

E-GRAAL (Earthquake GRAvity ALerts) kick-off meeting  
March 9, 2015

# Status Review of Earthquake Early Warning and Future Prospects

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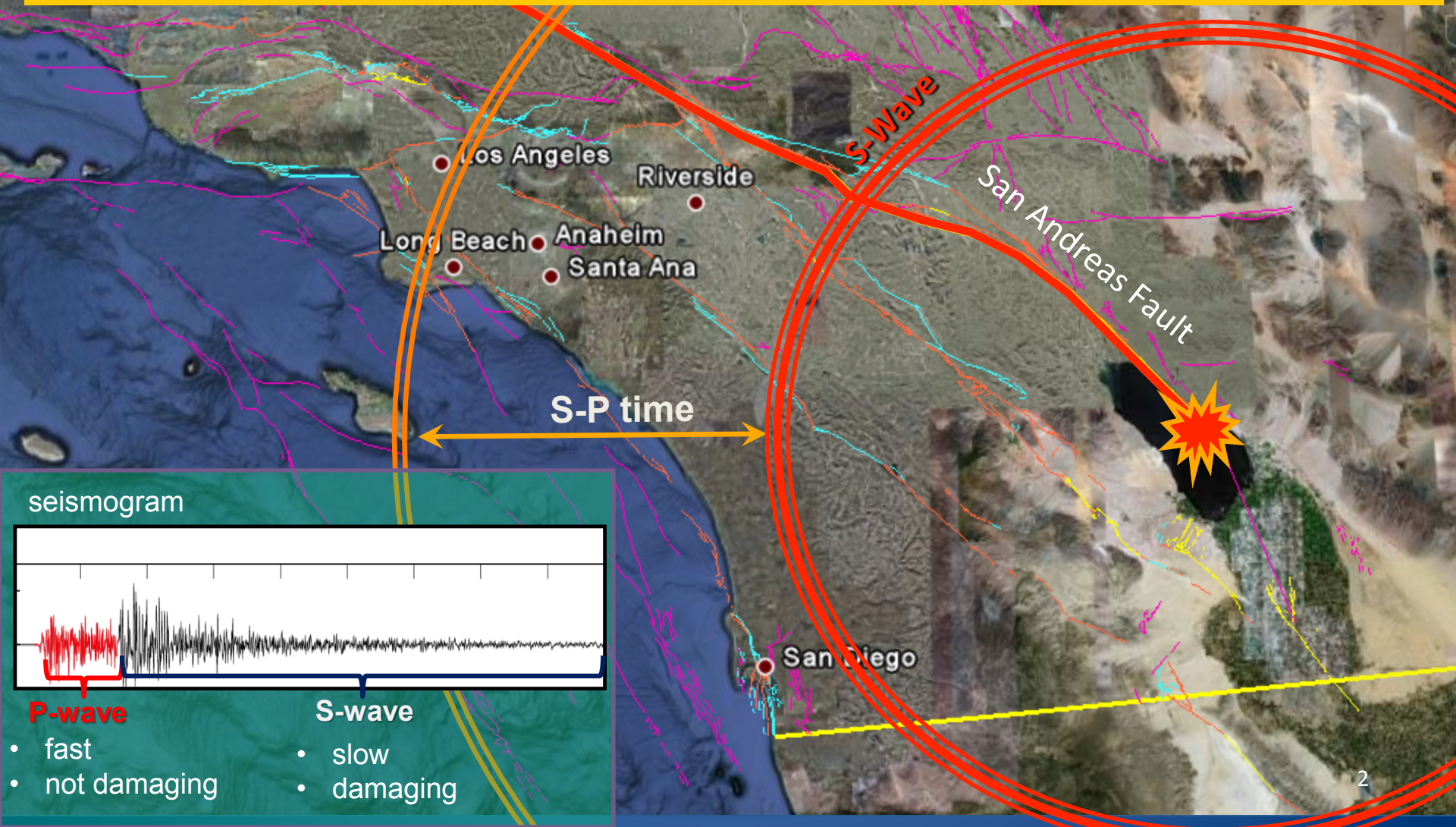


**ETH**

Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# What is Earthquake Early Warning ?

*ability to provide a few to tens of seconds of warning before damaging seismic waves arrive*



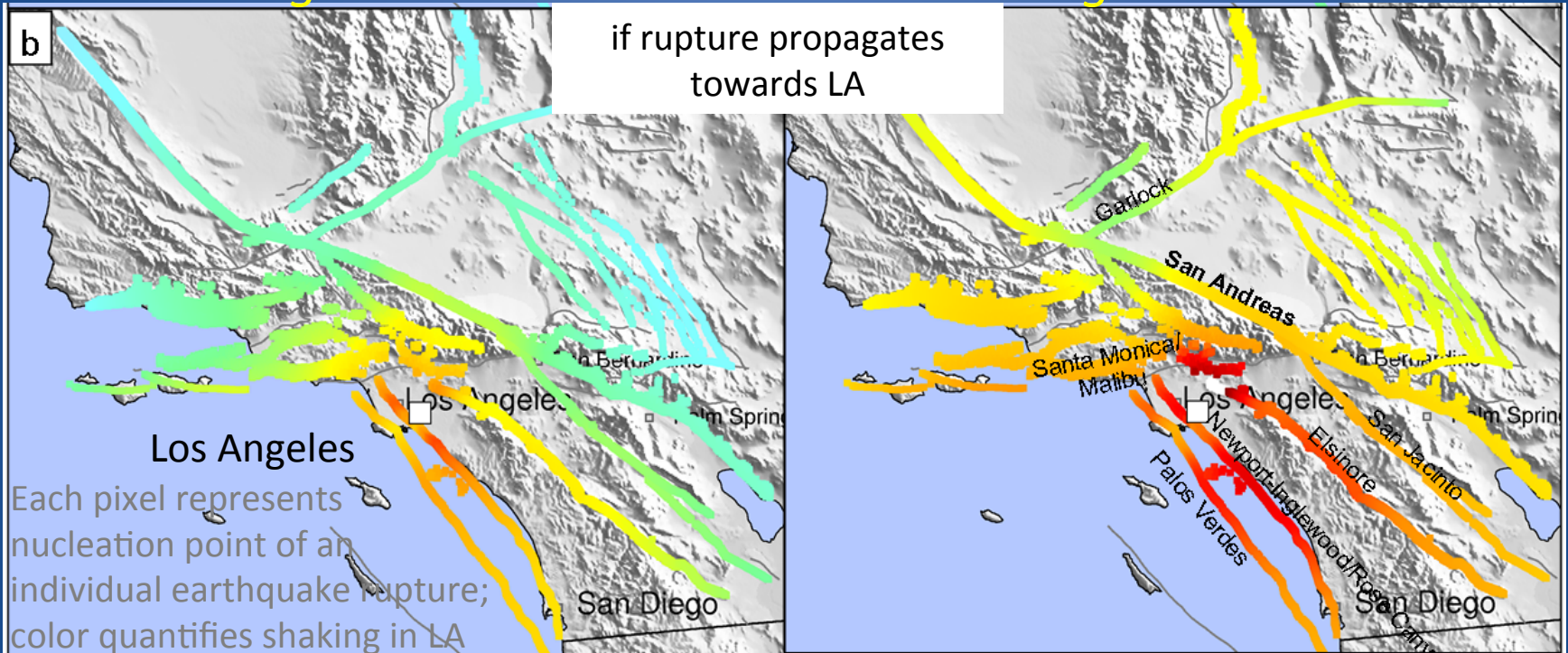


# Why do we need Early Warning ?

*Expected ground shaking in the Los Angeles basin  
if we had an earthquake of*

*magnitude M6.5*

*magnitude M7.0*



PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Mod./Heavy	Heavy	Very Heavy
PEAK GROUND ACCELERATION (g)	0.02	0.1	0.4	0.7	1.0	2.0	4.0	8.0	16.0
INSTRUMENTAL INTENSITY	I	II-III	IV	V	VI	VII	VIII	IX	X+

For many dangerous earthquake scenarios warning times of >30 seconds are likely.

# How can we use Early Warning ?

## 1. Public Alert

- warn people to take protective measures (drop-cover-hold on)
- move people to safe positions
- warn school children
- warn people to prepare physically and psychologically for the impending shaking

## 2. Trigger Automatic Responses in places like factories

- slow down/stop trains
- control traffic by turning signals red on bridges, freeway entrances
- close valves and pipelines
- stop elevators
- save vital computer information
- ...

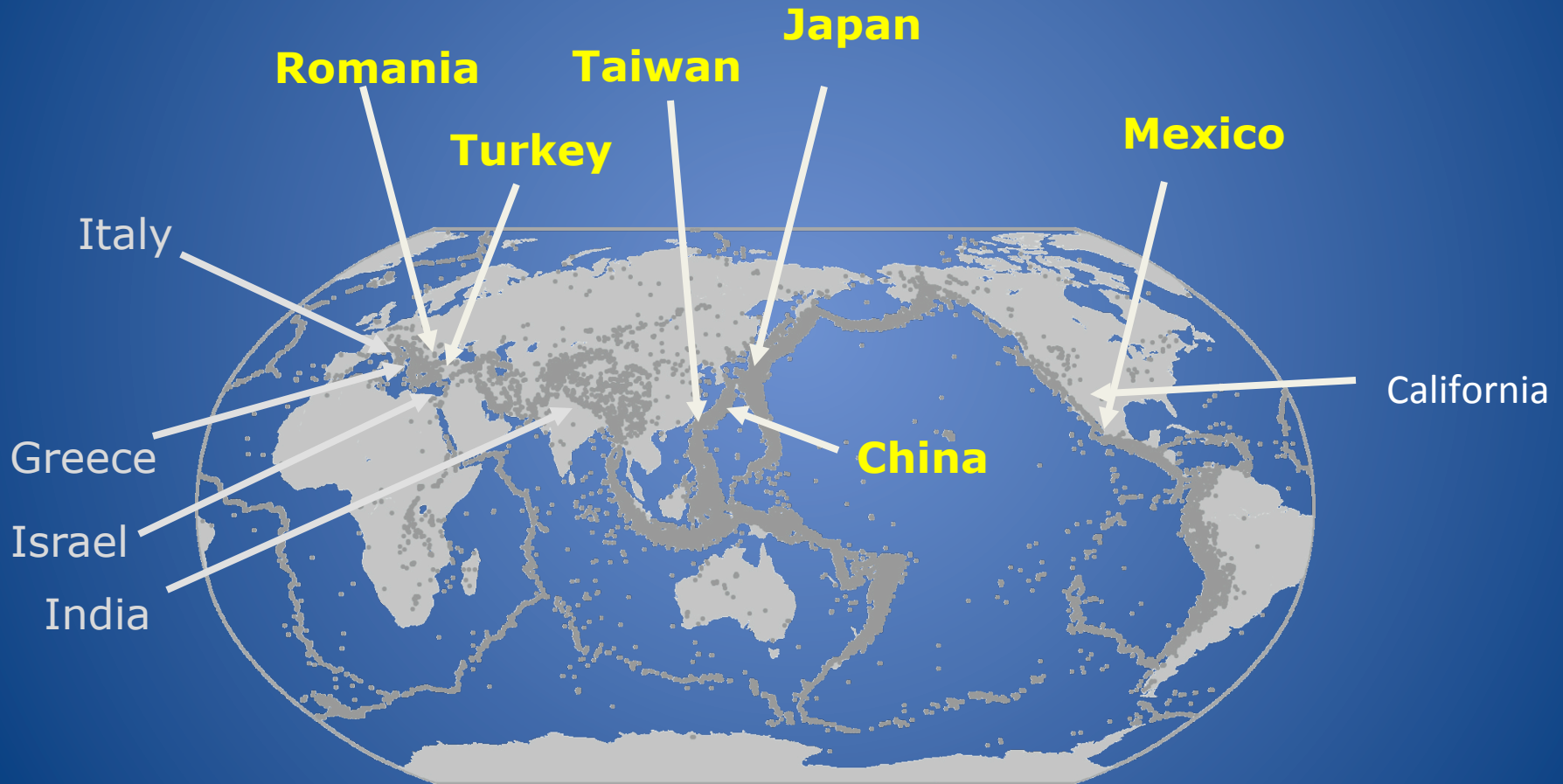
**Limitations:** chance of false alerts, warnings can be too late;  
no warning in blindzone (~20 miles around epicenter)



# Early Warning Activities

## Operational systems

Systems under development

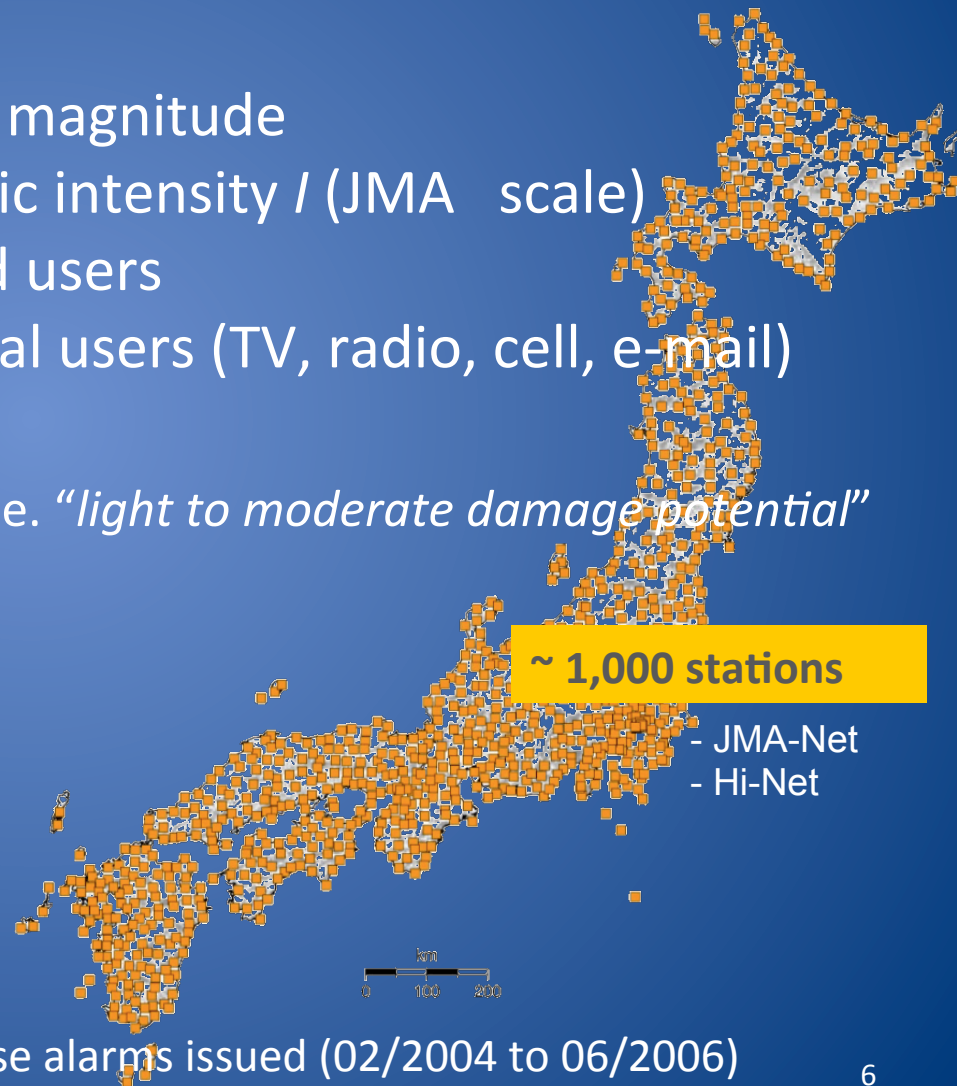
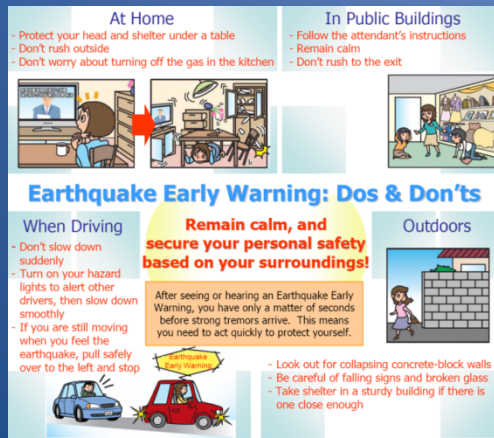


# Early Warning Activities

## Japan Meteorological Agency (JMA)

- Public since Oct. 2007
- Output: hypocenter location & magnitude
  - S-wave arrivals & seismic intensity  $I$  (JMA scale)
  - $I \geq 4+$  : warning to limited users
  - $I \geq 5-^*$  : warning to general users (TV, radio, cell, e-mail)

\* =VI-VII on MSK scale, i.e. “light to moderate damage potential”



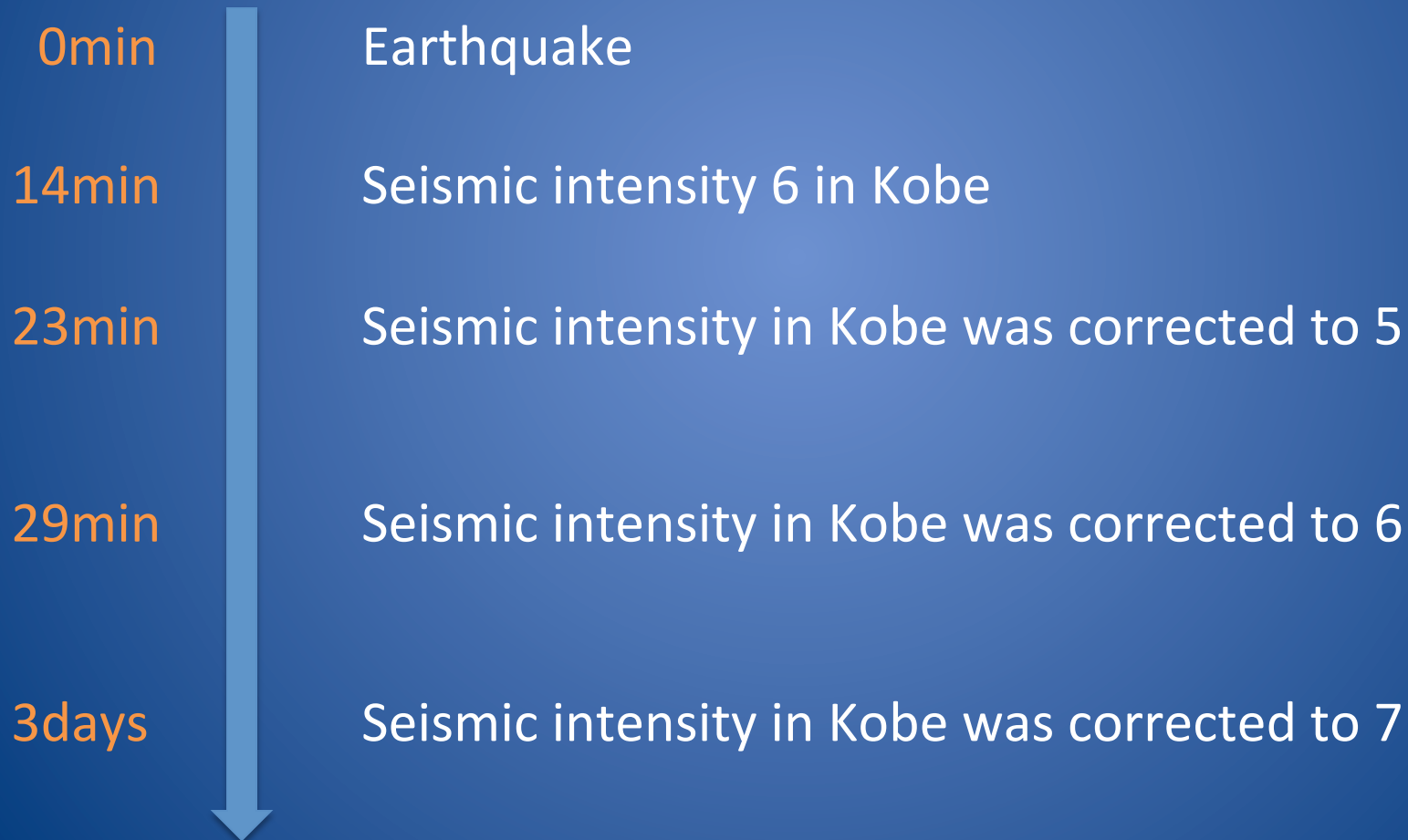
Testing period: 855 warnings & 26 false alarms issued (02/2004 to 06/2006)



# Early Warning Activities

## Japan Meteorological Agency (JMA)

### 1995 Kobe earthquake (*before* EEW)

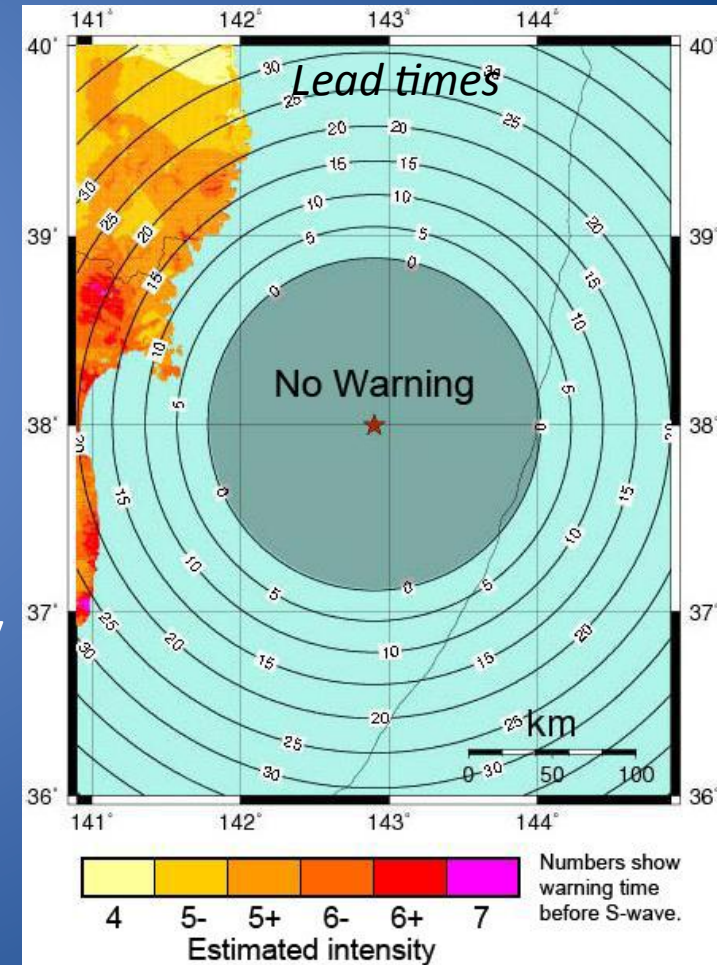


# Early Warning Activities

## Japan Meteorological Agency (JMA)

### 2011 Tohoku earthquake (*with* EEW)

0sec	Earthquake
19sec	P-wave detected
27sec	Location and Magnitude determined; EEW issued
3min	Observed Seismic Intensity reported
3.5min	Tsunami Warning issued



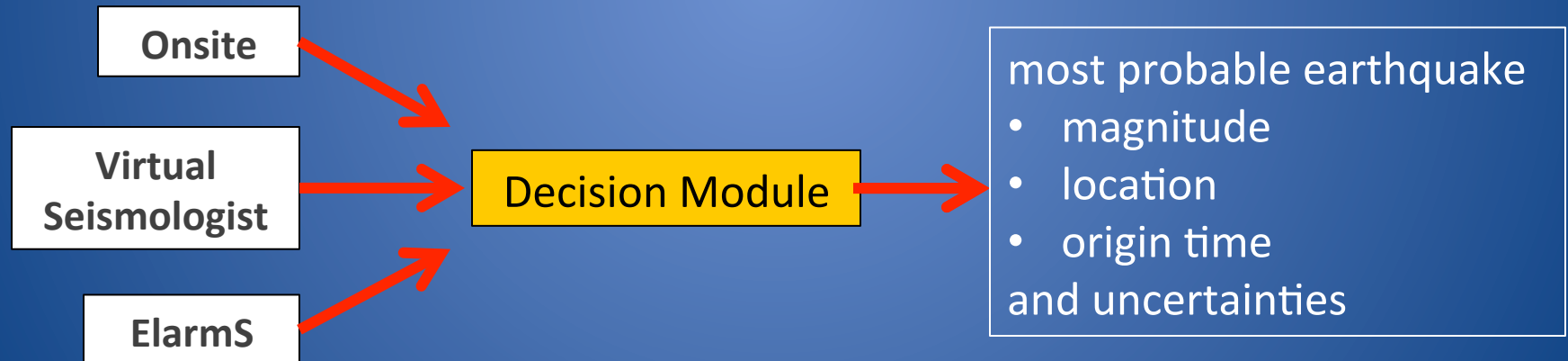


# Early Warning Activities

## California (ShakeAlert)

**CISN ShakeAlert** is a hybrid system that combines single sensor and network approaches. Single sensor algorithms are faster, but less reliable than network approaches. Estimates are up-dated with time.

### Algorithms



# Early Warning Activities

## Europe

### Operational Systems

- Romania
- Turkey (Marmara Area)

### Evaluating EEW implementation

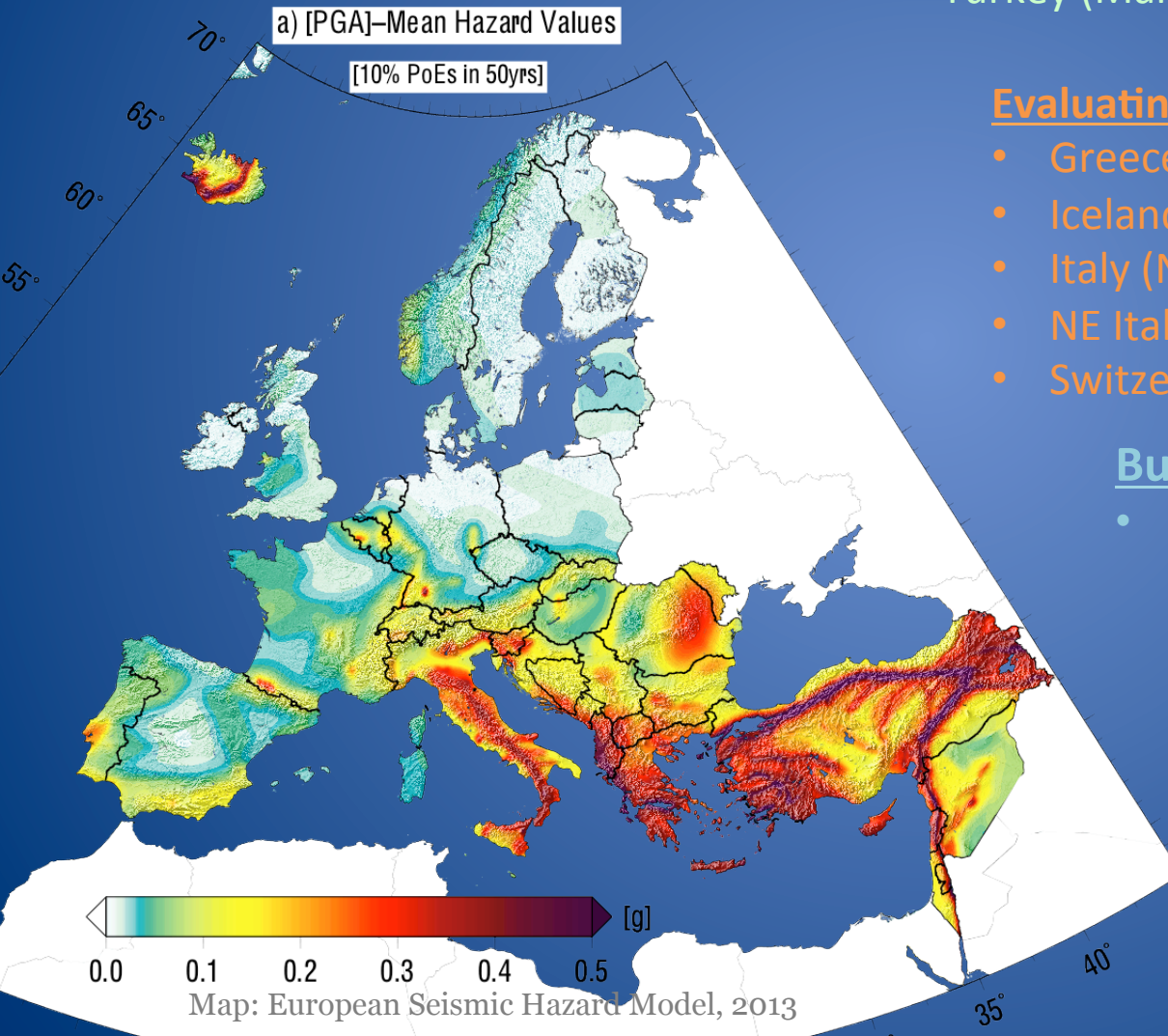
- Greece
- Iceland
- Italy (Naples)
- NE Italy/Slovenia/Austria
- Switzerland

### Building EEW

- Israel

### Algorithm Development

- Germany (GFZ)
- Italy (U. Naples)
- Romania (NIEP)
- Spain (UCM)
- Switzerland (SED)
- Turkey (KOERI)





# Early Warning Activities

## Europe

### European Funding for EEW in Europe



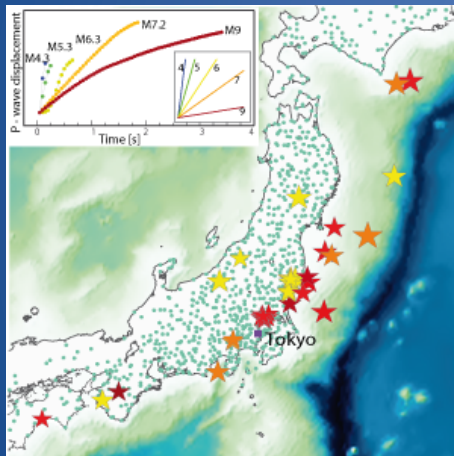
- With > €7m SAFER / REAKT funded >20 institutions in >10 nations  
>50 man-years were funded
- Focus on science, evaluation and implementation of test EEW systems, fostering key End User interactions

# Early Warning Activities

## Europe (U. Naples)

### Scientific results

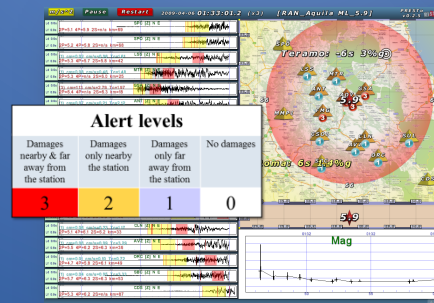
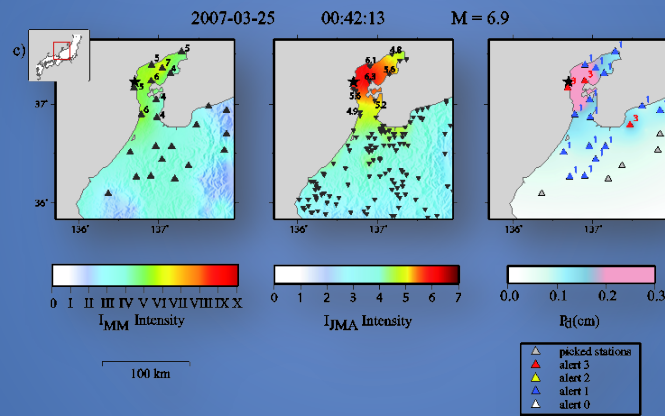
Rupture initiation of small and large events



Colombelli et al., 2014, Nature Communications

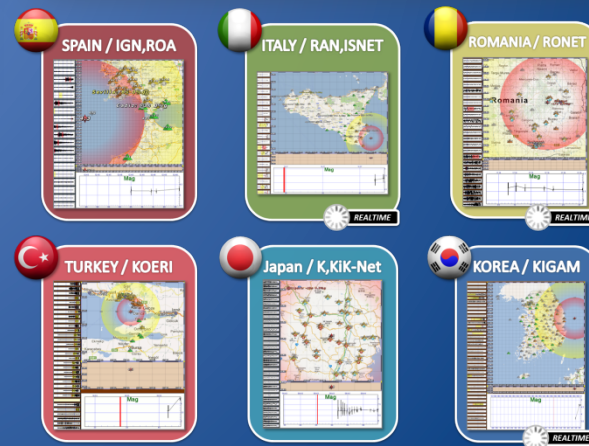
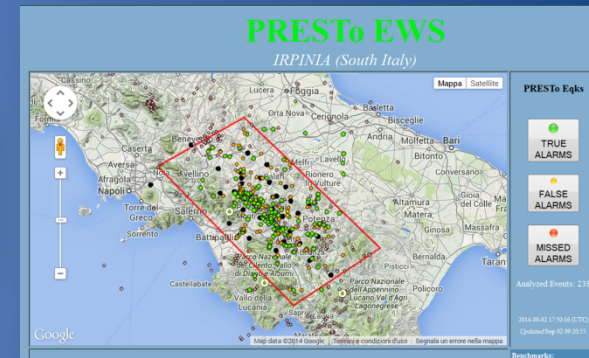
### Method Updates

The concepts of alert levels and Potential Damage Zone



### Applications

Testing PRESTo in Southern Italy and elsewhere



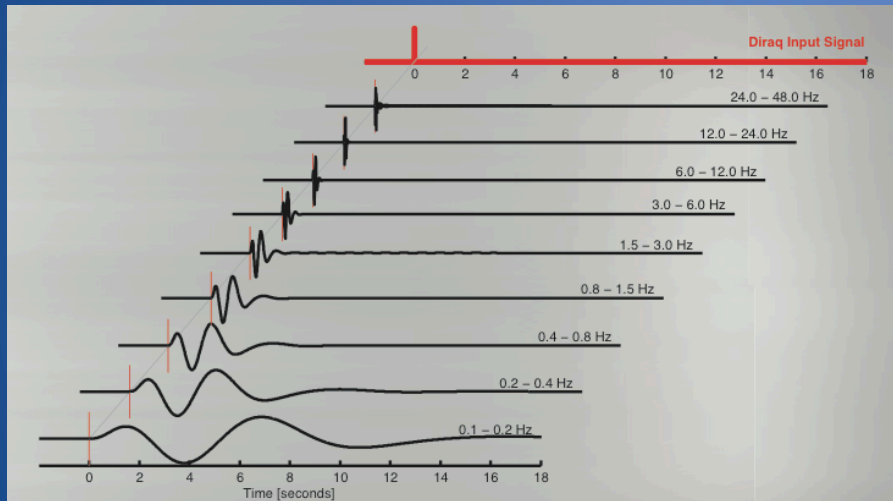


# Early Warning Activities

## Europe (SED@ ETHZ)

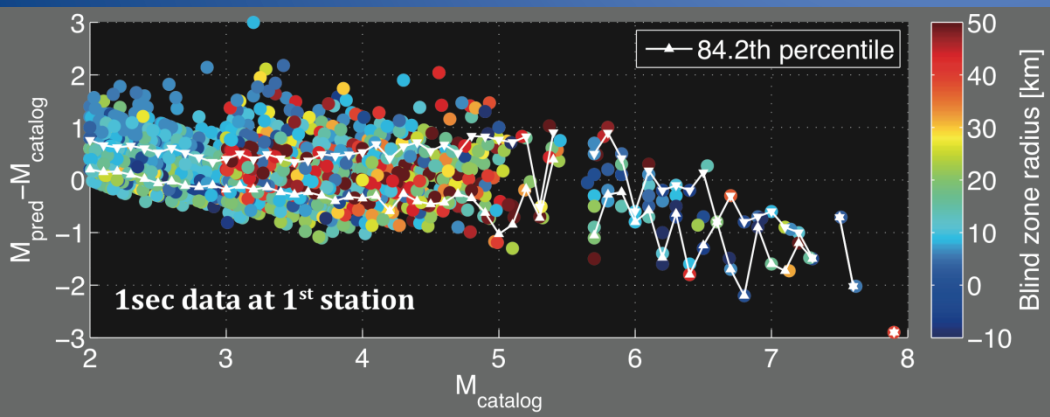
ETHZ maintains and develops the **Virtual Seismologist (VS)** regional EEW algorithm (Cua and Heaton, 2006)

VS is part of the *ShakeAlert* System in CA



Faster EEW to minimise the blind zone:

Using filter banks to characterise magnitude / location using 0.5 s updates at a single station



Combining single station event information with increasing network awareness (multiple picks, NYAD)

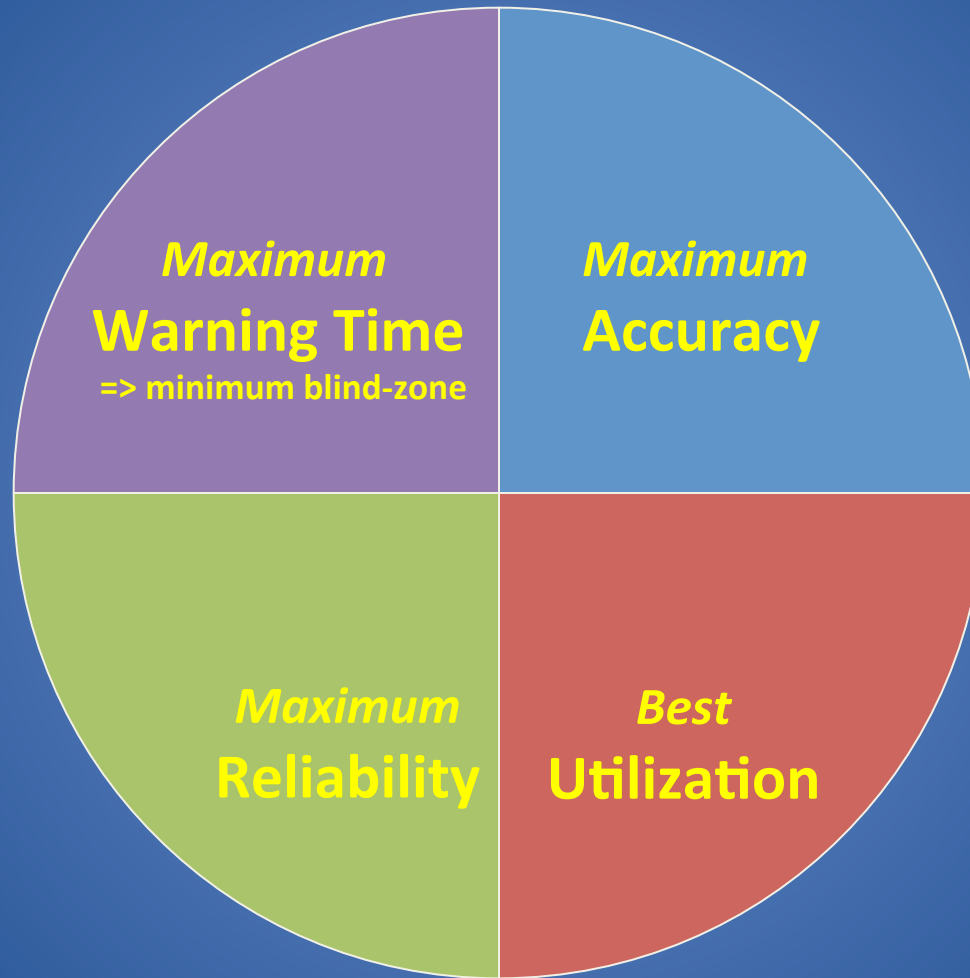
# Early Warning Activities

## Europe

### Lessons Learned

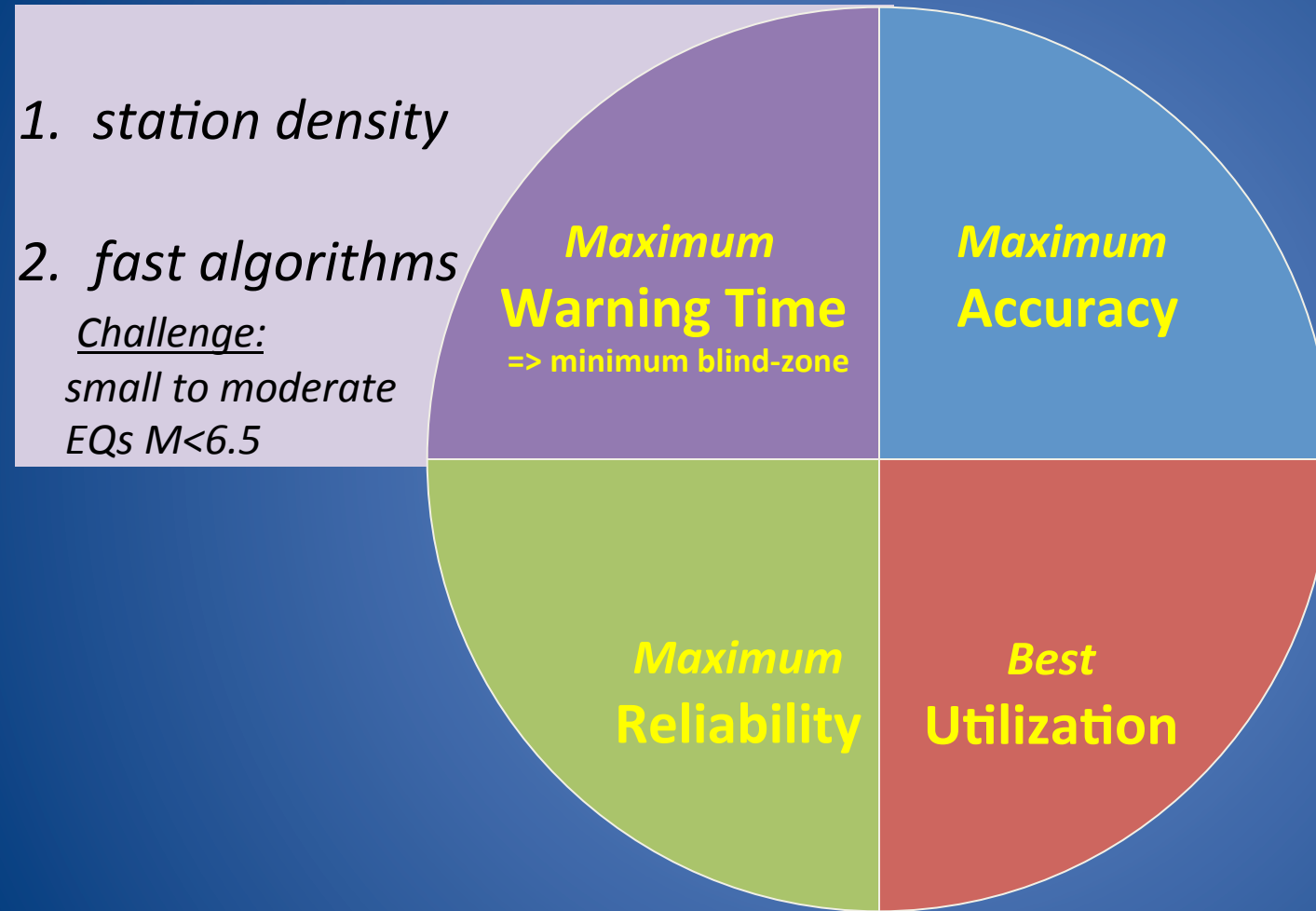
- A major investment in seismic networks is required for most regions to be EEW-capable
- Networks do not share real time data across different networks / nations
- ***Small events <M6.5 dominate hazard in majority of European countries:***
  - damage zones are currently inside the blind zone
  - EEW community need to demonstrate the blind zone can be reduced

# Performance



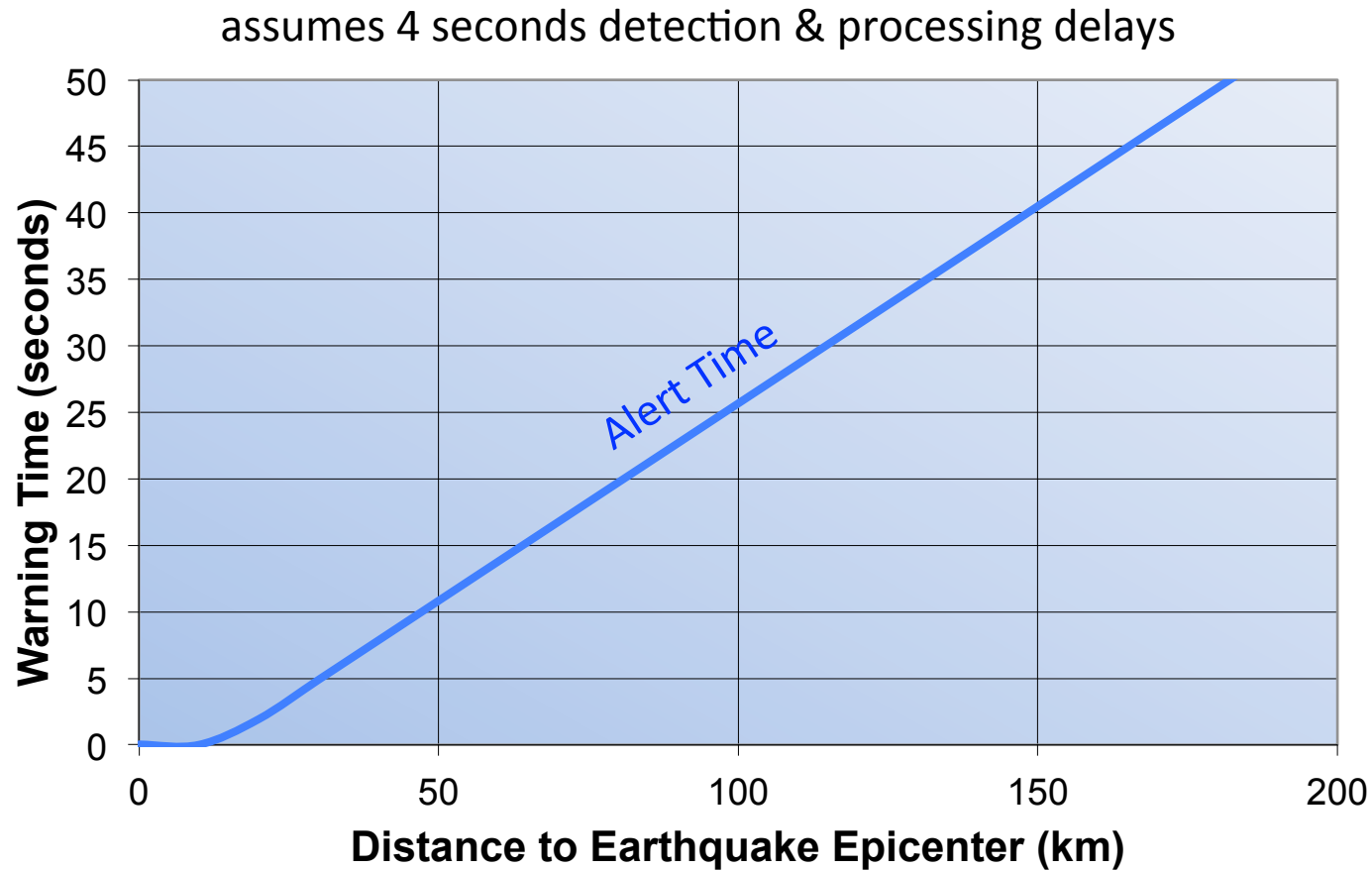


# Performance



# Performance

## Warning Times/Blind-Zone



# Performance

## Warning Times/Blind-Zone

Example: ShakeAlert

### La Habra:

**M 5.1, March 28, 2014. 9:09 pm PDT**

#### ShakeAlert Timeline

09:09:42.3

09:09:43.3 (+1.0s)

09:09:46.3 (+4.0s)

Origin time

1<sup>st</sup> P-wave

1<sup>st</sup> Alert

### South Napa:

**M 6.0, Aug. 24<sup>th</sup>, 2014. 3:20am PDT**

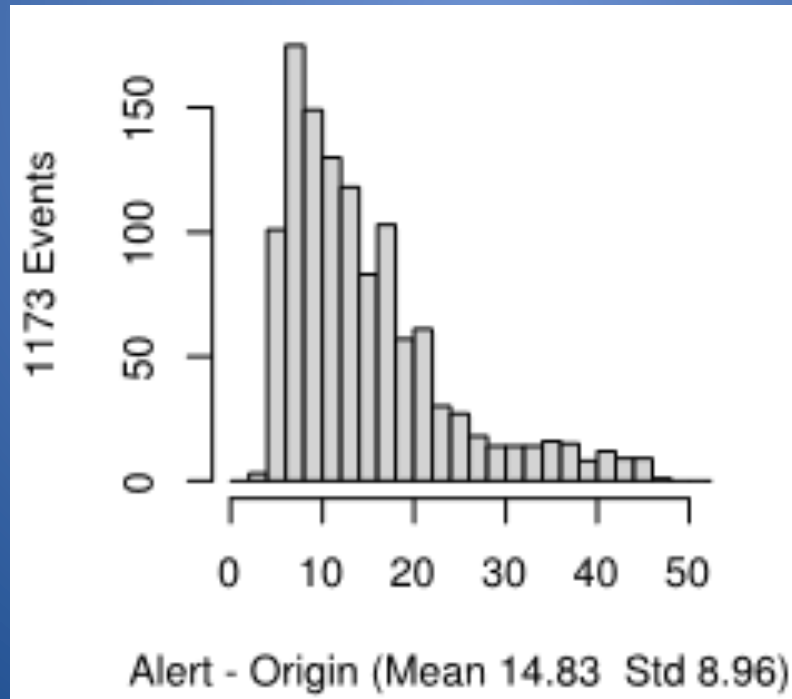
#### ShakeAlert Timeline

10:20:44.4

10:20:49.5 (+5.1s)

Origin time

1<sup>st</sup> Alert

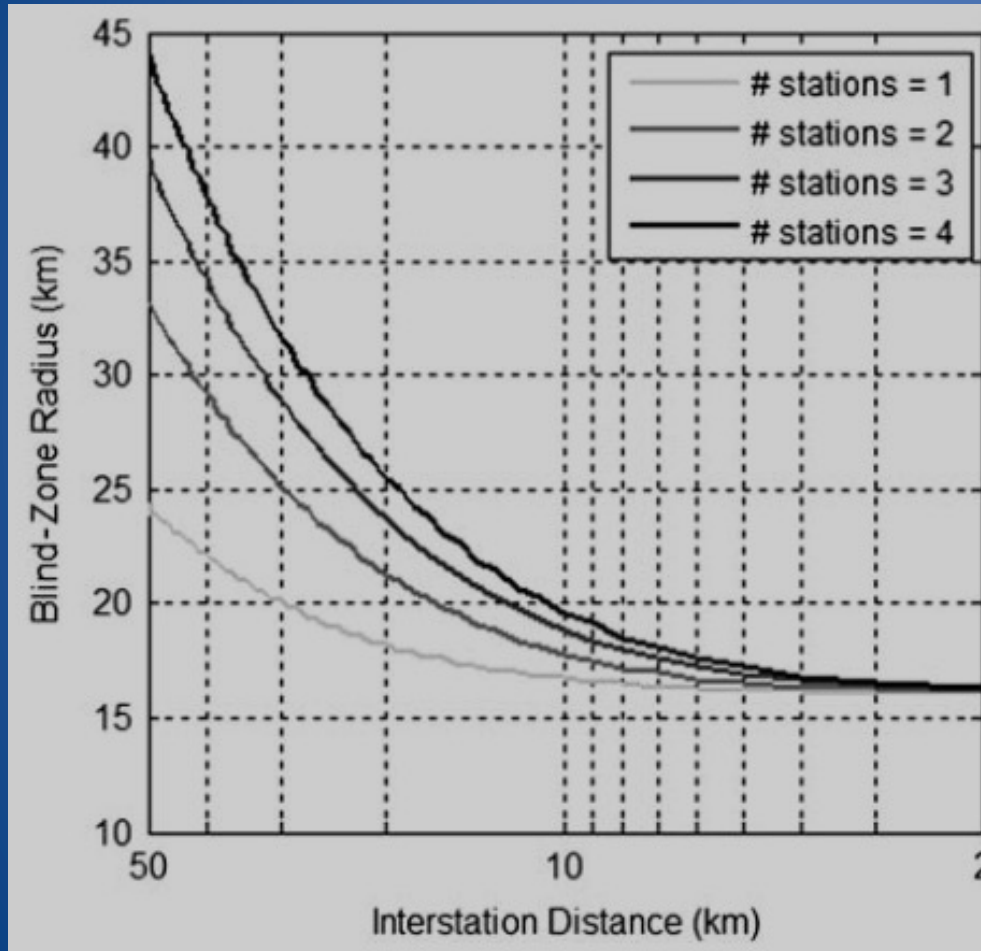




# Performance

## Warning Times/Blind-Zone

### 1. Station Density

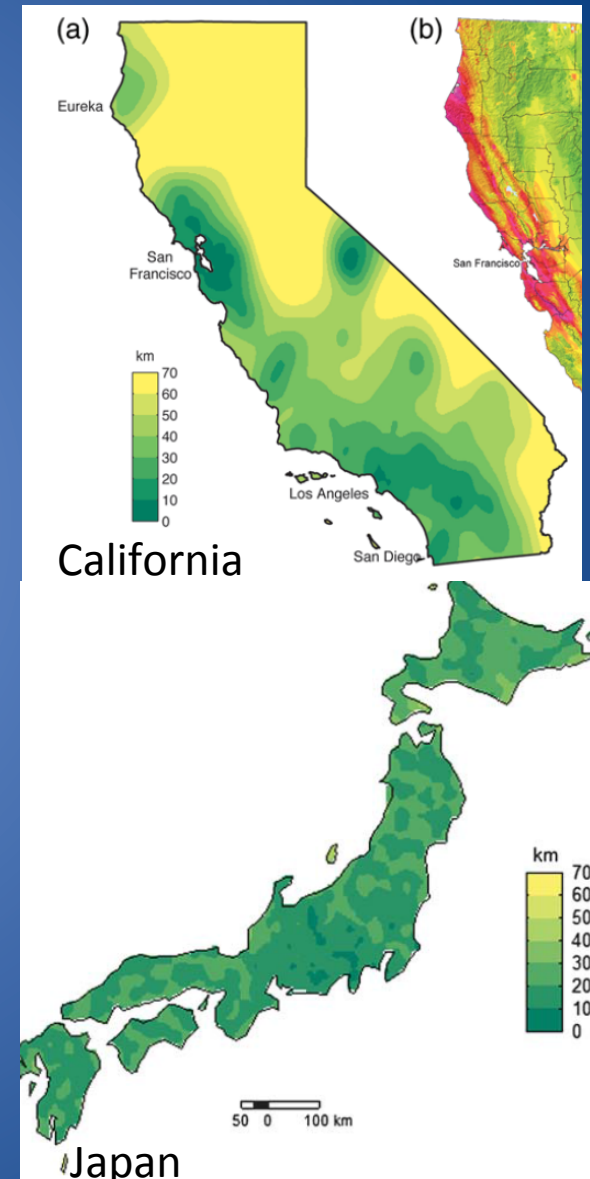


$$v_p = 6.1 \text{ km/s}$$

$$V_s = 3.6 \text{ km/s}$$

Kuyuk and Allen, 2013

### Seismic station density

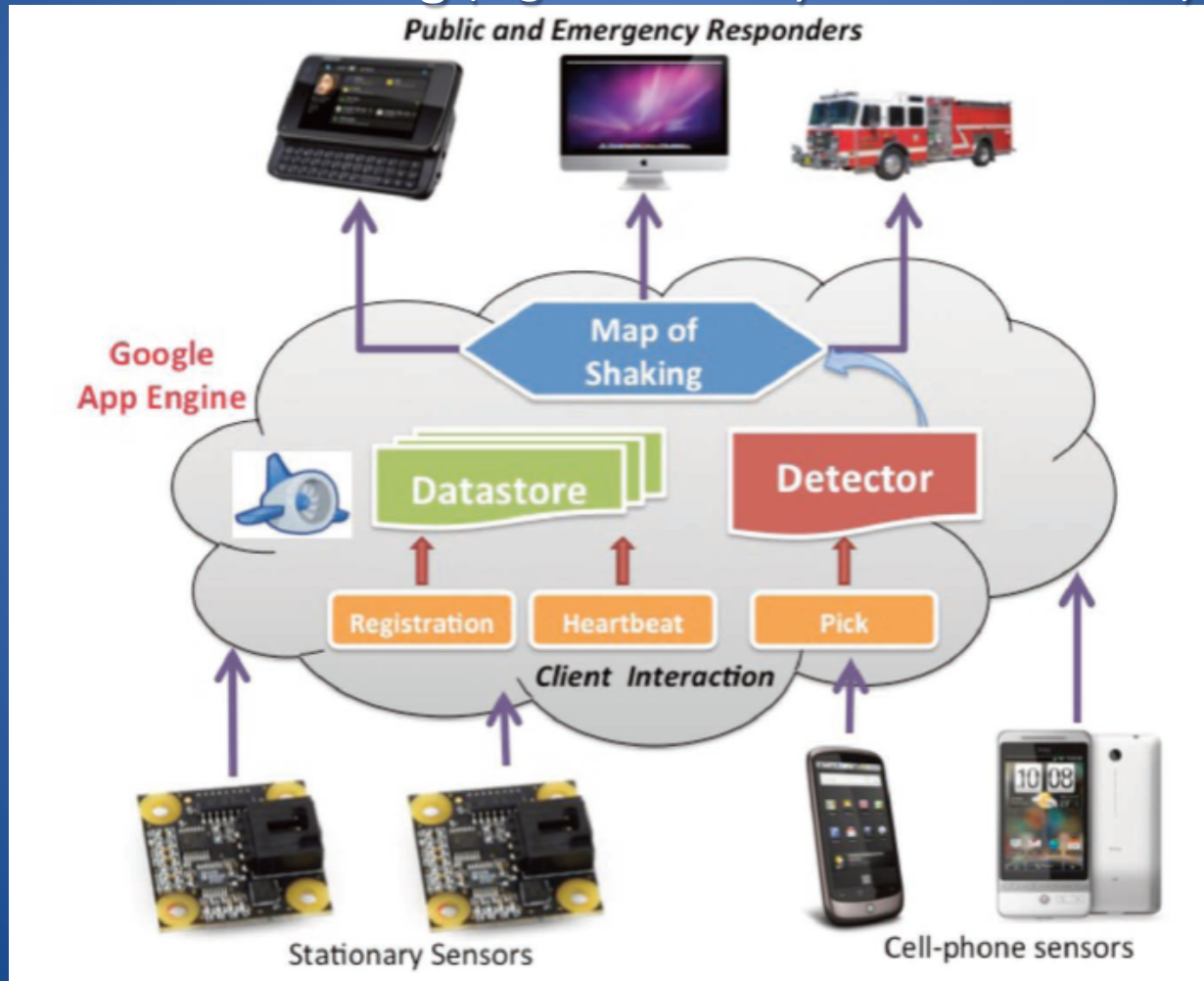


# Performance

## Warning Times/Blind-Zone

### 1. Station Density

Crowd-Sourcing (e.g. Community Seismic Network)



Clayton et al., 2011

# Performance

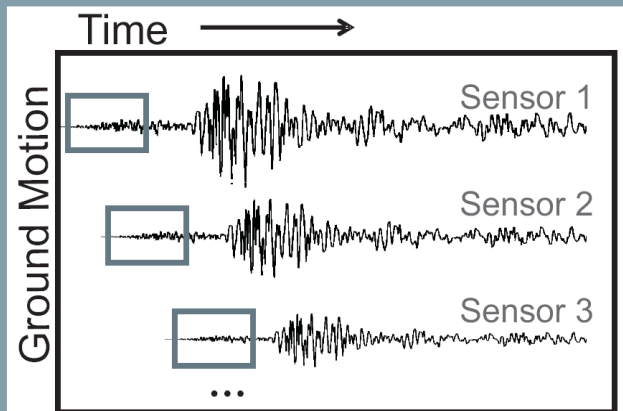
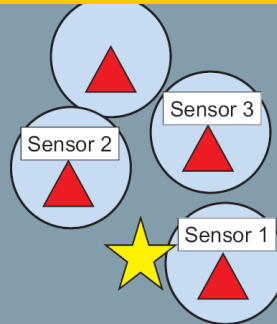
## Warning Times/Blind-Zone

### 2. Fast Algorithms

#### On-site Warning Systems

##### Usage of

- Single stations
- First few seconds of seismic record

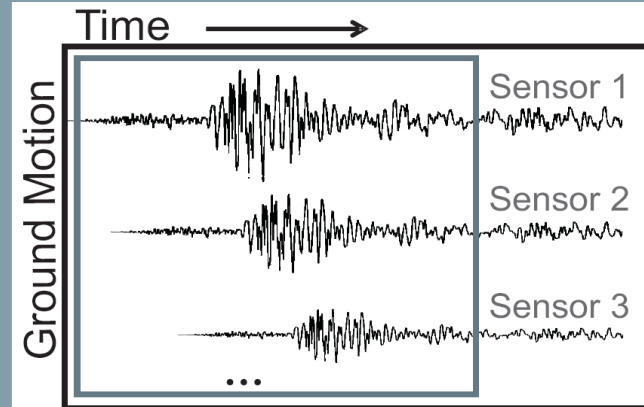
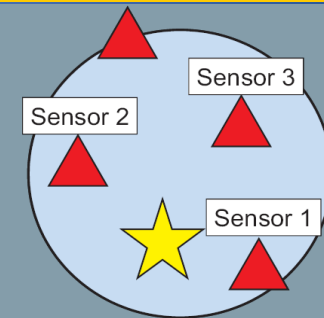


Fast **but** Less Reliable

#### Regional Warning Systems

##### Usage of

- Sensor network
- Entire seismic record



Reliable **but** Slow



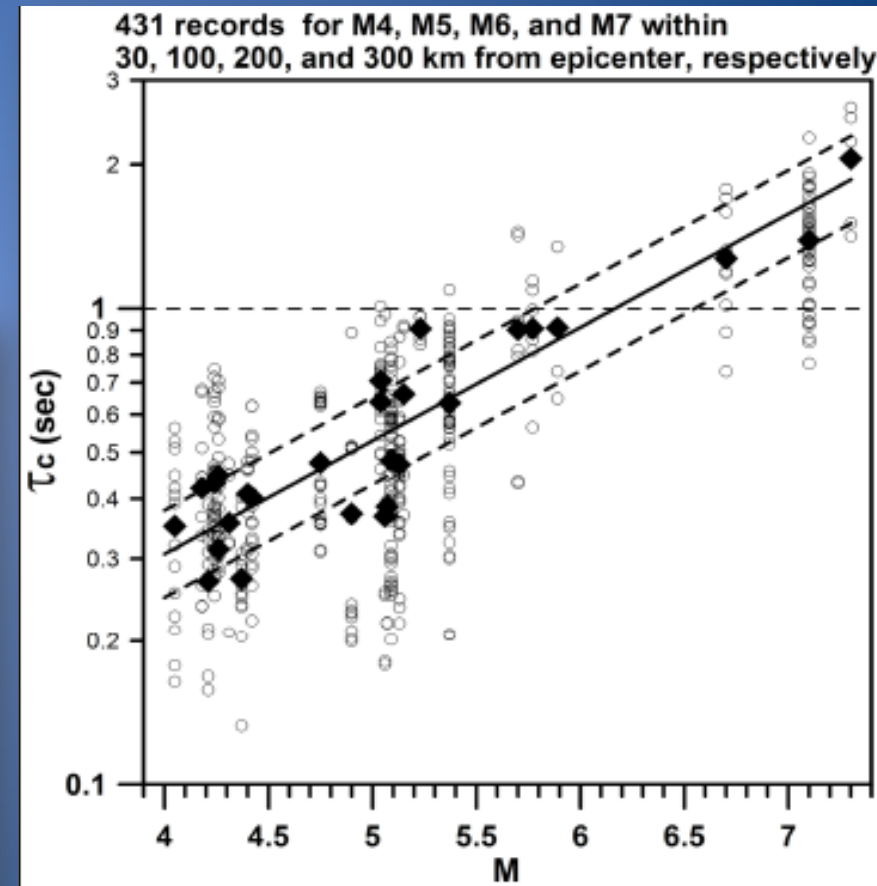
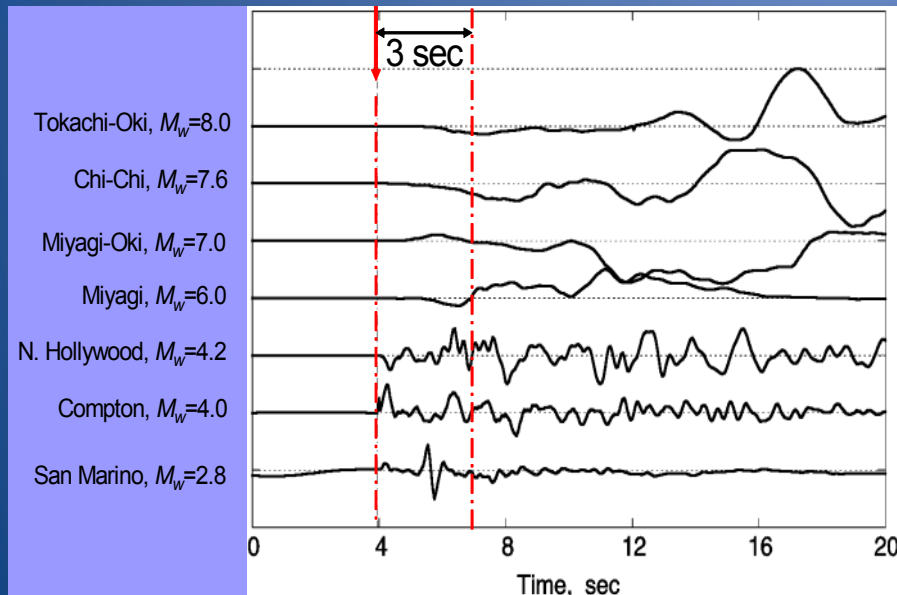
# Performance

## Warning Times/Blind-Zone

### 2. Fast Algorithms - **Tc-Pd Onsite**



predominant period  $\sim$  earthquake magnitude:

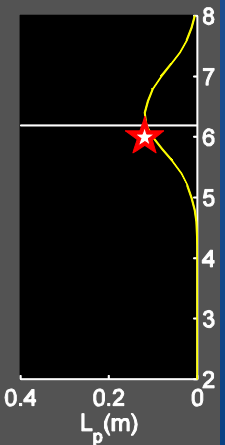
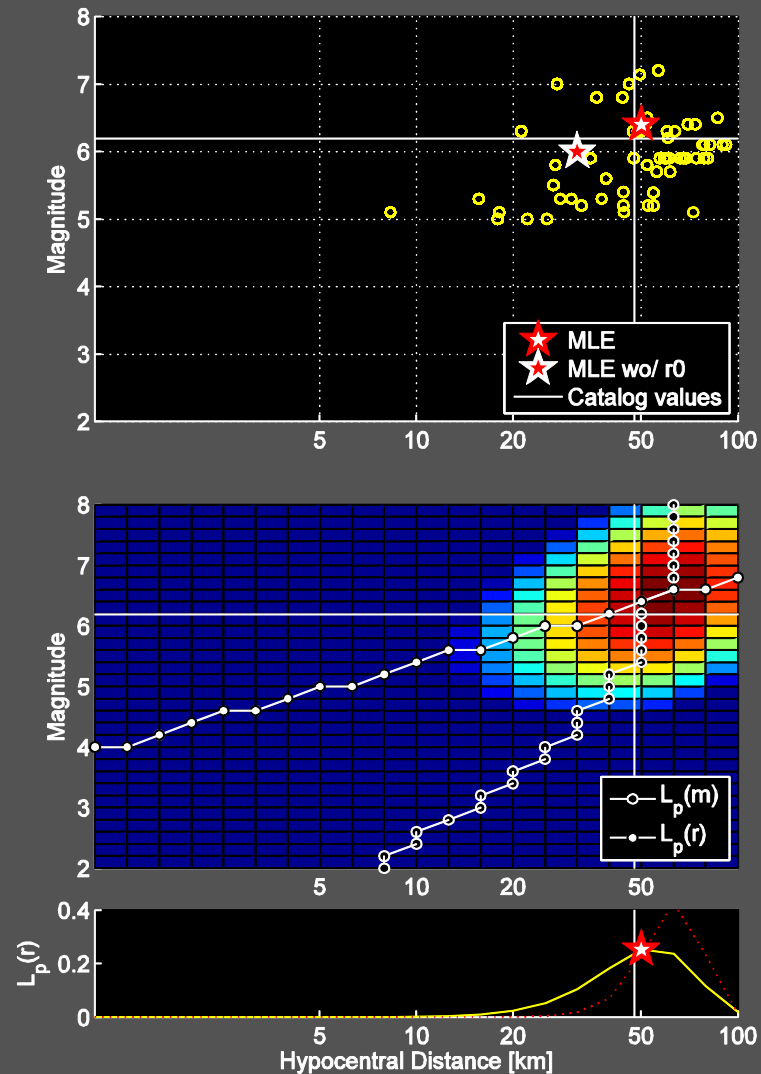
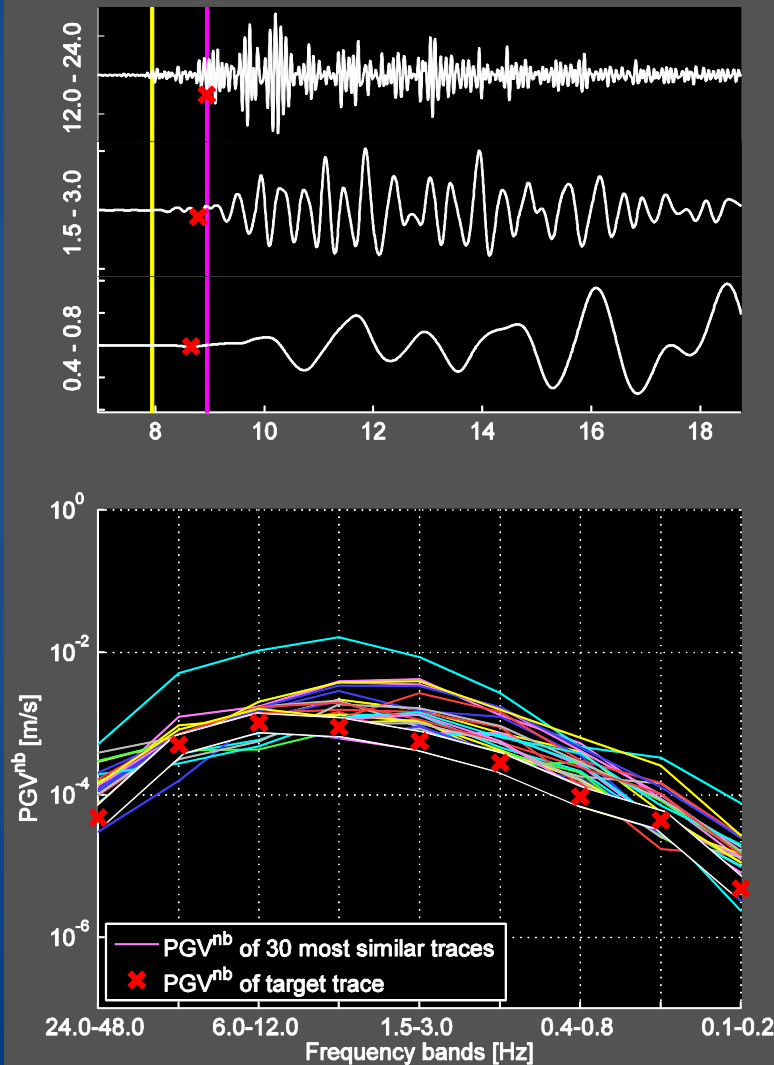


Kanamori, 2005

# Performance

## Warning Times/Blind-Zone

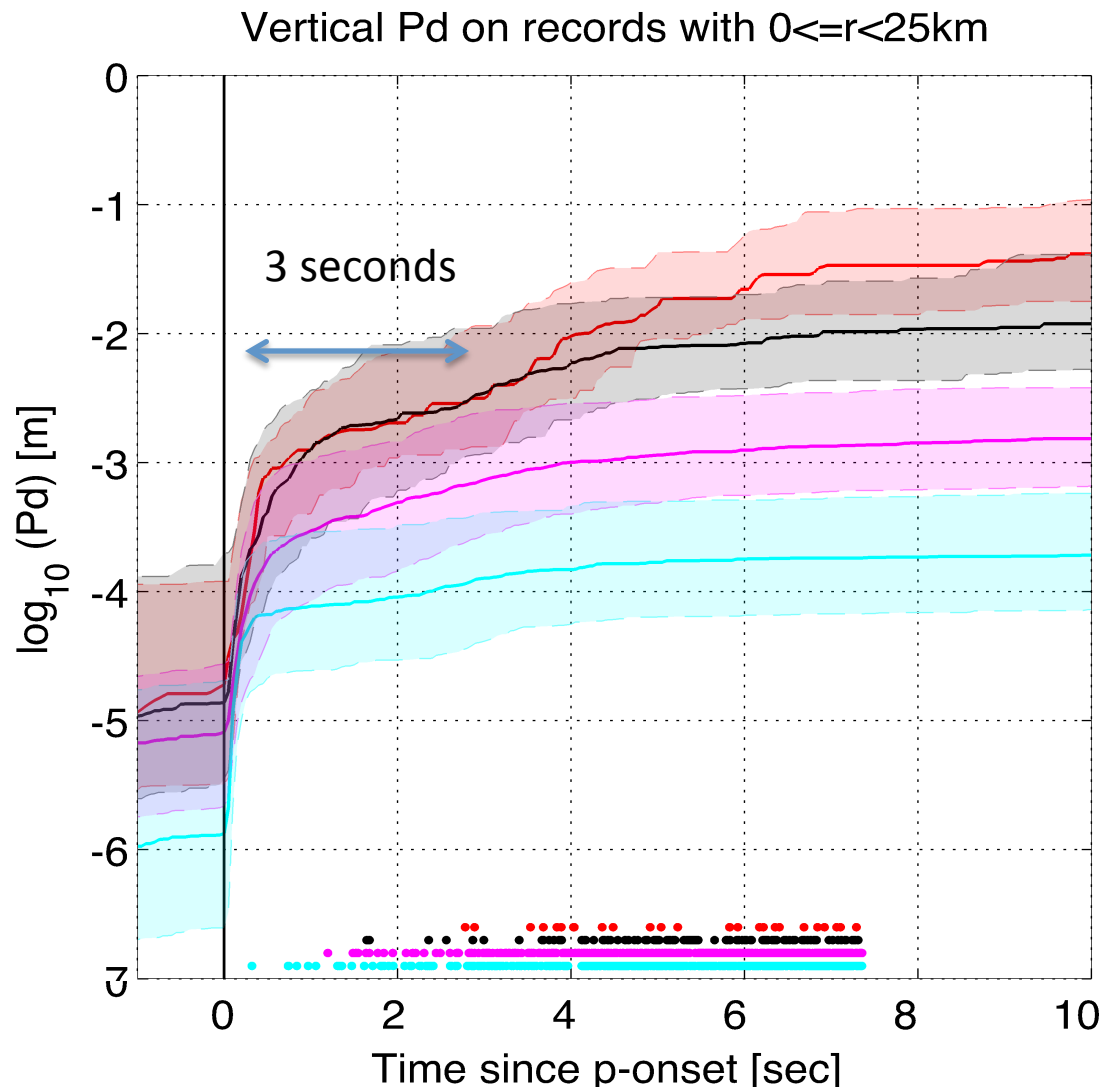
### 2. Fast Algorithms - **Filterbank**



# Performance

## Warning Times/Blind-Zone

### 2. Fast Algorithms - **Limitations**



- $7 \leq m < 8$  (nval=27)
- $6 \leq m < 7$  (nval=109)
- $5 \leq m < 6$  (nval=539)
- $4 \leq m < 5$  (nval=561)



# Warning Times/Blind-Zone

2011,  $M_w$  5.1,  
Lorca, ESP



2011,  $M_w$  6.1,  
Christchurch, NZ



1999,  $M_w$  7.6,  
Chi Chi, TW



2011,  $M_w$  9.0,  
Tohoku, JP



5

6

7

8

9

Magnitude

Damage

?

?

?

Hazard

EEW Limitations

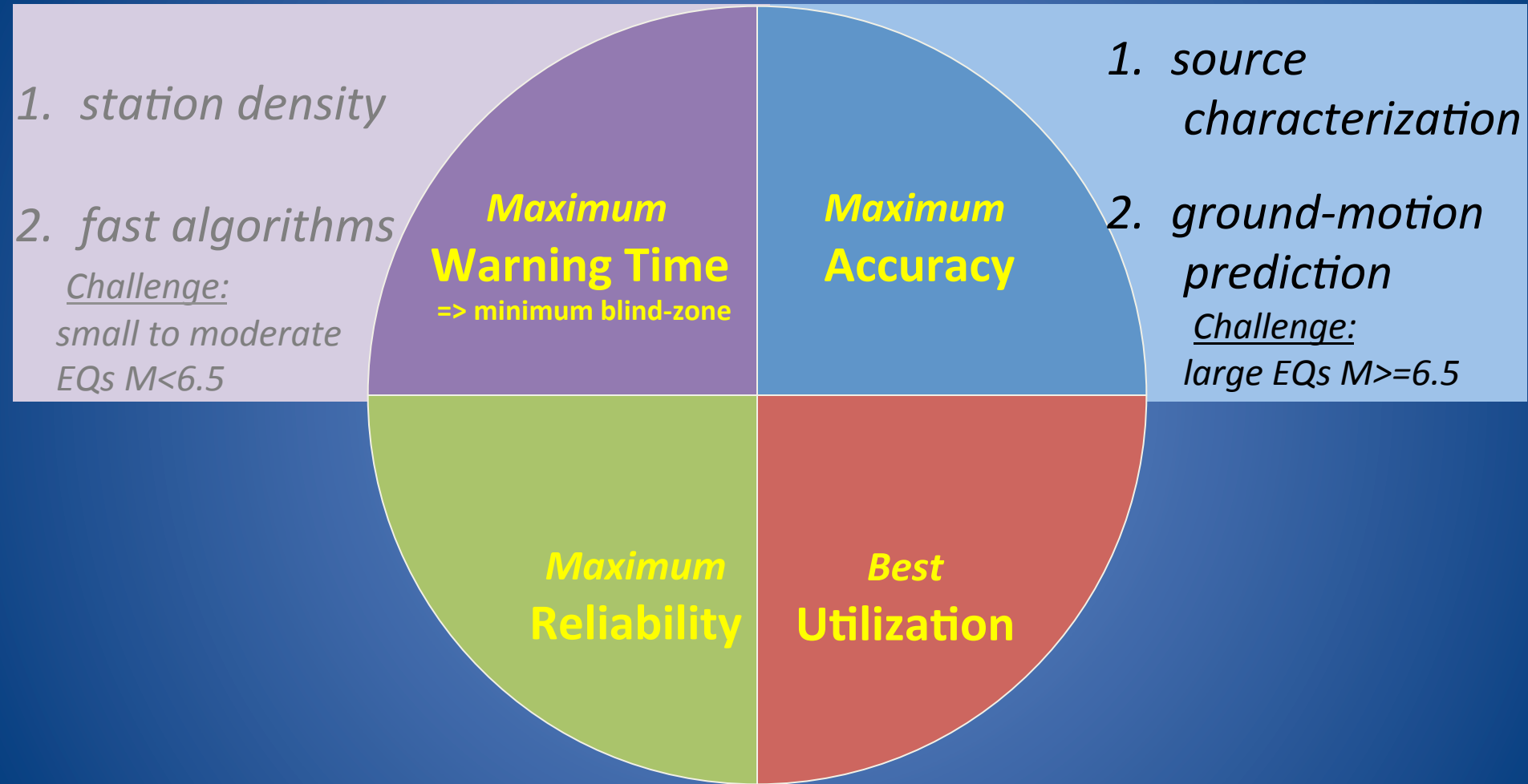
- Blind zone larger  
than damaged area

**small to moderate  
earthquakes**

seismic waves are too slow!

# Performance

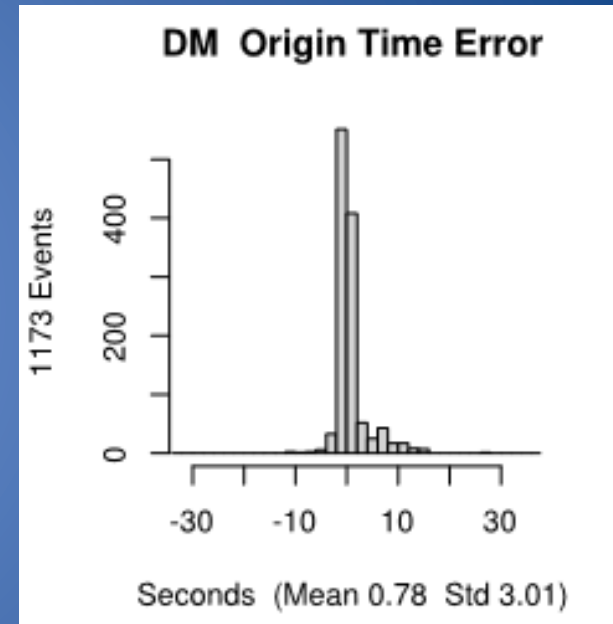
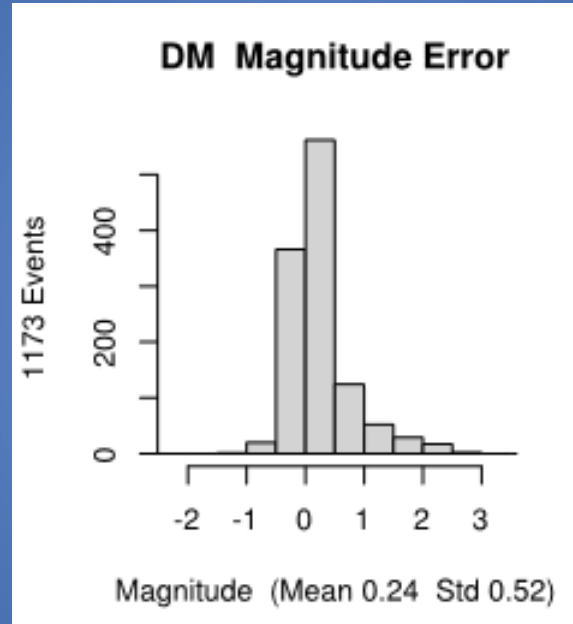
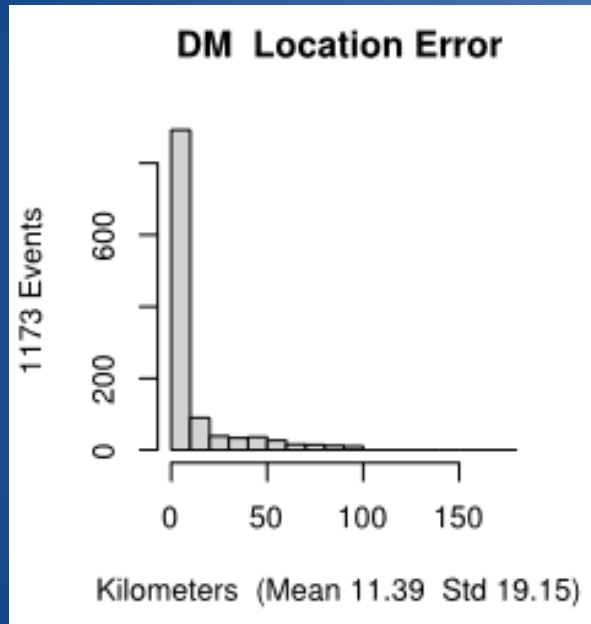
Accuracy



# Performance

## Accuracy: 1. Earthquake Source Characterization

ShakeAlert:



Depend on event size  
and location  
->station density

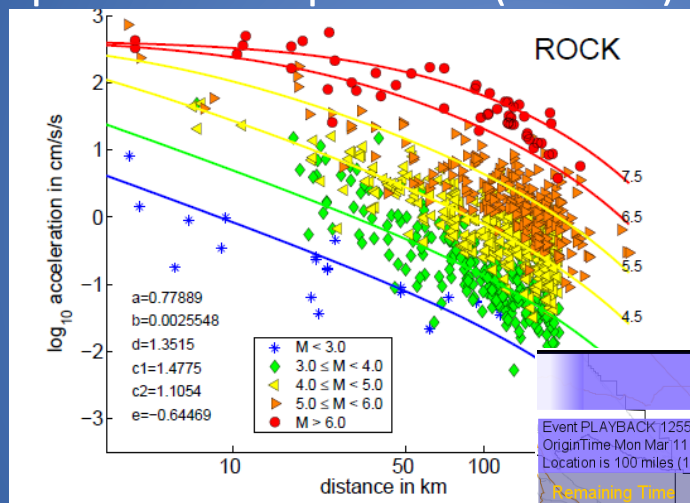
# Performance

## Accuracy: 2. Ground-Motion Prediction

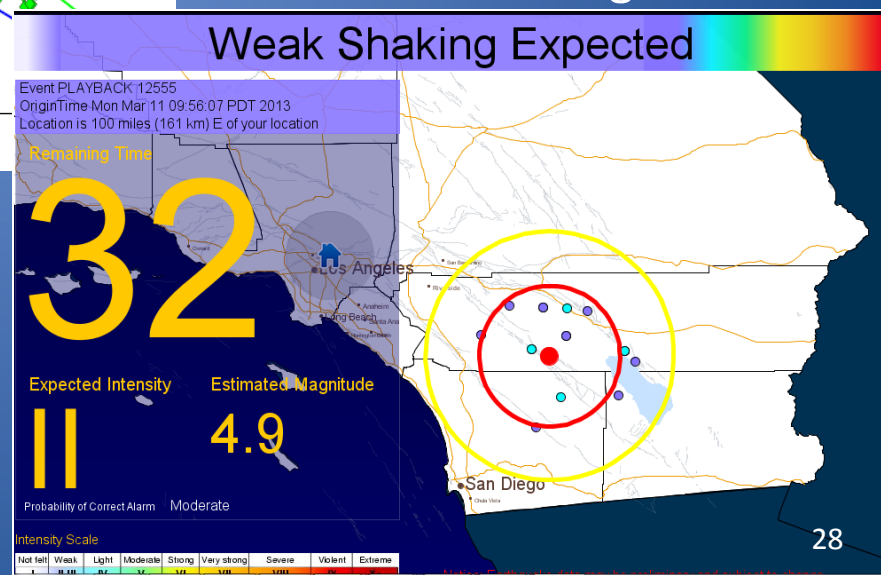
source parameters:

- magnitude
- hypocenter

ground-motion  
prediction equations (GMPEs)

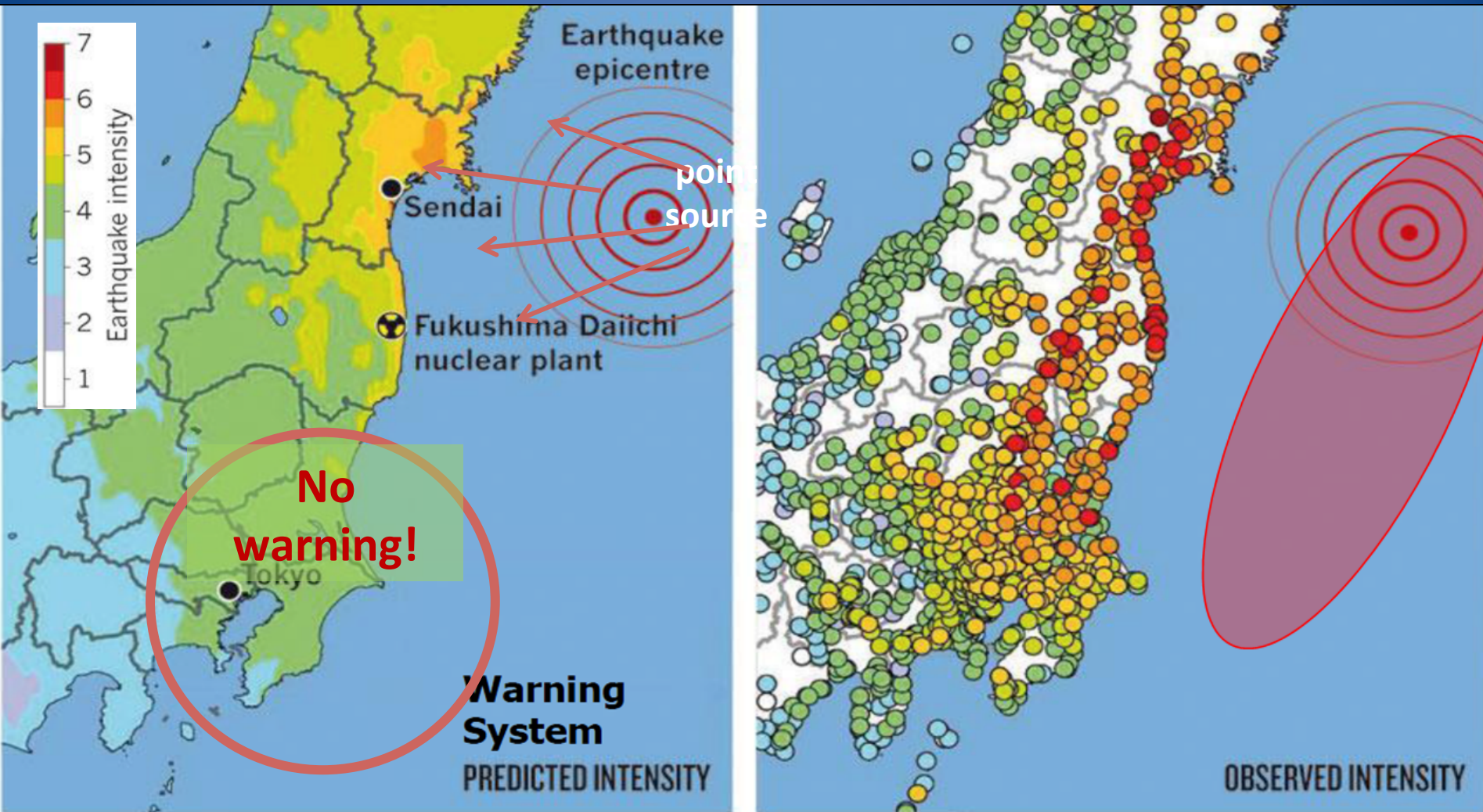


site-dependent  
shaking





## Large Earthquakes: 1. Finite-Fault Ruptures



M9 Tohoku-Oki, Japan

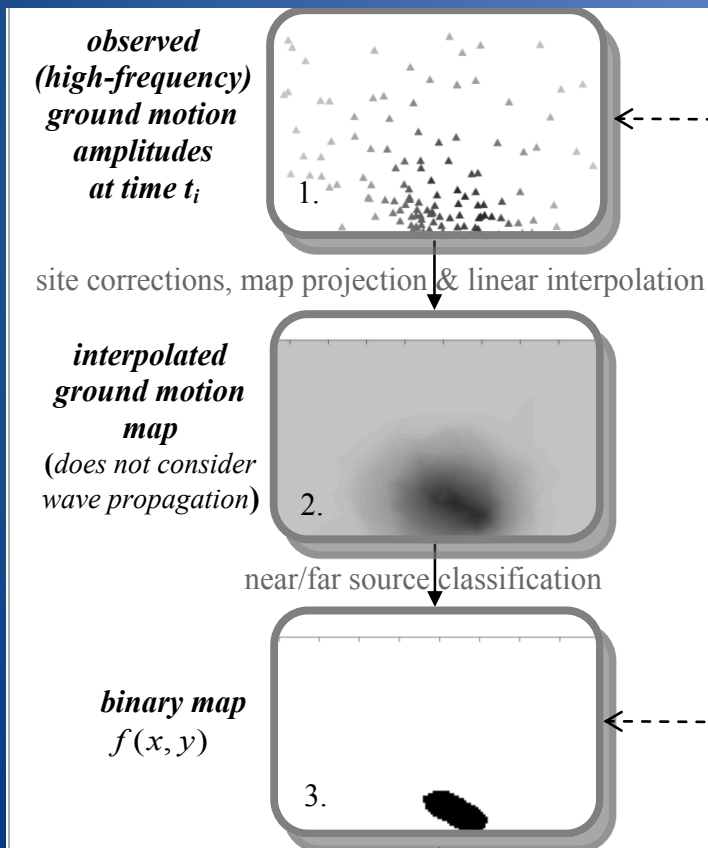
## Large Earthquakes: 1. Finite-Fault Ruptures

### Finite-Fault Rupture Detector (FinDer)

Böse *et al.*, 2012

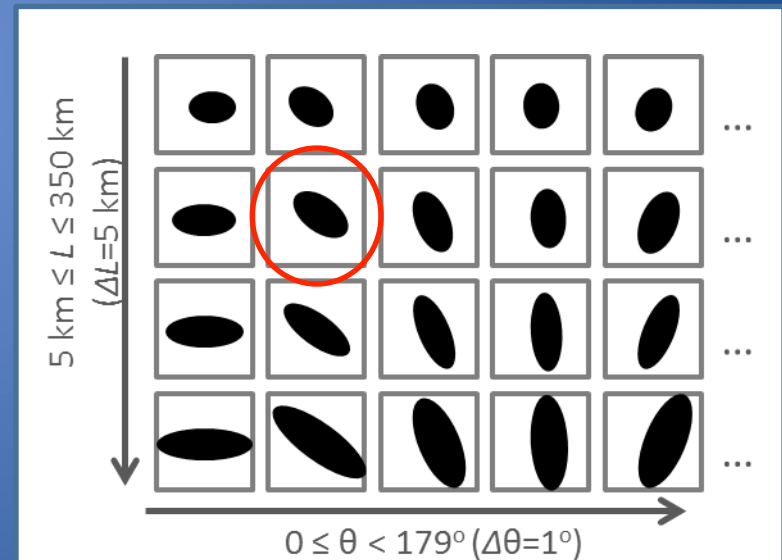
*use spatial distribution of PGA observations to find best line source*

### observations



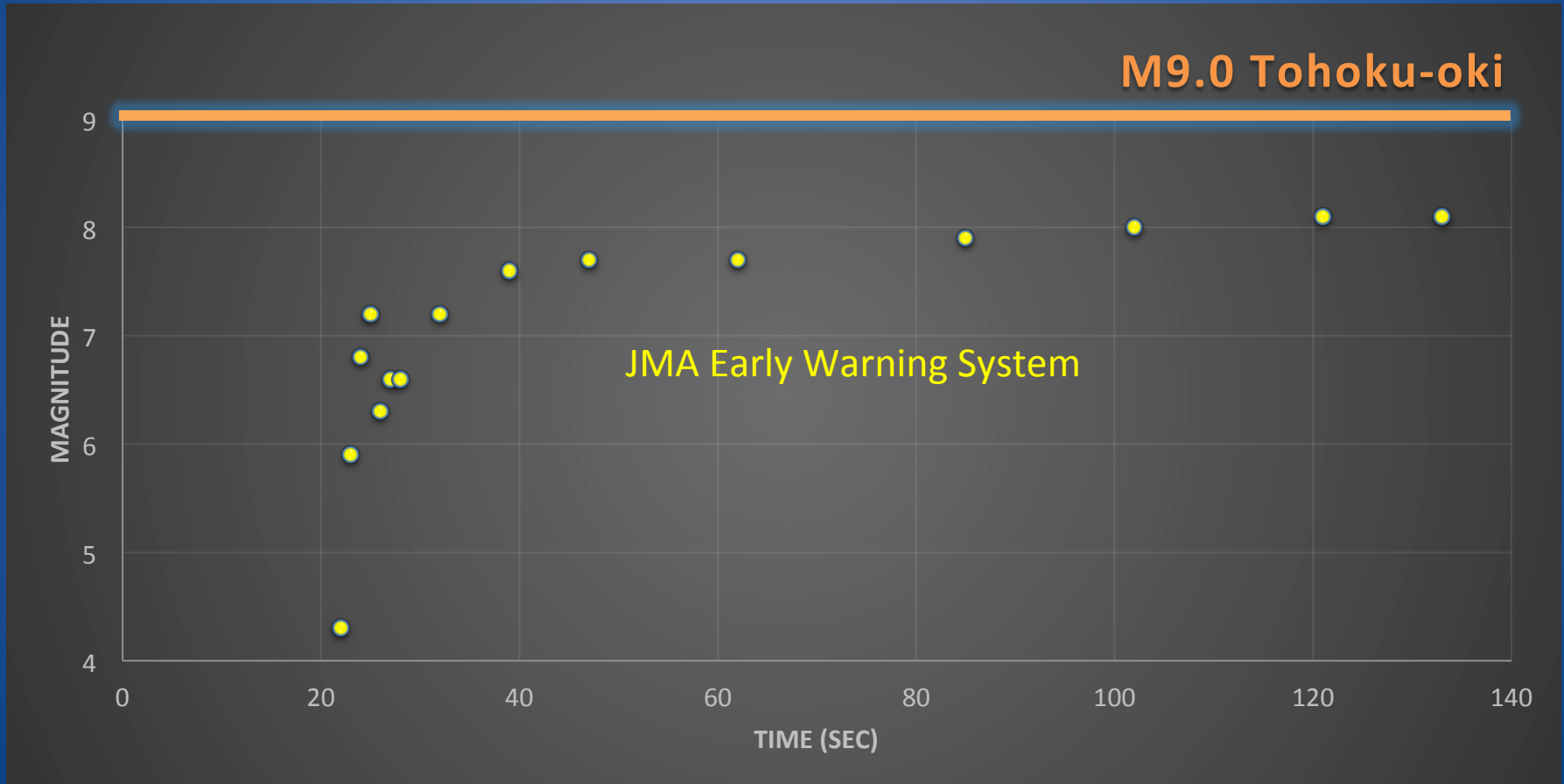
### templates

generic templates



+  
fault-specific templates

## Large Earthquakes: 2. Magnitude Saturation



## Large Earthquakes: 2. Magnitude Saturation

### Real-time GPS/GNSS (GPSlip, BEFORES, G-larmS)

*use spatial distribution of static and dynamic displacement to find best slip model*

- -> no magnitude saturation
- essential for tsunami warning



## Large Earthquakes: 3. Aftershocks

### False Alert Rates

Before  
Tohoku

5

17

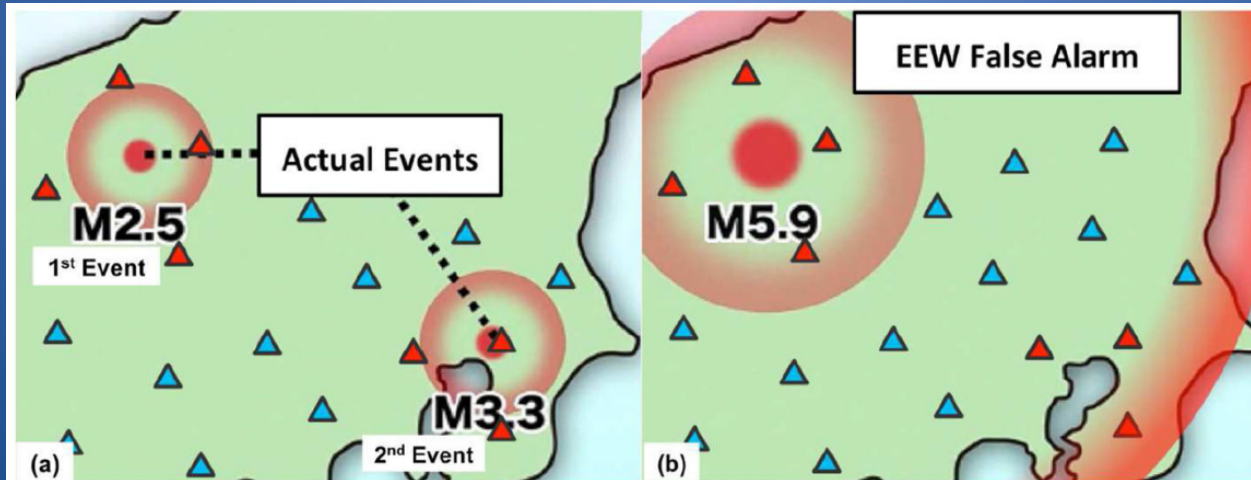
Intensity Error (Exp.-Obs.)  $\geq 2$

Warnings

After  
Tohoku

44

70

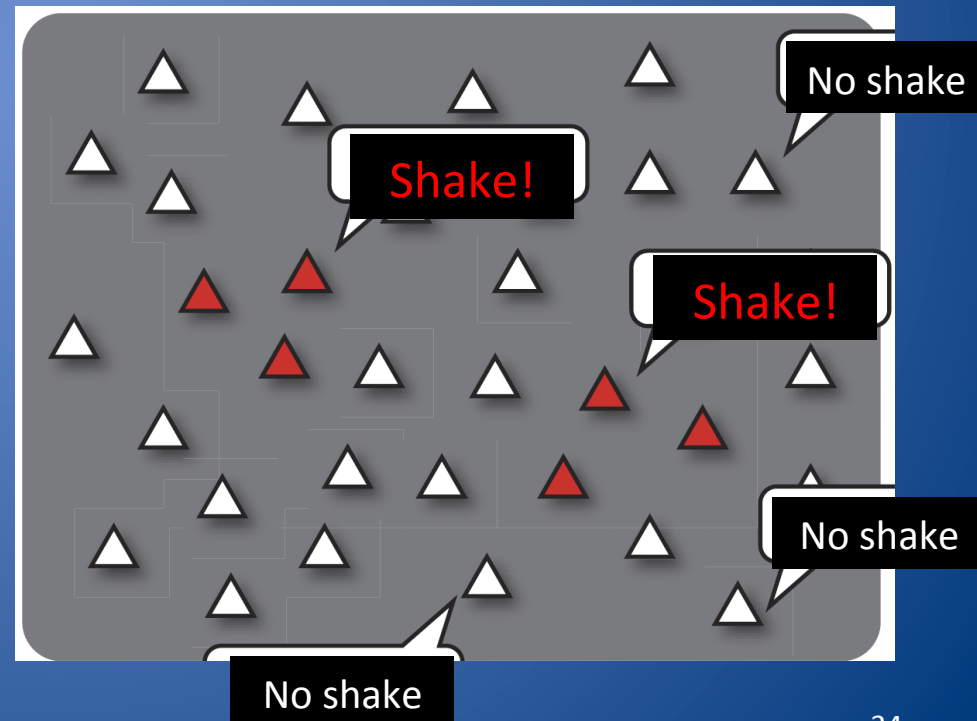


## Large Earthquakes: 3. Aftershocks

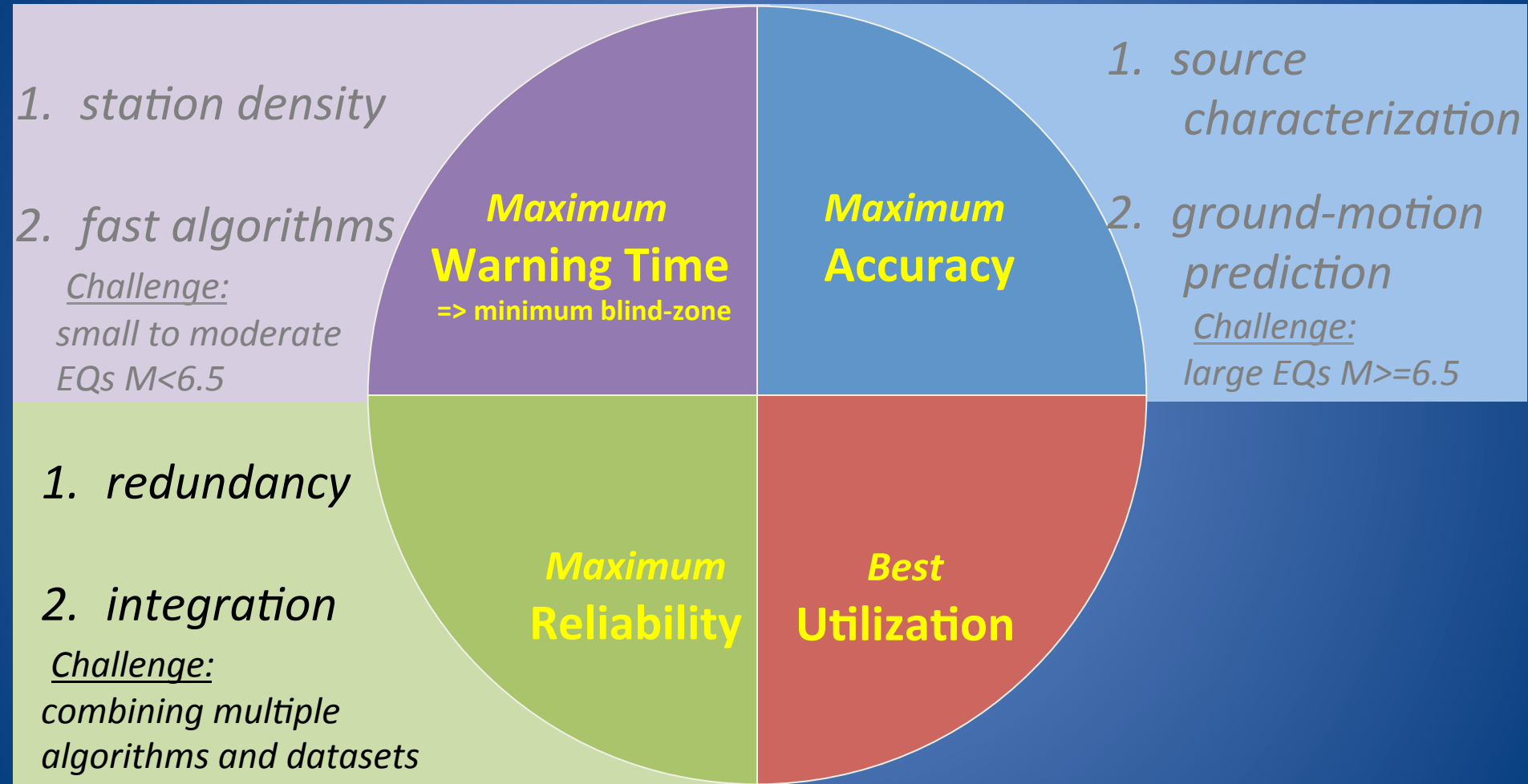
### Integrated Particle Filter Approach

Wu *et al.*, 2014

- *use of NON triggered data (amplitude & trigger time)*
- *probabilistic scheme for a quick optimization using a Bayesian approach*
- *90% less false alarms  
(2 month after Tohoku)*

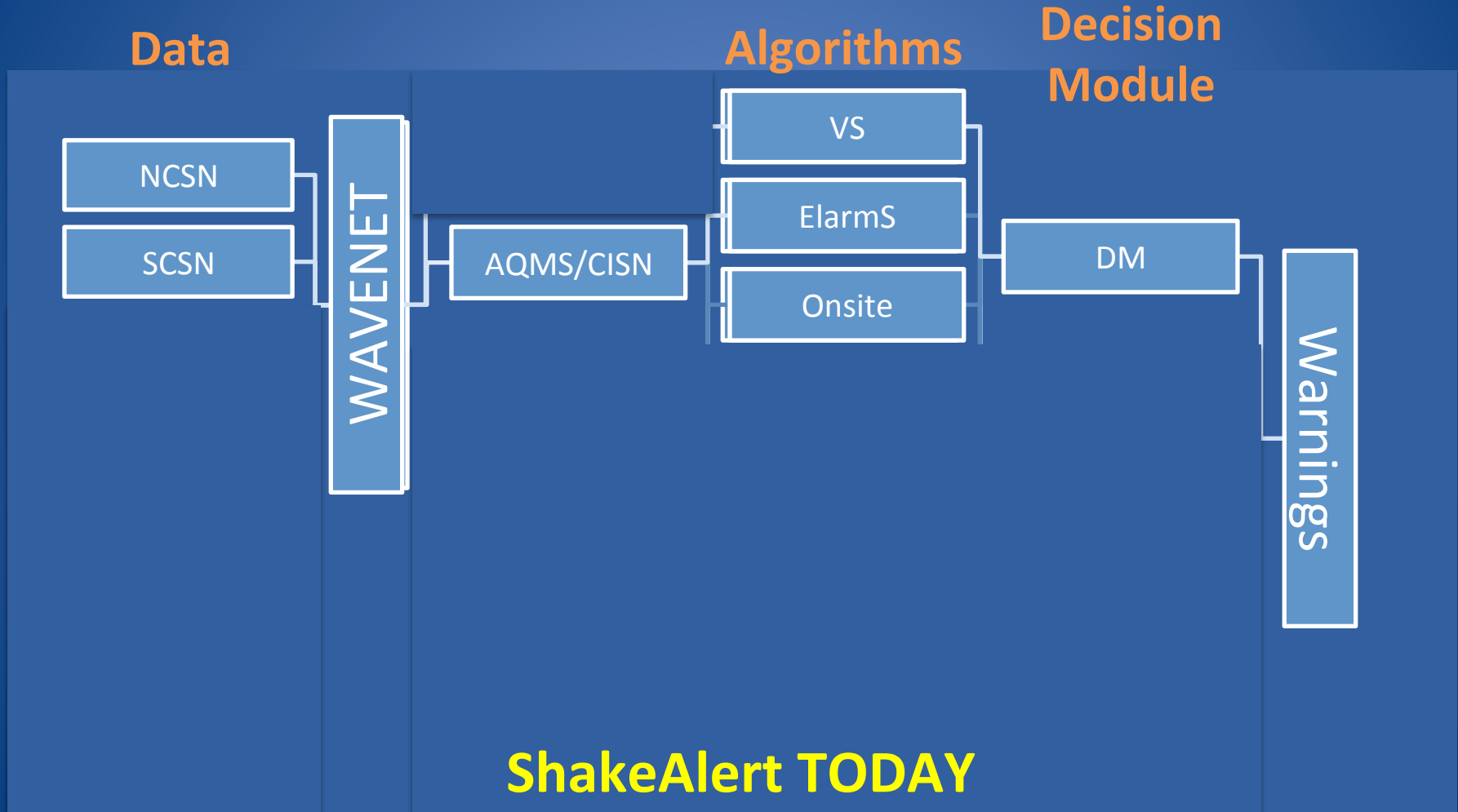


# Performance



# Challenge

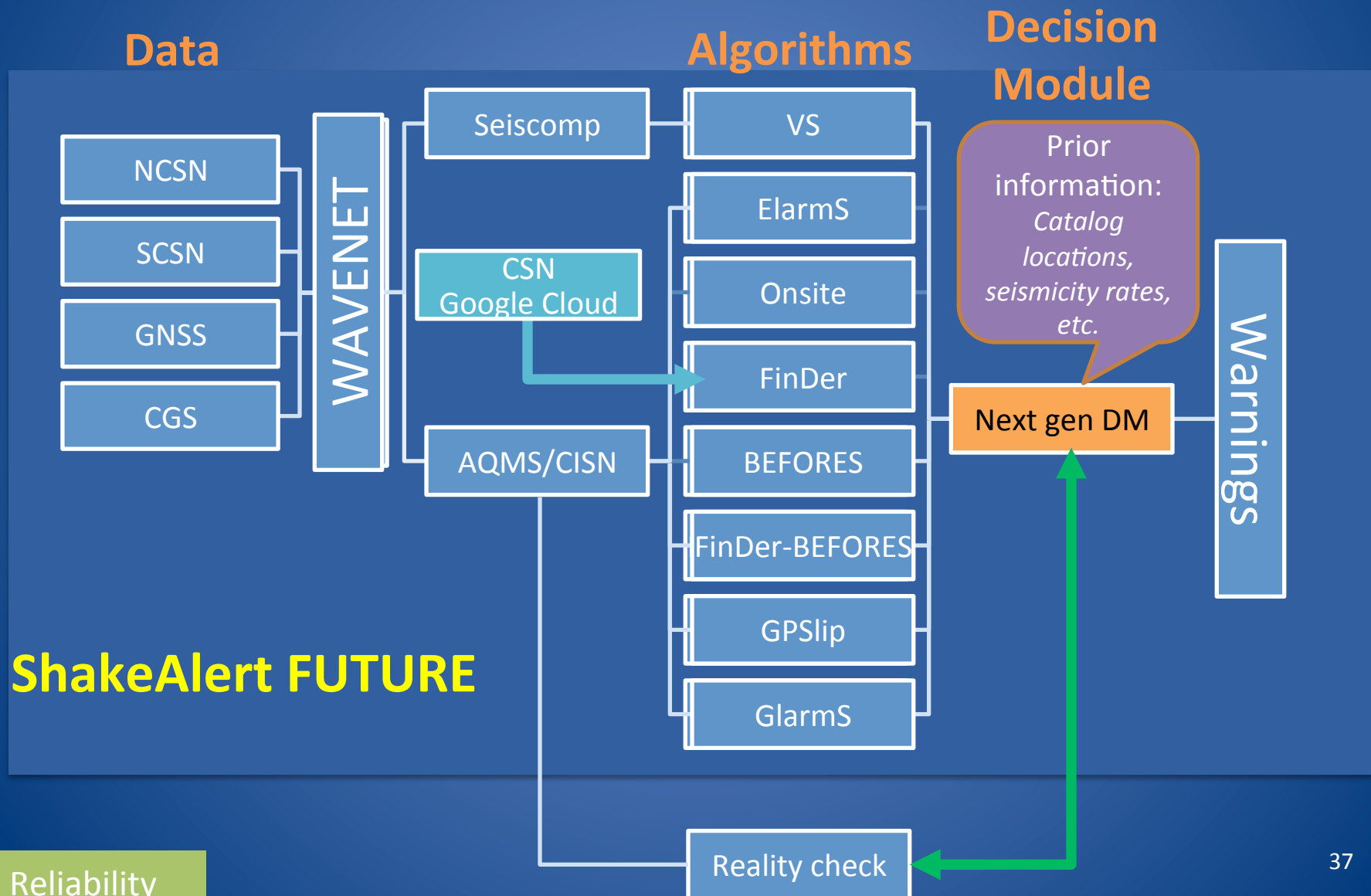
## Combining Multiple Algorithms & Datasets





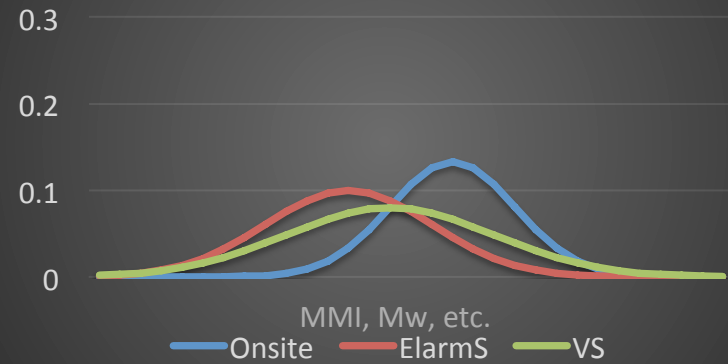
# Challenge

## Combining Multiple Algorithms & Datasets

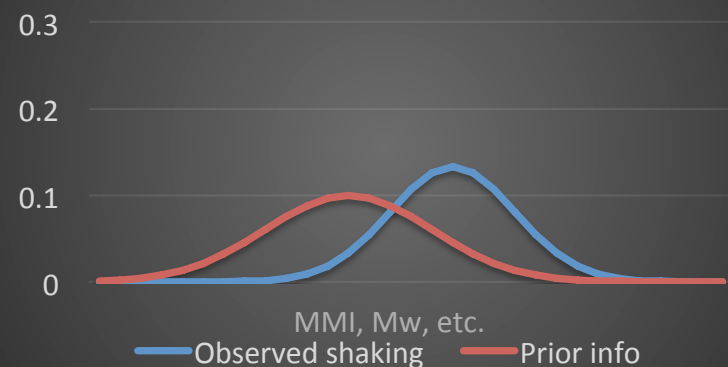


## Combining Multiple Algorithms & Datasets

### Algorithms

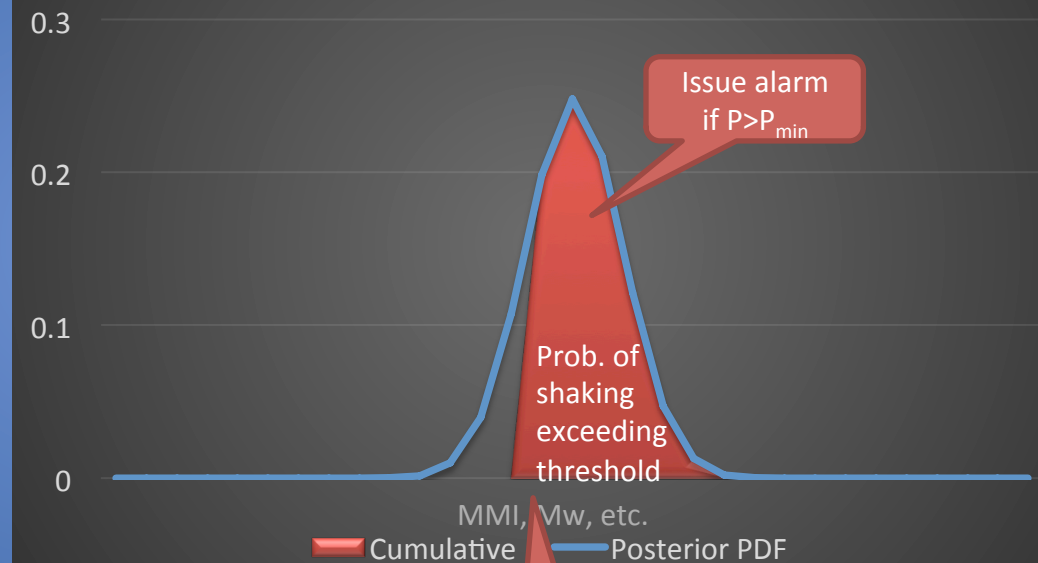


### More Data



Reliability

### Posterior PDF



Shaking/Mw threshold for alerting

Threshold and minimum probability for issuing alarms can be customized for each user.

# Approach

## Combining Multiple Algorithms & Datasets

### Approach: Bayesian Framework

Bayes's theorem:

*posterior*                      *likelihood*                      *prior*

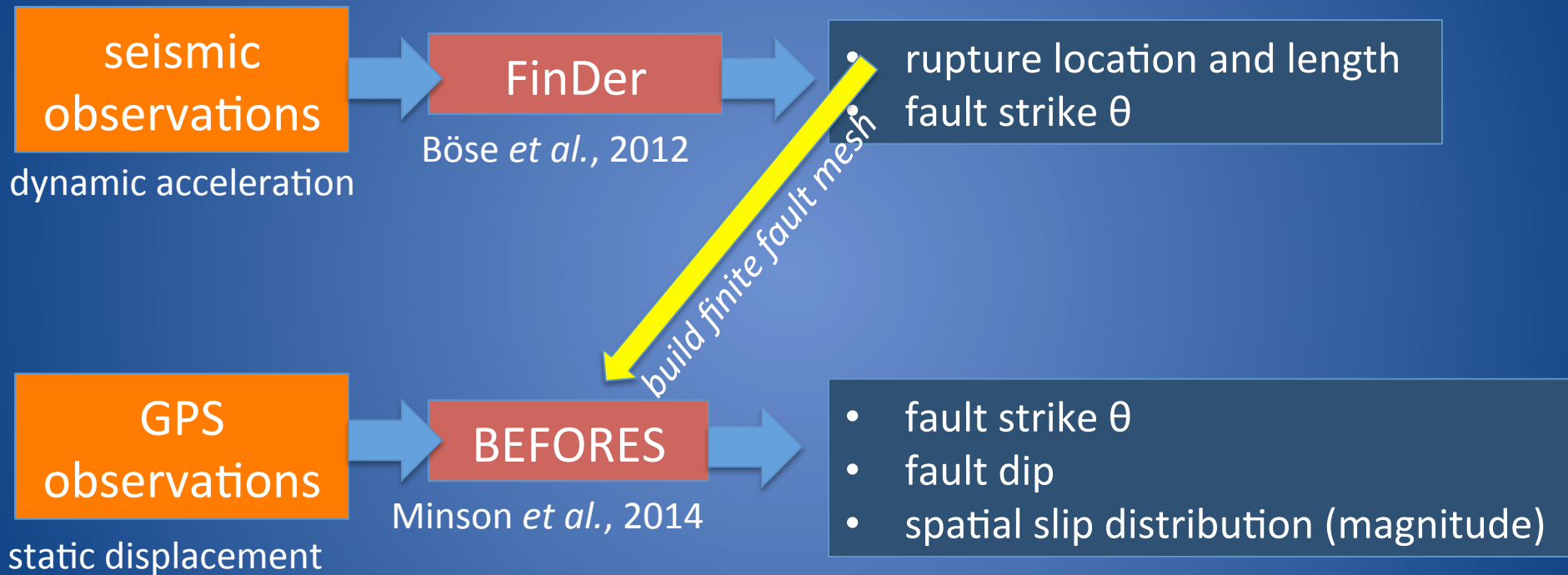
$$prob(X|Y, I) = \frac{prob(Y|X, I) \times prob(X|I)}{prob(Y|I)}$$



- Probability measures a *degree of belief*.
- Bayes's theorem then links the degree of belief in a proposition before and after accounting for evidence.

## Combining Multiple Algorithms & Datasets

**Example:** FinDer (seismic) – BEFORES (geodetic)

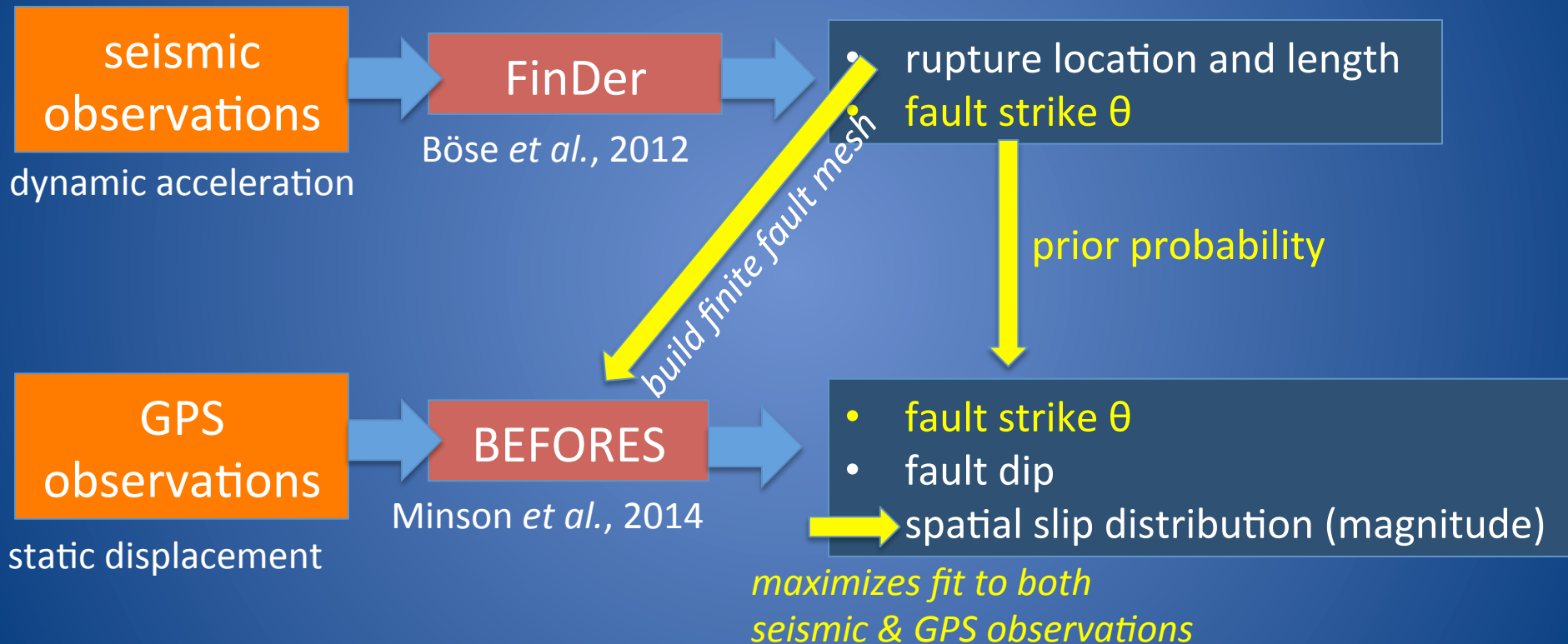




# Approach

## Combining Multiple Algorithms & Datasets

### Example: FinDer (seismic) – BEFORES (geodetic)

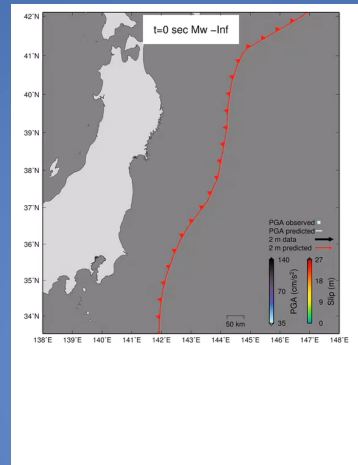


BEFORES is **Bayesian**. Any analysis of independent data (e.g., seismic) can be put into BEFORES' **prior probability** distribution and the resulting output is then the solution to the **joint** inversion of those data sets.

# Performance

## Combining Multiple Algorithms & Datasets

**Example:** FinDer (seismic) – BEFORES (geodetic)

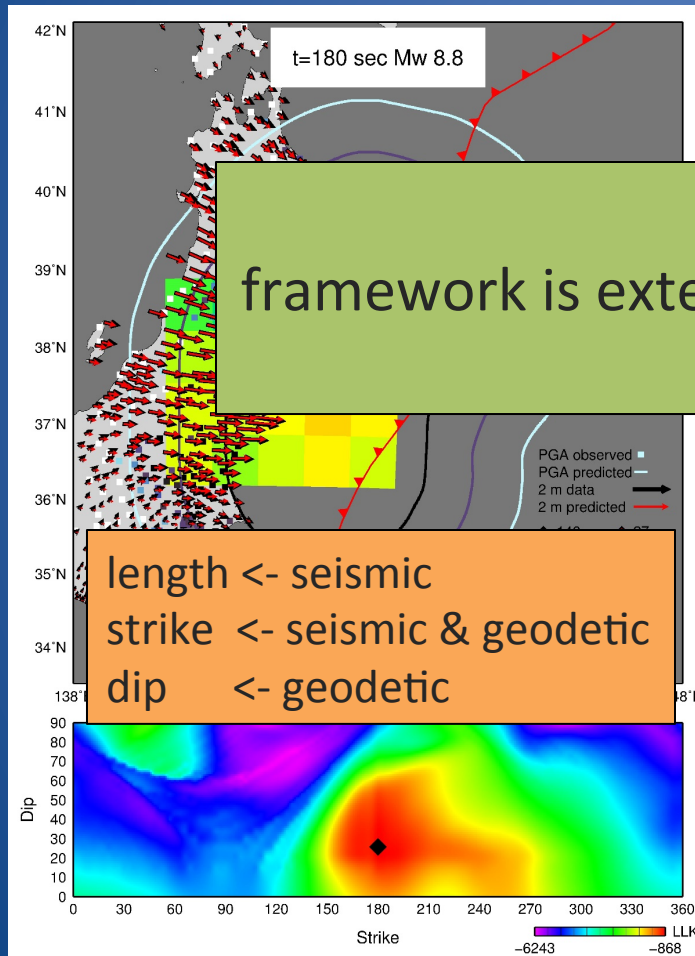


M9 Tohoku

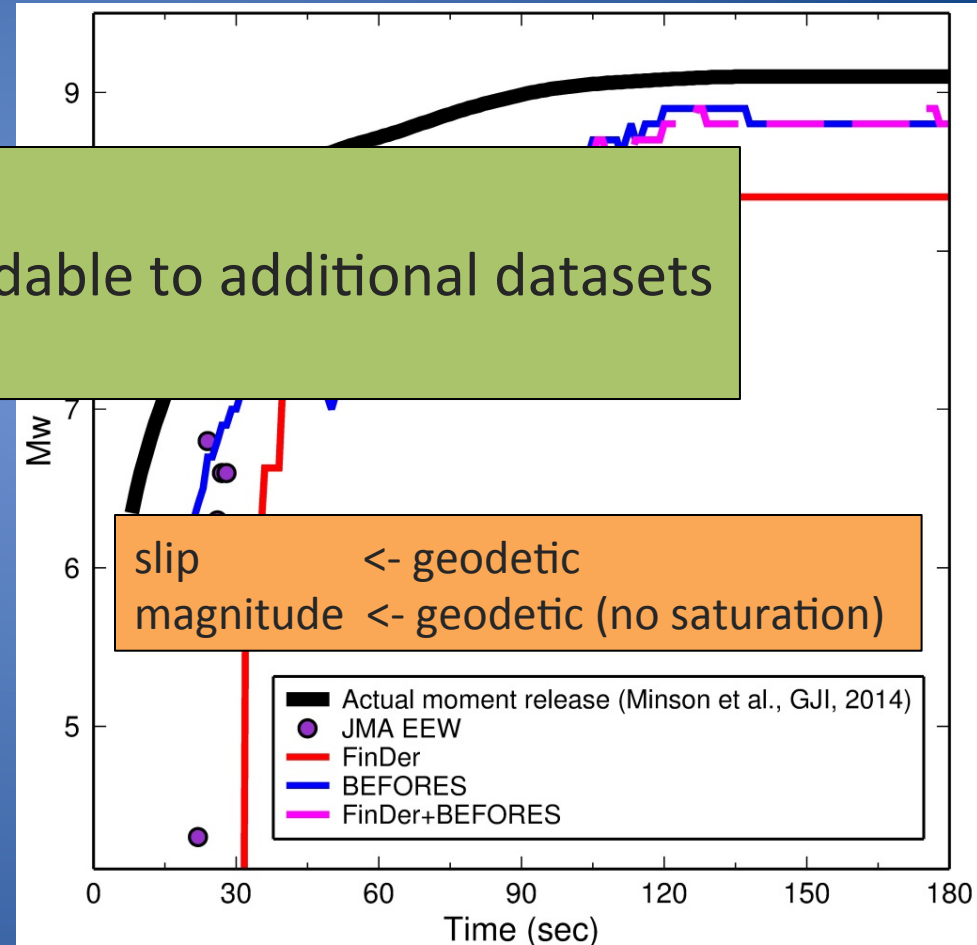
# Performance

## Combining Multiple Algorithms & Datasets

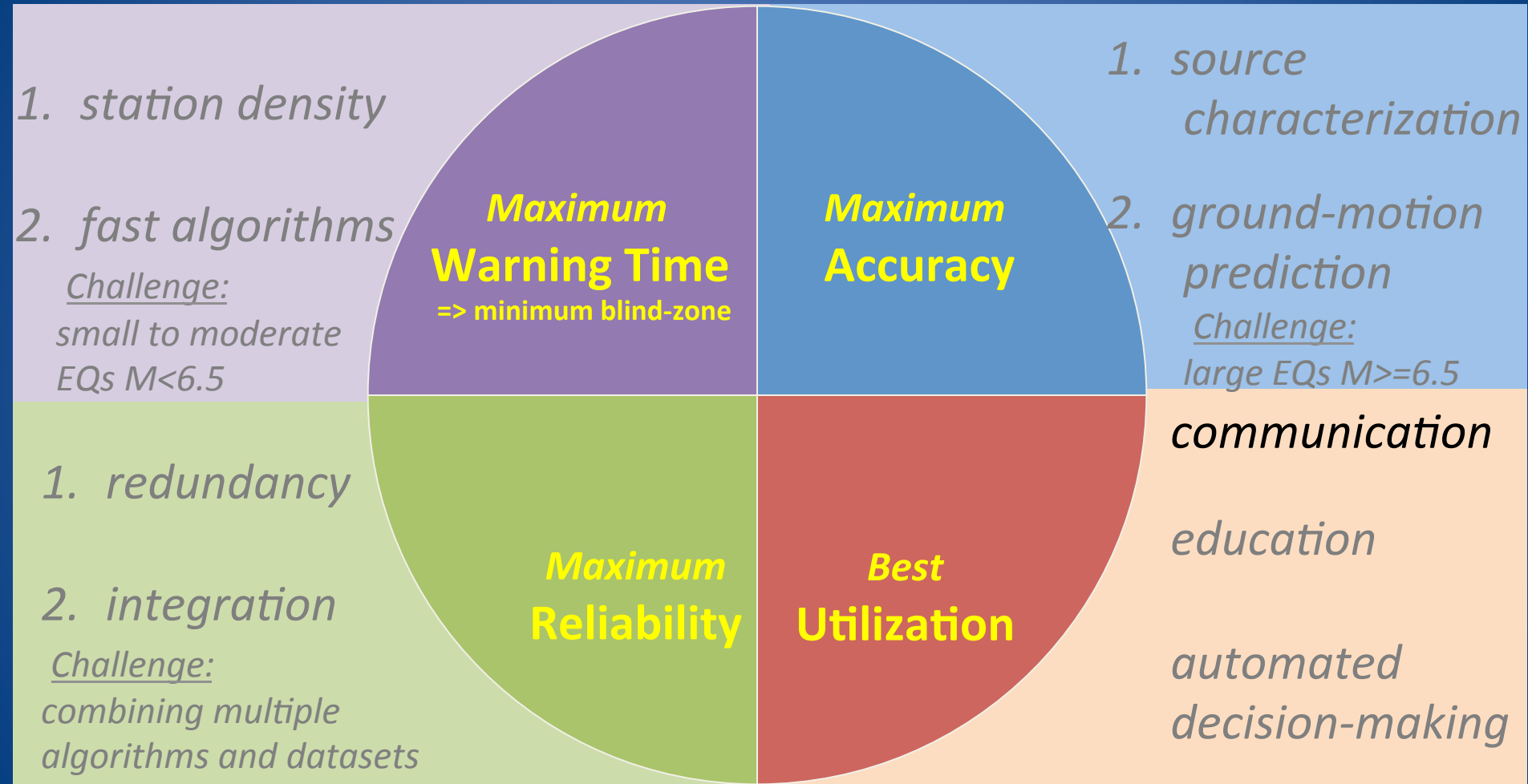
**Example:** FinDer (seismic) – BEFORES (geodetic)



framework is extendable to additional datasets



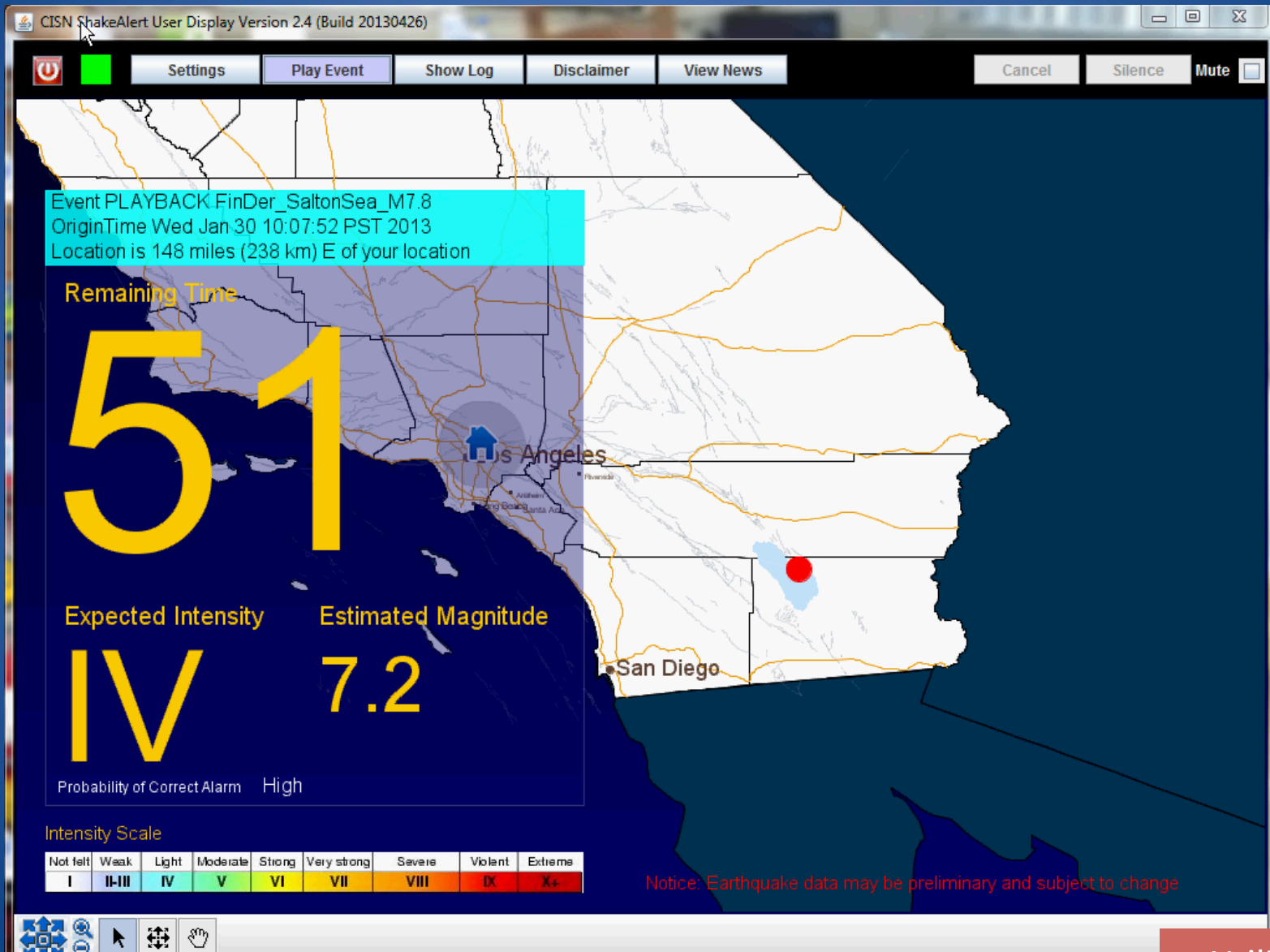
# Performance





# Performance

## *Demonstration for the M7.8 ShakeOut Scenario Earthquake*



# Summary

2011,  $M_W$  5.1,  
Lorca, ESP



2011,  $M_W$  6.1,  
Christchurch, NZ



1999,  $M_W$  7.6,  
Chi Chi, TW



2011,  $M_W$  9.0,  
Tohoku, JP



M-A Meier

5

6

7

8

9

Magnitude

Damage

Hazard

EEW Limitations

- Blind zone larger  
than damaged area  
**small to moderate  
earthquakes**

## Challenge I

seismic waves are too slow!

**large  
earthquakes**

## Challenge II-IV

- Magnitude saturation
- Fault finiteness
- Rupture directivity
- EEW relevant after-shocks sequence

## Challenge V

Combining information from multiple algorithms/datasets

# Future ?

## California Senate Bill 135

### Statewide Public Earthquake Early Warning System

January 28, 2013  
**Bill announced by State  
 Senator Alex Padilla** at press  
 conference at Caltech



May 28, 2013  
**Passes *unanimously*  
 in Senate**



September 24, 2013  
**Governor Jerry Brown**  
 signs bill



**Installation costs:**  
 installation /operation for 5 yrs: ~\$80 M

**Damage costs:**

1994 M6.7 Northridge:	\$ 20 billion
1995 M6.8 Kobe:	\$200 billion
xxxx M7.8 ShakeOut:	\$213 billion <sup>47</sup>



### BEFORE EEW

2003: Two damaging earthquakes

- **\$15 million in losses**
- fire, equipment damage
- 17 and 13 days loss of productivity

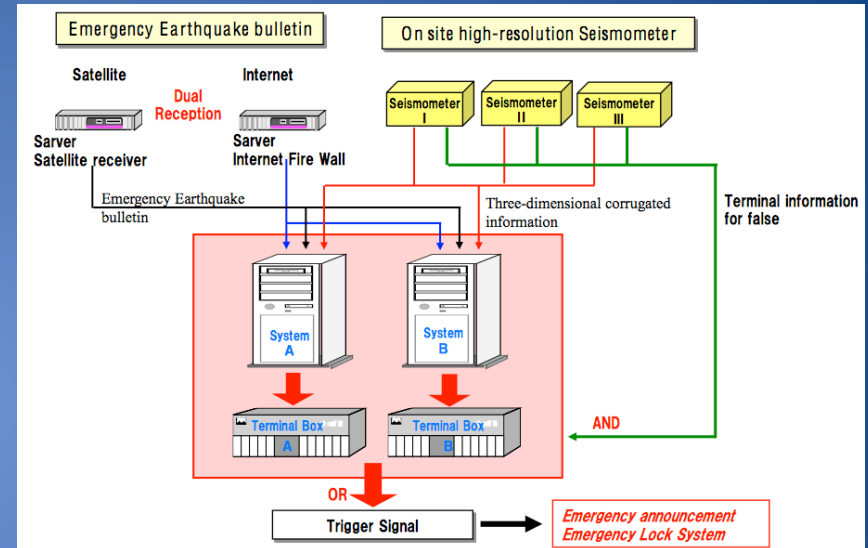
Spent \$600K on early warning and shear walls in basement

- Sensitive equipment set down on floor to reduce shaking and damage

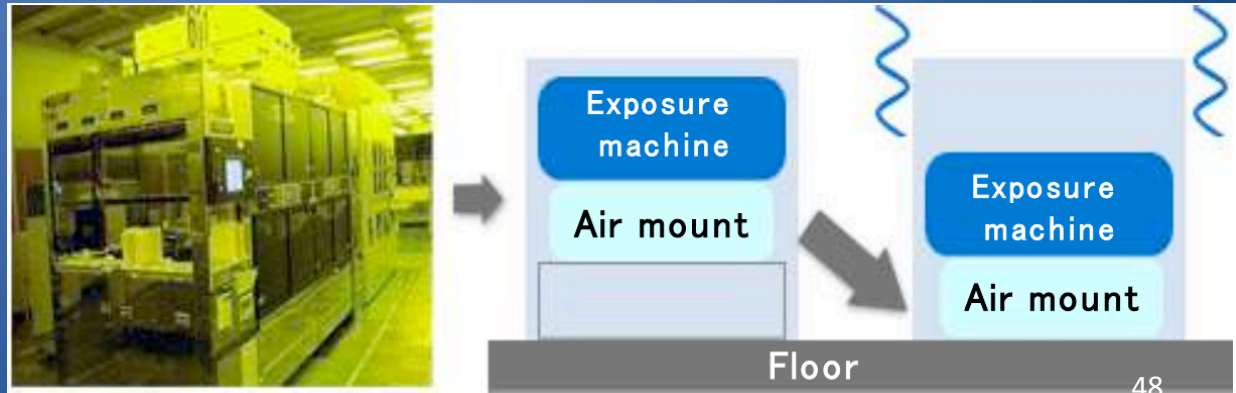
### AFTER EEW

Two similar earthquakes

- **\$200K in losses**
- 4.5 and 3.5 days loss of productivity



*Both public and on-site systems work together*



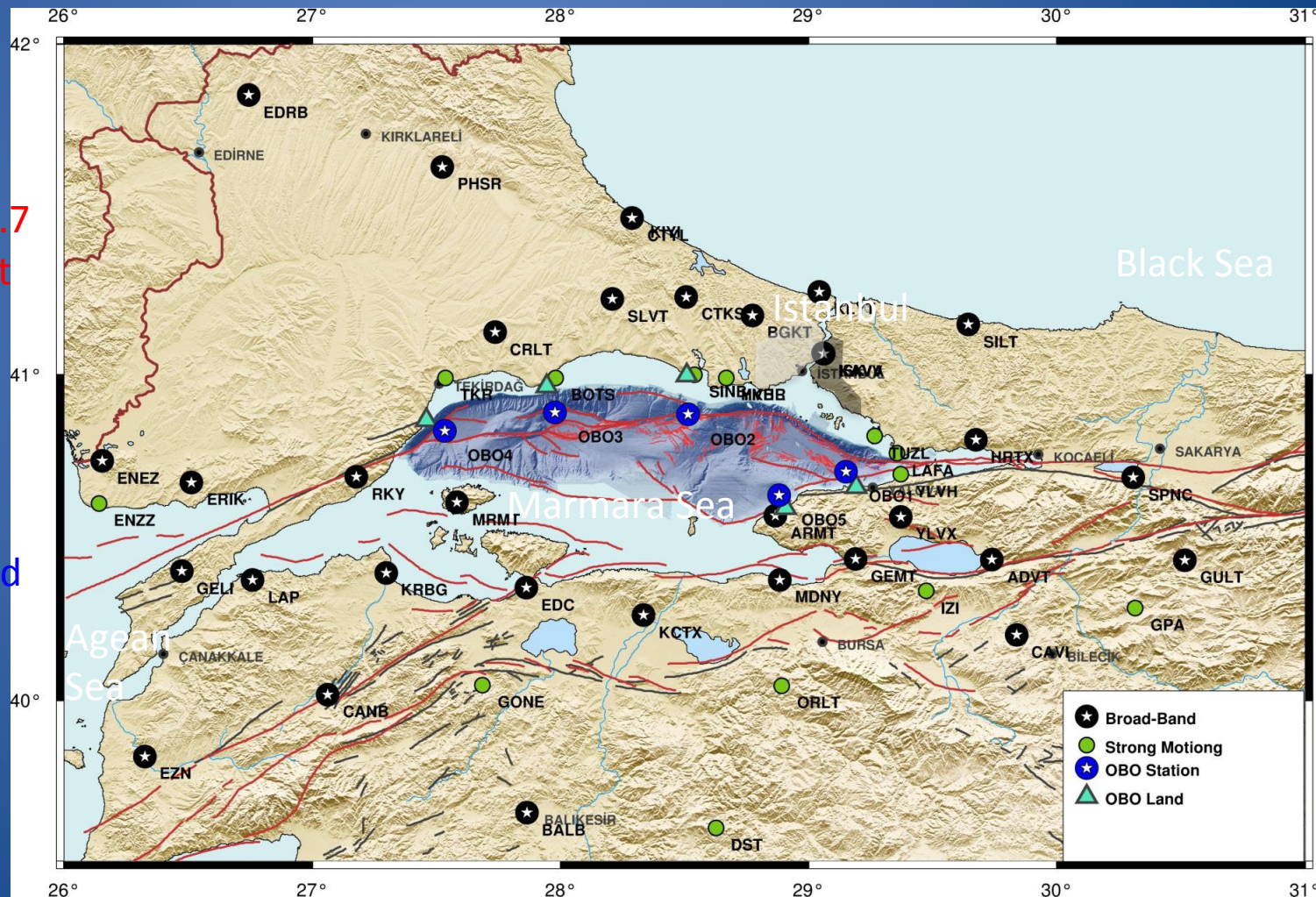


# EEW in focus: Istanbul - IEEWS

- Istanbul megacity under high risk of M7.5+ event on NAF, 30km to South.
- KOERI maintain an **operational EEW system (IEEWS)** based on peak motion thresholds for Marmara sea stations. Operational since 2002 using 10 land sensors, upgrade using OBS in 2010.
- no location, magnitude: *just imminent strong shaking expected*

First IEEWS Alert  
issued for local M4.7  
Marmara Sea event  
in 11/2013

Public alerts not  
available or planned



# EEW in focus: Istanbul – Current End Users

## IGDAS natural gas company

High pressure district regulators have local strong motion stations (100 of 700 currently instrumented), with EEW thresholds defined (combine PGA, PGV, intensities...)

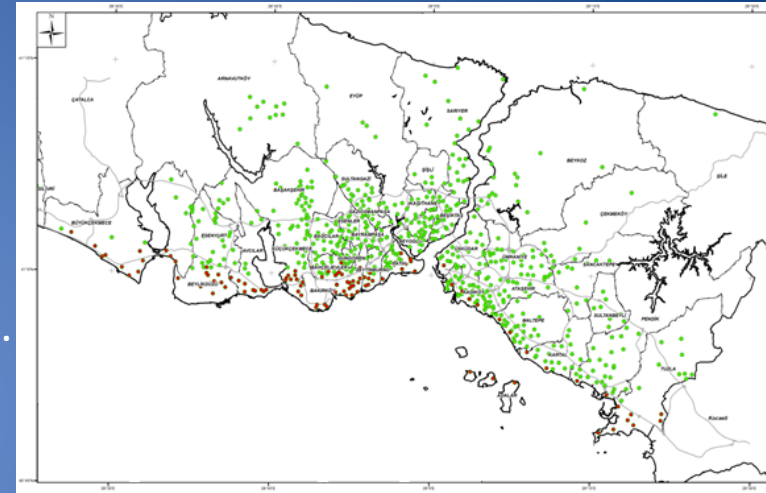
### Automatic shutdown if

- IEEWS + low threshold
- No IEEWS + high threshold

Thresholds dependent on building stock served in district.

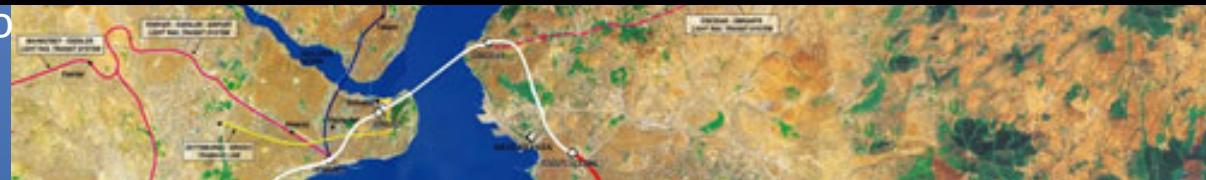
No shutdown as of yet activated

<poster by Zulfikar et al, this meeting>



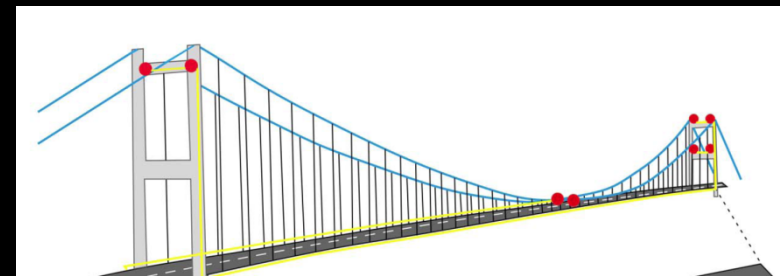
**Other End Users** receive alerts only for *situational awareness*:

**Marmaray Tunnel:** 1.4km tunnel under Bosphorus part of new metro line, with 22 strong motion sensors



## Bosphorus Bridges / High Rise

Active project to combine EEW messages with Structural Health Monitoring of critical infrastructures





# EEW in focus: Istanbul – Towards Regional EEW

Critical Structures in Istanbul also at risk to large distant events with significant long period energy

KOERI test both VS(SC3) and Presto+ with a view to integration into IEEWS