

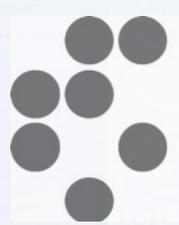
1966 - 2016

March 12th - 26th
La Thuile, Aosta Valley - Italy

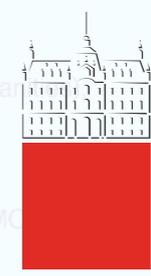
Lepton flavor nonuniversality in $b \rightarrow s \ell^+ \ell^-$ processes

Nejc Košnik

University of Ljubljana
Faculty of *Mathematics and Physics*



Institut "Jožef Stefan", Ljubljana, Slovenija



Electroweak Interactions and Unified Theories

Study and properties of the Higgs Boson.
Searches for beyond the Standard Model physics.
Supersymmetry, rare processes, extradimensions, ...
Flavour physics and CP violation (in the hadronic and leptonic sectors).
Neutrino physics. Astroparticle physics.
Searches for axions, dark matter searches and dark energy candidates.
Cosmological implications. Precision tests.

QCD and High Energy Interactions

Production and properties of the Higgs boson.
Searches for new physics beyond the Standard Model.
Properties of the Higgs boson: production and decay of b and c quarks.
New theoretical developments in particle physics.
Small x, saturation, forward and spin physics.
Heavy ion collisions.

Cosmology

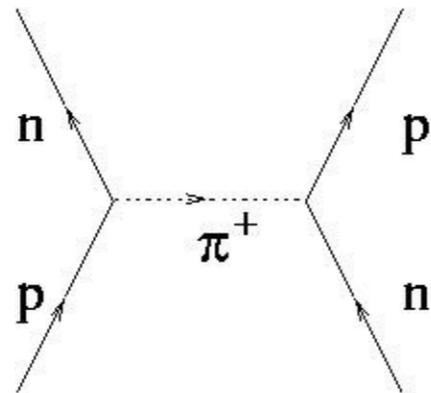
CMB (Planck results, polarisation, foregrounds, non gaussian fluctuations).
Neutrinos and Cosmology.
Dark energy probes (Supernovae, BAO, Weak lensing).
Dark Matter: from galaxies to clusters, direct searches.
Brane cosmology, modified gravity and extra dimensions, Massive gravity. Dark Energy models. Inflation.

Outline

- Introduction
- Effective theory analysis
- UV realizations: Z' model, light leptoquarks
- Additional cross checks and LFV
- Conclusion

Muon discovery

H. Yukawa proposes scalar meson theory of proton-neutron interaction '35



Cosmic ray meson discovery '37 [\[Neddermeyer\]](#)

The discovered meson is not interacting strongly '47 [\[Conversi\]](#)

Pion and unexpected muon were discovered

$\mu - \pi$ system, $\pi \rightarrow \mu\nu$

“There is a muon”

I. Rabi: “*who ordered that*”

A. Pais: “*divine laughter*”, muon is useless as a constituent

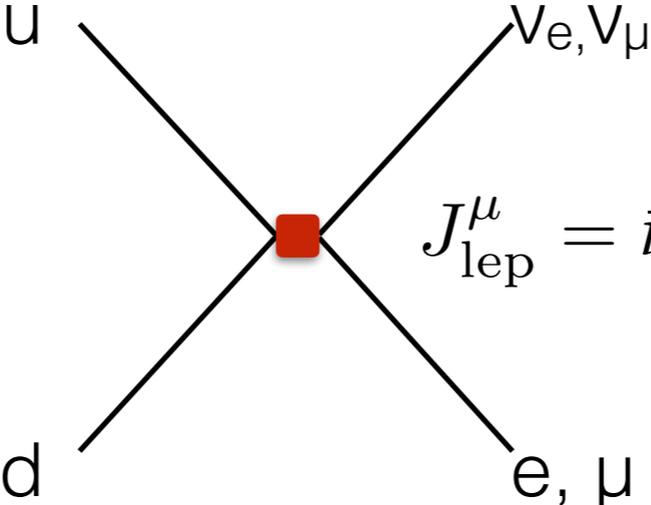


Heavy, unstable electron, $m_\mu \sim 200 m_e$

Introduction

Lepton Flavor Universality (**LFU**) first observed in the framework of Fermi theory

$$G_F^e \approx G_F^\mu$$

$$J_{\text{quark}}^\mu = \bar{n} \gamma^\mu (1 - a \gamma_5) p$$


$$J_{\text{lep}}^\mu = \bar{\nu}_e \gamma^\mu (1 - \gamma_5) e + \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

$$\frac{G_F}{\sqrt{2}} J_{\text{lep}, \mu} J_{\text{had}}^\mu$$

LFU predicted in the SM on the level of gauge couplings. Broken only by lepton Yukawa couplings.

Well tested in pion, kaon decays, Z decays (LEP):

$$\Gamma_{ee} = (83.94 \pm 0.14) \text{MeV}$$

$$\Gamma_{\mu\mu} = (83.84 \pm 0.20) \text{MeV}$$

$$\Gamma_{\tau\tau} = (83.68 \pm 0.24) \text{MeV}$$

$$\Gamma_{ll}^{SM} = \frac{GM_Z^3}{6\sqrt{2}\pi} \left((C_V^f)^2 + (C_A^f)^2 \right) = 83.42 \text{MeV}$$

$$C_V^\ell = -1$$

$$C_A^\ell = -1 + 4 \sin^2 \theta_W$$

Introduction

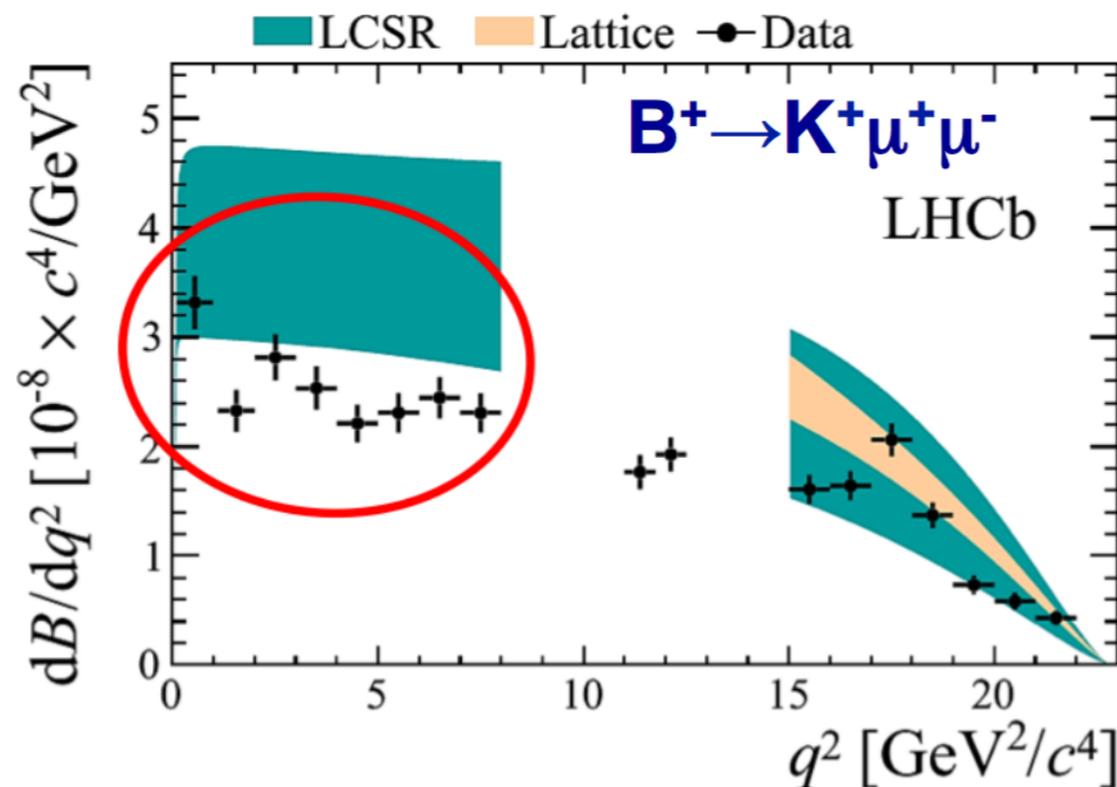
LHCb seen hints of LFU in $b \rightarrow s \mu \mu$ transition (2014)

$$R_K = \frac{\mathcal{B}(B \rightarrow K \mu^+ \mu^-)_{q^2 \in [1,6] \text{ GeV}^2}}{\mathcal{B}(B \rightarrow K e^+ e^-)_{q^2 \in [1,6] \text{ GeV}^2}} = 0.745 \pm_{0.074}^{0.090} \pm 0.036$$

[LHCb, 1406.6482]

2.6σ below the LFU prediction, $R_K = 1$

- First proposal and prediction of R_K , R_{K^*} , R_{X_S} in 2003 [Kruger, Hiller, hep-ph/0310219]
- Very precise due to efficient cancellation of hadronic uncertainties.
e and μ are almost massless.



[LHCb 1403.8044]

missing muons or
too many electrons?

Effective operator analysis

Standard Model + dim-6 operators at NP scale Λ

$$\mathcal{L}_{BSM} = \frac{1}{\Lambda^2} \sum_i C_i Q_i$$

$$Q_i \sim \begin{aligned} &(H D_\mu H)(\bar{q} \gamma^\mu q) && \text{“Higgs current”} \\ &(\bar{q} \sigma^{\mu\nu} V_{\mu\nu} q) H && \text{“dipoles”} \\ &\bar{q} q \bar{\ell} \ell && \text{“4-fermion”} \end{aligned}$$

Matching onto low energy effective Lagrangian

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \left[\sum_{i=1}^6 C_i(\mu) \mathcal{O}_i(\mu) + \sum_{i=7,8,9,10,P,S} \left(C_i(\mu) \mathcal{O}_i + C'_i(\mu) \mathcal{O}'_i \right) \right]$$

$$\mathcal{O}_7^{(l)} = \frac{e}{(4\pi)^2} m_b (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$$

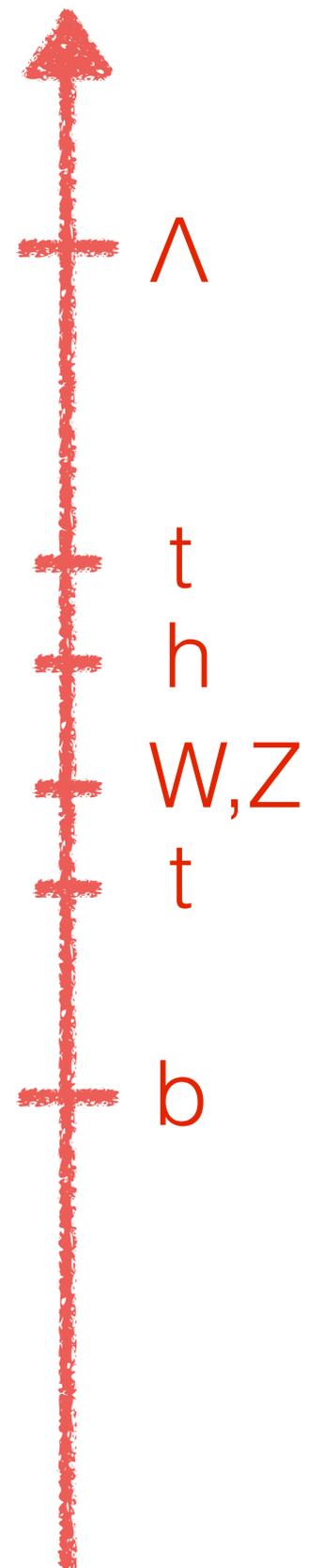
$$\mathcal{O}_9^{(l)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \ell)$$

$$\mathcal{O}_S^{(l)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \ell)$$

$$\mathcal{O}_{10}^{(l)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_P^{(l)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \gamma_5 \ell)$$

1. no tensor currents
2. scalar combinations
 $C_S = -C_P, C_S' = C_P'$
3. $C_{9,SM} = -C_{10,SM} = 4.2$



[Grinstein, Camalich, Alonso, 1407.7044]
 [Grinstein, Camalich, Alonso, 1505.05164]
 [See talk by Gudrun Hiller]

Effective operator analysis

$$\mathcal{O}_7^{(\prime)} = \frac{e}{(4\pi)^2} m_b (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$$

$$\mathcal{O}_9^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \ell) \quad \mathcal{O}_{10}^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_S^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \ell) \quad \mathcal{O}_P^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \gamma_5 \ell)$$

- Global $b \rightarrow s \mu \mu$ data prefer: decrease muonic decay rate $B \rightarrow K \mu \mu$,
less attractive: increase electronic rate $B \rightarrow K e e$
- Scalar operators $C_S = -C_P$, $C_{S'} = C_{P'}$ for muons: disfavoured by $\text{Br}(B_s \rightarrow \mu \mu) \times$
- Scalar operators $C_S = -C_P$, $C_{S'} = C_{P'}$ for electrons can decrease R_K : in conflict with rate of $B \rightarrow K e e \times$
- (Axial)vector operators, chiral vector currents: can affect μ or $e \checkmark$

[See talks by Lars Hofer and Johannes Albrecht]

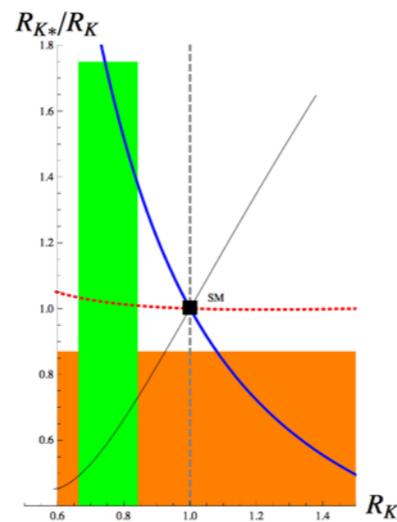
$$C_9^\mu = -C_{10}^\mu \sim -[0.5, 1] \quad \text{negative contribution towards } B \rightarrow K \mu \mu, B_s \rightarrow \mu \mu$$

[Hiller, Schmaltz, 1408.1627]
[Hiller, Schmaltz, 1411.4773]

Related LFU observables

$$R_{K^*} = \frac{\Gamma(B \rightarrow K^* \mu^+ \mu^-)_{q^2 \in [1,6] \text{ GeV}^2}}{\Gamma(B \rightarrow K^* e^+ e^-)_{q^2 \in [1,6] \text{ GeV}^2}}$$

[Kruger, Hiller, hep-ph/0310219]



Green band: R_K 1 sigma LHCb. Curves: different BSM scenarios. red dashed: pure C_{LL} . Black solid: $C_{LL} = -2C_{RL}$. Blue: C_{RL} . Orange band is prediction for R_{K^*} (not significantly measured) based on R_K and $B \rightarrow X_s \ell \ell$: $R_{X_s}^{\text{Belle}'09} = 0.42 \pm 0.25$, $R_{X_s}^{\text{BaBar}'13} = 0.58 \pm 0.19$.

[G. Hiller, EPS 2015]

$$X_K = \frac{R_{K^*}}{R_K} - 1$$

sensitive to right-handed quark current

[Hiller, Schmaltz, 1408.1627]

$$A_{\text{fb}[4-6]}^\ell = \frac{3}{4} \frac{\int_{4 \text{ GeV}^2}^{6 \text{ GeV}^2} I_6^s(q^2) dq^2}{\Gamma(B \rightarrow K^* \ell^+ \ell^-)_{q^2 \in [4,6] \text{ GeV}^2}}$$

$$R_{\text{fb}} = \frac{A_{\text{fb}[4-6]}^\mu}{A_{\text{fb}[4-6]}^e}$$

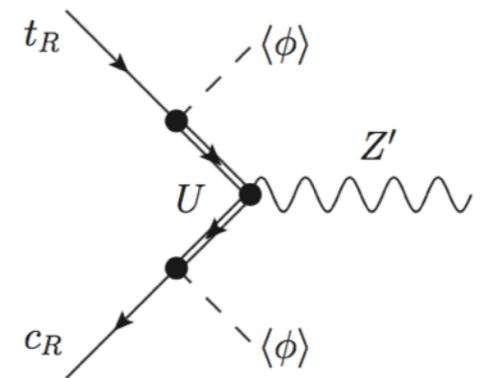
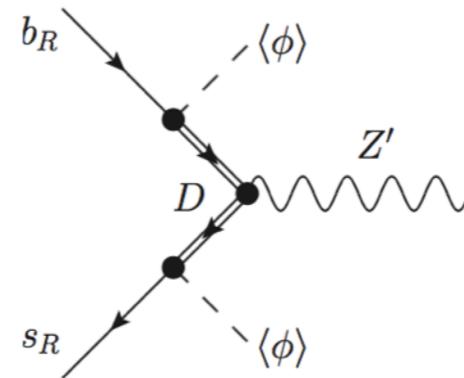
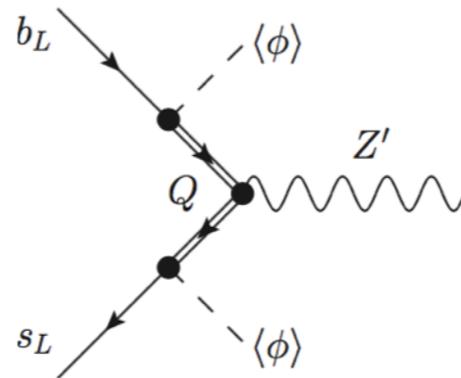
[Altmannshofer, Straub, 1308.1501]

$L_\mu - L_\tau$ Z' model

- Gauge the leptonic number difference: $U(1)_{L_\mu - L_\tau}$, massless boson Z'_μ
- At this point we have vector-couplings of Z' to either muons or taus
- Spontaneously break $U(1)$ by a VEV of a scalar Φ , charged under $U(1)$. $m_{Z'} = g' v_\Phi$
- Vector-like quarks charged under $U(1)$ mix with SM quarks and give dim-6 operators:

$$C_9^\mu = -C_9^\tau$$

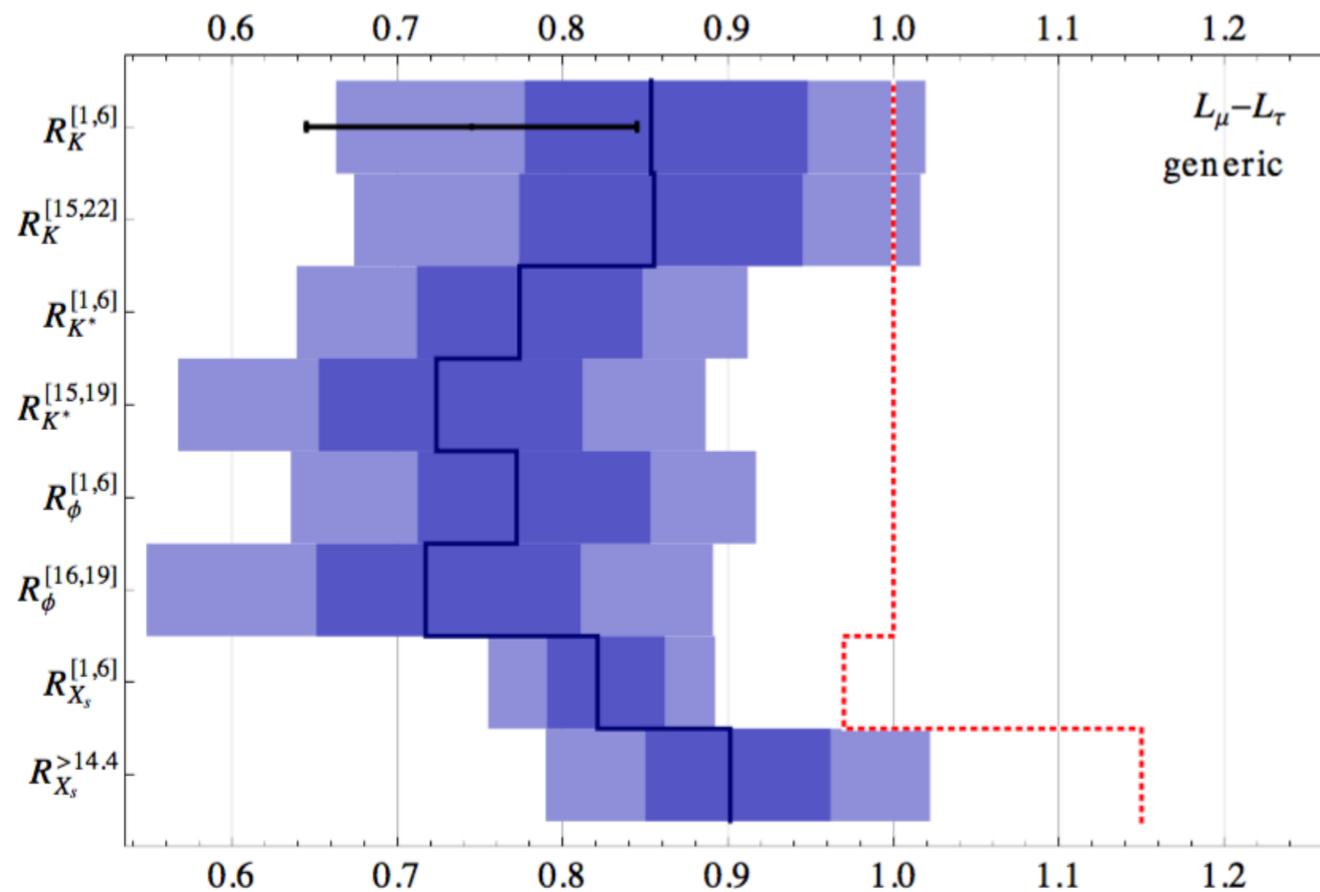
$$C_9^{\prime\mu} = -C_9^{\prime\tau}$$



[Altmannshofer, Gori, Pospelov, Yavi, 1403.1269]
 [Altmannshofer, Yavin, 1508.07009]

$L_\mu-L_\tau$ Z' model

- Starting from the global fit to $b \rightarrow s\mu\mu$ data LFU observables are predicted to be $\sim 20\%$ below the SM values



[Altmannshofer, Yavin, 1508.07009]

- Analogous modes, $b \rightarrow s \tau \tau$, should be enhanced by 20% w.r.t. SM predictions

cf. [Altmannshofer, Gori, Pospelov, Yavi, 1403.1269]
[Altmannshofer, Yavin, 1508.07009]

Scalar leptoquark models

Representations of scalar LQs under $SU(3) \otimes SU(2) \otimes U(1)$

$(3, 2)_{7/6}$	Increases $B \rightarrow K\mu\mu$
$(3, 2)_{1/6}$	Decreases $B \rightarrow K\mu\mu$
$(\bar{3}, 3)_{1/3}$	Proton destabilizing
$(\bar{3}, 1)_{4/3}$	Proton destabilizing

Yukawa couplings

$$\bar{Q}e_R$$

$$\bar{L}d_R$$

$$\bar{Q}^C i\tau_2 \vec{T} L$$

$$\bar{d}_R^C \ell_R$$

$$\bar{Q}^C i\tau_2 \vec{T} Q$$

$$\bar{u}_R^C u_R$$

$$\Delta(3, 2)_{1/6}$$

$$\mathcal{L} = Y_{ij} \bar{L}_i i\tau^2 \Delta^* d_{Rj}$$

$$= Y_{ij} \left(-\bar{\ell}_{Li} d_{Rj} \Delta^{(2/3)*} + \bar{\nu}_{Lk} (V^{\text{PMNS}})_{ki}^\dagger d_{Rj} \Delta^{(-1/3)*} \right)$$

$$Y = \begin{pmatrix} Y_{\mu s} & Y_{\mu b} \end{pmatrix}$$

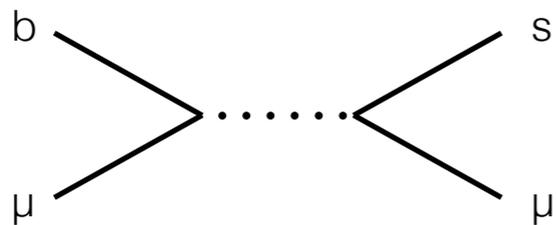
Couplings designed for $B \rightarrow K\mu\mu$
 LFU violation but flavour conservation
 SU(2) doublet correlations with $B \rightarrow K\nu\nu$

Scalar leptoquark model - μ

$$\Delta(3, 2)_{1/6}$$

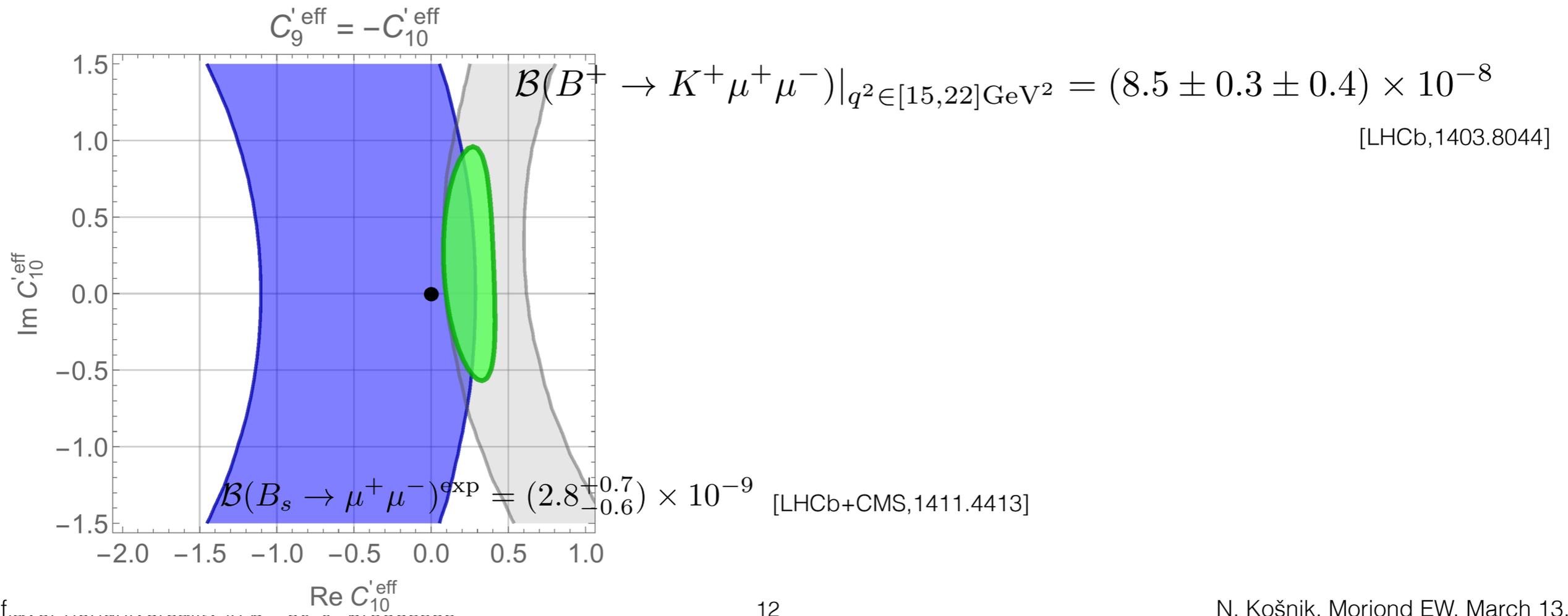
– $(Y_{\mu s} \bar{\mu}_L s_R + Y_{\mu b} \bar{\mu}_L b_R) \Delta^{(2/3)*}$ “right-left” couplings

[Becirevic, NK, Fajfer, 1503.09024]



$$C'_{10} = -C'_9 = \frac{-\pi}{2\sqrt{2}G_F V_{tb} V_{ts}^* \alpha} \frac{Y_{\mu b} Y_{\mu s}^*}{m_\Delta^2}.$$

Increasing $B \rightarrow K\mu\mu$ implies larger $B_s \rightarrow \mu\mu$!

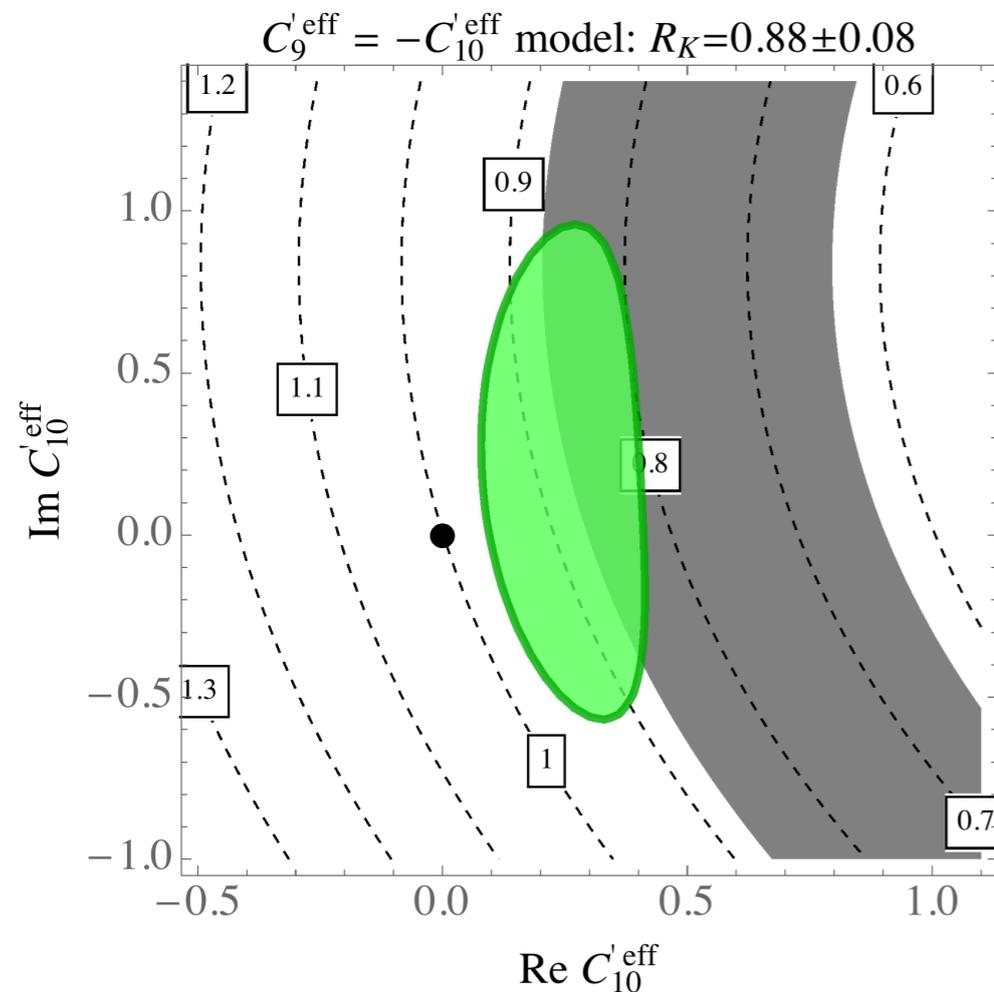


R_K prediction

$$C_9' = -C_{10}'$$

$$R_K(C_{10}') = 1.001(1) - 0.46 \operatorname{Re}[C_{10}'] - 0.094(3) \operatorname{Im}[C_{10}'] + 0.057(1)|C_{10}'|^2$$

Remaining form factor uncertainties



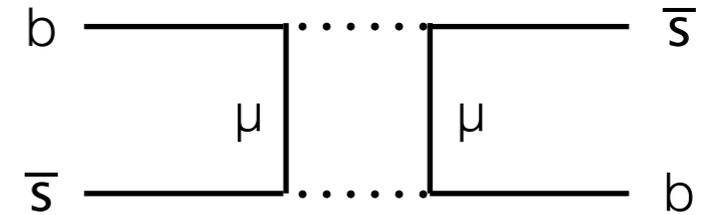
R_K contours Vs. prediction (green)

$$R_K^{\text{pred.}} = 0.88 \pm 0.08$$

R_K by LHCb (gray): 0.75 ± 0.12

Relating B_s mixing and R_K

$$\mathcal{H}_{\text{eff}} = C_1^{\text{SM}} (\bar{b} \gamma_\mu P_L s) (\bar{b} \gamma^\mu P_L s) + C_6^{\text{LQ}} (\bar{b} \gamma_\mu P_R s) (\bar{b} \gamma^\mu P_R s)$$



$$C_6^{\text{LQ}}(m_\Delta) = -\frac{G_F^2}{8\pi^4} (V_{tb}^* V_{ts})^2 \alpha^2 \boxed{m_\Delta^2 (C'_{10})^2}$$

With imposed R_K constraint, effect in $B_s \underline{B}_s$ is increasing with mass

$$\Delta m_{B_s} = \underbrace{\frac{G_F^2 m_W^2}{6\pi^2} |V_{tb}^* V_{ts}|^2 f_{B_s}^2 m_{B_s} B_{B_s} \eta_B S_0(x_t)}_{\Delta m_{B_s}^{\text{SM}}} \left| 1 - \frac{1}{2\pi^2} \frac{\alpha^2}{S_0(x_t)} (C'_{10})^2 \frac{m_\Delta^2}{m_W^2} \right|$$

$$= 17.3 \pm 1.7 \text{ ps}^{-1}$$

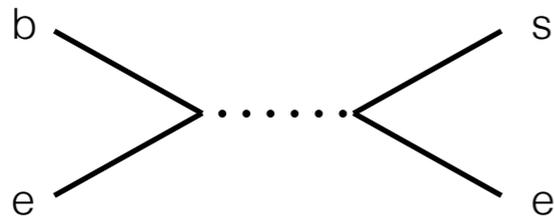
Upper mass limit for the LQ of the order 100 TeV.

Scalar leptoquark model - e

$$\Delta(3, 2)_{1/6}$$

$$- (Y_{es} \bar{\mu}_L s_R + Y_{eb} \bar{\mu}_L b_R) \Delta^{(2/3)*}$$

[Hiller, Schmaltz, 1411.4773]



$$C'_{10} = -C'_9 = \frac{-\pi}{2\sqrt{2}G_F V_{tb} V_{ts}^* \alpha} \frac{Y_{eb} Y_{es}^*}{m_\Delta^2}.$$

Increased $B \rightarrow Kee$ implies decrease in $B_s \rightarrow ee$

$$C'_9 \approx 0.5 \longrightarrow \frac{Y_{eb} Y_{es}^*}{m_\Delta^2} \approx \frac{1}{(24\text{TeV})^2}$$

Further remarks on LQs

Scalars: $(\bar{\mathbf{3}}, \mathbf{3})_{1/3}$ $(\bar{\mathbf{3}}, \mathbf{1})_{1/3}$

- Both states may destabilize the proton
- $(\bar{\mathbf{3}}, \mathbf{3})_{1/3}$ implements a favorable $C_9^\mu = -C_{10}^\mu$ scenario
cf. Hiller, Schmaltz, 1411.4773
- $(\bar{\mathbf{3}}, \mathbf{1})_{1/3}$ has loop level contributions towards $B \rightarrow K\mu\mu$ and tree-level contributions to $B \rightarrow D^{(*)}\tau\nu$
cf. Neubert, Bauer, 1511.01900
- Vector $(\mathbf{3}, \mathbf{3})_{2/3}$ conserves baryon number, implements $C_9^\mu = -C_{10}^\mu$ scenario and also contributes to $B \rightarrow D^{(*)}\tau\nu$

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & g_{s\mu} & 0 \\ 0 & g_{b\mu} & g_{b\tau} \end{pmatrix}$$

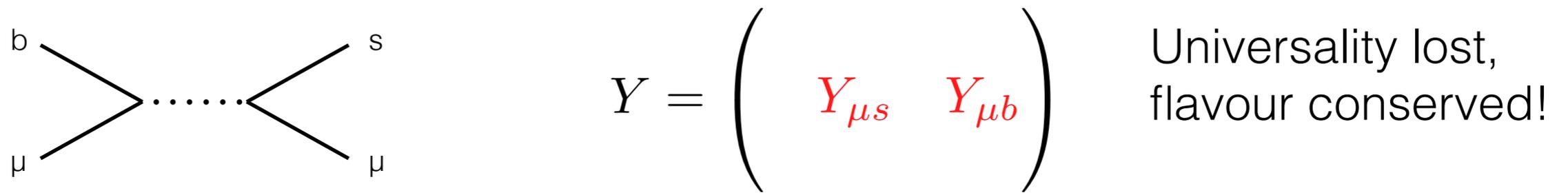
cf. Fajfer, NK, 1511.01900

Relating LFUV to Lepton Flavor Violation

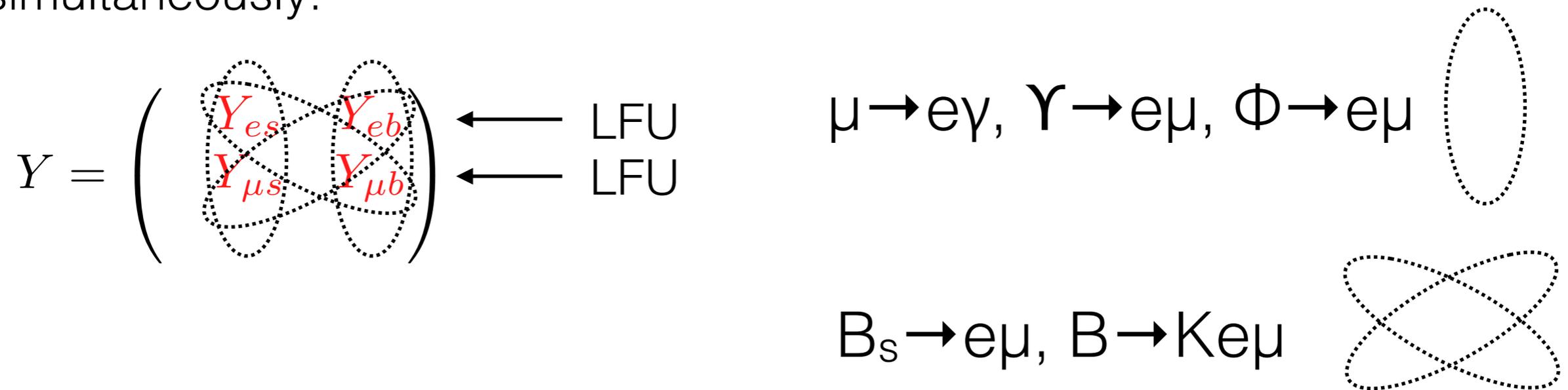
Even with LFU violation, LFV can be avoided.

[Grinstein, Camalich, 1407.7044]

In leptoquark models, LFV is closely tied to LFUV.



For LFV one needs to affect electronic and muonic decay modes simultaneously:



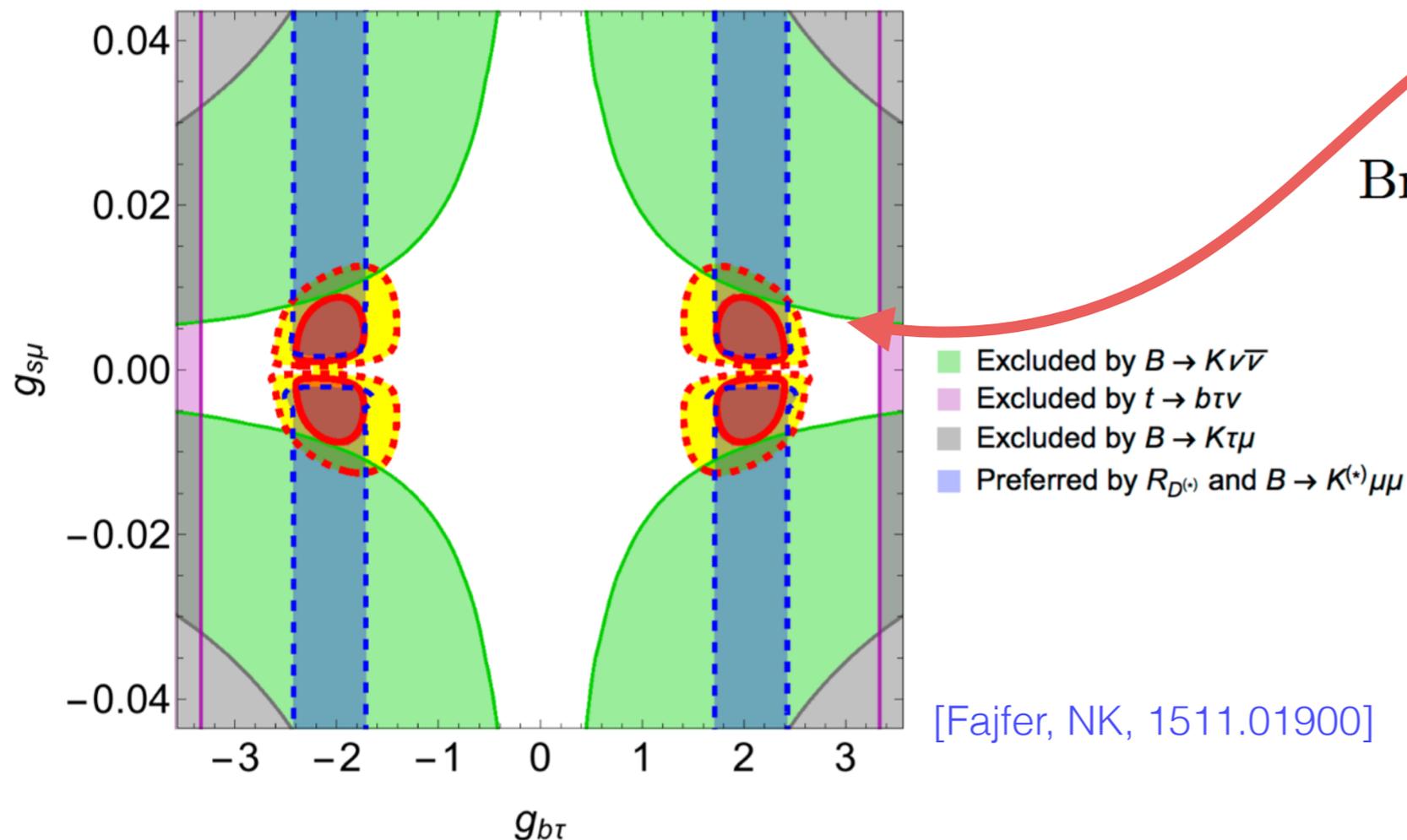
Relating LFUV to Lepton Flavor Violation

Consider vector LQ $(3, 3)_{2/3}$ that addresses R_K and $R_{(D)^*}$ puzzles:

$$\mathcal{L}_{U_3} = U_{3\mu}^{(2/3)} \left[(\mathcal{V}g\mathcal{U})_{ij} \bar{u}_i \gamma^\mu P_L \nu_j - g_{ij} \bar{d}_i \gamma^\mu P_L \ell_j \right] \\ + U_{3\mu}^{(5/3)} (\sqrt{2}\mathcal{V}g)_{ij} \bar{u}_i \gamma^\mu P_L \ell_j \\ + U_{3\mu}^{(-1/3)} (\sqrt{2}g\mathcal{U})_{ij} \bar{d}_i \gamma^\mu P_L \nu_j + \text{h.c.}$$

$$g = \begin{pmatrix} 0 & 0 & 0 \\ 0 & g_{s\mu} & 0 \\ 0 & g_{b\mu} & g_{b\tau} \end{pmatrix}$$

Inevitable LFV in τ - μ sector. Bounds from $\tau \rightarrow \mu\gamma$, $B \rightarrow K\tau\mu$, $B \rightarrow K\nu\bar{\nu}$ apply



strongest by far

$$\text{Br}(B^+ \rightarrow K^+ \nu\bar{\nu}) < 1.6 \times 10^{-5}$$

[BaBar, 1303.7465]

[Fajfer, NK, 1511.01900]

Conclusions & Outlook

- R_K measurement is very clean observable, it shows a hint of LFU violation
- Test in additional LFU ratios: R_K at high q^2 , R_{K^*} , R_Φ , $R_{K^*}/R_K, \dots$
- (Axial)-vector $O_9^{(\prime)}$, $O_{10}^{(\prime)}$ operators are the simplest solution, consistency with global $b \rightarrow s\mu\mu$ data requires O_9
- Z' or light leptoquarks naturally realize these operators
- Each model offers additional specific predictions
- Lepton flavour violation expected but not guaranteed

Backup

LQ specific predictions: $B \rightarrow K \nu \nu$

$$\begin{aligned} \mathcal{L} &= Y_{ij} \bar{L}_i i\tau^2 \Delta^* d_{Rj} && \text{(charge -1/3)} \\ &= Y_{ij} \left(-\bar{\ell}_{Li} d_{Rj} \Delta^{(2/3)*} + \bar{\nu}_{Lk} (V^{\text{PMNS}})_{ki}^\dagger d_{Rj} \Delta^{(-1/3)*} \right) \end{aligned}$$

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (C_L^{ij} \mathcal{O}_L^{ij} + C_R^{ij} \mathcal{O}_R^{ij}) \quad \mathcal{O}_{L,R}^{ij} = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu P_{L,R} b) (\bar{\nu}_i \gamma^\mu (1 - \gamma_5) \nu_j)$$

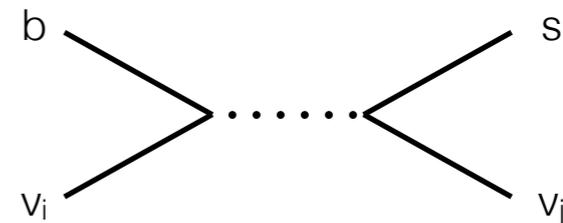
SM: flavour diagonal contributions

$$C_L^{\text{SM}} \equiv C_L^{ii} = -6.38 \pm 0.06, \quad (\text{no sum over } i \text{ implied})$$

[Altmannshofer et al, 0902.0160]

LQ: mixed flavor contributions

$$C_R^{ij} = \frac{1}{N} \frac{(VY)_{ib} (VY)_{js}^*}{4m_\Delta^2}, \quad N \equiv \frac{G_F V_{tb} V_{ts}^* \alpha}{\sqrt{2}\pi}$$



LQ specific predictions: $B \rightarrow K \nu \bar{\nu}$

Sum the widths over all neutrinos i, j

$$\begin{aligned}\Gamma(B \rightarrow K \nu \bar{\nu}) &\sim \sum_{i,j=1}^3 \left| \delta_{ij} C_L^{\text{SM}} + C_R^{ij} \right|^2 \\ &= 3|C_L^{\text{SM}}|^2 + |C'_{10}|^2 - 2\text{Re}[C_L^{\text{SM}*} C'_{10}]\end{aligned}$$

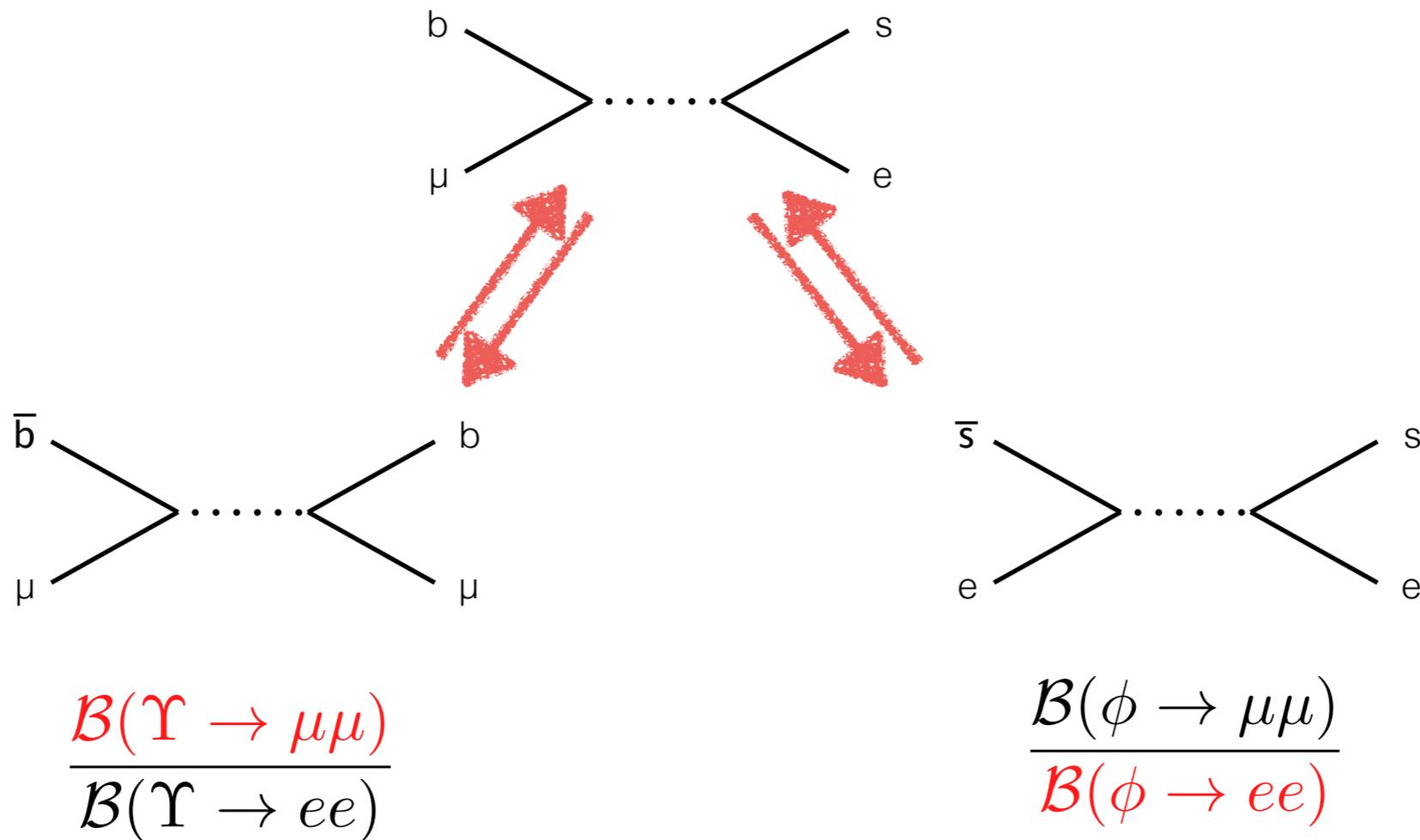
Correction of the SM q^2 spectrum and branching fraction:

$$\left[1 + \frac{1}{3} |C'_{10}/C_L^{\text{SM}}|^2 - \frac{2}{3} \text{Re}[C'_{10}/C_L^{\text{SM}}] \right]$$

LFV

LFV \Leftrightarrow (LFUV in different channels)

($B_s \rightarrow e\mu$ and $B \rightarrow K e\mu$ can be measured) **if and only if** (LFUV in bottomonium and Φ can be measured)



Decay spectrum

$$\frac{d\Gamma}{dq^2}(B \rightarrow K \mu^+ \mu^-) = 2a_\mu(q^2) + \frac{2}{3}c_\mu(q^2)$$

...in terms of Wilson coefficients and form factors

$$a_\ell(q^2) = \mathcal{C}(q^2) \left[q^2 |F_P(q^2)|^2 + \frac{\lambda(q^2)}{4} (|F_A(q^2)|^2 + |F_V(q^2)|^2) + 4m_\ell^2 m_B^2 |F_A(q^2)|^2 + 2m_\ell (m_B^2 - m_K^2 + q^2) \operatorname{Re}(F_P(q^2) F_A^*(q^2)) \right]$$

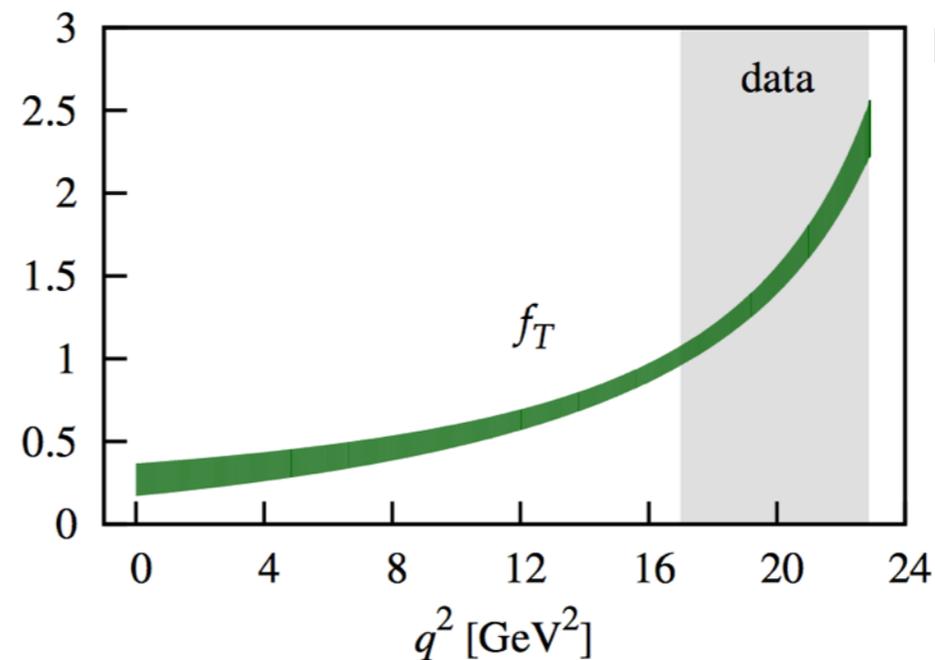
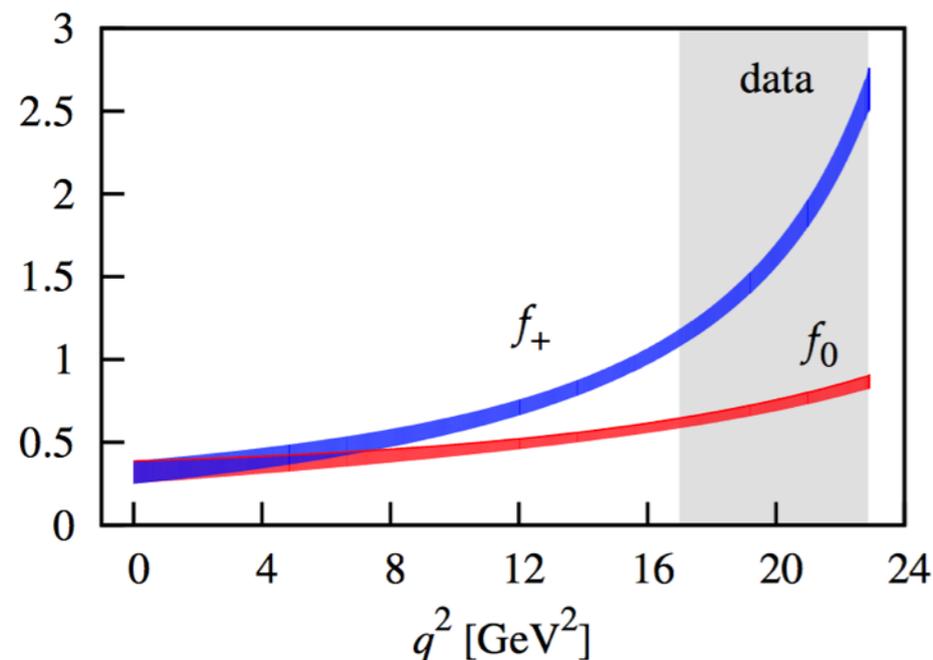
$$c_\ell(q^2) = \mathcal{C}(q^2) \left[-\frac{\lambda(q^2)}{4} \beta_\ell^2(q^2) (|F_A(q^2)|^2 + |F_V(q^2)|^2) \right]$$

$$F_V(q^2) = (C_9 + C'_9) f_+(q^2) + \frac{2m_b}{m_B + m_K} (C_7 + C'_7) f_T(q^2)$$

$$F_A(q^2) = (C_{10} + C'_{10}) f_+(q^2)$$

$$F_P(q^2) = -m_\ell (C_{10} + C'_{10}) \left[f_+(q^2) - \frac{m_B^2 - m_K^2}{q^2} (f_0(q^2) - f_+(q^2)) \right]$$

Form factors (with full correlations) taken from HPQCD lattice calculation



[Bouchard et al, 1306.2384]