

H Effective Theory and the Geometry of Scalar Field Space

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- Effective Field Theory: Motivation
- Effective Field Theory Generalizations of SM Higgs Sector: SMEFT and HEFT
- Geometric Invariants of Scalar Manifold: Curvature of Scalar Field Space, Connection
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R. Alonso, EJ, A. Manohar, 1511.00724, 1602.00706

Effective Field Theory: Motivation

- SM renormalizable theory gives a good description of particle physics, including the Higgs boson h , up to energy scale $\Lambda \sim 1$ TeV. Why introduce EFT?
- Current LHC at 13 TeV run can
 - (1) produce any accessible **new particles** (direct discovery).
 - (2) probe **new interactions** of SM particles resulting from unobserved particles at inaccessible higher energy scale Λ (indirect discovery).
- EFT classifies **all possible interactions** for a given set of fields. (Classification enables one to pursue objective (2) for a given set of particles with known symmetry properties.)
- EFT tells you what modifications to SM couplings are possible.
(EFT only valid to energy scale Λ , where it is replaced by another EFT.)

Effective Field Theory: Motivation

- Generalize SM by constructing EFT generalizations. Add all possible non-renormalizable higher dimension operators $d > 4$ with arbitrary coefficients and power suppressions $1/\Lambda^{d-4}$. Use experiment to bound these new interactions. Effectively probes low-energy $E < \Lambda$ consequences of fundamental high energy theory with new particles of mass $\sim \Lambda$.

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda^{d-4}} \sum_i c_i \mathcal{O}_i^{(d)}$$

- **Any** fundamental high energy theory with new particles of mass Λ yields EFT description at $E < \Lambda$. Analysis is model independent.

SM written in terms of scalar Higgs doublet

$$H(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi^+(x) \\ v + h(x) + i\varphi^0(x) \end{pmatrix}.$$

4 scalar d.o.f in H : 3 Goldstone bosons φ^\pm, φ^0 and h .

3 Goldstone boson directions are in W^\pm, Z gauge directions \Rightarrow
3 Goldstone bosons are third (longitudinal) polarization states
of massive W^\pm, Z

$$\varphi^\pm, \varphi^0 \sim W_L^\pm, Z_L^0$$

$$\mathcal{L}_{\text{SM}} = D_\mu H^\dagger D^\mu H - \lambda \left(H^\dagger H - \frac{v^2}{2} \right)^2$$

SM Higgs Sector

SM written in terms of scalar four-dimensional irrep ϕ of $O(4)$.

$$\mathcal{L}_{\text{SM}} = \frac{1}{2} \partial_\mu \phi \cdot \partial^\mu \phi - \frac{1}{4} \lambda \left(\phi \cdot \phi - v^2 \right)^2, \quad \phi = \begin{pmatrix} \phi^1 \\ \phi^2 \\ \phi^3 \\ \phi^4 \end{pmatrix}$$

Cartesian Coordinates

$$\phi = \begin{pmatrix} \varphi^1 \\ \varphi^2 \\ \varphi^3 \\ v + h \end{pmatrix}$$

Polar Coordinates

$$\phi = (v + h) \mathbf{n}(\varphi), \quad \mathbf{n}(\varphi) \in S^3 \Rightarrow \mathbf{n} \cdot \mathbf{n} = 1.$$

Cartesian Coordinates

$$\phi = \begin{pmatrix} \varphi^1 \\ \varphi^2 \\ \varphi^3 \\ v + h \end{pmatrix}$$

$$\mathcal{L}_{\text{SM}} = \frac{1}{2} \partial_\mu h \partial^\mu h + \frac{1}{2} \partial_\mu \varphi \cdot \partial^\mu \varphi - \frac{1}{4} \lambda \left(2vh + h^2 + \varphi \cdot \varphi \right)^2$$

- ϕ transforms linearly as **4** under $\mathcal{G} = O(4)$.
- h invariant (singlet) under $\mathcal{H} = O(3)$.
- $\varphi = (\varphi^1, \varphi^2, \varphi^3)$ transforms linearly as triplet under $\mathcal{H} = O(3)$.
- ★ $V(\phi)$ depends on $\varphi \cdot \varphi$ and h . There are non-derivative couplings of φ .
- ★ $m_h^2 = 2\lambda v^2$, $m_\varphi^2 = 0$.

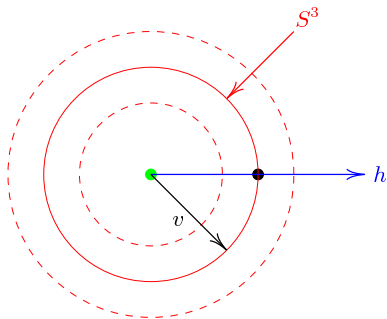
Polar Coordinates

$$\phi = (v + h) \mathbf{n}(\varphi), \quad \mathbf{n}(\varphi) \in S^3 \Rightarrow \mathbf{n} \cdot \mathbf{n} = 1.$$

$$\mathcal{L}_{\text{SM}} = \frac{1}{2} (\partial_\mu h)^2 + (v + h)^2 \partial_\mu \mathbf{n} \cdot \partial^\mu \mathbf{n} - \frac{1}{4} \lambda (2vh + h^2)^2$$

$$h \rightarrow h, \quad \mathbf{n}(\varphi) \rightarrow O \mathbf{n}(\varphi), \quad O^T O = 1.$$

- h invariant (singlet) under $\mathcal{G} = O(4)$ and $\mathcal{H} = O(3)$.
- $\mathbf{n}(\varphi)$ transforms non-linearly under $\mathcal{G} = O(4)$, but $\varphi = (\varphi^1, \varphi^2, \varphi^3)$ transforms linearly as triplet under $\mathcal{H} = O(3)$.
- ★ $V(\phi)$ depends only on h . Goldstone bosons are derivatively coupled.
- ★ $m_h^2 = 2\lambda v^2$, $m_\varphi^2 = 0$.



$$\mathcal{G}/\mathcal{H} = S^3 \subset \mathcal{M} = \mathbb{R}^4$$

$$(v + h) = v \left(1 + \frac{h}{v} \right) \equiv v F_{\text{SM}}(h)$$

Custodial Symmetry

SM has enhanced **global** symmetry

$$\mathcal{G} \rightarrow \mathcal{H}$$

$$O(4) \rightarrow O(3)$$

$$SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$$

$$6 \rightarrow 3$$

$$\mathcal{G}_{\text{gauge}} \rightarrow \mathcal{H}_{\text{gauge}}$$

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_Q$$

$$4 \rightarrow 1$$

2 additional global symmetry generators

$$M_W = M_Z \cos \theta_W$$

- Scalar fields are coordinates ϕ^j of scalar manifold \mathcal{M} .
Scalar field redefinitions are change of coordinates.
Physical observables independent of coordinates (\equiv scalar fields) used.
- Tangent vectors to \mathcal{M} define scalar metric $g_{ij}(\phi)$. Parallel transport on \mathcal{M} depends on geometric invariants (curvature) of \mathcal{M} which are independent of the choice of coordinates (\equiv scalar fields).
- Scalar metric determined from scalar KE term.
SM scalar manifold is **flat** \Rightarrow curvature vanishes. Same result of **zero curvature** for both Cartesian and polar coordinates. No indication for any new physics scale Λ at which theory breaks down. Hallmark of renormalizable theory.

HEFT written in terms of singlet h and triplet $\varphi = (\varphi^1, \varphi^2, \varphi^3)$.

- Non-trivial scalar metric since \exists higher dimensional 2-derivative scalar operators \Rightarrow scalar manifold \mathcal{M} is curved.
- Coordinate-independent Riemann curvature tensor determines physical observables.

$$\mathcal{L}_{\text{KE}} = g_{ij}(\phi) \partial_\mu \phi^i \partial^\mu \phi^j = v^2 F(h)^2 \partial_\mu \mathbf{n}(\varphi) \cdot \partial^\mu \mathbf{n}(\varphi) + \frac{1}{2} (\partial_\mu h)^2$$

$$F(h) = 1 + c_1 \frac{h}{v} + \frac{1}{2} c_2 \frac{h^2}{v^2} + \dots \quad \text{vs.} \quad F_{\text{SM}}(h) = 1 + \frac{h}{v}$$

$$g_{ij}(\phi) = \begin{bmatrix} F(h)^2 g_{ab}(\varphi) & 0 \\ 0 & 1 \end{bmatrix}$$

where $g_{ab}(\varphi)$ is metric on $\mathcal{G}/\mathcal{H} = S^3 \subset \mathcal{M}$.

3 angular coordinates of S^3 are φ/v .

Curved Spaces: Analogy with General Relativity

General Relativity

- Spacetime metric $g_{\mu\nu}(x)$
- Curvature of spacetime: $R_{\mu\nu\sigma\tau}(x)$, $\Gamma_{\mu\nu}^{\kappa}(x)$
- Point particles travel on geodesics of curved spacetime.
- Spacetime curvature sourced by $T_{\mu\nu}$.

HEFT

- Scalar manifold metric $g_{ij}(\phi)$
- Curvature of scalar manifold \mathcal{M} : $R_{ijkl}(\phi)$, $\Gamma_{jk}^i(\phi)$
- Scalar fields travel on geodesics of curved scalar manifold \mathcal{M} .
- Scalar manifold curvature sourced by BSM Physics at Energy Scale $\Lambda \equiv 4\pi f > v$. This BSM Physics appears in HEFT as higher dimension (non-renormalizable) contributions to the two-derivative scalar KE term.

1-Loop Renormalization For Curved Scalar Manifold

- Introduce covariant formalism for curved scalar manifold \mathcal{M} .
- EOM of scalar field is geodesic of \mathcal{M} .

$$g_{ij}(\phi) \left(\partial^2 \phi^i + \Gamma_{jk}^i \left(\partial_\mu \phi^j \right) \left(\partial^\mu \phi^k \right) \right) - \mathcal{I}_j = 0$$

- Variation of action using geodesic fluctuation of scalar field to maintain covariance. For example, in sigma model with S^3 submanifold

$$\frac{d^2 \varphi^a}{d\lambda^2} + \Gamma_{bc}^a(\phi) \frac{d\varphi^b}{d\lambda} \frac{d\varphi^c}{d\lambda} = 0$$

$$\varphi^a(\lambda) = \tilde{\varphi}^a + \lambda \zeta^a - \frac{1}{2} \lambda^2 \Gamma_{bc}^a \zeta^b \zeta^c + \mathcal{O}(\zeta^3)$$

$$\delta \mathcal{S} = \mathcal{S}[\varphi] + \frac{\delta \mathcal{S}}{\delta \varphi^a} \left(\zeta^a - \frac{1}{2} \Gamma_{bc}^a \zeta^b \zeta^c \right) + \frac{\delta^2 \mathcal{S}}{\delta \varphi^b \delta \varphi^c} \zeta^b \zeta^c + \mathcal{O}(\zeta^3)$$

1-Loop Renormalization For Curved Scalar Manifold

$$\delta^2 \mathcal{S} = \frac{1}{2} \int d^4 x \left[g_{ab} (\mathcal{D}_\mu \zeta)^a (\mathcal{D}^\mu \zeta)^b - R_{abcd} D_\mu \tilde{\varphi}^a D^\mu \tilde{\varphi}^c \zeta^b \zeta^d \right]$$

$$\mathcal{D}_\mu \zeta^a = (D_\mu \zeta)^a + \Gamma_{bc}^a(\tilde{\varphi}) (D_\mu \tilde{\varphi})^b \zeta^c, \quad (D_\mu \zeta)^a = \partial_\mu \zeta^a + A_\mu^B t_B^a$$

No non-covariant EOM terms proportional to $\delta \mathcal{S} / \delta \varphi^a$ which vanish on-shell and do not contribute to S-matrix.

- 1-loop correction

$$\Delta \Gamma = \frac{1}{16\pi^2 \epsilon} \int d^4 x \text{Tr} \left\{ \frac{\Gamma_{\mu\nu}^2}{24} + \frac{X^2}{4} \right\}$$

$$[\Gamma_{\mu\nu}]^i_j = [\mathcal{D}_\mu, \mathcal{D}_\nu]^i_j = R^i_{jkl} (D_\mu \phi)^k (D_\nu \phi)^l + (\mathcal{A}_{\mu\nu})^i_j$$

$$(\mathcal{A}_{\mu\nu})^i_j = \left(\partial_{[\mu} A_{\nu]}^B + f_{CD}^B A_\mu^C A_\nu^D \right) t_{B;j}^i$$

$$X^i_j = \nabla^i \nabla_j \mathcal{I} - R^i_{kjl} (D^\mu \phi)^k (D_\mu \phi)^l$$

1-Loop Renormalization For Curved Scalar Manifold

1-loop correction of HEFT encapsulates many interesting special cases in a unified way.

$$L = \frac{1}{2} \partial_\mu h \partial^\mu h + \frac{1}{2} F(h)^2 g_{ab}(\varphi) D_\mu \varphi^a D^\mu \varphi^b - V(h) + K(h) w^i n^i$$

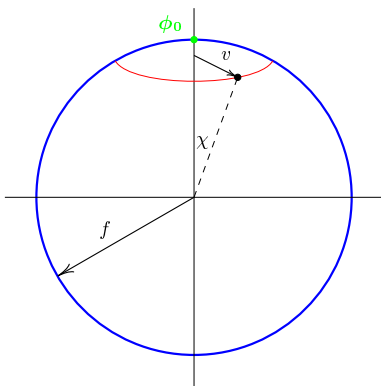
$$w^i = \bar{q}_L \sigma^i Y_q q_R + \bar{\ell}_L \sigma^i Y_\ell \ell_R$$

Need to specify $F(h)$, $K(h)$, $V(h)$.

- Chiral Perturbation Theory (theory of pions with no h):
 $F(h), K(h) = \text{constant}$
- SM Higgs boson h : $F(h) = K(h) = v + h$,
 $V(h) = \lambda/4 (h^2 + 2vh)^2$
- Goldstone boson h of enlarged global symmetry:
 $F(h) = \sin h/f$
- Dilaton h : Introduce scale invariance via $v \rightarrow ve^{\tau/v}$,
 $h/v = e^{\tau/v} - 1$

Example of HEFT with Positive Curvature

$$O(5)/O(4) = S^4$$



$$f^2 = \sum_{i=1}^5 (\phi^i)^2$$

Agashe, Contino and Pomarol (2005)

Example of HEFT with Positive Curvature

$O(5) \rightarrow O(4)$ Composite Higgs Model

$$\phi = \begin{bmatrix} \phi^1 \\ \phi^2 \\ \phi^3 \\ \phi^4 \\ \phi^5 \end{bmatrix} = \begin{bmatrix} f \sin \chi \mathbf{n} \\ f \cos \chi \end{bmatrix}, \quad \langle \phi_0 \rangle = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ f \end{bmatrix}$$

- Vacuum manifold of Composite Higgs Model

$O(5)/O(4) = S^4$ with constant positive curvature set by scale f . Point ϕ_0 is $O(4)$ invariant point \Rightarrow SMEFT.

There are 4 (approximate) Goldstone bosons: $\phi^{1,2,3,4}$.

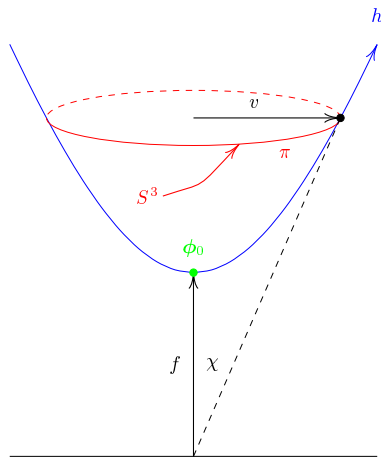
$$L_{\text{KE}} = \frac{1}{2} \left[f^2 (\partial_\mu \chi)^2 + f^2 \sin^2 \chi \partial_\mu \mathbf{n} \partial^\mu \mathbf{n} \right]$$

- HEFT vacuum manifold $O(4)/O(3) = S^3$ with curvature set by EW vev $v = f \sin \chi = f \sin (\chi_0 + \frac{h}{f}) \approx f \chi_0 + h$.

$$f^2 \sin^2 \chi = v^2 F(h)^2 \Rightarrow F(h) = 1 + \frac{h}{v} \sqrt{1 - \frac{v^2}{f^2}} - \frac{h^2}{2f^2} + \dots$$

Example of HEFT with Negative Curvature

$$O(4, 1)/O(4) = \mathbb{H}^4$$



$$f^2 = (\phi^5)^2 - \sum_{i=1}^4 (\phi^i)^2$$

Example of HEFT with Negative Curvature

$O(4, 1) \rightarrow O(4)$ Model

$$\phi = \begin{bmatrix} \phi^1 \\ \phi^2 \\ \phi^3 \\ \phi^4 \\ \phi^5 \end{bmatrix} = \begin{bmatrix} f \sinh \chi \mathbf{n} \\ f \cosh \chi \end{bmatrix}, \quad \langle \phi_0 \rangle = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ f \end{bmatrix}$$

- Vacuum manifold $O(4, 1)/O(4) = \mathbb{H}^4$ with constant negative curvature set by scale f , $f^2 = (\phi^5)^2 - (\phi^i)^2$. Point ϕ_0 is $O(4)$ invariant point \Rightarrow SMEFT. There are 4 (approximate) Goldstone bosons: $\phi^{1,2,3,4}$.

$$L_{\text{KE}} = \frac{1}{2} \left[f^2 (\partial_\mu \chi)^2 + f^2 \sin^2 \chi \partial_\mu \mathbf{n} \partial^\mu \mathbf{n} \right]$$

- HEFT vacuum manifold $O(4)/O(3) = S^3$ with curvature set by EW vev $v = f \sinh \chi$.

$$f^2 \sinh^2 \chi = v^2 F(h)^2 \Rightarrow F(h) = 1 + \frac{h}{v} \sqrt{1 + \frac{v^2}{f^2}} + \frac{h^2}{2f^2} + \dots$$

Summary

- $SM \subset SMEFT \subset HEFT$.
- New Physics models for Higgs boson h described by EFT.
- EFT scalar kinetic energy term defines scalar metric $g_{ij}(\phi)$.
 - ★ SM metric is trivial $g_{ij}(\phi) = \delta_{ij}$ and \mathcal{M} is flat. $R_{ijkl} = 0$.
SM (renormalizable theory) valid to arbitrarily high energies.
 - ★ HEFT metric is non-trivial and \mathcal{M} is curved.

$$g_{ij}(\phi) = \begin{pmatrix} F(h)^2 g_{ab}(\varphi) & 0 \\ 0 & 1 \end{pmatrix}$$

$R_{ijkl} \neq 0 \Rightarrow \exists$ new physics scale f (in addition to v) at which EFT breaks down.

Different predictions for $W_L W_L \rightarrow W_L W_L$ and $W_L W_L \rightarrow hh$ scattering, h couplings, etc. from SM.

- Covariant description on curved manifold maintains manifest coordinate invariance and gauge invariance.
- Curvature: Gauge curvature (gauge field strength) and Scalar manifold curvature both contribute.
- Interesting possibility of negative curvature arises.