

Leptonic CP violation and the matter/antimatter asymmetry of the Universe

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Outline

- 1. The facts: neutrino masses and the baryon asymmetry**
- 2. Baryogenesis and Leptogenesis**
- 3. Leptogenesis in BSM models of neutrino masses**
- 4. Is there a connection between low energy CPV and leptogenesis?**
- 3. Conclusions**

The facts

I. Neutrino oscillations imply that

neutrinos have mass and mix (CPV?)!

This requires new physics BSM which might be lepton number violating.

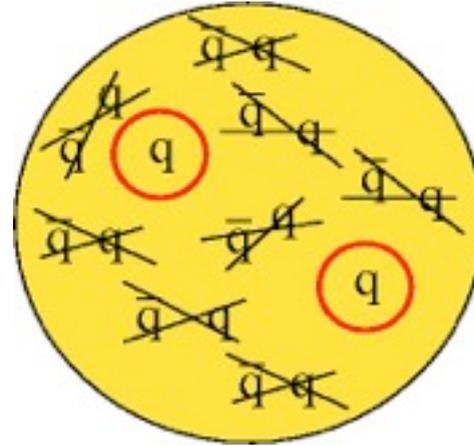
	Free Fluxes + RSBL		Huber Fluxes, no RSBL	
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range
$\sin^2 \theta_{12}$	$0.302^{+0.013}_{-0.012}$	0.267 \rightarrow 0.344	$0.311^{+0.013}_{-0.013}$	0.273 \rightarrow 0.354
$\theta_{12}/^\circ$	$33.36^{+0.81}_{-0.78}$	31.09 \rightarrow 35.89	$33.87^{+0.82}_{-0.80}$	31.52 \rightarrow 36.49
$\sin^2 \theta_{23}$	$0.413^{+0.037}_{-0.025} \oplus 0.594^{+0.021}_{-0.022}$	0.342 \rightarrow 0.667	$0.416^{+0.036}_{-0.029} \oplus 0.600^{+0.019}_{-0.026}$	0.341 \rightarrow 0.670
$\theta_{23}/^\circ$	$40.0^{+2.1}_{-1.5} \oplus 50.4^{+1.3}_{-1.3}$	35.8 \rightarrow 54.8	$40.1^{+2.1}_{-1.6} \oplus 50.7^{+1.2}_{-1.5}$	35.7 \rightarrow 55.0
$\sin^2 \theta_{13}$	$0.0227^{+0.0023}_{-0.0024}$	0.0156 \rightarrow 0.0299	$0.0255^{+0.0024}_{-0.0024}$	0.0181 \rightarrow 0.0327
$\theta_{13}/^\circ$	$8.66^{+0.44}_{-0.46}$	7.19 \rightarrow 9.96	$9.20^{+0.41}_{-0.45}$	7.73 \rightarrow 10.42
$\delta_{CP}/^\circ$	300^{+66}_{-138}	0 \rightarrow 360	298^{+59}_{-145}	0 \rightarrow 360
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.18}_{-0.19}$	7.00 \rightarrow 8.09	$7.51^{+0.21}_{-0.15}$	7.04 \rightarrow 8.12
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$ (N)	$+2.473^{+0.070}_{-0.067}$	+2.276 \rightarrow +2.695	$+2.489^{+0.055}_{-0.051}$	+2.294 \rightarrow +2.715
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$ (I)	$-2.427^{+0.042}_{-0.065}$	-2.649 \rightarrow -2.242	$-2.468^{+0.073}_{-0.065}$	-2.678 \rightarrow -2.252

2 other CPV Majorana phases.

The facts

2. There is evidence of the baryon asymmetry:

In the Early Universe



As the temperature drops, only quarks are left:

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.14 \pm 0.08) \times 10^{-10}$$

Planck, 1303.5076

**Is there a link between
light neutrino physics and
the baryon asymmetry?**

The theory

In order to generate dynamically a baryon asymmetry, the Sakharov's conditions need to be satisfied:

- B (or L) violation;
- C, CP violation;
- departure from thermal equilibrium.

The theory

In order to generate dynamically a baryon asymmetry, the Sakharov's conditions need to be satisfied:

- B (or L) violation;

If neutrinos are Majorana particles, L is violated.
Conversely, Majorana masses require L violation.

See-saw models require L violation (typically the Majorana mass of a heavy right-handed neutrino). They can be embedded in GUT or at the TeV scale or below.

In the SM also L is violated at the non-perturbative level. A lepton asymmetry is partially converted into a baryon asymmetry for $T > 100$ GeV by sphaleron effects.

The theory

In order to generate dynamically a baryon asymmetry, the Sakharov's conditions need to be satisfied:

- C, CP violation;

If C were conserved:

$$\Gamma(X^c \rightarrow Y^c + B^c) = \Gamma(X \rightarrow Y + B)$$

and no baryon asymmetry generated:

$$\frac{dB}{dt} \propto \Gamma(X^c \rightarrow Y^c + B^c) - \Gamma(X \rightarrow Y + B)$$

We have observed CPV in quark sector (too small) and we can search for it in the leptonic sector.

The theory

In order to generate dynamically a baryon asymmetry, the Sakharov's conditions need to be satisfied:

- out of equilibrium

In equilibrium

$$\Gamma(X \rightarrow Y + B) = \Gamma(Y + B \rightarrow X)$$

A generated baryon asymmetry is cancelled exactly by the antibaryon asymmetry.

When particles get out of equilibrium, this does not happen.

$$T < M_X$$

A successful model of
baryogenesis:

**Leptogenesis in models
at the origin of neutrino
masses**

A successful model of
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Provides a source of L violation

Neutrino masses BSM

In the SM, neutrinos do not acquire mass and mixing:

$$m_\nu \bar{\nu}_L \cancel{\nu_R}$$

Dirac mass

$$M \nu_L^T \cancel{C} \nu_L$$

Majorana mass

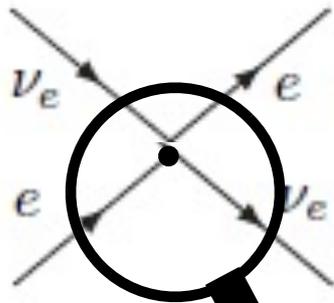
Dirac Masses: $\mathcal{L} = -y_\nu \bar{L} \cdot \tilde{H} \nu_R + \text{h.c.}$

$$y_\nu \sim \frac{\sqrt{2} m_\nu}{v_H} \sim \frac{0.2 \text{ eV}}{200 \text{ GeV}} \sim 10^{-12}$$

Lepton number violation!

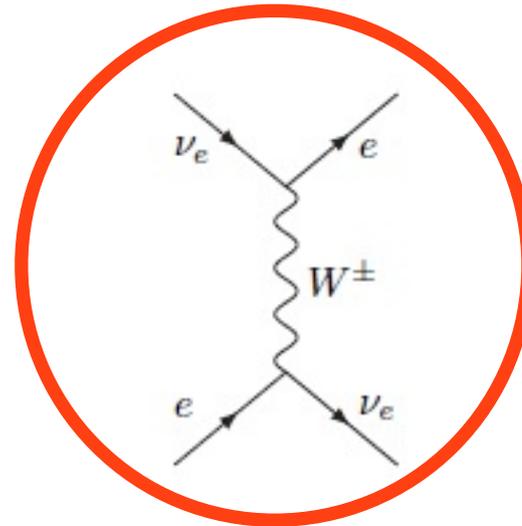
Majorana Masses: $-\mathcal{L} = \lambda \frac{\nu_L H \nu_L H}{M} = \frac{\lambda v^2}{M} \nu_L^T C \nu_L$

A D=5 **Majorana mass** can arise as the **low energy realisation of a higher energy theory (new mass scale!).**



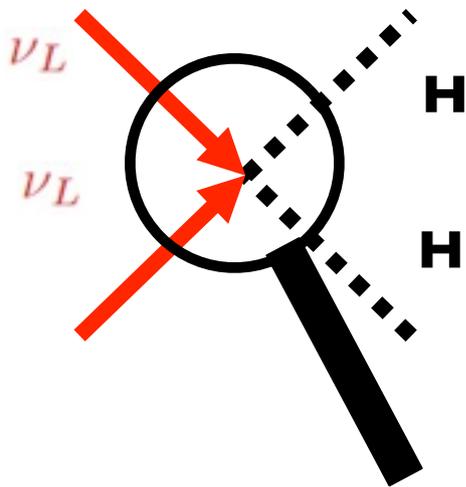
effective theory

$$\mathcal{L} \propto G_F (\bar{e}_L \gamma_\mu \nu_L) (\bar{\nu}_L \gamma^\mu e_L)$$



Standard Model:
W exchange

$$\mathcal{L}_{SM} \propto g \bar{\nu}_L \gamma^\mu e_L W_\mu \Rightarrow G_F \propto \frac{g^2}{m_W^2}$$

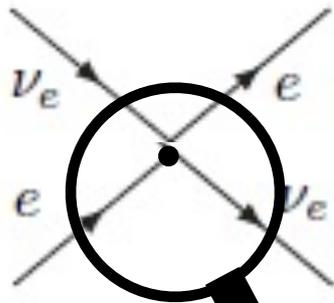


Neutrino mass

$$-\mathcal{L} = \lambda \frac{\nu_L H \nu_L H}{M} = \frac{\lambda v^2}{M} \nu_L^T C \nu_L$$

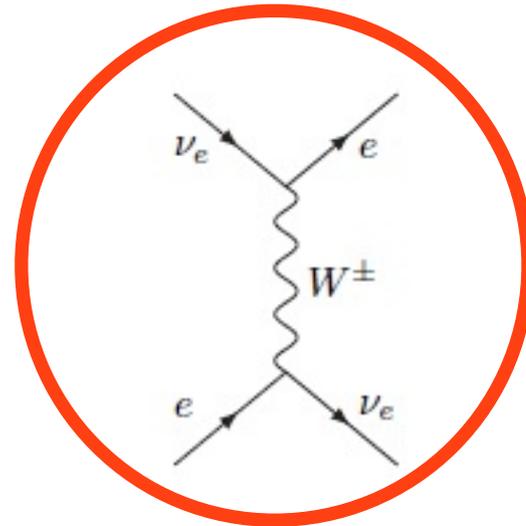


New theory:
new particle exchange with mass M



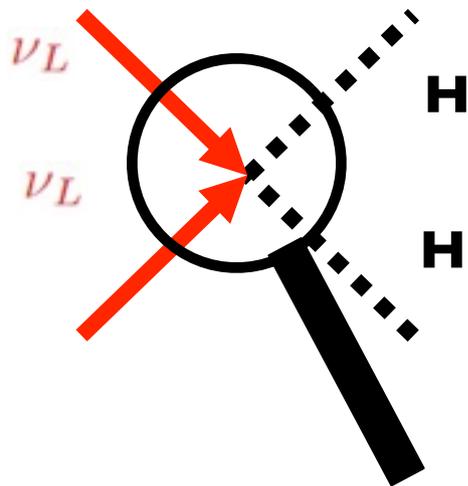
effective theory

$$\mathcal{L} \propto G_F (\bar{e}_L \gamma_\mu \nu_L) (\bar{\nu}_L \gamma^\mu e_L)$$



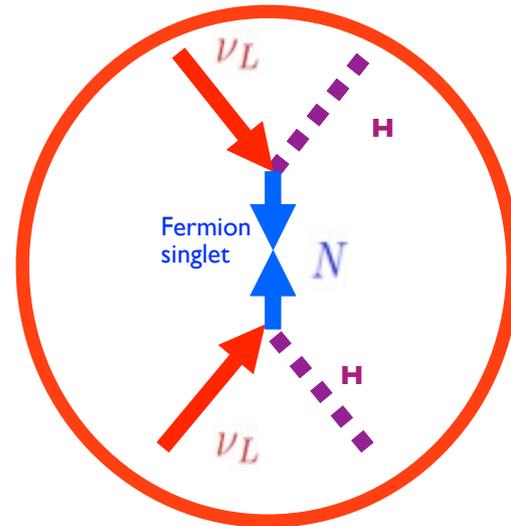
Standard Model:
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Neutrino mass

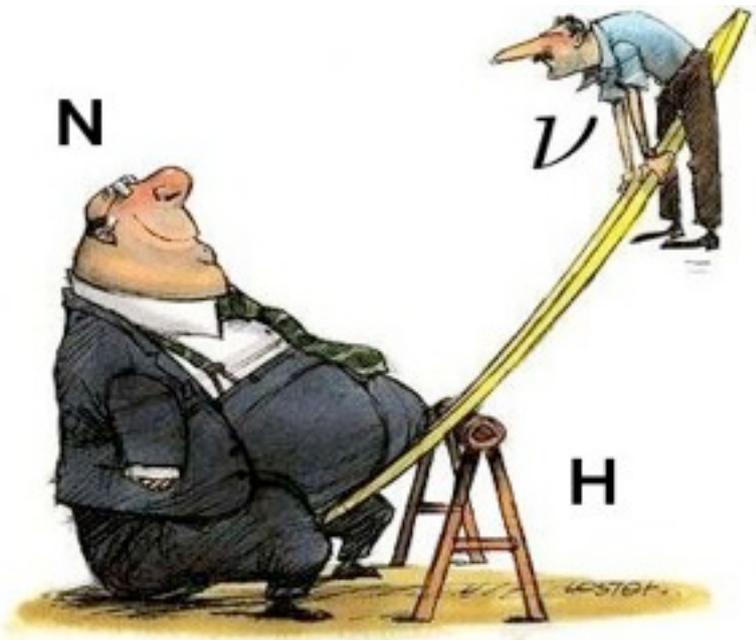
$$-\mathcal{L} = \lambda \frac{\nu_L H \nu_L H}{M} = \frac{\lambda v^2}{M} \nu_L^T C \nu_L$$



See-saw type I mechanism

Minkowski, Yanagida, Glashow, Gell-Mann, Ramond, Slansky, Mohapatra, Senjanovic

The simplest see saw mechanism: type I



- Introduce a right handed neutrino **N**
- Couple it to the Higgs and left handed neutrinos

$$\mathcal{L} = -Y_\nu \bar{N} L \cdot H - \frac{1}{2} \bar{N}^c M_R N$$

When the Higgs gets a vev:

$$\mathcal{L} = \begin{pmatrix} \nu_L^T & N^T \end{pmatrix} \begin{pmatrix} 0 & Y_\nu v \\ Y_\nu^T v & M \end{pmatrix} \begin{pmatrix} \nu_L \\ N \end{pmatrix}$$

$$m_\nu = U^* m_i U^\dagger = -Y_\nu^T M_R^{-1} Y_\nu v^2 \sim \frac{1 \text{ GeV}^2}{10^{10} \text{ GeV}} \sim 0.1 \text{ eV}$$

The light neutrino acquires a **tiny mass!**

Leptogenesis

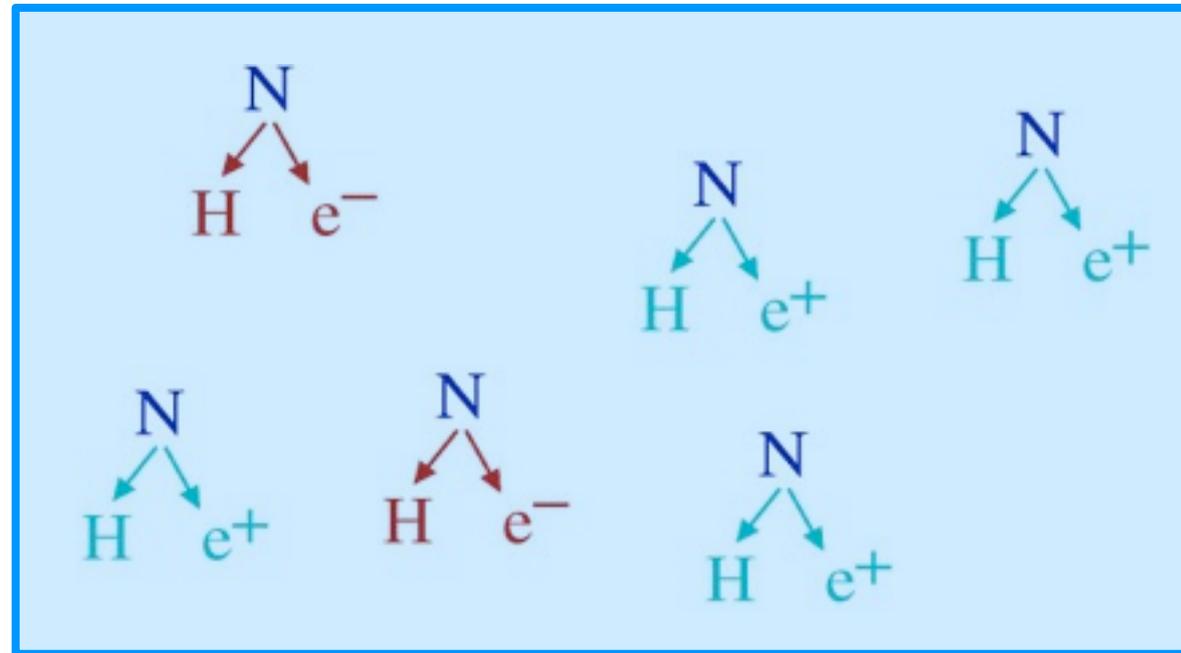
At $T > M$, the right-handed neutrinos N are in equilibrium thanks to processes which produce and destroy them:

$$N \leftrightarrow \ell H$$

When $T < M$, N drops out of equilibrium

$$N \rightarrow \ell H$$

A lepton asymmetry can be generated if



$$\Gamma(N \rightarrow \ell H) \neq \Gamma(N \rightarrow \ell^c H^c)$$

Sphalerons convert it into a baryon asymmetry.

In order to compute the baryon asymmetry:

1. evaluate the CP-asymmetry

$$\epsilon \equiv \frac{\Gamma(N \rightarrow \ell H) - \Gamma(N^c \rightarrow \ell^c H^c)}{\Gamma(N \rightarrow \ell H) + \Gamma(N^c \rightarrow \ell^c H^c)}$$

2. solve the Boltzmann equations to take into account the wash-out of the asymmetry

$$\eta_L = \tilde{k}\epsilon$$

3. convert the lepton asymmetry into the baryon one

$$\eta_B = \frac{\tilde{k}}{g^*} c_s \epsilon \sim 10^{-3} - 10^{-4} \epsilon$$

For $T < 10^{12}$ GeV, flavour effects are important.

**Is there a connection
between low energy
CPV and the baryon
asymmetry?**

The general picture

ϵ depends on the CPV phases in Y_ν

$$\epsilon \propto \sum_j \Im(Y_\nu Y_\nu^\dagger)_{1j}^2 \frac{M_j}{M_1}$$

and in the U mixing matrix via the **see-saw formula**.

$$m_\nu = U^* m_i U^\dagger = -Y_\nu^T M_R^{-1} Y_\nu v^2$$

Let's consider see-saw type I with 3 NRs.

High energy

$$\begin{array}{ccc} M_R & 3 & 0 \\ Y_\nu & 9 & 6 \end{array}$$

Low energy

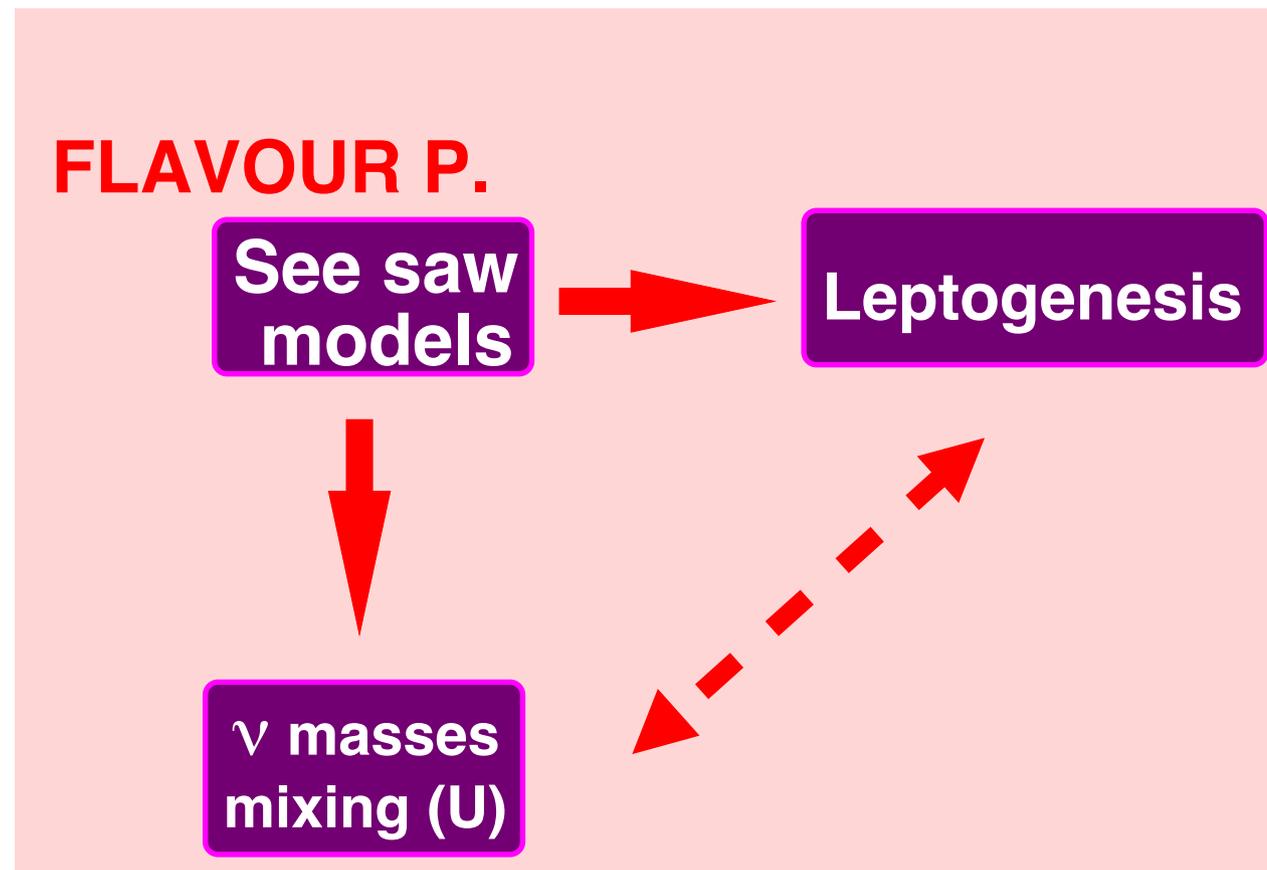
$$\begin{array}{ccc} m_i & 3 & 0 \\ U & 3 & 3 \end{array}$$

3 phases missing!

Specific flavour models

In understanding the origin of the flavour structure, the see-saw models have a reduced number of parameters.

It may be possible to predict the baryon asymmetry from the Dirac and Majorana phases.



Does observing low energy CPV imply a baryon asymmetry?

It has been shown that, thanks to flavour effects, the low energy phases enter directly the baryon asymmetry.

In see-saw type I with flavour effects, if we observe CPV, can we conclude that a lepton asymmetry was generated? And that this could be as large as what observed?

6 CPV phases $\rightarrow \delta, \alpha_{31}, \alpha_{32}$
~~3 phases at high energy, not observable~~

$$\epsilon_1 = -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{\rho} m_{\rho}^2 R_{1\rho}^2 \right)}{\sum_{\beta} m_{\beta} |R_{1\beta}|^2}$$

No flavour effects

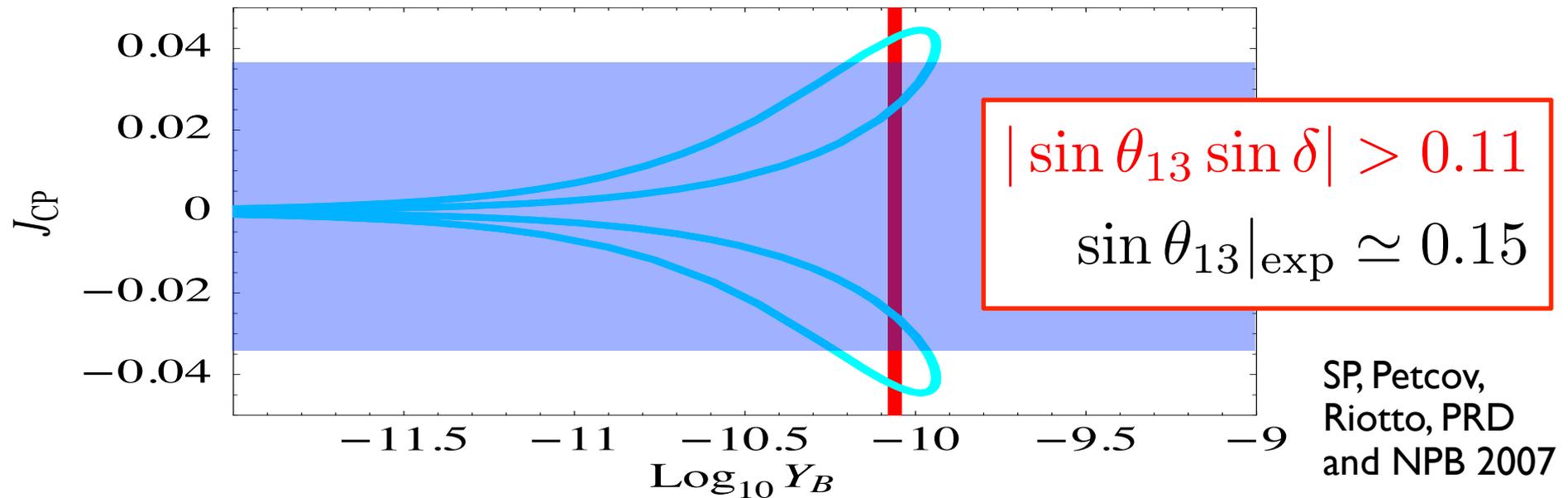
$$\epsilon_{\alpha} = -\frac{3M_1}{16\pi v^2} \frac{\text{Im} \left(\sum_{\beta\rho} m_{\beta}^{1/2} m_{\rho}^{3/2} U_{\alpha\beta}^* U_{\alpha\rho} R_{1\beta} R_{1\rho} \right)}{\sum_{\beta} m_{\beta} |R_{1\beta}|^2}$$

With flavour effects, $M_1 < 5 \cdot 10^{11}$ GeV

The CP-asymmetry can depend only on the low energy CPV phases:

in the NH spectrum ($m_1 \ll m_2 \ll m_3$), with $M_1 \ll M_2 \ll M_3$ and $M_1 < 5 \cdot 10^{11}$ GeV,

$$\epsilon_\tau \propto M_1 f(R_{ij}) \left[c_{23} s_{23} c_{12} \sin\left(\frac{\alpha_{32}}{2}\right) - c_{23}^2 s_{12} s_{13} \sin\left(\delta - \frac{\alpha_{32}}{2}\right) \right]$$



Large θ_{13} implies that δ can give an even dominant contribution to the baryon asymmetry. Large CPV and NH are needed. For IH or QD spectrum, the CP-asymmetry is suppressed. A more detailed numerical study is ongoing.

Conclusions

- **Leptogenesis** requires
 - **L violation** (nu-less double beta decay, colliders...)
 - **C/CPV** (LBL and nu-less double beta decay (?))
 - **out-of-equilibrium** (expansion of the Universe)
- In presence of flavour effects (see-saw type I, $M < 10^{12}$ GeV), low energy phases enter directly in leptogenesis.

The observation of L violation and of CPV in the lepton sector would be a strong indication (even if not a proof) of leptogenesis as the origin of the baryon asymmetry.

The CP-asymmetry in one-flavour approximation

For high $T > 10^{12}$ GeV, charged lepton Yukawa interactions are out-of-equilibrium and flavours are indistinguishable. Only the total decay asymmetry is relevant.

ϵ depends on the CPV phases in

$$\epsilon \propto \sum_j \Im(Y_\nu Y_\nu^\dagger)_{1j}^2 \frac{M_j}{M_1}$$

and therefore in the U mixing matrix (but not directly) via the see-saw formula.

$$m_\nu = U^* m_i U^\dagger = -Y_\nu^T M_R^{-1} Y_\nu v^2$$

Taking flavour into account

The different charged lepton enter in equilibrium when

$$\Gamma \sim H$$

for taus: $T \sim 10^{12}$ GeV

for muons: $T \sim 10^9$ GeV.

In this case, each lepton asymmetry needs to be evaluated separately:

$$\epsilon_\ell \propto \frac{1}{(Y_\nu Y_\nu^\dagger)_{11}} \sum_j \Im(Y_{1\ell} (Y_\nu Y_\nu^\dagger)_{1j} Y_{j\ell}^*) \frac{M_1}{M_j}$$

and then summed.