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Outline of these lectures

- 1) Introduction: Motivation, highlights of neutrino history 2) Majorana or Dirac ?
- 3) Neutrino oscillations: phenomenology and experiments (solar neutrinos)
 - 4) Recent progress in neutrino oscillations (accelerator-reactors)
 - Measurement of absolute neutrino mass Leptogenesis (optional)

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References

- B. Kayser The physics of massive neutrinos 1989
- E. Akhmedov Neutrino Physics hep-ph/0001264
- A. Strumia F. Vissani "Neutrino masses and mixing and ..." hep-ph/0606054
- A very good reference for Diracology: L. Landau Relativistic Quantum Theory
- And I used material from talks by: J. Bouchez, H. Murayama, B. Kayser ...
- Thanks to Michele Frigerio for useful discussions

Neutrino physics: a century of discoveries

- 1895 : H. Becquerel discovers radioactivity
- 1930 : Pauli formulates the hypothesis of a neutral weakly interacting particle: the concept of the neutrino is born
- 1956 : <u>observation of neutrino</u> at a nuclear reactor
- 1968 ->solar neutrino deficit, atmospheric neutrino anomaly, 30 years of experiments
- 1996-2002 : measurement of neutrino oscillations => neutrinos are massive and they mix
- 2011 Measurement of θ_{13} mixing angle
- Why are neutrinos so special ?



Mesure

Beyond the Standard Model with neutrinos

- Standard Model = Gauge symmetry group (SU(3)xSU(2)xU(1)) +Lorentz+renormalizability
- Give up the renormalizability
- Effects of physics beyond the SM as effective operators
- Can be classified systematically (Weinberg)

$$\mathcal{L} = \mathcal{L}_{\mathrm{SM}} + rac{1}{\Lambda}\mathcal{L}_5 + rac{1}{\Lambda^2}\mathcal{L}_6 + \cdots$$

Lowest order effect of physics at short distances !

$$\mathcal{L}_5 = (LH)(LH) \to \frac{1}{\Lambda} (L\langle H \rangle)(L\langle H \rangle) = m_{\nu} \nu \nu$$

$$\mathcal{L}_{6} = QQQL, \bar{L}\sigma^{\mu\nu}W_{\mu\nu}He,$$
$$W^{\mu}_{\nu}W^{\nu}_{\lambda}B^{\lambda}_{\mu}, (H^{\dagger}D_{\mu}H)(H^{\dagger}D^{\mu}H), \cdots$$

Ubiquitous relic neutrinos

Every particle was in equilibrium in the primordial plasma : traces (« relic ») in the actual universe (cf. CMB) $e^+ Z^{\circ} \nearrow^{\nu}$



We float in a neutrino gas : ~300/cm³

Neutrinos are the most copious fermionic (matter) particles (10⁹ more than protons and neutrons) Mass comparable to all the stars ! Important <u>consequences on the structures (galaxies,</u> clusters)

However very difficult to detect

We need to know neutrinos (masses, interactions) to understand the universe



Results confirmed by the PLANCK data

Cosmic Microwave Background probes Neutrinos

WMAP 5-year data





Neutrino and the origin of matter

The universe today contains very little antibaryons $(N_{Bar} >> N_{Bar})$ while the initial state is supposed to be symmetric $(N_{Bar} = N_{Bar})$.



Andrei Sakharov

- How can we explain dynamically the observed baryon number B ?
 Fukugita and Yanagida PLB174 45 (1986)
- Sakharov conditions (1967): Leptogenesis = Baryons from neutrinos



The Beta spectrum



Is energy conserved in this process ?

Neutrino: ein verzweifelter Ausweg!



Wolfgang Pauli

Offener Brief en die Gruppe der Radioaktiven boi der Genvereins-Tagung zu Bibingen.

Absobrift

Physicalisation Institut der Eidg. Technischen Hochschule Gurich

Dirich, h. Des. 1930 Dioriastrasse

Liebe Radiositive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren auseinendersetsen wird, bin ich angesichte der "falschen" Statistik der %- und Li-6 Korne, sowie dee kontinuigriichen beta-Spektrung auf einen versweifelten Ausweg varfallen um den "Vecheelsate" (1) der Statistik und den Energienats w retten. Minlich die Moglichkeit, en künnten elektrisch neutrels Twildben, die ich Neutronen nennen will, in den Lernen axistieren, velohe dan Spin 1/2 haban and das Ausschliessungsprinzip befolgen und sian von idehtonanten maserdan noch dadurch unterscheiden, dass sie daht wit Lichtgeschwindigkeit laufen. Die Masse der Neutronen the won dersubben Gross mordning wie die ilectronemense sain und simfalls might grosser als 0.01 Protonersessan Das kontinuiorliche beine Spektrum wäre dann varständlich unter der Annahme, dass bein beta-ZerCall wit dem Alextron jeweils noch ein Meutron swittiert wird, derart, dass die Sume der Instylen von Mentron und Micktron konstant ist.

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Neutrino: a desperate solution!

4th December 1930

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and ⁶Li nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass (and in any event not larger than 0.01 proton masses). The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

look and judge. Unfortunately I will not be able to appear in Tübingen personally, because I am indispensable here due to a ball which will take place in Zürich during the night from December 6 to 7....

Your humble servant, W. Pauli

"I have done something very bad today by proposing a particle that cannot be detected; it is something no theorist should ever do."

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Wolfgang Pauli

To save the conservation of energy





The Energy of the " β "

- If the above picture is complete, conservation of energy in this two body decay predicts monochromatic β
 - but a continuous spectrum had been observed (since 1914)
- Pauli suggests "neutron" takes away energy!
- "The exchange theorem of statistics", by the way, refers to the fact that a spin½ neutron can't decay to an spin½ proton + spin½ electron

First theory of beta decays

- Fermi 1934 Z.f. Physik 88, 161
- Paper rejected by Nature: "it contains speculations too remote from reality to be of interested for the reader" ...
- Fermi was so shocked that he turned after this to experimental physics !
- Four fermions contact interaction (no propagator) of the form

$$H_W = G_F / \sqrt{2} \, \overline{\psi}_p \, \psi_n \, \overline{\varphi}_\nu \, \varphi_e$$

n->p e- nubar

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 Allows to predict other processes like inverse beta decay (p nu bar → e+n), electron capture

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Correct order of magnitude for the neutrino cross section (10-44

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Fermi 4 fermion interaction



$$L_{weak} = G_F(\overline{\psi}_p \gamma_\mu \psi_n)(\overline{\psi}_e \gamma_\mu \psi_\nu)$$

$$L_{QED} = -e(\overline{\psi}_e \gamma_\mu \psi_e) A^\mu$$

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How weak are weak interactions?

- $\sigma(vp) \sim 10^{-44}$ cm² to be compared to typical (γp) cross-section of 10^{-24} cm²
- Mean free path in steel of a 1 MeV neutrino is 10 light years !
- We need a VERY strong neutrino source to detect a signal
- Typical reactor thermal energy: 900 MW (electric)
 ->2800 MW (thermal)
- Typical energy release in a fission process: 200 MeV
 Average number of beta decays per fission: 6
 Neutrino/s = 6 2800 10⁶ / (200 10⁶ 1.6 10⁻¹⁹) ~ 5 10²⁰ v/s



Weak interactions (Feynman & Gell Mann,1958)



Weak interactions are maximally Parity violating
Only Left-handed fermions participating in these interactions

$$L_{weak} = \frac{G_F}{\sqrt{2}} (\overline{\psi}_p \gamma_\mu (1 - \gamma_5) \psi_n) (\overline{\psi}_e \gamma^\mu (1 - \gamma_5) \psi_v)$$

Projects on left-handed states
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Helicity

- A spin ½ fermion is characterized by its momentum p and its spin s
- We can label the states by the projection of the spin along the momentum: this is called helicity (h=s ·p)
- h=+1 (-1) right (left)-handed



Discrete symmetries and weak interactions

•Parity P : P(r) = -r, P(p) = -p, P(l) = l•Charge conjugation C : transforms a particle in an antiparticle (reverses all the charges)

•Time inversion T : T(t)=-t



Weak interactions produce left-handed neutrinos (and right handed antineutrinos)

NB For weak interactions, the left-handed states are defined by chirality which corresponds to helicity only for massless particles, otherwise a left-handed state corresponds to helicity h=-1 up to terms of order o(m/E)

Gauge symmetry: $SU(3)_{c} \times SU(2)_{L} \times U(1)$



The mass of the neutrino

 Let us start from a massive left-handed neutrino which we have observed

V

 Let us start from a massive left-handed neutrino which we have observed, then apply CPT



 Let us start from a massive left-handed neutrino which we have observed
 Lorentz boost



 Let us start from a massive left-handed neutrino which we have observed
 Lorentz boost



Is this a new state ?

Let us start from a left-handed neutrino which we have observed <u>Lorentz boost</u>



Is this a new state ?



Yes. Dirac neutrino 4 states: particle-antiparticle, 2 helicities

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No. Majorana neutrino2 states: particle=antiparticle,2 helicities

Physical meaning of Dirac vs Majorana

Strumia-Vissani

- Suppose we have a v_{μ} at rest with spin down
- Imagine (not very simple to realize ...) that we can accelerate it upwards to relativistic energy
- Then by charged current it will interact on the ceiling producing a mu-
- Accelerating it downward it will
 - Produce a mu+ if Majorana (it will interact in the same way as what we call "antineutrino")
 - Have no interaction if Dirac



Dirac vs Majorana neutrino in terms of Quantum Field Theory

- To understand the Dirac versus Majorana neutrino difference we need to
 - 1. go back to the Dirac equation
 - 2. Define the chirality projectors
 - 3. define the charge conjugation operator
 - Check what happens to chirality under charge conjugation
 - See if we can define a massive spin ½ field using only one chiral projection (=Weyl field)
 - 6. Study possible mass terms for this field

1 The Dirac equation

$$\begin{pmatrix} \gamma^{\mu} p_{\mu} - M \end{pmatrix} \psi = 0$$
 The Dirac equation for a final $u(\vec{p}, s) = \begin{bmatrix} 1 \\ \vec{\sigma} \cdot \vec{p} \\ E + M \end{bmatrix} \chi_{s} \quad s = \pm \frac{1}{2}$ 4 independent u describes para $v(\vec{p}, s) = \begin{bmatrix} \vec{\sigma} \cdot \vec{p} \\ E + M \\ 1 \end{bmatrix} \chi_{sC}$ 4 independent u describes para $\chi_{s=1/2} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \chi_{s=-1/2} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \chi_{s=-1/2} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \chi_{s=-1/2} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$

ree fermion

t solutions for momentum p rticles, v antiparticles

1 The gamma matrices (Pauli-Dirac representation)

$$\begin{pmatrix} \gamma^{\mu} p_{\mu} - M \end{pmatrix} \psi = 0$$

$$\gamma^{0} = \begin{bmatrix} I & 0 \\ 0 & -I \end{bmatrix} \quad \gamma^{i} = \begin{bmatrix} 0 & \sigma^{i} \\ -\sigma^{i} & 0 \end{bmatrix}$$

$$\gamma^{\mu} \gamma^{\nu} + \gamma^{\nu} \gamma^{\mu} = 2g^{\mu\nu}$$

$$\gamma^{0^{+}} = \gamma^{0} \quad \gamma^{i^{+}} = -\gamma^{i}$$

$$\gamma^{5} \equiv -i\gamma^{0}\gamma^{1}\gamma^{2}\gamma^{3} = \begin{bmatrix} 0 & -I \\ -I & 0 \end{bmatrix} \quad \gamma^{\mu}\gamma^{5} + \gamma^{5}\gamma^{\mu} =$$

$$\gamma^{5^{+}} = \gamma^{5} \quad \gamma^{5^{2}} = I$$

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2 Chirality and helicity

$$P_{R} = \frac{1 - \gamma_{5}}{2} \quad P_{L} = \frac{1 + \gamma_{5}}{2} \quad \text{Chirality projectors} \\ P_{L}^{2} = P_{L} \quad P_{L}^{2} = P_{R} \quad P_{L}P_{R} = P_{R}P_{L} = 0 \quad P_{R} + P_{L} = 1 \quad \Psi = \begin{pmatrix} \Psi_{L} \\ \Psi_{R} \end{pmatrix} \\ P_{\pm} = \frac{1}{2} \begin{pmatrix} \overrightarrow{\sigma} \cdot \overrightarrow{p} \\ 1 \mp \frac{\overrightarrow{\sigma} \cdot \overrightarrow{p}}{p} \end{pmatrix} \quad \text{Helicity projectors}$$

2 Why $(1+\gamma^5)$ select left-handed states

$$\begin{split} \psi_{L} &= \frac{1}{2} (1 + \gamma^{5}) \psi \\ (\gamma^{\mu} p_{\mu} - m) \psi &= (E\gamma^{0} - p\gamma - m) \psi = 0 \\ \psi &= \begin{pmatrix} \varphi \\ \chi \end{pmatrix} \quad \chi = \frac{\sigma \cdot p}{E + m} \varphi \\ \psi_{L} &= \frac{1}{2} (1 + \gamma^{5}) \psi = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \varphi \\ \chi \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \varphi - \chi \\ \chi - \varphi \end{pmatrix} \\ \varphi - \chi &= (1 - \frac{\sigma \cdot p}{E + m}) \varphi \\ \varphi - \chi &= (1 - \sigma \cdot n) \varphi \text{ In the limit E>>m, where n//p} \\ \varphi - \chi &= (1 - \sigma_{z}) \varphi = 2 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \varphi \text{ For z//p, then } \psi \text{ has spin along -p} \\ \text{EIPS Lyon 2014} \qquad \text{Marco Zito} \qquad 32 \end{split}$$

3 Charge conjugation

$$(\gamma^{\mu} p_{\mu} - M) \psi(x) = 0$$

$$(\gamma^{\mu} (p_{\mu} - ieA_{\mu}) - M) \psi(x) = 0$$

$$(\gamma^{\mu} (p_{\mu} + ieA_{\mu}) - M) \psi^{C}(x) = 0$$
For

We would like to find a spinor satisfying a similar equation but for the charge conjugate particle (+e)

For a charged fermion (-e)

 Ψ^* because this is a « negative energy » solution

$$\psi^{C} = \Omega \overline{\psi}^{T} \quad \Omega \equiv i \gamma^{2} \gamma^{0}$$

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3-4 Properties of the charge conjugation

$$C: \psi \rightarrow \psi^{c} = \Omega \overline{\psi}^{T} \quad \overline{\psi} = \psi^{*} \gamma^{0} \quad \text{Definition of particle-antiparticle} \\ \Omega = i \gamma^{2} \gamma^{0} \\ \Omega^{+} = \Omega^{T} = \Omega^{-1} = -\Omega \quad \Omega^{-1} \gamma^{\mu} \quad \Omega = -\gamma^{\mu^{T}} \quad \text{Properties of the } \Omega \text{ matrix} \\ \left(\psi^{c} \right)^{c} = \psi \quad \overline{\psi^{c}} = \psi^{T} \Omega \quad \overline{\psi_{1}} \psi^{c}_{2} = \overline{\psi^{c}_{2}}^{c} \psi_{1} \\ \overline{\psi_{1}} \quad A \psi_{2} = \overline{\psi^{c}_{2}}^{c} \left(\Omega^{-1} A \Omega^{-1} \right) \psi^{c}_{1} \\ \end{array}$$

$$(\boldsymbol{\psi}_L)^{C} = (\boldsymbol{\psi}^{C})_{R} (\boldsymbol{\psi}_R)^{C} = (\boldsymbol{\psi}^{C})_{L}$$
 C flips chirality

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5 Introducing a mass term

$$-\mathbf{L}_{m} = m\psi\psi = m\psi_{L}\psi_{R} + m\psi_{R}\psi_{L}$$

The mass term couples right and left components: a massive fermion has both!

Two solutions:

 $\psi = \psi_L + \psi_R$

- a) Dirac case, the right hand component is completely independent of the left handed
- b) Majorana case: the right handed is the C conjugated of the left handed

$$\psi_{R} = \left(\psi_{L} \right)^{C} = \left(\psi^{C} \right)_{R}^{C} \text{ or }$$

$$\eta \text{ is a phase factor }$$

$$\psi = \psi_{L} + \eta \left(\psi^{C} \right)_{R}^{C} \text{ from this follows that: }$$

$$\psi^{C} = \eta^{*} \psi \text{ A Majorana fermion coincides }$$

$$a \text{ really neutral particle }$$

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with its antiparticle:

5 The Dirac and Majorana fields

$$\begin{split} \Psi^{D}(x) &= \sum_{\vec{p},s} N(p,s) \Big[f(\vec{p},s) u(\vec{p},s) e^{ipx} + \vec{f}^{+}(\vec{p},s) v(\vec{p},s) e^{-ipx} \Big] \quad \text{Dirac} \\ \Psi^{M}(x) &= \sum_{\vec{p},s} N(p,s) \Big[f(\vec{p},s) u(\vec{p},s) e^{ipx} + \mathcal{A} f^{+}(\vec{p},s) v(\vec{p},s) e^{-ipx} \Big] \quad \text{Majorana} \\ f(\vec{p},s) & \text{Annihilation operator of} \\ \vec{f}^{+}(\vec{p},s) & \text{Creation operator of particle}^{\text{f}} \Big] \end{split}$$

6 Majorana mass term

Let us start from a Dirac field ψ

 $-\mathbf{L}_{m} = m\overline{\psi}\psi = m\overline{\psi}_{L} \ \psi_{R} + m\overline{\psi}_{R} \ \psi_{L}$ This is the usual Dirac mass term $\psi = \psi_{L} + \psi_{R}$ $\psi_{L} \ \psi_{R} \ \left(\psi^{C}\right)_{L} \ \left(\psi^{C}\right)_{R} \qquad \text{What are all the Lorentz} invariant terms that we can build by combining one of these terms with those ?}$

 $\chi_L \psi_L = 0$ Notice that this kind of bilinears are 0 because gamma5 anticommutes with the other gammas

 $\overline{\psi_L} \psi_R \left(\overline{\psi_L^C} \right)_L \psi_R \left(\overline{\psi_L^C} \right)_L \psi_R \quad \left(\overline{\psi_L^C} \right)_L \psi_L \quad \text{These (+hc) are all possible mass term}$

Majorana mass term

$$-\mathbf{L}_{m} = m\overline{\psi}\psi = m\psi_{L}\psi_{R} + m\psi_{R}\psi_{L}$$

$$\overline{\psi}_{L}\psi_{R}\left(\overline{\psi}^{C}\right)_{L}\psi_{R}\left(\overline{\psi}^{C}\right)_{R}\psi_{L}$$
Majorana mass terms
$$-L_{m} = M_{D}\left[\overline{\psi}_{L}\psi_{R} + hc\right] + \frac{M_{L}}{2}\left[\left(\overline{\psi}^{C}\right)_{R}\psi_{L}\right] + \frac{M_{R}}{2}\left[\left(\overline{\psi}^{C}\right)_{L}\psi_{R}\right]$$

This is the most general lagrangian mass term for this field

$$\begin{split} & \psi_L \,\psi_R \\ & \left[\left(\overline{\psi^C} \right)_R \psi_L \right] = \overline{(\psi_L)^c} \psi_L = \psi_L^{\ T} \Omega \psi_L \\ & \left[\left(\overline{\psi^C} \right)_L \psi_R \right] = \overline{(\psi_R)^c} \psi_R = \psi_R^{\ T} \Omega \psi_R \\ & \text{EIPS Lyon 2014} \\ \end{split}$$

$$\begin{aligned} & \text{Marco Zito} \\ \end{split}$$

$$\begin{aligned} & \text{These terms violate a U(1) global symmetry} \\ & \varphi \to e^{i\alpha} \varphi \\ & \bullet \\ & \text{Majorana field} \\ & 38 \end{aligned}$$

$$-L_{m} = M_{D} \left[\overline{\Psi_{L}} \Psi_{R} + hc \right] + \frac{M_{L}}{2} \left[\left(\overline{\Psi^{C}} \right)_{R} \Psi_{L} \right] + \frac{M_{R}}{2} \left[\left(\overline{\Psi^{C}} \right)_{L} \Psi_{R} \right]$$

$$f \equiv \frac{\psi_L + (\psi_L)^c}{\sqrt{2}} \quad F \equiv \frac{\psi_R + (\psi_R)^c}{\sqrt{2}}$$
$$-L = (\overline{f}, \overline{F}) \begin{pmatrix} M_L & M_D \\ M_D & M_R \end{pmatrix} \begin{pmatrix} f \\ F \end{pmatrix}$$

Reparameterization in terms of the fields f and F: from the definition it is clear that they are Majorana fields

1 Dirac field (4 dof) -> 2 Majorana fields (2x2 dof)

$$\begin{split} \psi_{L} \psi_{R} & |_{L} = 1/2 \quad |_{R} = 1/2 \\ \left[\left(\overline{\psi}^{C} \right)_{R} \psi_{L} \right] = \overline{(\psi_{L})^{c}} \psi_{L} = \psi_{L}^{T} \Omega \psi_{L} \quad |_{L} = 1 \quad |_{R} = 0 \\ \left[\left(\overline{\psi}^{C} \right)_{L} \psi_{R} \right] = \overline{(\psi_{R})^{c}} \psi_{R} = \psi_{R}^{T} \Omega \psi_{R} \quad |_{L} = 0 \quad |_{R} = 1 \\ Ouantum numbers under SU(2), xSU(2)_{R} \end{split}$$

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m_v=510⁻² eV =>M=~10¹⁵ GeV The see-saw relation

M₁ term set to 0 from phenomenological constraint Expect $M_{R} >> M_{D}$ (electroweak symmetry breaking) $M = \begin{pmatrix} 0 & M_D \\ M_D & M_R \end{pmatrix}$ **M**⁵SM 50 U(1)40 $M_N \cong M_R$ ¯σ⁻³⁰ SU(2) $M_{\nu'} \cong -\frac{M_D^2}{M_R}$ Eigenvalues 20 SU(3)10 $\begin{smallmatrix} 0 \\ 10^2 \\ 10^4 \\ 10^6 \\ 10^8 \\ 10^{10} \\ 10^{12} \\ 10^{14} \\ 10^{16} \\ 10^{18} \\ 10^{18}$ μ (GeV) See-saw relation: the neutrino is $M_{v} \cong \frac{M_{D}}{M_{z}}$ Redefining the v' field light because there is a very heavy neutral lepton

$$\mathcal{L}_5 = (LH)(LH) \to \frac{1}{\Lambda}(L\langle H \rangle)(L\langle H \rangle) = m_{\nu}\nu\nu$$

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Lepton number violation

- The Standard Model is invariant under local transformations of the SU(3)C x SU(2)L x U(1) group
- In addition, it has these 4 global symmetries:
 - $U(1)_{B} U(1)_{U} U(1)_{Ui-Uj}$
- Majorana mass terms violate Lepton number by two units!!
- New processes are possible
- Neutrinoless double beta decay
- K+ ->π[·]μ⁺μ⁺



 0ν - $\beta\beta$ decay

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What we have learned today

- Neutral massive fermions are special quantum objects
- A Majorana neutrino represents an intrinsically neutral particle
- No "charge"-like quantum number
- If it is true, then we can avoid the term "antineutrino"
- The Majorana mass term breaks the lepton number by two units
- The see-saw mechanism explains beautifully why neutrino masses are light and suggests a very high mass scale (of the order of the Grand Unification Scale)
- It is therefore very important to find out whether neutrino are Majorana or Dirac