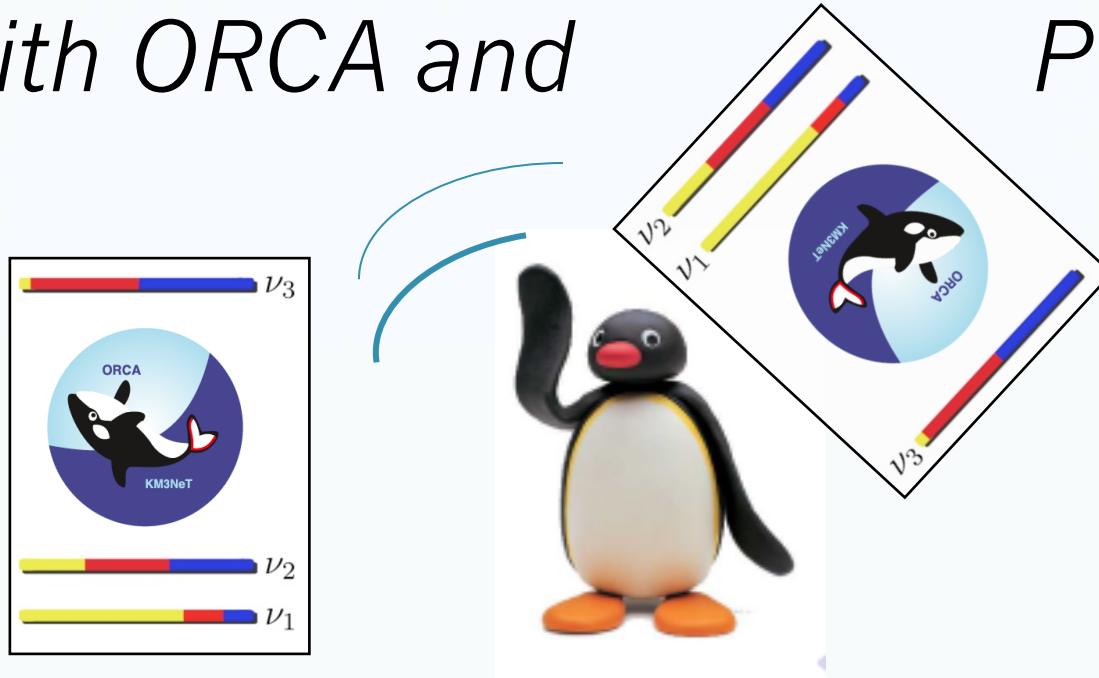


# Measuring the neutrino mass hierarchy with ORCA and PINGU



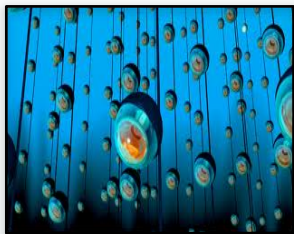
## Second International Meeting on Large Neutrino Infrastructures

Antoine Kouchner

University Paris 7 Diderot- AstroParticle and Cosmology



# Outline

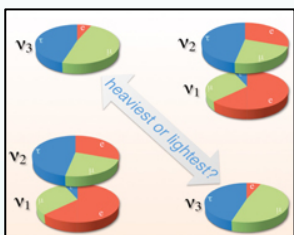


## Neutrino Telescopes and Atmospheric Neutrinos

Neutrino Telescopes today

Atmospheric neutrinos: first achievements

Future detectors



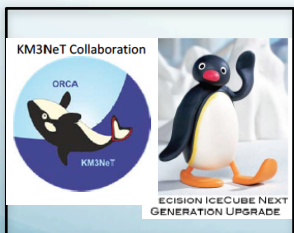
## Sensitivity of ORCA and PINGU to the NMH

Matter effects

Baseline designs

Monte Carlo Performances

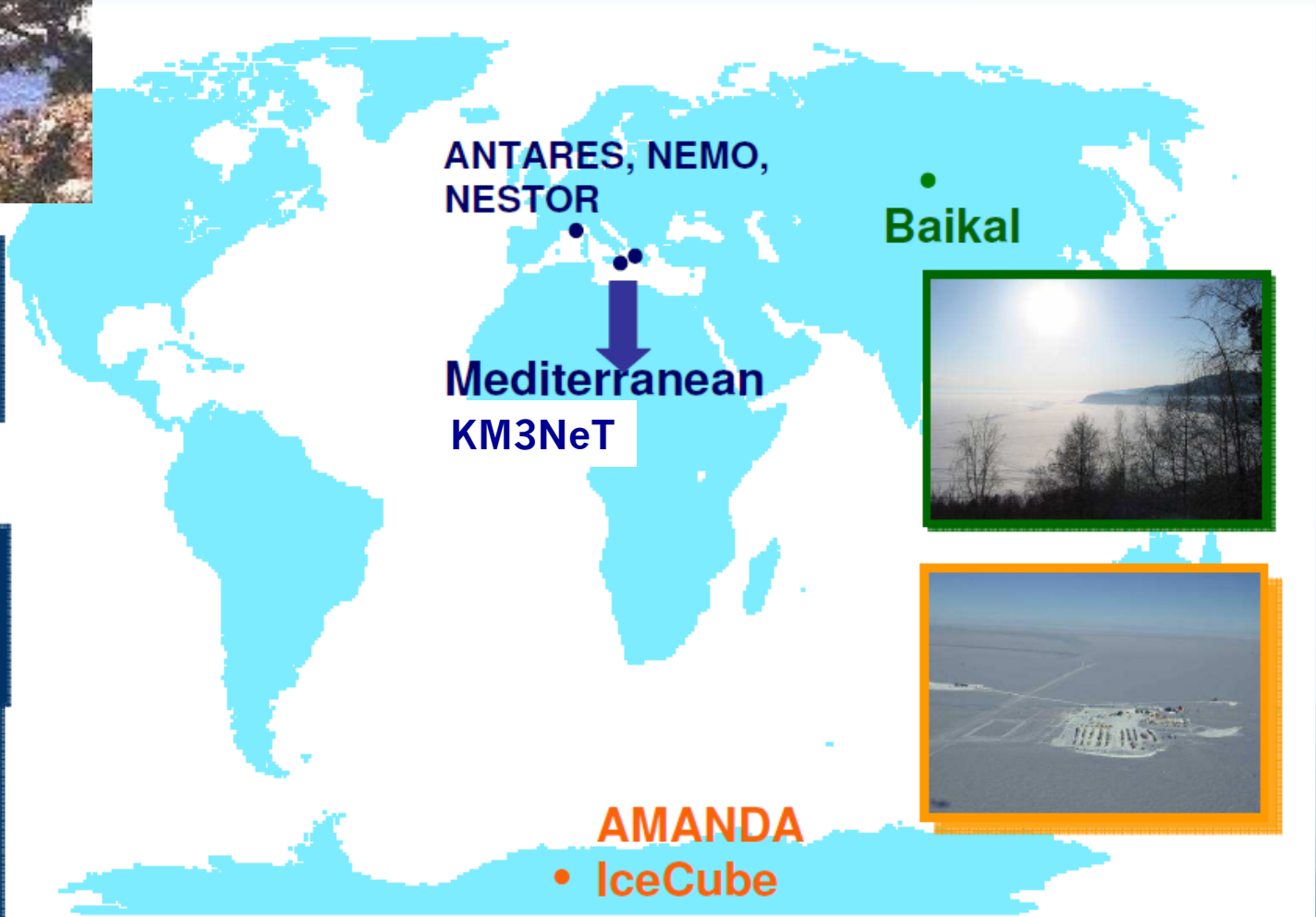
Sensitivity to the NMH



Not covered : Dark Matter searches, Low-Energy Astrophysics

# Neutrino Telescopes (natural media)

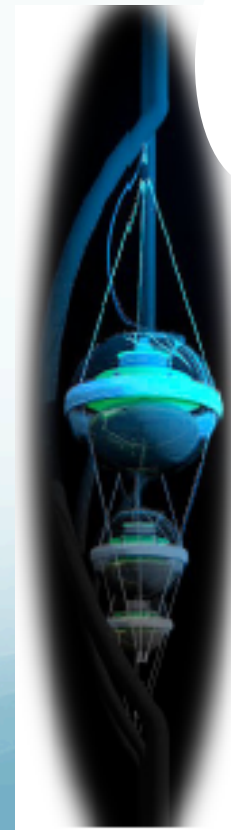
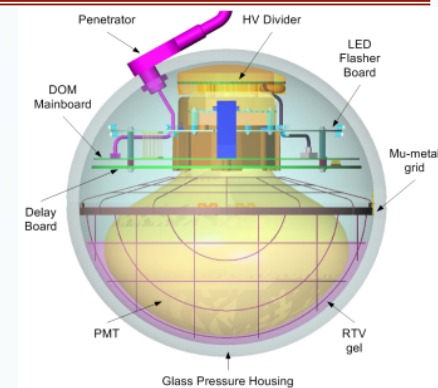
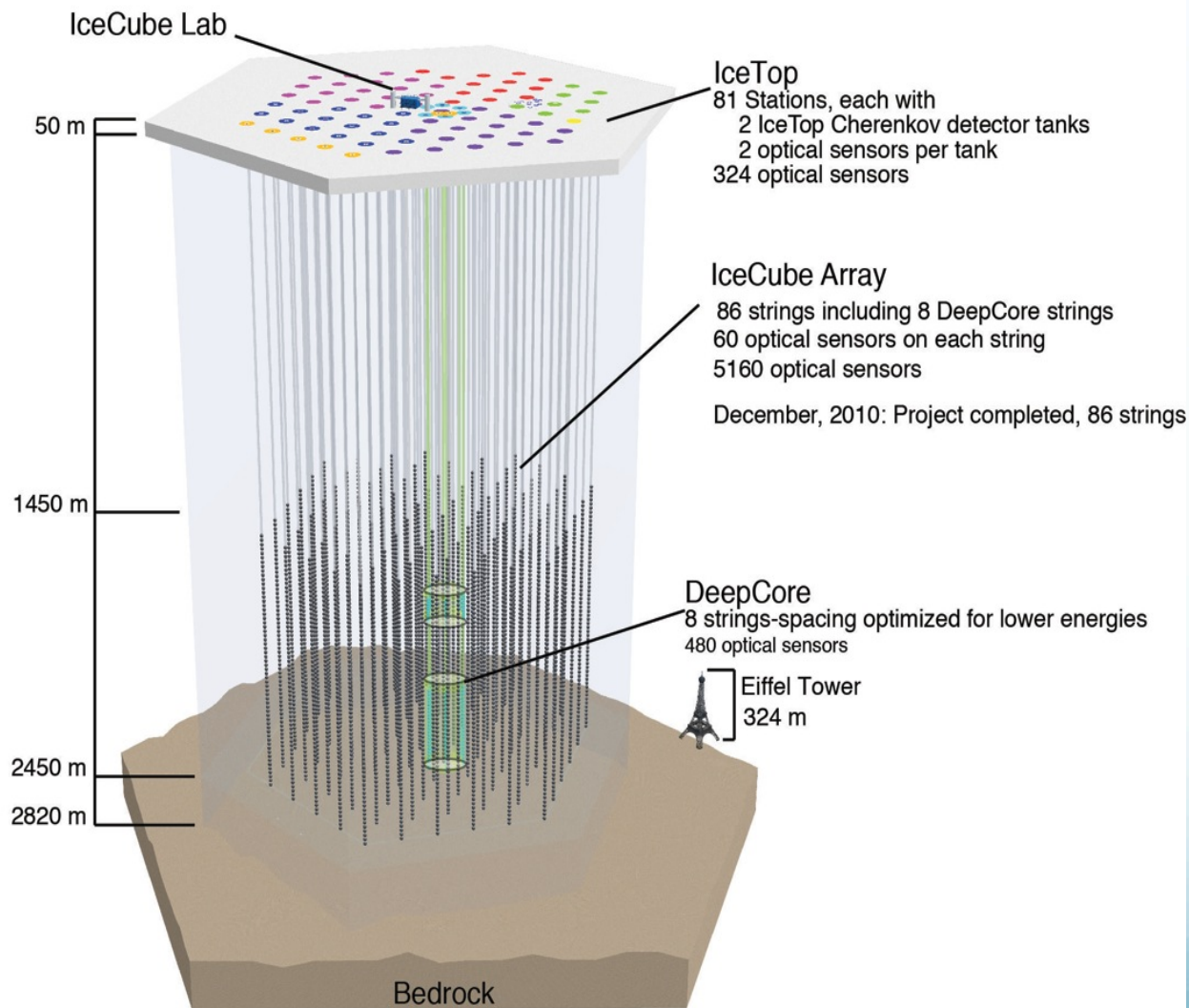
{ANTARES, BAIKAL, ICECUBE} currently running



From  $O(10 \text{ GeV})$  to PeV neutrinos

# IceCube: world largest NT

Completed since December 2010.

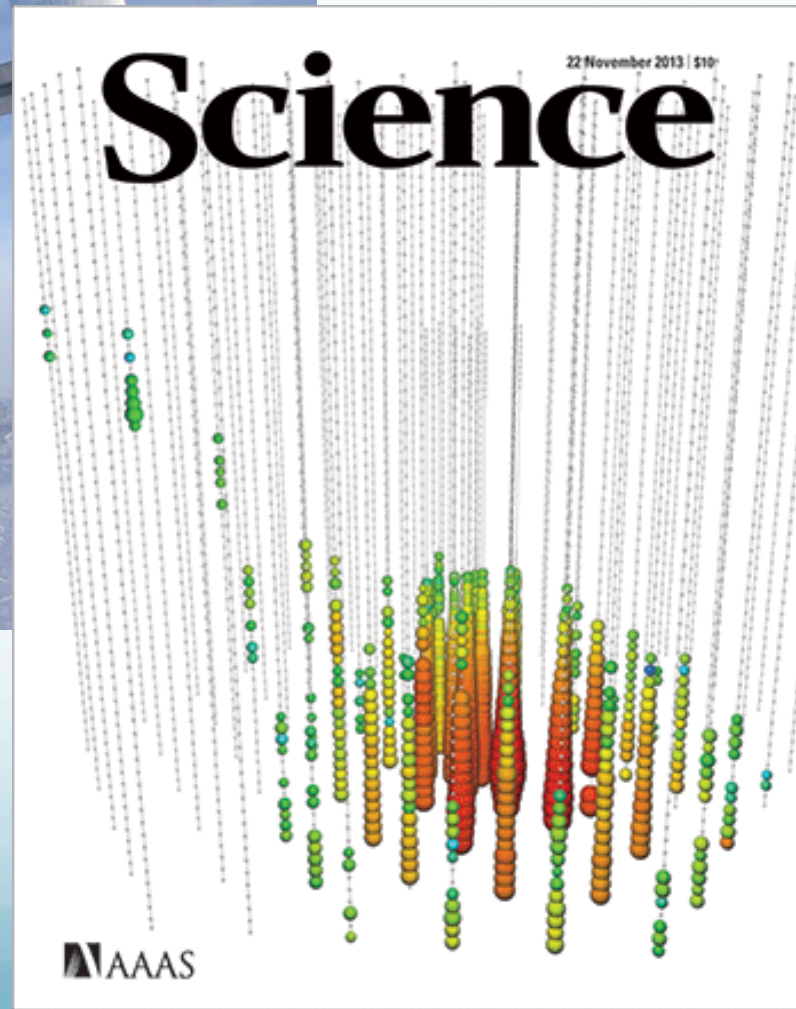




# First HE detection...2013!



*IceCube has opened the field of  
High-Energy  
Neutrino Astronomy*



# ANTARES: world 1<sup>st</sup> deep-sea NT

12 line detector completed in May 2008 @ 2475 m depth

8 countries  
31 institutes  
~150 scientists + engineers

- 25 storeys / line
- 3 PMTs / storey
- 885 PMTs



Deployed in 2001

14.5 m

40 km

Junction box  
(since 2002)

Anchor/line socket

Interlink cables

Excellent angular resolution  
( $<0.5^\circ$  muons,  $\sim 2^\circ$  electrons)

350 m

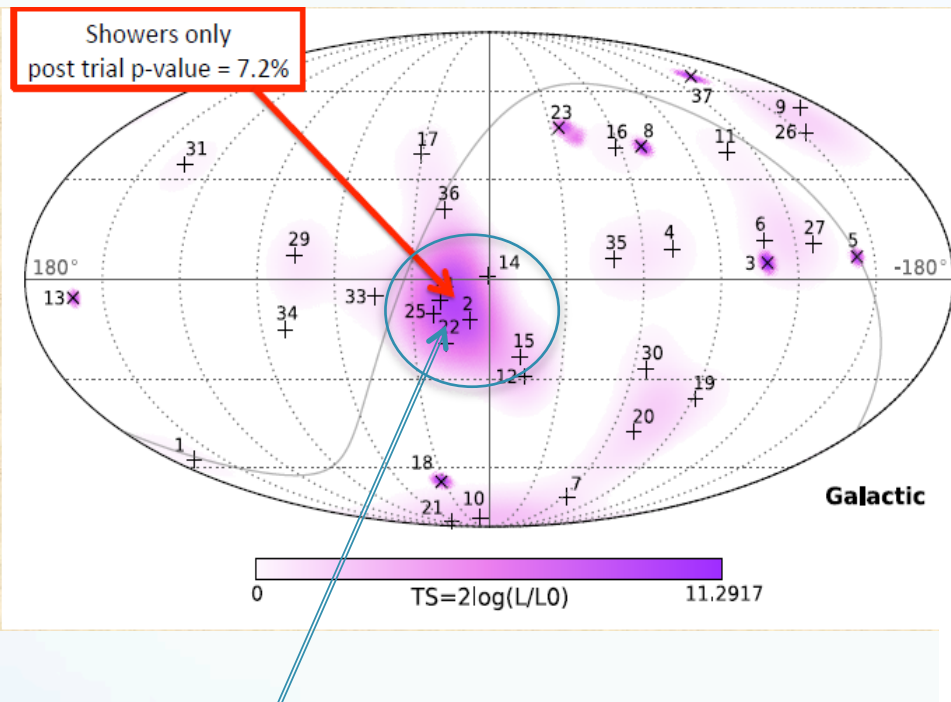
100 m

~70 m



# Sources of the IceCube cosmic signal?

7



## ANTARES and IceCube signal

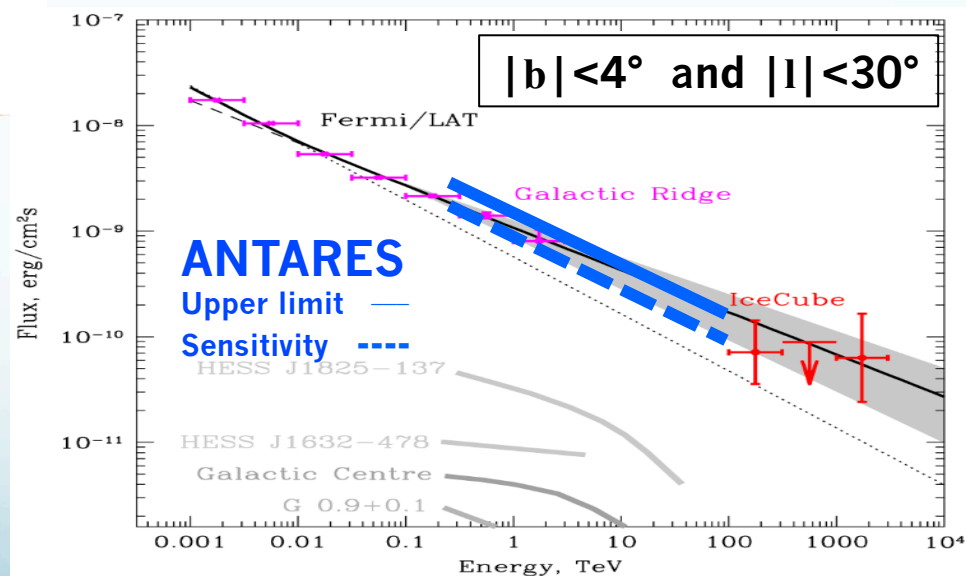
- Excludes single point-source ( $E^{-2}$ ) as origin of cluster within  $20^\circ$  off GC  
📖 Astrophys. J. Lett. 786:L5 (2014)
- Constrains blazar origin of 2 PeV events  
📖 A&A 576, L8 (2015) – Highlighted in *Nature* April 15
- Sensitivity to Galactic Ridge

## Hypothesized Galactic Source ?

📖 Gonzalez-Garcia et al, APP 57 (2014)

Point Source  $\Phi = 6 \times 10^{-8} E^{-2} \text{ GeV cm}^{-2} \text{ s}^{-1}$

+ Common point-source search with combined ANTARES/IceCube datasets  $\rightarrow$  Upper Limits



« Extrapolation of the spectrum of diffuse  $\gamma$ -ray emission from the Galactic Ridge, as observed by Fermi telescope »

📖 A. Neronov et al. Phys. Rev. D89, 103002 (2014)

# First achievements on neutrino oscillation

ANTARES

IceCube/DeepCore

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{1.27 \Delta m_{32}^2 L}{E_\nu}\right) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{16200 \Delta m_{32}^2 \cos \Theta}{E_\nu}\right)$$

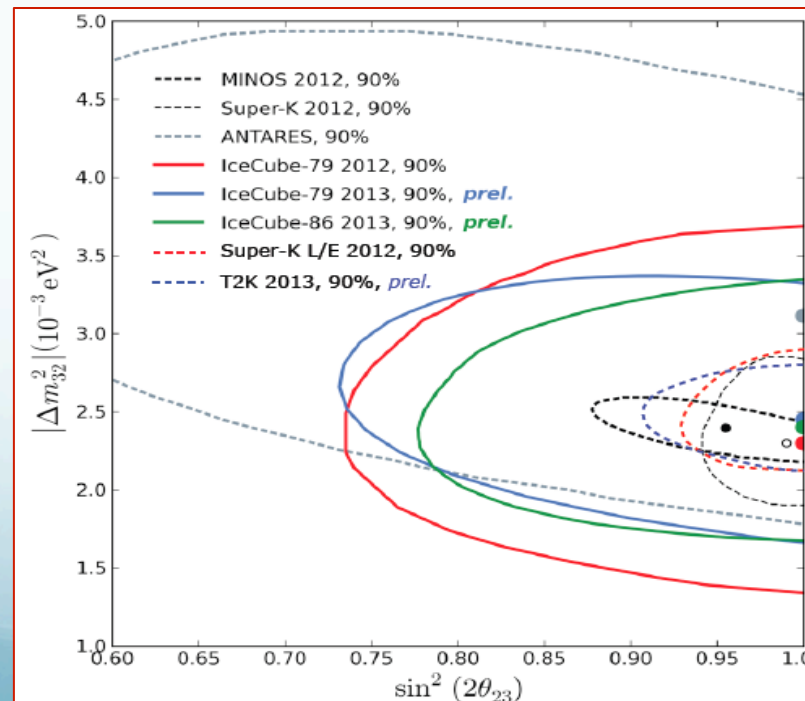
Oscillations maximal at 24 GeV for vertical neutrinos (muon range~120m)

Larger effect on

Single lines  $\leftarrow$  low energy  $\rightarrow$  DeepCore  
than

Multi lines  $\leftarrow$  higher energy events  $\rightarrow$  IceCube

2008-2010 data  
(863 days)

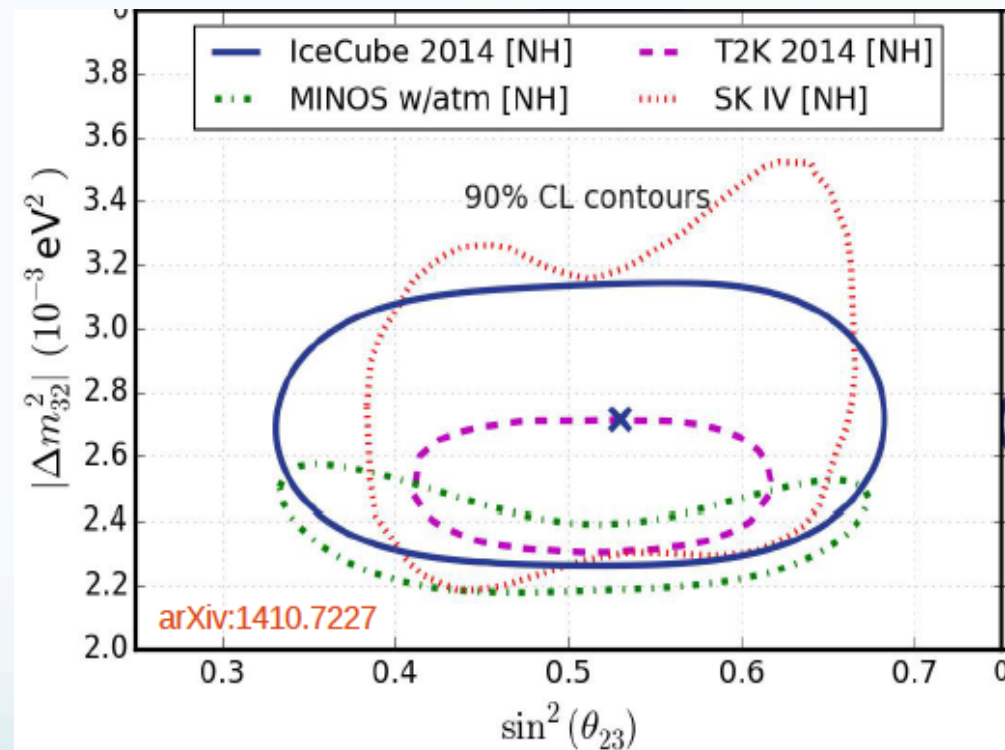
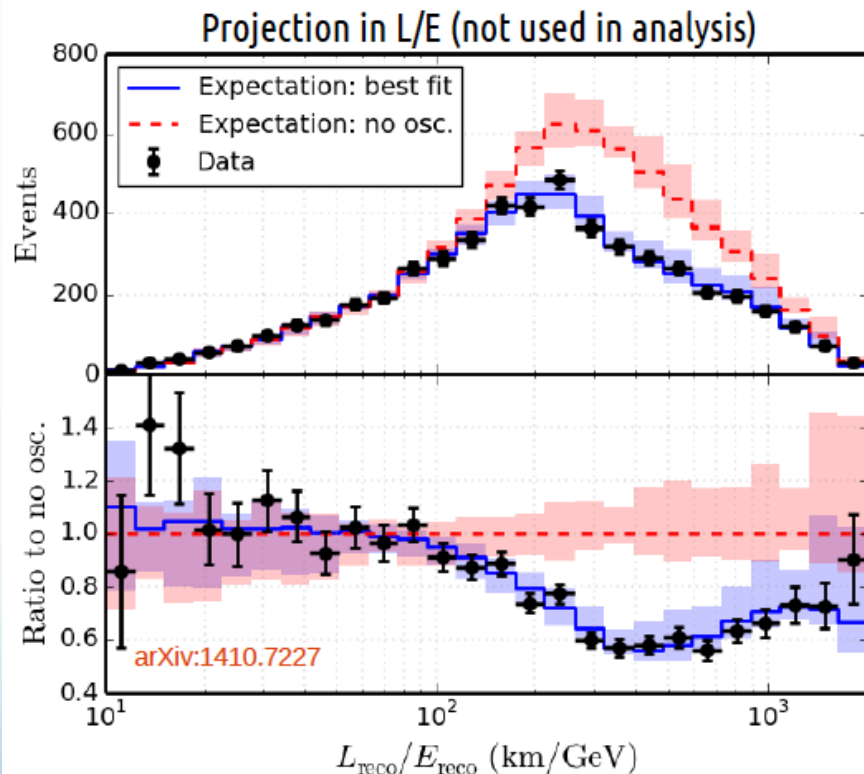


IC79 data (319 days)

# Latest results with DeepCore

Full 3 neutrino oscillation scheme (5174 events in 3 years)

PHYSICAL REVIEW D 91, 072004 (2015)



IceCube now competes with SK and LBL experiments !

Marginal sensitivity to matter effects  
But great confidence for next steps

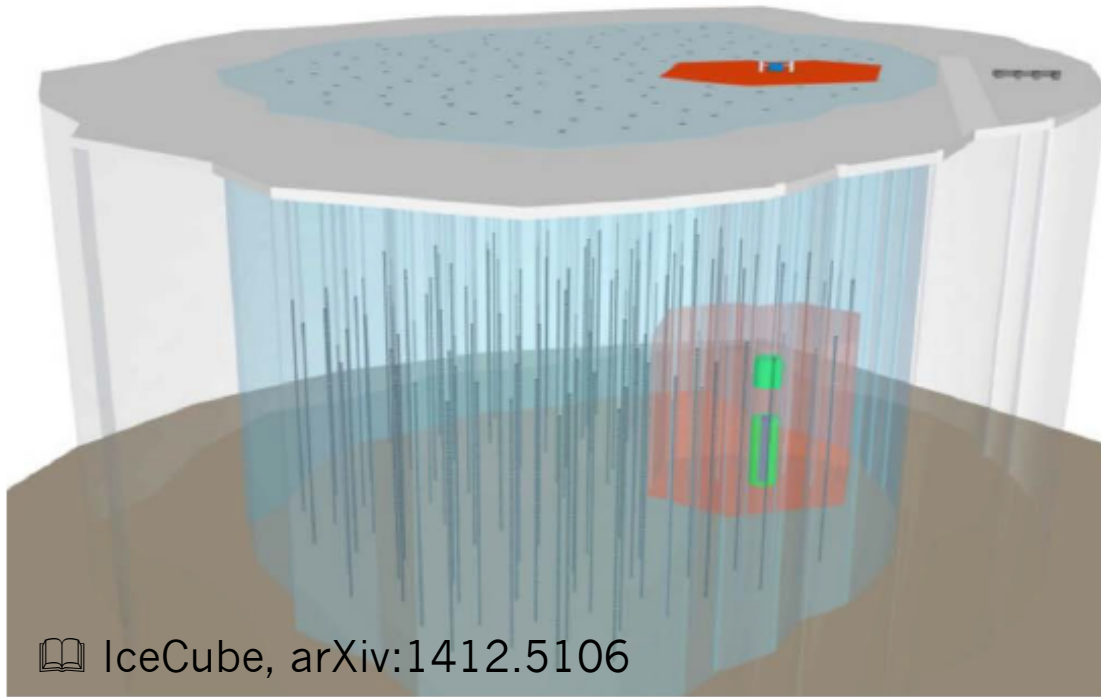


# PINGU as part of IceCube Gen2

» Next generation neutrino experiments at the South Pole

» IceCube Gen2 – larger spacing, bigger volume, surface veto → point sources

» PINGU – denser DeepCore, matter effects in oscillations → neutrino mass hierarchy



📖 IceCube, arXiv:1412.5106

**IceCube Gen2**

→ +120 strings, 7,200 DOMs

**IceCube**

→ 86 strings, 5,160 DOMs

**DeepCore**

→ 8+7 strings, 500 DOMs

**PINGU**

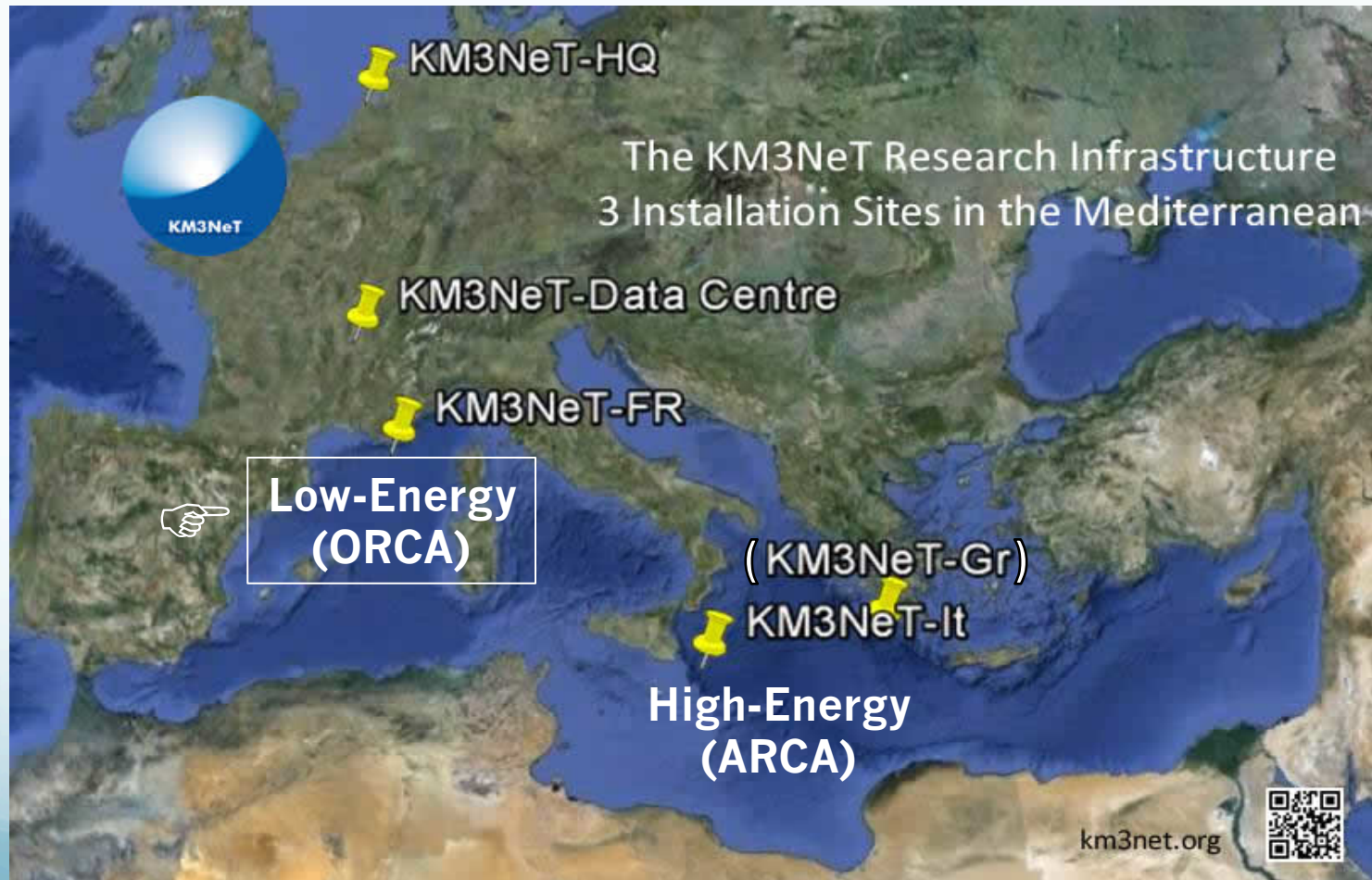
→ +40 strings, 3,600 DOMs

10" R7081-02 High-QE  
+ electronics upgrade


With PINGU, atmospheric muon background can be vetoed by IC/DC

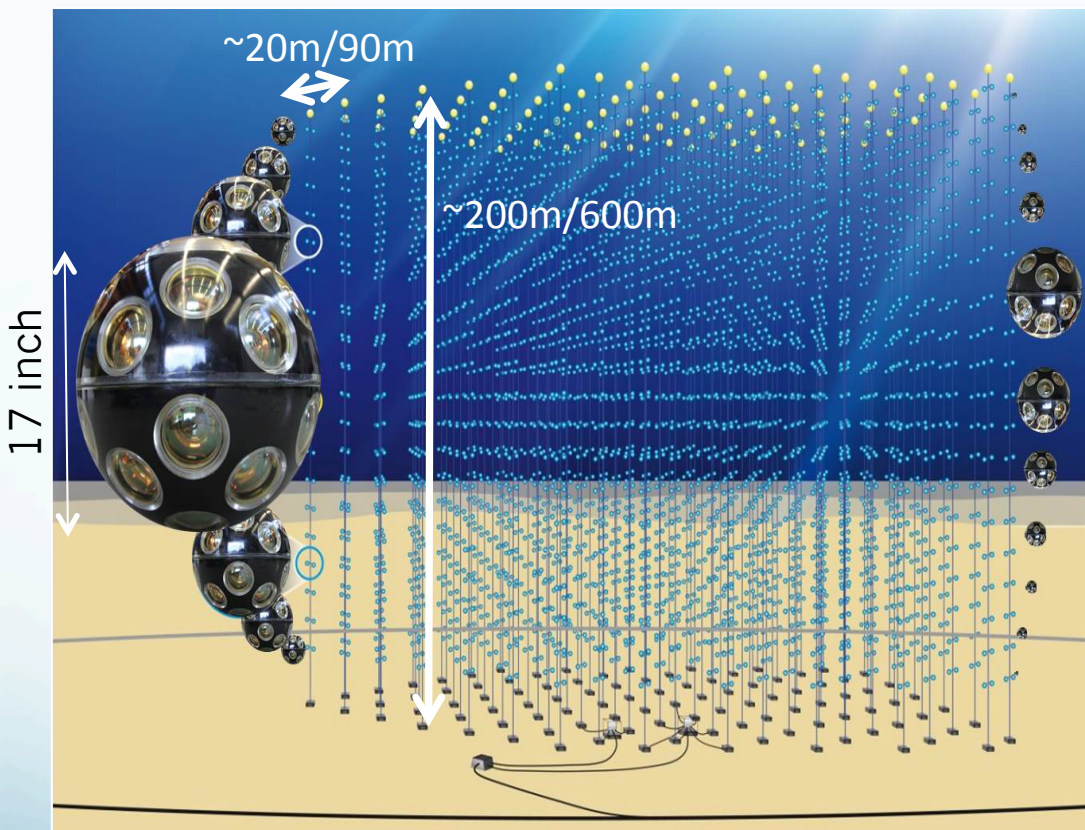
# KM3NeT: Next generation Med. NT

KM3NeT is a distributed research infrastructure with 2 main physics topics:  
Low-Energy studies of atmospheric neutrinos – High-Energy search for cosmic neutrinos

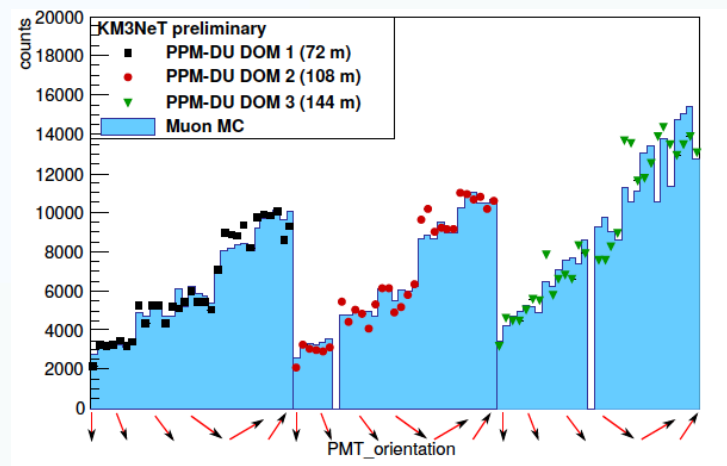


# Detector technology

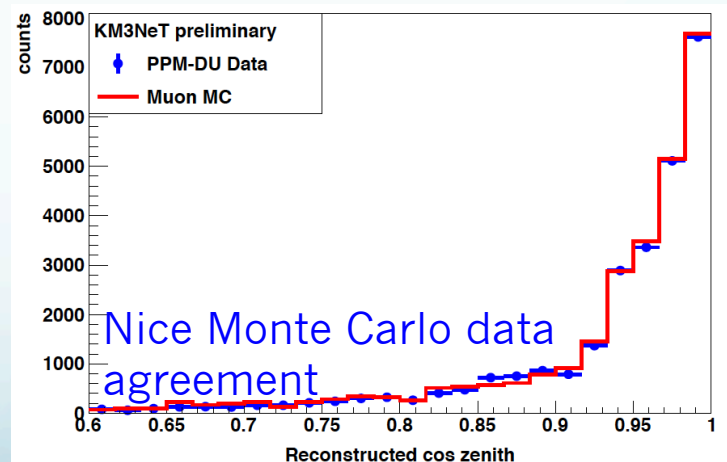
First in-situ prototype:  Eur. Phys. J. C (2014) 74:3056



- 31 3" PMTs
- Digital photon counting
- Directional information
- Wide angle of view
- More photocathode than 1 ANTARES storey
- Cost reduction wrt ANTARES



Results of mini-line @ Capo Passero







# A phased implementation

## PHASE 1:

Shore and deep-sea infrastructure at KM3NeT-Fr & KM3NeT-It  
31 lines deployed by end 2016 (**3-4 x ANTARES sensitivity**)

*Proof of feasibility of network of distributed neutrino telescopes and more?*

ORCA demonstrator

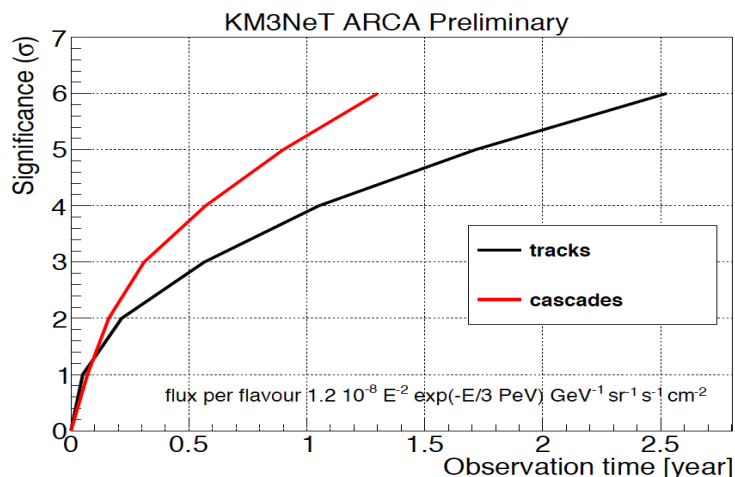
**31 M€  
FUNDED  
ONGOING**

## 2016 PHASE 2: ARCA (+80-90 M€) and ORCA (+40 M€)

230 lines (2 building blocks in Italy) + 115 lines (1 building block) in France

*Investigation of IceCube signal*

*Neutrino Mass Hierarchy*



**ARCA and ORCA  
Letters of Intent  
in preparation  
→ Summer 2015**

**2020 KM3NeT NEXT:** *Neutrino astronomy*  
6 building blocks

**220-250 M€ ESFRI Roadmap**

# NMH with LBL experiments

- « Standard approach » : probe  $\nu_\mu \leftrightarrow \nu_e$  governed by  $\Delta m_{31}^2$

$$P_{3\nu}(\nu_\mu \rightarrow \nu_e) \approx \sin^2 \theta_{23} P_{2\nu} = \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right)$$

[Neglecting solar ( $> \text{a few GeV}$  and  $> 1000\text{'s km}$ ) and CP violation effects]

- Matter effects (MSW) come to the rescue

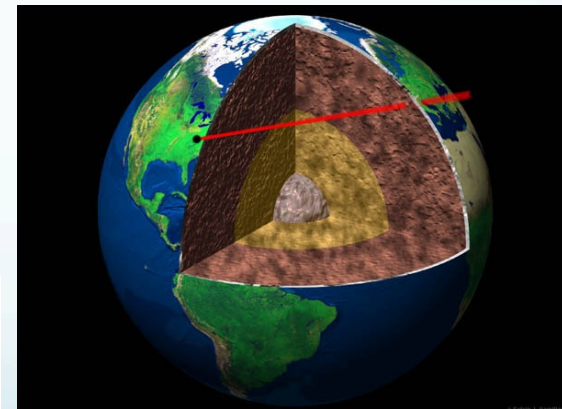
Only electron neutrinos interact through CC with electrons

→ Additional potential  $A$  in the Hamiltonian  $A \equiv \pm \sqrt{2} G_F N_e$   $(-)+$  for (anti-)neutrinos

$$\sin^2 2\theta_{13}^m \equiv \sin^2 2\theta_{13} \left( \frac{\Delta m_{31}^2}{\Delta m_{31}^2} \right)^2$$

$$\Delta m_{31}^2 \equiv \sqrt{(\Delta m_{31}^2 \cos 2\theta_{13} - 2E_\nu A)^2 + (\Delta m_{31}^2 \sin 2\theta_{13})^2}$$

$$E_{\text{res}} \equiv \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2\sqrt{2} G_F N_e} \simeq 7 \text{ GeV} \left( \frac{4.5 \text{ g/cm}^3}{\rho} \right) \left( \frac{\Delta m_{31}^2}{2.4 \times 10^{-3} \text{ eV}^2} \right) \cos 2\theta_{13}$$

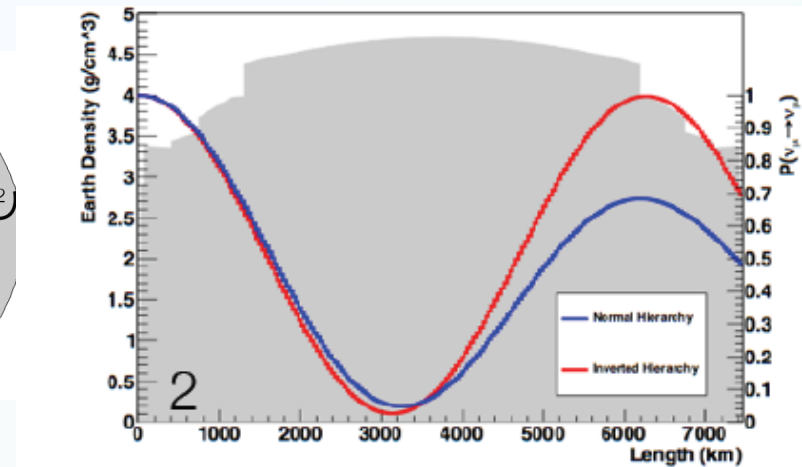
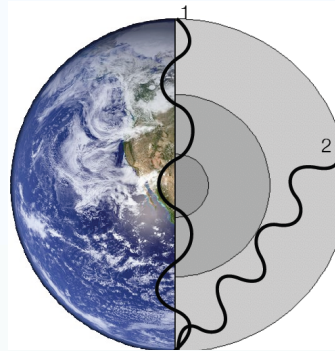
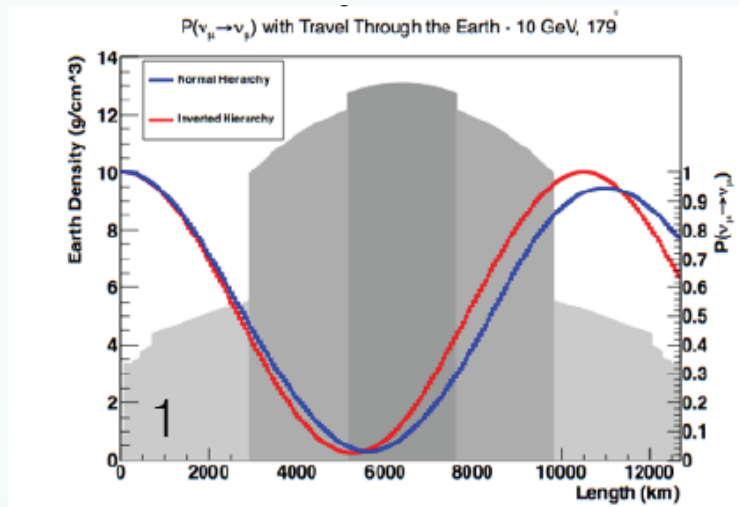


Resonance energy Earth: Mantle  $E_{\text{res}} \sim 7 \text{ GeV}$  & Core  $E_{\text{res}} \sim 3 \text{ GeV}$

- Also parametric resonance (e.g mantle-core)

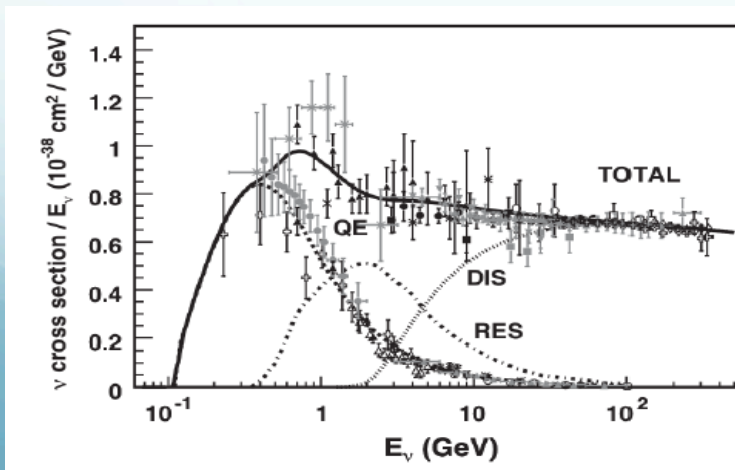


# Matter Effects and Mass Hierarchy

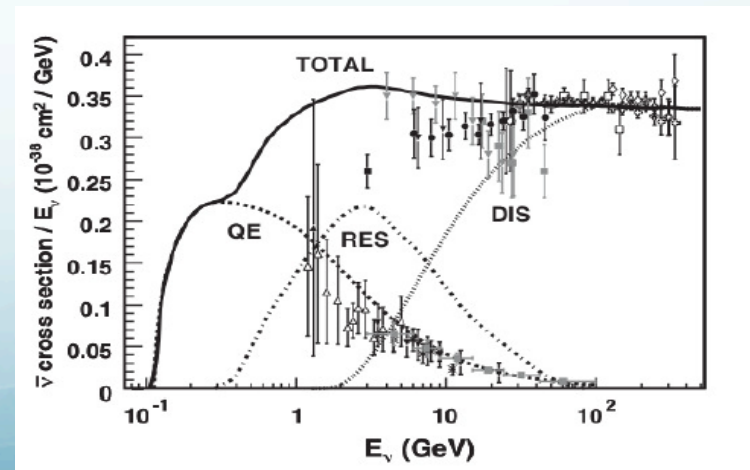


## Requirements:


- $\Delta m^2_{13} \sim A$  matter potential must be significant but not overwhelming
- $L$  large enough – matter effects are absent near the origin
- Distinction between neutrinos and anti-neutrinos → [cross-sections!](#)

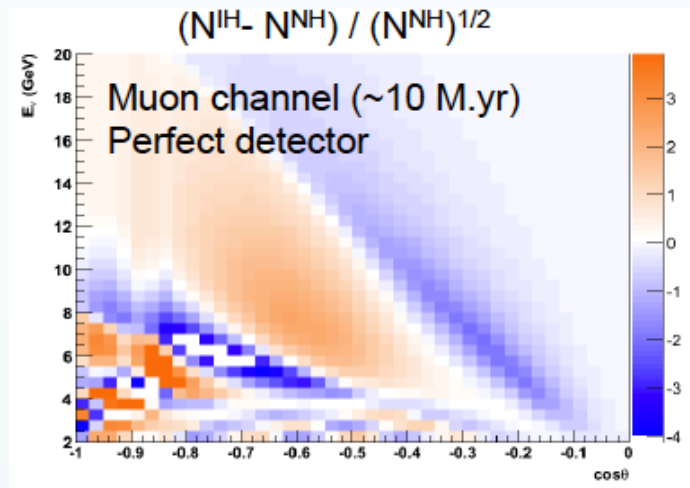


$$\sigma(\nu) \approx 2\sigma(\bar{\nu})$$

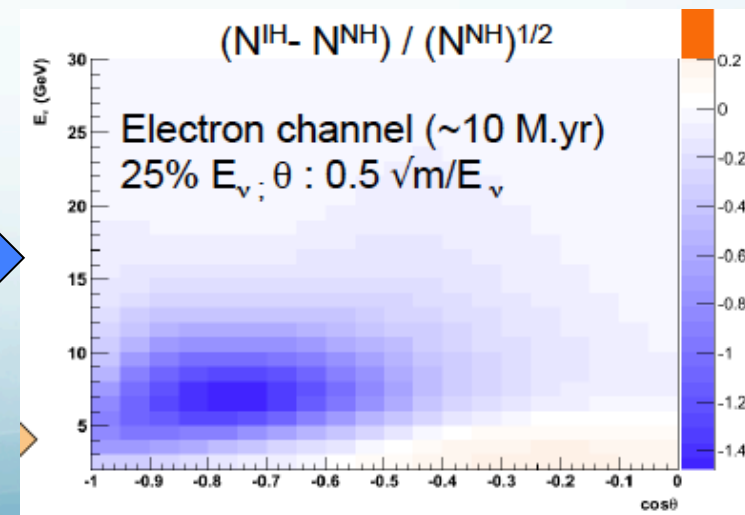
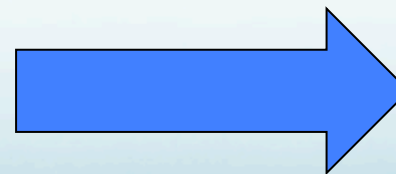
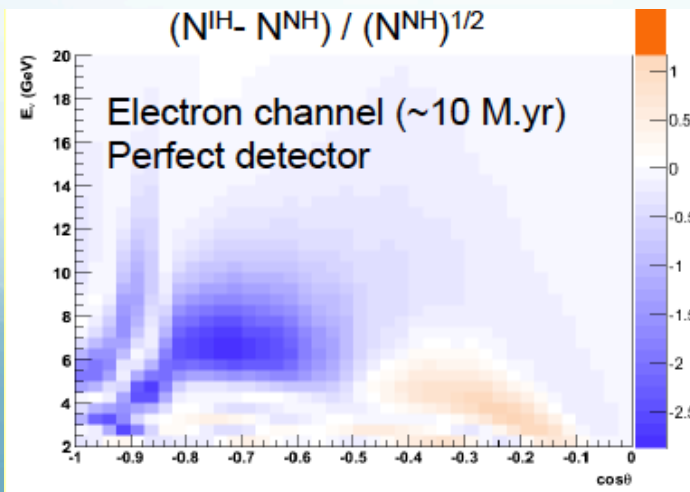
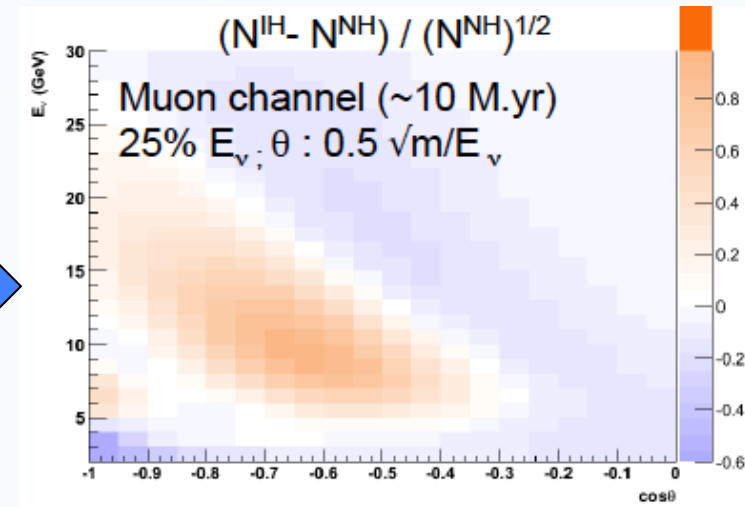


# Muon versus Electron channels

Both muon- and electron-channels contribute to net hierarchy asymmetry  
**electron channel more robust against detector resolution effects:**  
 (Significances a la Akhmedov et al.  JHEP 02 (2013) 082)



$E, \theta$  smearing  
(kinematics  
+ detector  
resolution)

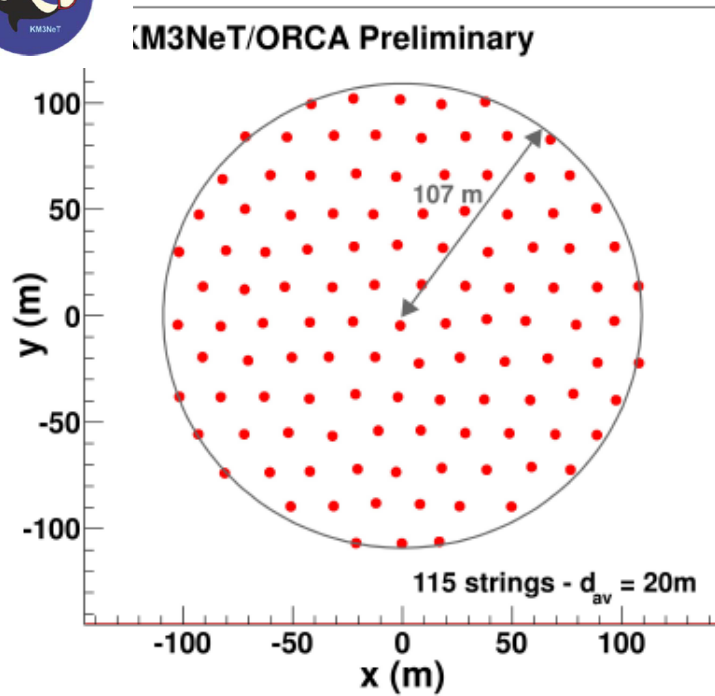


# Proposed Low Energy Extensions

KM3NeT Collaboration

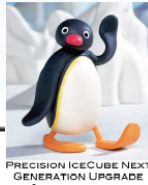
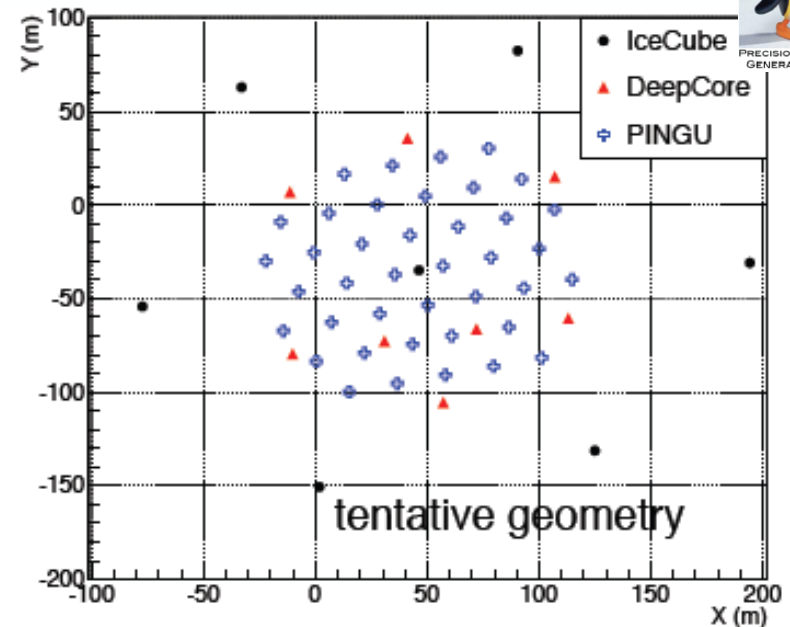


ORCA



115 lines, 20m spaced,  
 18 OM/line 6m spaced  
 Instrumented volume  $\sim 3.8$  Mt, 2070 OM

PINGU#

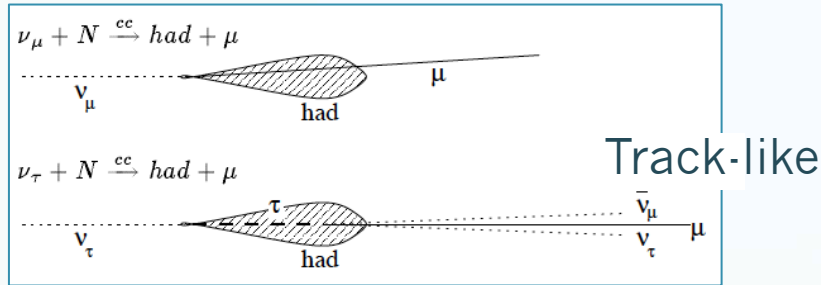


40 strings, 20-25m spaced,  
 Up to 96 OM/string 3m spaced  
 Instrumented volume  $\sim 6$  Mt, 3840 OM

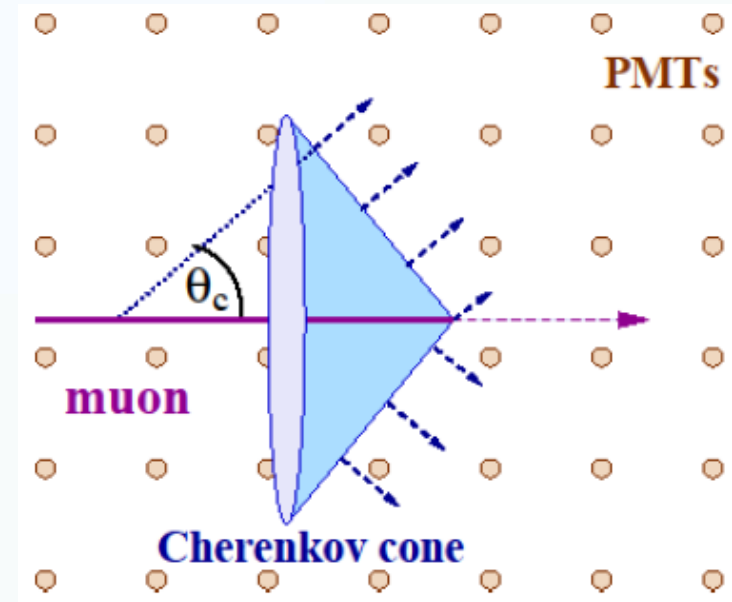
#First performances evaluated  
 with 60 DOMs/string

Optimized layouts still under study

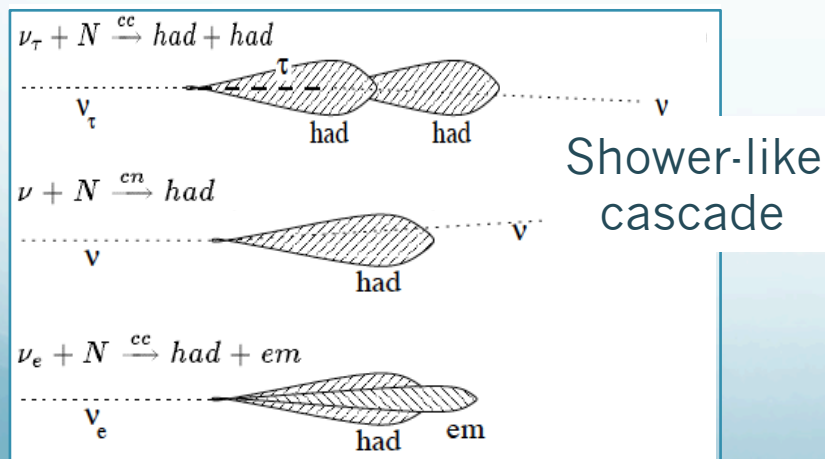
# Event topologies



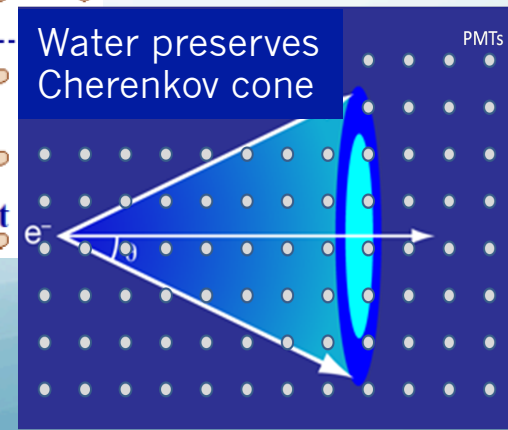
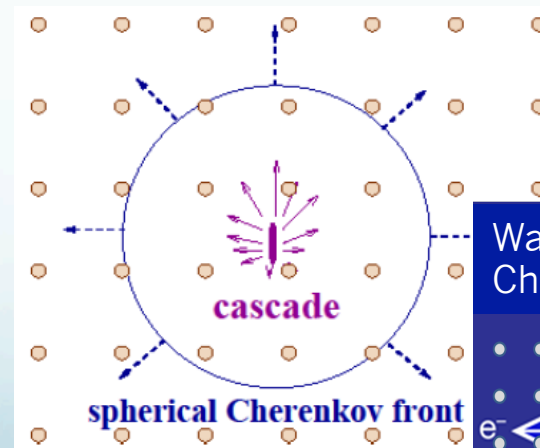
Track-like contains both a cascade and one track



Not to scale



No track is identified



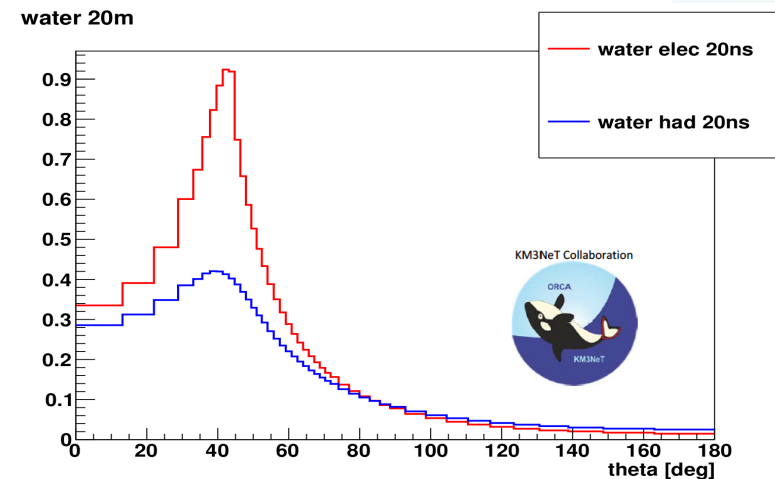
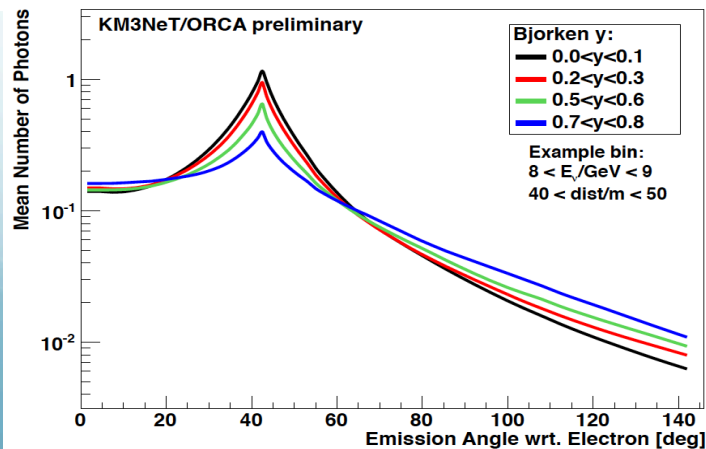
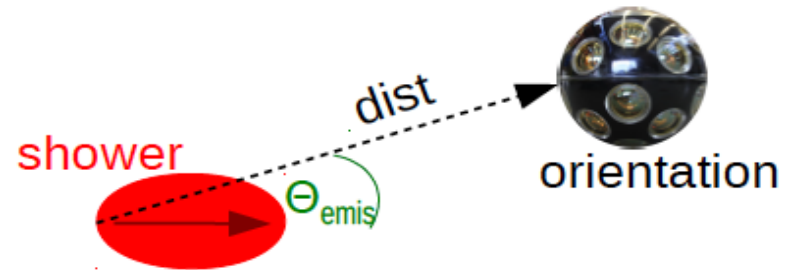
# ORCA shower reconstruction ( $\nu_e$ )

- 1. Vertex fit:
  - maximum likelihood method based on time residuals
  - two fits: first robust prefit then more precise fit

Res. ( $\sigma$ ): 0.5-1 m

- 2. Energy + direction fit:

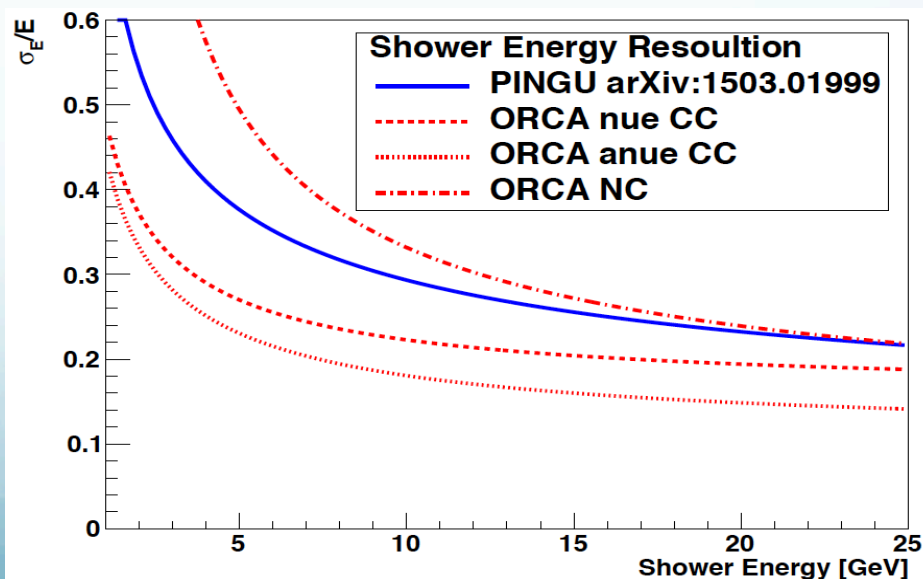
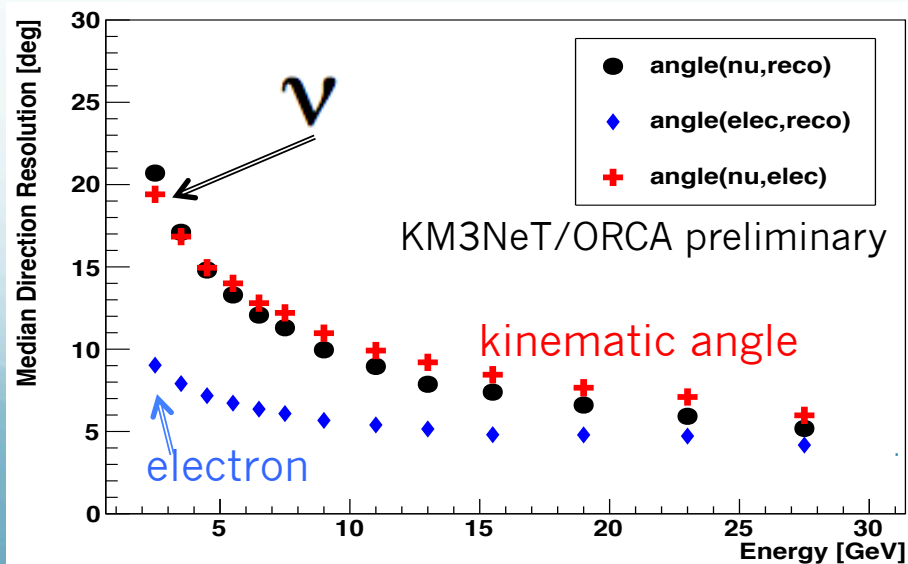
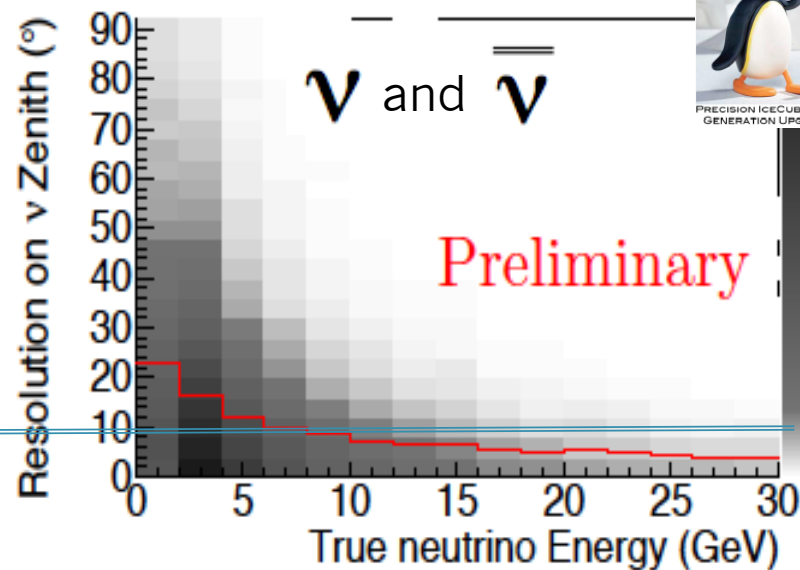
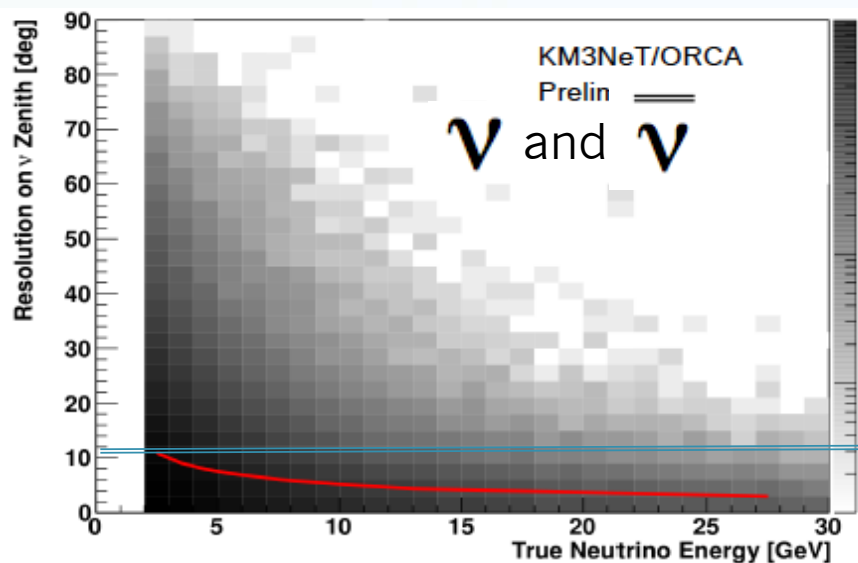
- PDF for number of expected photons depending on:  
 $E_\nu$ , Bjorken  $y$ , emission angle,  
OM orientation, distance(OM,vertex)
- maximum likelihood method based probability that hits have been created by certain shower hypothesis ( $E_\nu$ , Bjorken  $y$ , direction)





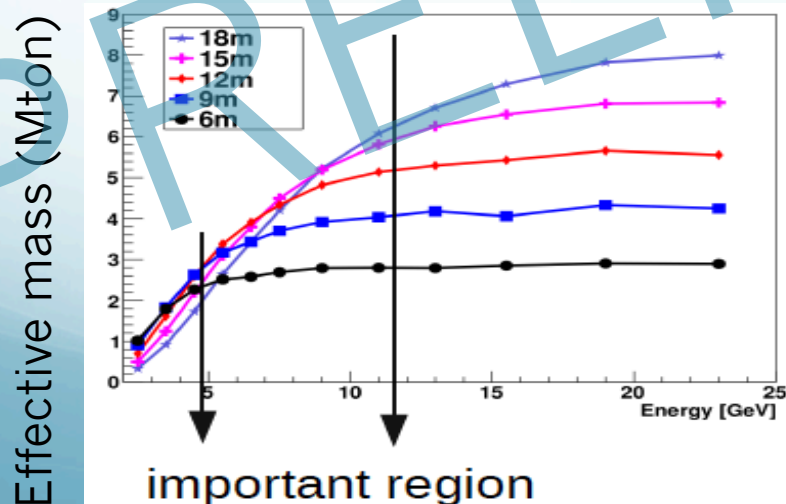
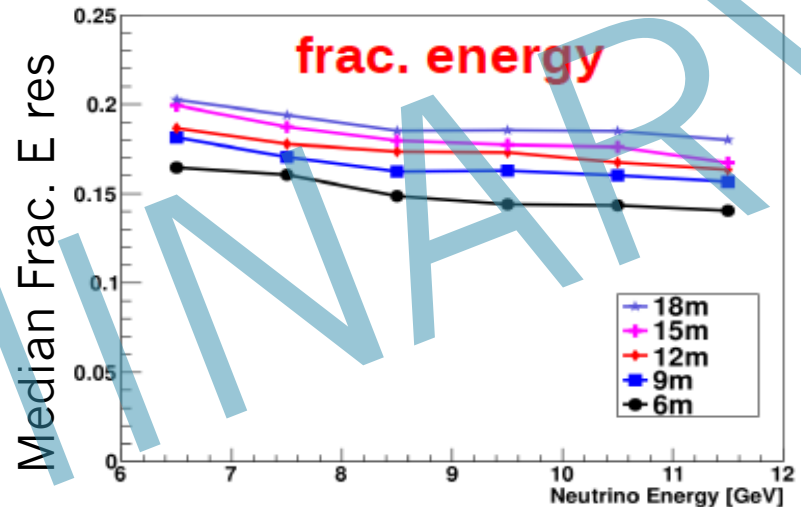
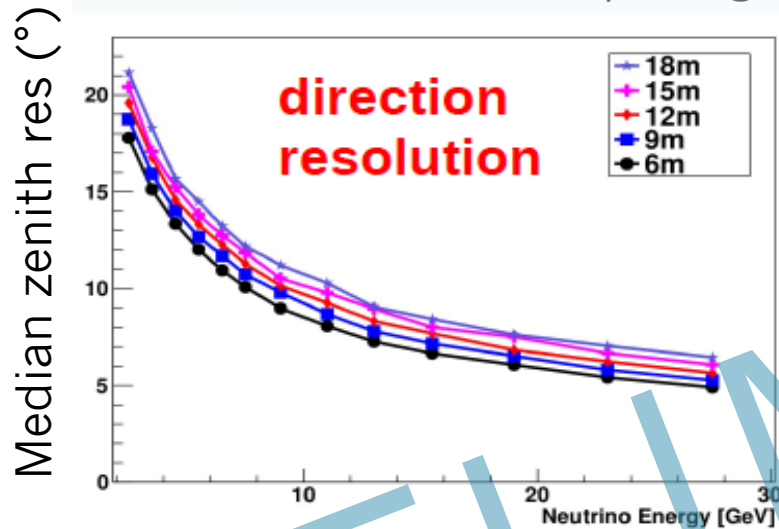


# Preliminary performances ( $\nu_e$ )



# ORCA Layout Optimization

- Switch off DOMs in proposed 115 line detector  
→ 20 m interline spacing & 6,9,12,18m spacing inter-DOM



Examples for shower reconstruction

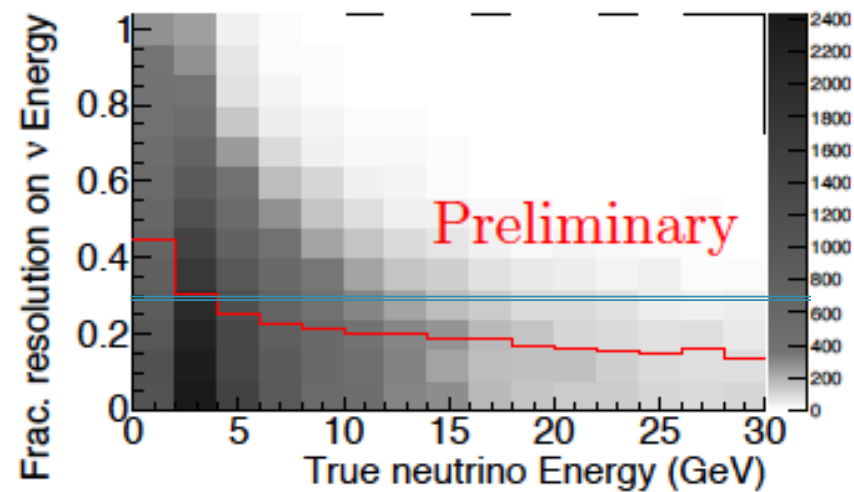
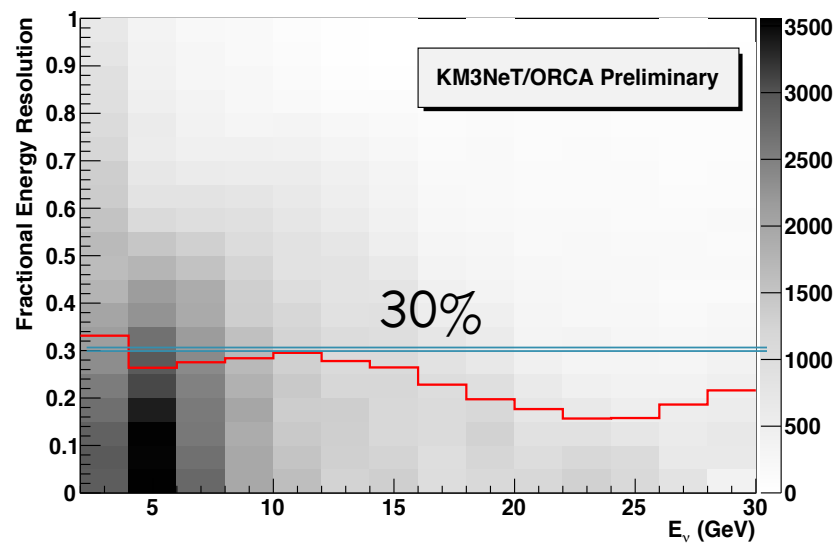
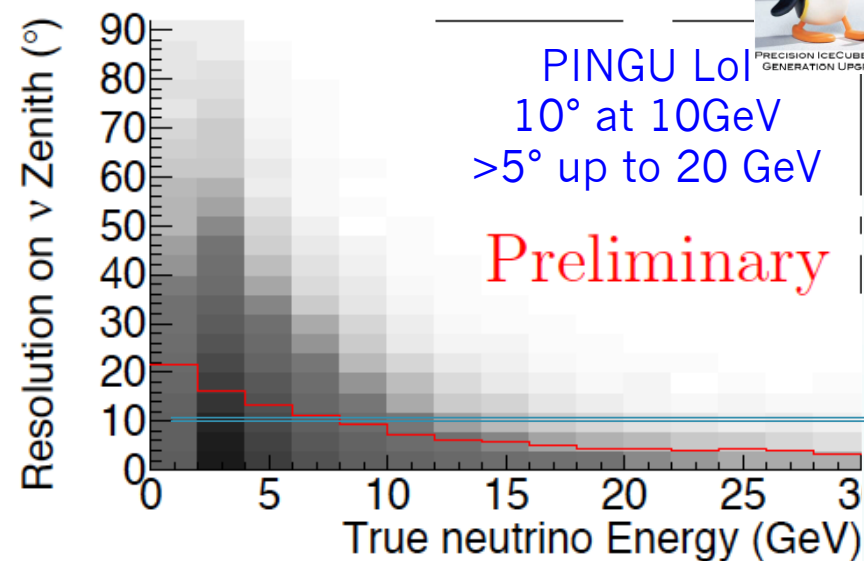
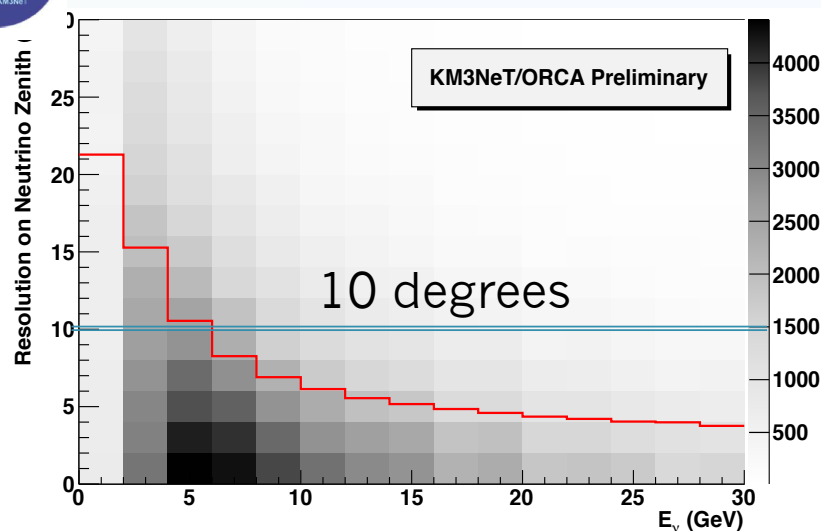
All relevant quantities must be studied in details before adopting an optimum spacing

But substantial improvement possible

*Work in progress*

# Preliminary performances ( $\nu_\mu$ )

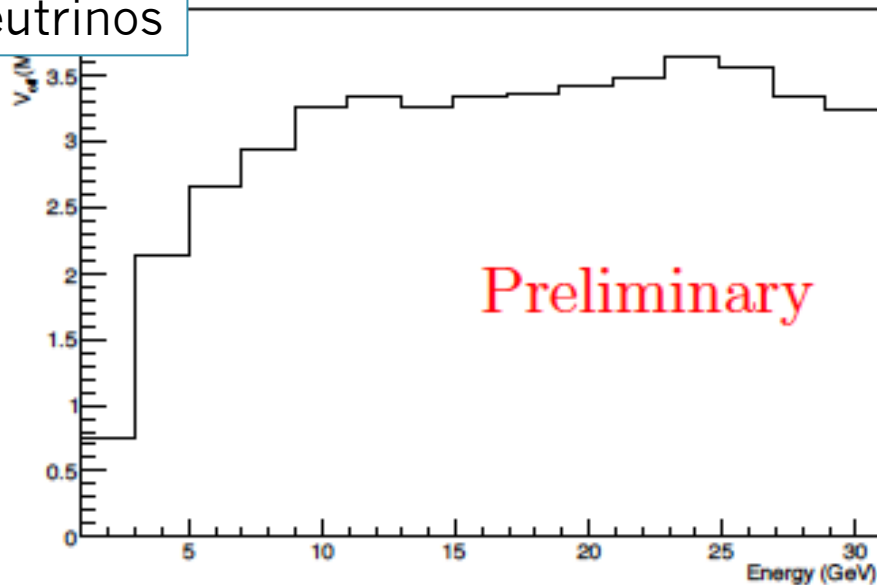
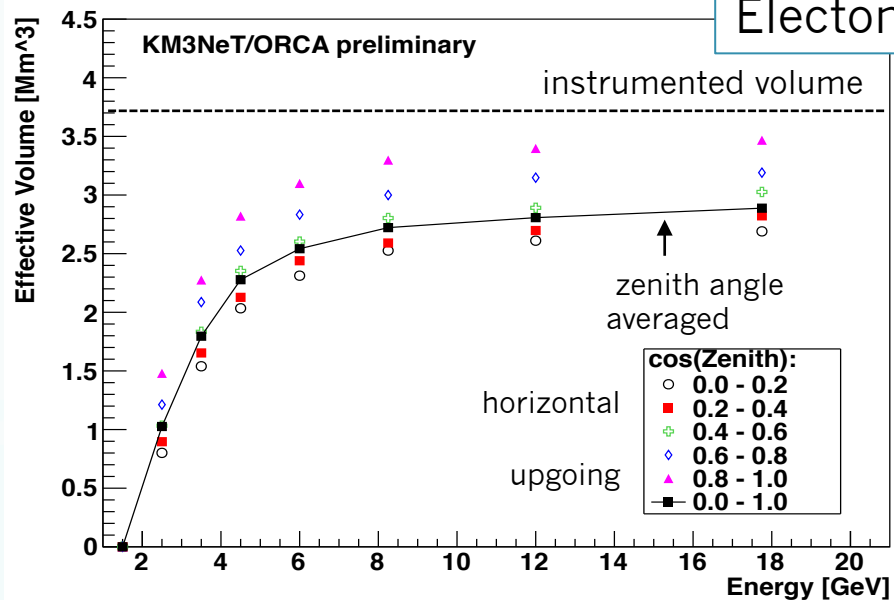
KM3NeT Collaboration



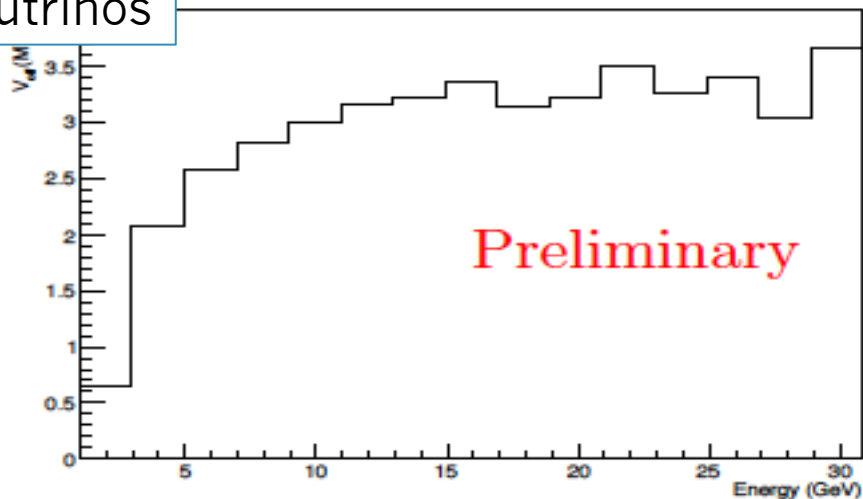
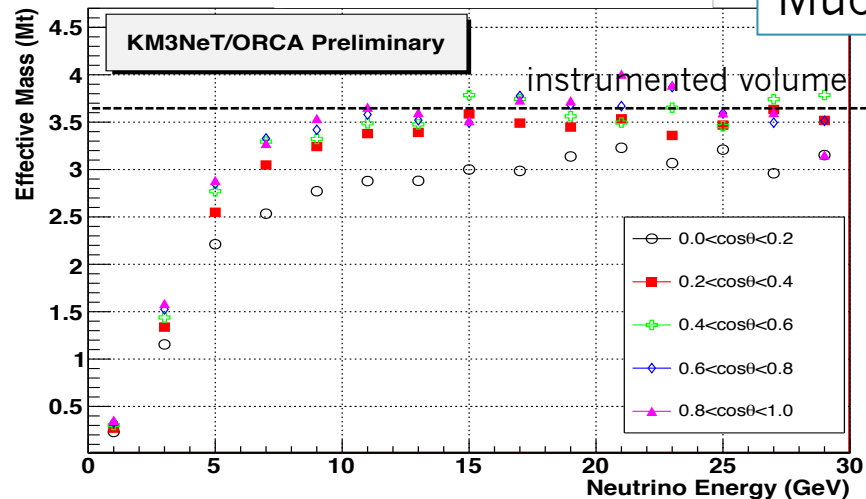
(b)  $|E_{\nu,\text{reco}} - E_{\nu,\text{true}}|/E_{\nu,\text{true}}$  vs.  $E_{\nu,\text{true}}$ .

# Similar Effective Masses

## Electron neutrinos



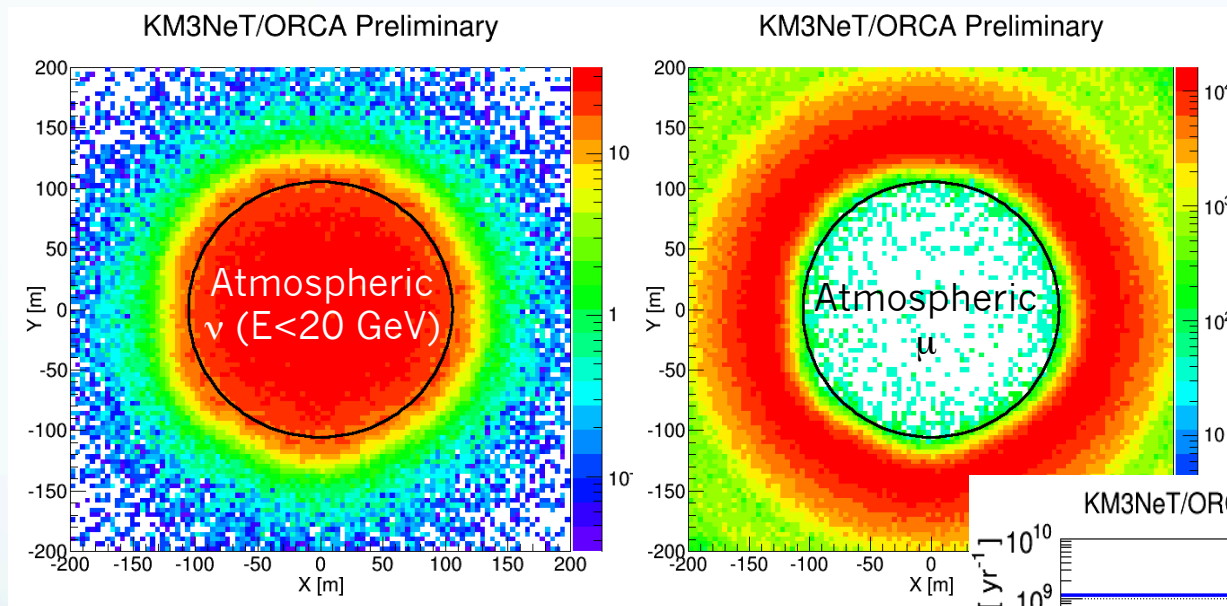
## Muon neutrinos





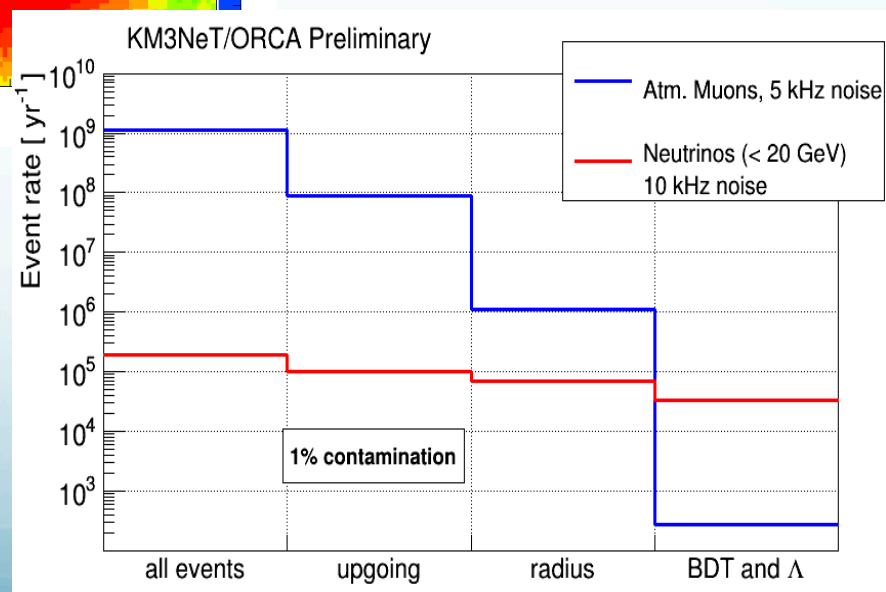
# Atmospheric muon rejection

- Simulation based on MUPAGE (📖 Astropart. Phys. 25 (2006) 1) at depth 2475 m
- $\nu_\mu$  reconstruction: cut on the reconstructed pseudo-vertex and quality parameters + BDT



Instrumental veto not mandatory (though not impossible)

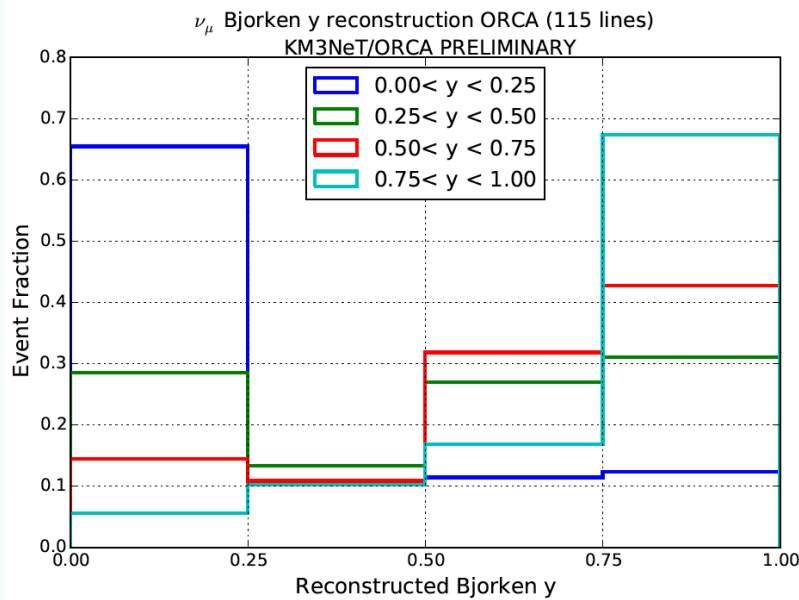
1% contamination achievable without too strong signal loss



Work in progress



# ORCA Sensitivity to Inelasticity



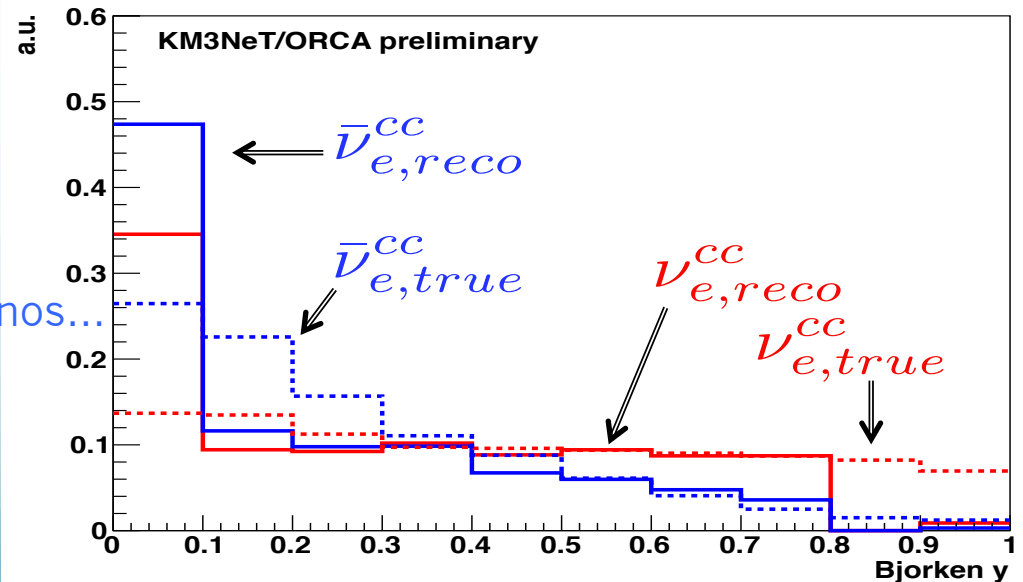
- Use PDFs on the time residuals under the track (low- $y$ ) and shower (high- $y$ ) hypothesis
- Select  $y$ -interval corresponding to highest likelihood

“total significance ... may increase by (20 - 50)%, thus effectively increasing the volume ... by factor 1.5 – 2”

📖 Ribordy & Smirnov PRD, 87. 113007  
(muon channel only)

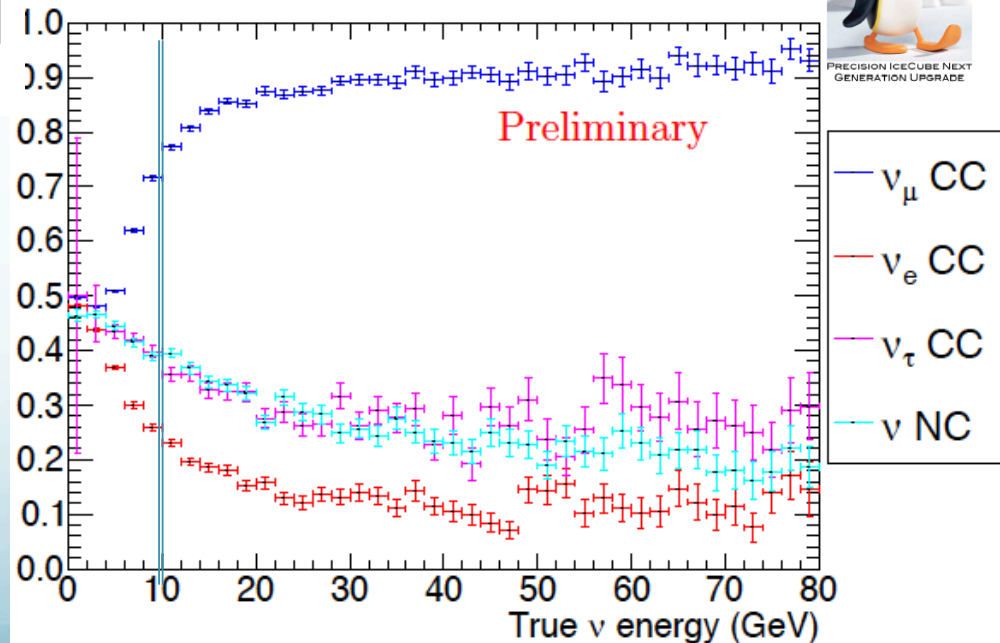
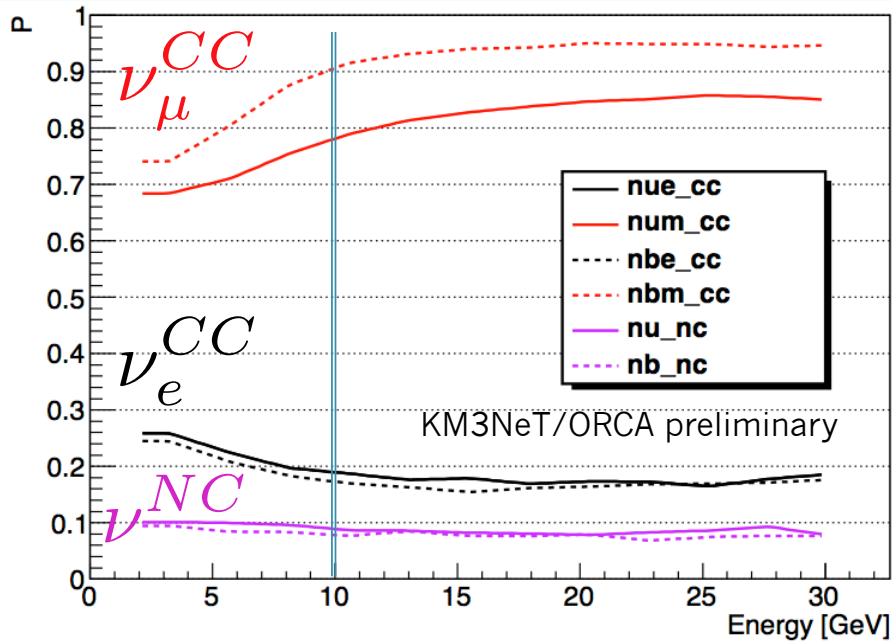
Work in progress

Should be further exploited  
PID, NC rejection, neutrinos/anti-neutrinos...



# Flavour (mis)-identification

Probabilities to classify as track-like





# Sensitivity studies



To optimally distinguish between IH and NH:  
**likelihood ratio test with nuisance parameters**  
 → *deal with degeneracies by fitting*

$$\Delta \log(L^{\max}) = \sum_{\text{bins}} \log P(\text{data} | \hat{\theta}^{\text{NH}}, \text{NH}) - \log P(\text{data} | \hat{\theta}^{\text{IH}}, \text{IH})$$

$\hat{\theta}^{\text{H}}$  = maximum-likelihood estimates for the  $\Delta m^2$ 's and angles using both data and constraints from global fit.  
 nb: constraints are different for H=IH and H=NH

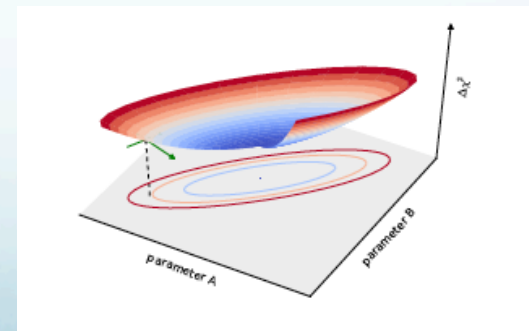
- 1) Fit parameters assuming NH
- 2) Fit parameters assuming IH
- 3) Compute  $\Delta \log L = \log( L(\text{NH})/L(\text{IH}) )$

$\theta_{23}$  ,  $\Delta m^2$  and normalization fitted from data

- ✓ Track vs shower event classification
- ✓ Full MC detector response matrices including misidentified and NC events
- ✓ Atmospheric muon contamination
- ✓ Neutral current event contamination

## Fisher Information Matrix (FIM)

- ▶ Used in PINGU analysis
- ▶ Use 'fiducial' values (fixed true values)
- ▶ Evaluate bin-by-bin first-order derivatives of expected number of events  
 ⇒ probe small region around fiducial values
- ▶ Covariance matrix from derivatives
- ▶ Yields individual and combined uncertainties



*Runs faster → easier for sys. studies*

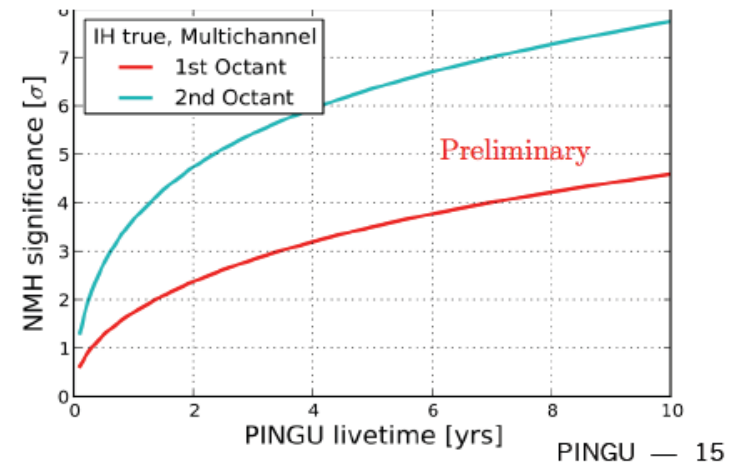
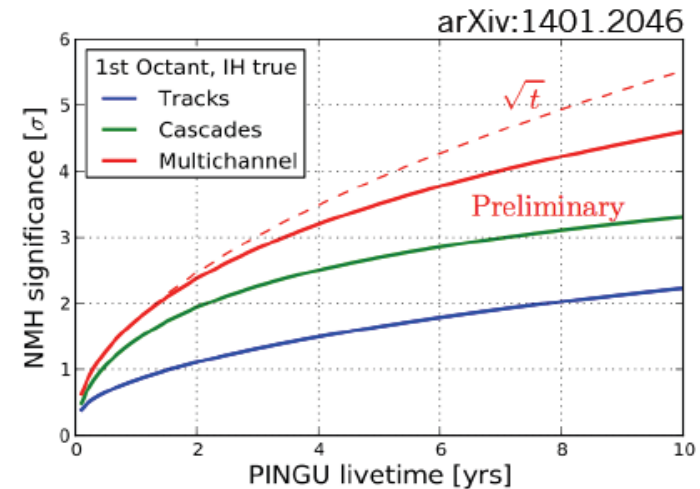
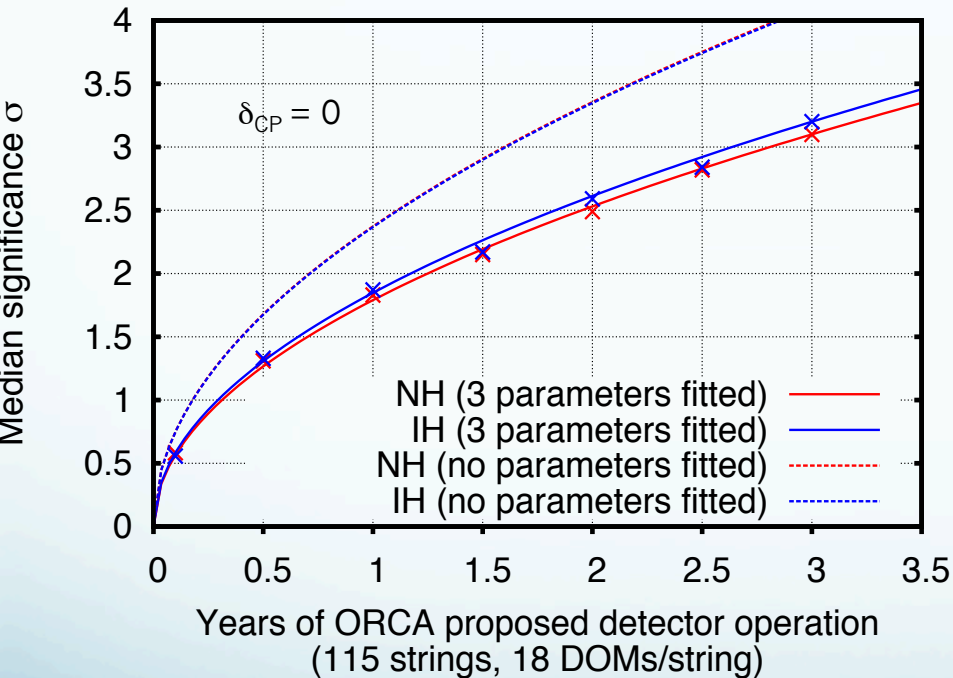
# Current Sensitivities (median)



PRECISION ICECUBE NEXT GENERATION UPGRADE



KM3NeT/ORCA sensitivity (PRELIMINARY Feb 2015)



## Oscillation parameters

- mass splittings
- mixing angles
- CP-phase

## Effective uncertainties

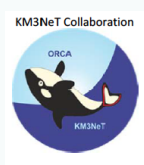
- overall efficiency
  - ▶ energy dependent
- energy scale
- x-section uncertainty



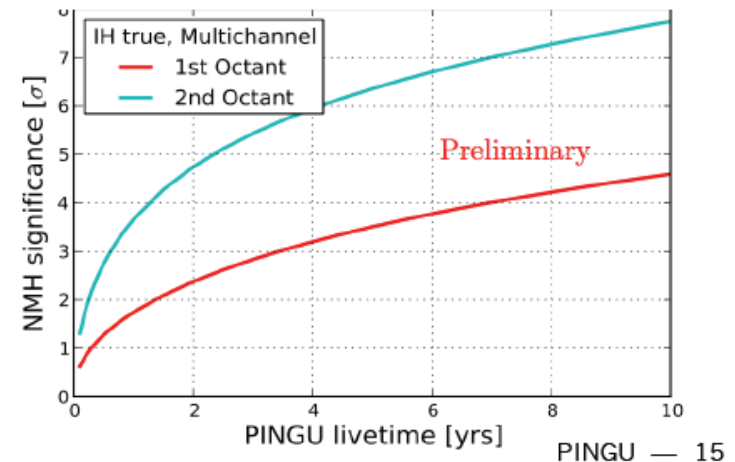
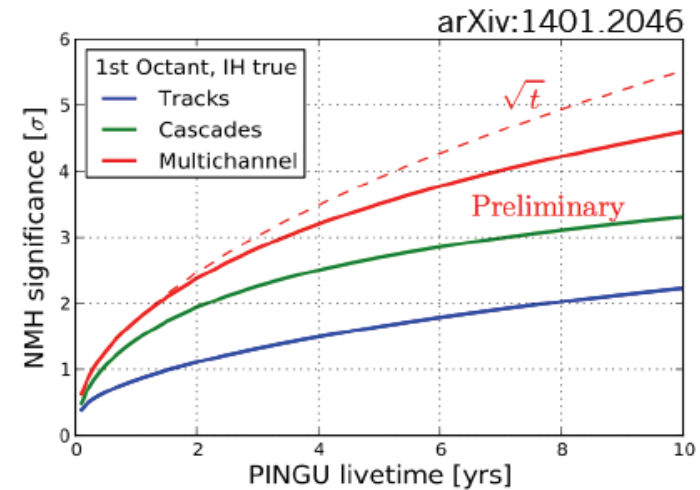
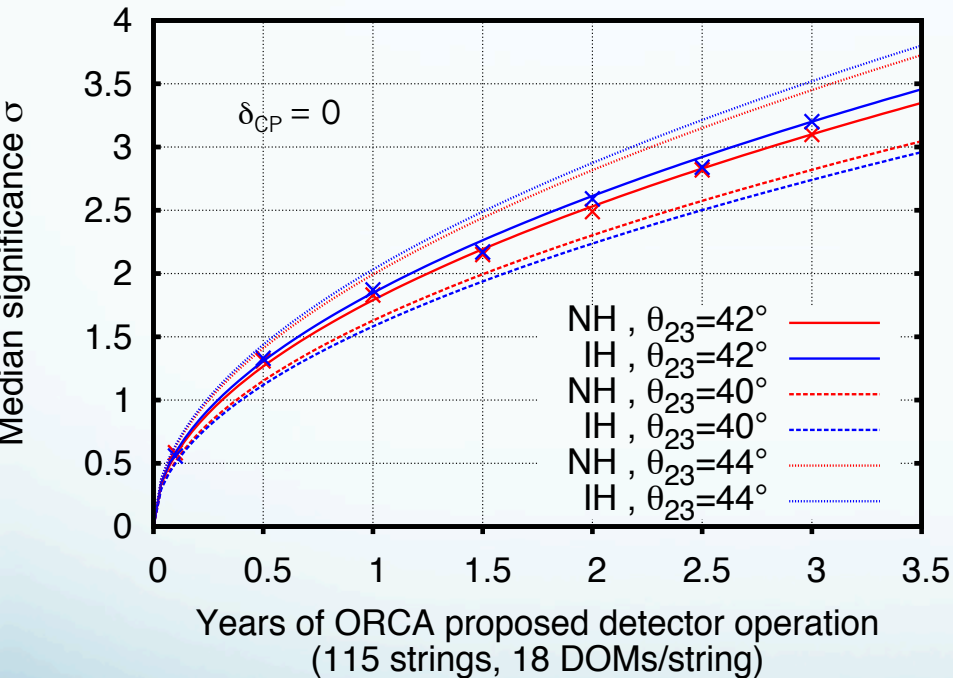
# ORCA Updated Sensitivity



PRECISION ICECUBE NEXT GENERATION UPGRADE



KM3NeT/ORCA sensitivity (PRELIMINARY Feb 2015)



## Oscillation parameters

- mass splittings
- mixing angles
- CP-phase

## Effective uncertainties

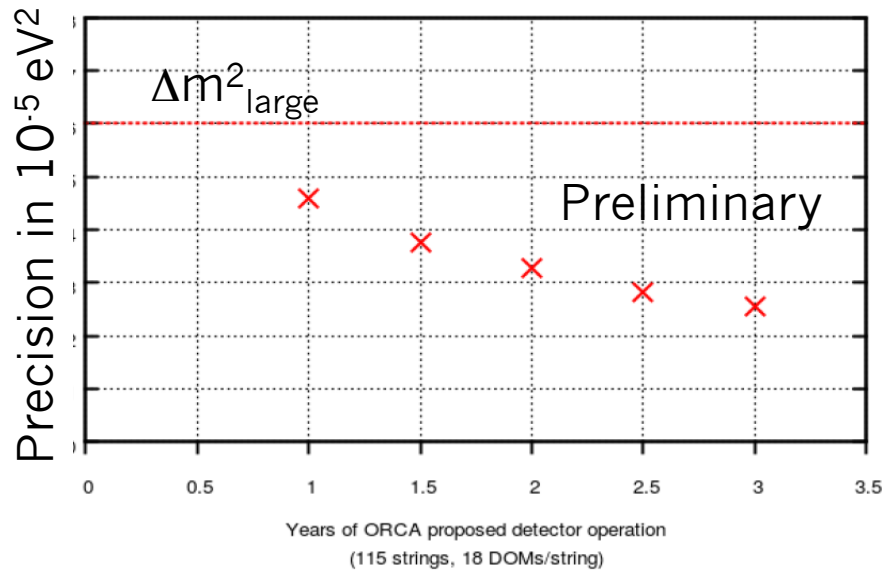
- overall efficiency
  - ▶ energy dependent
- energy scale
- x-section uncertainty

# Sensitivity to PMNS parameters

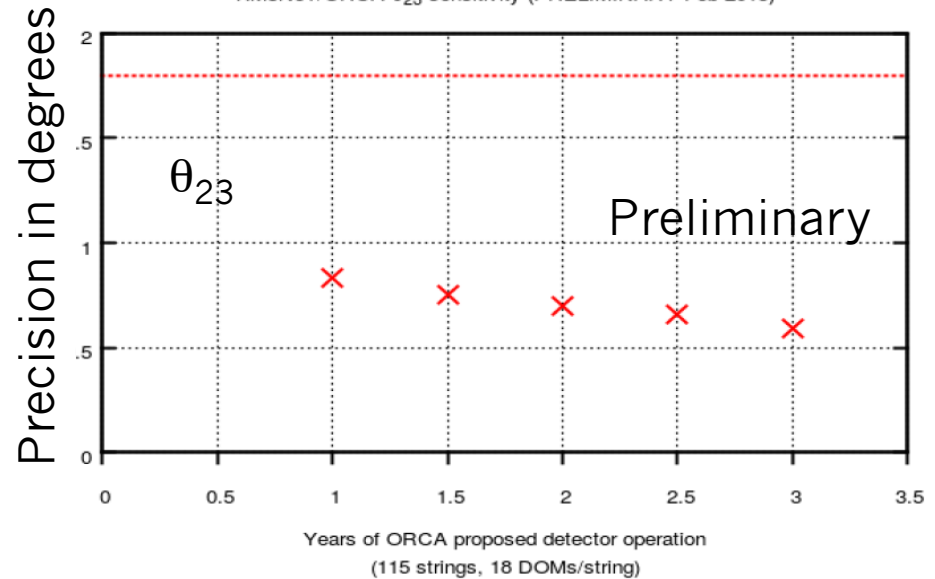


Red dotted line : approximate current best value

KM3NeT/ORCA  $\Delta m^2$  sensitivity (PRELIMINARY Feb 2015)



KM3NeT/ORCA  $\theta_{23}$  sensitivity (PRELIMINARY Feb 2015)



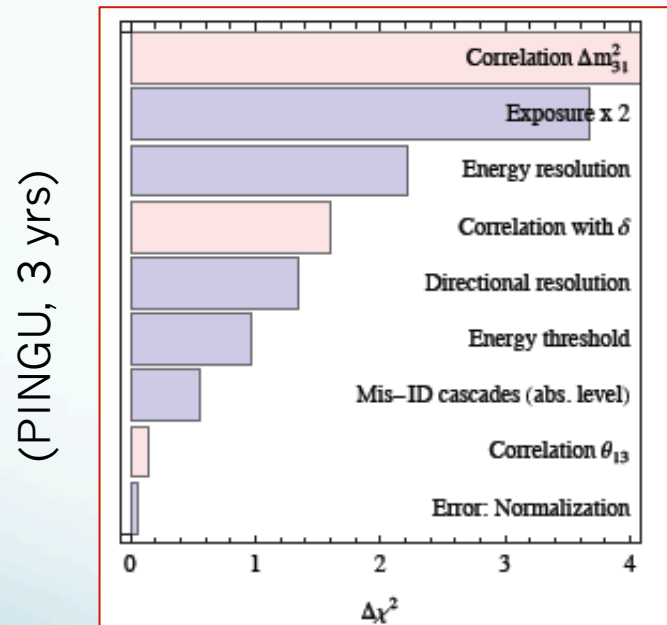
Similar to PINGU's projections

# Studies of systematics

Several studies → same conclusions

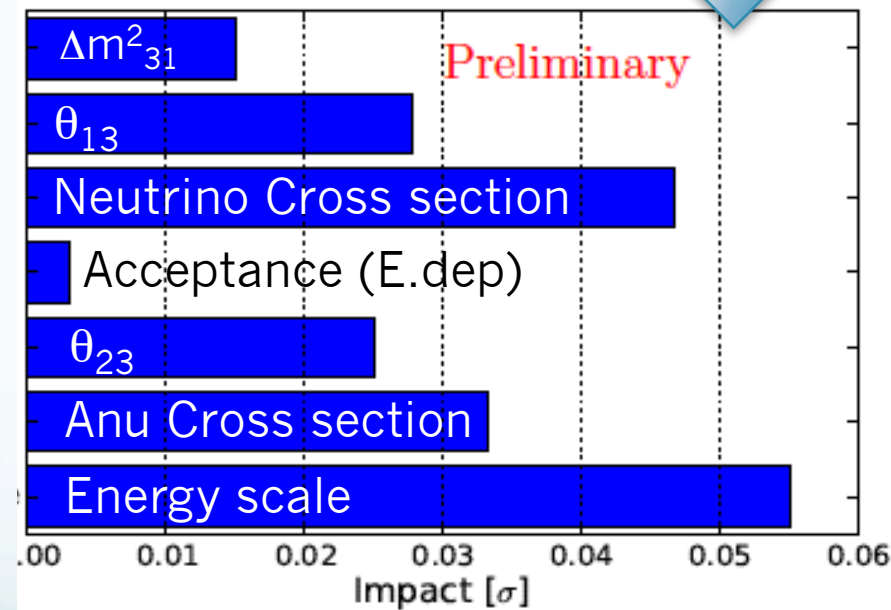
📖 D. Franco et al, JHEP 04 (2013) 008

📖 W. Winter, PRD 88 (2013) 013013



📖 PINGU LolarXiv1401.2046

Impact (increase) on 1year  
significance  
(total 1.75  $\sigma$ )



📖 Capozzi et al. arXiv1503.01999

Including E, zenith resolution/shape uncertainties +additional uncorrelated uncertainties  
Total 5yrs loss in sensitivity from 24% to 40% under very pessimistic assumptions

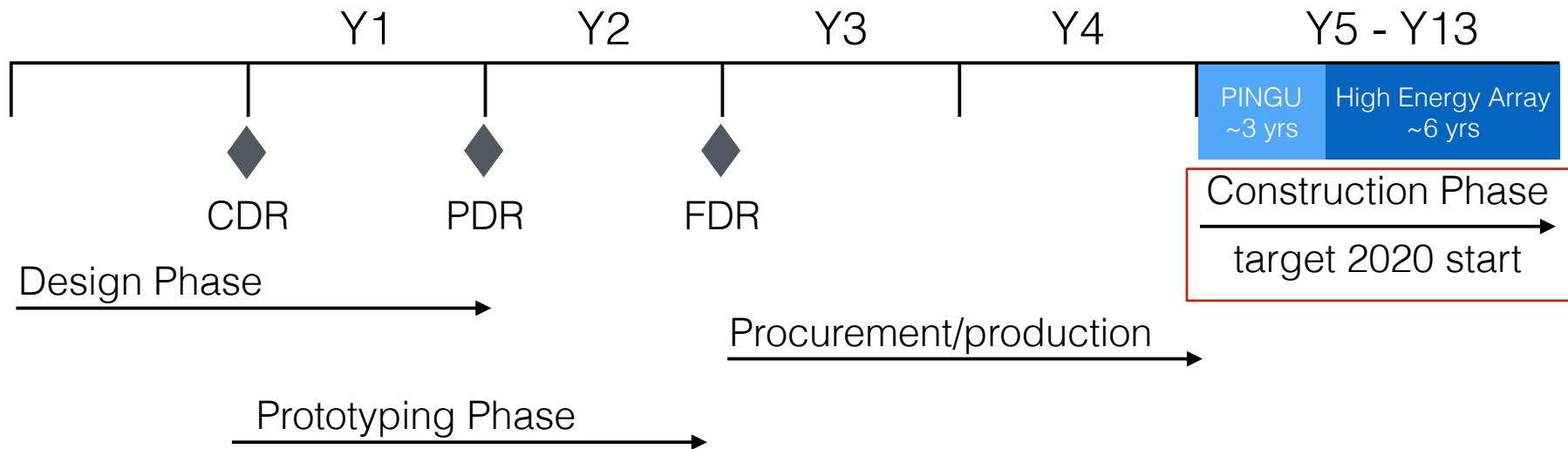
*Updates coming up in PINGU, suite of systematics being studied in ORCA*

# PINGU costs & timeline

| Cost of PINGU component  |        |
|--------------------------|--------|
| Hardware                 | 48 M\$ |
| Logistics                | 23 M\$ |
| Contingency              | 16 M\$ |
| Total<br>(US accounting) | 88 M\$ |

Timeline driven by NSF MREFC funding process  
not by technology

Updated letter of Intent under internal review





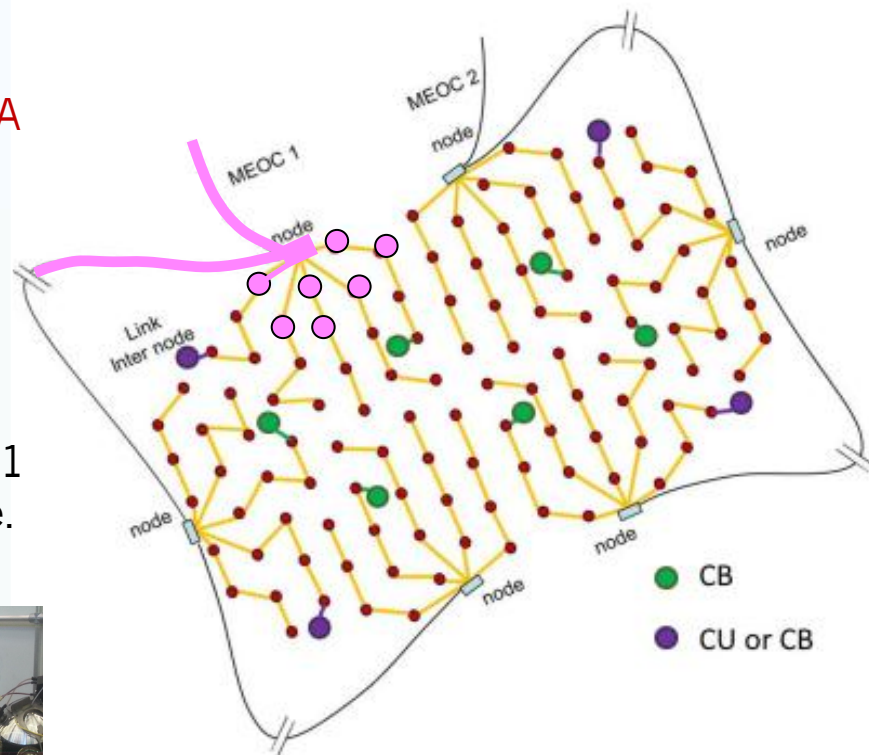
# ORCA costs & timeline

Modular ring of up to 6 nodes with double connection to shore for up to 120 detection units + Sea Science instruments

Possibility to redirect the ANTARES cable to ORCA

**Phase 1 (funded and spent)** : deploy a 6-7 string array in the ORCA configuration to demonstrate detection method in the GeV range.

**Phase 2 (~40 M€ wo contingency)** : 2017 deploy 1 building block 115 strings in French KM3NeT site. Completion by 2020



**Requested funds**

France:

12.4 M€ (NUMerEnv-CNRS/CPER/FEDER, 5 yrs)

The Netherlands: 5+5 M€ (FOM, 5 yrs)

Greece, Germany, Spain, Romania, Poland, UK ... under discussion

# Summary

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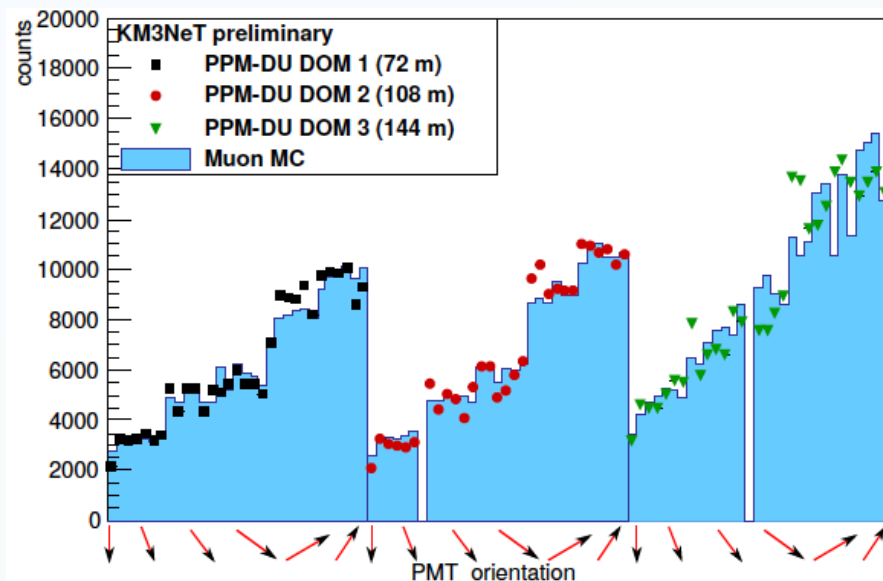
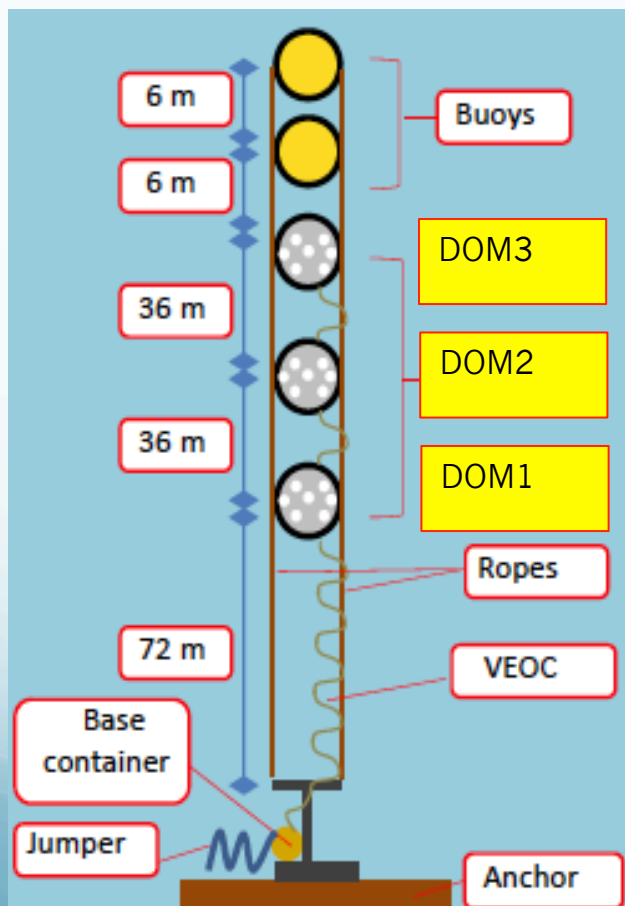
- Atmospheric Neutrinos have still a major role to play for precision measurements and determination of unknown parameters such as the mass hierarchy.
- Low energy (GeV) extensions of Neutrino Telescopes may be faster and cheaper than other alternatives...
- ...but challenging, as systematics must be carefully controlled.
- Preliminary ORCA/PINGU sensitivities are quite promising. **ORCA will proceed with a demonstrator for first time with  $\sim$ GeV threshold.** Running detectors circa 2020.
- Cooperation between ORCA and PINGU to evaluate systematics and compare sensitivities planned (annual common meetings).

New collaborators are welcome to join the endeavour !

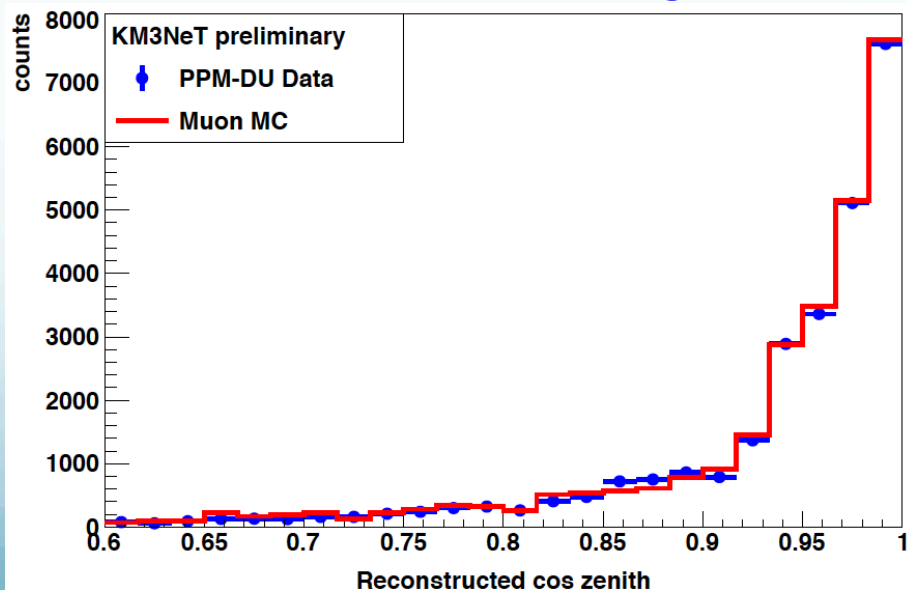
# KM3NeT mini-line @ Capo Passero



Smooth operation  
and data taking since  
May 2014



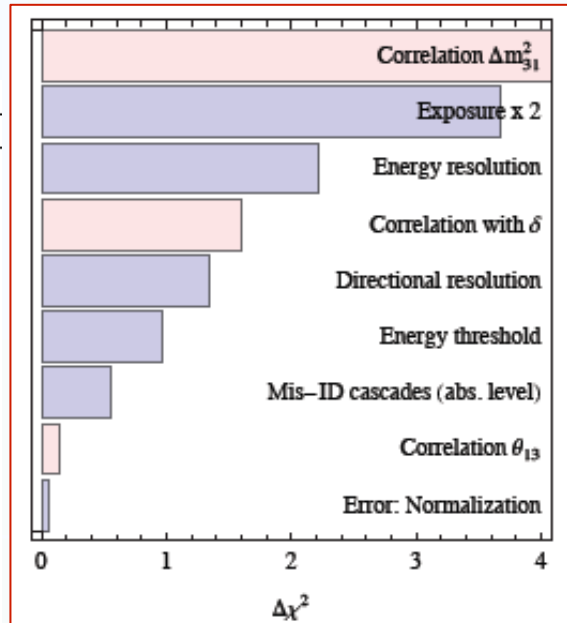
Nice Monte Carlo data agreement



# Studies of systematics

W. Winter, PRD 88 (2013) 013013

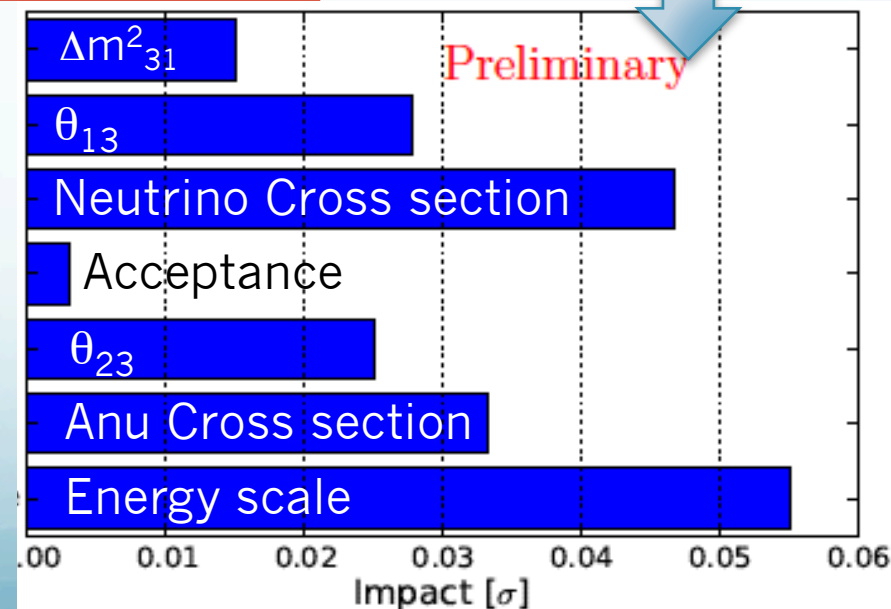
| Systematics  | Opt.                        | Def.                      | Cons.                  |
|--|-----------------------------|---------------------------|------------------------|
| <b>Experiment properties:</b>                      |                             |                           |                        |
| Fiducial mass and energy threshold                 | (*)                         | 12/2012                   | 12/2012                |
| Energy res. $\Delta E/E$                           | 0.15                        | 0.25                      | 0.35                   |
| Dir. resolution $\Delta\theta_z$                   | $0.5\sqrt{\frac{m_p}{E}}$   | $0.6\sqrt{\frac{m_p}{E}}$ | $\sqrt{\frac{m_p}{E}}$ |
| Cascade mis-ID frac.                               | 0.01                        | 0.05                      | 0.1                    |
| <b>Systematical uncertainties:</b>                 |                             |                           |                        |
| Normalization                                      | 0.10                        | 0.25                      | 0.35                   |
| mis-ID cascades                                    | 0.05                        | 0.075                     | 0.10                   |
| Cross sections (DIS)                               | 0.05                        | 0.075                     | 0.10                   |
| Matter density                                     | 0.005                       | 0.01                      | 0.05                   |
| <b>Uncertainties of atmospheric neutrino flux:</b> |                             |                           |                        |
| Normalization                                      | Included in "Normalization" |                           |                        |
| Slope error (zenith bias)                          | 0.01                        | 0.04                      | 0.10                   |
| Flavor $\nu_e/\nu_\mu$                             | 0.005                       | 0.01                      | 0.02                   |
| Polarity $\bar{\nu}_\mu/\nu_\mu$                   | 0.01                        | 0.02                      | 0.03                   |
| Polarity $\bar{\nu}_e/\nu_e$                       | 0.01                        | 0.025                     | 0.03                   |
| BG down-going                                      | 0.05                        | 0.075                     | 0.10                   |



*Need more work*

PINGU LoI  
arXiv1401.2046

Impact on 1year  
significance  
(total 1.75  $\sigma$ )



Preliminary

Several other studies → same conclusions

e.g. D. Franco et al, JHEP 04 (2013) 008



# Studies of systematics

$\sin^2 \theta_{23}$  in  $[0.4; 0.6]$



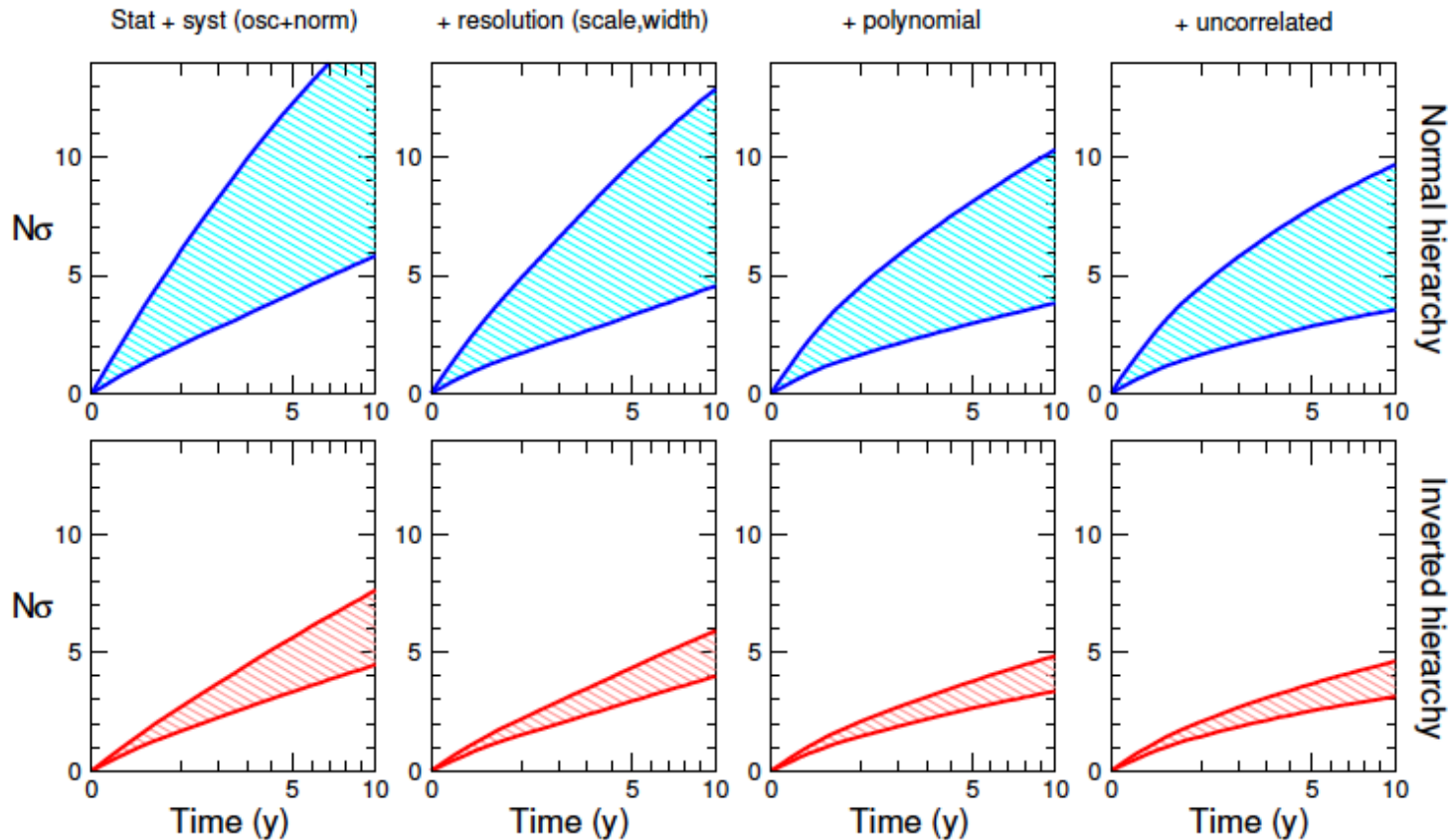
Capozzi et al. arXiv1503.01999

PINGU resolutions and effective masses

( $\mu$  and  $e$  indep !?)

Shape 1.5%

Residual 1.5%



Total 5yrs loss in sensitivity from 24% to 40%

Worst case  $3\sigma$  in 10 years

# PINGU envisaged technology

## Optical modules

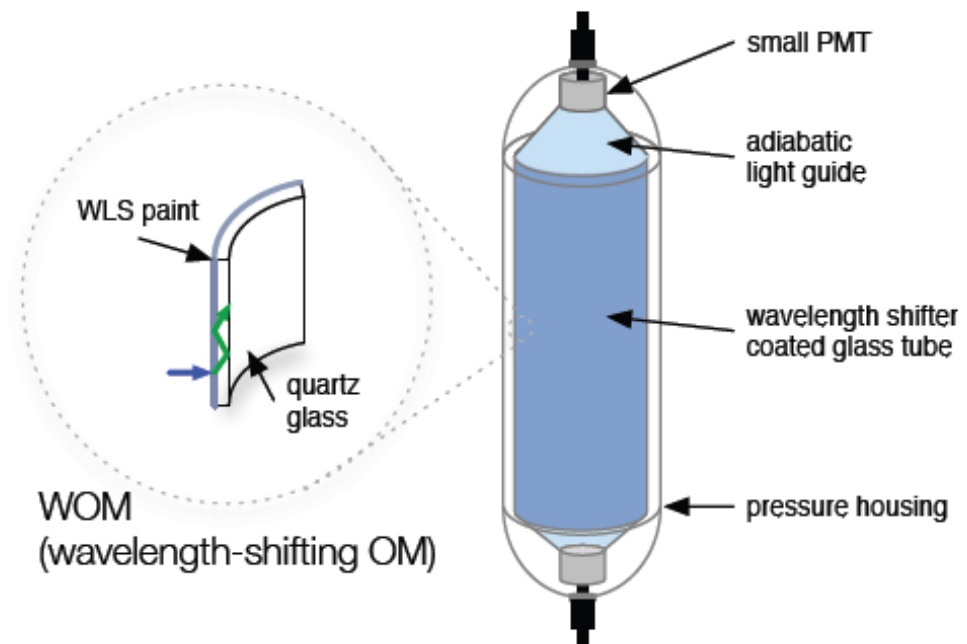
- baseline design
  - ▶ electronics upgrade on IceCube DOM
- 10" R7081-02 High-QE
- exploratory designs
  - ▶ larger photosensitive area
  - ▶ lower noise
  - ▶ directional sensitivity

## Calibration devices

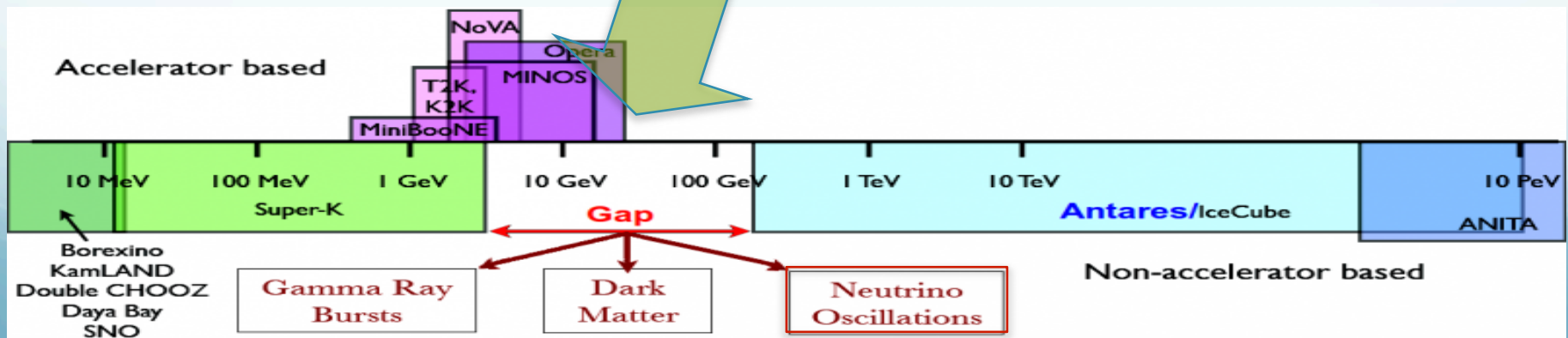
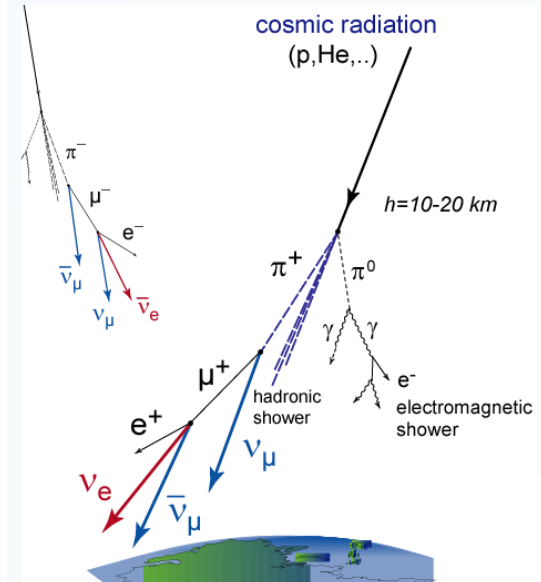
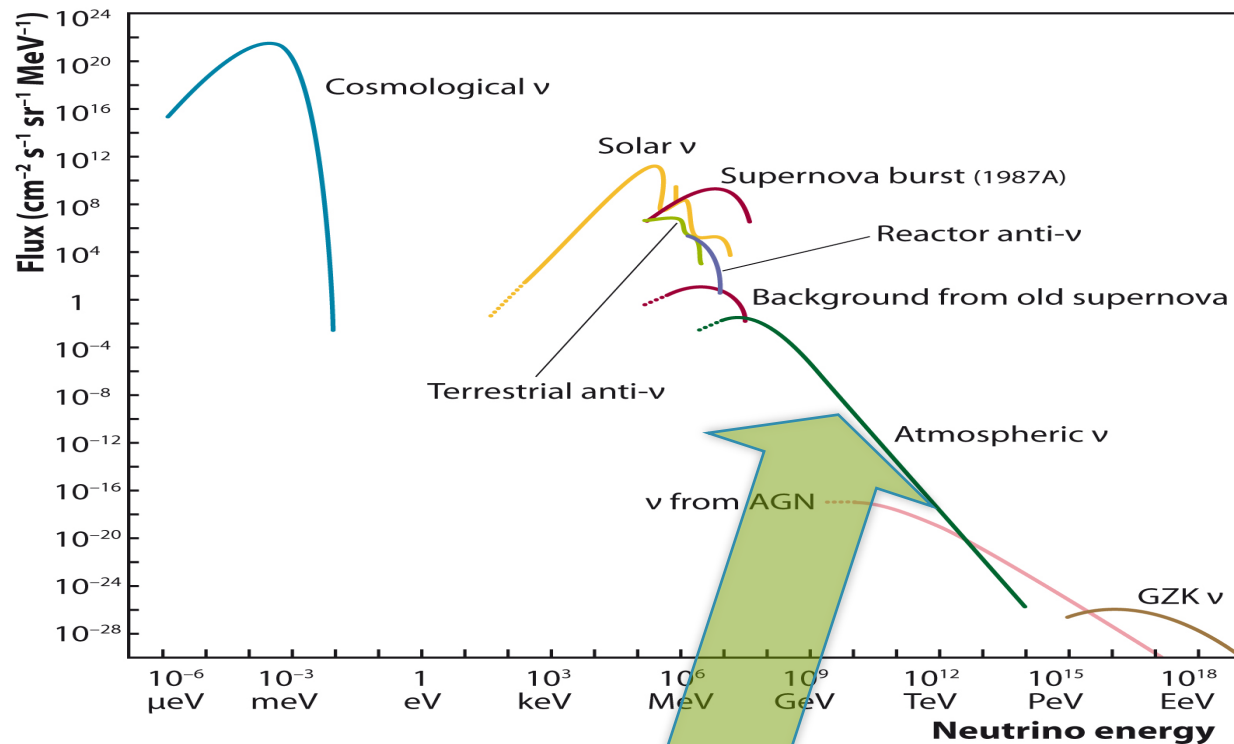
- calibrated light sources
- digital cameras
  - ▶ hole ice studies



mDOM  
(multi-PMT DOM)

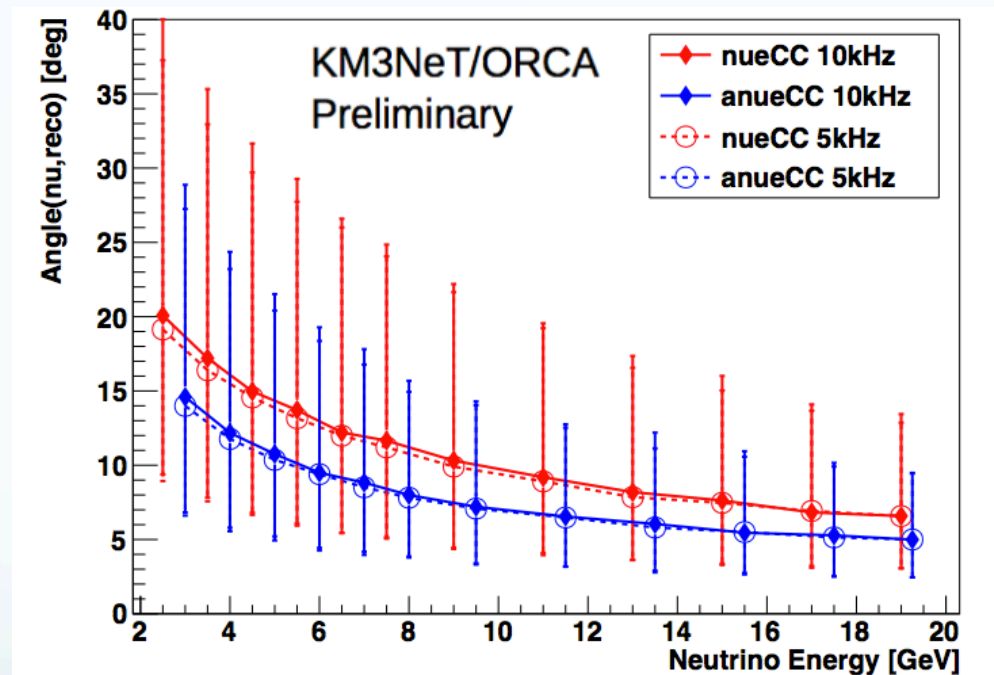


# Neutrinos on Earth



# $\nu_e^{CC}$ : median directional resolution

- error bars: 15% and 85% quantiles
- **neutrinos** and **anti-neutrinos**
- negligible differences between 5 and 10 kHz single PMT optical background rates





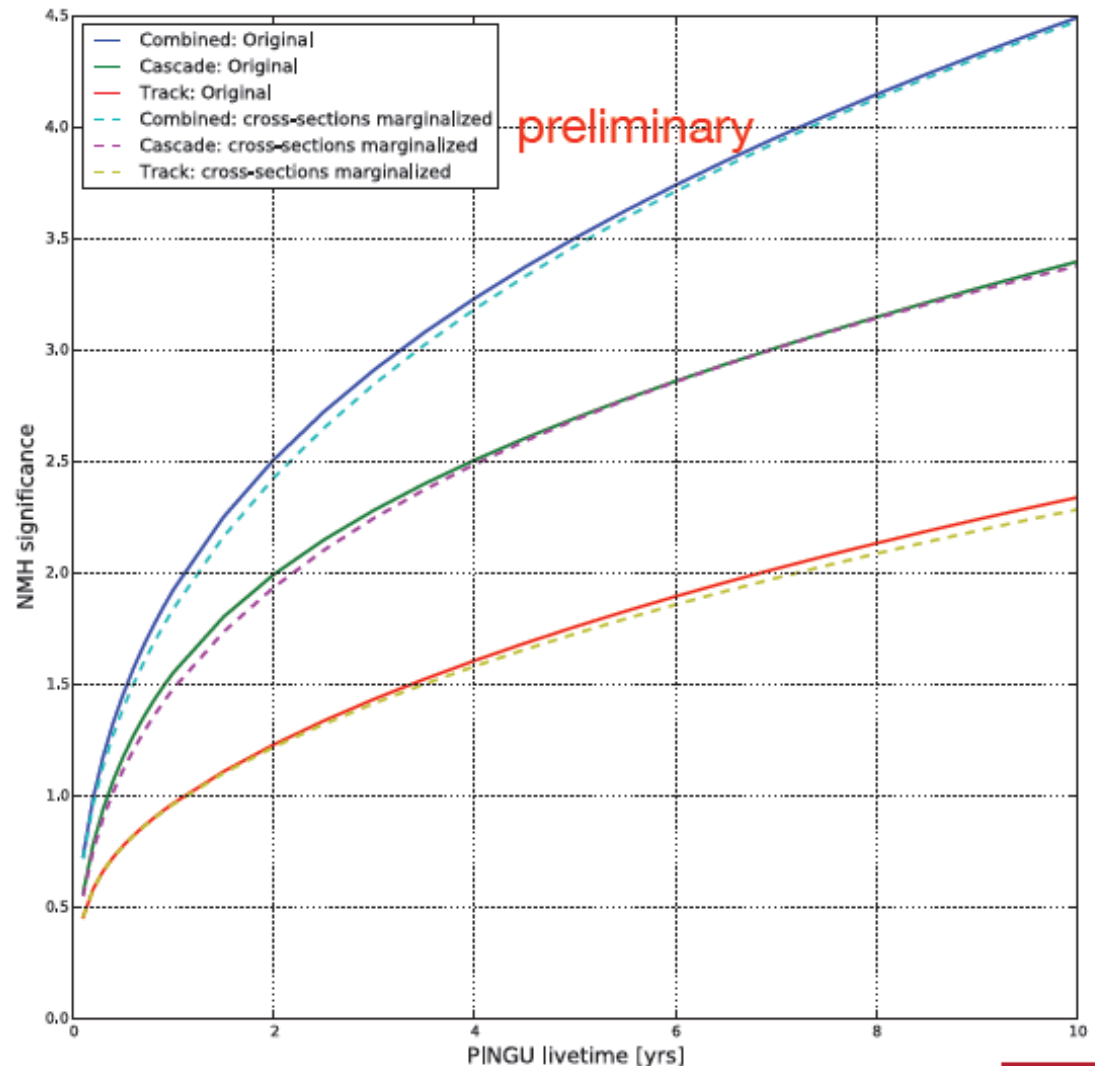
# Neutrino Interaction Uncertainties

## GENIE parameters

- biggest effects from uncertainty in:
  - ▶ Bodek-Yang higher twist parameters
  - ▶ axial mass term for hadron resonance production
- ad hoc scalings still included
  - ▶ may be over-counting

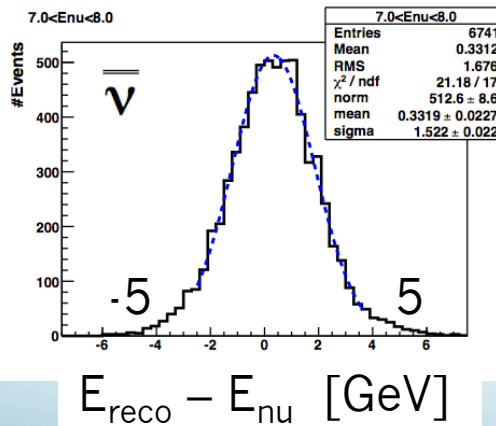
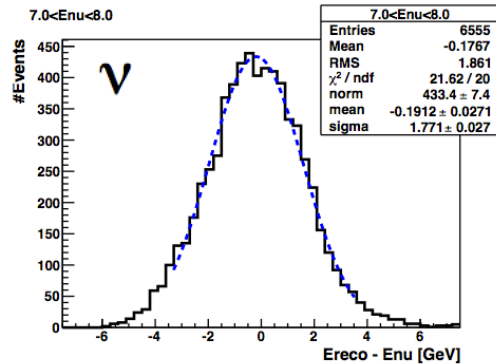
## Result

- small additional effect to effective x-section uncertainties



# Preliminary performances ( $\nu_e$ )

$7 < E_{\nu} < 8 \text{ GeV}$



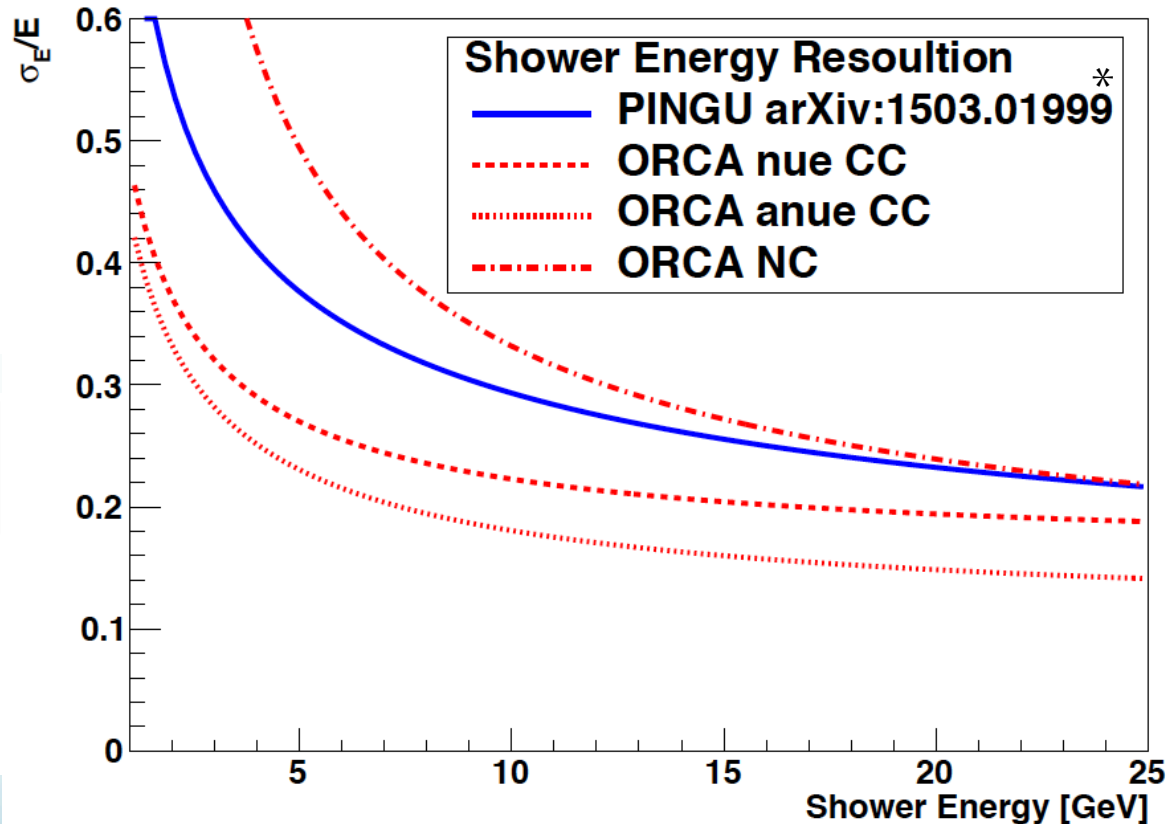
$E_{\text{reco}} - E_{\nu} \text{ [GeV]}$

$\sigma/E$   
 $\sim 24\%$

KM3NeT Collaboration



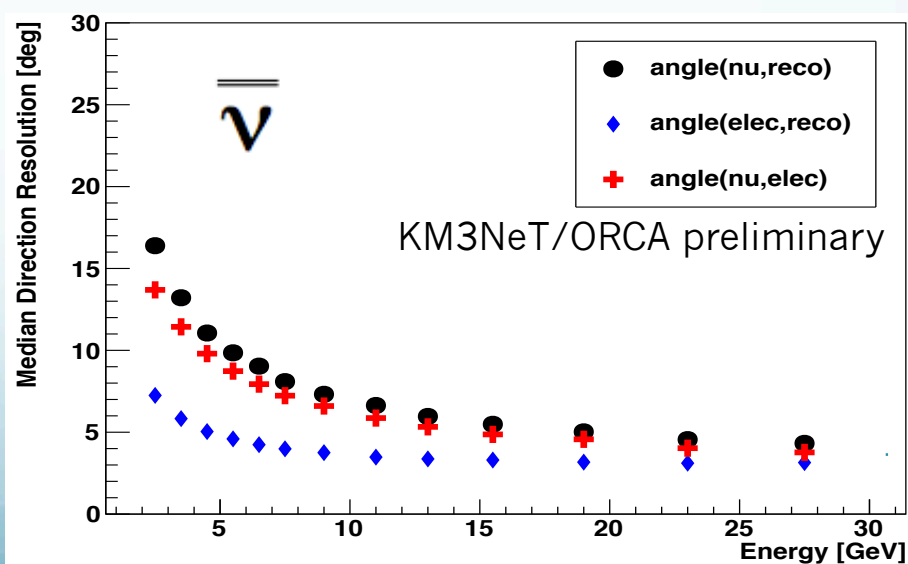
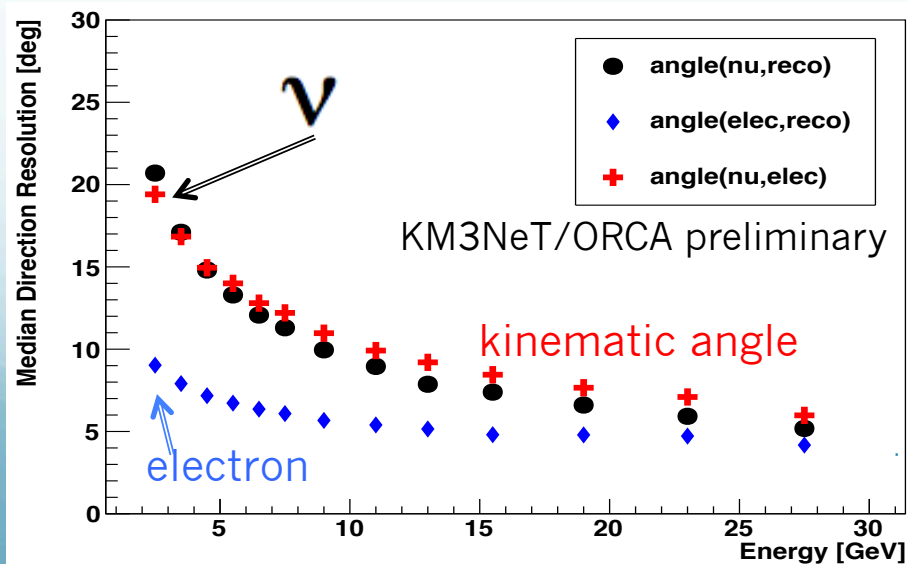
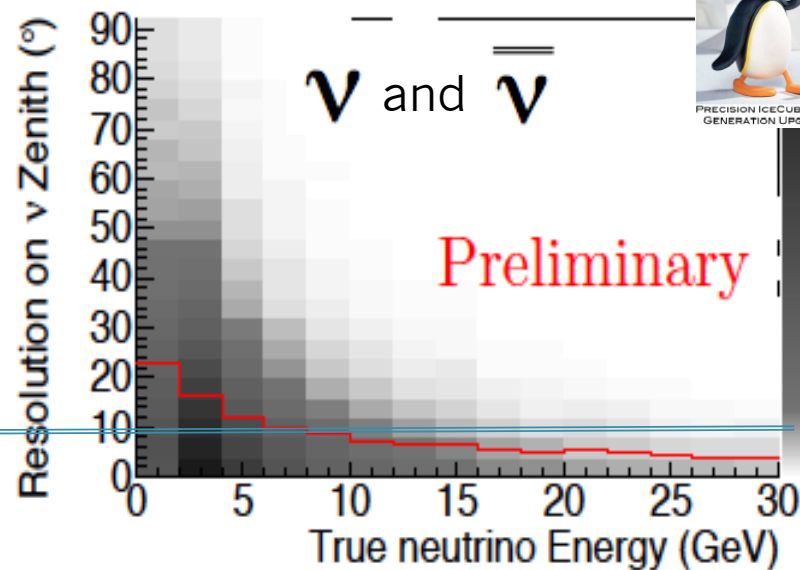
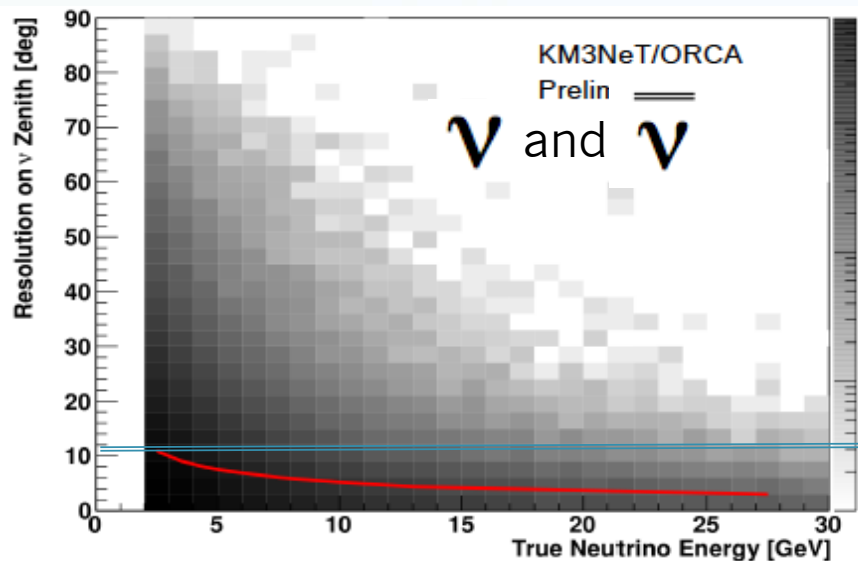
$\sigma/E$   
 $\sim 20\%$



\*Possibly underestimated for PINGU



# Preliminary performances ( $\nu_e$ )





# Preliminary performances ( $\nu_e$ )

