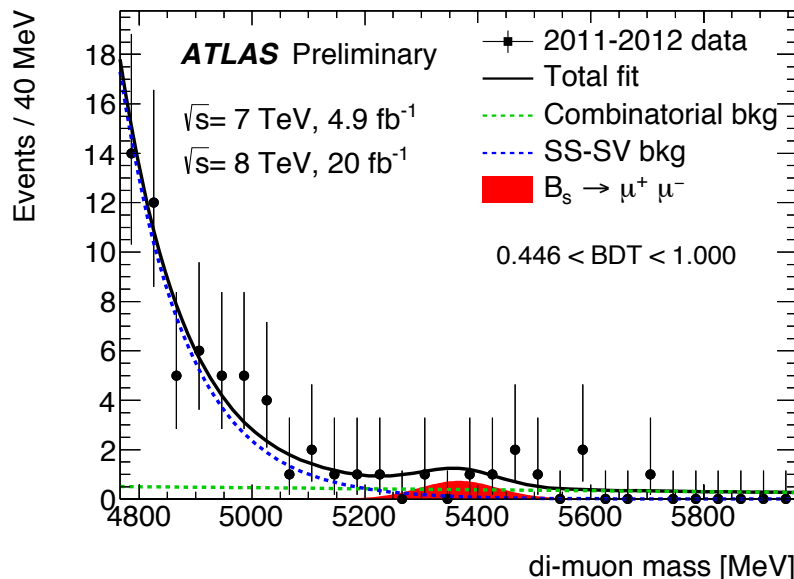
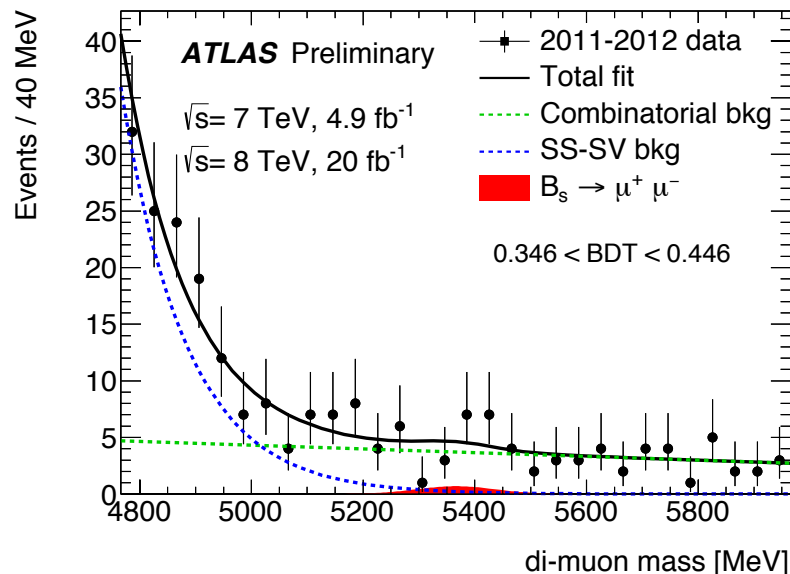
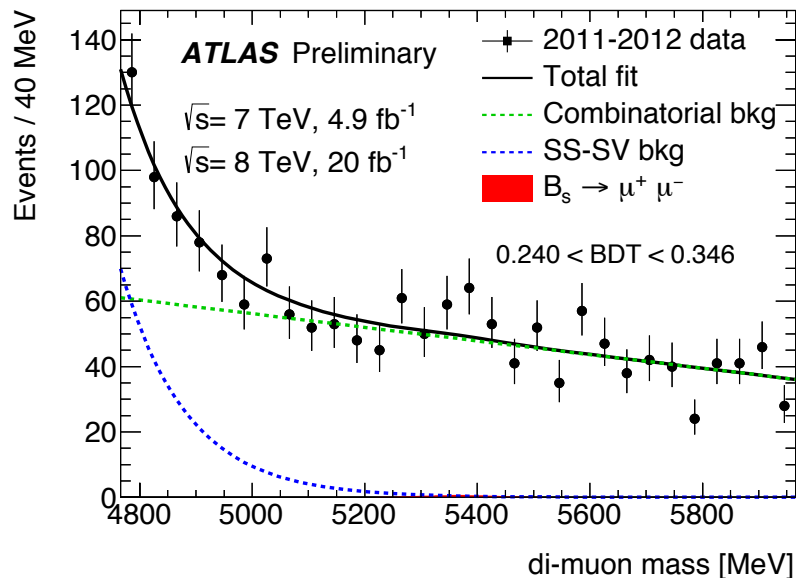
Number of background events interpolated in the blinded region,
for each of the three bins in the continuum-BDT output:

- in bin-1, 0.240 to 0.346: 510 ± 29 background events
- in bin-2, 0.346 to 0.446: 32 ± 6 background events
- In bin-3, 0.446 to 0.7 5 ± 2 background events

Expected signal from SM prediction:
 $41 B^0_{(s)}$ and $5 B^0$ events
equally distributed in the three bins.

And now we are ready to look into the signal region.

$B^0_s \rightarrow \mu^+\mu^-$ and $B^0 \rightarrow \mu^+\mu^-$ event yield

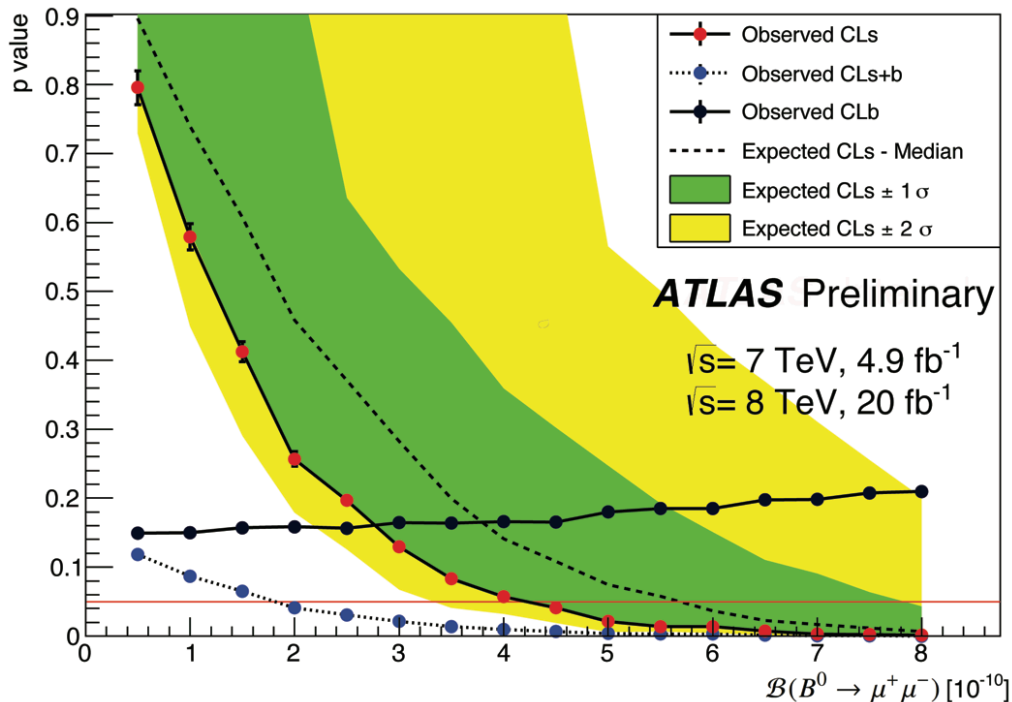


Fewer B^0_s events than expected,
no B^0 events

Likelihood fit to branching fractions: Results - 1



Frequentist CL Scan for workspace result_BdBR



Result for $BR(B^0 \rightarrow \mu^+\mu^-)$:

upper limit set using CL_s technique, with pseudo-MC experiments

- No signal, $BR(B^0_s \rightarrow \mu^+\mu^-)$ left free to be determined in the fit
- $BR(B^0 \rightarrow \mu^+\mu^-) < 4.2 \times 10^{-10}$ at 95% CL
- CL_b is ≈ 0.15 for $BR(B^0 \rightarrow \mu^+\mu^-)$ near 0: -1 σ fluctuation of background, expected limit $< 5.7^{+2.1}_{-1.2} \times 10^{-10}$

The limit is higher than the SM prediction $BR(B^0)_{SM} = (1.06 \pm 0.09) \times 10^{-10}$

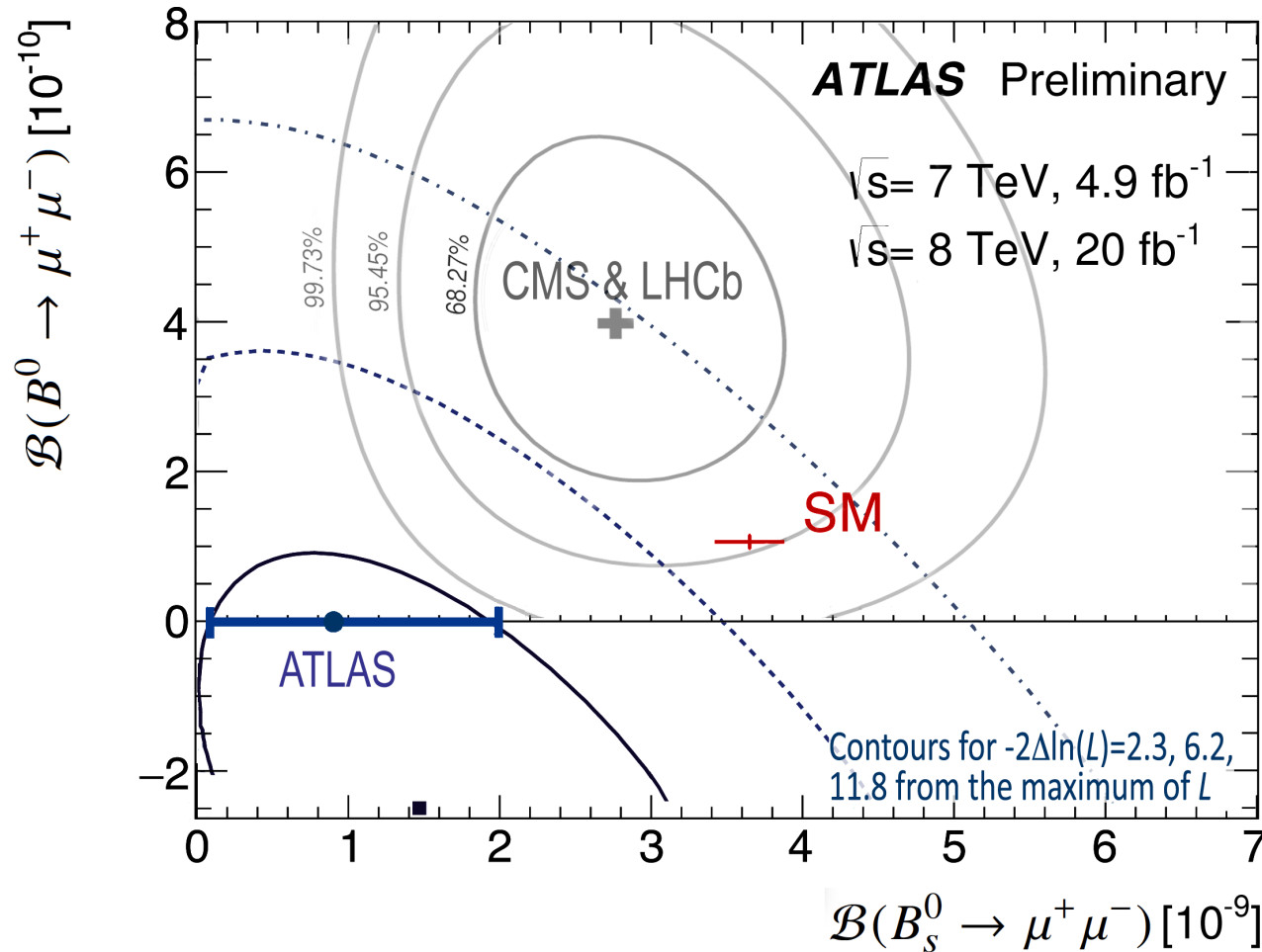
The expected significance for $BR(B^0 \rightarrow \mu^+\mu^-)$, assuming the SM branching fraction, is 0.2 σ .

Likelihood fit to branching fractions: results - 2

Result for $BR(B_s^0 \rightarrow \mu^+\mu^-)$: $BR(B_s^0 \rightarrow \mu^+\mu^-) = 0.9^{+1.1}_{-0.8} \times 10^{-9}$

- The central value is obtained within the boundary of non-negative branching fractions
- The errors are obtained by means of a frequentist belt, using pseudo-MC experiments, and include both statistic and systematic error. The systematic uncertainty is $\sigma_{\text{syst}} = \pm 0.3 \times 10^{-9}$.
- The upper limit from CL_s is $BR(B_s^0 \rightarrow \mu^+\mu^-) < 3.0 \times 10^{-9}$ at 95% CL
The expected limit (no signal, $BR(B^0 \rightarrow \mu^+\mu^-)$ determined in the fit) is $< 1.8^{+0.7}_{-0.4} \times 10^{-9}$.
- The observed compatibility with the null hypothesis (no signal, $BR(B^0 \rightarrow \mu^+\mu^-)$ determined in the fit) corresponds to $p = 0.08$ (1.4σ). The expected significance assuming the SM branching fraction is 3.1σ .
- Compatibility with SM ($BR(B_s^0)_{\text{SM}} = (3.65 \pm 0.23) \times 10^{-9}$, $BR(B^0)_{\text{SM}} = (1.06 \pm 0.09) \times 10^{-10}$): $p\text{-value} = 0.048$ (2.0σ) obtained from pseudo-MC experiments, using the likelihood ratio, for the simultaneous fit to $BR(B_s^0 \rightarrow \mu^+\mu^-)$ and $BR(B^0 \rightarrow \mu^+\mu^-)$.

Likelihood contours without imposing natural boundaries



The contours corresponding to $-2\Delta\ln(L) = 2.3, 6.2, 11.8$ are shown relative to the absolute maximum of L , regardless of its position outside of the natural boundary.

The minimum within the boundary of non-negative branching fraction is shown with the error bar for the frequentist 68% confidence range for $\text{BR}(B_s^0 \rightarrow \mu^+ \mu^-)$

Also shown are the contours from the combination of CMS and LHCb
 [Nature 522 (2015) 68-72]



Conclusions:

Using the data collected in Run-1, ATLAS has today preliminary results on the rare decays of B_s^0 and B^0 into muon pairs.

For B_s^0 :

- $BR(B_s^0 \rightarrow \mu^+\mu^-) = 0.9^{+1.1}_{-0.8} \times 10^{-9}$
- $< 3.0 \times 10^{-9}$ at 95% CL (from CL_s)
- The limit is lower than the SM prediction ($BR(B_s^0)_{SM} = (3.65 \pm 0.23) \times 10^{-9}$)
- The result is lower than the central value of the CMS & LHCb combination, but the difference to the central value is smaller
 $(BR(B_s^0)_{CMS \& LHCb} = (2.8^{+0.7}_{-0.6}) \times 10^{-9})$

For B^0 :

- $BR(B^0 \rightarrow \mu^+\mu^-) < 4.2 \times 10^{-10}$ at 95% CL (from CL_s)
- The limit is above the SM prediction
- and reaches the central value of the CMS & LHCb combination
 $BR(B^0)_{CMS \& LHCb} = (3.9^{+1.6}_{-1.4}) \times 10^{-10}$.

The compatibility with the SM, for the simultaneous fit, is 2.0σ .