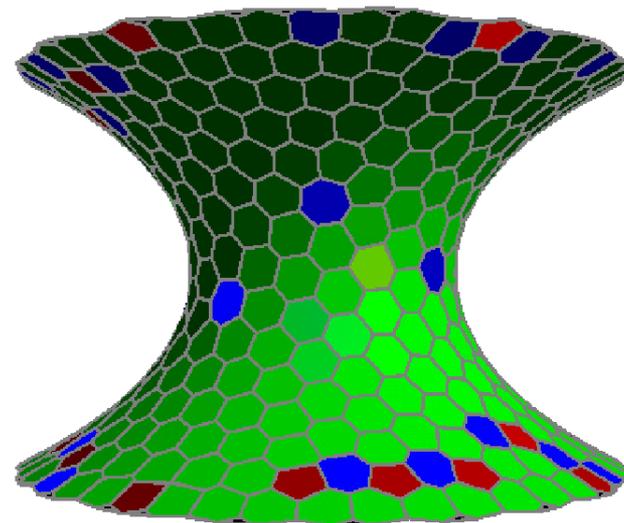
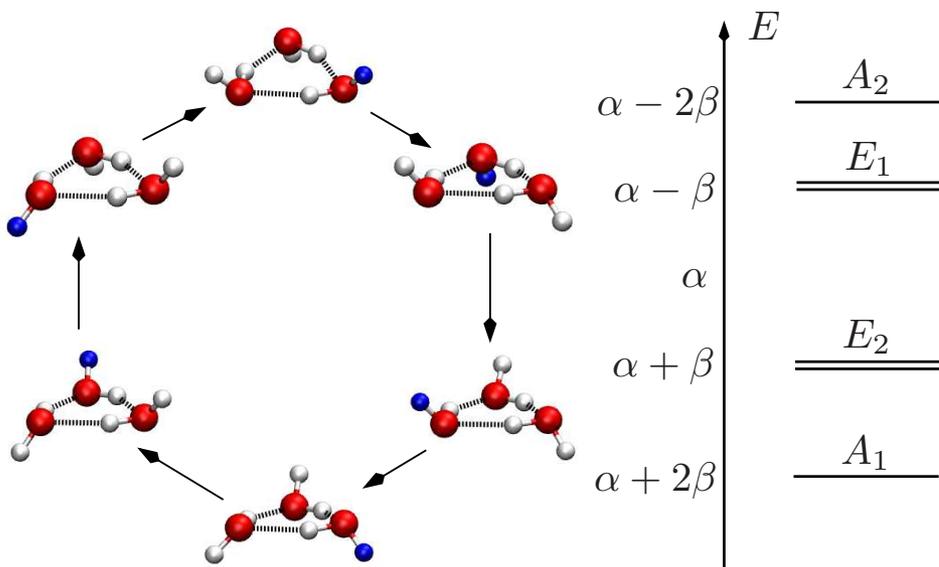


# Exploring Energy Landscapes: From Molecules to Nanodevices

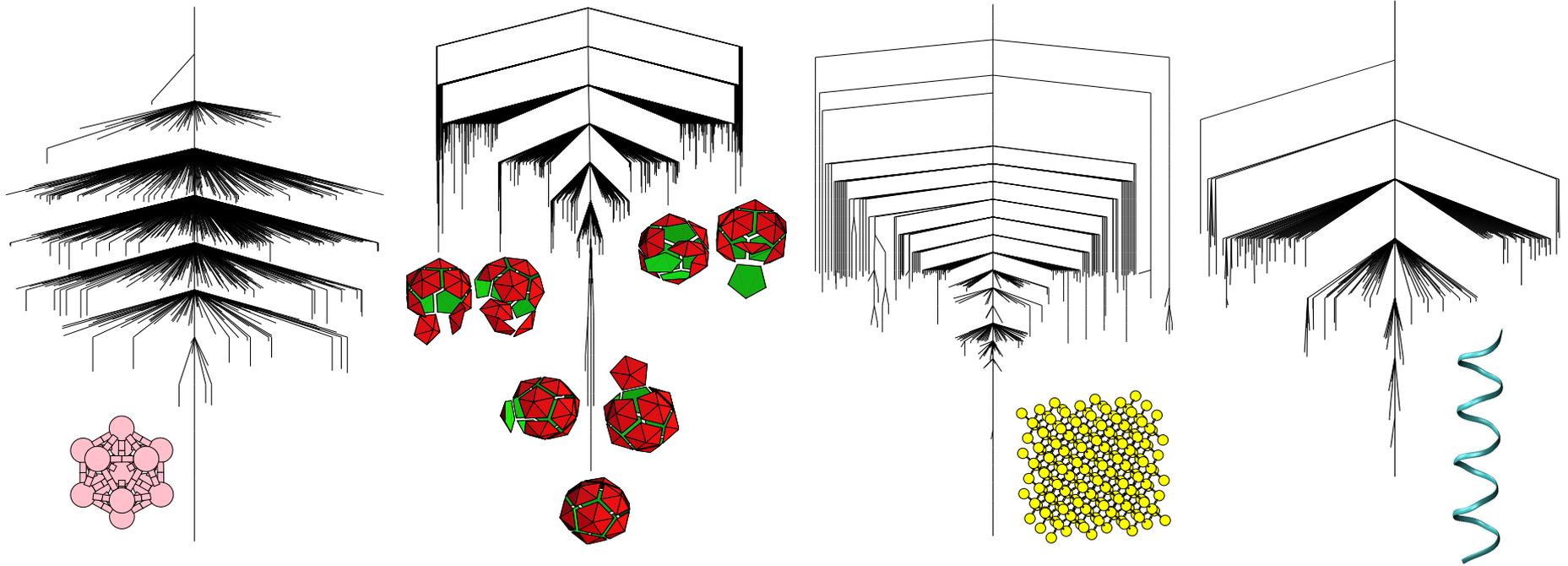
Objective: to exploit **stationary points** (minima and transition states) of the PES as a computational framework (*J. Phys. Chem. B*, **110**, 20765, 2006):

- **Basin-hopping** for global optimisation (*J. Phys. Chem. A*, **101**, 5111, 1997)
- **Basin-sampling** for global thermodynamics (*J. Chem. Phys.*, **124**, 044102, 2006)
- **Discrete path sampling** for global kinetics (*Mol. Phys.*, **100**, 3285, 2002)

For small molecules (**left**), all the relevant **stationary points** and **pathways** can be located. Larger systems (**right**) require appropriate sampling.



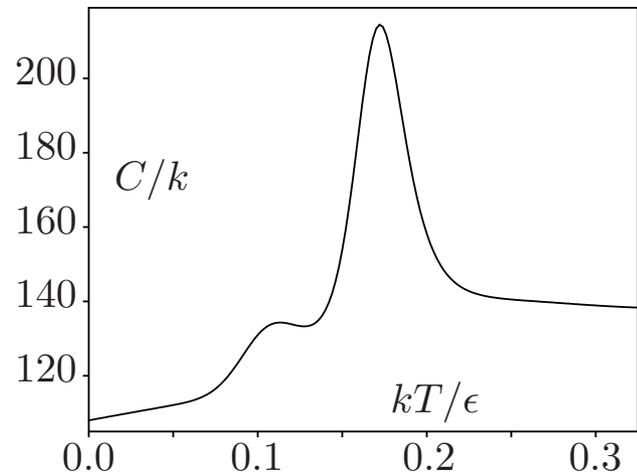
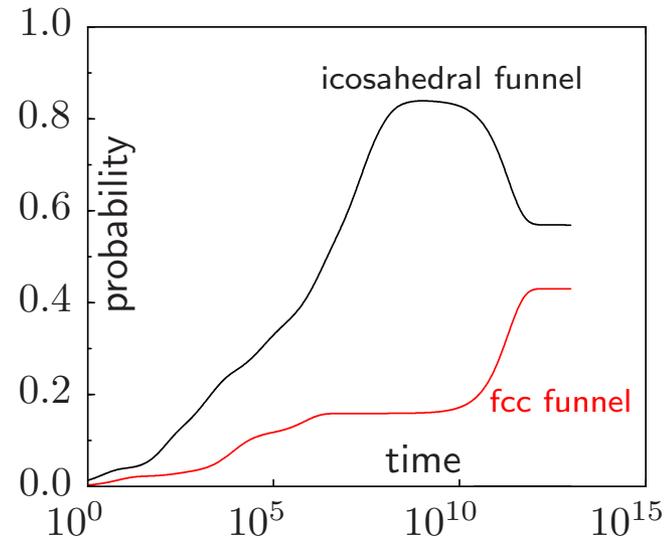
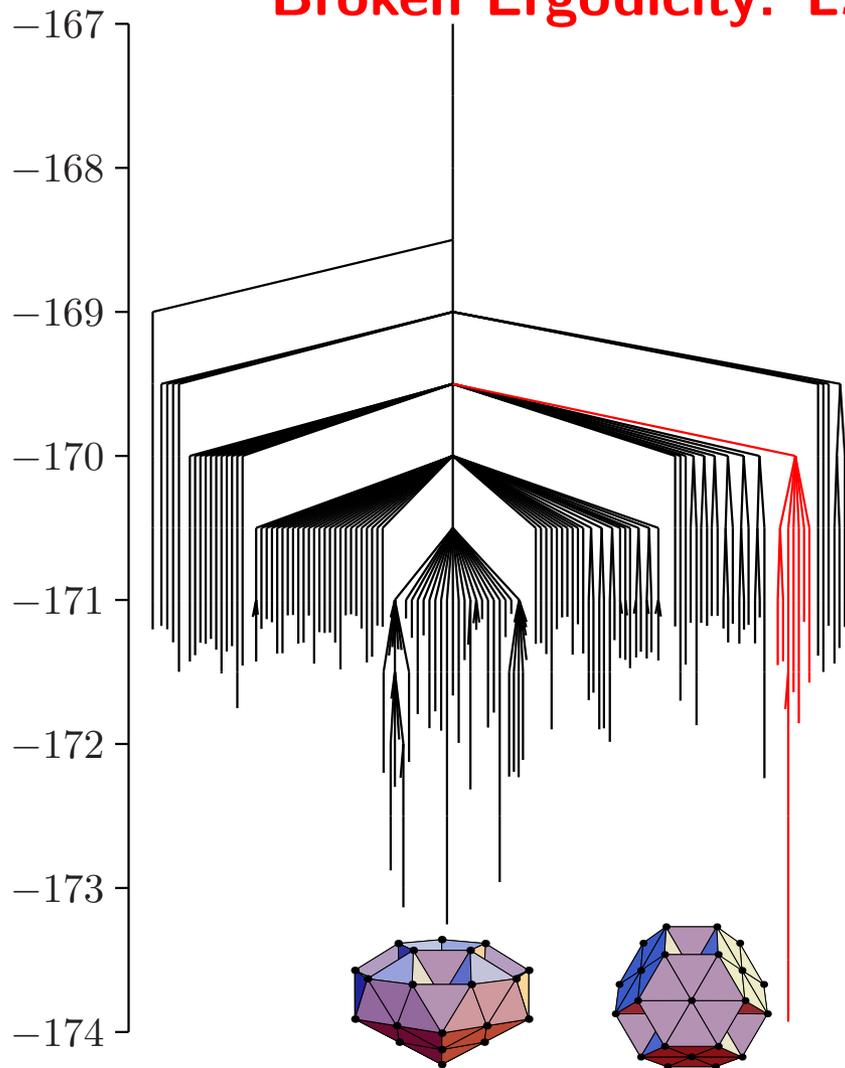
# Disconnectivity Graphs of 'Funnelled' Landscapes



The nonrandom searches that result in **magic number** clusters, **crystallisation**, **self-assembly**, and **protein folding** are associated with a 'palm tree' organisation of the potential energy landscape (*Phil. Trans. Roy. Soc. A*, **363**, 357, 2005).

This 'funnelling' pattern has been verified for various **structure-seeking** systems, including the **LJ<sub>13</sub>** cluster, **icosahedral shells** composed of pentagonal and hexagonal pyramids, crystalline (Stillinger-Weber) **silicon**, and the polyalanine **ala<sub>16</sub>**. **Large** systems can exhibit relatively **simple** phenomenology.

## Broken Ergodicity: $\text{LJ}_{38}$ (*Phys. Rev. E*, **60**, 3701, 1999)



$\text{LJ}_{38}$  exhibits a **double funnel** due to competition between icosahedral and truncated **octahedral** morphologies. The interconversion rate for  $\text{Ar}_{38}$  is calculated as  $55 \text{ s}^{-1}$  at 14 K where a **solid-solid** transition occurs.

# Basin-Hopping Global Optimisation: Structure Prediction

Transform the surface into basins of

attraction:  $\tilde{E}(\mathbf{X}) = \min E(\mathbf{X})$ .

Exploring  $\tilde{E}(\mathbf{X})$  using Monte Carlo

steps, following Li and Scheraga

(*PNAS*, **84**, 6611, 1987), produced

the lowest known minima

for all  $\text{LJ}_n$  with  $n \leq 110$

(*J. Phys. Chem. A*, **101**, 5111, 1997).

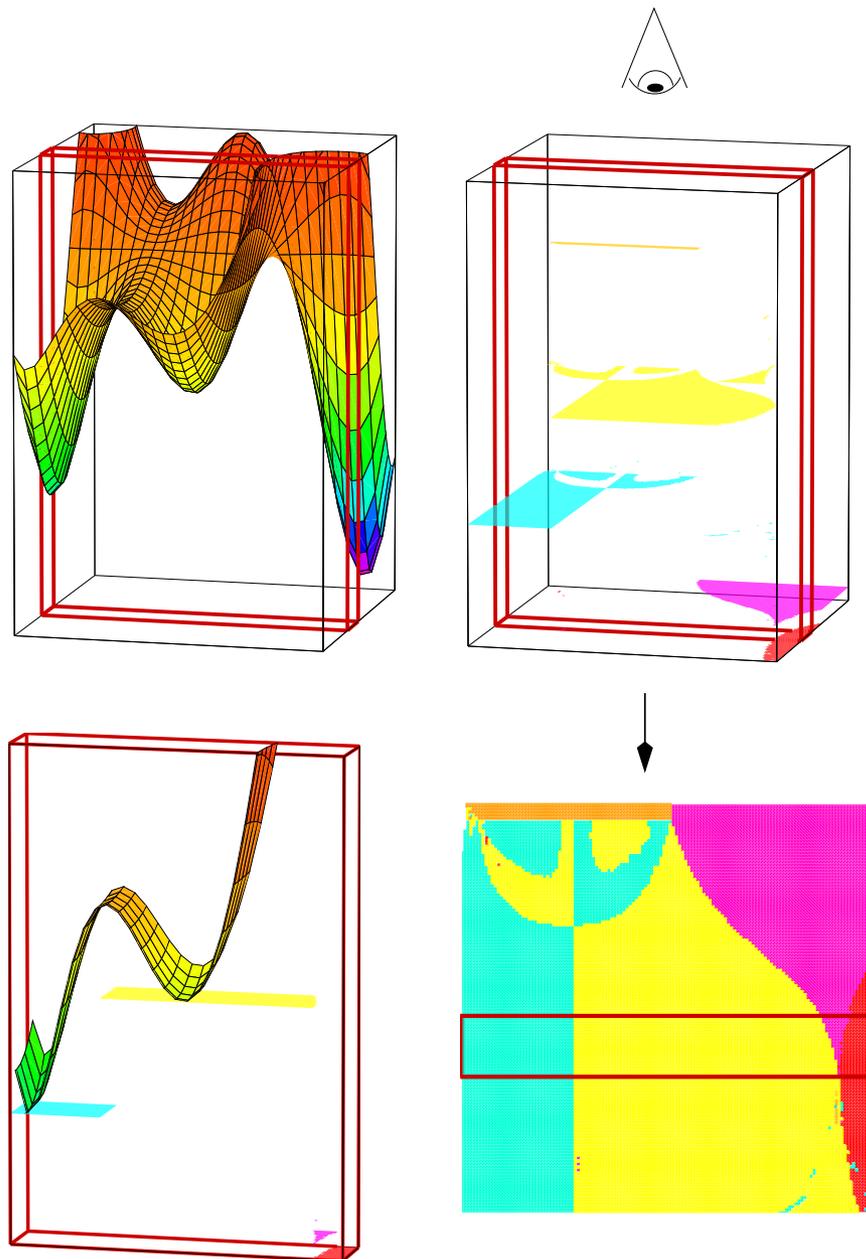
The transformation modifies both

kinetics and thermodynamics.

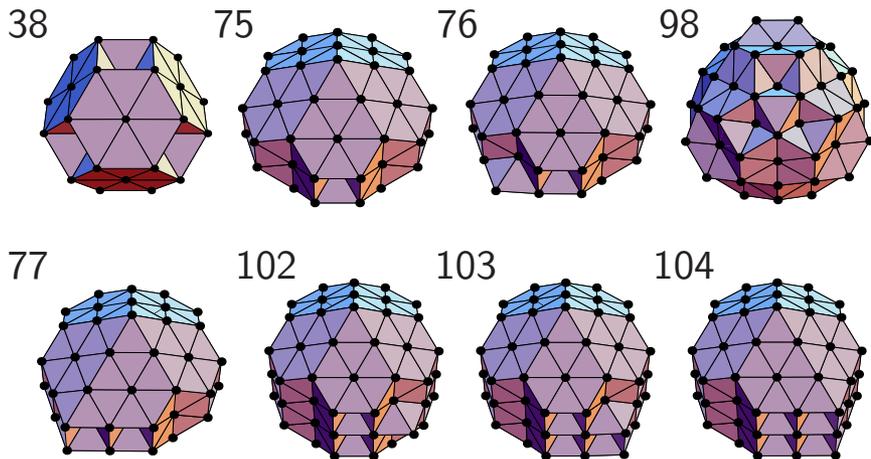
Fortran code (**GMIN**)

and database of structures:

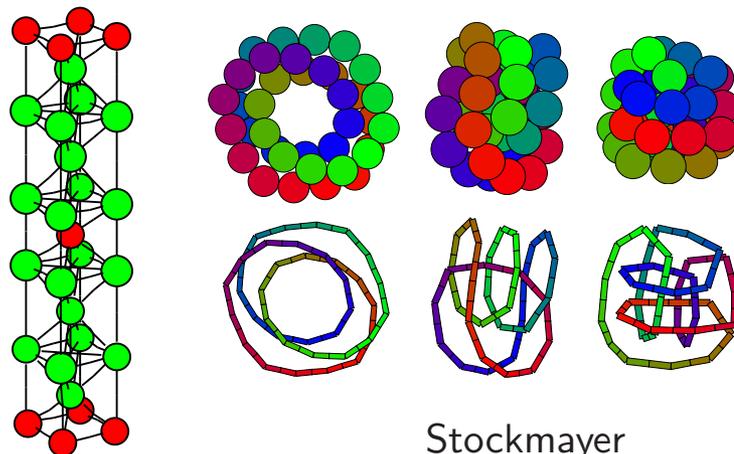
<http://www-wales.ch.cam.ac.uk>.



# Examples from the Cambridge Cluster Database Archives

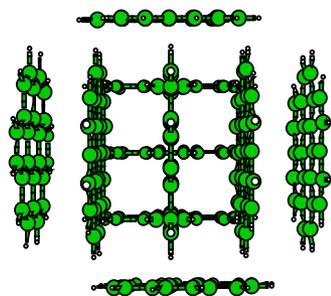


Non-icosahedral Lennard-Jones Clusters

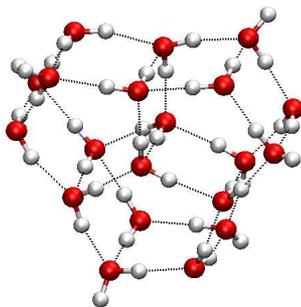


Binary LJ unit cell

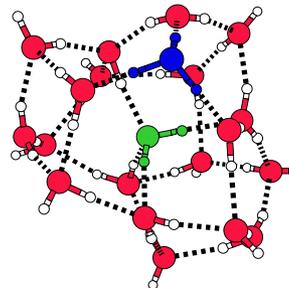
Stockmayer



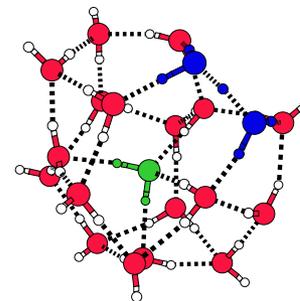
corronene<sub>10</sub>



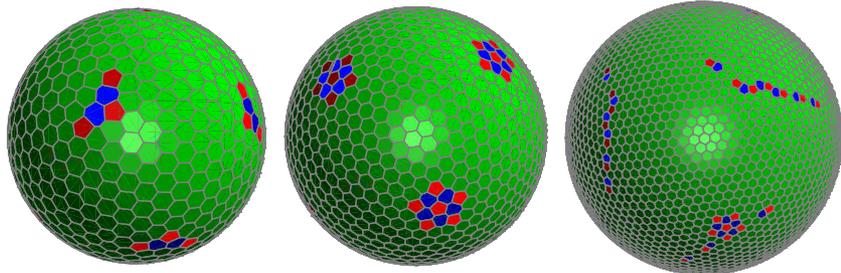
(H<sub>2</sub>O)<sub>20</sub>



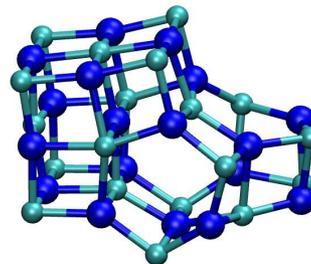
H<sub>3</sub>O<sup>+</sup>(H<sub>2</sub>O)<sub>20</sub> Eigen



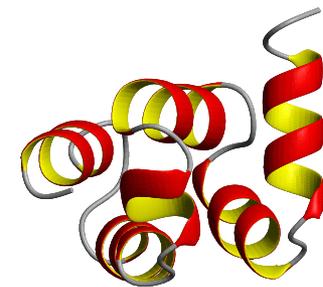
H<sub>3</sub>O<sup>+</sup>(H<sub>2</sub>O)<sub>20</sub> Zundel



Thomson problem

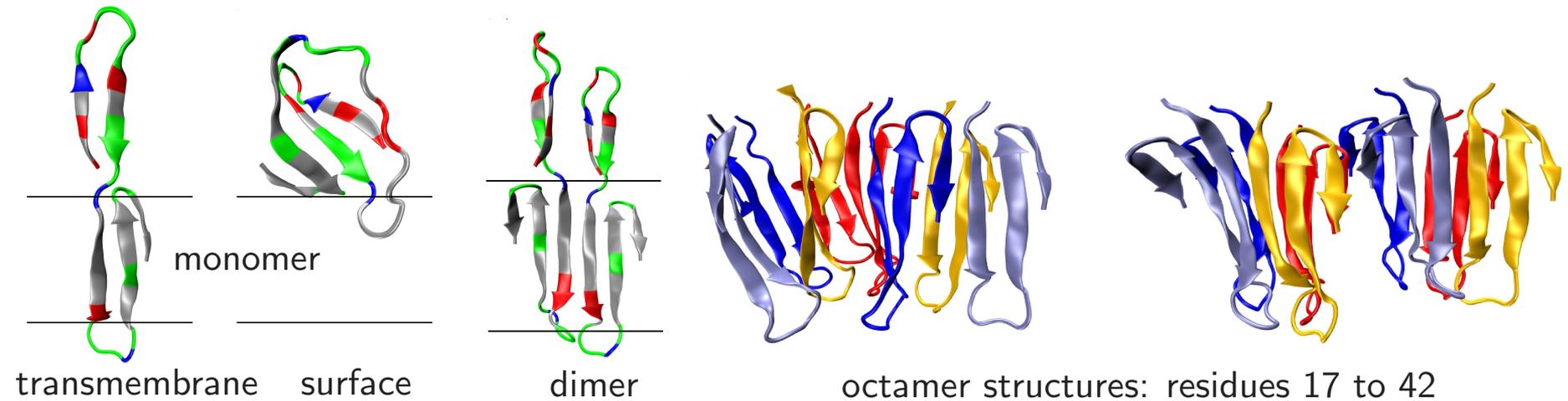


(NaCl)<sub>18</sub>Na<sup>+</sup>



HYPA/FBP11

## Transmembrane Oligomers of $A\beta_{1-42}$ (JACS, 132, 13300, 2010)

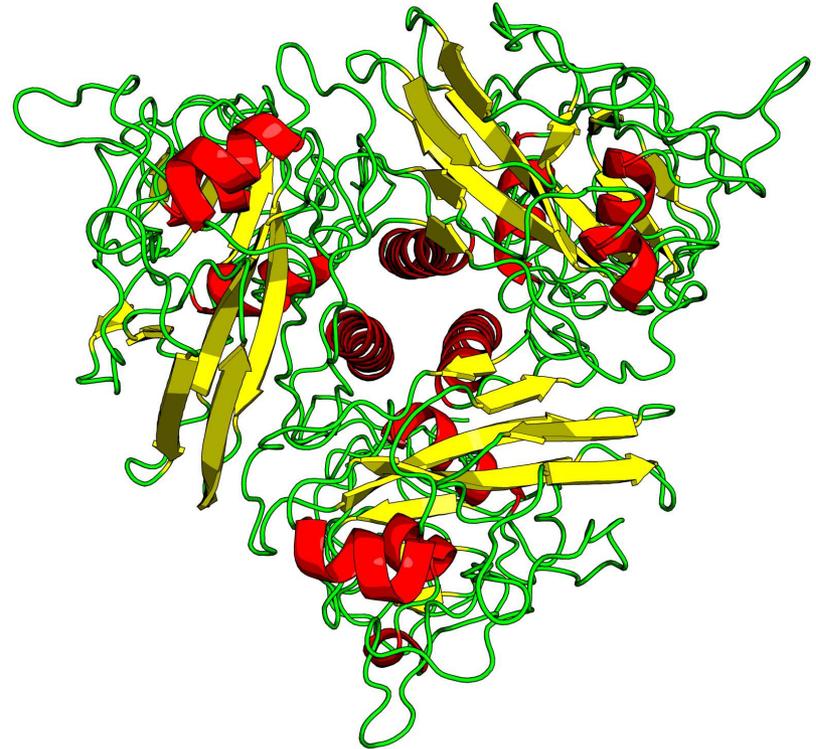
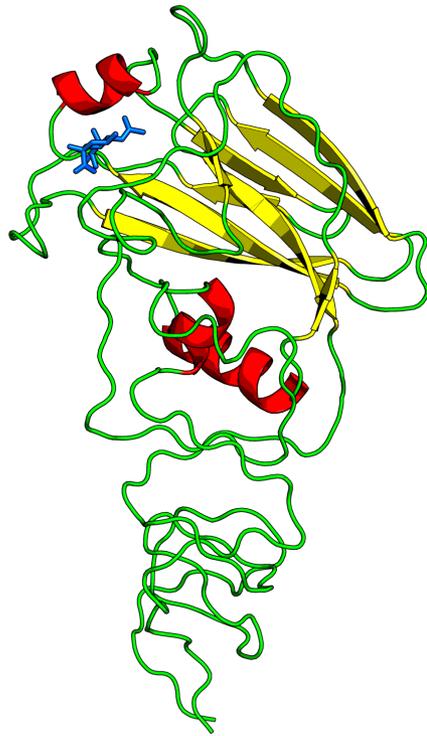
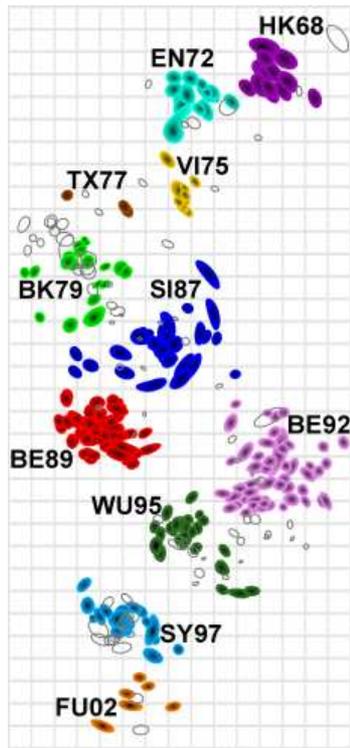


$A\beta_{1-42}$  oligomers up to the **octamer** were investigated using CHARMM19 with the implicit membrane potential **IMM1**.

A **basin-hopping/parallel tempering** scheme with exchanges between basin-hopping runs at different temperatures was used, together with **intra-** and **inter**molecular coordinate moves for the peptides.

The most favourable monomer **transmembrane** structure has residues **17** to **42** inserted in the membrane. The most stable **octamer** structures can be viewed as displaced **tetramers** composed of two or three  $\beta$ -sheets.

# Influenza Virus Hemagglutinin (*J. Virology*, **84**, 11802, 2010)

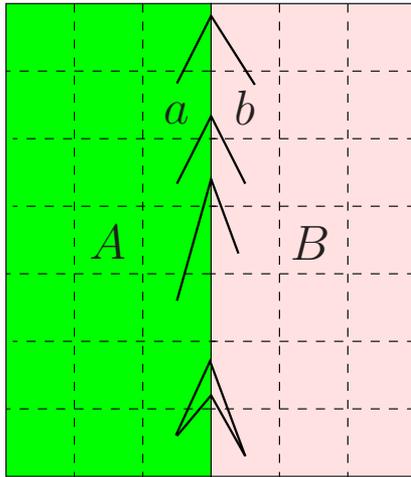


Influenza virus is a **variable** pathogen, causing problems for **vaccination**.

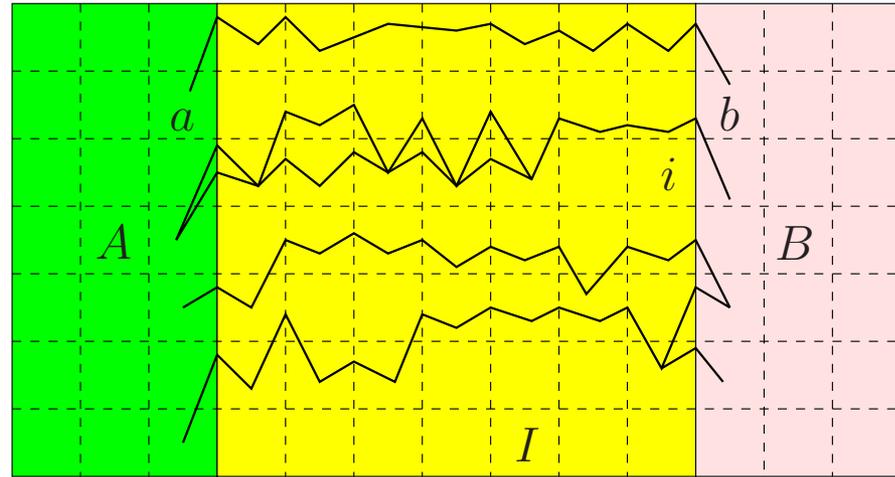
Antigenic **shift** of the hemagglutinin glycoprotein, which binds **sialic acid** at the cell surface, produces **pandemics**. Antigenic **drift** gives rise to **epidemics**.

Crystal structure is known for **HK68** (H3N2). The single **TY155 mutation**, from threonine to tyrosine led to a **cluster transition** from HK68.

# Discrete Path Sampling (*Mol. Phys.*, **100**, 3285, 2002; **102**, 891, 2004).



no intervening minima



$$\frac{p_a(t)}{p_{a'}(t)} = \frac{p_a^{\text{eq}}}{p_{a'}^{\text{eq}}} \quad \dot{p}_i(t) = 0 \quad \frac{p_b(t)}{p_{b'}(t)} = \frac{p_b^{\text{eq}}}{p_{b'}^{\text{eq}}}$$

Phenomenological  $A \leftrightarrow B$  rate constants can be formulated as sums over **discrete paths**, defined as sequences of local minima and the transition states that link them, weighted by equilibrium occupation probabilities,  $p_b^{\text{eq}}$ :

$$k_{AB}^{\text{SS}} = \frac{1}{p_B^{\text{eq}}} \sum_{a \leftarrow b} P_{ai_1} P_{i_1 i_2} \cdots P_{i_{n-1} i_n} P_{i_n b} \tau_b^{-1} p_b^{\text{eq}} = \frac{1}{p_B^{\text{eq}}} \sum_{b \in B} \frac{C_b^A p_b^{\text{eq}}}{\tau_b},$$

where  $P_{\alpha\beta}$  is a **branching probability** and  $C_b^A$  is the **committor** probability that the system will visit an  $A$  minimum **before** it returns to the  $B$  region.

## Discrete Path Sampling (*Int. Rev. Phys. Chem.*, **25**, 237, 2006)

Discrete path sampling is a framework for growing kinetic transition networks of stationary points to describe global kinetics (*Mol. Phys.*, **100**, 3285, 2002).

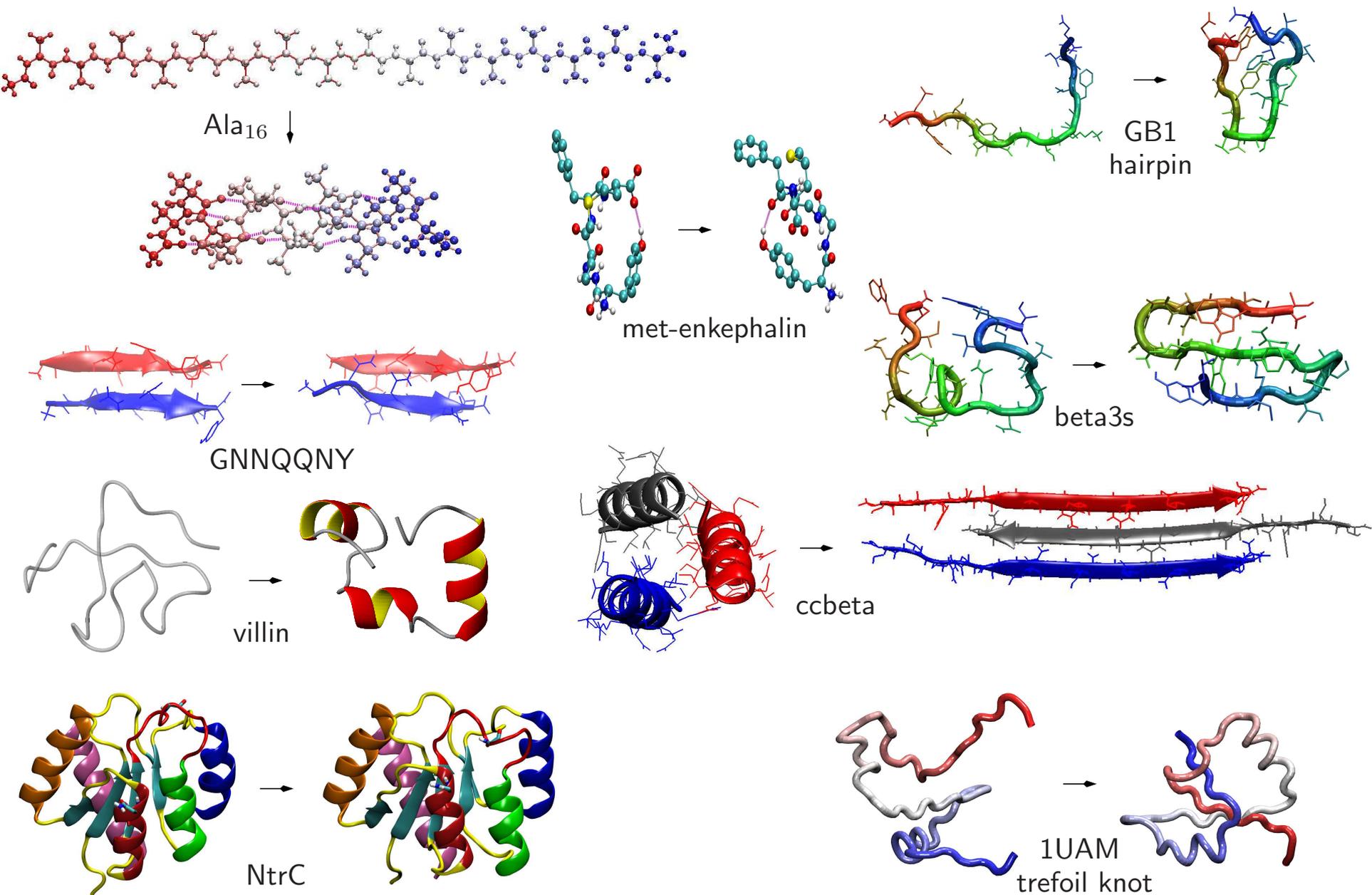
The kinetic analysis involves a Markov assumption for transitions between local minima, which can be lumped recursively. Additional approximations come from incomplete sampling, and the densities of states and transition state theory used to describe the local thermodynamics and kinetics.

Rate constants are calculated using graph transformation, where minima,  $x$ , are removed deterministically, and the branching probabilities,  $P_{\gamma\beta}$ , and waiting times in adjacent minima,  $\tau_\beta$ , are renormalised: (*JCP*, 124, 234110, 2006)

$$P'_{\gamma\beta} = P_{\gamma\beta} + P_{\gamma x} P_{x\beta} \sum_{m=0}^{\infty} P_{xx}^m = P_{\gamma\beta} + \frac{P_{\gamma x} P_{x\beta}}{1 - P_{xx}}, \quad \tau'_\beta = \tau_\beta + \frac{P_{x\beta} \tau_x}{1 - P_{xx}}.$$

Once all the intervening states are removed we have the committor probabilities and rate constants, with  $p_B^{\text{eq}} k_{AB} = \sum_{b \in B} p_b^{\text{eq}} / \mathcal{T}_{Ab} = \sum_{b \in B} P_{Ab}^{\text{F}} p_b^{\text{eq}} / \tau_b^{\text{F}}$ .

# Discrete Path Sampling Examples II: Biomolecules



# Geometry Optimisation

**Minimisation:** Nocedal's algorithm, **LBFGS**, with line searches removed.

**Transition states:** single-ended searches use **hybrid eigenvector-following** (*Phys. Rev. B*, **59**, 3969, 1999; *Chem. Phys. Lett.*, **341**, 185, 2001), double-ended searches use the **doubly-nudged** elastic band approach (*J. Chem. Phys.*, **120**, 2082, 2004).

The **GMIN** (global optimisation), **OPTIM** (transition states and pathways) and **PATHSAMPLE** (discrete path sampling) programs are available under the **Gnu** General Public License.

- Interfaces to many **electronic structure** codes are included.
- Current **svn** tarball image: <http://www-wales.ch.cam.ac.uk>

**Benchmarks** for comparing new methods:

- <http://www-wales.ch.cam.ac.uk/tsbenchmarks.html> **Peptide** examples
- <http://theory.cm.utexas.edu/benchmarks/index.html> **OptBench** test suite

## Simulating structural transitions by direct transition current sampling: The example of LJ<sub>38</sub>

Massimiliano Picciani,<sup>1,a)</sup> Manuel Athènes,<sup>1</sup> Jorge Kurchan,<sup>2</sup> and Julien Tailleur<sup>3</sup>

<sup>1</sup>*CEA, DEN, Service de Recherches de Métallurgie Physique, F-91191 Gif-sur-Yvette, France*

<sup>2</sup>*CNRS; ESPCI, 10 rue Vauquelin, UMR 7636 PMMH, 75005 Paris, France*

<sup>3</sup>*School of Physics of Astronomy, SUPA, University of Edinburgh, The King's Buildings, Mayfield Road, EH9 3JZ Edinburgh, United Kingdom*

(Received 2 March 2011; accepted 21 June 2011; published online 20 July 2011)

Another attempt to study the transitions between the two funnels of LJ<sub>38</sub> relies on the use of transition path sampling.<sup>33</sup> Because of the number of metastable states separating the two main basins, the traditional shooting and shifting algorithm failed here, despite previous success for smaller LJ clusters.<sup>39</sup> The authors thus developed a two-ended approach which manages to successfully locate reaction paths between the two basins: they started from a straight trial trajectory linking the two minima, and obtained convergence towards trajectories of energies similar to those obtained in the discrete path sampling approach.<sup>33</sup> Although the authors point out the lack of ergodicity in the sampling within their approach and the sensitivity on the “discretization” of the trajectories, this is nevertheless a progress and the main drawback remains the high computational cost (the work needed  $10^5$  h of central processing unit (cpu) time) to obtain such converged trajectories. In contrast, the simulations we present below required less than  $10^2$  h of cpu time.

**A Knotted Protein** (*PLoS Comput. Biol.*, **6**, e1000835, 2010)

**Quasi-Continuous Interpolation** (*JCTC*, **8**, 5020, 2012)

The tRNA methyltransferase protein 1UAM contains a deep **trefoil knot** (right).

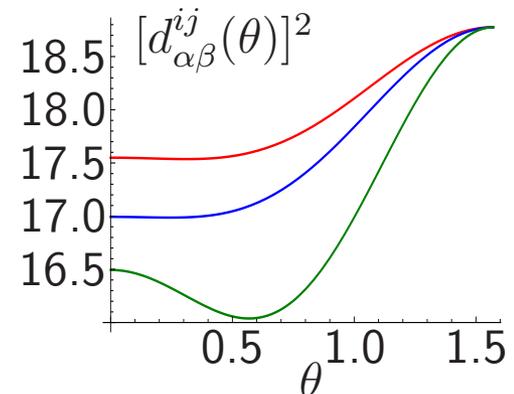
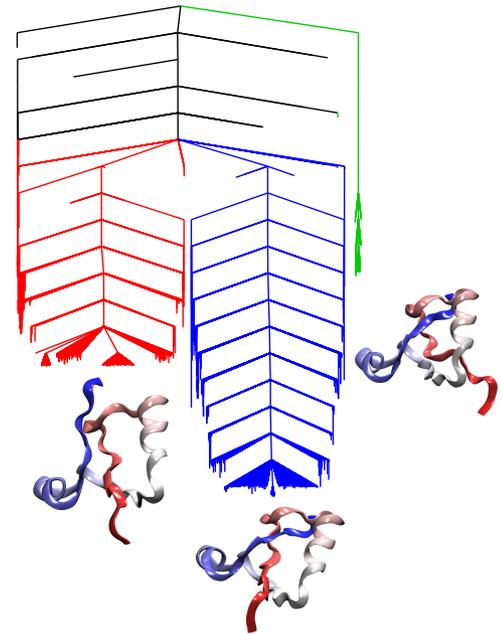
The folding pathway exhibits two **slipknot**-type steps for a truncated (residues 78–135) **Gō model** using an **associated memory Hamiltonian** and initial **QCI**.

The **QCI** potential **preserves** the covalent bonding framework, with short-range **repulsion** between

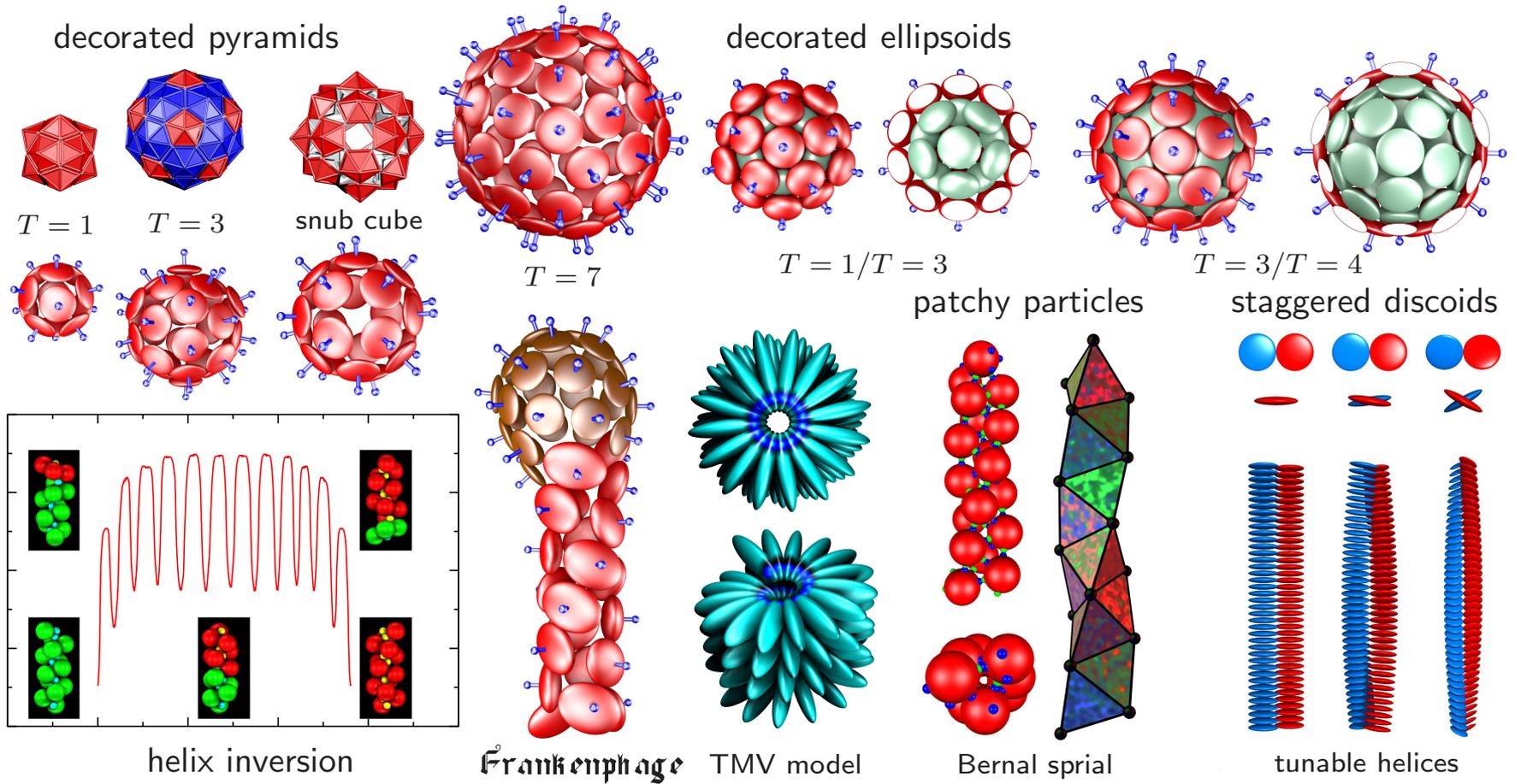
**unconstrained** atoms. An **internal** minimum for

**atoms**  $\alpha$  and  $\beta$  between **images**  $i$  and  $j$  occurs if

$$[d_{\alpha\beta}^{ij}(\theta)]^2 = \frac{|\mathbf{r}_\alpha^i - \mathbf{r}_\beta^i|^2 |\mathbf{r}_\alpha^j - \mathbf{r}_\beta^j|^2 - [(\mathbf{r}_\alpha^i - \mathbf{r}_\beta^i) \cdot (\mathbf{r}_\alpha^j - \mathbf{r}_\beta^j)]^2}{|\mathbf{r}_\alpha^i - \mathbf{r}_\beta^i - \mathbf{r}_\alpha^j + \mathbf{r}_\beta^j|^2}.$$



# Coarse-Grained Models (*PCCP*, 11, 1970, 2009; *ACS Nano*, 4, 219, 2010)



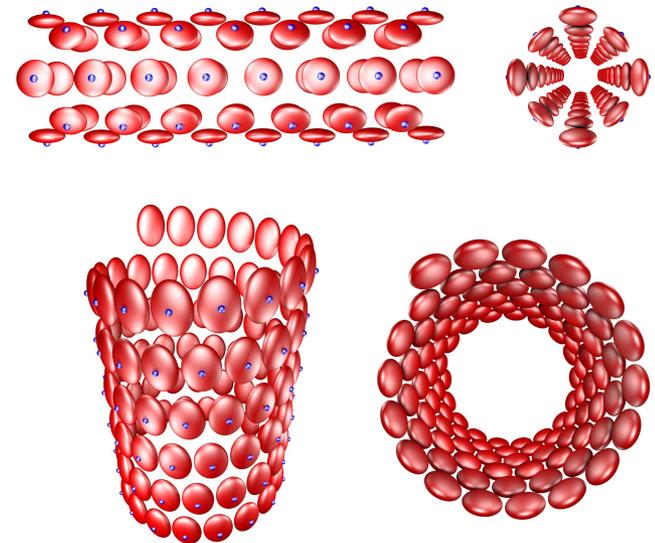
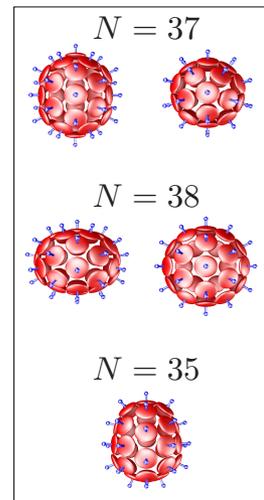
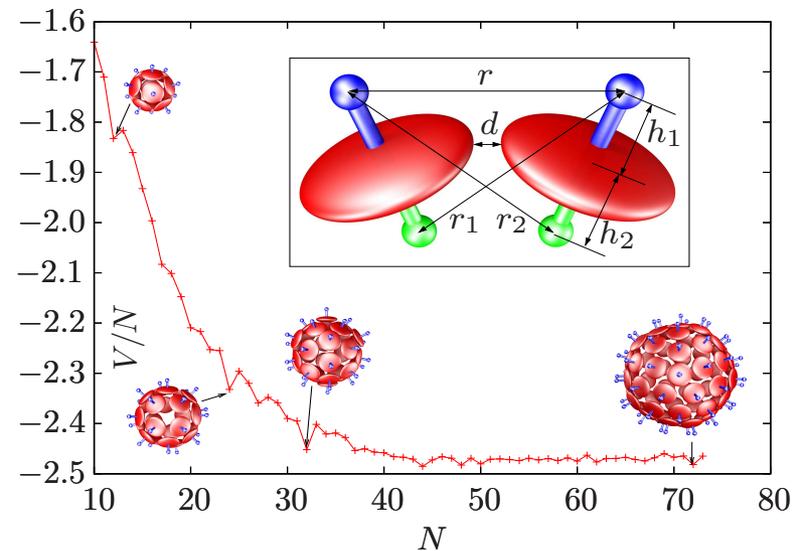
The **angle-axis** formulation provides a particularly convenient framework for **mesoscopic** modelling, using both **decorated** rigid bodies and **ellipsoids**.

All the terms involving **angle-axis** coordinates can be obtained by the action of a **rotation matrix** and its derivatives, which are **system-independent**.

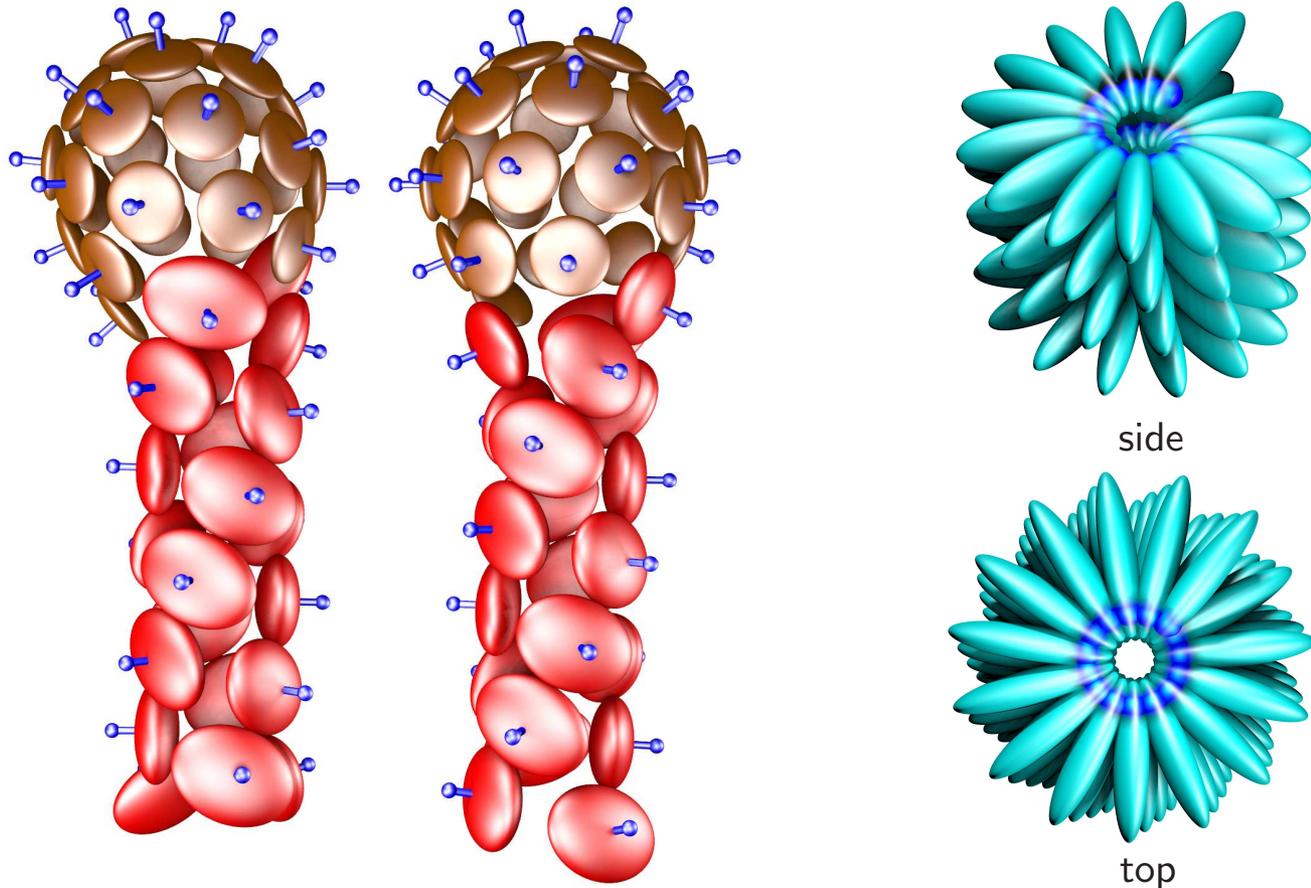
# Emergent Behaviour from Simple Models (*ACS Nano*, 4, 219, 2010)

Adding two repulsive **axial** Lennard-Jones sites to an **ellipsoidal** core produces remarkably versatile building blocks. **Oblate** ellipsoids favour **shells**, while stronger repulsion for the longer semiaxis produces **tubes** and **spirals**.

Global minima for the **oblate** ellipsoids include **icosahedra** for  $N = 12, 32$  and  $72$  ( $T = 1, 3$  and  $7$ ), the **snub cube** observed for polyoma virus capsids at  $N = 24$ , and **conical**, **biaxial**, **prolate**, and **oblate** shells at other sizes.



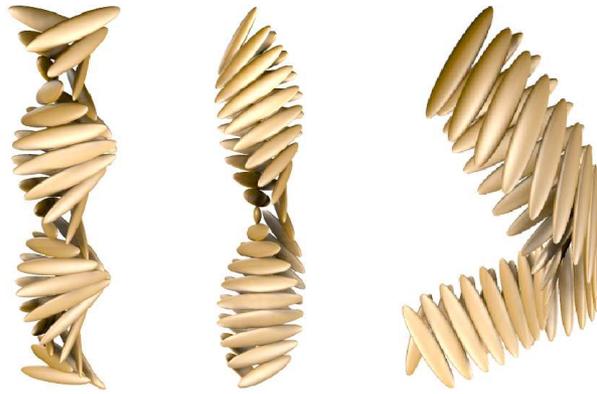
(ACS Nano, 4, 219, 2010)



**Mixing** ellipsoidal building blocks that favour shells and tubes produces structures with distinct **head** and **tail** regions (left): the .

Particles with a Lennard-Jones site **buried** in the ellipsoid assemble into a **spiral** structure (right) with parameters similar to **tobacco mosaic virus**.

# Helical Bilayers From Frustrated Building Blocks (*JPCB*, 117, 7918, 2013)



bilayer filaments



magnetic bilayers

helical fibre morphologies

**Left:** introduction of a **cytochrome** domain into an **amyloid fibre** can change the morphology from **twisted** to **spiral** ribbons and induce systematic **kinking**.

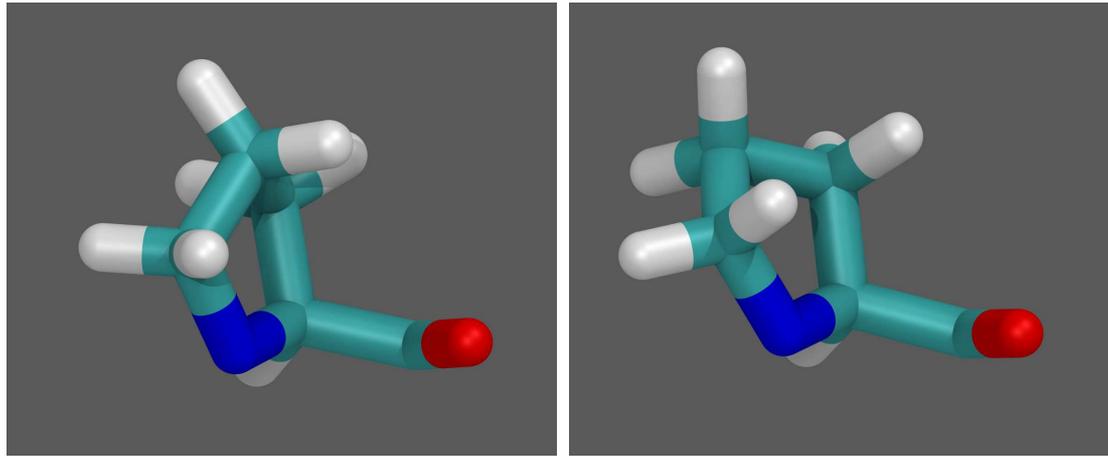
**Centre:** rigid building blocks consisting of **two ellipsoids** can reproduce these structures, which are also observed for **Bauhinia seedpods**.

**Right:** the structure depends mostly on the **internal geometry** of the building blocks, rather than details of the **potential**. The **design** principles extend to **macroscopic** helices formed from elliptical **magnets**.

# Proline Dynamics in Synthetic Collagen Triple Helices

Triple-helical fibrillar collagens have a repetitive G-X-Y sequence in each chain.

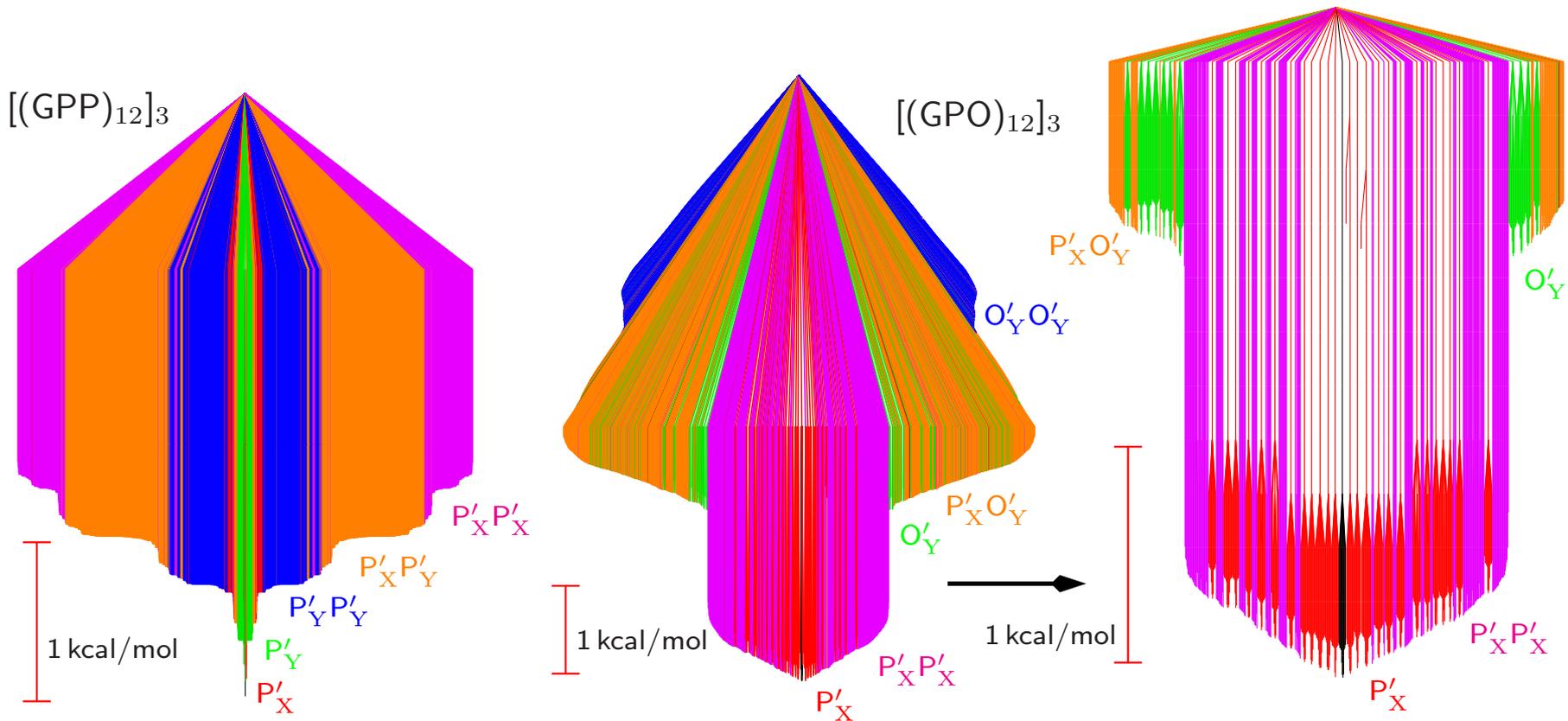
X and Y are often proline (P) and hydroxyproline (O).



Model peptides feature GPP or GPO triplets; P in the X position ( $P_X$ ) usually adopts an *endo* conformation (left) whilst  $P_Y/O_Y$  tend to be *exo* (right).

ssNMR for labelled mouse bone collagen reveals majority and minority sub-populations for P and G when succeeded by amino or imino residues.

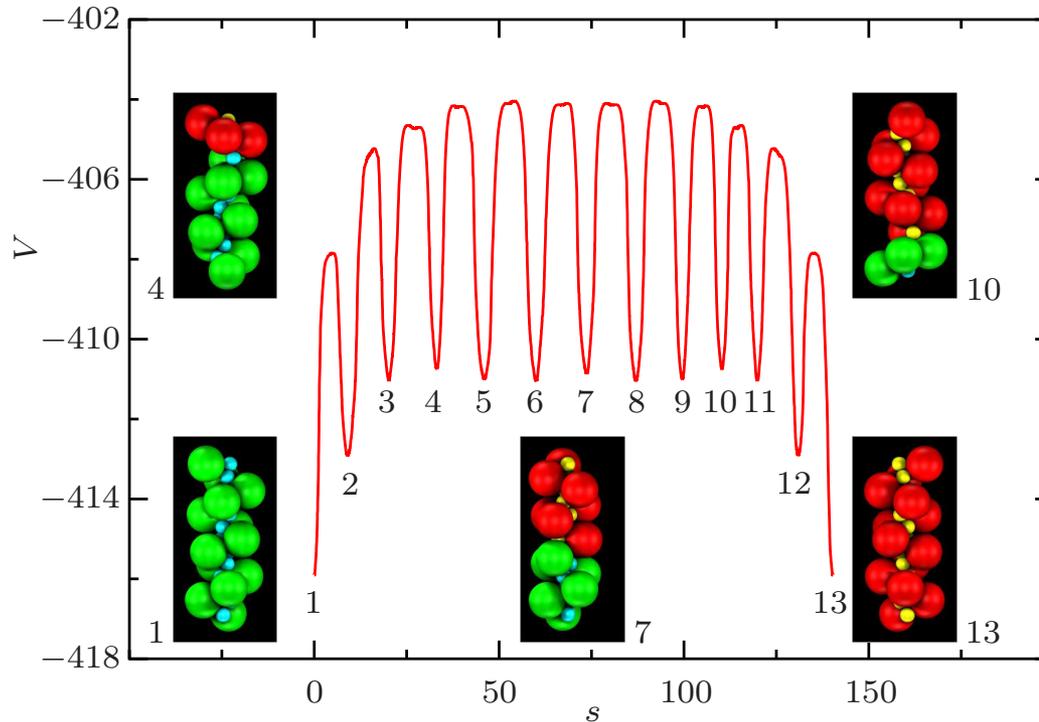
At least 40% of  $P_X$  residues in mouse bone prefer the *exo* conformation.



Disconnectivity graphs for **single-** and **double-**ring flips ( $X \rightarrow X'$  etc.) in  $[(GPP)_{12}]_3$  and  $[(GPO)_{12}]_3$  relative to the global minimum reveal **sets** corresponding to  $P'_X$ ,  $P'_Y$ ,  $O'_Y$ ,  $P'_X P'_X$ ,  $P'_X P'_Y$ ,  $P'_X O'_Y$ ,  $P'_Y P'_Y$  and  $O'_Y O'_Y$ .

O in the Y position **reduces** the energy difference between endo and exo states of prolines in the X position, **explaining** the latest ssNMR results.

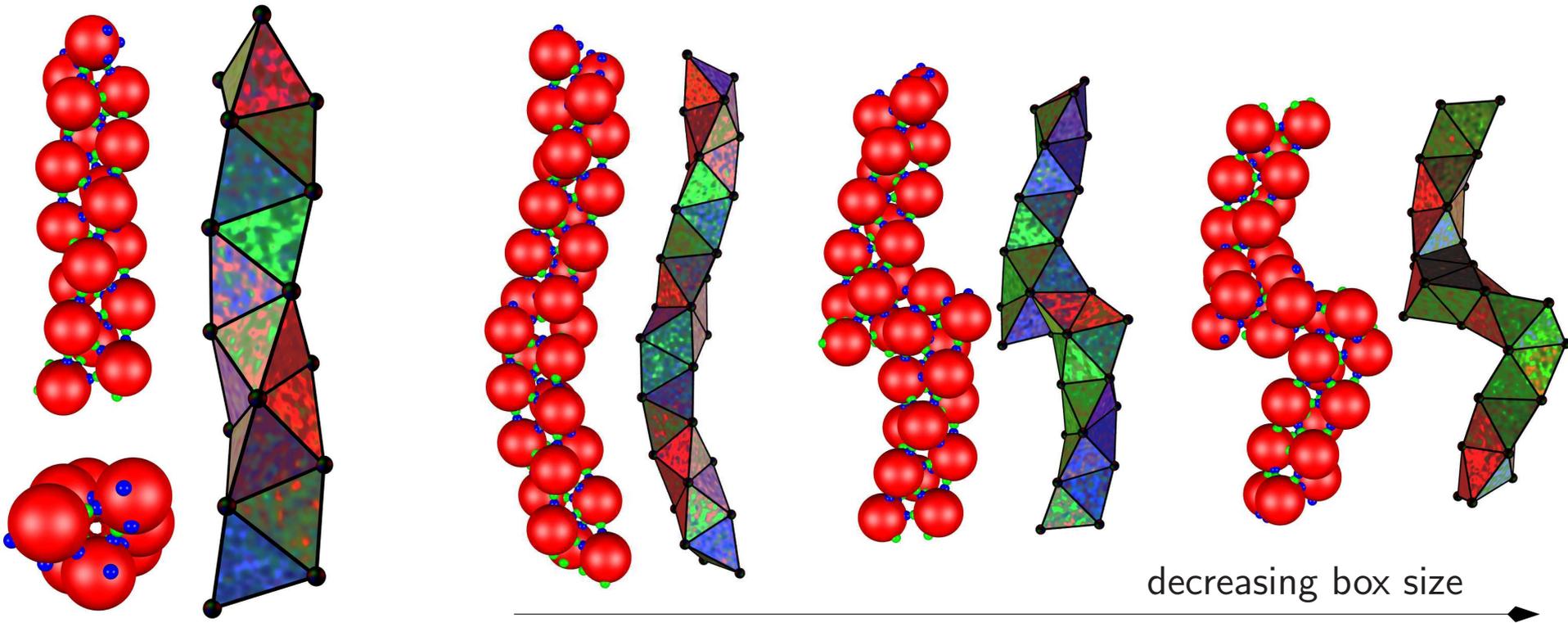
## Nanodevices ( *Soft Matter*, **7**, 2325, 2011 )



Coupled **linear** and **rotary** motion has been characterised for a helix composed of 13 asymmetric **dipolar dumbbells** in the presence of an **electric field**.

The helix changes **handedness** as the boundary between segments propagates along the strand via successive steps that switch the dumbbells.

## Designing a Bernal Spiral (ACS Nano, 7, 1246, 2013)



The simplest building blocks that support a Bernal spiral as the global minimum involve a **single** patch-antipatch pair **offset** by about  $10^\circ$  from linearity.

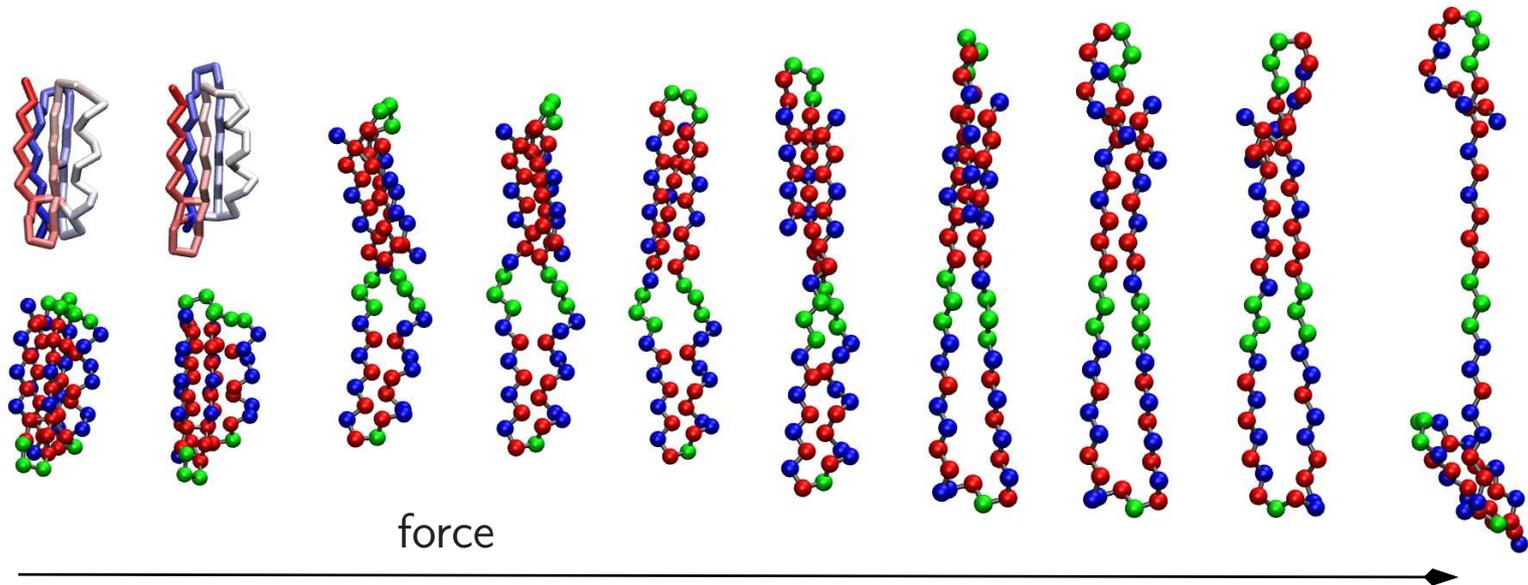
Left: Alternative views of a chiral Bernal spiral consisting of 18 particles.

Right: **compressed** spirals (30 particles, periodic boundaries) exhibit **supercoiling** or **breaks**, which resemble structures seen in **confocal microscopy**.

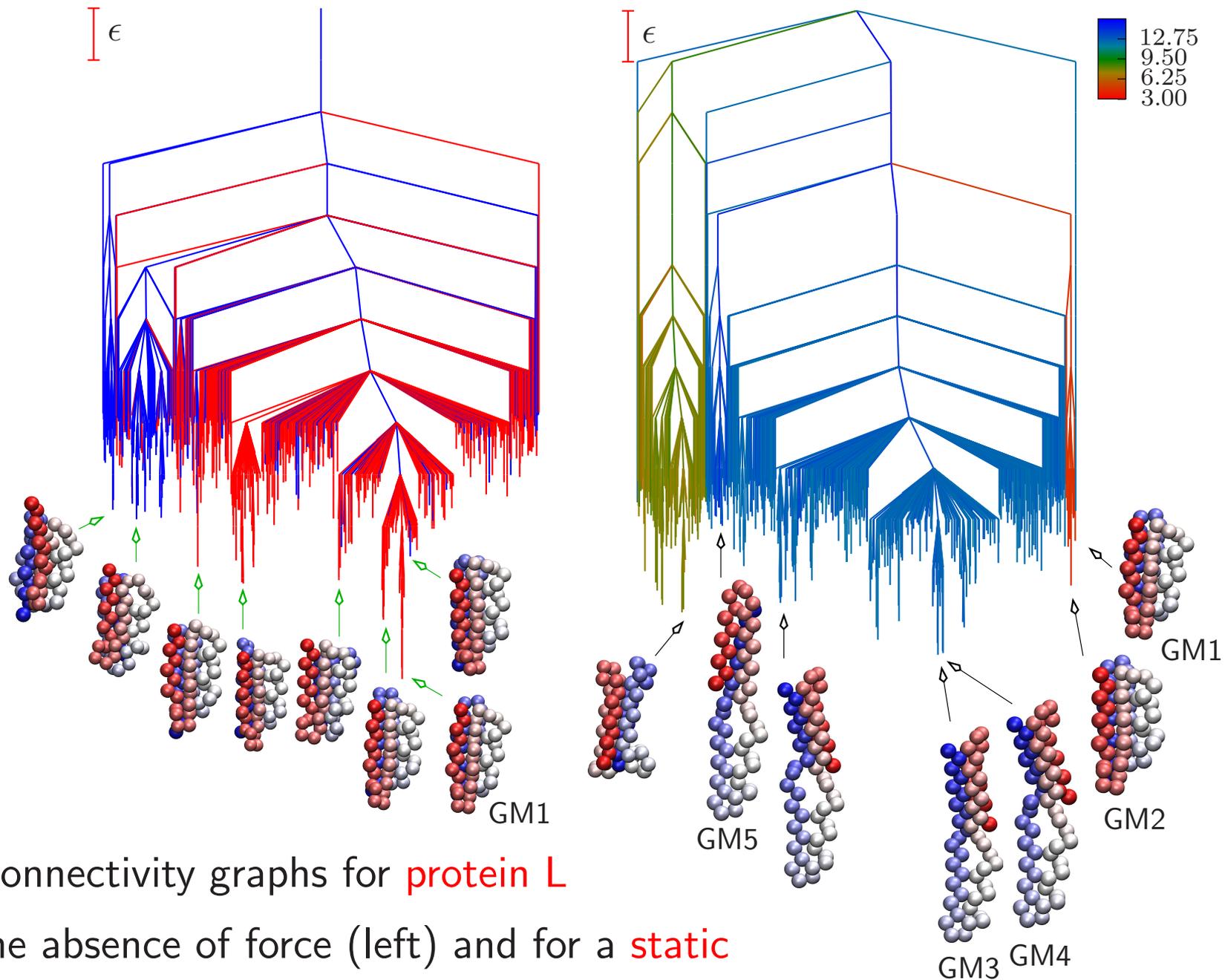
# Folding and Pulling for Protein L and Protein G

Folding pathways and the evolution of the energy landscape as a function of static force have been analysed for protein L and protein G using a sequence-dependent BLN model. B=hydrophobic, L=hydrophilic, and N=neutral.

Protein L forms the N-terminal hairpin 1 first, followed by the C-terminal hairpin 2. The order is reversed for protein G, with an early intermediate.



Distinct global minima for protein L as a function of increasing static pulling force applied between beads 10 and 32. (*J. Phys. Chem. B*, 136, 8394, 2012)



Disconnectivity graphs for **protein L**

in the absence of force (left) and for a **static**

**pulling force** (right) applied between beads **10** and **32**.

## Symmetry and Energetics (*Chem. Phys. Lett.*, **285**, 330, 1998; **294**, 262, 2008)

'The symmetry characteristic of a phenomenon is the **maximal symmetry** compatible with the existence of the phenomenon' (**Pierre Curie**)

'The perfection of mathematical beauty is such... that whatsoever is most beautiful and regular is also found to be most useful' (**D'Arcy Thompson**)

However, many systems do **not** exhibit their highest possible symmetry.

We can write the total energy as a **sum** over contributions from a many-body expansion, involving single atom, pairwise and three-body terms, etc.

If these terms are drawn from the same **distribution** then geometrical symmetry (degeneracies) would be manifested as **correlation**.

The **variance** is **larger** when correlation is present. Symmetrical structures are therefore more likely to have particularly **high** or particularly **low** energy.

**Low-lying** structures are therefore likely to exhibit symmetry.

More formally, denote the **mean** and **variance** of a variable,  $X$ , drawn from probability distribution,  $p(X)$ , as  $\mu$  and  $\sigma^2$ .

The variance of a **sum** of  $N$  such variables,  $X_i$ , is then

$$\text{Var} \left( \sum_{i=1}^N X_i \right) = N\sigma^2 + N(N-1)\rho\sigma^2,$$

where the **correlation**  $\rho$  is defined by

$$\rho\sigma^2 = \int (X - \mu)(X' - \mu)p(X, X')dXdX'.$$

For  $\rho = 0$  the variance is  $N\sigma^2$ , but for  $\rho = 1$  it rises to  $N^2\sigma^2$ .

**Open question:** how should we treat **approximate** geometrical symmetry, for example, in large **biomolecules** or **condensed matter**?

**Open question:** is it possible to build a more **rigorous** theory, and make connections with concepts such as **designability**?

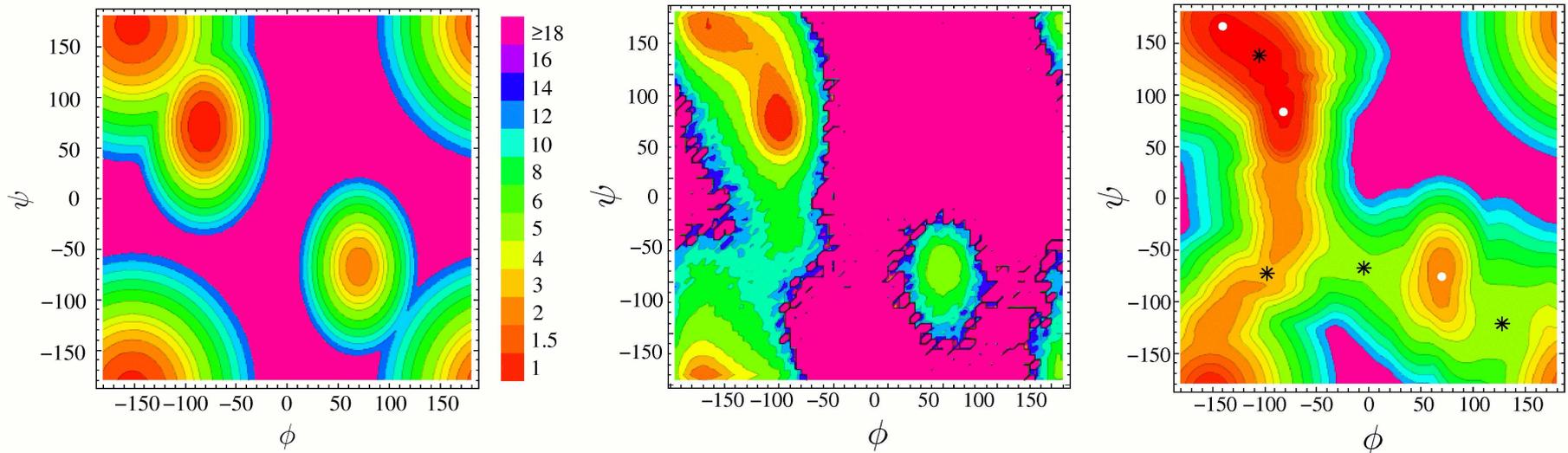
## A Modified Superposition Approach (*Chem. Phys. Lett.*, **466**, 105, 2008)

Here the partition function is broken down into contributions from local **minima** and **pathways** as a function of an order parameter,  $a$ , with terms

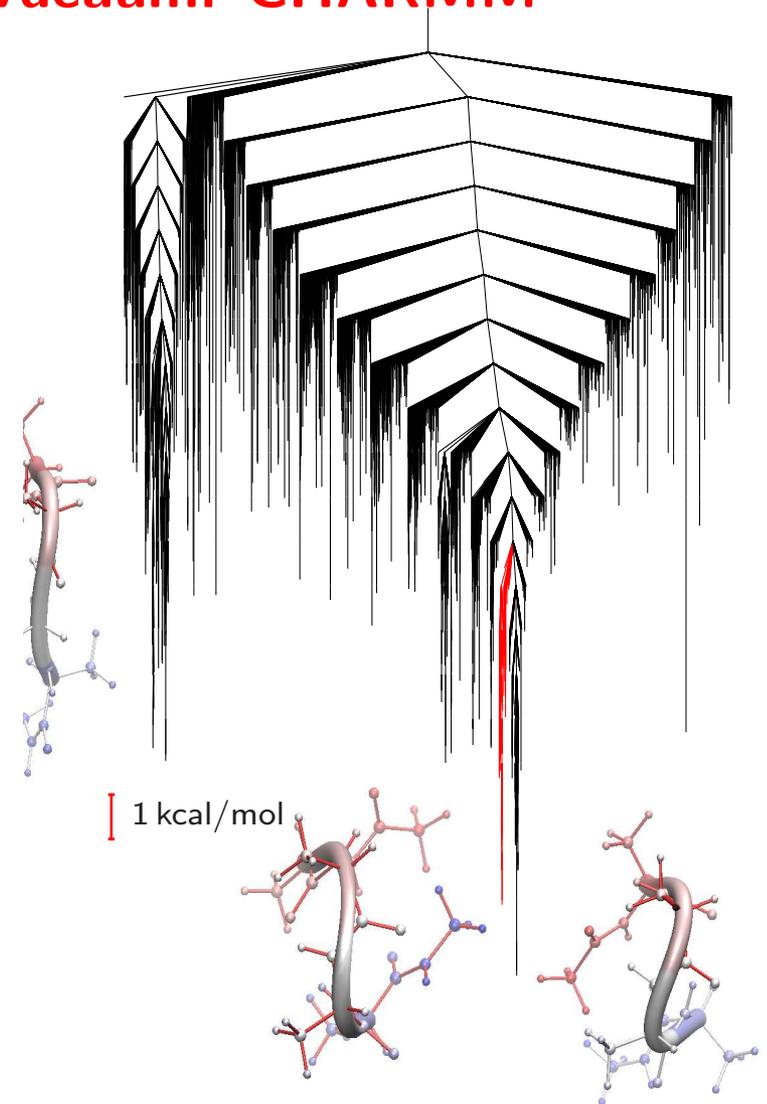
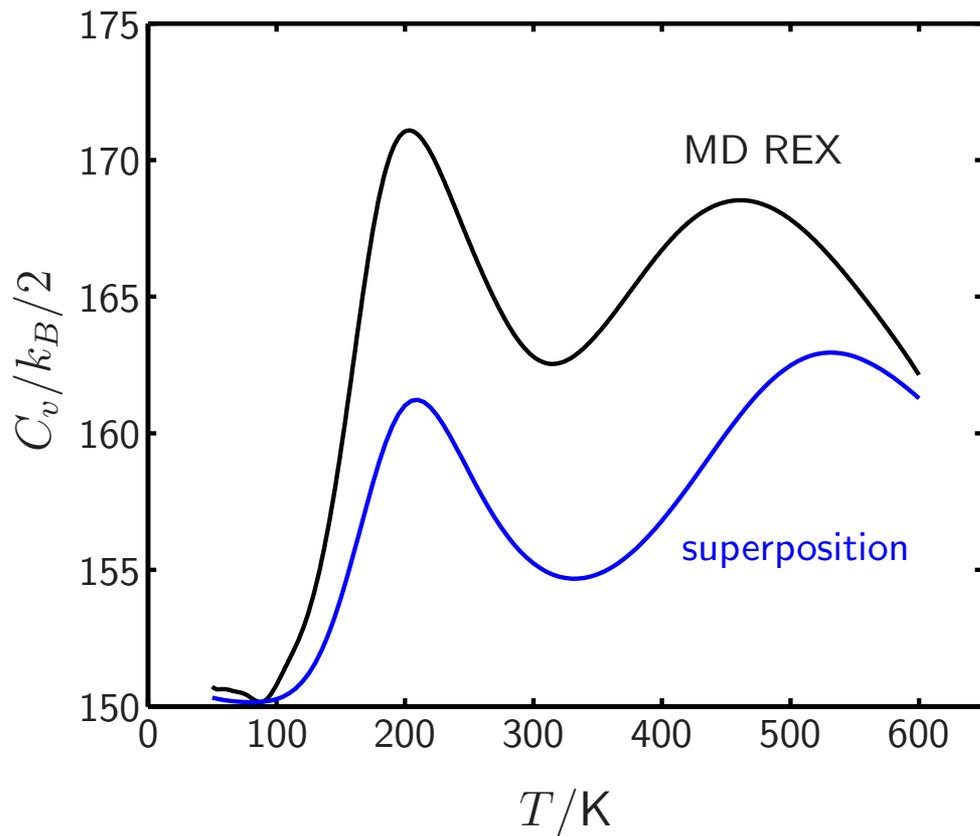
$$Z_i(a, T) = \left( \frac{kT}{h\bar{\nu}_i} \right)^{3N-6} \frac{\exp(-V_i/kT)}{\sqrt{2\pi kT A_i}} \exp \left[ -\frac{(a - a_i)^2}{2kT A_i} \right],$$

where  $A_i$  is a weighted sum of order parameter derivatives.

Free energy surfaces for **alanine dipeptide** (CHARMM22/vacuum) from **superposition**, **replica exchange**, and **reaction path Hamiltonian** superposition:

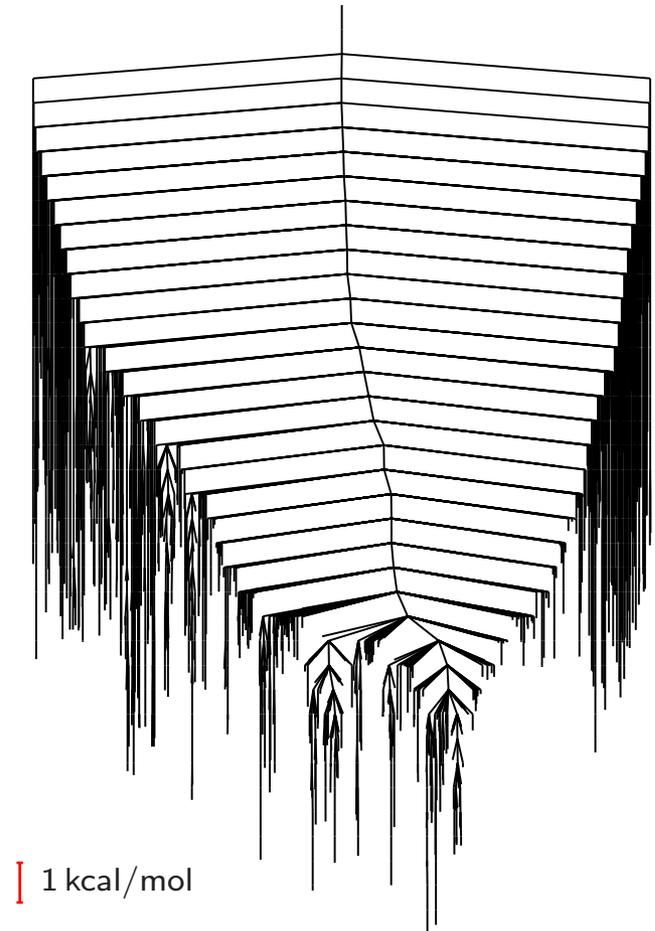
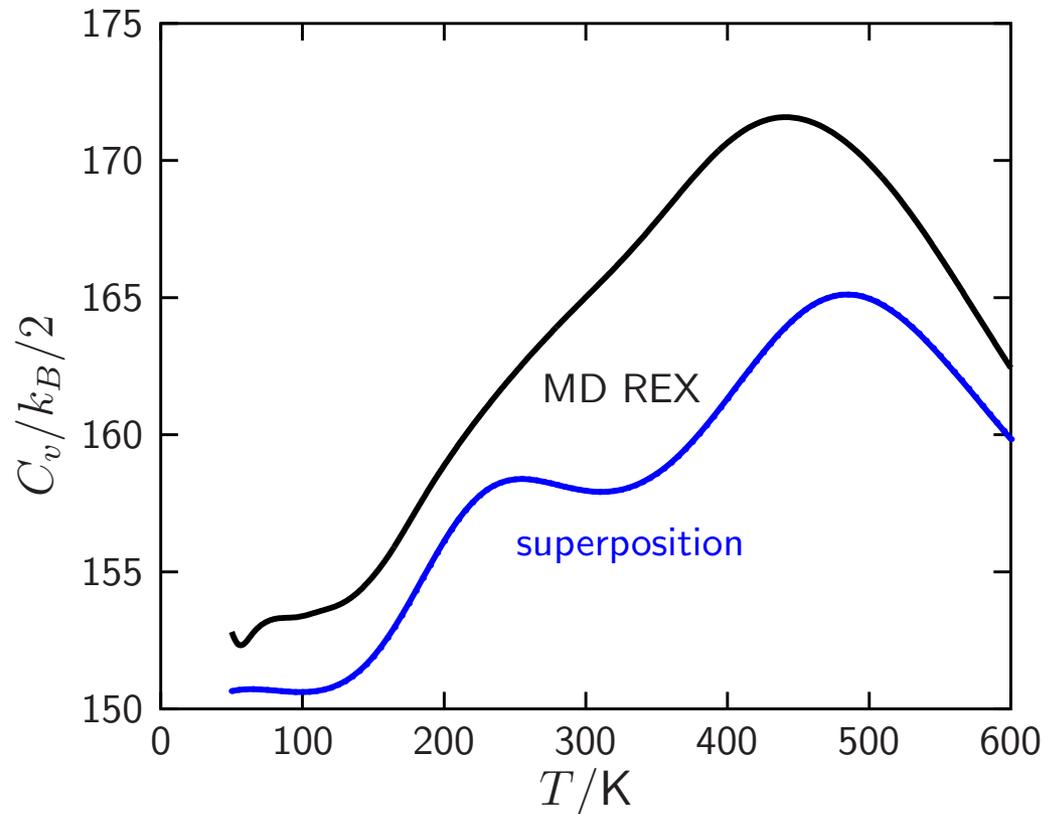


# Thermodynamics for Ala<sub>4</sub> in Vacuum: CHARMM



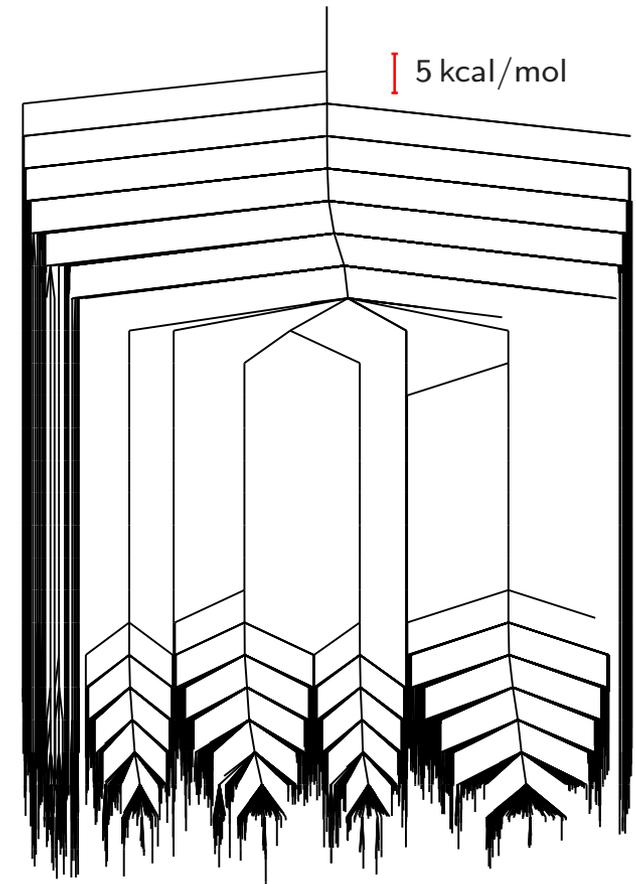
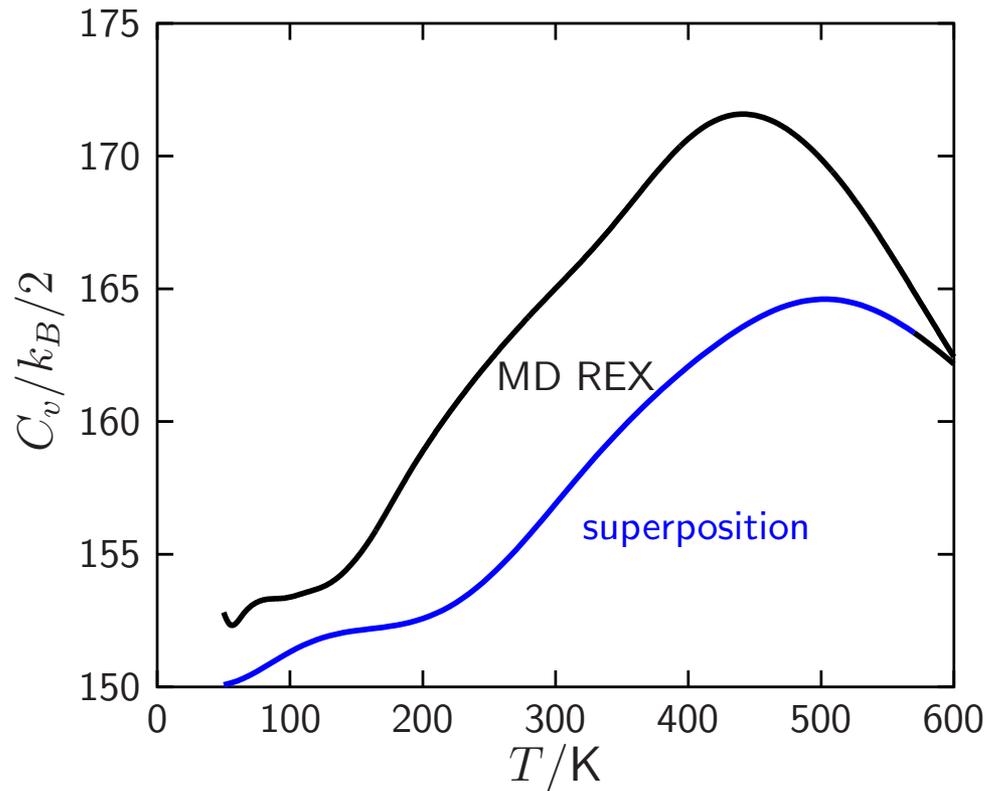
Ala<sub>4</sub> in vacuum (charmm27) has a **low** temperature  $C_v$  **peak**, corresponding to the hundred or so lowest minima in the **disconnectivity graph**. The **high** temperature peak corresponds to the finite system analogue of **melting**.

# Thermodynamics for Ala<sub>4</sub> in Vacuum: AMBER



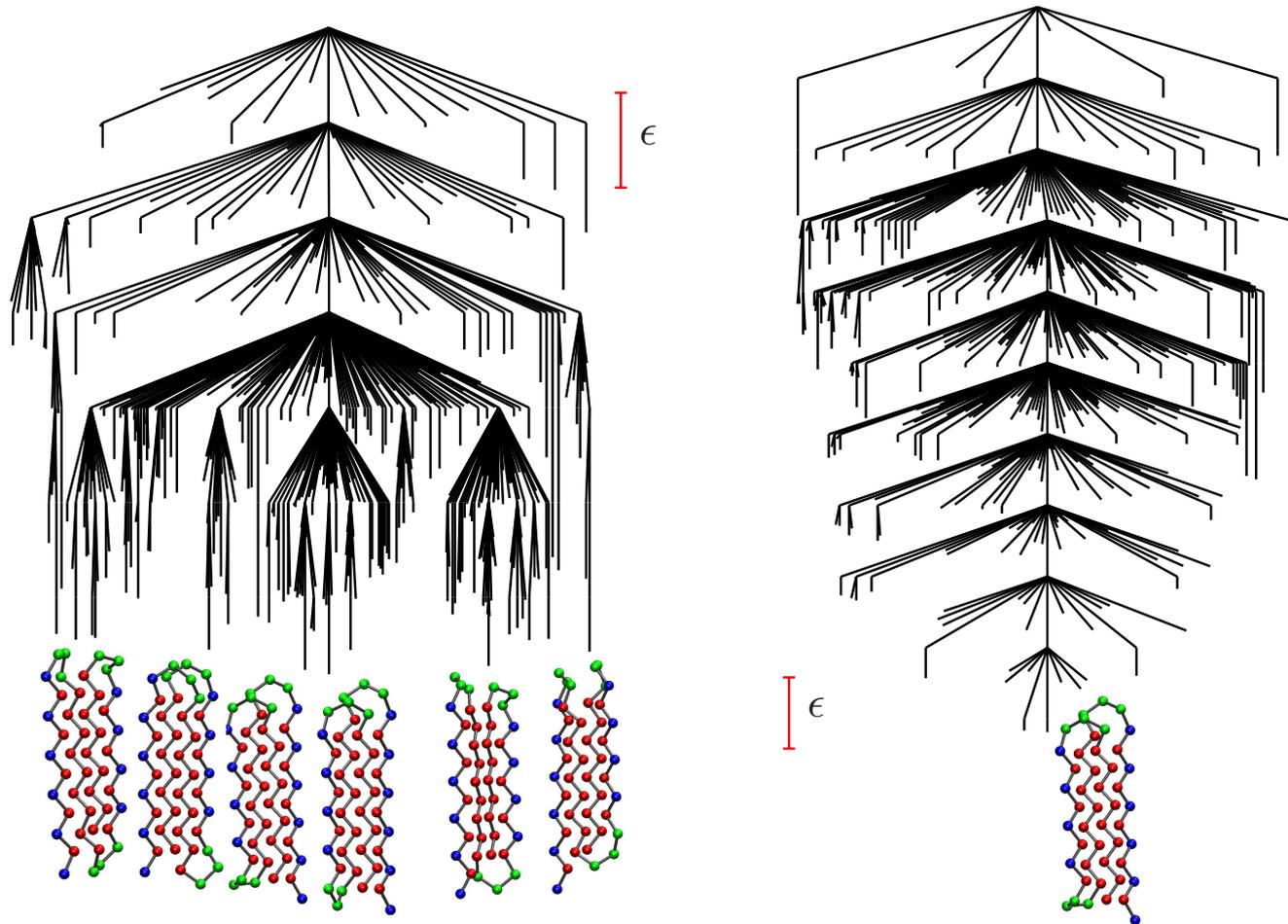
Replica exchange (REX) and **superposition** results for the heat capacity  $C_v$  of ala<sub>4</sub> in vacuum (**amber99sb**) appear similar to **CHARMM**. (*J. Chem. Phys.*, **139**, 121909, 2013)

# Thermodynamics for Ala<sub>4</sub> in Vacuum: AMBER



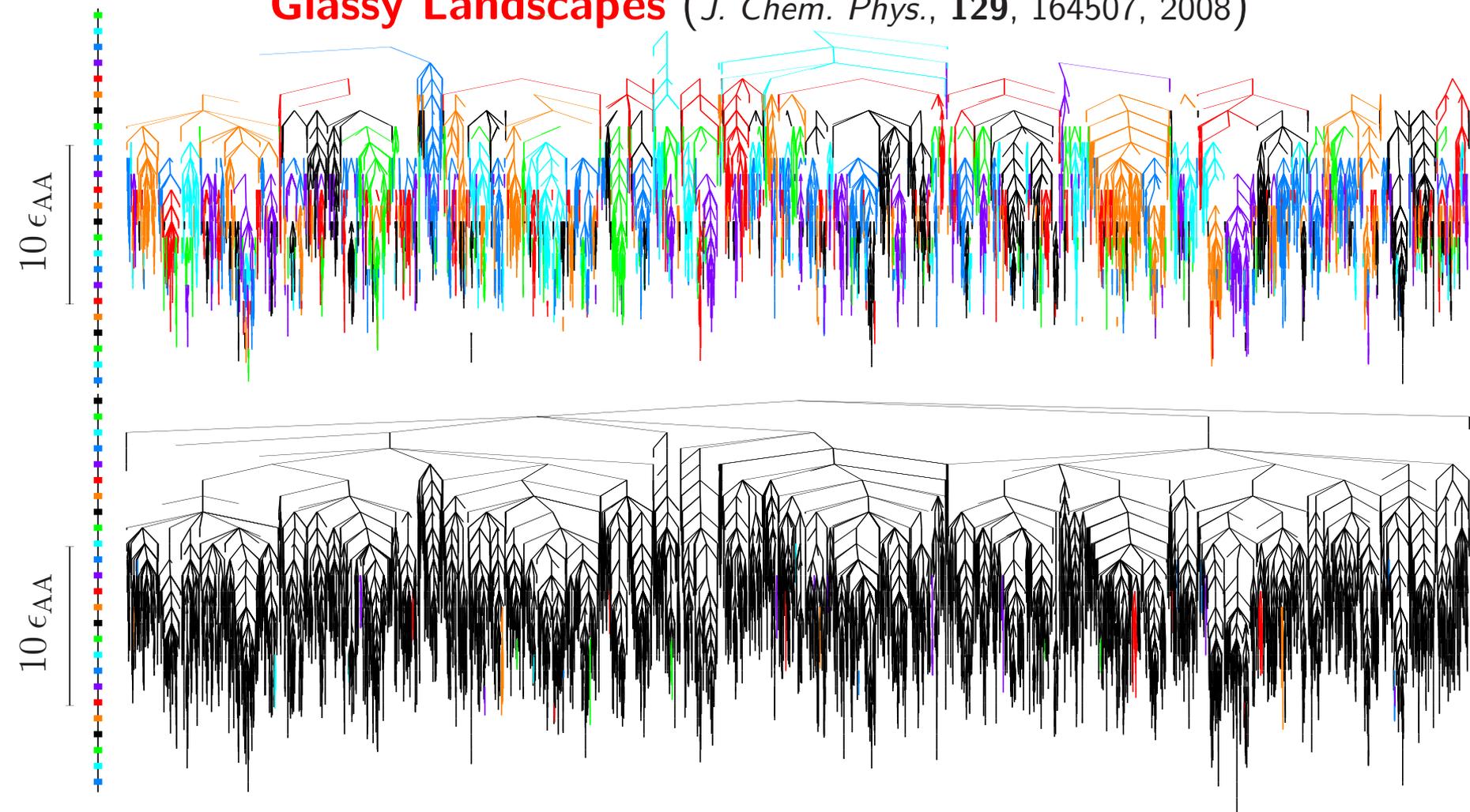
The **global minimum** for this potential has a mixture of **L** and **D** amino acids. The landscape separates into regions with different **L/D** composition, separated by barriers of order **90 kcal/mol**. (*J. Chem. Phys.*, **139**, 121909, 2013)

# Energy Landscapes for the P46 Model Protein (*JCP*, 111, 6610, 1999)



The global minimum of the off-lattice bead model  $B_9N_3(LB)_4N_3B_9N_3(LB)_5L$  is a **four-stranded  $\beta$ -barrel**, where **B**=hydrophobic, **L**=hydrophilic, and **N**=neutral. The original system exhibits **frustration**, which is eliminated in the corresponding **Gō** model [and reduced by **salt bridges** (*JCP*, 121, 10284, 2004)].

# Glassy Landscapes (*J. Chem. Phys.*, 129, 164507, 2008)



Disconnectivity graphs for **BLJ<sub>60</sub>** including only transition states for **noncage-breaking** (top) and **cage-breaking** (bottom) paths. Changes in colour indicate **disjoint** sets of minima. Cage-breaking transitions, defined by **two** nearest-neighbour changes, define a higher order **metabasin** structure.

## The Ring-Polymer Instanton Approach (*JCP*, **131**, 214106, 2009)

The **ring polymer** approximation to the quantum partition function  $Q(\beta) = \text{trace} \left[ e^{-\beta \hat{H}} \right]$  is obtained by a **Trotter** factorisation into  $P$  imaginary time steps of length  $\beta \hbar / P$ . For particles of mass  $m$  and  $\mathbf{x} = \{\mathbf{x}_1, \dots, \mathbf{x}_P\}$ :

$$Q(\beta) \approx \left( \frac{mP}{2\pi\beta\hbar^2} \right)^{P/2} \int e^{-\beta U_P(\beta, \mathbf{x})/P} d\mathbf{x},$$

with

$$U_P(\beta, \mathbf{x}) = \sum_{\alpha=1}^P V(\mathbf{x}_\alpha) + \frac{mP^2}{2\beta^2\hbar^2} \sum_{\alpha=1}^P (\mathbf{x}_\alpha - \mathbf{x}_{\alpha+1})^2,$$

a mapping onto a classical **ring polymer** with  $P$  beads, each corresponding to a configuration of the physical system and coupled by **harmonic springs**.

**Transition states** of  $U_P(\beta, \mathbf{x})$  represent a finite-difference approximation to periodic **instanton** pathways. This approach provides approximate **tunneling splittings** with **all** degrees of freedom treated **quantum mechanically**.

# Regrouping Stationary Point Databases

**Lumping** local minima together (recursively) if they are separated by **low** barriers or **fast** rates reduces the dimension of the kinetic transition network (*J. Chem. Phys.*, **123**, 234901, 2005; *J. Chem. Phys.*, **121**, 1080, 2004). It also provides a self-consistent definition of **products** and **reactants**.

The **occupation probability** and **free energy** of a group of minima,  $J$  are

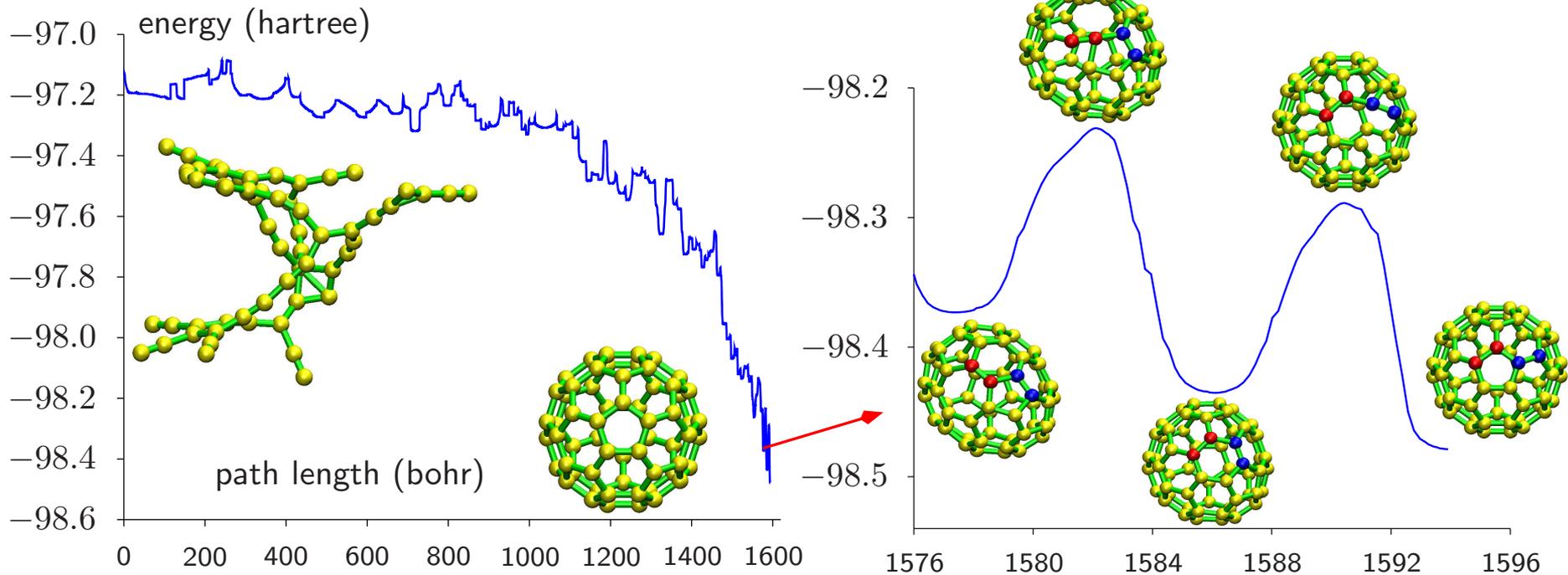
$$p_J^{\text{eq}}(T) = \sum_{j \in J} p_j^{\text{eq}}(T) \quad \text{and} \quad F_J(T) = -kT \ln \sum_{j \in J} Z_j(T),$$

and the free energy of the **transition states** connecting  $J$  and  $L$  is then

$$F_{LJ}^{\dagger}(T) = -kT \ln \sum_{(lj)^{\dagger}} Z_{lj}^{\dagger}(T), \quad l \in L, j \in J,$$

$$\text{with} \quad k_{LJ}^{\dagger}(T) = \sum_{(lj)^{\dagger}} \frac{p_j^{\text{eq}}(T)}{p_J^{\text{eq}}(T)} k_{lj}^{\dagger}(T) = \frac{kT}{h} \exp \left[ -\frac{\left( F_{LJ}^{\dagger}(T) - F_J(T) \right)}{kT} \right].$$

# Finding an Initial Discrete Path for Distant Minima



**Distant** local minima can be connected by successive transition state searches using **Dijkstra's algorithm** to choose the next pair of minima, avoiding a **combinatorial** problem (*J. Chem. Phys.*, **122**, 234903, 2005).

This  $C_{60}$  path from a random network to buckminsterfullerene contains **82** transition states, and required **383** cycles of the Dijkstra **missing connection** algorithm, including **1620** DNEB searches, for a **tight-binding** potential.

The minima can be used to define a **complete** graph with edge **weights**

$$w(u, v) = \begin{cases} 0, & \text{if } u \text{ and } v \text{ are connected by one transition state,} \\ \infty, & \text{if } n(u, v) = n_{max}, \\ f(D(u, v)), & \text{otherwise,} \end{cases} \quad (1)$$

where  $n(u, v)$  is the number of times pair  $(u, v)$  was selected for a connection attempt,  $n_{max}$  is the maximum number of attempts, and  $D(u, v)$  is the minimum Euclidean distance between  $u$  and  $v$ .  $f$  should be a monotonically **increasing** function, such as  $f(D(u, v)) = D(u, v)^2$ .

**Dijkstra's** algorithm (*Numerische Math.*, **1**, 269, 1959) can now determine the shortest path between any minima. If the weight of the pathway is **non-zero**, it contains one or more '**gaps**', which are then chosen as DNEB end points.

The **computational complexity** is at worst **quadratic** in the number of minima, and the memory requirements scale in a similar fashion.

## $N^{\text{st}}$ **Increases Exponentially** (*PRA*, **25**, 978, 1982; *JCP*, **116**, 3777, 2002)

A simple theory suggests a **power law** for the number of local minimum energy structures,  $M_{\text{min}}^{\text{st}}(N)$ , as a function of the number of atoms,  $N$ .

If the system is **large enough** so that it can be divided into  $m$  equivalent **subsystems** of  $N$  atoms each, and the subsystems are **independent**, then

$$M_{\text{min}}^{\text{st}}(mN) = M_{\text{min}}^{\text{st}}(N)^m \quad \text{so that} \quad M_{\text{min}}^{\text{st}}(N) = \exp(\epsilon N).$$

If the **rearrangement** corresponding to a **transition state** is **localised** in one subsystem, then a transition state of the  $mN$ -atom system occurs when **one** of the subsystems is at a transition state and the rest are at a minimum, so

$$M_{\text{ts}}^{\text{st}}(mN) = m M_{\text{min}}^{\text{st}}(N)^{m-1} M_{\text{ts}}^{\text{st}}(N) \quad \text{and} \quad M_{\text{ts}}^{\text{st}}(N) = N \exp(\epsilon N).$$

The ratio  $M_{\text{ts}}^{\text{st}}/M_{\text{min}}^{\text{st}}$  is therefore predicted to grow **linearly** with size, in reasonable **agreement** with numerical results for small Lennard-Jones clusters.

The **positive** scaling parameter  $\epsilon$  is **larger** for **short-ranged** potentials.

## Connecting Dynamics and Thermodynamics (*Science*, 293, 2067, 2001)

The organisation of a PES is governed by its **stationary points**, where Taylor expansions provide local descriptions in terms of **Hessian matrices**.

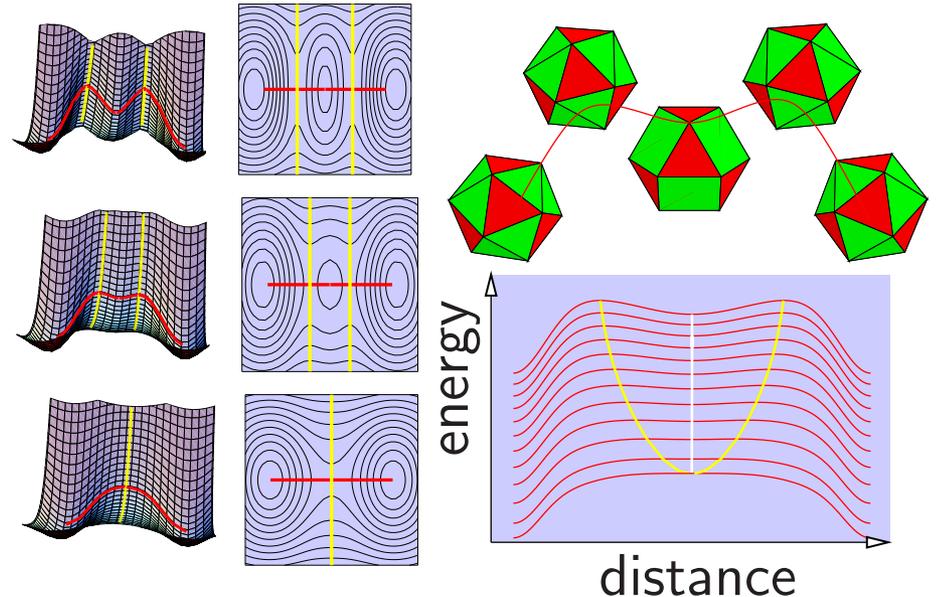
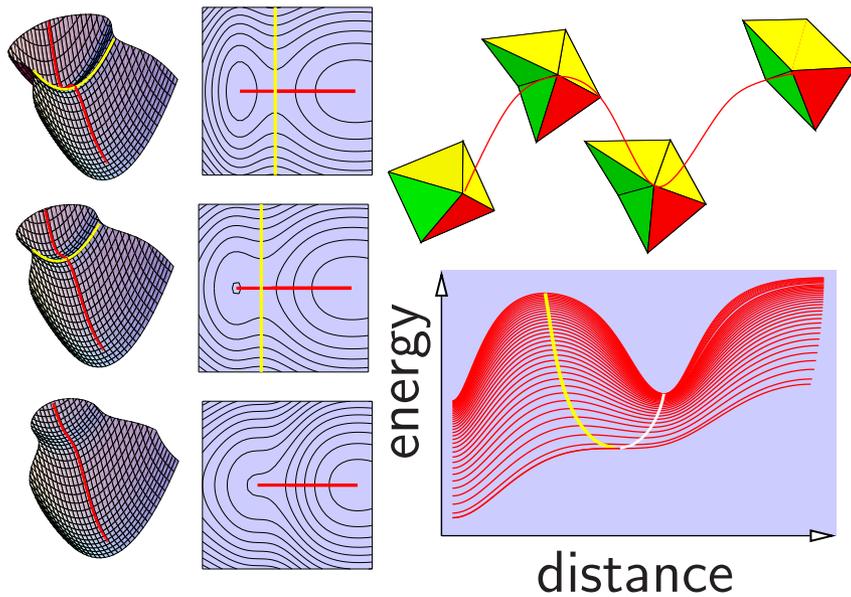
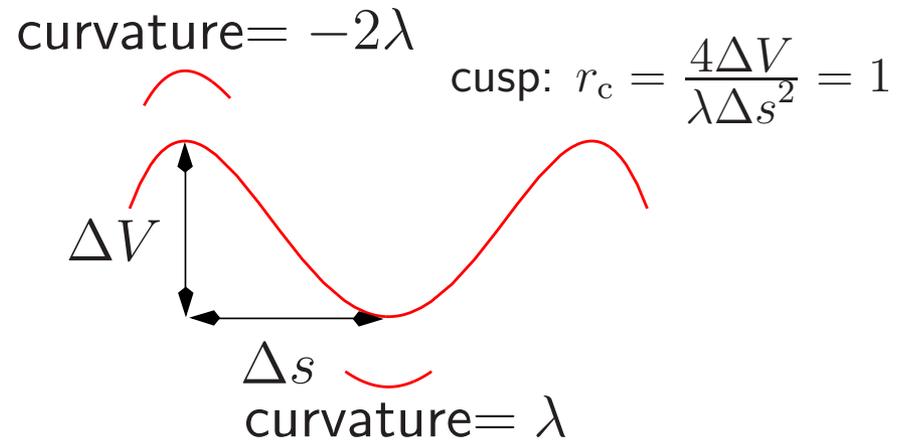
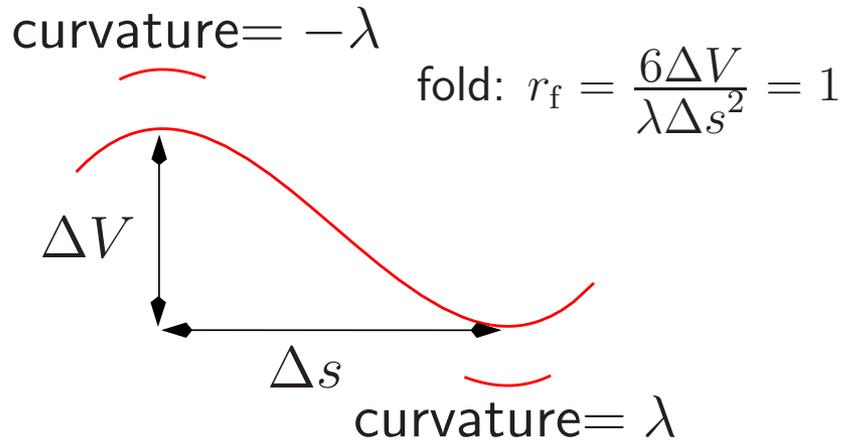
The organisation of **families** of PES's as a function of **parameters** in the potential is determined by the stationary points that possess additional zero Hessian eigenvalues, known as **non-Morse** points.

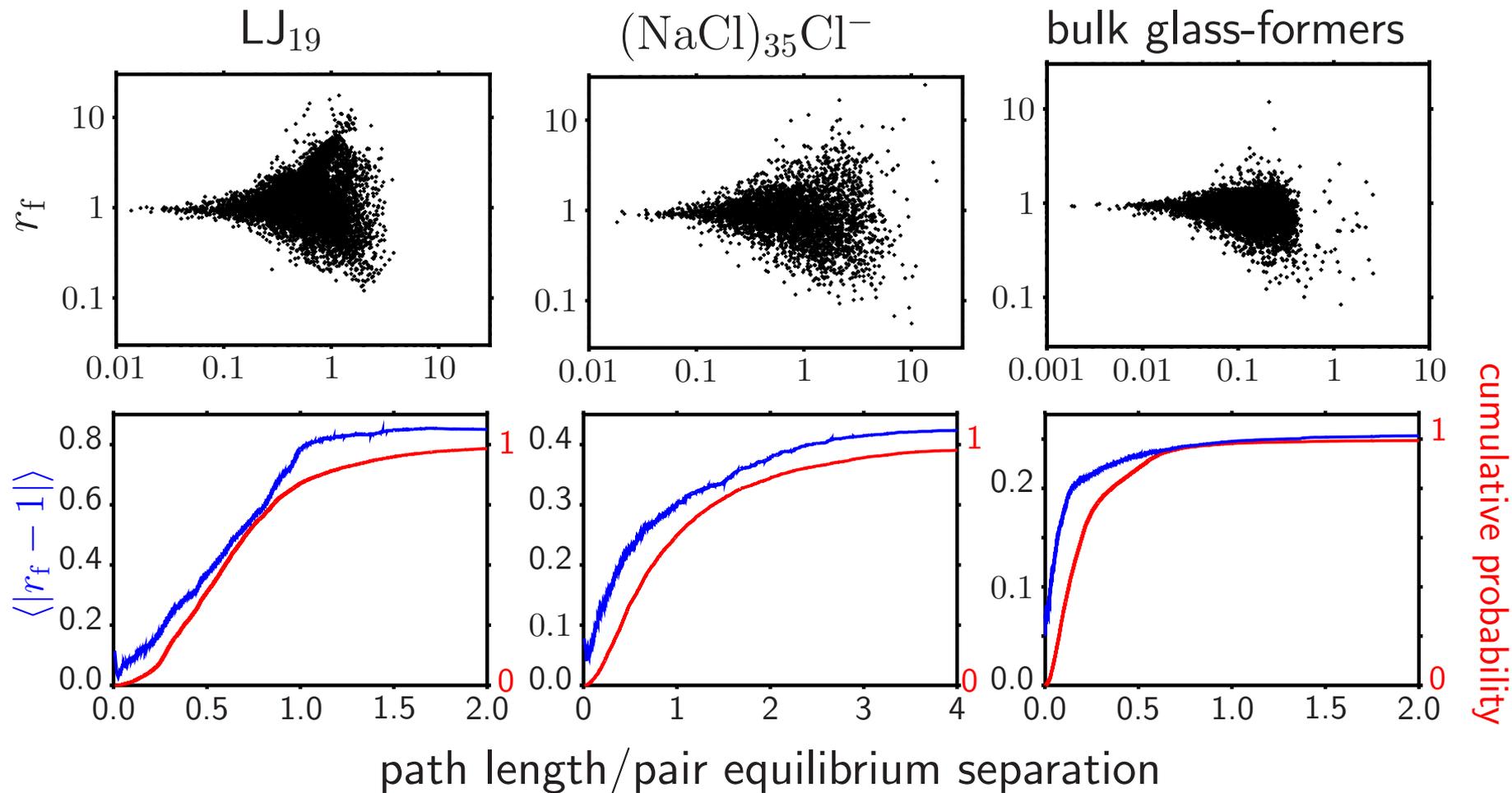
**Catastrophe theory** provides a local representation of the PES around non-Morse points as a function of **both** atomic coordinates and parameters.

The **splitting lemma** reduces the dimensionality to the **essential** variables, while **transversality** guarantees that the resulting classifications are **universal**.

The simplest one-parameter catastrophes are the **fold**,  $f(x) = \frac{1}{3}x^3 + ax$ , and the symmetrical **cusp**,  $f(x) = \frac{1}{4}x^4 + \frac{1}{2}ax^2$ .

# Geometries of the **fold** and **cusp** catastrophes.





For systems with a fixed potential we effectively have a **snap-shot** of parameter space. On average,  $r_f$  remains **close to unity** for many pathways in both model clusters and bulk, providing an explanation for **Hammond's postulate**.