

# Quantum Modular Forms from Knots & 3-manifolds

Kazuhiro Hikami

Faculty of Mathematics, Kyushu University

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## Introduction to **Quantum Modular Form** (Zagier 2010)

- colored Jones polynomial for knots
- Witten–Reshetikhin–Turaev Invariant for 3-manifolds

# Quantum Modular Form (Zagier 2010)

A **quantum modular form** is  $f: \mathbb{Q} \rightarrow \mathbb{C}$  s.t. for  $\forall \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subset SL(2; \mathbb{Z})$  the function

$$h_{\gamma}(x) := f(x) - \chi(\gamma) (cx + d)^{-k} f\left(\frac{ax+b}{cx+d}\right)$$

has “**some properties**” of continuity or analyticity.

# “some properties”: Eichler integral

The Eichler integral of wt  $k \in \mathbb{Z}_{\geq 2}$  cusp form  $f(\tau) = \sum_{n=1}^{\infty} a_n q^n$  is

$$\tilde{f}(\tau) := \sum_{n=1}^{\infty} \frac{a_n}{n^{k-1}} q^n \quad \tau \in \mathbb{H}$$

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It satisfies for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$

$$\tilde{f}(\tau) - (c\tau + d)^{k-2} \tilde{f}(\gamma(\tau)) = -\frac{(2\pi i)^{k-1}}{(k-2)!} \int_{\gamma^{-1}(i\infty)}^{i\infty} f(z) (\tau - z)^{k-2} dz$$

period polynomial

# “some properties”: mock theta functions

mock theta functions (Ramanujan 1920, Zwegers 2003):  
the Appell–Lerch sum

$$\mu(z; \tau) := \frac{ie^{\pi iz}}{\theta_{11}(z; \tau)} \sum_{n \in \mathbb{Z}} \frac{(-e^{2\pi iz})^n q^{\frac{1}{2}n(n+1)}}{1 - e^{2\pi iz} q^n}$$

satisfies

$$\mu(z; \tau) + \sqrt{\frac{i}{\tau}} \mu(z/\tau; -1/\tau) = \frac{1}{2} \int_0^{i\infty} \frac{[\eta(z)]^3}{\sqrt{-i(z+\tau)}} dz$$

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Mathieu moonshine

$$-8 \sum_{w \in \{\frac{1}{2}, \frac{1+\tau}{2}, \frac{\tau}{2}\}} \mu(w; \tau) = q^{-\frac{1}{8}} (-2 + 90q + 462q^2 + 1540q^3 + 4554q^4 + \dots)$$

# Examples of Quantum Modular Forms

- 1 Kontsevich (1997), Zagier (2001), Kashaev (1996):

$$q^N = 1$$

$$F(q) := \sum_{n=0}^{\infty} (q)_n = 1 + (1-q) + (1-q)(1-q^2) + (1-q)(1-q^2)(1-q^3) + \dots$$

- 2 Kashaev (1996)

$$J(q) := \sum_{n=0}^{\infty} |(q)_n|^2$$

- 3 Lawrence–Zagier (1999):

$$W(q) := \frac{1}{2G} \sum_{\substack{\beta \pmod{60N} \\ \beta \neq 0 \pmod{N}}} \frac{(1 - q^{\beta/5})(1 - q^{\beta/3})}{1 + q^{\beta/2}} q^{-\frac{\beta^2}{120}}$$

# Kontsevich–Zagier Series

Kontsevich (1997) studied  $F(q)$  at  $\zeta_N := e^{2\pi i/N}$

$$F(q) := \sum_{n=0}^{\infty} (q)_n = 1 + (1-q) + (1-q)(1-q^2) + \dots$$

By numerical computations, he observed

$$F(\zeta_N) \sim N^{3/2} e^{-\frac{\pi i}{12}(N-3+\frac{1}{N})} + \sum_{n=0}^{\infty} \frac{b_n}{n!} \left(-\frac{2\pi i}{N}\right)^n$$

with  $b_0 = 1, b_1 = 1, b_2 = 3, b_3 = 19$

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Zagier (2001) proved that  $\varphi(\alpha) := e^{\pi i \alpha / 12} F(e^{2\pi i \alpha})$  for  $\alpha \in \mathbb{Q}$  fulfills

$$\varphi(\alpha) + (i\alpha)^{-3/2} \varphi(-1/\alpha) = g(\alpha)$$

# Kontsevich–Zagier Series and Eichler Integral

$$\varphi(\alpha) := e^{\pi i \alpha / 12} F(e^{2\pi i \alpha})$$

Let  $\tilde{\eta}(\tau) := \sum_{n=0}^{\infty} n \left(\frac{12}{n}\right) q^{\frac{n^2}{24}}$        $\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n) = \sum_{n=0}^{\infty} \left(\frac{12}{n}\right) q^{\frac{n^2}{24}}$

- $\tau \rightarrow 1/N$ :  $\varphi(1/N) = \tilde{\eta}(1/N)$

- Nearly modularity of  $\hat{\eta}(z) := \frac{\sqrt{3i}}{2\pi} \int_{\bar{z}}^{i\infty} \frac{\eta(\tau)}{(\tau - z)^{3/2}} d\tau$  ( $z \in \mathbb{H}^-$ )

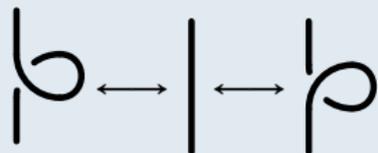
$$\hat{\eta}(z) + (iz)^{-\frac{3}{2}} \hat{\eta}(-1/z) = \frac{\sqrt{3i}}{2\pi} \int_0^{i\infty} \frac{\eta(\tau)}{(\tau - z)^{3/2}} d\tau$$

- Limiting values coincide:  $\tilde{\eta}(1/N) = \hat{\eta}(1/N)$

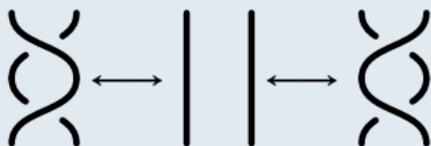
# Quantum Knot Invariant

## Reidemeister moves

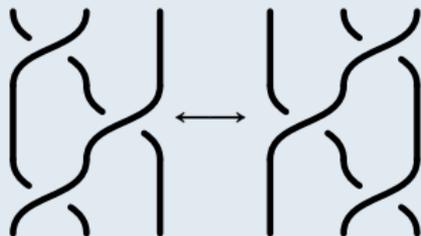
- R I:



- R II:



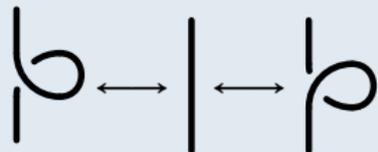
- R III:



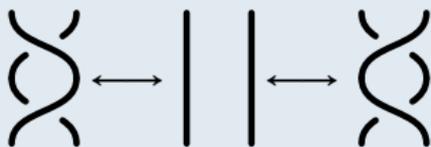
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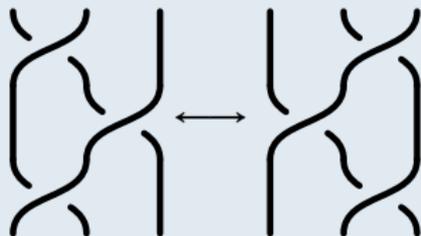
- R I:



- R II:



- R III:



Any link can be given as the closure of tangles.

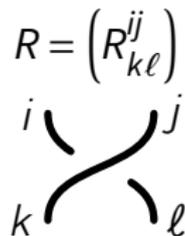
$R$ -matrix  $R_{k\ell}^{ij}$

A diagram of an R-matrix crossing. Two strands enter from the top, labeled  $i$  and  $j$ . Two strands exit from the bottom, labeled  $k$  and  $l$ . The strands cross each other.

# colored Jones polynomial $J_N(K; q)$

The Jones polynomial  $J_2(K; q)$  for knot  $K$

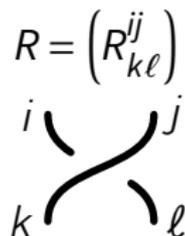
$$R = \begin{pmatrix} q & 0 & 0 & 0 \\ 0 & 0 & q^2 & 0 \\ 0 & q^2 & q - q^3 & 0 \\ 0 & 0 & 0 & q \end{pmatrix} \quad i, j, k, \ell \in \{0, 1\}$$



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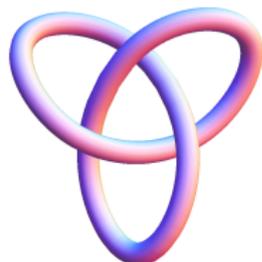
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the  $N$ -colored Jones polynomial  $J_N(K; q)$

$$R_{k\ell}^{ij} = \sum_{m=0}^{\min(N-1-i, j)} \delta_{\ell-i, m} \delta_{j-k, m} (-1)^m \frac{(q)_\ell (q)_{N-1-k}}{(q)_i (q)_m (q)_{N-1-j}} \times q^{-m(i-j) - \frac{m^2}{2} - \frac{Nm}{2} + (i - \frac{N-1}{2})(j - \frac{N-1}{2})} \quad i, j, k, \ell \in \mathbb{Z}_N$$

# colored Jones polynomial for trefoil $3_1$



K.Habiro, G.Masbaum, T.Le, ...

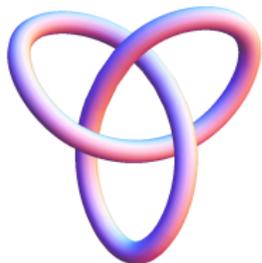
$$(x)_n = \prod_{i=1}^n (1 - xq^{i-1})$$

$$J_N(3_1; q)$$

$$= q^{1-N} \sum_{n=0}^{\infty} q^{-nN} (q^{1-N})_n = \sum_{n=0}^{\infty} q^n (q^{1-N})_n (q^{1+N})_n \Big|_{q \rightarrow \frac{1}{q}}$$

$N$	1	2	3	...
$J_N(3_1; 1/q)$	1	$q + q^3 - q^4$	$q^2 + q^5 - q^7 + q^8 - q^9 - q^{10} + q^{11}$	...

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Kontsevich-Zagier series @  $q = \zeta_N := e^{\frac{2\pi i}{N}}$

$$J_N(3_1; \zeta_N) = \zeta_N F(\zeta_N) = U(-1; \zeta_N^{-1})$$

$$F(q) := \sum_{n=0}^{\infty} (q)_n$$

$$U(x; q) = \sum_{n=0}^{\infty} (-xq)_n (-x^{-1}q)_n q^n$$

# unimodal sequence

strongly unimodal sequence  $\{a_1, \dots, a_s\}$  of size  $n$  & rank  $= s - 2k + 1$

$$0 < a_1 < a_2 < \dots < a_{k-1} < a_k > a_{k+1} > \dots > a_s > 0 \quad (a_1 + \dots + a_s = n)$$

size  $n = 5$

$\{a_1, \dots, a_s\}$	{5}	{1, 4}	{4, 1}	{1, 3, 1}	{2, 3}	{3, 2}
rank	0	-1	1	0	-1	1

generating function of  $u(m, n) := \# \{\text{seq of size } n \mid \text{rank } m\}$

$$U(x; q) := \sum_{m, n} u(m, n) x^m q^n = \sum_{n=0}^{\infty} (-xq)_n (-x^{-1}q)_n q^{n+1} = q + q^2 + \dots$$

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Bryson-Ono-Pitman-Rhoades (2012):  $\psi(\tau) := e^{-\frac{\pi i}{12}\tau} U(-1; e^{2\pi i\tau})$

$$\psi(\tau) + \frac{1}{(-i\tau)^{3/2}} \psi\left(-\frac{1}{\tau}\right) = \frac{\sqrt{3i}}{2\pi} \int_0^{i\infty} \frac{\eta(w)}{(w+\tau)^{3/2}} dw + \frac{[\eta(\tau)]^2}{2\sqrt{i}} \int_0^{i\infty} \frac{[\eta(w)]^3}{(w+\tau)^{1/2}} dw$$

# Volume Conjecture

Kashaev (1995), H.Murakami–J.Murakami (2000):

$$\lim_{N \rightarrow \infty} \frac{2\pi}{N} \log J_N(K; q = \zeta_N) = \text{Vol}(S^3 \setminus K) + i \text{CS}(S^3 \setminus K)$$

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As  $J_N(3_1; \zeta_N) = \zeta_N F(\zeta_N)$ , we have in  $N \rightarrow \infty$

$$\zeta_{24N} F(\zeta_N) \sim - \left( \frac{N}{i} \right)^{3/2} e^{-\frac{\pi i}{12} N} F(e^{-2\pi i N}) + \sum_{k=0}^{\infty} \frac{T(k)}{k!} \left( \frac{\pi}{12iN} \right)^k$$

agrees with

$$\begin{cases} \text{Vol}(S^3 \setminus 3_1) = 0 \\ \text{CS}(S^3 \setminus 3_1) = -\frac{\pi^2}{6} \end{cases}$$

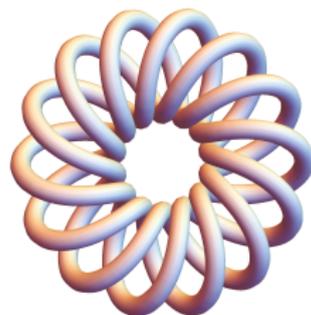
$$\frac{\sin(2x)\sin(3x)}{\sin(6x)} = \sum_{k=0}^{\infty} \frac{T(k)}{(2k+1)!} x^{2k+1}$$

# Classification of Knots

Thurston (1978)

knots  $\left\{ \begin{array}{l} \text{non-hyperbolic} \\ \text{hyperbolic } (4_1, \dots) \end{array} \right. \left\{ \begin{array}{l} \text{torus knots } (3_1, \dots) \\ \text{satellite knots} \end{array} \right.$

torus knot  $T_{(3,14)}$



satellite knot



# Figure-Eight Knot $4_1$



K.Habiro, G.Masbaum, T.Le, ...

$$J_N(4_1; q) = \sum_{n=0}^{\infty} (-1)^n q^{-\frac{1}{2}n(n+1)} (q^{1-N})_n (q^{1+N})_n$$

$N$	1	2	3	...
$J_N(4_1; q)$	1	$1 - q^{\pm 1} + q^{\pm 2}$	$3 - q^{\pm 1} - q^{\pm 2} + 2q^{\pm 3} - q^{\pm 4} - q^{\pm 5} + q^{\pm 6}$	...

( $4_1$  is amphichiral)

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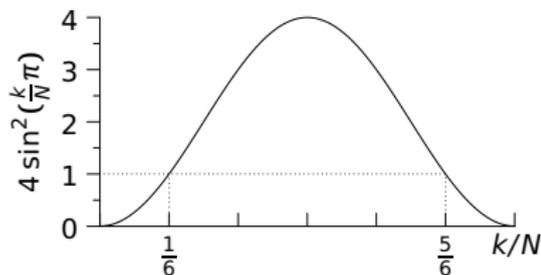
( $4_1$  is amphichiral)

$$J(\zeta_N) := J_N(4_1; \zeta_N) = \sum_{j=1}^{N-1} \prod_{k=1}^j 4 \sin^2 \left( \frac{k}{N} \pi \right)$$

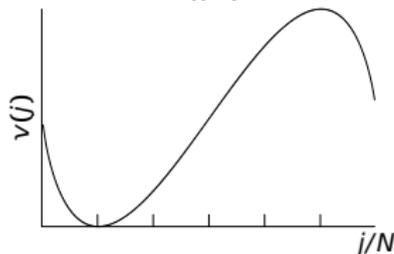
# Large- $N$ limit: $4_1$

$$J_N(4_1; \zeta_N) = \sum_{j=1}^{N-1} \prod_{k=1}^j 4 \sin^2 \left( \frac{k}{N} \pi \right)$$

$$u(k) = 4 \sin^2 \left( \frac{k}{N} \pi \right)$$



$$v(j) = \prod_{k=1}^j u(k)$$



$$v\left(\frac{5}{6}N\right) < J_N(4_1; \zeta_N) < N v\left(\frac{5}{6}N\right)$$

$$\lim_{N \rightarrow \infty} \frac{1}{N} \log J_N(4_1; \zeta_N) = \lim_{N \rightarrow \infty} \frac{1}{N} \log v\left(\frac{5}{6}N\right) = 4 \int_0^{\frac{5}{6}\pi} \log(2 \sin x) dx$$

$= 2.02988 \dots$

Lobachevsky

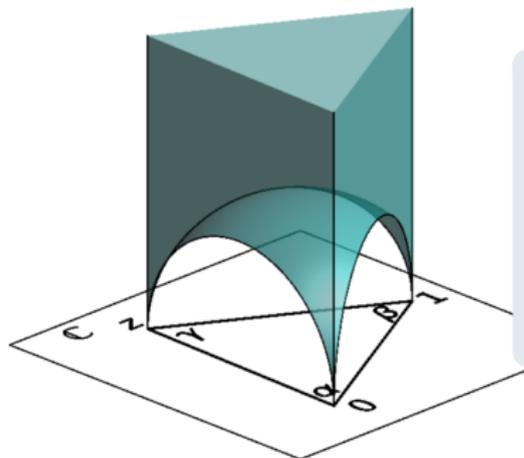
# Volume Conjecture: $4_1$

$$\lim_{N \rightarrow \infty} \frac{2\pi}{N} \log J_N(4_1; \zeta_N) = 2.02988 \dots = 2D(e^{\pi i/3}) = \text{Vol}(S^3 \setminus 4_1)$$

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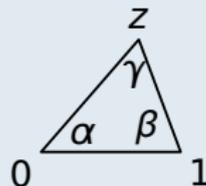
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upper half-space:  $ds^2 = \frac{dx^2 + dy^2 + dz^2}{z^2}$



hyperbolic ideal tetrahedron  $\Delta$ :

$$\text{Vol}(\Delta) = D(z)$$



Bloch–Wigner function

$$D(z) := \text{Im}(\text{Li}_2(z)) + \arg(1-z) \cdot \log|z|$$

# Asymptotic Expansion: 4<sub>1</sub>

$$\text{knots} \begin{cases} \text{non-hyperbolic} & \begin{cases} \text{torus knots} \\ \text{satellite knots} \end{cases} \\ \text{hyperbolic } (4_1, \dots) \end{cases}$$

Garoufalidis–Zagier

$$J_N(4_1; \zeta_N) \simeq N^{\frac{3}{2}} e^{2D(e^{\pi i/3}) \frac{N}{2\pi}} \frac{1}{\sqrt[4]{3}} \left( 1 + \frac{11}{36\sqrt{3}} \frac{\pi}{N} + \frac{697}{7776} \frac{\pi^2}{N^2} + \dots \right)$$

with a power series in  $\frac{\pi}{\sqrt{3}N}$  with rational coefficients.

$K$		$J_N(K; q)$
torus knots	.....	similar to M $\Theta$ F (shadow is <b>wt 1/2</b> )
hyperbolic	.....	beyond M $\Theta$ F

# Colored Jones Polynomial: Torus Knots

$$J_N(T_{(s,t)}; \zeta_N) = \zeta_N^{\frac{s^2 t^2 - s^2 - t^2}{4st}} \tilde{\Phi}_{s,t}^{s-1,1}(1/N)$$

$$\tilde{\Phi}_{s,t}^{n,m}(\tau) := -\frac{1}{2} \sum_{k=0}^{\infty} k \chi_{s,t}^{n,m}(k) q^{\frac{k^2}{4st}}$$

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Character of  $\mathcal{M}(s,t)$ ,  $c = 1 - \frac{6(s-t)^2}{st}$ :

$$\text{ch}_{s,t}^{n,m}(\tau) = \frac{\Phi_{s,t}^{n,m}(\tau)}{\eta(\tau)}$$

$(s,t)$  coprime,  $0 < n < s$ ,  $0 < m < t$

$$\Phi_{s,t}^{n,m}(\tau) := \sum_{k=0}^{\infty} \chi_{s,t}^{n,m}(k) q^{\frac{k^2}{4st}}$$

$$\chi_{s,t}^{n,m}(k) := \begin{cases} 1 & k = \pm(nt - ms) \pmod{2st} \\ -1 & k = \pm(nt + ms) \pmod{2st} \\ 0 & \text{otherwise} \end{cases}$$

$$\Phi_{2,3}^{1,1}(\tau) = \eta(\tau)$$

Rogers–Ramanujan

$$\Phi_{2,5}^{1,1}(\tau) = q^{9/40} (q, q^4, q^5; q^5)_{\infty}$$

$$\Phi_{2,5}^{1,2}(\tau) = q^{1/40} (q^2, q^3, q^5; q^5)_{\infty}$$

# Asymptotic Expansion: Torus Knots

$$\Phi_{s,t}^{n,m}(\tau+1) = e^{\frac{(nt-ms)^2}{2st}\pi i} \Phi_{s,t}^{n,m}(\tau)$$
$$\Phi_{s,t}^{n,m}\left(-\frac{1}{\tau}\right) = \sqrt{\frac{\tau}{i}} \sum_{n',m'} (\mathbf{s}_{s,t})_{n,m}^{n',m'} \Phi_{s,t}^{n',m'}(\tau)$$

$$(\mathbf{s}_{s,t})_{n,m}^{n',m'} = \sqrt{\frac{8}{st}} (-1)^{nm'+mn'+1} \sin\left(nn'\frac{t}{s}\pi\right) \sin\left(mm'\frac{s}{t}\pi\right)$$

H-Kirillov (2003)

$$\tilde{\Phi}_{s,t}^{n,m}\left(\frac{1}{N}\right) + \left(\frac{N}{i}\right)^{\frac{3}{2}} \sum_{n',m'} (\mathbf{s}_{s,t})_{n,m}^{n',m'} \phi_{s,t}^{n',m'} e^{-\frac{(n't-m's)^2}{2st}\pi i N} \simeq \sum_{k=0}^{\infty} \frac{T_{s,t}^{n,m}(k)}{k!} \left(\frac{\pi}{2stiN}\right)^k$$

$$\phi_{s,t}^{n,m} = \begin{cases} (s-n)m & \text{if } nt > ms \\ n(t-m) & \text{if } nt < ms \end{cases}$$

# $q$ -Hypergeometric Expression for Torus Knots

$$J_N(T_{(2,2t+1)}; q) = q^{t(1-N)} \sum_{k_1, \dots, k_t \geq 0} (q^{1-N})_{k_t} q^{-Nk_t} \prod_{i=1}^{t-1} q^{k_i(k_{i+1}-2N)} \begin{bmatrix} k_{i+1} \\ k_i \end{bmatrix}_q$$

$$F_t(q) := q^t \sum_{k_1, \dots, k_t \geq 0} (q)_{k_t} q^{k_1^2 + \dots + k_{t-1}^2 + k_1 + \dots + k_{t-1}} \prod_{i=1}^{t-1} \begin{bmatrix} k_{i+1} \\ k_i \end{bmatrix}_q$$

H-Lovejoy (2014):  $F_t(\zeta_N) = U_t(-1; \zeta_N^{-1})$

$$U_t(x; q) := q^{-t} \sum_{k_t \geq \dots \geq k_1 \geq 1} (-xq)_{k_t-1} (-x^{-1}q)_{k_t-1} q^{kt} \prod_{i=1}^{t-1} q^{k_i^2} \begin{bmatrix} k_{i+1} + k_i - i + 2 \sum_{j=1}^{i-1} k_j \\ k_{i+1} - k_i \end{bmatrix}_q$$

$$= -q^{-\frac{t}{2} - \frac{1}{8}} \frac{(xq)_\infty (x^{-1}q)_\infty}{[(q)_\infty]^2} \left( \sum_{\substack{r, s \geq 0 \\ r \neq s(2)}} - \sum_{\substack{r, s < 0 \\ r \neq s(2)}} \right) \frac{(-1)^{\frac{r-s-1}{2}} q^{\frac{1}{8}r^2 + \frac{4t+3}{4}rs + \frac{1}{8}s^2 + \frac{2+t}{2}r + \frac{t}{2}s}}{1 - xq^{\frac{r+s+1}{2}}}$$

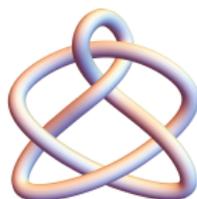
# 3-manifolds

Lickorish (1962), Wallace (1960):

Any closed oriented 3-manifold can be constructed from integral surgery on link  $L \subset S^3$

- 1 Take a knot exterior  $S^3 \setminus N(K)$
- 2 Glue  $D^2 \times S^1$  back in  $N(K)$  by  $h : \partial D^2 \times S^1 \rightarrow \partial N(K)$
- 3 For meridian  $m$  and longitude  $\ell$  of  $\partial N(K)$ , a  $p/q$ -surgery is

$$h : \partial D^2 \times \text{point} \mapsto pm + q\ell$$



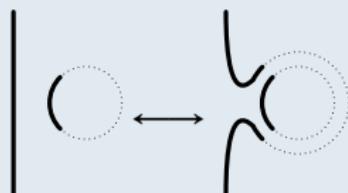
# Kirby Calculus

## Kirby Moves (1978)

- K I:

$$L \longleftrightarrow L \sqcup \bigcirc \pm 1$$

- K II:



A dotted circle means an any strand (possibly) linked with others.

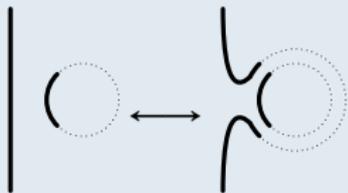
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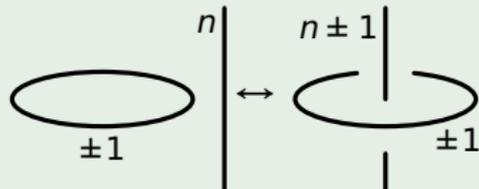
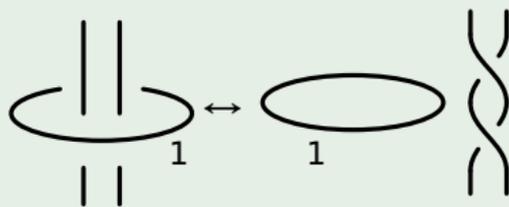
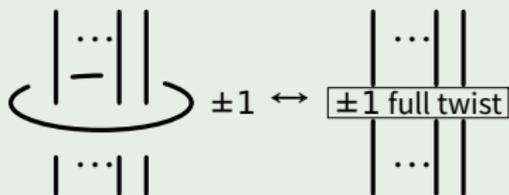
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A dotted circle means an any strand (possibly) linked with others.

locally,

## Fenn–Rourke (1979)



# Reshetikhin–Turaev Invariant

$M$ :  $p_j/q_j$ -surgery on the  $j$ -th component of  $n$ -component link  $L$

$$\tau_N(M) = e^{\frac{\pi i}{4} \frac{N-2}{N} (\sum_j \Phi(U^{(p_j, q_j)}) - 3 \operatorname{sgn}(\mathbf{L}))} \sum_{k_1, \dots, k_n=1}^{N-1} J_{k_1, \dots, k_n}(L) \prod_{j=1}^n \rho(U^{(p_j, q_j)})_{k_j, 1}$$

$$U^{(p_j, q_j)} = \begin{pmatrix} p_j & r_j \\ q_j & s_j \end{pmatrix} \in SL_2(\mathbb{Z}); \quad \Phi \begin{pmatrix} p & r \\ q & s \end{pmatrix} = \begin{cases} \frac{p+s}{q} - 12s(p, q) & \text{for } q \neq 0 \\ \frac{r}{s} & \text{for } q = 0 \end{cases}$$

$\operatorname{sgn}(\mathbf{L})$ : signature of linking matrix  $\mathbf{L}_{j,k} = \operatorname{lk}(j, k) + p_j/q_j \cdot \delta_{j,k}$

$$\rho \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}_{a,b} = \sqrt{\frac{2}{N}} \sin\left(\frac{ab\pi}{N}\right); \quad \rho \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}_{a,b} = e^{\frac{\sigma^2}{2N}\pi i - \frac{1}{4}\pi i} \delta_{a,b}$$

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$$\tau_N(S^3) = 1$$

$$\tau_N(S^2 \times S^1) = \sqrt{\frac{N}{2}} \frac{1}{\sin(\pi/N)}$$

# Chern–Simons Partition Function

Witten (1989)

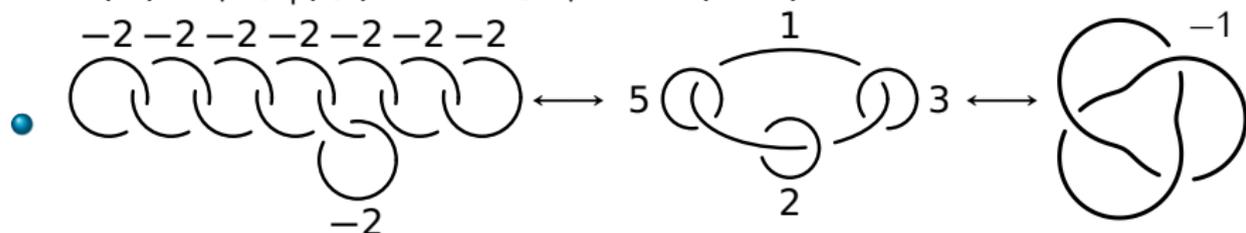
$$Z_k(M) = \int e^{2\pi i k \text{CS}(A)} dA \quad \text{CS}(A) = \frac{1}{8\pi^2} \int_M \text{Tr} (A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

is related to Reshetikhin–Turaev (1991)

$$Z_k(M) = \frac{\tau_{k+2}(M)}{\tau_{k+2}(S^2 \times S^1)}$$

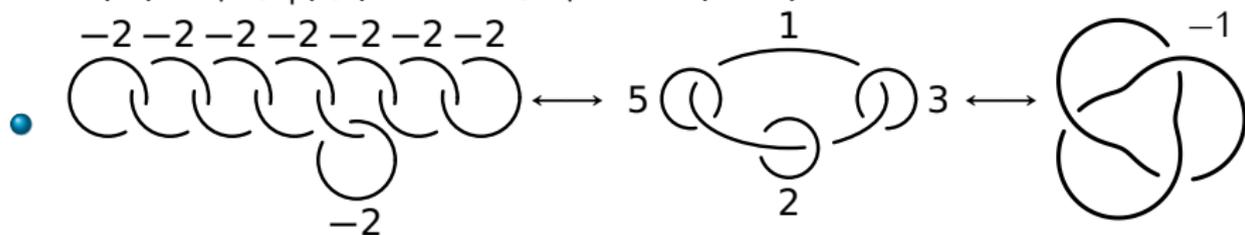
# Poincaré Homology Sphere $\Sigma(2, 3, 5)$

- $\pi_1(M) = \langle x, y \mid (xy)^2 = x^3 = y^5 \rangle$ ;  $H_1(M; \mathbb{Z}) = 0$



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Lawrence–Rozansky (1999):

$$\begin{aligned}
 W(\zeta_N) := \zeta_N(\zeta_N - 1) \tau_N(M) &= \frac{e^{\frac{\pi i}{4}}}{\sqrt{240N}} \sum_{\substack{n=0 \\ N \nmid n}}^{60N-1} \zeta_{120N}^{-n^2-1} \frac{(\zeta_{6N}^n - \zeta_{6N}^{-n})(\zeta_{10N}^n - \zeta_{10N}^{-n})}{\zeta_{4N}^n + \zeta_{4N}^{-n}} \\
 &= 1 - \frac{1}{2} \chi_0^*(\zeta_N)
 \end{aligned}$$

$$\chi_0^*(q) = \sum_{n=0}^{\infty} q^n (q^n)_n$$

# Ramanujan's 5th Order Mock Theta Functions

$$\chi_0(q) = \sum_{n=0}^{\infty} \frac{q^n}{(q^{n+1})_n} \qquad \chi_1(q) = \sum_{n=0}^{\infty} \frac{q^n}{(q^{n+1})_{n+1}}$$

$$\chi_0^*(q) = 2 - \sum_{n=0}^{\infty} \frac{q^{-n}}{(q^{-n-1}; q^{-1})_n}$$

$$\chi_1^*(q) = \sum_{n=0}^{\infty} \frac{q^{-n}}{(q^{-n-1}; q^{-1})_{n+1}} = \sum_{n=0}^{\infty} q^{n+1} (q^{n+1})_n$$

# WRT Invariant for $\Sigma(2,3,5)$

Lawrence–Zagier (1999):  $\zeta_N (\zeta_N - 1) \tau_N(M) = 1 + \frac{1}{2} \zeta_{120N}^{-1} \tilde{\Theta}_+(1/N)$

wt  $3/2$  unary theta series

$$\begin{cases} \Theta_+(\tau) = q^{\frac{1}{120}} (1 + 11q + 19q^3 + 29q^7 - 31q^8 - \dots) \\ \Theta_-(\tau) = q^{\frac{49}{120}} (7 + 13q + 17q^2 + 23q^4 - 37q^{11} - \dots) \end{cases}$$
$$\begin{pmatrix} \Theta_+(-1/\tau) \\ \Theta_-(-1/\tau) \end{pmatrix} = \begin{pmatrix} \tau \\ i \end{pmatrix}^{\frac{3}{2}} \frac{2}{\sqrt{5}} \begin{pmatrix} \sin(\pi/5) & \sin(2\pi/5) \\ \sin(2\pi/5) & -\sin(\pi/5) \end{pmatrix} \begin{pmatrix} \Theta_+(\tau) \\ \Theta_-(\tau) \end{pmatrix}$$

the Eichler integral

$$\begin{cases} \tilde{\Theta}_+(\tau) = q^{\frac{1}{120}} (1 + q + q^3 + q^7 - q^8 - \dots) \\ \tilde{\Theta}_-(\tau) = q^{\frac{49}{120}} (1 + q + q^2 + q^4 - q^{11} - \dots) \end{cases}$$

# Asymptotic Expansion

Lawrence–Zagier (1999): in  $N \rightarrow \infty$

$$\tilde{\Theta}_+(1/N) \sim \sqrt{\frac{N}{i}} \frac{2}{\sqrt{5}} \left( \sin(\pi/5) e^{-\frac{1}{60}\pi i N} + \sin(2\pi/5) e^{-\frac{49}{60}\pi i N} \right) + \sum_{k=0}^{\infty} \frac{L(-2k, \chi_+)}{k!} \left( \frac{\pi i}{60N} \right)^k$$

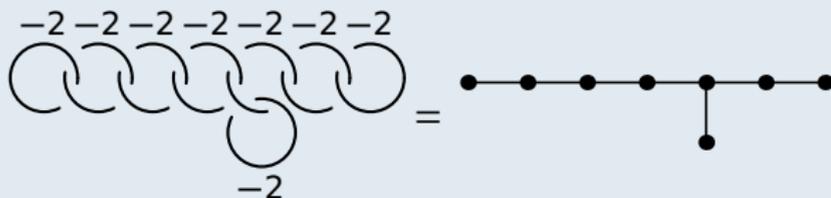
where

$$2 \frac{\cosh(5x) \cosh(9x)}{\cosh(15x)} = - \sum_{k=0}^{\infty} \frac{L(-2k, \chi_+)}{(2k)!} x^{2k}$$

# Seifert Manifold

Poincaré homology sphere  $\Sigma(2, 3, 5)$

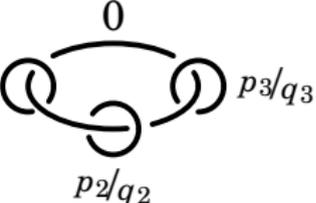
- homology sphere  $H_1(M; \mathbb{Z}) = 0$
- “ $E_8$ ”



# Brieskorn Homology Sphere $\Sigma(p_1, p_2, p_3)$

pairwise coprime  $p_i \in \mathbb{Z}_{>1}$

- $M = \Sigma(p_1, p_2, p_3) = \{z_1^{p_1} + z_2^{p_2} + z_3^{p_3} = 0\} \cap S^5$

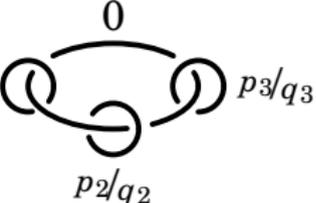
- 
$$\frac{q_1}{p_1} + \frac{q_2}{p_2} + \frac{q_3}{p_3} = \frac{1}{p_1 p_2 p_3}$$

- $\pi_1(M) = \langle x_1, x_2, x_3, h \mid h \text{ center}, x_i^{p_i} = h^{-q_i}, x_1 x_2 x_3 = 1 \rangle$

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Rozansky (1995):

$P = p_1 p_2 p_3$ ;

$$\zeta_N^{\frac{\phi}{4} - \frac{1}{2}} (\zeta_N - 1) \tau_N(M) = \frac{\sqrt{i}}{2\sqrt{2PN}} \sum_{\substack{n=0 \\ N \nmid n}}^{2PN-1} \frac{\zeta_{4PN}^{-n^2}}{\zeta_{2N}^n - \zeta_{2N}^{-n}} \prod_{j=1}^3 \left( \zeta_{2p_j N}^n - \zeta_{2p_j N}^{-n} \right)$$

$$\phi = 3 - \frac{1}{P} + 12 \sum_j s\left(\frac{P}{p_j}, p_j\right)$$

# Brieskorn Homology Sphere $\Sigma(p_1, p_2, p_3)$ II

$$\zeta_N^{\frac{\phi}{4} - \frac{1}{2}} (\zeta_N - 1) \tau_N(M) = \frac{1}{2} \tilde{\Phi}_{p_1, p_2, p_3}^{(1,1,1)}(1/N)$$

the Eichler integral of wt  $3/2$  unary theta series satisfying

$$\begin{aligned}\Phi_{p_1, p_2, p_3}^{(\ell_1, \ell_2, \ell_3)}(\tau + 1) &= e^{\frac{p_1 p_2 p_3}{2} \left(1 + \sum_j \frac{\ell_j}{p_j}\right)^2 \pi i} \Phi_{p_1, p_2, p_3}^{(\ell_1, \ell_2, \ell_3)}(\tau) \\ \Phi_{p_1, p_2, p_3}^{(\ell_1, \ell_2, \ell_3)}(-1/\tau) &= \left(\frac{\tau}{i}\right)^{\frac{3}{2}} \sum_{\ell'_1, \ell'_2, \ell'_3} \mathbf{s}_{\ell'_1, \ell'_2, \ell'_3}^{\ell'_1, \ell'_2, \ell'_3} \Phi_{p_1, p_2, p_3}^{(\ell'_1, \ell'_2, \ell'_3)}(\tau) \\ \mathbf{s}_{\ell'_1, \ell'_2, \ell'_3}^{\ell'_1, \ell'_2, \ell'_3} &= \pm \sqrt{\frac{32}{P}} \prod_{j=1}^3 \sin\left(P \frac{\ell'_j \ell'_j}{p_j^2} \pi\right)\end{aligned}$$

$$0 < \ell_j < p_j$$

# Lens Space $L(p, q)$

- $\bigcirc_{-p/q} \quad H_1(M; \mathbb{Z}) \cong \mathbb{Z}_p$

Jeffrey (1992)

$$\zeta_N^{-3s(q,p) - \frac{1}{2}} (\zeta_N - 1) \tau_N(M) = \sum_{n=1}^p e^{2\pi i \frac{q}{p} n^2 N} \sum_{\varepsilon=\pm 1} \frac{\varepsilon}{\sqrt{p}} e^{2\pi i \frac{q+\varepsilon}{p} n} \zeta_{2Np}^\varepsilon$$

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Decomposition:

$$\tau_N(M) = \sum_{\ell \in \text{Tors} H_1(M; \mathbb{Z})} e^{2\pi i \lambda_M(\ell, \ell) N} \tau_N^{(\lambda_M(\ell, \ell))}(M)$$

linking pairing  $\lambda_M : \text{Tors} H_1(M; \mathbb{Z}) \otimes H_1(M; \mathbb{Z}) \rightarrow \mathbb{Q}/\mathbb{Z}$

# ADE Singularities

Seifert manifold:  $M = \{f(x,y,z) = 0\} \cap S^5$

	$f(x,y,z)$	$M$	$H_1(M; \mathbb{Z})$	$\lambda_M$
$A_n$	$x^{n+1} + y^2 + z^2$		$\mathbb{Z}_{n+1}$	$\left(\frac{1}{n+1}\right)$
$D_{4K}$	$x^{4K-1} + xy^2 + z^2$		$\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}$
$D_{4K+1}$	$x^{4K} + xy^2 + z^2$		$\mathbb{Z}_4$	$\left(\frac{3}{4}\right)$
$D_{4K+2}$	$x^{4K+1} + xy^2 + z^2$		$\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\left(\frac{1}{2}\right) \oplus \left(\frac{1}{2}\right)$
$D_{4K+3}$	$x^{4K+2} + xy^2 + z^2$		$\mathbb{Z}_4$	$\left(\frac{1}{4}\right)$
$E_6$	$x^4 + y^3 + z^2$		$\mathbb{Z}_3$	$\left(\frac{2}{3}\right)$
$E_7$	$x^3y + y^3 + z^2$		$\mathbb{Z}_2$	$\left(\frac{1}{2}\right)$
$E_8$	$x^5 + y^3 + z^2$		0	$\emptyset$

# WRT Invariants for ADE

$\tau_N(M)$  for  $M = D_k, E_{6,7,8}$ : the Eichler integral of unitary theta series

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$\tau_N(M)$  for  $M = D_k, E_{6,7,8}$ : the Eichler integral of unitary theta series

$M = D_4$ :

$$(\zeta_N - 1)\tau_N(M) = 4\left(\frac{1}{2} - \zeta_{8N}^{-1}\tilde{\Psi}\left(\frac{1}{N}\right)\right)$$

where

$$\tilde{\Psi}(\tau) = q^{\frac{1}{8}}(1 - q + q^3 - q^6 + q^{10} - q^{15} + \dots)$$

$$\Psi(\tau) = q^{\frac{1}{8}}(1 - 3q + 5q^3 - 7q^6 + 9q^{10} - 11q^{15} + \dots) = [\eta(\tau)]^3$$

# Ramanujan's Mock Theta Functions

order		$M$
3	$\phi(q)$	$E_7 \cong M(0; 2/-1, 3/1, 4/1)$
	$\omega(q)$	$D_5 \cong M(0; 2/-1, 2/1, 3/1)$
5	$\chi_0(q)$	$E_8 \cong \Sigma(2, 3, 5)$
7	$\mathcal{F}_0(q)$	$E_{10} \cong \Sigma(2, 3, 7)$
10	$\Psi(q)$	$D_7 \cong M(0; 2/-1, 2/1, 5/1)$

$$\pi_1(M(b; p_1/q_1, p_2/q_2, p_3/q_3)) = \left\langle x_1, x_2, x_3, h \left| \begin{array}{l} h \text{ is center} \\ x_i^{p_i} = h^{-q_i} \\ x_1 x_2 x_3 = h^b \end{array} \right. \right\rangle$$

# Concluding Remarks

QMF arises from quantum invariants of knots/3-manifolds

- quantum invariants for torus knots/Seifert-fibered manifolds are like mock modular forms,
- quantum invariants for hyperbolic manifolds (e.g. figure-eight knot) are important for **Volume Conjecture**, and they are mysterious.