

# Integrability, the $q$ KZ equation, and connections

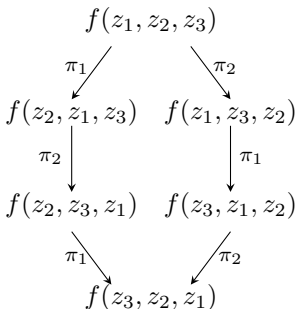
Caley Finn

October 2015, LAPTh

## The braid relation

Start with permutations:

- The transposition  $\pi_i$  swaps arguments  $i$  and  $i + 1$  of a function, e.g.



- This is called the braid relation:

$$\pi_i \pi_{i+1} \pi_i = \pi_{i+1} \pi_i \pi_{i+1}$$

# The Yang–Baxter relation

- The braid relation

$$\pi_i \pi_{i+1} \pi_i = \pi_{i+1} \pi_i \pi_{i+1}$$

- The Yang–Baxter relation generalises the braid relation

$$R_i(w) R_{i+1}(wz) R_i(z) = R_{i+1}(z) R_i(wz) R_{i+1}(w)$$

- $R_i(u)$  is called an  $R$  matrix, with complex parameter  $u$ .
- There are many known  $R$  matrices solving the Yang–Baxter relation. Each solution gives a different integrable system.

## The $q$ KZ equation

What can we do with an  $R$  matrix?

- We can look for a vector  $|\Psi(z_1, \dots, z_N)\rangle$  satisfying the  $q$ KZ equation

$$R_i(z_i/z_{i+1})|\Psi(z_1, \dots, z_N)\rangle = \pi_i|\Psi(z_1, \dots, z_N)\rangle$$

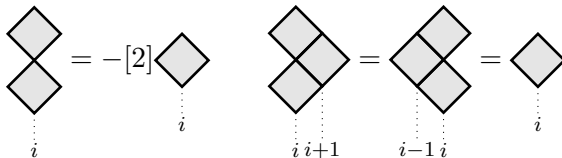
- The braid relation and Yang–Baxter relations ensure consistency, e.g. for  $N = 3$

$$\begin{aligned} R_1(z_2/z_3)R_2(z_1/z_3)R_1(z_1/z_2)|\Psi(z_1, z_2, z_3)\rangle \\ \parallel \\ \pi_1\pi_2\pi_1|\Psi(z_1, z_2, z_3)\rangle \\ \parallel \\ \pi_2\pi_1\pi_2|\Psi(z_1, z_2, z_3)\rangle \\ \parallel \\ R_2(z_1/z_2)R_1(z_1/z_3)R_2(z_2/z_3)|\Psi(z_1, z_2, z_3)\rangle \end{aligned}$$

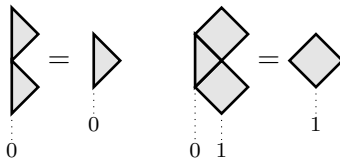
- But first we need an  $R$  matrix!

# One boundary Temperley–Lieb algebra

- Generators  $e_0, \dots, e_{N-1}$
- Bulk relations  $e_i^2 = -[2]e_i$ ,  $e_i e_{i\pm 1} e_i = e_i$ :



- Boundary relations  $e_0^2 = e_0$ ,  $e_1 e_0 e_1 = e_1$ :

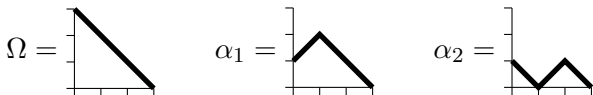


- With  $t$ -number

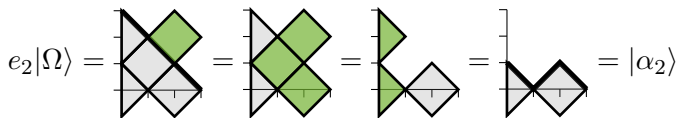
$$[u] = \frac{t^u - t^{-u}}{t - t^{-1}}.$$

## Action on Ballot paths

- Ballot paths of length  $N = 3$



- Example



- Matrix form

$$e_2 = \begin{pmatrix} |\Omega\rangle \\ |\alpha_1\rangle \\ |\alpha_2\rangle \end{pmatrix} \begin{pmatrix} \langle\Omega| & \langle\alpha_1| & \langle\alpha_2| \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 1 & -[2] \end{pmatrix}$$

## The $R$ -matrix

- Can check that this  $R$ -matrix satisfies the Yang-Baxter relation:

$$R_i(z) = \frac{t - t^{-1}z}{tz - t^{-1}} \mathbb{1} - \frac{z - 1}{tz - t^{-1}} e_i$$

- With the boundary operator, define the  $K$ -matrix

$$K_0(z) = \frac{(1 - z^{-1}\zeta_1^{-1})(z - t\zeta_1)}{(z - \zeta_1)(t - z^{-1}\zeta_1^{-1})} \mathbb{1} - \frac{(1 - t)(z - z^{-1})}{(z - \zeta_1)(t - z^{-1}\zeta_1^{-1})} e_0$$

which satisfies the reflection equation

$$K_0(z)R_1(wz)K_0(w)R_1(w/z) = R_1(w/z)K_0(w)R_1(wz)K_0(z)$$

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## Mixed boundary $q$ KZ equation

- Write a general vector in the Ballot path basis as

$$|\Psi(z_1, \dots, z_N)\rangle = \sum_{\alpha} \psi_{\alpha}(z_1, \dots, z_N) |\alpha\rangle$$

- The bulk part of the  $q$ KZ equation

$$R_i(z_i/z_{i+1})|\Psi(z_1, \dots, z_N)\rangle = \pi_i|\Psi(z_1, \dots, z_N)\rangle$$

- And in component form:

$$\sum_{\alpha} \psi_{\alpha}(z_1, \dots, z_N) (e_i |\alpha\rangle) = \sum_{\alpha} (T_i(-1) \psi_{\alpha}(z_1, \dots, z_N)) e_i |\alpha\rangle$$

where  $T_i(u)$  is an operator acting on Laurent polynomials.

- The boundary equations

$$\begin{aligned} K_0(z_1^{-1})|\Psi(z_1, z_2, \dots, z_N)\rangle &= |\Psi(z_1^{-1}, z_2, \dots, z_N)\rangle, \\ |\Psi(z_1, \dots, z_{N-1}, z_N)\rangle &= |\Psi(z_1, \dots, z_{N-1}, t^3 z_N^{-1})\rangle \end{aligned}$$

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## Solution of the $q$ KZ equation

Theorem (de Gier, Pyatov 2010)

*The solutions of the  $q$ KZ equation have a factorised form*

$$\psi_\alpha(z_1, \dots, z_N) = \prod_{i,j}^{\nearrow u_{i,j}} T_i(u_{i,j}) \psi_\Omega(z_1, \dots, z_N)$$

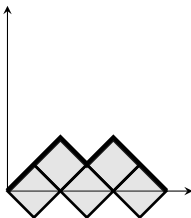
The product is constructed using a graphical representation of the Hecke generators

$$T_0(u) = \begin{array}{c} \text{---} \\ | \\ \triangleleft^u \\ | \\ \text{---} \end{array} \begin{array}{c} 0 \\ 1 \end{array}, \quad T_i(u) = \begin{array}{c} \text{---} \\ | \\ \diamond^u \\ | \\ \text{---} \end{array} \begin{array}{c} i-1 \\ i \\ i+1 \end{array}.$$

These are operators on Laurent polynomials, which also satisfy Yang–Baxter and reflection relations.

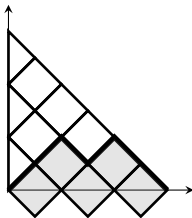
## Factorised solutions

- Factorised solution for  $\psi_\alpha(z_1, \dots, z_N)$



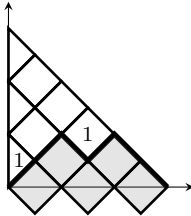
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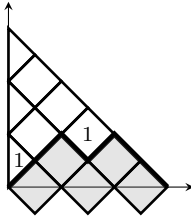
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- Label remaining tiles by rule

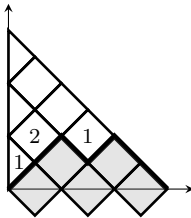
$$u_{i,j} = \max\{u_{i+1,j-1}, u_{i-1,j-1}\} + 1$$



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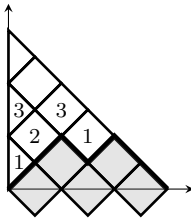
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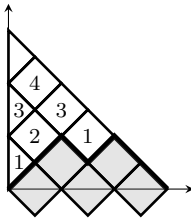
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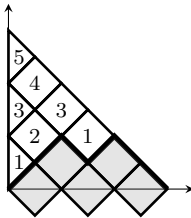
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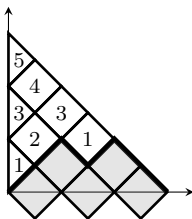
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$$u_{i,j} = \max\{u_{i+1,j-1}, u_{i-1,j-1}\} + 1$$



$$\psi_\alpha = T_0(1).T_1(2)T_0(3).T_3(1)T_2(3)T_1(4)T_0(5)\psi_\Omega$$

and

$$\psi_\Omega = \Delta_t^-(z_1, \dots, z_N)\Delta_t^+(z_1, \dots, z_N)$$

## Alternate filling

Fill with consecutive integers along rows, e.g. for previous shape tilted by  $45^\circ$

$$\psi_{4,2,1}(u_1 + 1, u_2 + 1, u_3 + 1)$$

$$= \begin{array}{c} \begin{array}{|c|c|c|c|} \hline u_1+4 & u_1+3 & u_1+2 & u_1+1 \\ \hline & u_2+2 & u_2+1 & \\ \hline & & u_3+1 & \\ \hline \end{array} \end{array}$$

$$= \mathcal{T}_1(u_3 + 1) \mathcal{T}_2(u_2 + 1) \mathcal{T}_3(u_1 + 1) \psi_\Omega$$

where

$$\mathcal{T}_a(u + 1) = T_{a-1}(u + 1) \dots T_1(u + a - 1) T_0(u + a)$$

gives a row of length  $a$ , numbered from  $u + 1$ .

## Sum rule

### Theorem (de Gier, F)

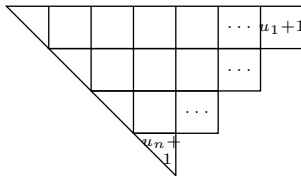
*The staircase diagram has the expansion*

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) = \sum_{\alpha} c_{\alpha} \psi_{\alpha}(z_1, \dots, z_N),$$

*where the coefficients  $c_{\alpha}$  are non-zero and are monomials in*

$$y_i = -\frac{[u_i]}{[u_i + 1]}, \quad \tilde{y}_i = -B_0(u_i + 1).$$

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) =$$



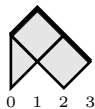
## Specialisation of the sum rule

- At specialisation  $u_i = 1$ ,  $t = e^{\pm 2\pi i/3}$ , all coefficients  $c_\alpha = 1$ .

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(2, \dots, 2) = \sum_{\alpha} \psi_{\alpha}(z_1, \dots, z_N),$$

and at this point there is a closed form for the sum [Zinn-Justin 2007].

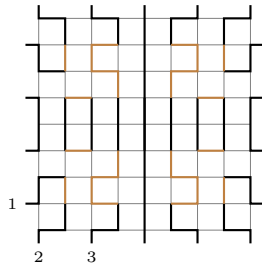
- Connections to Temperley–Lieb loop model and Razumov–Stroganov conjectures



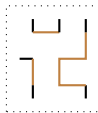
Ballot path



Link pattern



Fully packed loops



## Proof of the sum rule

- Recall the sum rule

$$\psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) = \sum_{\alpha} c_{\alpha} \psi_{\alpha}(z_1, \dots, z_N),$$

where the coefficients  $c_{\alpha}$  are non-zero and are monomials in  $y_i, \tilde{y}_i$ .

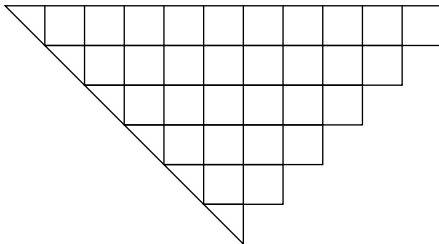
- Proof requires two steps:
  - Show how to expand the staircase diagram in terms of components  $\psi_{\alpha}$ .
  - Show that each component  $\psi_{\alpha}$  arises exactly once in the expansion.

## Expanding the staircase

- First expansion using result

$$\begin{aligned} & \psi_{\bar{a}_1, \dots, \bar{a}_n}(u_1 + 1, \dots, u_n + 1) \\ &= \prod_{i=n, n-1, \dots, 1} (\mathcal{T}_{\bar{a}_i}(1) + y_i \mathcal{T}_{\bar{a}_i-1}(1) + \tilde{y}_i) \psi_{\Omega}. \end{aligned}$$

- Example term from expansion with  $N = 12$

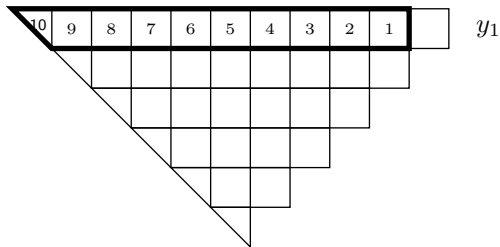


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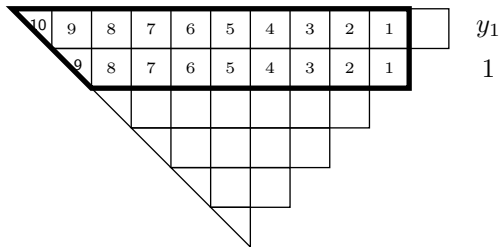


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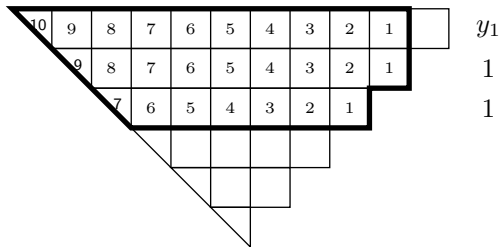


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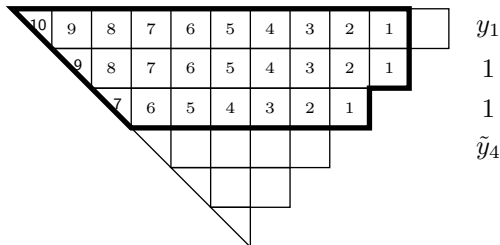


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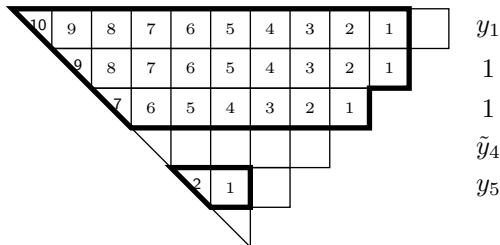


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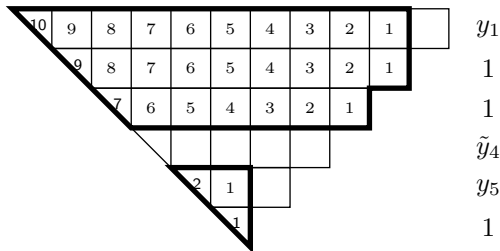


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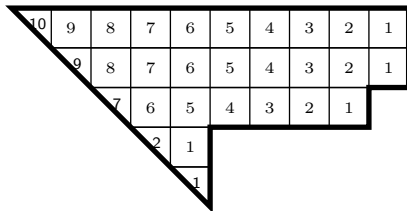


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- Example term from expansion with  $N = 12$



- Coefficient  $y_1 \tilde{y}_4 y_5$ , but a second expansion is required for terms like this one.

## Working backwards - an algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$

|    |   |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|---|
| 10 | 9 | 8 | 7 | 6 | 5 | 4 | 3 | 1 |
|    | 8 | 7 | 6 | 5 | 4 | 3 | 2 |   |
|    |   | 6 | 5 | 4 | 3 | 2 | 1 |   |
|    |   |   | 3 | 2 |   |   |   |   |
|    |   |   |   | 1 |   |   |   |   |

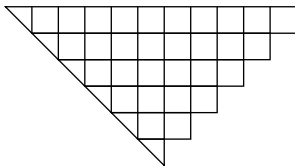
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|    |   |   |   | 1 |   |   |   |   |

- Draw empty maximal staircase



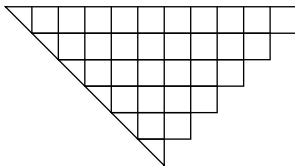
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|    |   |   |   | 1 |   |   |   |   |

- Draw empty maximal staircase
- Add rows to staircase, bottom up, in lowest place each fits



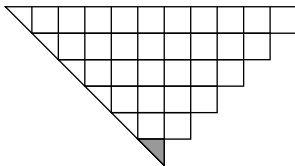
## Working backwards - an algorithm

- Work backwards from  $\psi_\alpha$  to term from staircase expansion.

$$\psi_\alpha(z_1, \dots, z_N) =$$

|    |   |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|---|
| 10 | 9 | 8 | 7 | 6 | 5 | 4 | 3 | 1 |
|    | 8 | 7 | 6 | 5 | 4 | 3 | 2 |   |
|    |   | 6 | 5 | 4 | 3 | 2 | 1 |   |
|    |   |   | 3 | 2 |   |   |   |   |
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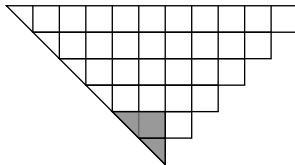
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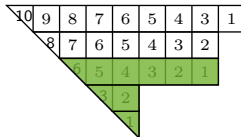
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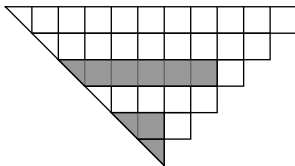
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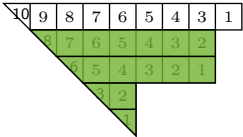


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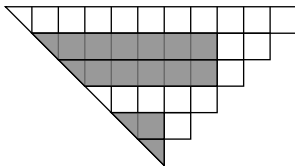


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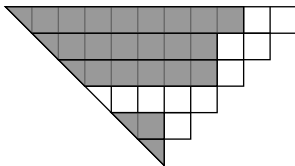
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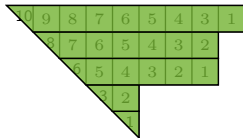
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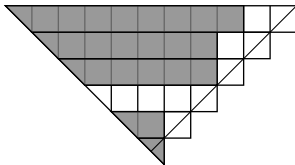
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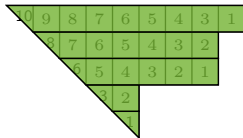
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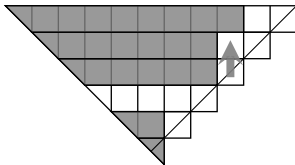
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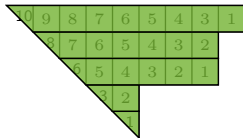
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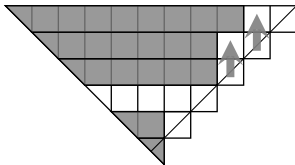
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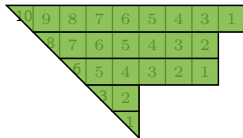
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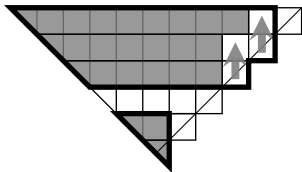
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- Coefficient  $c_\alpha = y_1 \tilde{y}_4 y_5$ .

## Conclusion and future work

- We have found a factorised form for a sum rule for the mixed boundary  $q$ KZ equation.
- We would like to find a way to evaluate the general sum, or at certain specialisations. This may shed light on Razumov–Stroganov conjectures.
- There are connections to special functions (Macdonald and Koornwinder polynomials) and special bases of the Hecke algebra, which need to be clarified.