

The R-matrix Method in Nuclear Physics

P. Descouvemont

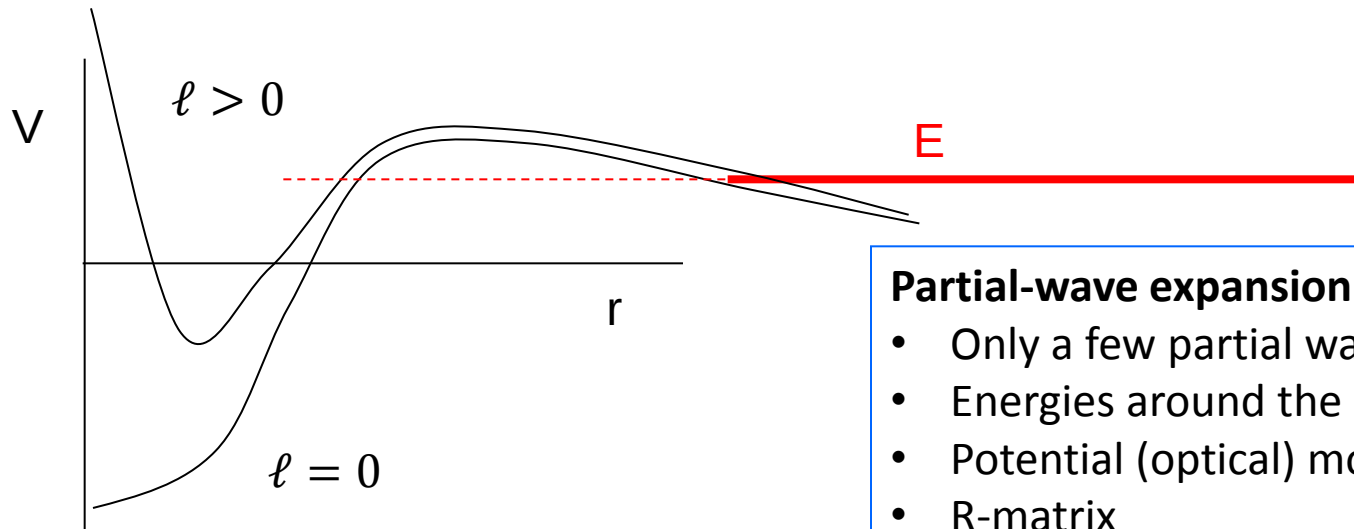
*Physique Nucléaire Théorique et Physique Mathématique, CP229,
Université Libre de Bruxelles, B1050 Bruxelles - Belgium*

1. Introduction
2. General collision theory: elastic scattering
3. Phase-shift method
4. The R-matrix method: general formalism
5. Calculable R-matrix ($\rightarrow$ theory)
6. Phenomenological R matrix ($\rightarrow$ experiment)
7. Conclusions

1. Introduction

General context:

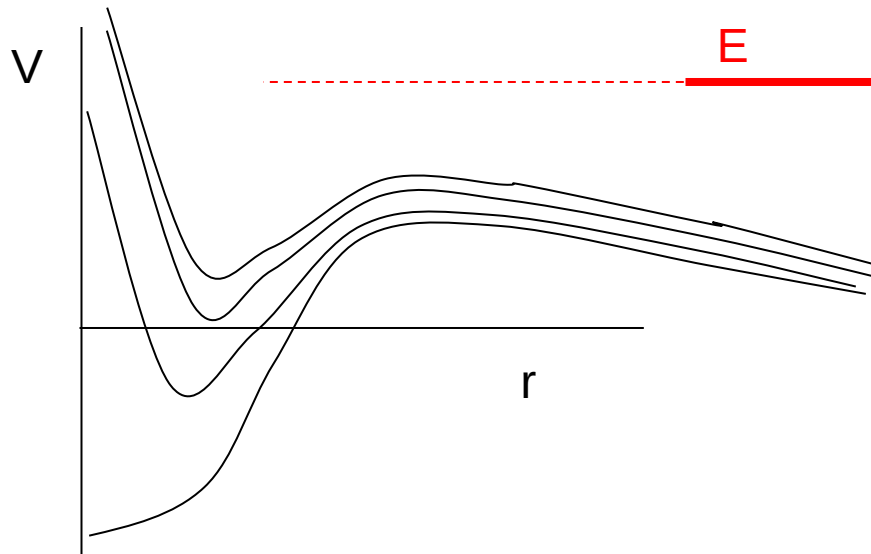
- Two-body systems
- Low energies ($E \lesssim$ Coulomb barrier), few open channels (one)
- Low masses ($A \lesssim 15-20$)
- Low level densities ($\lesssim$ a few levels/MeV)
- Reactions with neutrons AND charged particles



Partial-wave expansion (low E)

- Only a few partial waves contribute
- Energies around the coulomb barrier
- Potential (optical) model
- R-matrix
- DWBA
- CDCC, etc..

1. Introduction



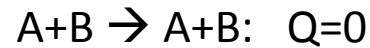
No partial-wave expansion (high E)

- Many partial waves
- Glauber method
- Eikonal method, etc.

1. Introduction

Different types of reactions

1. **Elastic** collision : entrance channel=exit channel



covered here

2. **Inelastic** collision ($Q \neq 0$)



etc..

3. **Transfer** reactions



etc...

NOT covered here

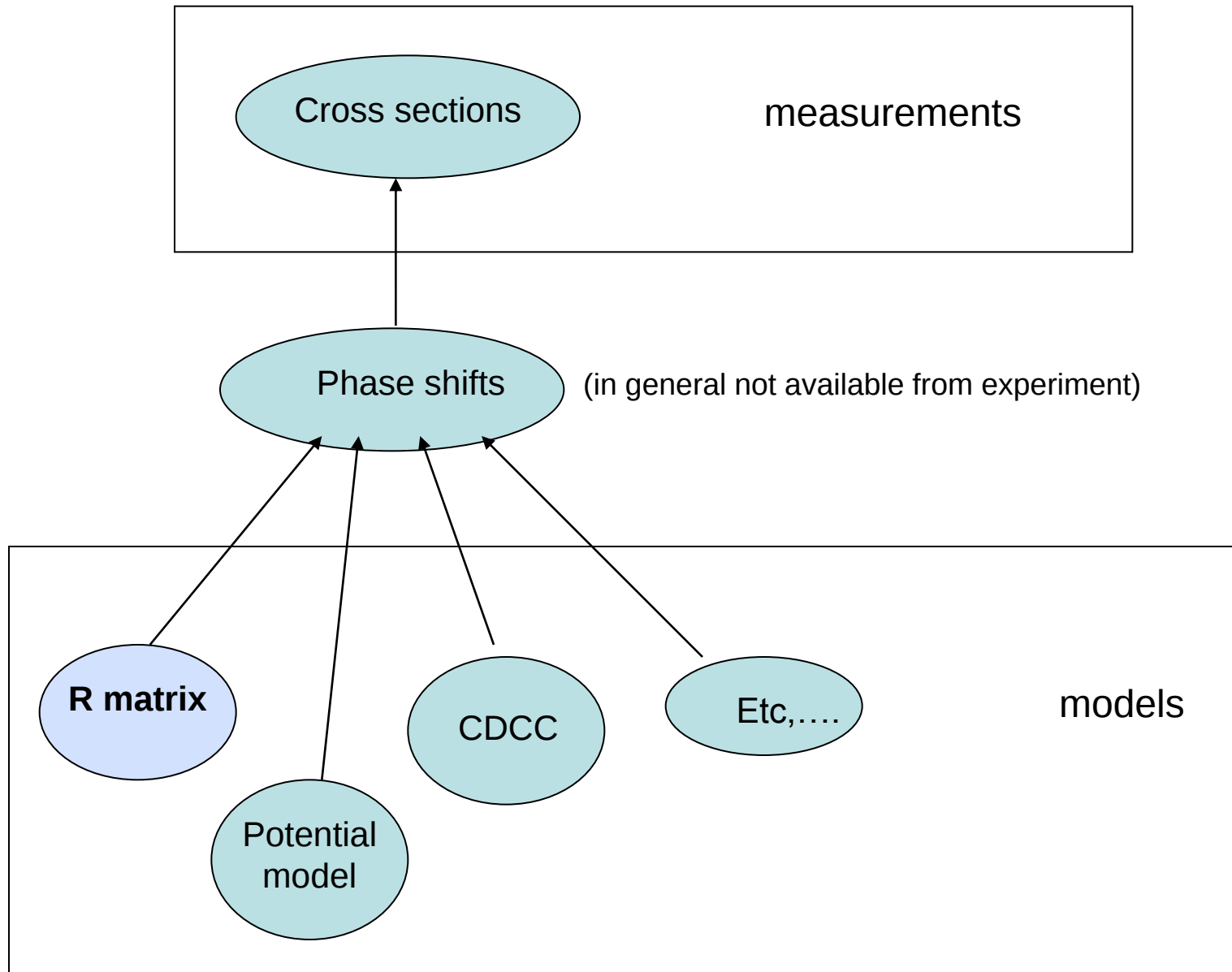
4. **Radiative capture** reactions



5. **Breakup**

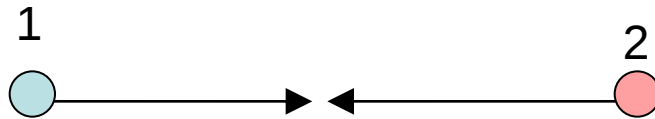
1. Introduction

Principles of the partial-wave expansion

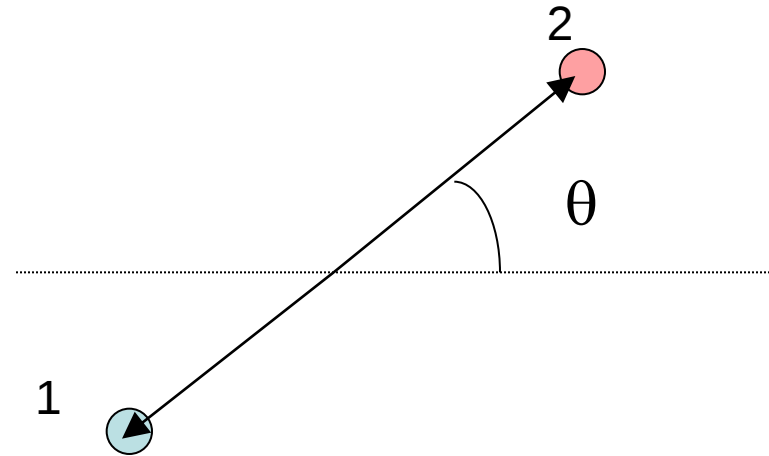


2. Collision theory: elastic scattering

Assumptions: elastic scattering
 no internal structure
 no Coulomb
 spins zero



Before collision



After collision

Center-of-mass system

2. Collision theory: elastic scattering

A. Scattering wave functions

Schrödinger equation: $V(r)$ =interaction potential

$$H\Psi(\mathbf{r}) = \left(-\frac{\hbar^2}{2\mu} \Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

- $E > 0$: scattering states
- Assumption: the potential is **real** and **decreases faster than $1/r$**
- center-of-mass removed
- At large distances : $V(r) \rightarrow 0$

2 independent solutions : $\Psi(\mathbf{r}) \rightarrow A \left(e^{i\mathbf{k} \cdot \mathbf{r}} + f(\theta) \frac{e^{ikr}}{r} \right)$

Incoming plane wave

Outgoing spherical wave

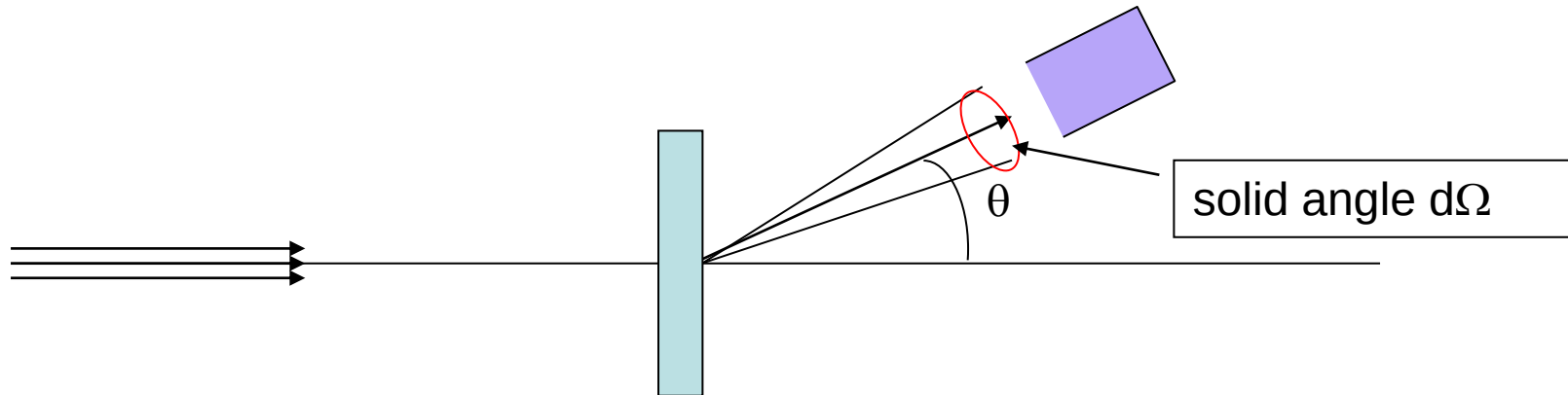
where: k =wave number: $k^2 = 2mE/\hbar^2$

A =amplitude (scattering wave function **is not normalized**)

$f(\theta)$ =**scattering amplitude** (length)

2. Collision theory: elastic scattering

B. Cross sections



Cross section $\Rightarrow \frac{d\sigma}{d\Omega} = |f(\theta)|^2, \quad \sigma = \int \frac{d\sigma}{d\Omega} d\Omega$

- Cross section obtained from the asymptotic part of the wave function
- “Direct” problem: determine σ from the potential
- “Inverse” problem : determine the potential V from σ
- Angular distribution: E fixed, θ variable
- Excitation function: θ variable, E fixed,

2. Collision theory: elastic scattering

C. Solving the Schrödinger equation for $E > 0$

$$H\Psi(\mathbf{r}) = \left(-\frac{\hbar^2}{2\mu} \Delta + V(\mathbf{r}) \right) \Psi(\mathbf{r}) = E\Psi(\mathbf{r})$$

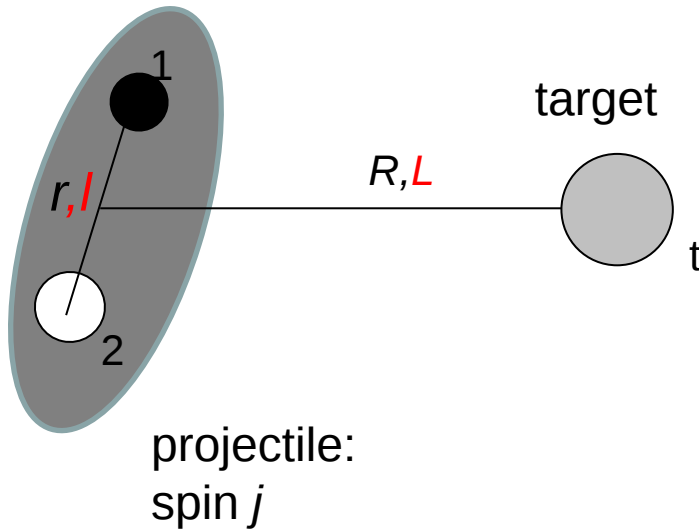
$$\text{with } \Psi(\mathbf{r}) \rightarrow A \left(e^{i\mathbf{k} \cdot \mathbf{r}} + f(\theta) \frac{e^{ikr}}{r} \right) \text{ (z along the beam axis)}$$

Several methods:

- Here: **Two-body Potential model** ($\rightarrow$ elastic scattering only)
R-matrix
- **DWBA**: Distorted-Wave Born Approximation
Different potentials in the entrance and exit channels $\rightarrow$ transfer

2. Collision theory: elastic scattering

- *CDCC: Coupled-Channel Discretized Continuum*



$$H = H_0 + T_R + V_{l1}(\mathbf{R} + \frac{A_2}{A_p}\mathbf{r}) + V_{l2}(\mathbf{R} - \frac{A_1}{A_p}\mathbf{r})$$

- projectile described by a 2 (or 3) cluster structure
- System of coupled-channel equations
- Elastic, inelastic, breakup, etc.

- *Formal theory: Lippman-Schwinger equation*

$$f(\theta) = -\frac{2\mu}{4\pi\hbar^2} \int \exp(-ikr' \cos \theta) V(\mathbf{r}') \psi(\mathbf{r}') d\mathbf{r}' \quad \text{equivalent to the Schrodinger equation}$$

➔ approximations

- Born approximation : $\Psi(\mathbf{r}) = \exp(i\mathbf{k} \cdot \mathbf{r})$
- Eikonal approximation $\Psi(\mathbf{r}) = \exp(i\mathbf{k} \cdot \mathbf{r}) \hat{\Psi}(\mathbf{r})$

3. Phase-shift method: potential model

a. Definitions, cross sections

Simple conditions: neutral systems
 spins 0
 single-channel

b. Extension to charged systems

c. Extension to multichannel problems

d. Low energy properties

e. General calculation

f. Optical model

3. Phase-shift method: Definition, cross section

The wave function is expanded as

$$\Psi(\mathbf{r}) = \sum_{\ell, m} \frac{u_{\ell}(r)}{r} Y_{\ell}^m(\Omega_r) Y_{\ell}^{m*}(\Omega_k)$$

which provides the Schrödinger equation for each partial wave ($\Omega_k = 0 \rightarrow m = 0$)

$$-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right) u_{\ell} + V(r)u_{\ell} = Eu_{\ell}$$

To be solved for any potential (real)

For $r \rightarrow \infty$

$$\begin{cases} V(r) = 0 \\ u_{\ell}'' - \frac{\ell(\ell+1)}{r^2} u_{\ell} + k^2 u_{\ell} = 0 \text{ Bessel equation} \rightarrow u_{\ell}(r) = r j_{\ell}(kr), r n_{\ell}(kr) \end{cases}$$

Remarks: at low energies: few partial waves in the expansion
 at small r : $u_{\ell}(r) \rightarrow r^{\ell+1}$

3. Phase-shift method: Definition, cross section

For small x

$$j_l(x) \rightarrow \frac{x^l}{(2l+1)!!}$$
$$n_l(x) \rightarrow -\frac{(2l-1)!!}{x^{l+1}}$$

For large x

$$j_l(x) \rightarrow \frac{1}{x} \sin(x - l\pi/2)$$
$$n_l(x) \rightarrow -\frac{1}{x} \cos(x - l\pi/2)$$

Examples:

$$j_0(x) = \frac{\sin(x)}{x}, \quad n_0(x) = -\frac{\cos(x)}{x}$$

At large distances: u_ℓ is a linear combination of $rj_\ell(kr)$ and $rn_\ell(kr)$

$$u_\ell(r) \rightarrow Cr(j_\ell(kr) - \tan \delta_\ell \times n_\ell(kr))$$

With $\delta_\ell = \text{phase shift}$ (information about the potential): If $V=0 \rightarrow \delta_\ell = 0$

3. Phase-shift method: Definition, cross section

Derivation of the elastic cross section

- Identify the asymptotic behaviours

$$\Psi(\mathbf{r}) \rightarrow A \left(e^{i\mathbf{k}\cdot\mathbf{r}} + f(\theta) \frac{e^{ikr}}{r} \right)$$

$$\Psi(\mathbf{r}) \rightarrow \sum_{\ell} C_{\ell} (j_{\ell}(kr) - \tan \delta_{\ell} \times n_{\ell}(kr)) Y_{\ell}^0(\Omega_r) \sqrt{\frac{2\ell+1}{4\pi}}$$

- Provides coefficients C_{ℓ} and scattering amplitude $f(\theta)$

$$f(\theta, E) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1) (\exp(2i\delta_{\ell}(E)) - 1) P_{\ell}(\cos \theta)$$

$$\frac{d\sigma(\theta, E)}{d\Omega} = |f(\theta, E)|^2$$

- Integrated cross section (neutral systems only)

$$\sigma = \frac{\pi}{k^2} \sum_{\ell=0}^{\infty} (2\ell + 1) \sin^2 \delta_{\ell}$$

- In practice, the summation over ℓ is limited to some ℓ_{max}

3. Phase-shift method: Definition, cross section

$$\frac{d\sigma(\theta, E)}{d\Omega} = |f(\theta, E)|^2 \text{ with } f(\theta, E) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} (2\ell + 1)(\exp(2i\delta_{\ell}(E)) - 1) P_{\ell}(\cos \theta)$$

→ **factorization** of the dependences in E and θ

low energies: small number of ℓ values ($\delta_{\ell} \rightarrow 0$ when ℓ increases)

high energies: large number (→ alternative methods)

General properties of the phase shifts

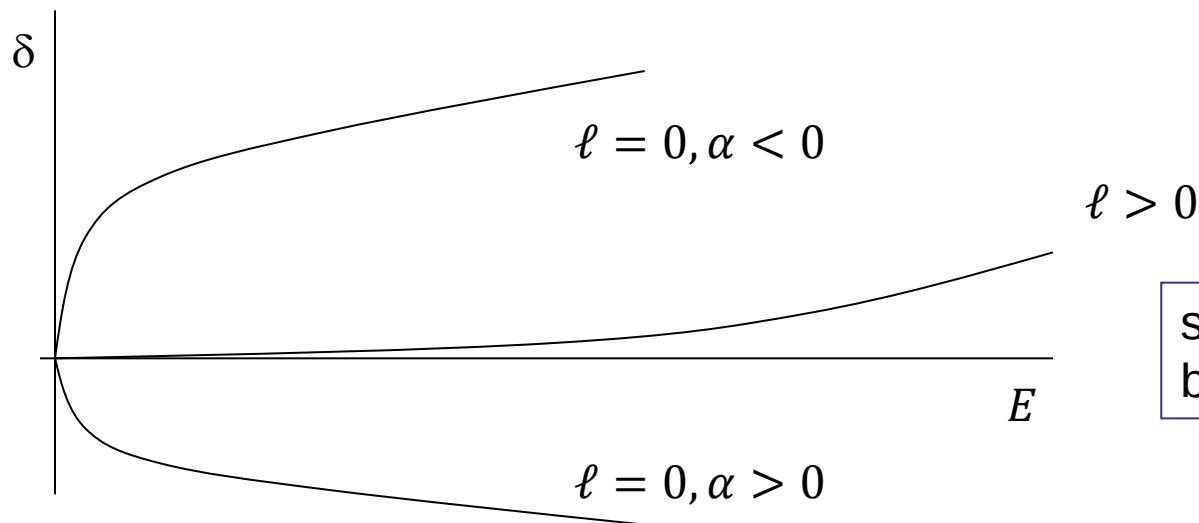
1. The phase shift (and all derivatives) are continuous functions of E
2. The phase shift is known within $n\pi$: $\exp 2i\delta = \exp(2i(\delta + n\pi))$
3. Levinson theorem
 - $\delta_{\ell}(E = 0)$ is arbitrary
 - $\delta_{\ell}(0) - \delta_{\ell}(\infty) = N\pi$, where N is the number of bound states in partial wave ℓ
 - Example: p+n,
 - $\ell = 0$: $\delta_0(0) - \delta_0(\infty) = \pi$ (bound deuteron)
 - $\ell = 1$: $\delta_1(0) - \delta_1(\infty) = 0$ (no bound state for $\ell = 1$)

3. Phase-shift method: Low-energy properties

One defines $\gamma = \frac{1}{u(a)} \left(\frac{du}{dr} \right)_{r=a}$ = logarithmic derivative at $r=a$ (a large)

Then, for **very low E** (neutral system): $\tan \delta_\ell \approx \frac{(ka)^{2\ell+1}}{(2\ell+1)!!(2\ell-1)!!} \left[\frac{\ell - \gamma a}{\gamma a + \ell + 1} \right]$

For $\ell = 0$: $\alpha = -\lim_{k \rightarrow 0} \frac{\tan \delta_0(k)}{k}$ = scattering length



strong difference
between $\ell = 0$ and $\ell > 0$

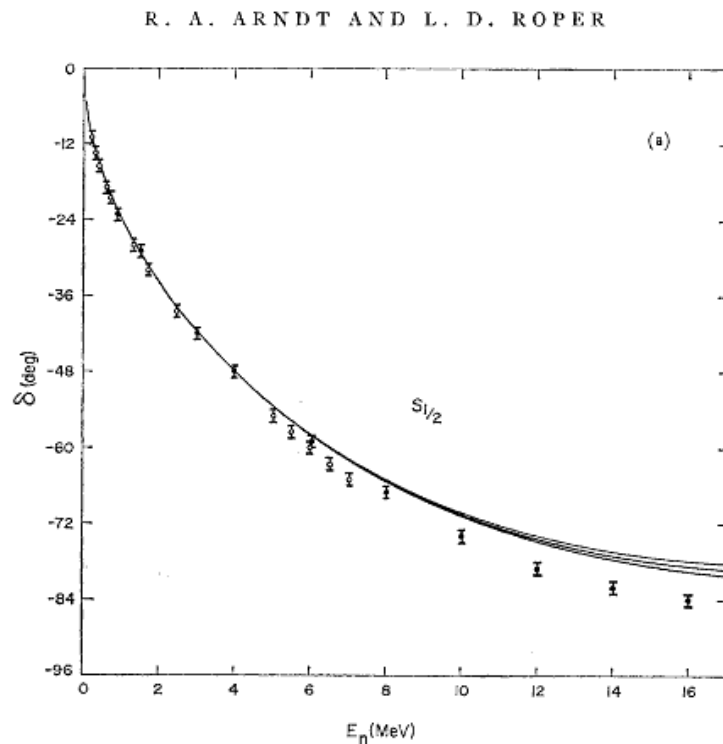
Generalization $k \cot \delta_0(k) = -\frac{1}{\alpha} + \frac{1}{2}r_e k^2 + \dots$ α =scattering length
 r_e =effective range

3. Phase-shift method: Low-energy properties

Cross section at low E: $\sigma = \frac{4\pi}{k^2} \sum_{\ell} (2\ell + 1) \sin^2 \delta_{\ell} \xrightarrow{k \rightarrow 0} 4\pi\alpha^2$ (isotropic)

In general (**neutrons**): $\delta \sim k^{2\ell+1}$
 $\sigma \sim k^{4\ell}$

Thermal neutrons (T=300K, E=25 meV): only $\ell=0$ contributes



example : $\alpha+n$ phase shift $\ell = 0$

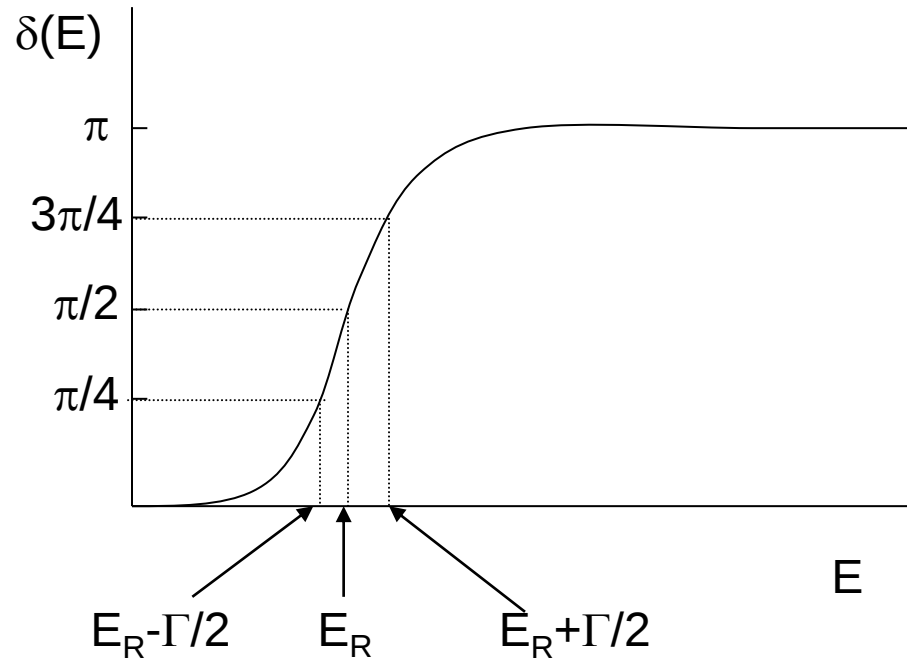
At low E: $\delta \sim -k\alpha$ with $\alpha > 0 \rightarrow$ repulsive

3. Phase-shift method: resonances

Resonances: $\delta_R(E) \approx \arctan \frac{\Gamma}{2(E_R - E)}$ =Breit-Wigner approximation

E_R =resonance energy

Γ =resonance width



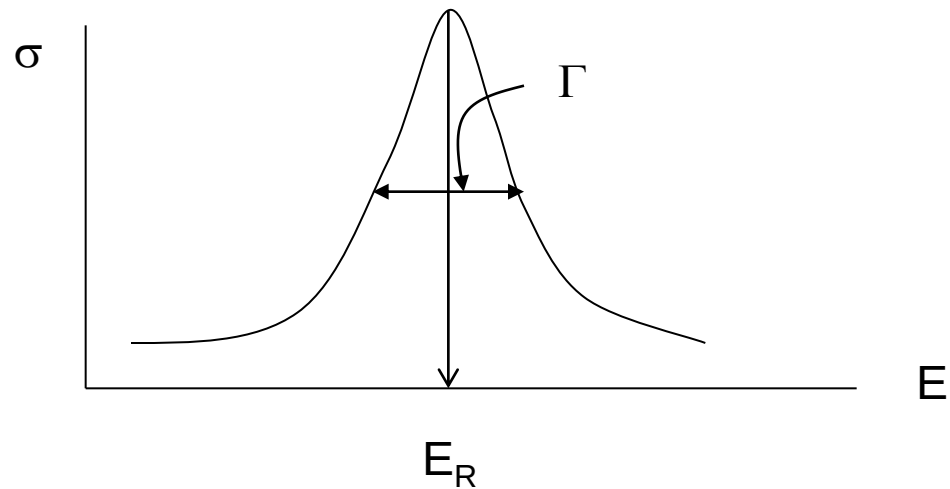
- Narrow resonance: Γ small
- Broad resonance: Γ large

3. Phase-shift method: resonances

Cross section

$$\sigma(E) = \frac{\pi}{k^2} \sum_{\ell} (2\ell + 1) |\exp(2i\delta_{\ell}) - 1|^2 \quad \text{maximum for } \delta = \frac{\pi}{2}$$

Near the resonance: $\sigma(E) \approx \frac{4\pi}{k^2} (2\ell_R + 1) \frac{\Gamma^2/4}{(E_R - E)^2 + \Gamma^2/4}$, where ℓ_R = resonant partial wave



In practice:

- Peak not symmetric (Γ depends on E)
- « Background » neglected (other ℓ values)
- Differences with respect to Breit-Wigner

3. Phase-shift method: resonances

Narrow vs broad resonances

Comparison of 2 characteristic times

a. Resonance lifetime $\tau_R = \hbar/\Gamma$

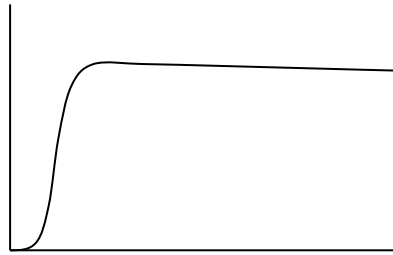
b. Interaction time (no resonance): $\tau_{NR} = d/v$

Example1: $^{12}\text{C}+p$

Resonance properties: $E_R=0.42$ MeV, $\Gamma=32$ keV $\rightarrow$ lifetime: $\tau_R = \sim 2 \times 10^{-20}$ s

Interaction range $d \sim 10$ fm $\rightarrow$ interaction time $\tau_{NR} \sim 1.1 \times 10^{-21}$ s

$\rightarrow$ narrow resonance

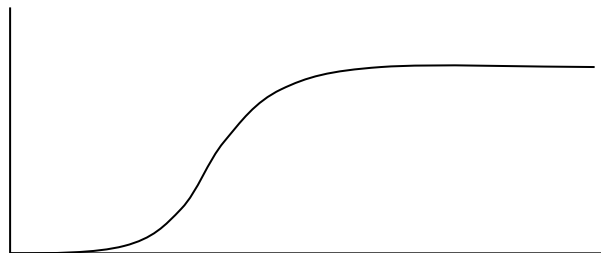


Example 2: $\alpha+p$

Resonance properties: $E_R=1.72$ MeV, $\Gamma=1.2$ MeV $\rightarrow$ lifetime: $\tau_R = \sim 6 \times 10^{-22}$ s

Interaction range $d \sim 10$ fm $\rightarrow$ interaction time $\tau_{NR} \sim 5 \times 10^{-22}$ s

$\rightarrow$ broad resonance



3. Phase-shift method: Generalization to the Coulomb potential

The asymptotic behaviour $\Psi(\mathbf{r}) \rightarrow \exp(i\mathbf{k} \cdot \mathbf{r}) + f(\theta) \frac{\exp(ikr)}{r}$

Becomes: $\Psi(\mathbf{r}) \rightarrow \exp(i\mathbf{k} \cdot \mathbf{r} + i\eta \ln(\mathbf{k} \cdot \mathbf{r} - kr)) + f(\theta) \frac{\exp(i(kr - \eta \ln 2kr))}{r}$

With $\eta = \frac{Z_1 Z_2 e^2}{\hbar v}$ = Sommerfeld parameter, v = velocity

Bessel equation: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} + k^2 \right) u_\ell = 0$
 $u_\ell(r) \rightarrow rA(j_\ell(kr) - \tan \delta_\ell n_\ell(kr))$

Coulomb equation: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - 2\frac{\eta k}{r} + k^2 \right) u_\ell = 0$

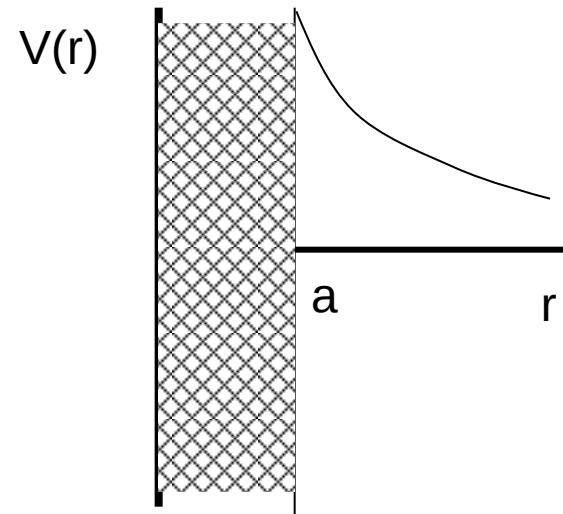
Solutions: $F_\ell(\eta, kr)$: regular, $G_\ell(\eta, kr)$: irregular

Ingoing, outgoing functions: $I_\ell = G_\ell - iF_\ell$, $O_\ell = G_\ell + iF_\ell$

$$\begin{aligned} u_\ell(r) &\rightarrow A(F_\ell(\eta, kr) + \tan \delta_\ell G_\ell(\eta, kr)) \\ &\rightarrow B(\cos \delta_\ell F_\ell(\eta, kr) + \sin \delta_\ell G_\ell(\eta, kr)) \\ &\rightarrow C(I_\ell(\eta, kr) - U_\ell O_\ell(\eta, kr)) \end{aligned}$$

3. Phase-shift method: Generalization to the Coulomb potential

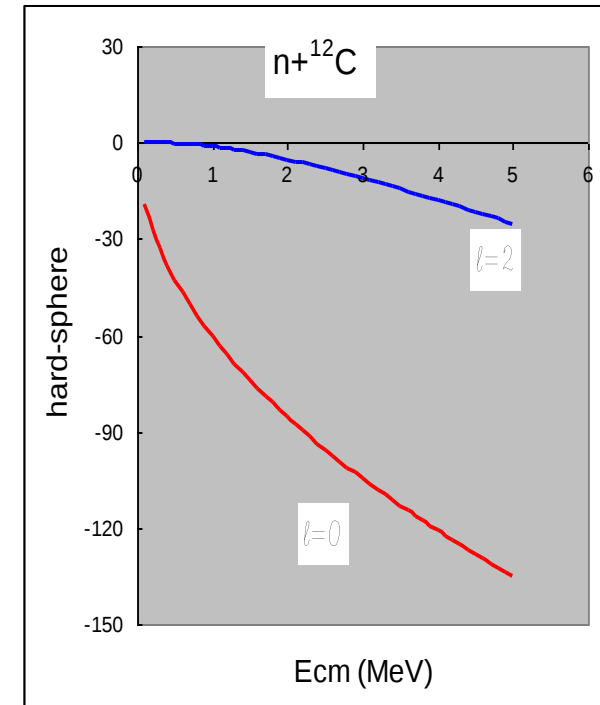
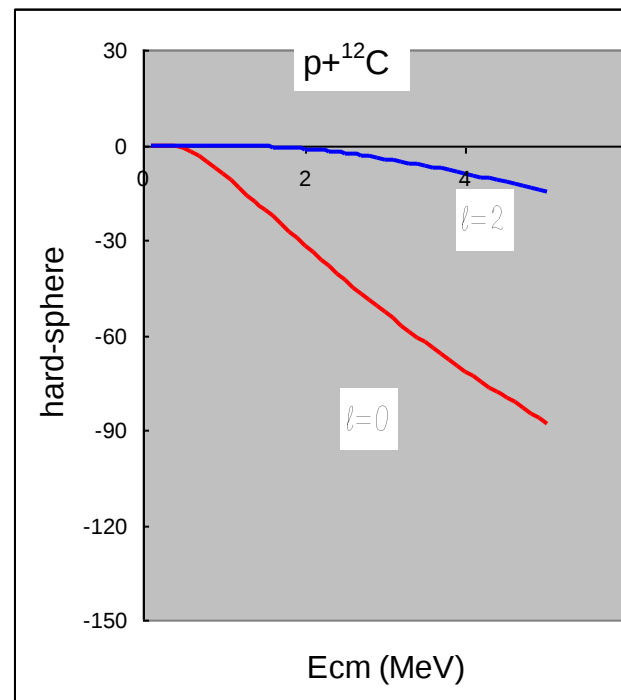
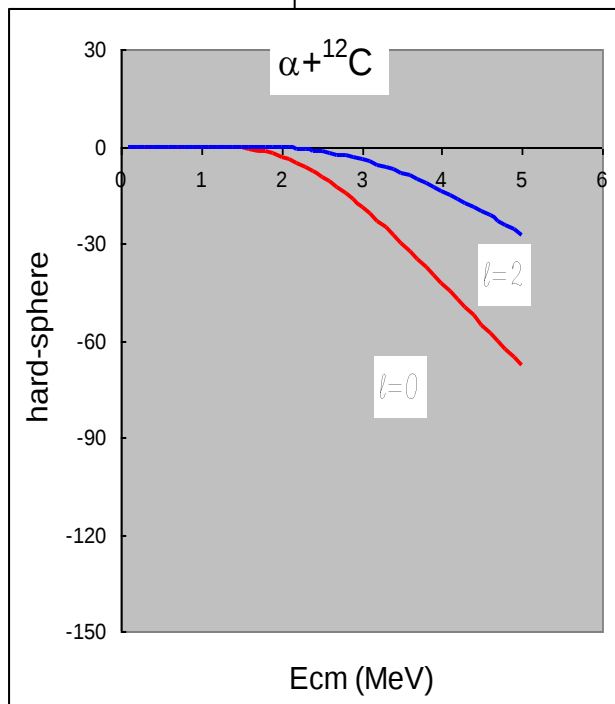
Example: hard sphere



$$V(r) = \frac{Z_1 Z_2 e^2}{r} \text{ for } r > a$$
$$V(r) = \infty \text{ for } r < a$$

phase shift: $u_\ell(a) = F_\ell(\eta, ka) + \tan \delta_\ell G_\ell(\eta, kr) = 0$

$$\rightarrow \tan \delta_\ell = -\frac{F_\ell(\eta, ka)}{G_\ell(\eta, ka)}$$



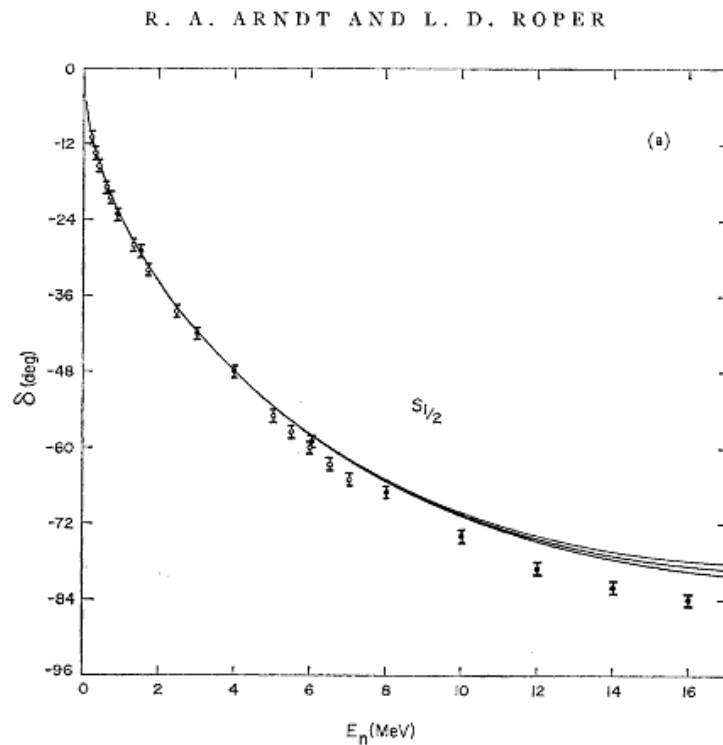
3. Phase-shift method: Generalization to the Coulomb potential

Special case: Neutrons, with $\ell = 0$:

$$F_0(x) = \sin(x), \quad G_0(x) = \cos(x)$$

$$\rightarrow \delta = -ka$$

example : $\alpha+n$ phase shift $\ell = 0$



→ hard sphere is a good approximation

3. Phase-shift method: Generalization to the Coulomb potential

Elastic cross section with Coulomb:

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2, \text{ with } f(\theta) = \frac{1}{2ik} \sum_l (2l+1)(\exp(2i\delta_l) - 1)P_l(\cos\theta)$$

Still valid, but converges very slowly.

$$\delta_l = \delta_l^N + \delta_l^C$$

$$\begin{aligned} f(\theta) &= \frac{1}{2ik} \sum_l (2l+1)[\exp(2i\delta_l) - 1]P_l(\cos\theta) \\ &= \frac{1}{2ik} \sum_l (2l+1)[\underbrace{\exp(2i\delta_l) - \exp(2i\delta_l^C)}_{\text{Nuclear}} + \underbrace{\exp(2i\delta_l^C) - 1}_{\text{Coulomb: exact}}]P_l(\cos\theta) \end{aligned}$$

$$= f_N(\theta) + f_C(\theta)$$

$$f_C(\theta) = \frac{-\eta}{2k \sin^2 \theta / 2} \exp(-i\eta \log(\sin^2 \theta / 2)) = \text{Coulomb amplitude}$$

$$\frac{d\sigma_C}{d\Omega} = |f_C(\theta)|^2 \quad = \text{Coulomb/Rutherford cross section:}$$

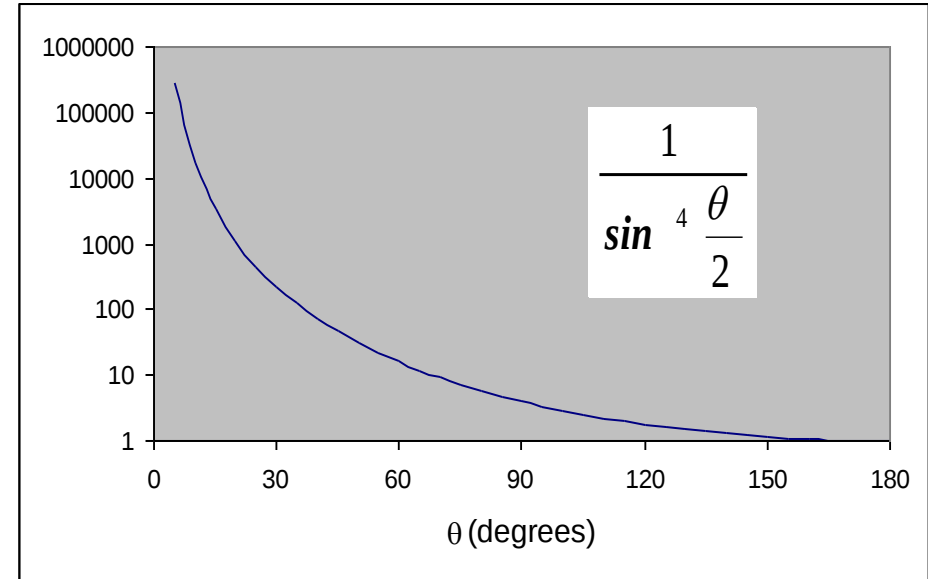
diverges for $\theta=0$
increases at low energies

3. Phase-shift method: Generalization to the Coulomb potential

$$f(\theta) = f_C(\theta) + f_N(\theta)$$

- $f_C(\theta)$: Coulomb part: **exact**
- $f_N(\theta)$: nuclear part: **converges rapidly**

$$\frac{d\sigma_C}{d\Omega} = |f_C(\theta)|^2 \sim \frac{1}{E^2} \frac{1}{\sin^4 \frac{\theta}{2}}$$

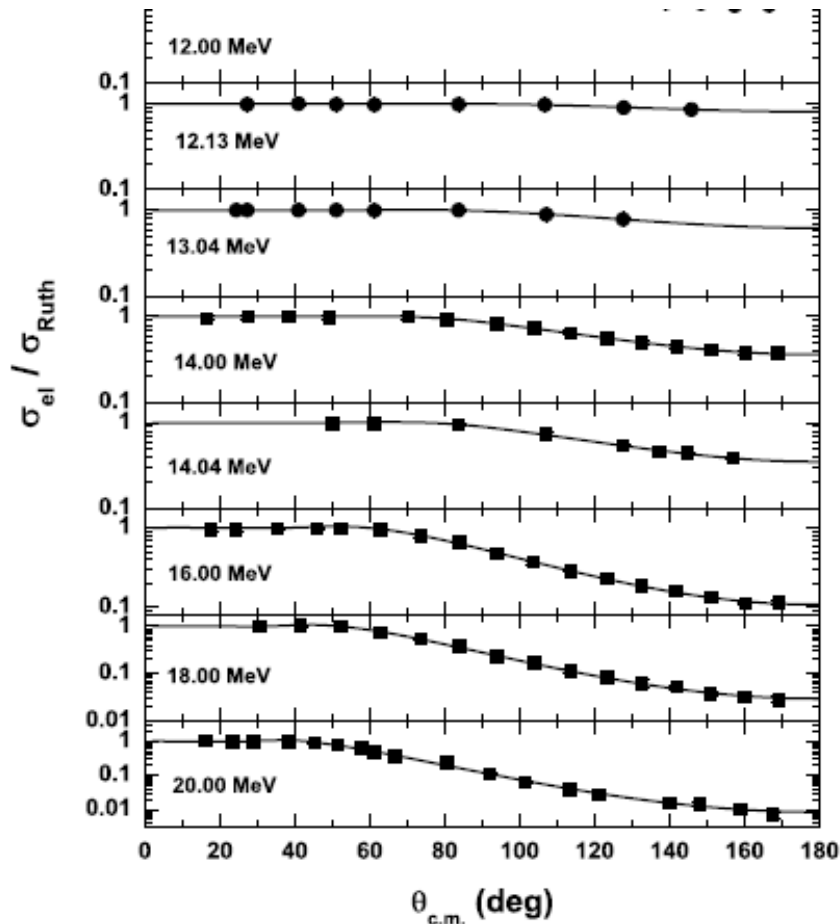


- $\frac{d\sigma_C}{d\Omega}$ = Rutherford cross section
- The total Coulomb cross section is not defined (diverges)
- Coulomb is dominant at small angles
→ **used to normalize data**
- Increases at low energies
- Minimum at $\theta=180^\circ \rightarrow$ nuclear effect maximum

3. Phase-shift method: Generalization to the Coulomb potential

Cross section: $\frac{d\sigma}{d\Omega} = |f(\theta)|^2 = |f^C(\theta) + f^N(\theta)|^2$

- Coulomb amplitude strongly depends on the angle $\rightarrow \frac{d\sigma/d\Omega}{d\sigma_C/d\Omega}$



${}^6\text{Li} + {}^{58}\text{Ni}$ system

- $E_{cm} = \frac{58}{64} E_{lab}$
- Coulomb barrier

$$E_B \sim \frac{3 * 28 * 1.44}{7} \sim 17 \text{ MeV}$$
- Below the barrier: $\sigma \sim \sigma_C$
- Above E_B : σ is different from σ_C

3. Phase-shift method: Extension to multichannel problems

- One channel: phase shift $\delta \rightarrow U = \exp(2i\delta)$
- Multichannel: **collision matrix** U_{ij} (symmetric, unitary) with $i, j = \text{channels}$
- Good quantum numbers $J = \text{total spin}$ $\pi = \text{total parity}$
- Channel i characterized by $I = I_1 \oplus I_2 = \text{channel spin}$
 $J = I \oplus \ell$ $\ell = \text{angular momentum}$
- Selection rules: $|I_1 - I_2| \leq I \leq I_1 + I_2$
 $|\ell - I| \leq J \leq \ell + I$
 $\pi = \pi_1 * \pi_2 * (-1)^\ell$

Example of quantum numbers

$\alpha + {}^3\text{He}$ $\alpha = 0^+$, ${}^3\text{He} = 1/2^+$

J	I	ℓ	size
1/2+	1/2	0, 1	1
1/2-	1/2	0 , 1	1
3/2+	1/2	1 , 2	1
3/2-	1/2	1, 2	1

$p + {}^7\text{Be}$ ${}^7\text{Be} = 3/2^-$, $p = 1/2^+$

J	I	ℓ	size
0+	1 2	1 2	1
0-	1 2	1 2	1
1+	1 2	0 , 1, 2 1, 2 , 3	3
1-	1 2	0, 1 , 2 1 , 2, 3	3

3. Phase-shift method: Extension to multichannel problems

Cross sections

One channel: $\frac{d\sigma}{d\Omega} = |f(\theta)|^2$, with $f(\theta) = \frac{1}{2ik} \sum_l (2l+1)(\exp(2i\delta_l) - 1)P_l(\cos \theta)$

Multichannel $\frac{d\sigma}{d\Omega} = \sum_{K_1, K_2, K'_1, K'_2} |f_{K_1 K_2, K'_1 K'_2}(\theta)|^2$

With: K_1, K_2 = spin orientations in the entrance channel

K'_1, K'_2 = spin orientations in the exit channel

$$f_{K_1 K_2, K'_1 K'_2}(\theta) = \sum_{J, \pi} \sum_{II', I'I'} \dots U_{II', I'I'}^{J\pi} Y_{I'}(\theta, 0)$$

Collision matrix

- generalization of δ : $U_{ij} = \eta_{ij} \exp(2i\delta_{ij})$
- determines the cross section

3. Phase-shift method: General calculation

For some potentials: analytic solution of the Schrödinger equation

In general: no **analytic solution** → **numerical approach**

$$-\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} u_\ell(r) + (V(r) - E) u_\ell(r) = 0$$

$$\text{with: } V(r) = V_N(r) + \frac{Z_1 Z_2 e^2}{r} + \frac{\hbar^2 \ell(\ell+1)}{2\mu r^2}$$

$$u_\ell(r) \rightarrow F_\ell(kr, \eta) \cos \delta_\ell + G_\ell(kr, \eta) \sin \delta_\ell$$

Numerical solution : discretization N points, with mesh size h

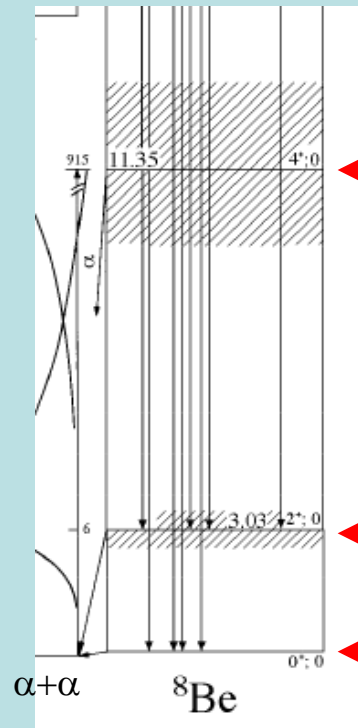
- $u_\ell(0)=0$
- $u_\ell(h)=1$ (or any constant)
- $u_\ell(2h)$ is determined numerically from $u_\ell(0)$ and $u_\ell(h)$ (Numerov algorithm)
- $u_\ell(3h), \dots, u_\ell(Nh)$
- for large r: matching to the asymptotic behaviour → phase shift

Bound states: same idea

3. Phase-shift method: General calculation

Example: $\alpha + \alpha$

Experimental spectrum of ^8Be

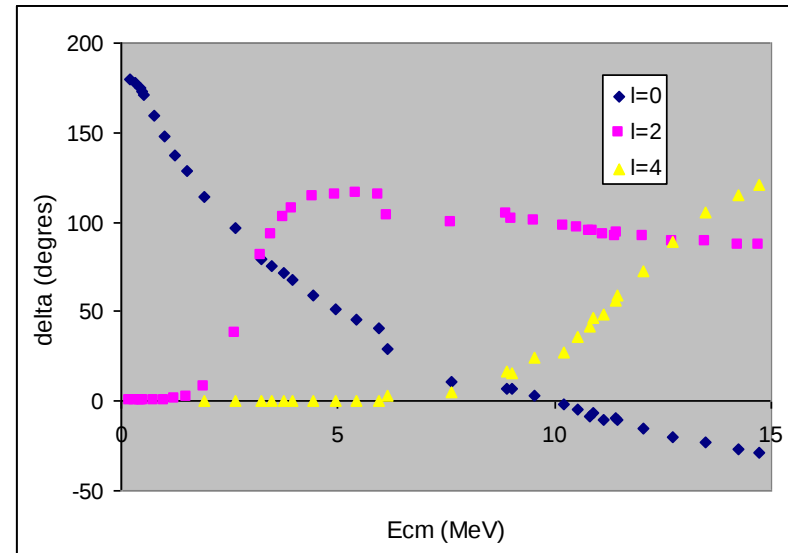


4^+
E~11 MeV
 Γ ~3.5 MeV

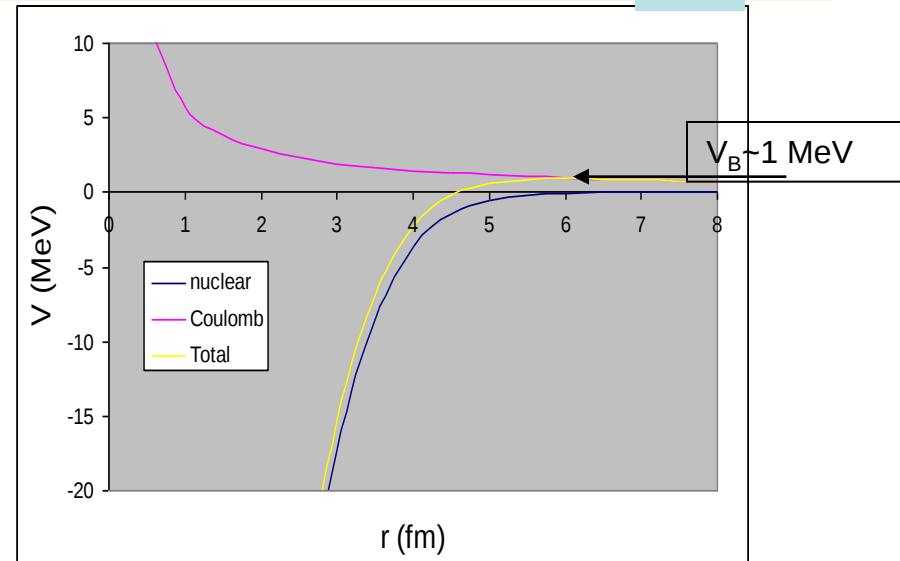
2^+
E~3 MeV
 Γ ~1.5 MeV

0^+
E=0.09 MeV
 Γ =6 eV

Experimental phase shifts



Potential: $V_N(r) = -122.3 \exp(-(r/2.13)^2)$

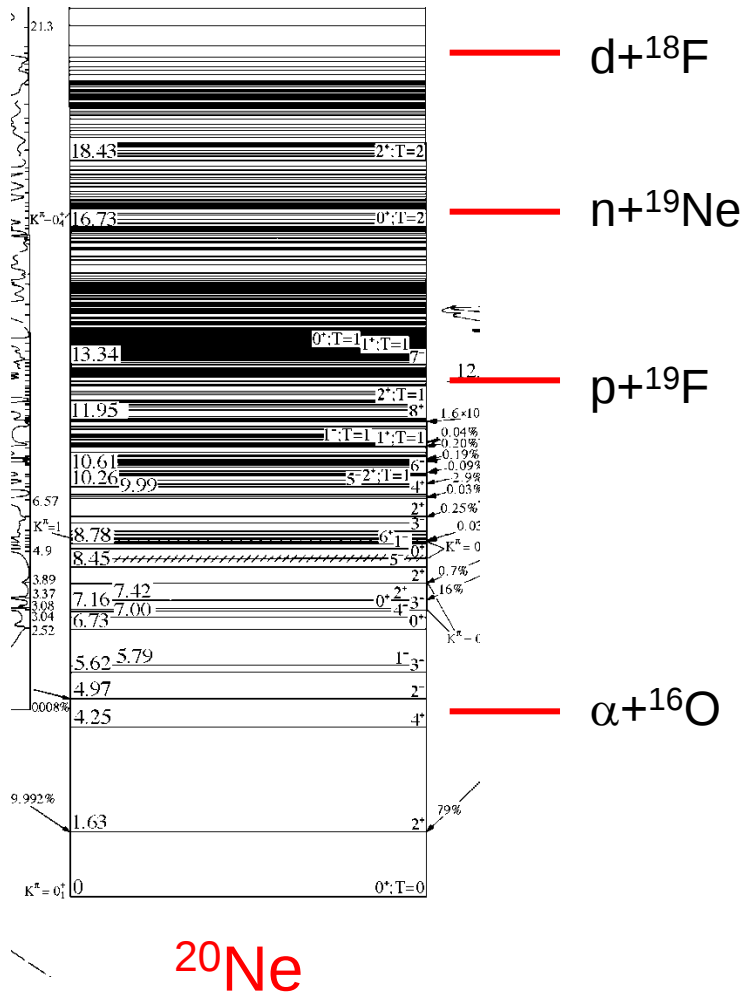


3. Phase-shift method: Optical model

Goal: to simulate absorption channels

High energies:

- many open channels
- strong absorption
- potential model extended to **complex** potentials (« optical »)



Phase shift is complex: $\delta = \delta_R + i\delta_I$
 collision matrix: $U = \exp(2i\delta) = \eta \exp(2i\delta_R)$
 where $\eta = \exp(-2\delta_I) < 1$

Elastic cross section

$$\frac{d\sigma}{d\Omega} = \frac{1}{4k^2} \left| \sum_{\ell} (2\ell + 1) (\eta_{\ell} \exp(2i\delta_{\ell}) - 1) P_{\ell}(\cos \theta) \right|^2$$

Reaction cross section:

$$\sigma = \frac{\pi}{k^2} \sum_{\ell} (2\ell + 1) (1 - \eta_{\ell}^2)$$

4. The R-matrix Method: general formalism

A. Aims of the R-matrix method

1. To solve the Schrödinger equation ($E > 0$ or $E < 0$) = “calculable R-matrix”
 1. Potential model
 2. 3-body scattering
 3. Microscopic models
 4. Many applications in nuclear and atomic physics
 2. To fit cross sections = “phenomenological” R-matrix
 1. Elastic, inelastic $\rightarrow$ spectroscopic information on resonances
 2. Capture, transfer $\rightarrow$ nuclear astrophysics
- Main reference: A.M. Lane and R.G. Thomas, Rev. Mod. Phys. 30 (1958) 257
 - More “modern” reference: P. D., D. Baye, Rep. Prog. Phys. 73 (2010) 036301

4. The R-matrix Method: general formalism

B. Standard variational calculations

- Hamiltonian $(T + V)u = Eu$
- Set of N basis functions $\phi_i(r)$ with $u(r) = \sum_i c_i \phi_i(r)$
- Calculation of $H_{ij} = \langle \phi_i | H | \phi_j \rangle$ over the **full space**
 $N_{ij} = \langle \phi_i | \phi_j \rangle$

(example: gaussians: $\phi_i(r) = \exp(-(r/a_i)^2)$)

$$H_{ij} = \langle \phi_i | H | \phi_j \rangle = \int_0^\infty \phi_i(r) H \phi_j(r) dr$$

$$N_{ij} = \langle \phi_i | \phi_j \rangle = \int_0^\infty \phi_i(r) \phi_j(r) dr$$

- Eigenvalue problem : $\sum_j (H_{ij} - E N_{ij}) c_j = 0 \rightarrow$ upper bound on the energy
- **But: Functions $\phi_i(r)$ tend to zero $\rightarrow$ not directly adapted to scattering states**

4. The R-matrix Method : general formalism

C. Extension to the R-matrix:

includes boundary conditions

a. Hamiltonian $(T + V)u = Eu$

$$\text{with } V(r) \rightarrow \frac{Z_1 Z_2 e^2}{r}$$

b. Wave functions

Set of N basis functions $\phi_i(r)$:

$$u_\ell(r) = \sum_{i=1} c_i \phi_i(r) \text{ valid in a **limited** range}$$

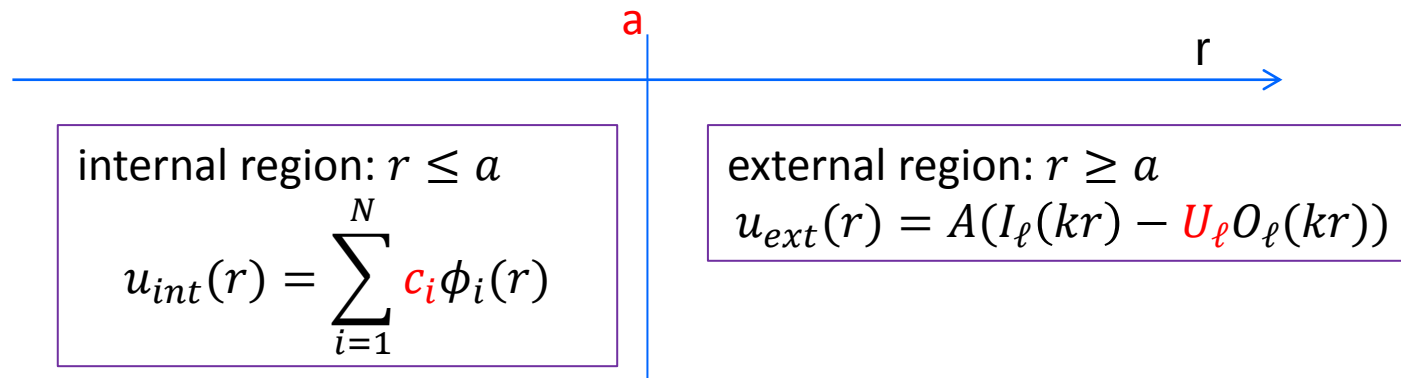
+correct asymptotic behaviour ($E > 0$):

$$u_\ell(r) \rightarrow A(I_\ell(kr) - U_\ell O_\ell(kr))$$

4. The R-matrix Method: general formalism

D. Principles of the R-matrix

- Presented for 2 structureless particles interacting by a potential $V(r)$
one channel
- N basis functions $\phi_i(r)$: variational calculations: $u(r) = \sum_{i=1}^N c_i \phi_i(r)$
tend to zero at large distances
valid at short distances only
- Definition of 2 regions: **channel radius a**



- Schrödinger equation + Matching at $r = a$
→ collision matrix U_ℓ (~phase shift) and coefficients c_i (wave function)
!! Channel radius a is not a parameter!!

4. The R-matrix Method: general formalism

- matrix elements over the internal region

$$H_{ij} = \langle \phi_i | H | \phi_j \rangle_{int} = \int_0^a \phi_i(r) (T + V) \phi_j(r) dr$$

$$N_{ij} = \langle \phi_i | \phi_j \rangle_{int} = \int_0^a \phi_i(r) \phi_j(r) dr$$

Problem: the kinetic energy is not hermitian over a finite interval $[0, a]$

$$\int_0^a \phi_i(r) \frac{d^2}{dr^2} \phi_j(r) dr \neq \int_0^a \phi_j(r) \frac{d^2}{dr^2} \phi_i(r) dr$$

→ Bloch operator

$$\mathcal{L}(L) = \frac{\hbar^2}{2\mu a} \delta(r - a) \left(\frac{d}{dr} - \frac{L}{r} \right) r$$

L =arbitrary constant ($L=0$ in most cases)

role of the surface operator $\mathcal{L}(L)$: makes $T + \mathcal{L}(L)$ hermitian

$$\langle \phi_i | T + \mathcal{L}(L) | \phi_j \rangle_{int} = \langle \phi_j | T + \mathcal{L}(L) | \phi_i \rangle_{int}$$

4. The R-matrix Method: general formalism

E. Collision matrix

- The Schrödinger equation

$$(H - E)u_\ell = 0$$

is replaced by the Bloch-Schrödinger

$$(H - E + \mathcal{L}(L))u_{int} = \mathcal{L}(L)u_{int} = \mathcal{L}(L)u_{ext}$$

- 2nd role of the Bloch operator: ensures $u'_{int}(a) = u'_{ext}(a)$ (for the exact solution)

- We use $(H - E + \mathcal{L}(L))u_\ell = \mathcal{L}(L)u_\ell$ (1)

$$u_{int}(r) = \sum_{i=1}^N c_i \phi_i(r) \quad (2)$$

$$u_{ext}(r) = A(I_\ell(kr) - U_\ell O_\ell(kr)) \quad (3)$$

$$u_{int}(a) = u_{ext}(a) \quad (4)$$

With (1),(2),(3) $\rightarrow \sum_{i=1}^N c_i < \phi_j | H - E + \mathcal{L}(L) | \phi_i >_{int} = < \phi_j(r) | \mathcal{L}(L) | u_{ext} >$



matrix $D_{ij} \rightarrow$ after inversion, provides coefficients c_i

with (4) $\rightarrow \sum_{i=1}^N c_i \phi_i(a) = A(I_\ell(ka) - U_\ell O_\ell(ka))$

4. The R-matrix Method: general formalism

From these 2 equations, one gets the collision matrix (=scattering matrix)

$$U(E) = \frac{I(ka)}{O(ka)} \frac{1-L^*R(E)}{1-LR(E)}$$

with $R(E) = \frac{\hbar^2 a}{2\mu} \sum_{ij} \phi_i(a) (D^{-1})_{ij} \phi_j(a)$ (R-matrix: 1x1 for single-channel calculations)

$$L = ka \frac{O'(ka)}{O(ka)} = S(E) + iP(E) \quad (L \text{ is complex})$$

Factorization of U

$$U(E) = \frac{I(ka)}{O(ka)} \frac{1-L^*R(E)}{1-LR(E)} = \exp(2i\delta) = \exp(2i\delta_{HS}) \exp(2i\delta_R)$$

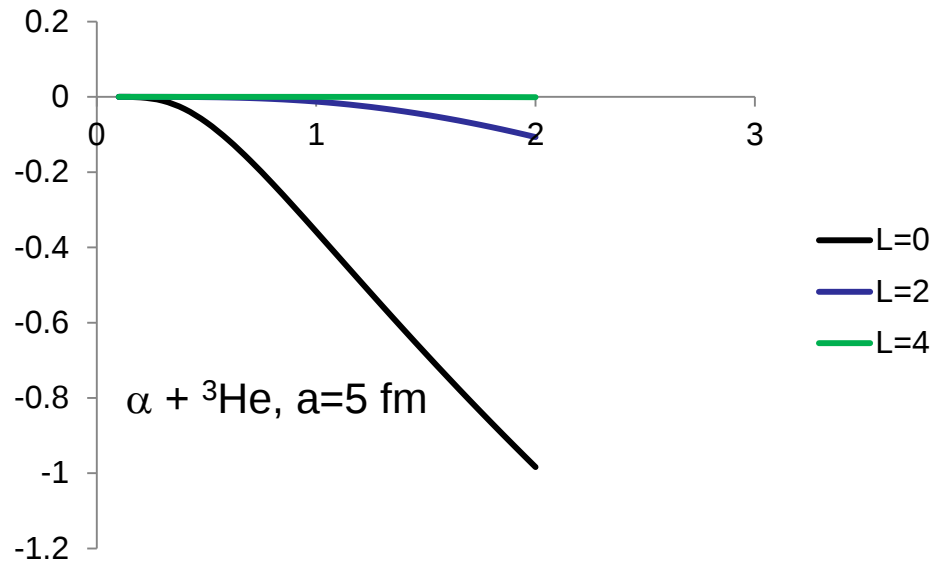
- Hard-sphere phase shift: $\exp(2i\delta_{HS}) = \frac{I(ka)}{O(ka)} \rightarrow \delta_{HS} = -\text{atan} \frac{F(ka)}{G(ka)}$
- R-matrix phase shift: $\exp(2i\delta_R) = \frac{1-L^*R(E)}{1-LR(E)} = \frac{1-SR+iPR}{1-SR-iPR} \rightarrow \delta_R = \text{atan} \frac{PR}{1-SR}$
- δ_{HS} and δ_R depend on a but **the sum should not depend on a**
- If the potential is real: R is real and $|U(E)| = 1$

4. The R-matrix Method: general formalism

F. Coulomb functions

- hard-sphere phase shift
- functions $S(E)$ (shift) and $P(E)$ (penetration factor)

1. hard-sphere phase shift δ_{HS}

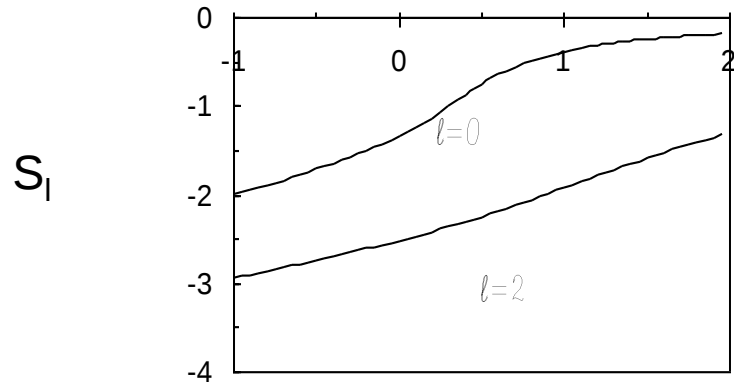
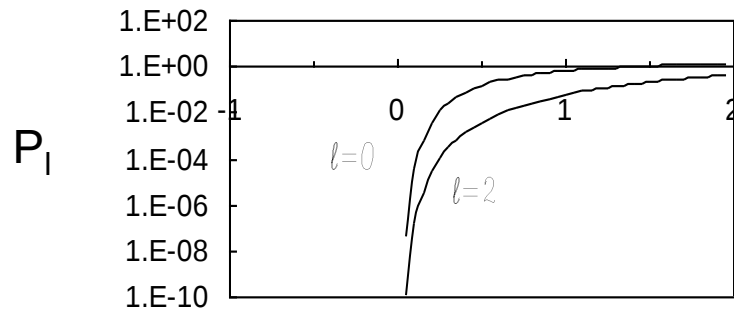


4. The R-matrix Method: general formalism

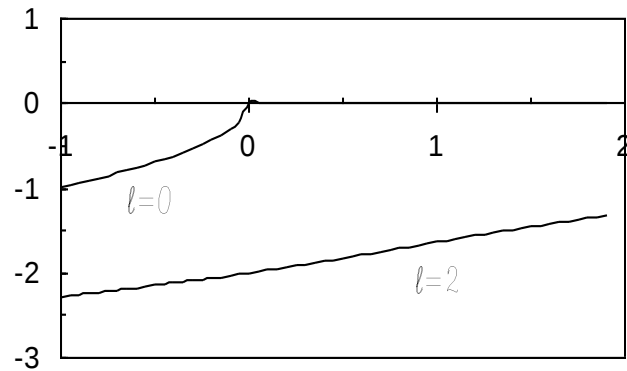
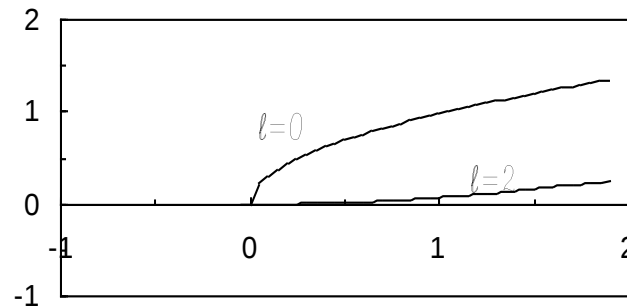
2. shift (S) and penetration (P) factors

$$\text{From } L = ka \frac{O'(ka)}{O(ka)} = S(E) + iP(E)$$

Charged system:
 $\alpha + {}^3\text{He}$



Neutral system:
 $\alpha + n$



E (MeV)

4. The R-matrix Method: general formalism

Procedure (for a given ℓ):

1. Compute matrix elements

$$D_{ij}(E) = \langle \phi_j | H - E + \mathcal{L}(0) | \phi_i \rangle_{int}$$

In the potential model

$$D_{ij}(E) = \int_0^a \phi_j(r) \left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} \right] + V(r) + \mathcal{L}(0) - E \phi_i(r) dr$$

2. Invert matrix D

3. Compute R matrix: $R(E) = \frac{\hbar^2 a}{2\mu} \sum_{ij} \phi_i(a) (D^{-1})_{ij} \phi_j(a)$

4. Compute the collision matrix $U(E) = \exp(2i\delta) = \frac{I(ka)}{O(ka)} \frac{1-L^*R(E)}{1-LR(E)}$

Tests: Stability with the channel radius
 with the number of basis functions

4. The R-matrix Method: general formalism

G. Alternative formulation of the R-matrix

- The basis functions are taken as the eigenvectors of $(H - E_\lambda + \mathcal{L}(L)) \phi_\lambda = 0$
with $\lambda =$ poles: depend on a

- Matrix $D'_{\lambda\lambda} = \langle \phi_\lambda | H - E + \mathcal{L}(L) | \phi_{\lambda'} \rangle = (E_\lambda - E) \delta_{\lambda\lambda'}$
→ inversion very simple

$$\rightarrow R(E) = \sum_{\lambda=1}^N \frac{\gamma_\lambda^2}{E_\lambda - E}$$

completely equivalent (used in the phenomenological R-matrix)
with the reduced widths

$$\gamma_\lambda = \left(\frac{\hbar^2}{2\mu a^2} \right)^{1/2} \phi_\lambda(a)$$

- γ_λ is real and energy independent
- $\gamma_\lambda \sim$ wave function at the channel radius → measurement of the clustering
- dimensionless reduced width $\theta^2 = \frac{\gamma^2}{\gamma_W^2}$ where $\gamma_W^2 = \frac{3\hbar^2}{2\mu a^2}$ is the Wigner limit ($\theta^2 < 1$)

5. The calculable R-matrix Method

Aim

- To derive phase shifts (cross sections) from a finite basis
- Exemplified here with the simple **potential model**

Input data

- Potential
- Set of N basis functions (here gaussians with different widths)
- Channel radius a

Requirements

- a large enough : $V_N(a) \sim 0$
- N large enough (to reproduce the internal wave functions)
- N as small as possible (computer time) $\rightarrow$ compromise

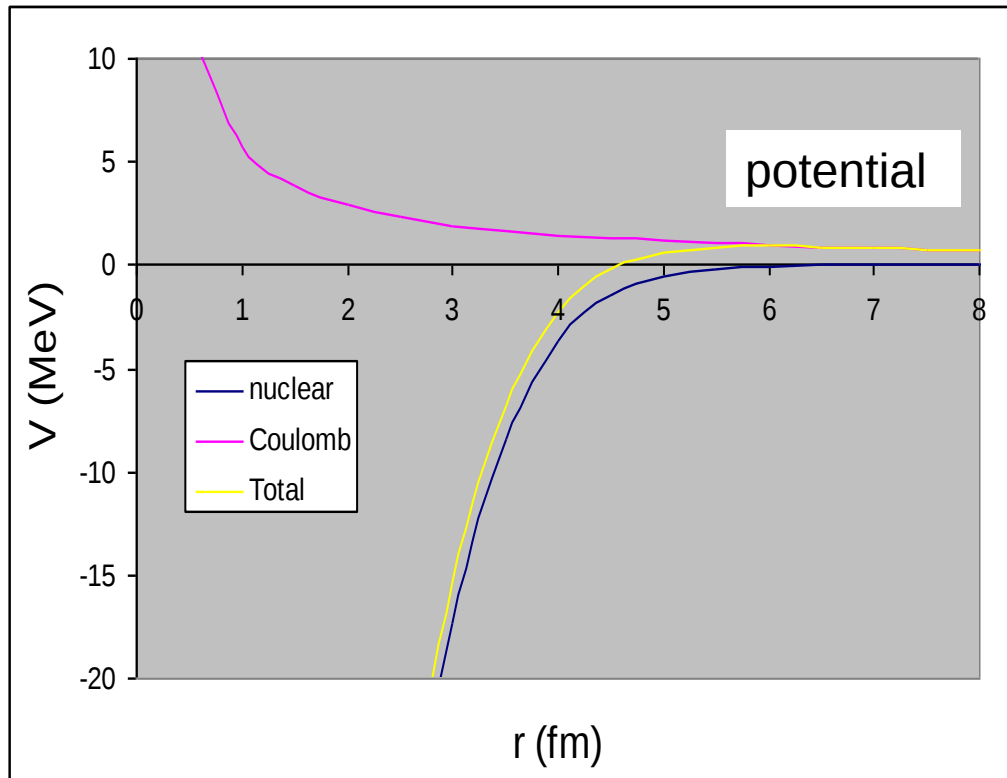
Tests

- Stability of the phase shift with the channel radius a
- Continuity of the derivative of the wave function:
 $u'_{int}(a) = u'_{ext}(a)$ (for the exact solution, not for an approximate solution)

5. The calculable R-matrix Method

$\alpha+\alpha$ scattering

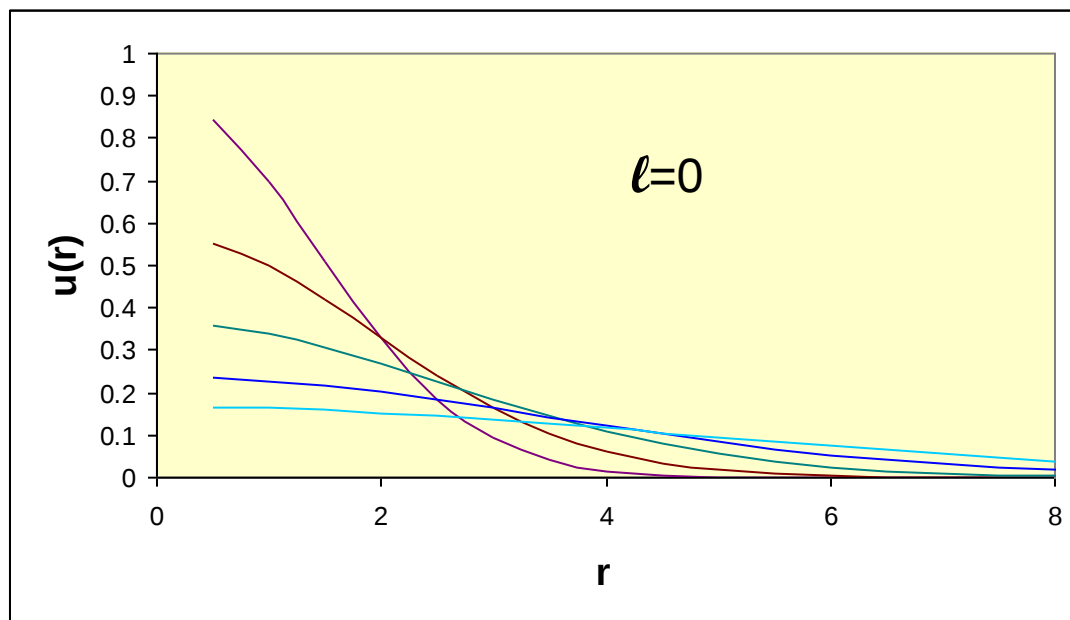
- potential : $V(r) = -126 \exp(-(r/2.13)^2)$ (Buck potential)
- Basis functions: $\phi_i(r) = r^\ell \exp(-(r/a_i)^2)$
with $a_i = x_0 * a_0^{(i-1)}$ (geometric progression)
typically $x_0 = 0.6$ fm, $a_0 = 1.4$



$V_N(a) = 0$
 $\rightarrow a > 6$ fm

5. The calculable R-matrix Method

Basis functions: $\phi_i(r) = r^\ell \exp(-(r/a_i)^2)$ Gaussians with different widths



$$N_{ij} = \langle \phi_j \phi_i \rangle_{int} \\ = \int_0^a r^{2\ell} \exp\left(-\left(r/a_i\right)^2 - \left(r/a_j\right)^2\right) dr$$

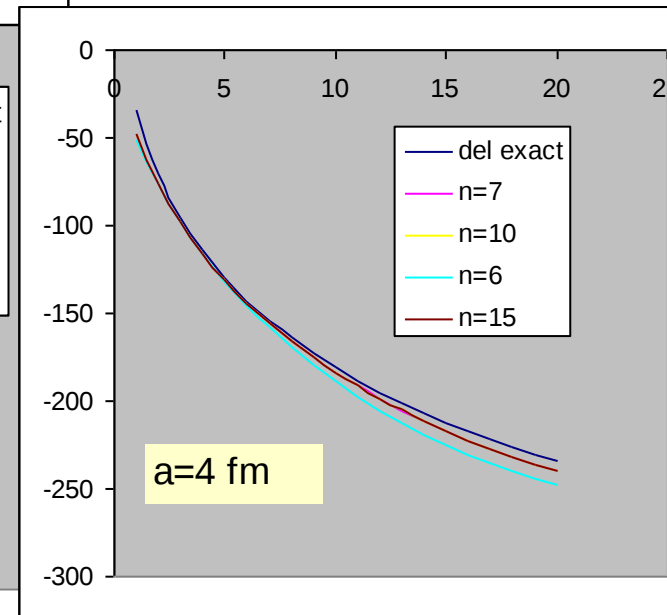
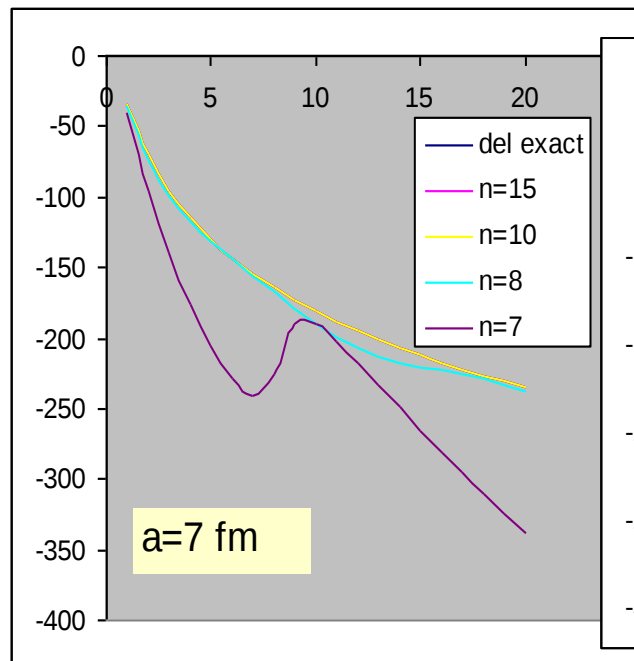
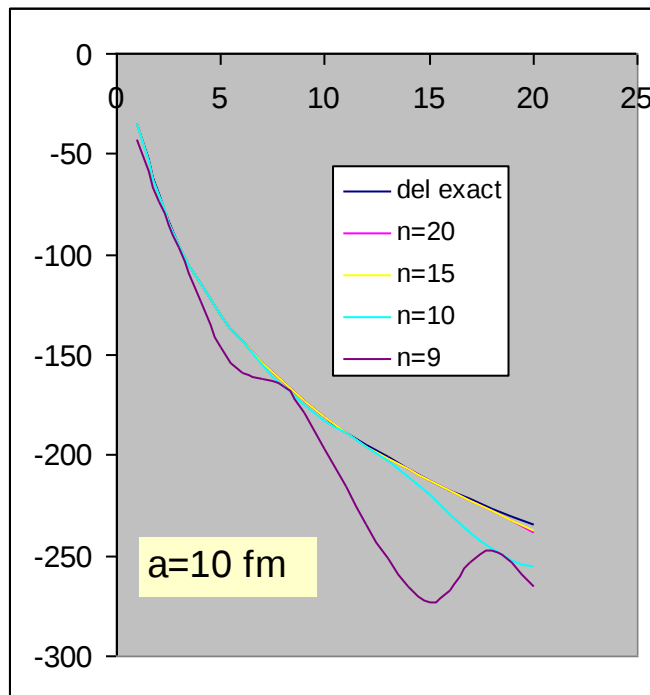
Can be done **exactly**
(incomplete γ function)

Matrix elements of H can be calculated analytically for gaussian potentials

Other potentials: numerical integration

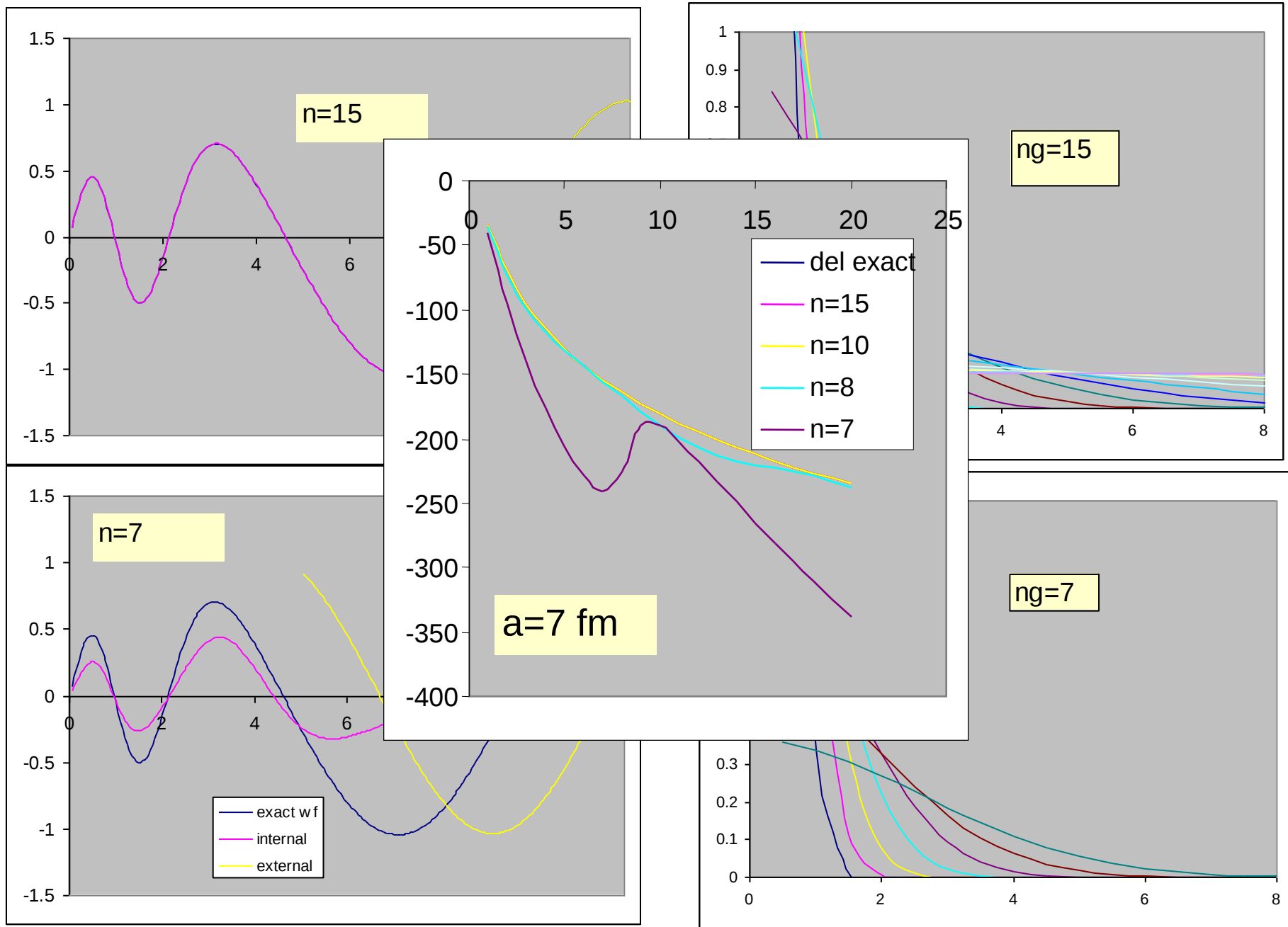
5. The calculable R-matrix Method

Elastic phase shifts



- $a=10$ fm too large (needs too many basis functions)
- $a=4$ fm too small (nuclear interaction not negligible)
- $a=7$ fm is a good compromise

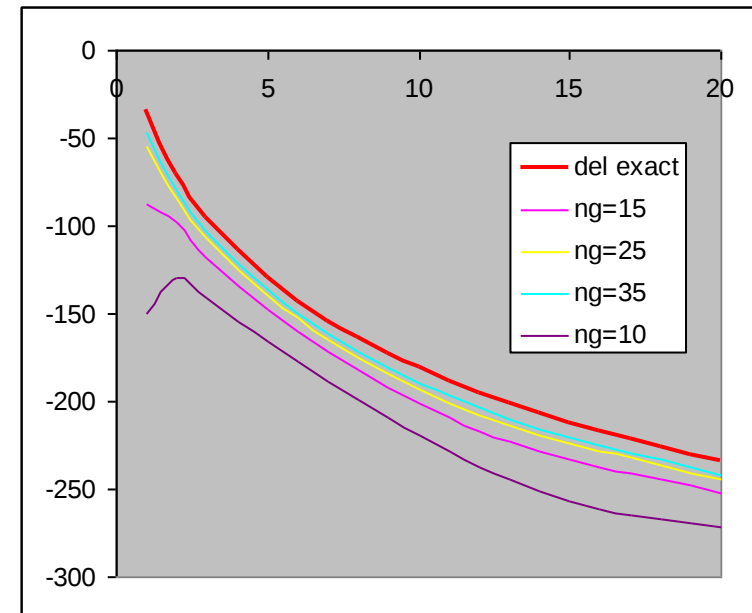
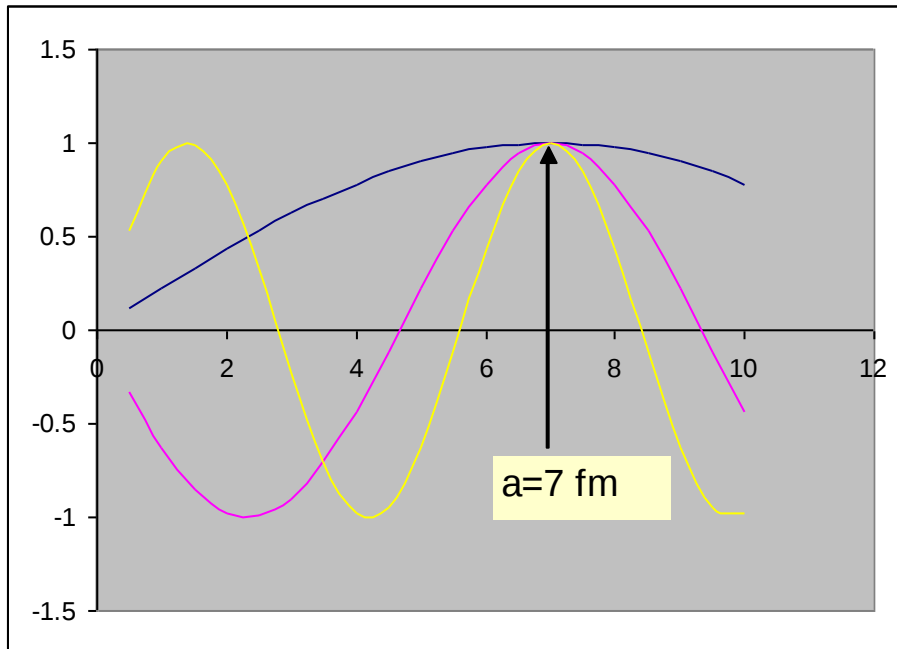
Wave functions at 5 MeV, $a = 7$ fm



5. The calculable R-matrix Method

Other example : sine functions $\phi_i(r) = \sin\left(\frac{\pi r}{a}\left(i - \frac{1}{2}\right)\right)$

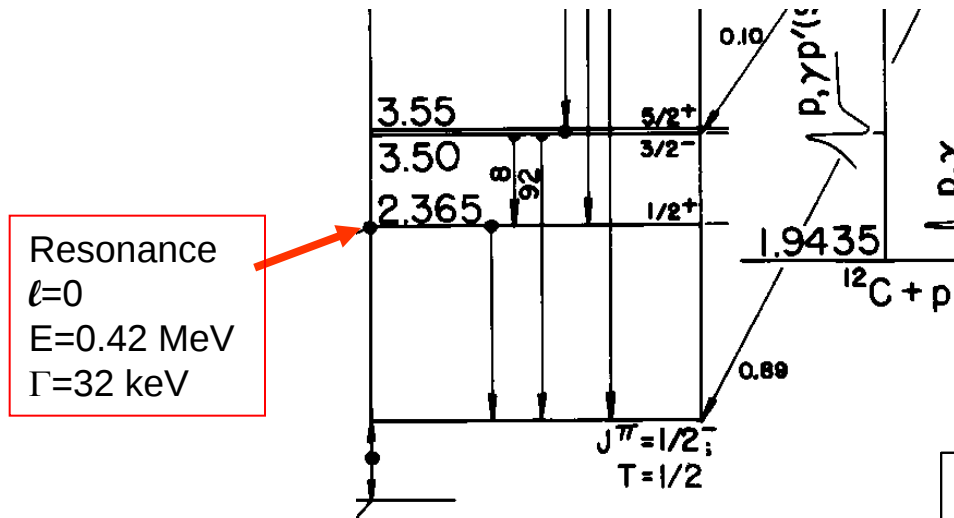
- Matrix elements very simple
- Derivative $u_i'(a)=0$



➔ Not a good basis (no flexibility)

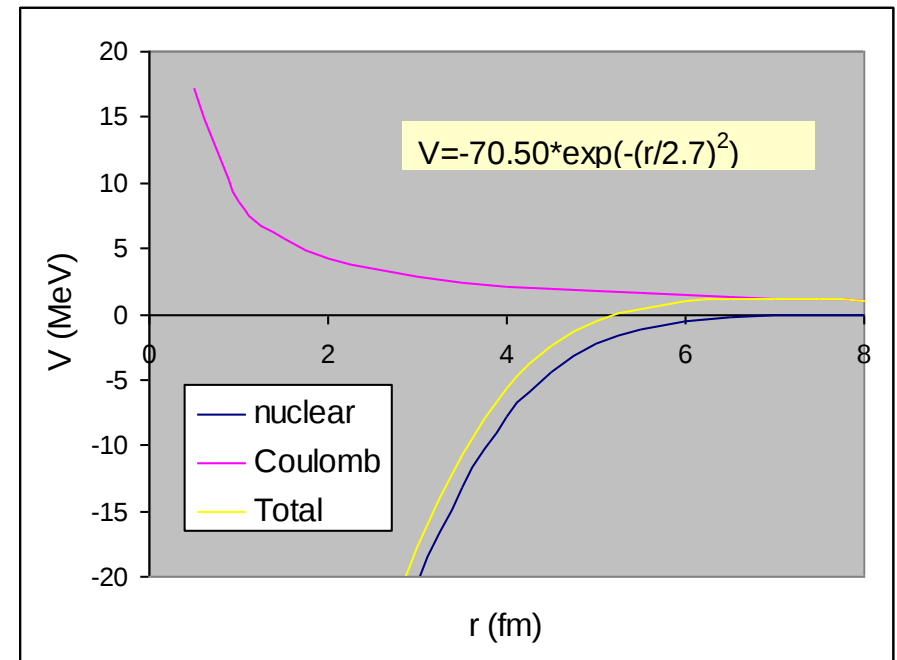
5. The calculable R-matrix Method

Example of **resonant** reaction: $^{12}\text{C}+p$

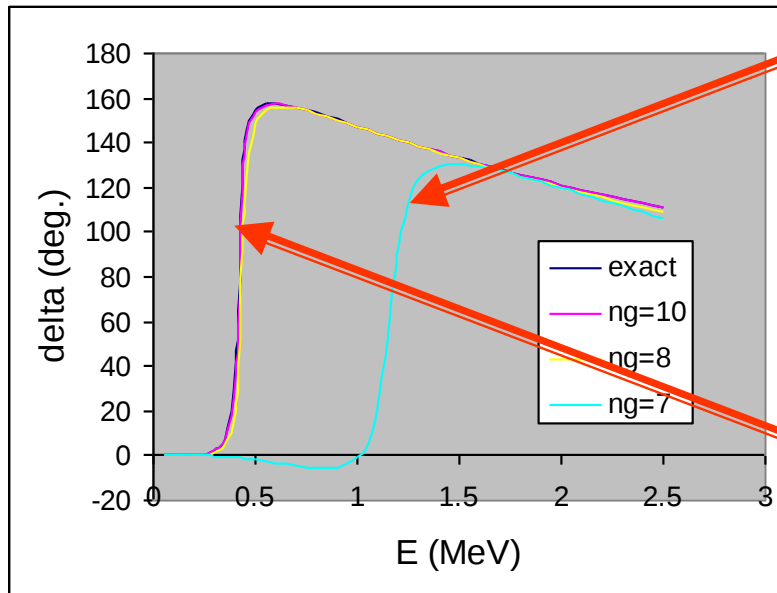


Resonance
 $\ell=0$
 $E=0.42$ MeV
 $\Gamma=32$ keV

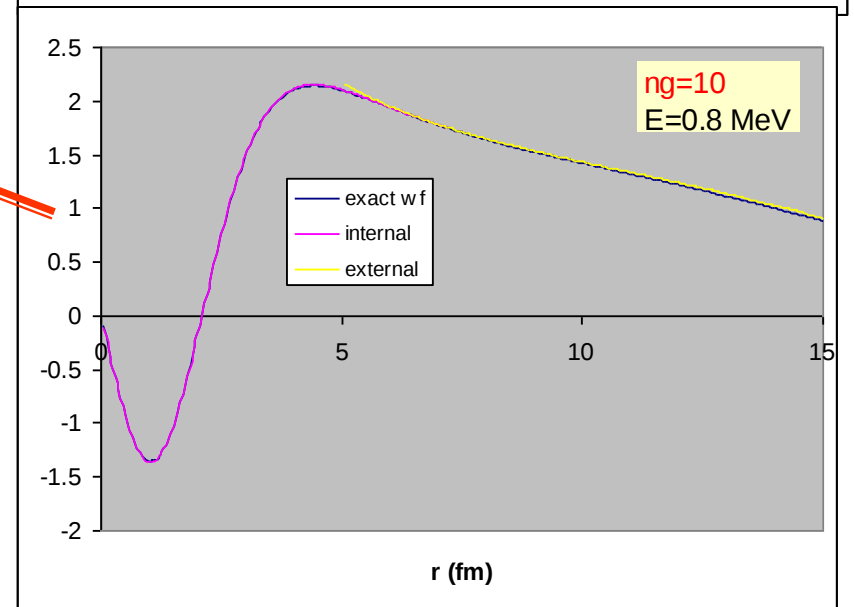
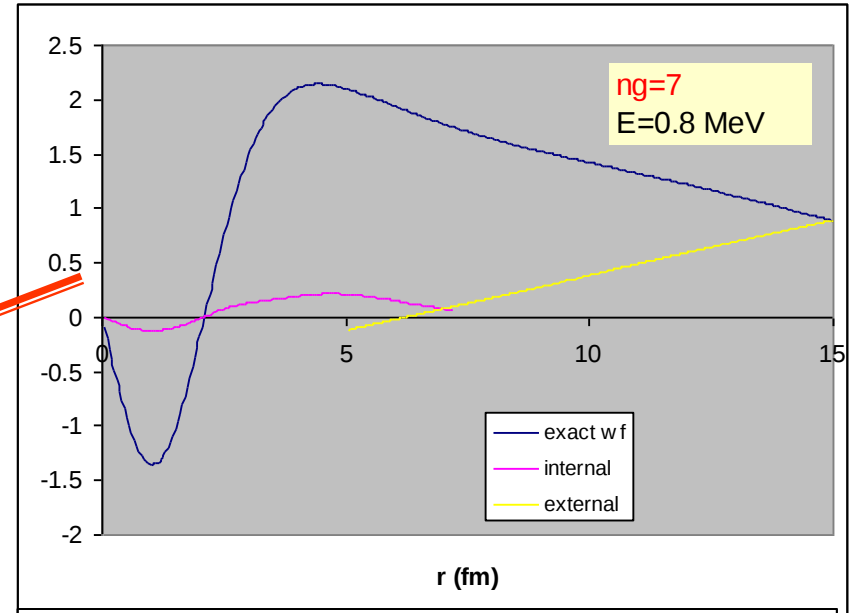
- potential : $V=-70.5\cdot\exp(-(r/2.70)^2)$
- Basis functions: $\phi_i(r) = r^\ell \exp(-(r/a_i)^2)$



Phase shifts $a=7$ fm



Wave functions



5. The calculable R-matrix Method

Application of the R-matrix to bound states

Positive energies: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - 2\frac{\eta k}{r} + k^2\right) u_\ell = 0$

Coulomb functions $F_\ell(kr), G_\ell(kr)$

Negative energies: $\left(\frac{d^2}{dr^2} - \frac{\ell(\ell+1)}{r^2} - 2\frac{\eta k}{r} - k^2\right) u_\ell = 0$

Whittaker functions $W_{-\eta, \ell+1/2}(2kr)$

Asymptotic behaviour: $F_\ell(x) \rightarrow \sin\left(x - \ell\frac{\pi}{2} - \eta \log 2x\right)$

$$G_\ell(x) \rightarrow \cos\left(x - \ell\frac{\pi}{2} - \eta \log 2x\right)$$

$$W_{-\eta, \ell+1/2}(2x) \rightarrow \frac{\exp(-x)}{x^\eta}$$

5. The calculable R-matrix Method

- R matrix equations for bound states

We use $(H - E + \mathcal{L}(L)) u_{int} = \mathcal{L}(L) u_{ext}$ (1)

$$u_{int}(r) = \sum_{i=1}^N c_i \phi_i(r) \quad (2)$$

$$u_{ext}(r) = C W_{-\eta, \ell+1/2}(2kr) \quad (3)$$

With C =ANC (Asymptotic Normalization Constant): important in “external” processes

- Using (2) in (1) and the continuity equation:

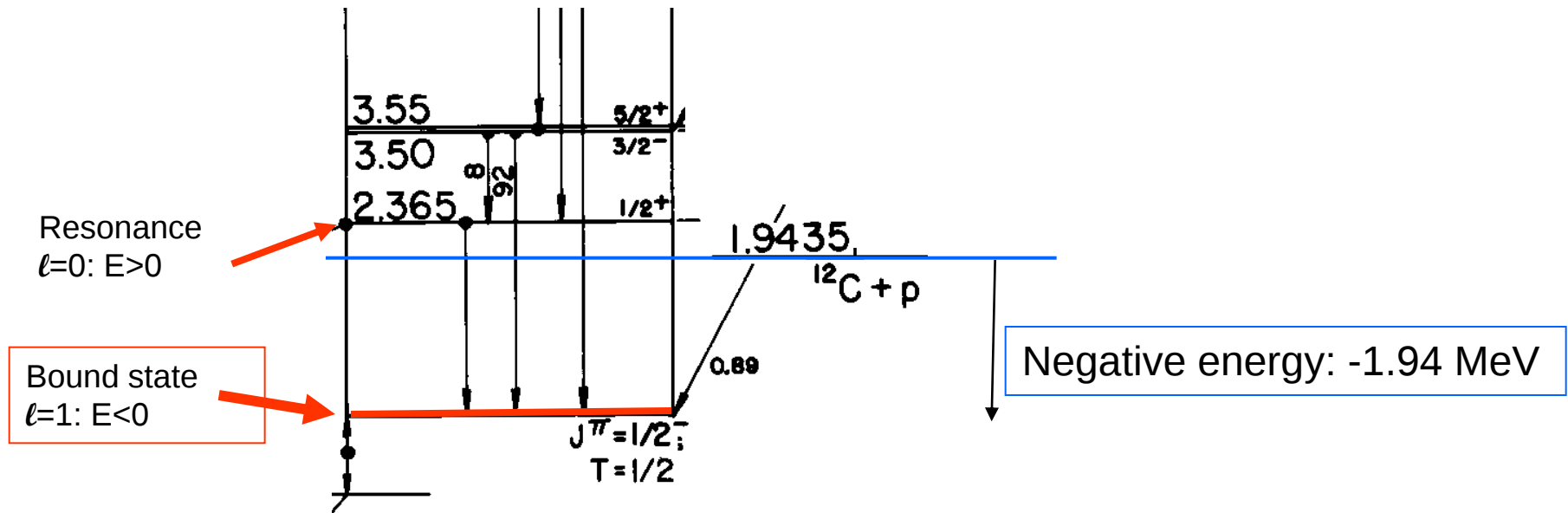
$$\sum_{i=1}^N c_i \langle \phi_j | H - E + \mathcal{L}(L) | \phi_i \rangle_{int} = \langle \phi_j(r) | \mathcal{L}(L) | u_{ext} \rangle = 0$$

if $L = 2ka W'(2ka)/W(ka)$

- Standard diagonalization problem
 - But: L depends on the energy, which is not known $\rightarrow$ iterative procedure
- First iteration: $L=0$

5. The calculable R-matrix Method

Application to the ground state of $^{13}\text{N} = ^{12}\text{C} + \text{p}$



- Potential : $V = -55.3 \cdot \exp(-(r/2.70)^2)$
- Basis functions: $\phi_i(r) = r^\ell \exp(-(r/a_i)^2)$ (as before)

5. The calculable R-matrix Method

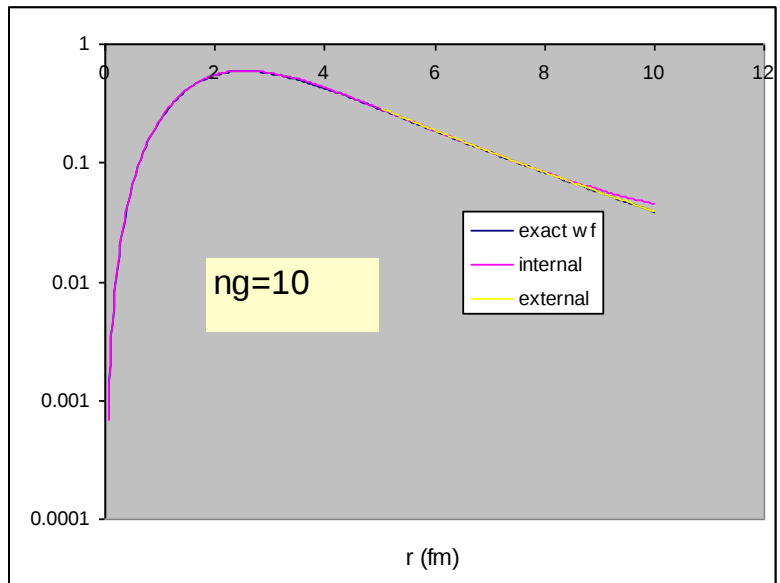
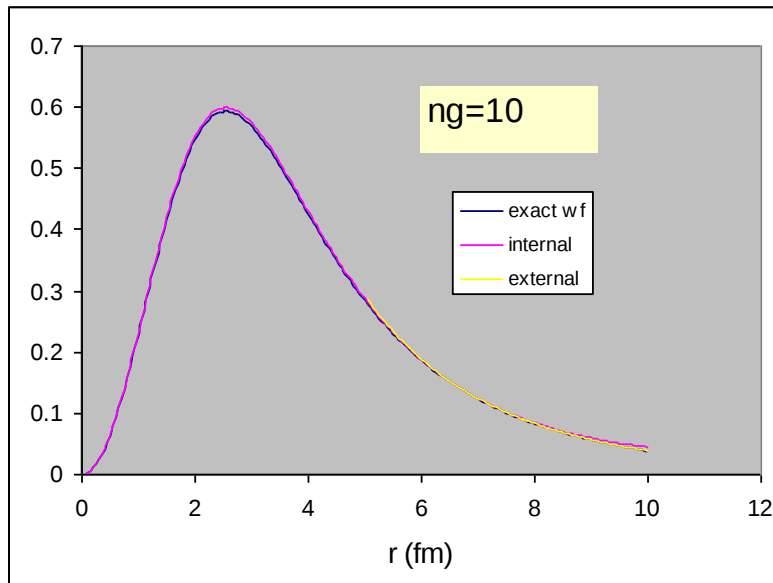
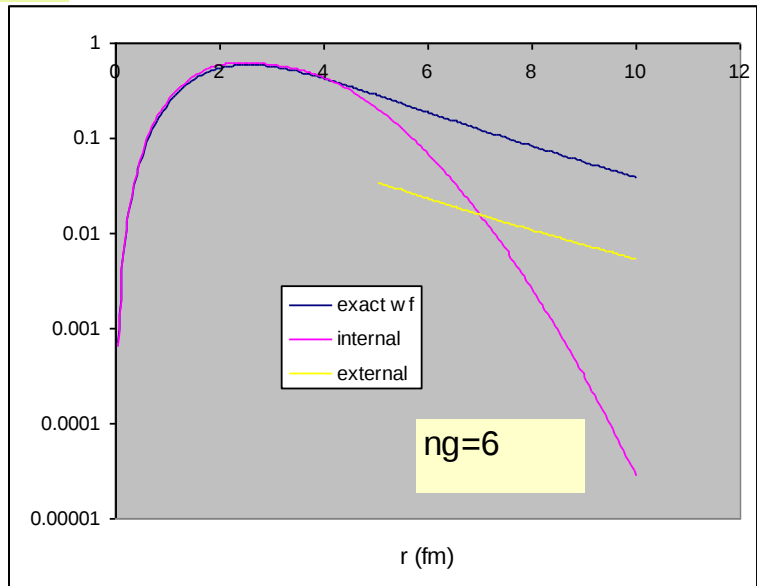
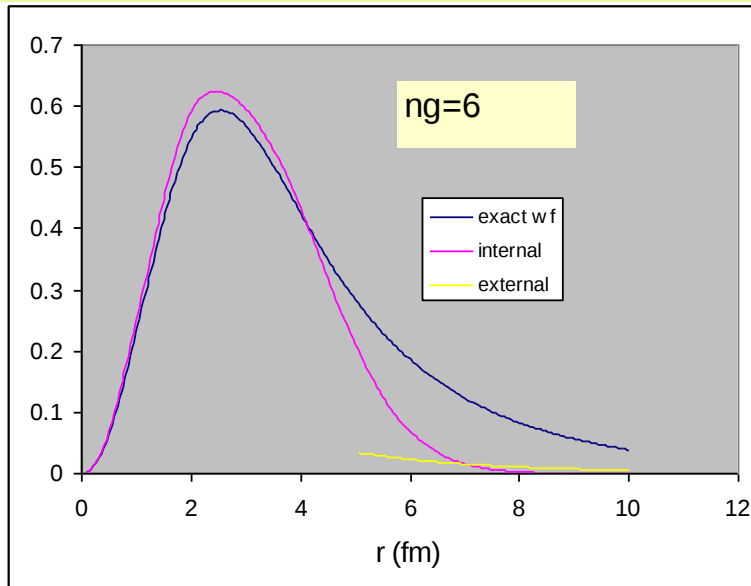
Calculation with $a=7$ fm

- $ng=6$ (poor results)
- $ng=10$ (good results)

Iteration	$ng=6$	$ng=10$
1	-1.500	-2.190
2	-1.498	-1.937
3	-1.498	-1.942
		-1.942
Final	-1.498	-1.942
Exact	-1.942	
Left derivative	-1.644	-0.405
Right derivative	-0.379	-0.406

5. The calculable R-matrix Method

Wave functions ($a=7$ fm)



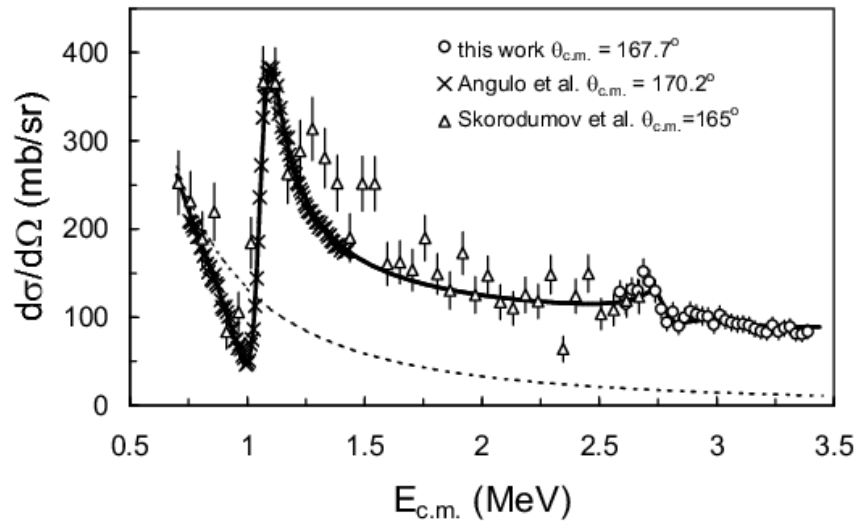
5. The calculable R-matrix Method

Other applications of the “calculable” R matrix

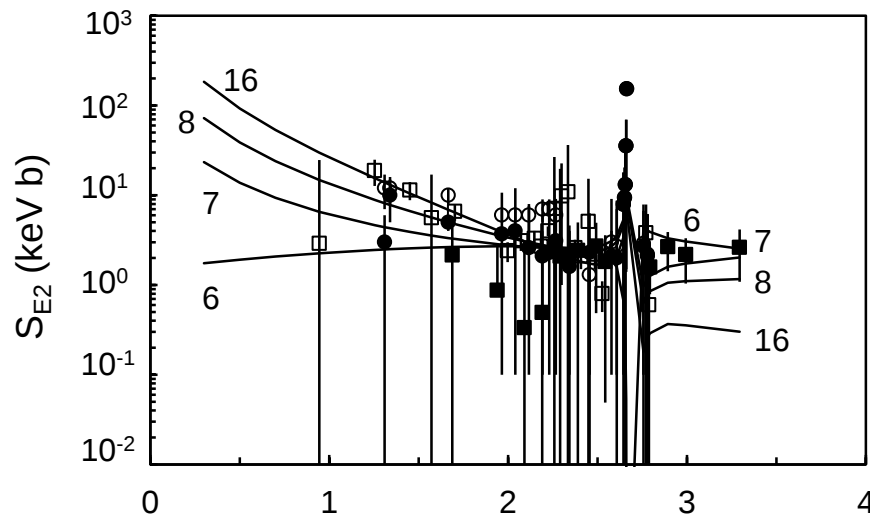
- **Microscopic cluster models:**
 - include the structure of the nuclei
 - use a nucleon-nucleon interaction
- **Three-body continuum states:** P.D., E. Tursunov, D. Baye, NPA765 (2006) 370
 - hyperspherical formalism (ex: $\alpha+n+n$)
 - set of coupled equations
- **CDCC calculations**
 - Reactions with 2-body projectiles (ex: $d+^{58}\text{Ni}$) or 3-body projectiles (^6He)
 - set of coupled equations
- **Many applications in atomic physics**

6. Phenomenological R matrix Method

- Main Goal: fit of experimental data



$^{18}\text{Ne}+p$ elastic scattering
→ resonance properties



Nuclear astrophysics: $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$
→ Extrapolation to low energies

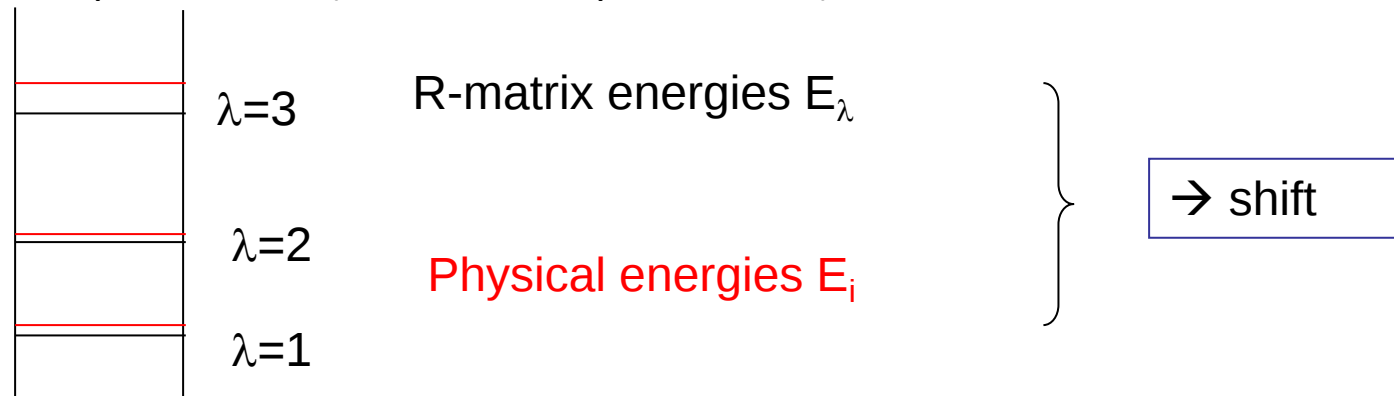
6. Phenomenological R matrix Method

General definition of the R-matrix: $R(E) = \sum_{\lambda=1}^N \frac{\gamma_{\lambda}^2}{E_{\lambda} - E}$

- **Calculable R-matrix**: parameters E_{λ} , γ_{λ}^2 are **calculated from basis functions**
- **Phenomenological R-matrix**: parameters are **fitted to data** (phase shifts, cross sections, etc.)
- Must be done for each ℓ value $\rightarrow$ adapted to low level densities
- In general: single-pole approximation $R(E) \approx \frac{\gamma_0^2}{E_0 - E}$

Main problem: what is the link between

- The R matrix parameters (=“formal” parameters)
- The physical parameters (=“observed” parameters)

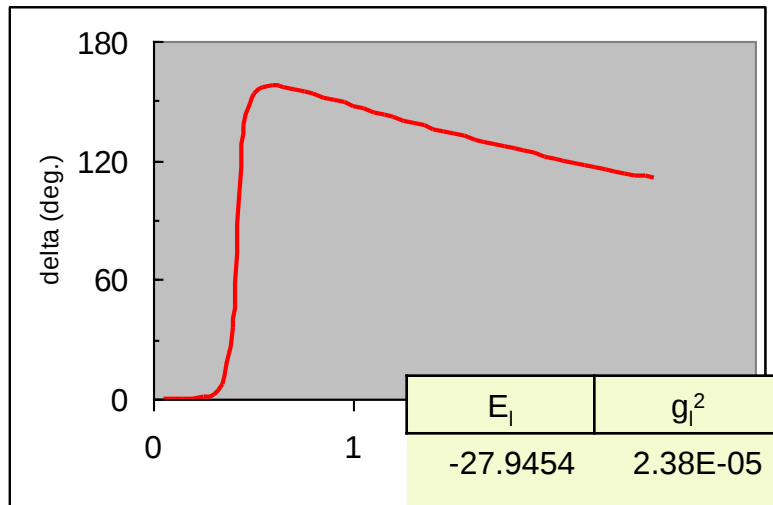


6. Phenomenological R matrix Method

Example : $^{12}\text{C}+p$

- potential : $V=-70.5*\exp(-(r/2.70)^2)$
- Basis functions: $u_i(r)=r^{\ell}*\exp(-(r/a_i)^2)$ with $a_i=x_0*a_0^{(i-1)}$

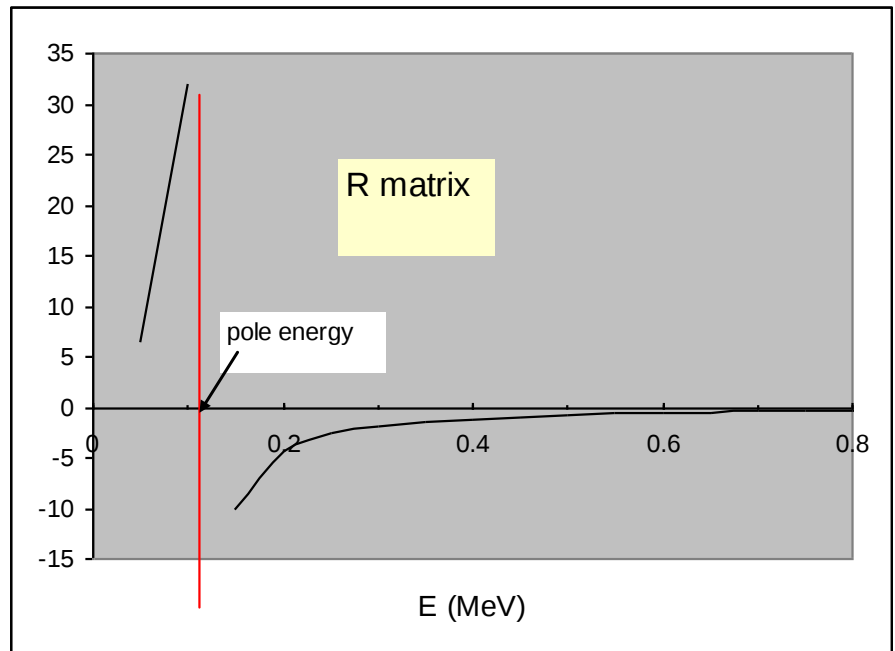
10 basis functions, $a=8$ fm



E_l	g_l^2
-27.9454	2.38E-05
0.112339	0.392282
7.873401	1.094913
26.50339	0.730852
40.54732	0.010541
65.62125	1.121593
107.7871	4.157215
153.8294	1.143461
295.231	0.097637
629.6709	0.019883

10 eigenvalues

$$R(E) = \sum_{\lambda} \frac{\gamma_{\lambda}^2}{E_{\lambda} - E}$$



6. Phenomenological R matrix Method

Calculation: 10 poles

pole	E_l	γ_l^2
1	-27.95	2.38E-05
2	0.11	3.92E-01
3	7.87	1.09E+00
4	26.50	7.31E-01
5	40.55	1.05E-02
6	65.62	1.12E+00
7	107.79	4.16E+00
8	153.83	1.14E+00
9	295.23	9.76E-02
10	629.67	1.99E-02

Fit to data

Isolated pole (2 parameters)

$$\frac{\gamma_0^2}{E_0 - E}$$

Background (high energy):
gathered in 1 term

$$R_0(E) = \sum_{\lambda \neq 0} \frac{\gamma_\lambda^2}{E_\lambda - E}$$

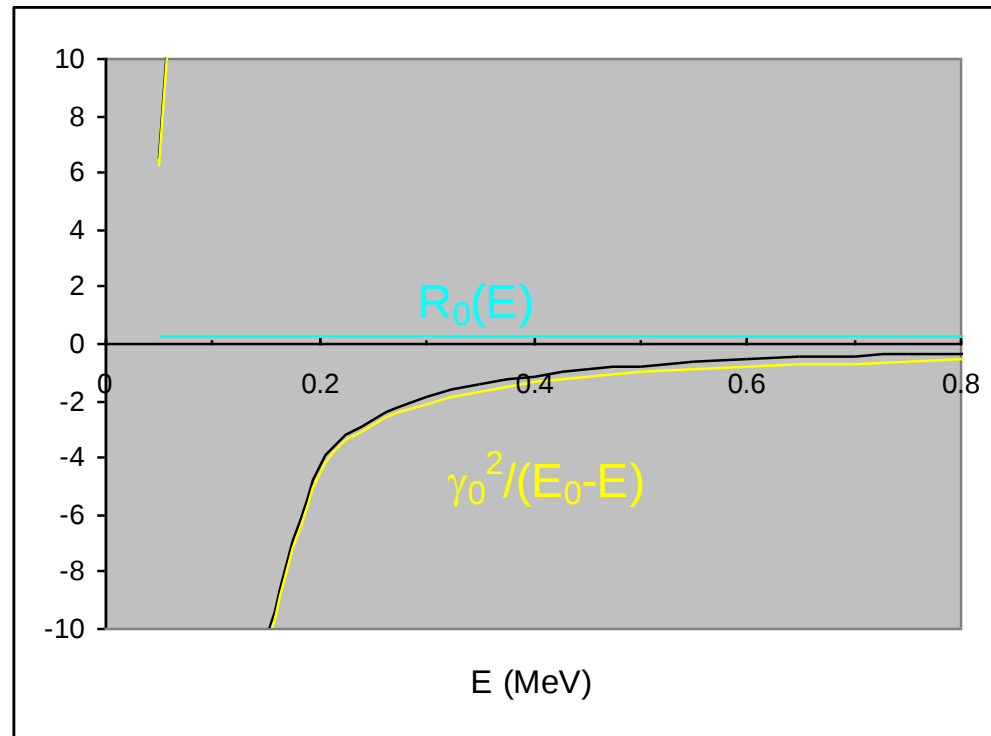
$$E \ll E_l \rightarrow R_0(E) \sim R_0$$

→ In phenomenological approaches (one resonance): $R(E) \approx \frac{\gamma_0^2}{E_0 - E} + R_0$

6. Phenomenological R matrix Method

$^{12}\text{C}+p$

$$R(E) = \sum_{\lambda} \frac{\gamma_{\lambda}^2}{E_{\lambda} - E} = \frac{\gamma_0^2}{E_0 - E} + R_0(E)$$



Approximations: $R_0(E)=R_0=\text{constant}$ (background)

$R_0(E)=0$: Breit-Wigner approximation: one term in the R matrix

Remark: the R matrix method is NOT limited to resonances ($R=R_0$)

6. Phenomenological R matrix Method

Resonance energies

Relation between the collision matrix and the R matrix

$$U^\ell = \frac{I_\ell(ka)}{O_\ell(ka)} \frac{1 - L^* R^\ell}{1 - L R^\ell}, \text{ with } L(E) = S(E) + iP(E)$$
$$= \exp(2i\delta^\ell) = \exp(2i(\delta_{HS}^\ell + \delta_R^\ell))$$

with

$$\exp(2i\delta_{HS}^\ell) = \frac{I_\ell(ka)}{O_\ell(ka)} \rightarrow \delta_{HS}^\ell = -\arctan \frac{F_\ell(ka)}{G_\ell(ka)} \quad \text{Hard-sphere}$$

$$\exp(2i\delta_R^\ell) = \frac{1 - L^* R^\ell}{1 - L R^\ell} \rightarrow \delta_R^\ell = \arctan \frac{P R}{1 - S R} \quad \text{R-matrix}$$

Resonance energy E_r defined by $1 - S(E_r)R(E_r) = 0 \rightarrow \delta_R = 90^\circ$

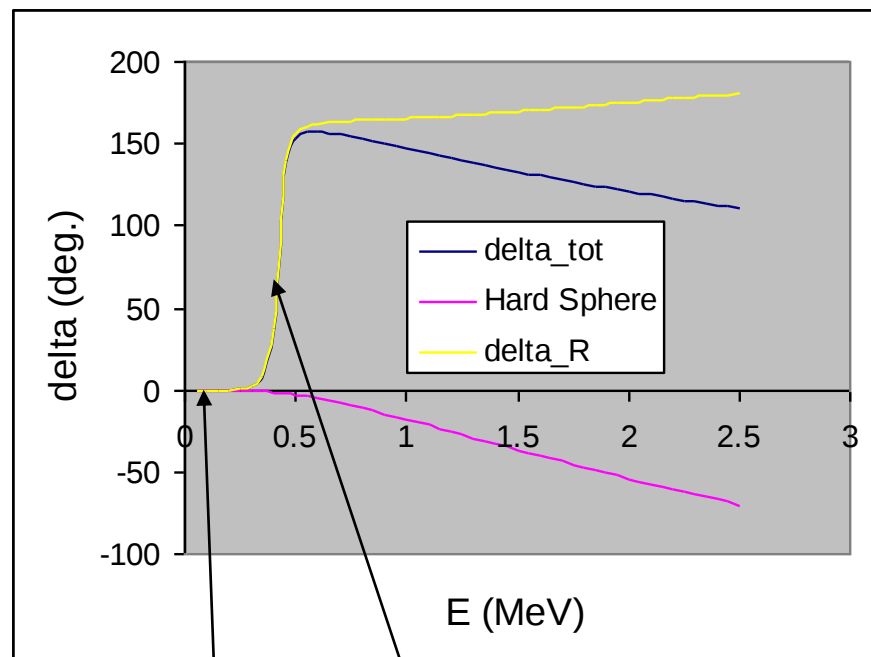
In general: must be solved numerically

6. Phenomenological R matrix Method

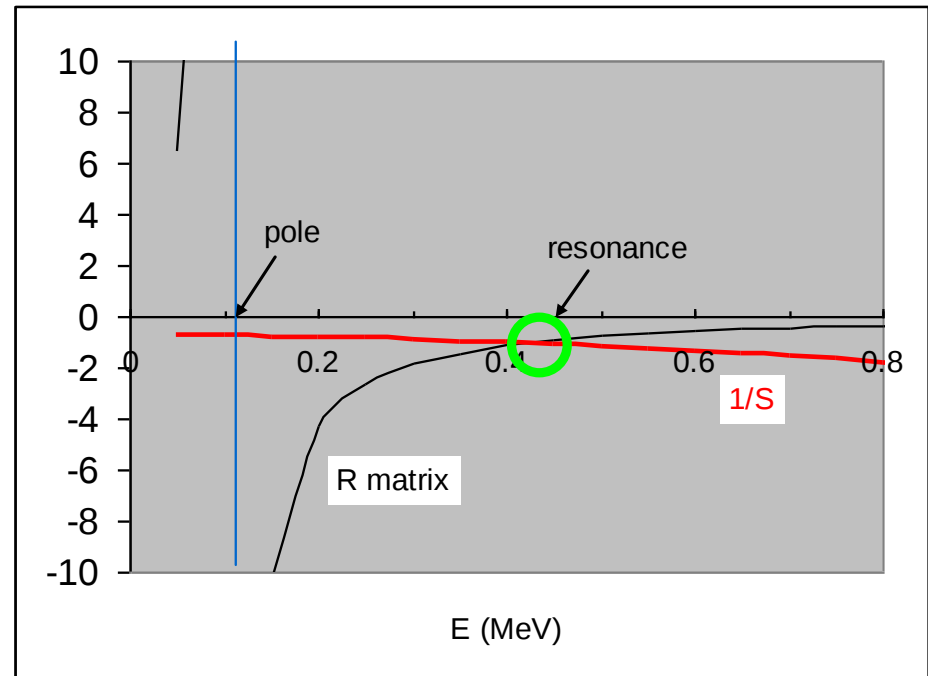
$$\delta_R^\ell(E) = \arctan \frac{P(E)R(E)}{1 - S(E)R(E)}$$

Resonance energy E_r defined by $1 - S(E_r)R(E_r) = 0 \rightarrow \delta_R = 90^\circ$

In general: must be solved numerically



Plot of $R(E)$, $1/S(E)$

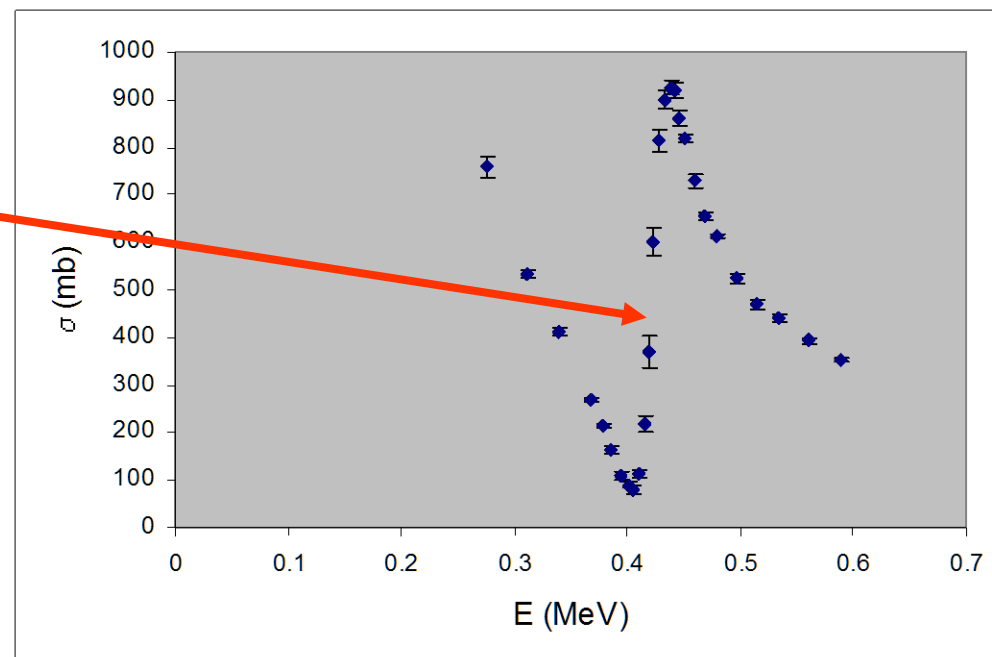
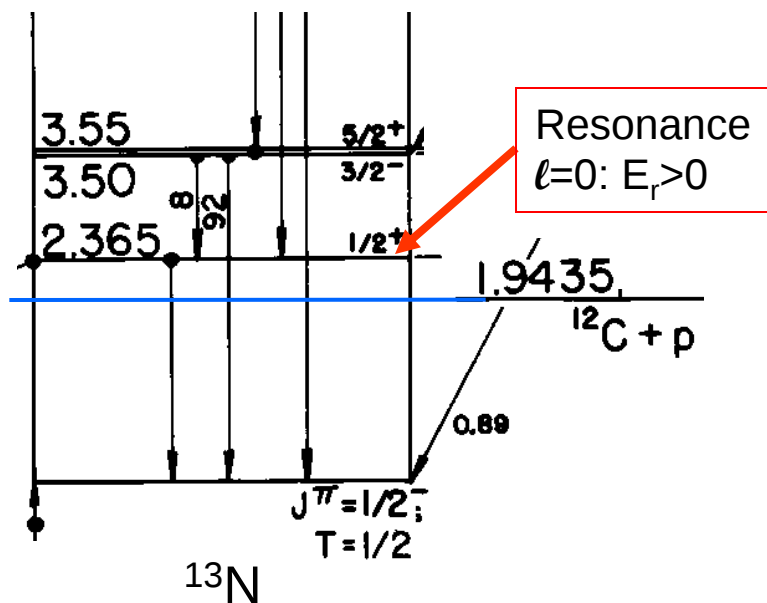


6. Phenomenological R matrix Method

Simple case: one pole (=“isolated” resonance):

$$R(E) = \frac{\gamma_0^2}{E_0 - E}$$

Example: $^{12}\text{C} + \text{p}$: $E_R = 0.42 \text{ MeV}$



Question: link between E_0 and E_R ? $\rightarrow$ Breit-Wigner

6. Phenomenological R matrix Method

The Breit-Wigner approximation

Single pole in the R matrix expansion: $R(E) = \frac{\gamma_0^2}{E_0 - E}$

$$\begin{aligned} \text{Phase shift: } \tan \delta_R(E) &= \frac{P(E)R(E)}{1 - S(E)R(E)} \approx \frac{\gamma_0^2 P(E)}{E_0 - E - \gamma_0^2 S(E)} \\ &\approx \frac{\Gamma(E)}{2(E_r - E)} \end{aligned}$$

Thomas approximation: $S(E) \approx S(E_0) + S'(E_0)(E - E_0)$

$$\begin{aligned} \text{Then: } E_r &\approx E_0 - \frac{\gamma_0^2 S(E_0)}{1 + \gamma_0^2 S'(E_0)} \\ \Gamma(E) &= 2 \frac{\gamma_0^2}{1 + \gamma_0^2 S'(E_r)} P(E) = 2\gamma_{obs}^2 P(E) \end{aligned}$$

At the resonance: $\Gamma_r = \Gamma(E_r) = 2\gamma_{obs}^2 P(E_r)$: strongly depends on energy

➔ Breit-Wigner = R-matrix with one pole

➔ Generalization possible (more than one pole: interference effects)

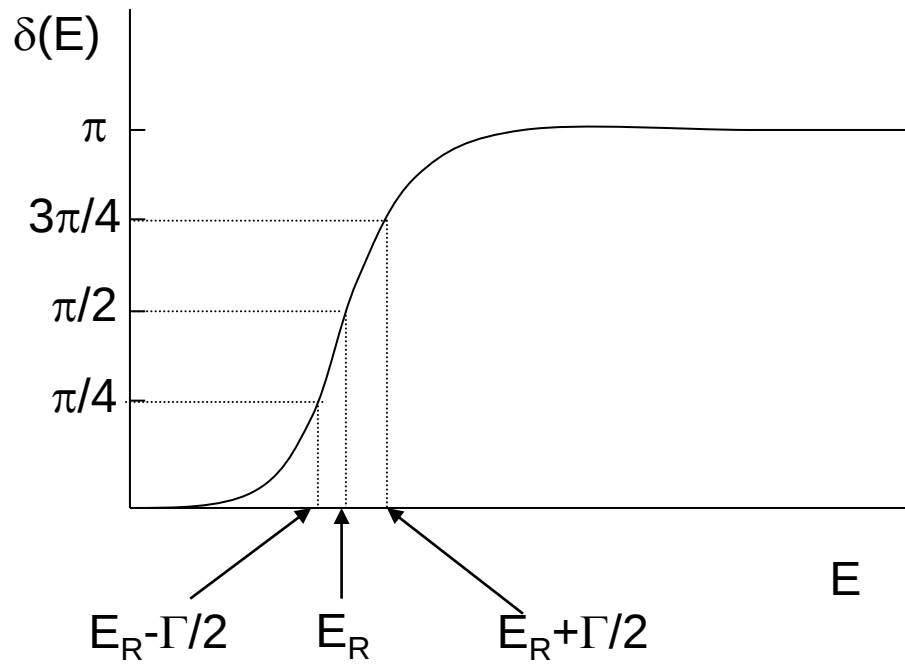
6. Phenomenological R matrix Method

Phase shift for one pole

Breit-Wigner approximation: $\delta_R(E) \approx \frac{\Gamma}{2(E_R - E)}$ =one-pole R matrix

E_R =resonance energy

Γ =resonance width



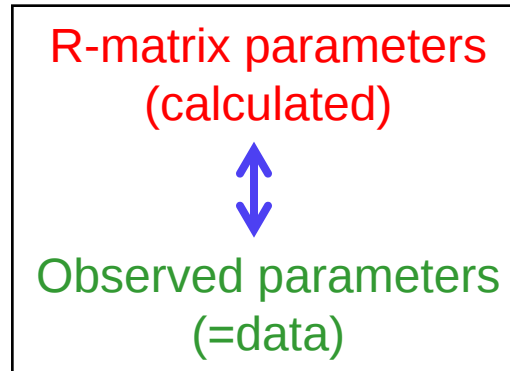
- Narrow resonance: Γ small
- Broad resonance: Γ large

6. Phenomenological R matrix Method

Link between “calculated” and “observed” parameters

One pole (N=1)

$$E_R = E_0 - \frac{S(E_0)\gamma_0^2}{1 + S'(E_0)\gamma_0^2}$$
$$\gamma_{obs}^2 = \frac{\gamma_0^2}{1 + S'(E_0)\gamma_0^2}$$



Several poles (N>1)

$$1 - S(E_r)R(E_r) = 0 \quad \text{Must be solved numerically}$$

Generalization of the Breit-Wigner formalism:

link between observed and formal parameters when $N>1$

C. Angulo, P.D., Phys. Rev. C **61**, 064611 (2000) – single channel

C. Brune, Phys. Rev. C **66**, 044611 (2002) – multi channel

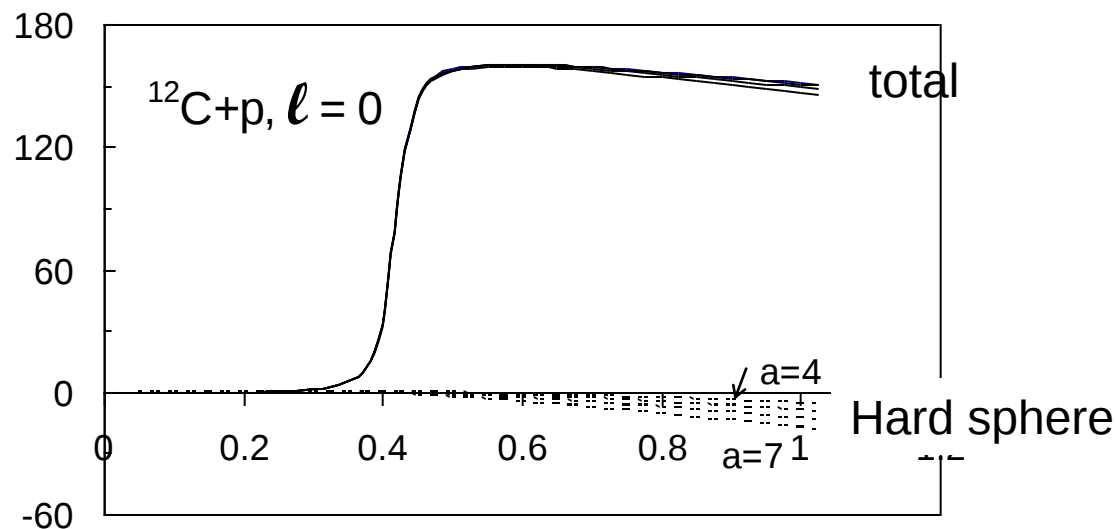
6. Phenomenological R matrix Method

Examples: $^{12}\text{C}+p$ and $^{12}\text{C}+\alpha$

Narrow resonance: $^{12}\text{C}+p$

$^{12}\text{C}+p$ ($E^r = 0.42$ MeV, $\Gamma = 32$ keV, $J = 1/2^+, \ell = 0$)

	$a = 4$ fm	$a = 5$ fm	$a = 6$ fm	$a = 7$ fm
γ_{obs}^2 (MeV)	1.09	0.59	0.35	0.23
E_0 (MeV)	-2.15	-0.61	-0.11	0.11
γ_0^2 (MeV)	3.09	1.16	0.57	0.32

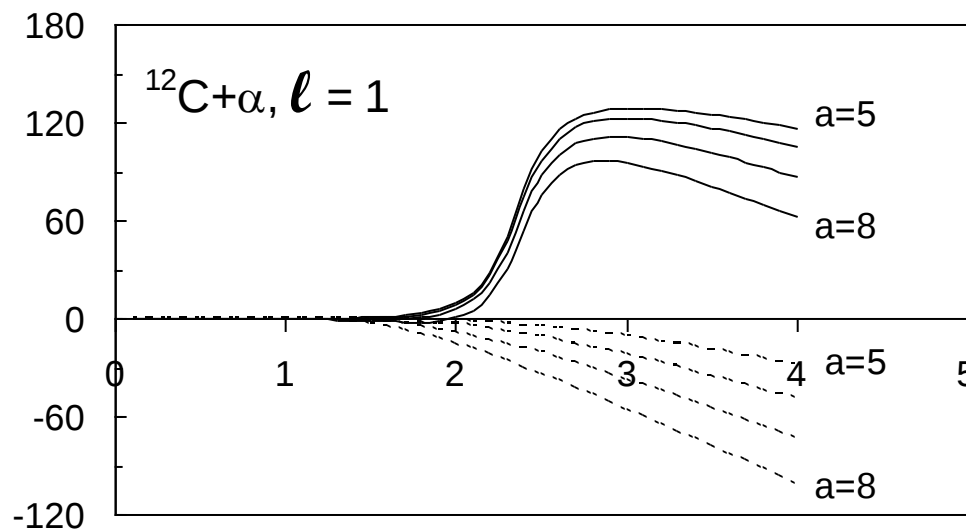


6. Phenomenological R matrix Method

Broad resonance: $^{12}\text{C}+\alpha$

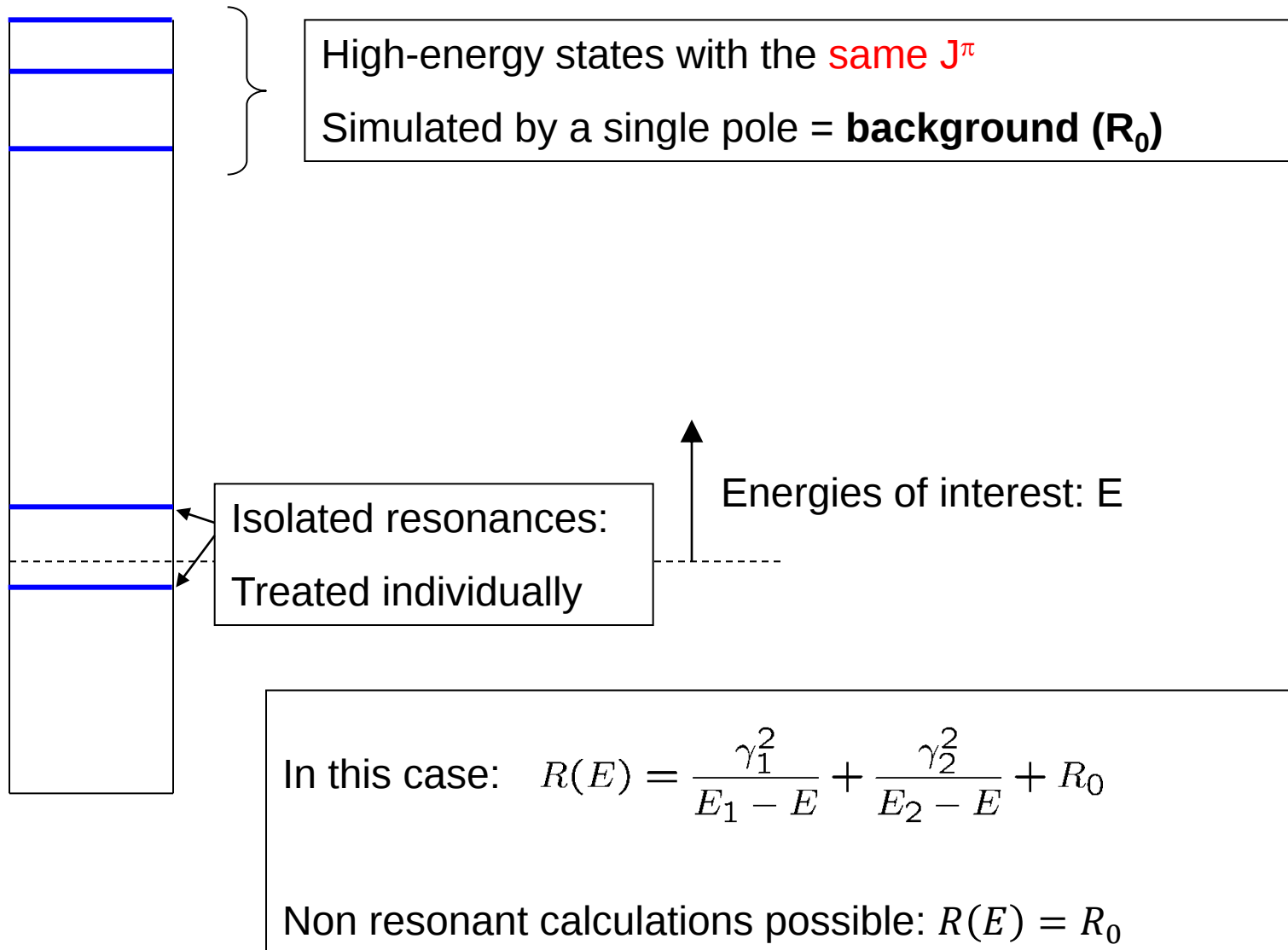
$^{12}\text{C}+\alpha$ ($E^r = 2.42$ MeV, $\Gamma = 0.42$ MeV, $J = 1^-$, $\ell = 1$)

	$a = 5$ fm	$a = 6$ fm	$a = 7$ fm
γ_{obs}^2 (MeV)	0.57	0.28	0.16
E_0 (MeV)	0.49	1.92	2.22
γ_0^2 (MeV)	1.17	0.37	0.19



6. Phenomenological R matrix Method

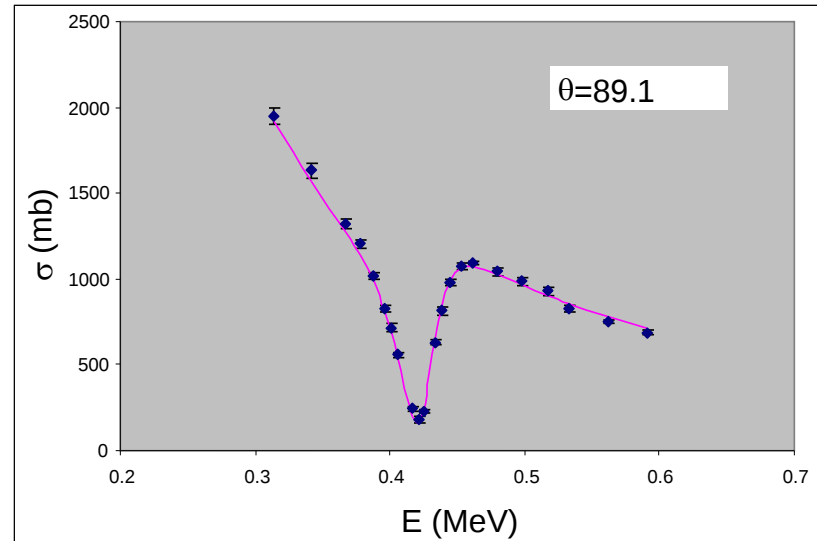
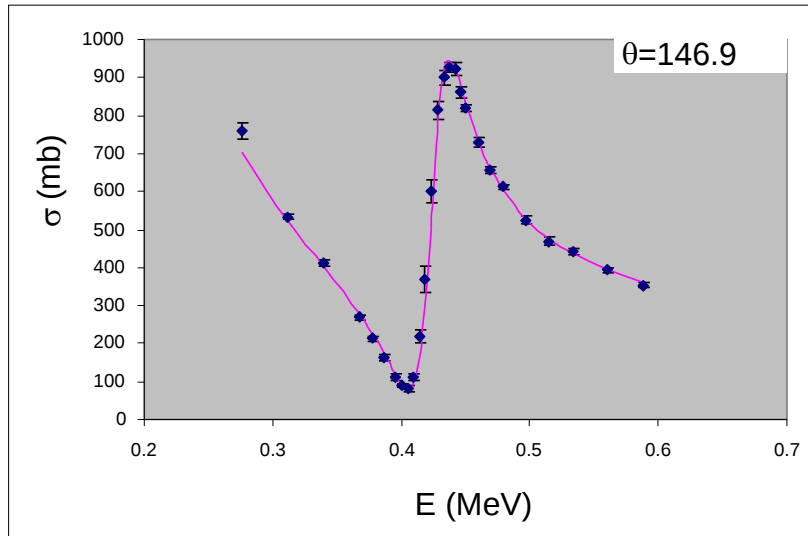
Extension to multi-resonances



6. Phenomenological R matrix Method

First example: Elastic scattering $^{12}\text{C}+p$

Data from H.O. Meyer et al., Z. Phys. A279 (1976) 41



R matrix fits for different channel radii

a	E_R	Γ	E_0	γ_0^2	χ^2
4.5	0.4273	0.0341	-1.108	1.334	2.338
5	0.4272	0.0340	-0.586	1.068	2.325
5.5	0.4272	0.0338	-0.279	0.882	2.321
6	0.4271	0.0336	-0.085	0.745	2.346

→ E_R , Γ very stable with a

→ global fit independent of a

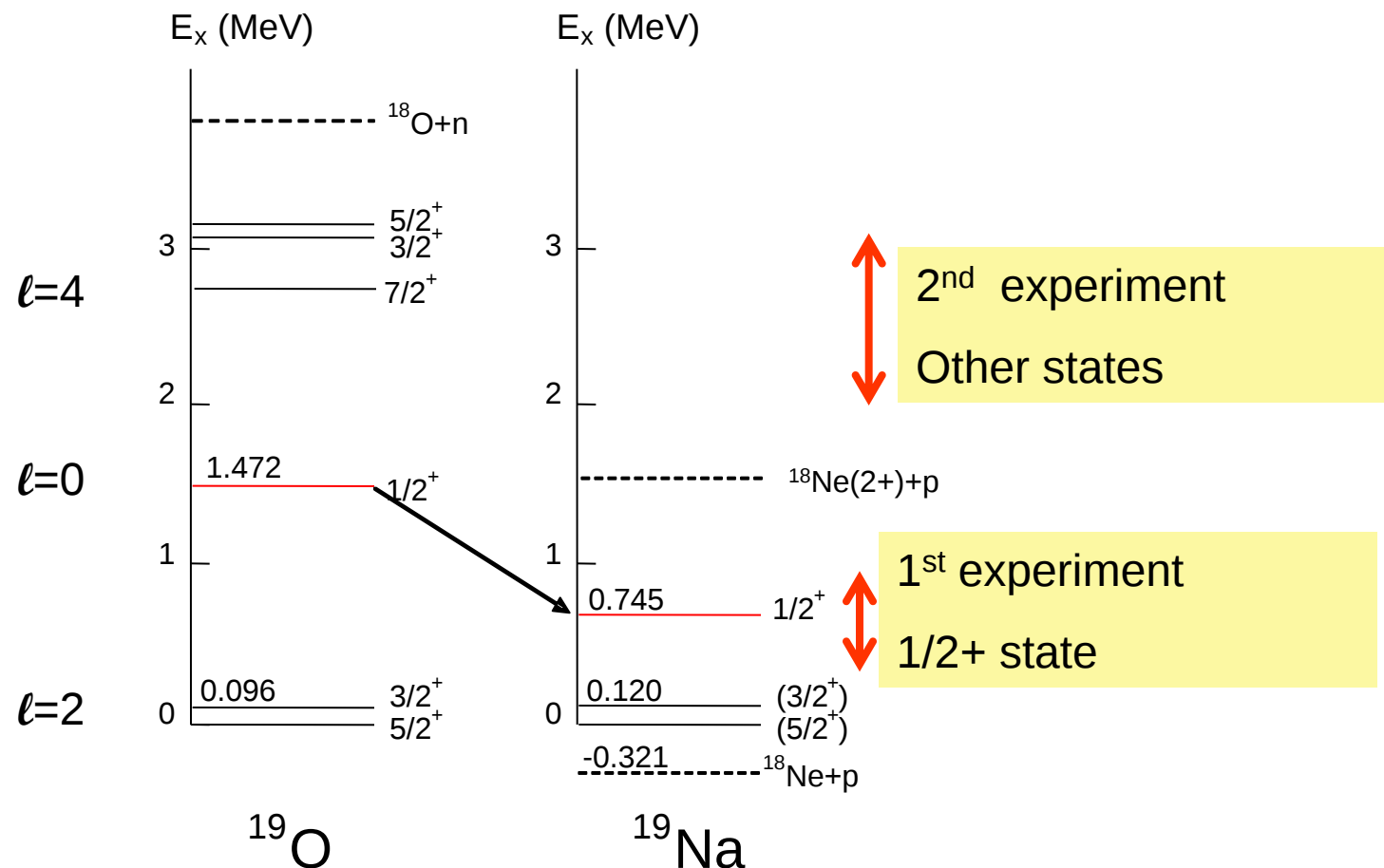
6. Phenomenological R matrix Method

Second example: $^{18}\text{Ne}+p$ scattering at Louvain-la-Neuve

First Experiment : $^{18}\text{Ne}+p$ elastic: *C. Angulo et al, Phys. Rev. C67 (2003) 014308*

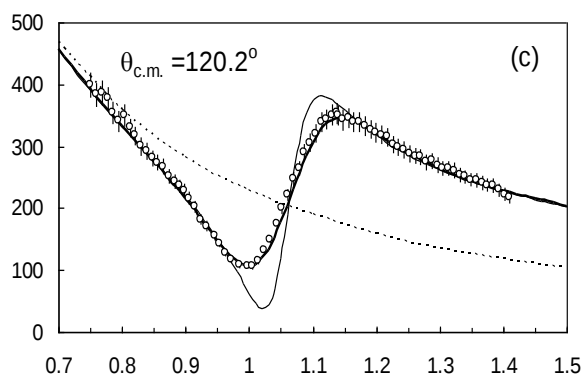
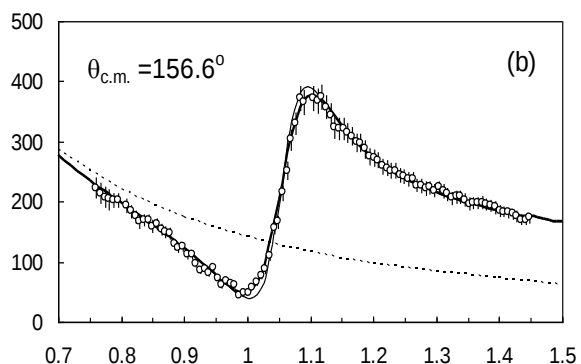
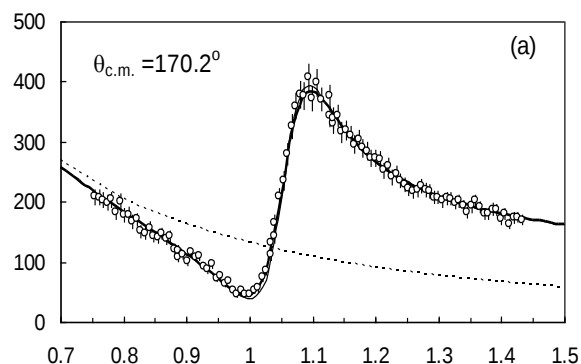
→ search for the mirror state of $^{19}\text{O}(1/2^+)$

Second experiment: $^{18}\text{Ne}(p,p')^{18}\text{Ne}(2^+)$: *M.G. Pellegriti et al, PLB 659 (2008) 864*



6. Phenomenological R matrix Method

differential cross section [mb/sr]



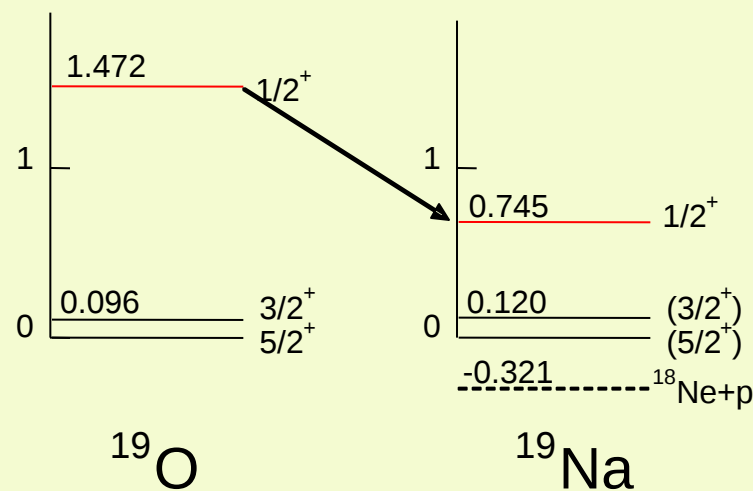
c.m. energy [MeV]

$^{18}\text{Ne}+p$ elastic scattering

Final result

$$E_R = 1.066 \pm 0.003 \text{ MeV}$$

$$\Gamma_p = 101 \pm 3 \text{ keV}$$



→ Very large Coulomb shift
From $\Gamma=101 \text{ keV}$, $\gamma^2=605 \text{ keV}$, $\theta^2=23\%$
Very large reduced width
=“single-particle state”

6. Phenomenological R matrix Method

3rd example: $^{18}\text{Ne}(p,p')^{18}\text{Ne}(2^+)$ inelastic scattering

Combination of $^{18}\text{Ne}(p,p)^{18}\text{Ne}$ elastic and $^{18}\text{Ne}(p,p')^{18}\text{Ne}(2^+)$ inelastic

→ constraints on the R-matrix parameters

Generalization to 2 channels: $R_{ij}(E) = \frac{\gamma_i \gamma_j}{E_0 - E}$

i=1: $^{18}\text{Ne}(0^+) + p$ channel

i=2: $^{18}\text{Ne}(2^+) + p$ channel

→ each state has 3 parameters: E_0, γ_1, γ_2

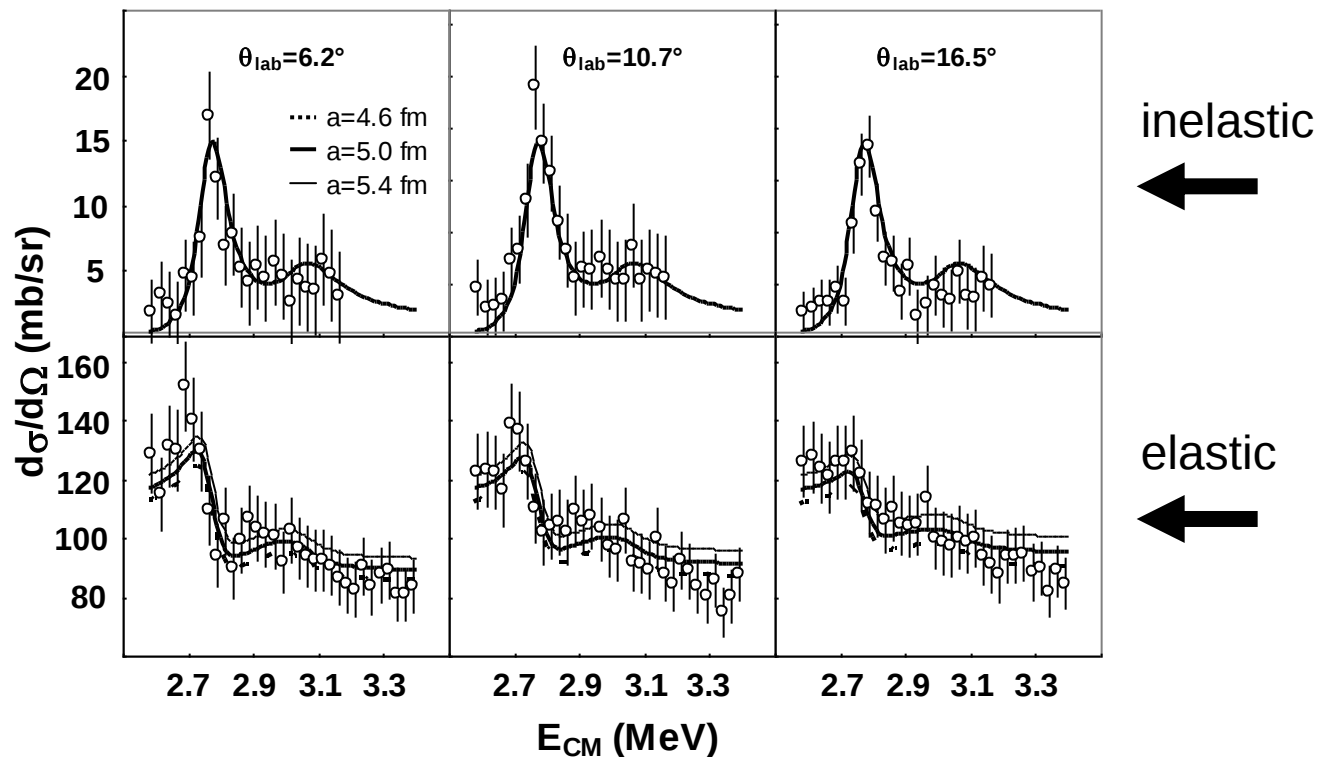
From R-matrix → collision matrix U_{ij}

Elastic cross section obtained from U_{11}

Inelastic cross section obtained from U_{12}

6. Phenomenological R matrix Method

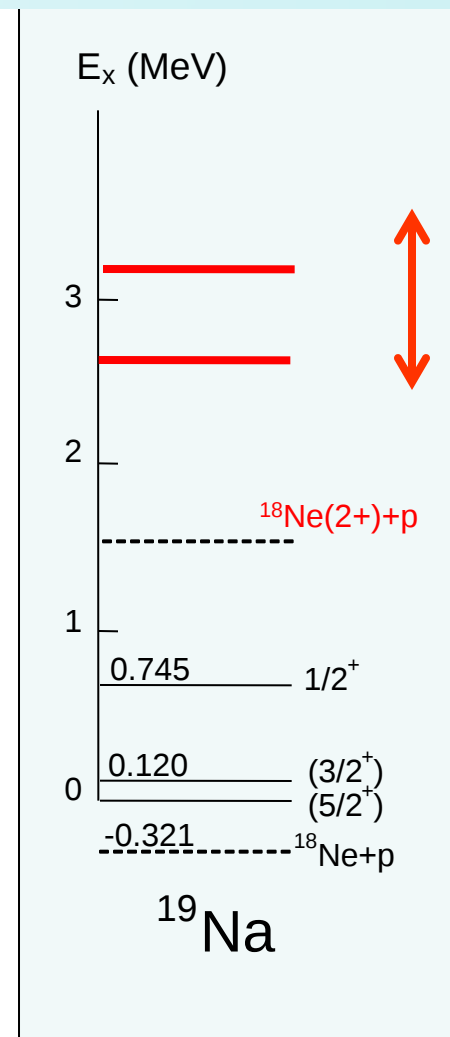
$^{18}\text{Ne}+p$ inelastic scattering: *M.G. Pellegriti et al, PLB 659 (2008) 864*



Several angles fitted simultaneously

Presence of 2 states $\rightarrow$ 6 parameters

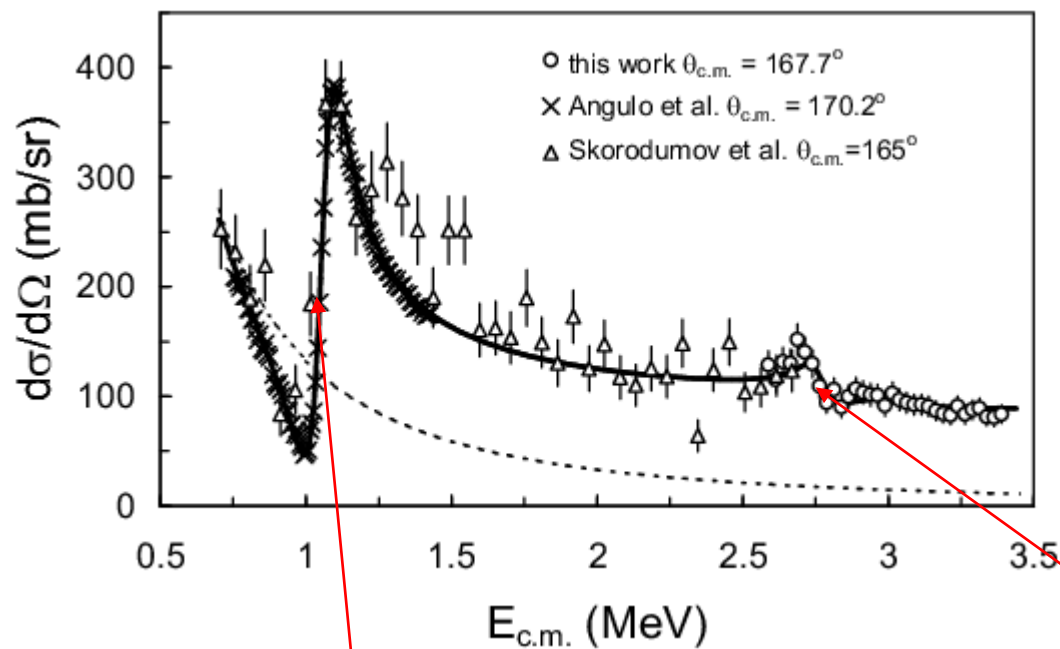
$E_{c.m.}$ (MeV)	$2J^\pi$	Γ_{tot} (keV)	$(2J+1)\frac{\Gamma_0}{\Gamma_{tot}}$	θ_0^2 (%)	θ_2^2 (%)
2.78 ± 0.03	$(5, 3)^+$	105 ± 10	0.43 ± 0.05	1.1 ± 0.3	44 ± 4
3.09 ± 0.06	$(3, 5)^+$	250 ± 50	0.12 ± 0.04	0.6 ± 0.2	36 ± 7



$\rightarrow$ dominant
 $p+^{18}\text{Ne}(2^+)$ structure

6. Phenomenological R matrix Method

$^{18}\text{Ne}+p$ elastic scattering: comparison with other experiments



Complete set of data up to 3.5 MeV

θ_0 large ~23%

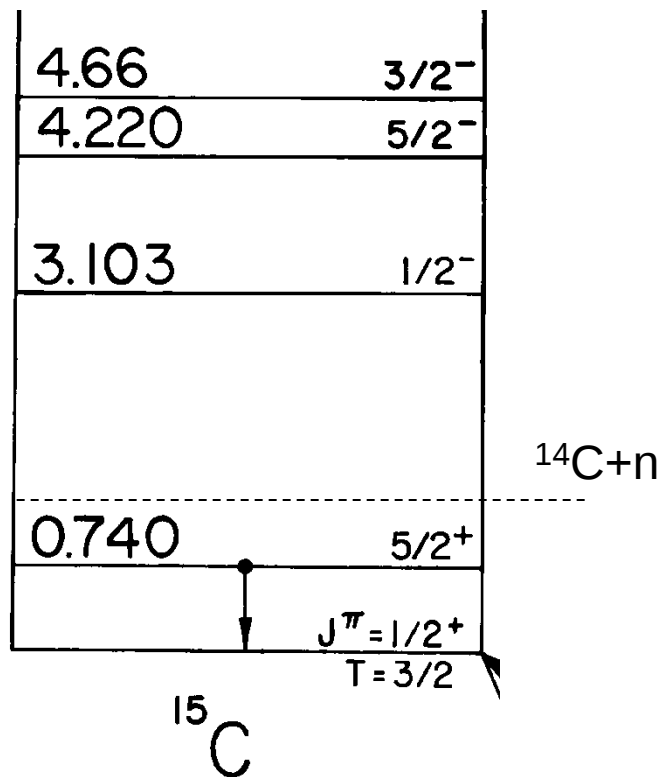
- observable in elastic scattering
- dominant $p+^{18}\text{Ne}(0^+)$ structure
- single-particle state

θ_2 large (2 states) ~40%, θ_0 small

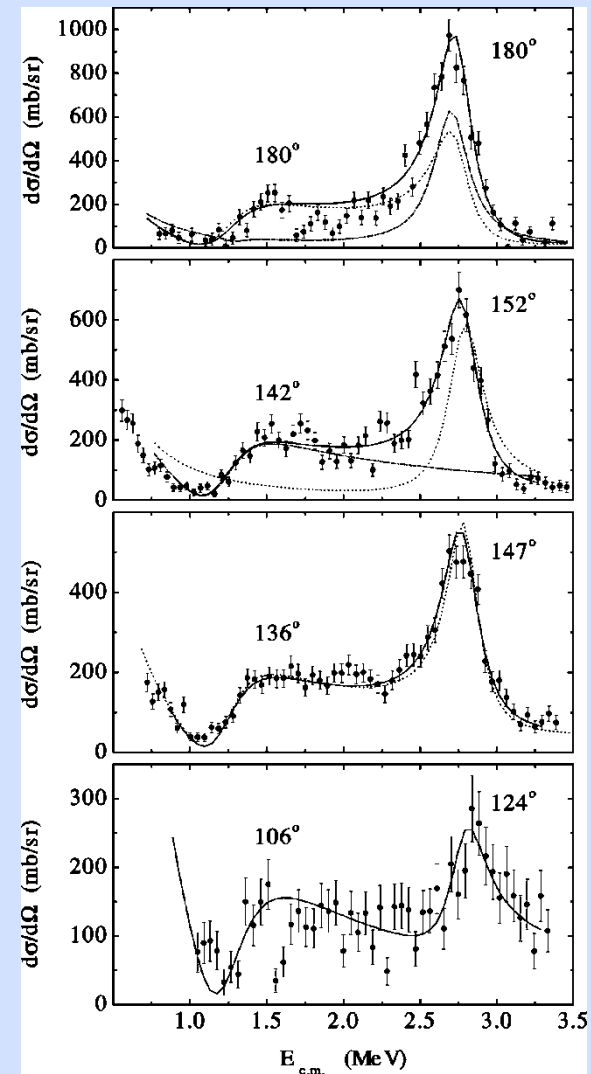
- difficult to observe in elastic scattering
- dominant $p+^{18}\text{Ne}(2^+)$ structure
- single-particle states with **excited core**

6. Phenomenological R matrix Method

Other example: $^{14}\text{O}+p \rightarrow ^{15}\text{F}$: analog of ^{15}C

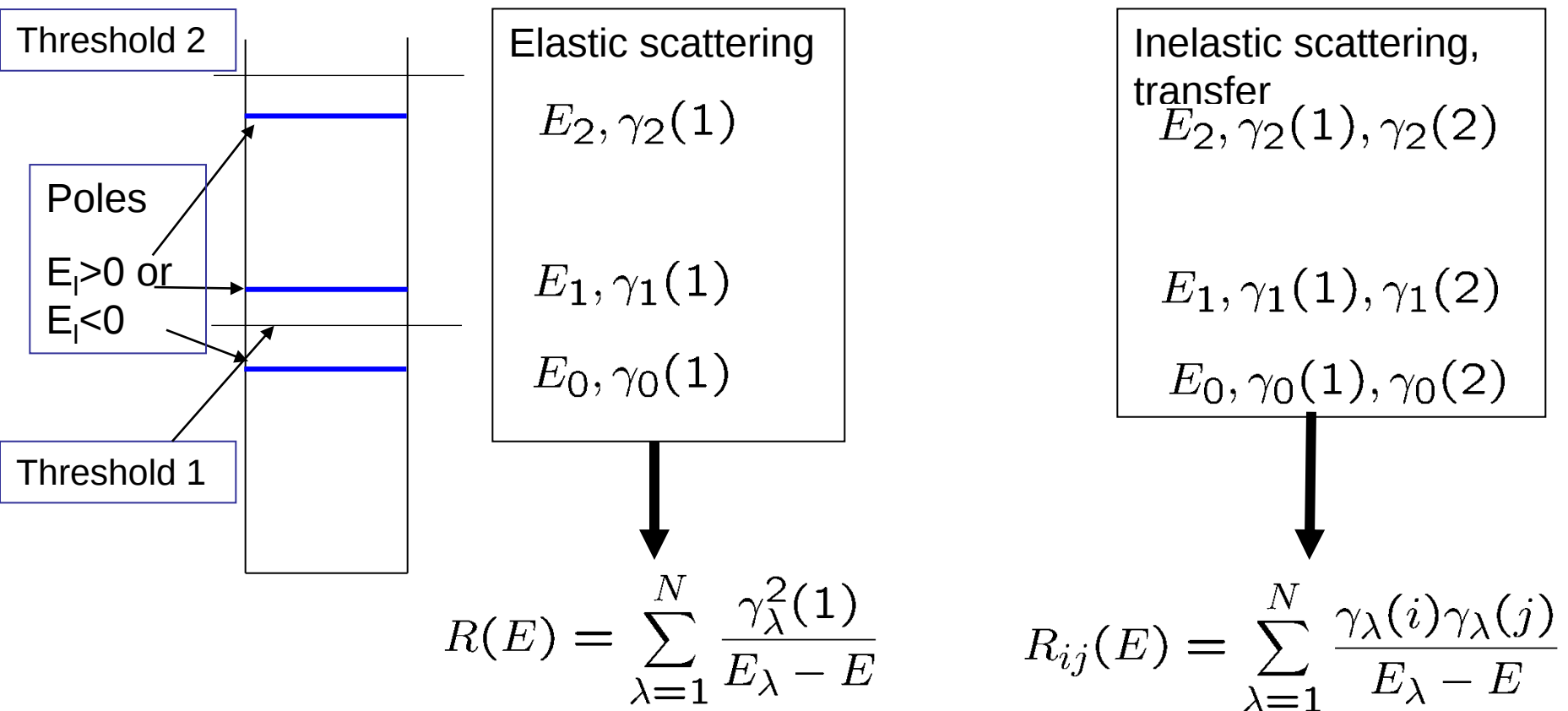


$^{14}\text{O}+p$ elastic: ground state of ^{15}F **unbound**
 Goldberg et al. Phys. Rev. C 69 (2004) 031302



6. Phenomenological R matrix Method

Other processes: capture, transfer, inelastic scattering, etc.



Pole properties: energy
reduced width in different channels ($\rightarrow$ more parameters)
gamma width $\rightarrow$ capture reactions

6. Phenomenological R matrix Method

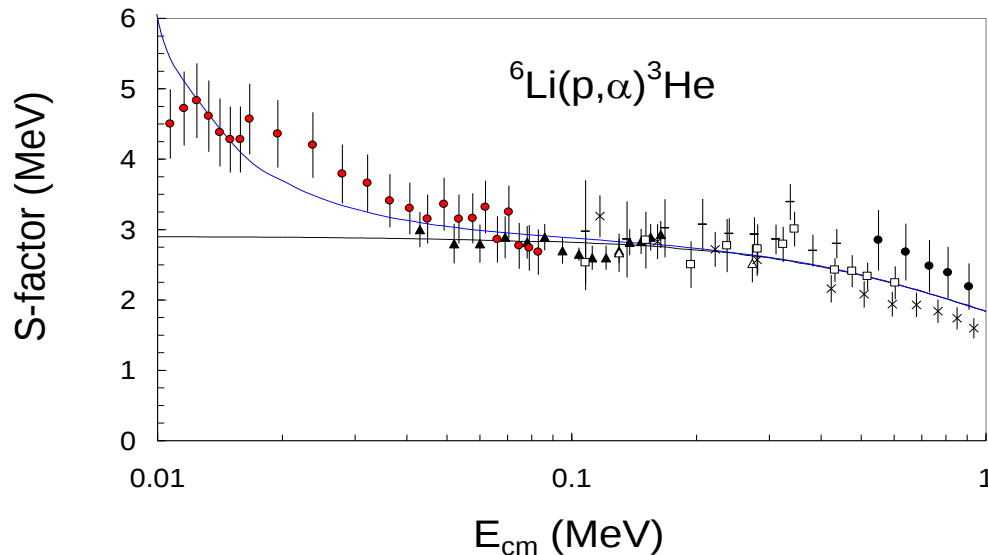
Example of transfer reaction: ${}^6\text{Li}(p,\alpha){}^3\text{He}$ (Nucl. Phys. A639 (1998) 733)

$$R = \begin{pmatrix} R_{pp} & R_{p\alpha} \\ R_{\alpha p} & R_{\alpha\alpha} \end{pmatrix}, \quad \text{with } R_{\alpha p} = R_{p\alpha}$$

Non-resonant reaction: R matrix=constant

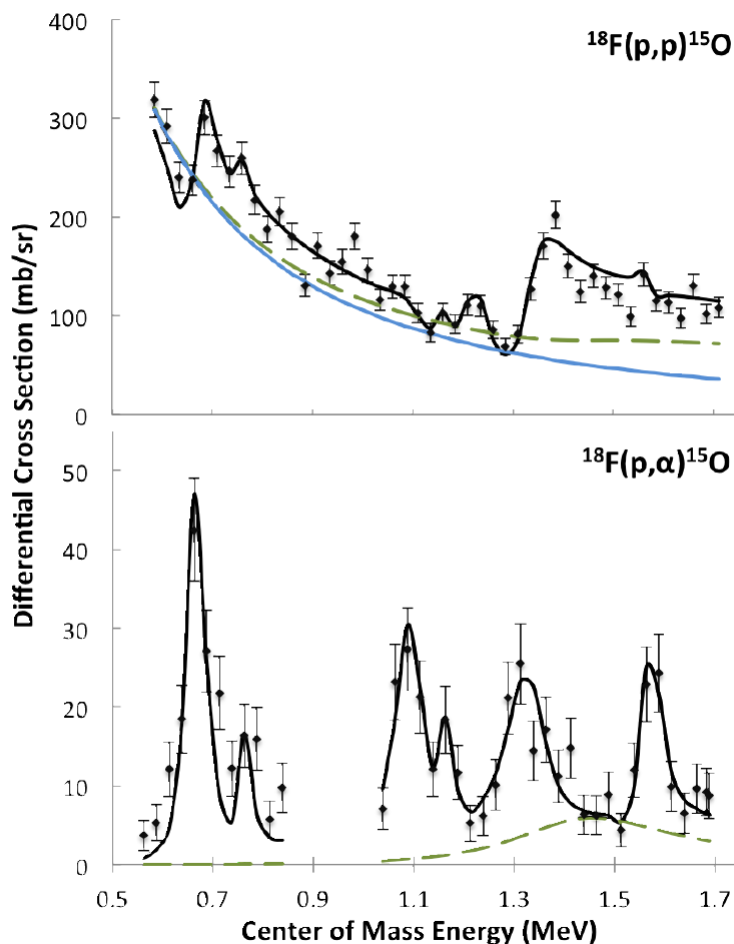
$$\text{Collision matrix } U = \begin{pmatrix} U_{pp} & U_{p\alpha} \\ U_{\alpha p} & U_{\alpha\alpha} \end{pmatrix}, \text{ deduced from the R matrix}$$

Cross section: $\sigma \sim |U_{pa}|^2$



6. Phenomenological R matrix Method

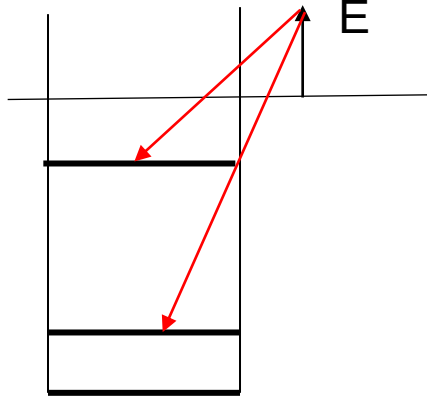
Recent application to $^{18}\text{F}(p,p)^{18}\text{F}$ and $^{18}\text{F}(p,\alpha)^{15}\text{O}$
D. Mountford et al, to be published



simultaneous fit of both cross sections
angle: 176°
for each resonance: $J\pi, E_R, \Gamma_p, \Gamma_\alpha$
8 resonances $\rightarrow$ 24 parameters

6. Phenomenological R matrix Method

Radiative capture



Capture reaction=transition between an initial state at energy E to bound states

Cross section $\sigma_c(E) \sim |\langle \Psi_f | H_\gamma | \Psi_i(E) \rangle|^2$

Additional pole parameter: gamma width $\Gamma_{\gamma i}$

$$\langle \Psi_f | H_\gamma | \Psi_i(E) \rangle = \langle \Psi_f | H_\gamma | \Psi_i(E) \rangle_{int} + \langle \Psi_f | H_\gamma | \Psi_i(E) \rangle_{ext}$$

internal part: $\langle \Psi_f | H_\gamma | \Psi_i(E) \rangle_{int} \sim \sum_{i=1}^N \frac{\gamma_i \sqrt{\Gamma_{\gamma i}}}{E_i - E}$

external part:

$$\langle \Psi_f | H_\gamma | \Psi_i(E) \rangle_{ext} \sim C_f \int_a^\infty W(2k_f r) r^\lambda (I_i(kr) - U O_i(kr)) dr$$

More complicated than elastic scattering!

But: many applications in nuclear astrophysics

7. Conclusions

1. One R-matrix for each partial wave (limited to low energies)
2. Consistent description of resonant and non-resonant contributions (not limited to resonances!)
3. The R-matrix method can be applied in two ways
 - a) **Calculable R-matrix**: to solve the Schrödinger equation
 - b) **Phenomenological R-matrix**: to fit experimental data (low energies, low level densities)

4. Calculable R-matrix

- Application in many fields of nuclear and atomic physics
- Efficient to get phase shifts and wave functions of scattering states
- 3-body systems
- Stability with respect to the radius is an important test

5. Phenomenological R-matrix

- Same idea, but the pole properties are used as **free parameters**
- Many applications: elastic scattering, transfer, capture, beta decay, etc.