

# DEFORMATION of KAZHDAN-LUSZTIG and MACDONALD BASES

Alain Lascoux

*with* Jan De Gier & Mark Sorrell

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Institut Gaspard Monge, Université Paris-Est

Alain.Lascoux@univ-mlv.fr

phalanstere.univ-mlv.fr/~al

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How to compute with **functions of several variables**?

Computers usually treat functions of  $x_1, x_2, x_3, \dots$  as functions of  $x_1$  with coefficients in  $x_2, x_3, \dots$ , and this not very illuminating to use only functions of 1 variable recursively. Fortunately, the classical groups, specially the **symmetric group** come to the rescue\*.

For example **Alfred Young** generalized the decomposition of a function of two variables into its symmetric part and antisymmetric part to any number of variables.

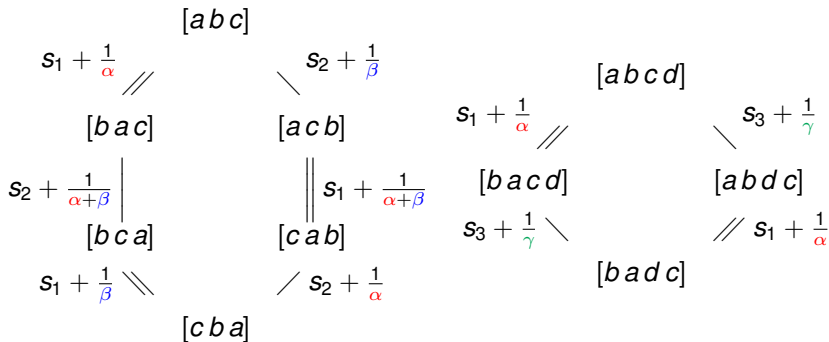
Essentially, his theory uses no more than the relations

$$(1 + s)(1 - s) = 0 \quad \& \quad 1 = \frac{1 + s}{2} + \frac{1 - s}{2}$$

where  $s_1$  is the simple transposition exchanging  $x_1, x_2$ ,

+ the **Yang-Baxter relations**

Take the group algebra of  $\mathfrak{S}_3$ , and a **spectral vector**  $[0, \alpha, \alpha + \beta] = [a, b, c]$ ; write the permutohedron, labelling the vertices with the permutations of the spectral vector, and the edges with simple transpositions + shifts



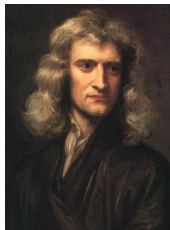
The differences of exchanged spectral values give the parameter to add to simple transpositions.

These Yang-Baxter graphs can be interpreted as describing matrices of representations satisfying

$$M_1(\alpha)M_2(\alpha + \beta)M_1(\beta) = M_2(b)M_1((\alpha + \beta)M_2(\alpha)$$

or idempotents, or bases of representations of the symmetric group, or ...

But one can have much more by replacing simple transpositions by other operators.



Isaac Newton (1643-1727)

For every pair  $x_i, x_{i+1}$ , Newton defines an operator on polynomials (a **divided difference**) :

$$f \rightarrow f\partial_i := \frac{f(\dots, x_i, x_{i+1}, \dots) - f(\dots, x_{i+1}, x_i, \dots)}{x_i - x_{i+1}}$$

These operators satisfy the **braid relations**, together with  $\partial_i^2 = 0$ .

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vel semper decrescant. Hoc modo per bisectionem procedi potest usq; dum<sup>(30)</sup>  
differentiæ quartæ minores sint quam 32.<sup>(31)</sup>

Possent aliæ hujusmodi regulæ tradi sed mallet rem omnem una regula  
generali complecti et ostendere quomodo series quævis in loco imperato  
intercalari<sup>(32)</sup> possit. Exponatur series per lineas  $Ap, Bq, Cr, Ds, Et, Fv, Gw$  &c ad  
lineam  $AG$  perpendiculariter

erectas & intervalla terminorum  
per partes lineæ illius  $AB, BC,$   
 $CD, DE$  &c.<sup>(33)</sup> Fac  $\frac{A-B}{AB} = b,$

$\frac{B-C}{BC} = b^2, \frac{C-D}{CD} = b^3$  &c. Item

$b - b^2 = c, \frac{b^2 - b^3}{\frac{1}{2}AC} = c^2, \frac{b^3 - b^4}{\frac{1}{2}BD} = c^3$

&c. Dein  $\frac{c - c^2}{\frac{1}{3}AD} = d, \frac{c^2 - c^3}{\frac{1}{3}BE} = d^2,$

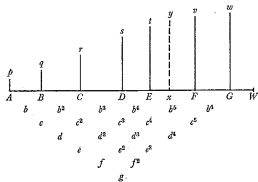
$\frac{c^3 - c^4}{\frac{1}{3}CF} = d^3$  & [c]. Porro  $\frac{d - d^2}{\frac{1}{2}AE} = e.$

$\frac{d^2 - d^3}{\frac{1}{2}BF} = e^2$  &c. Tunc  $\frac{e - e^2}{\frac{1}{2}AF} = f$  & [c] et sic in sequentibus usq; ad ad finem operis,

dividendo semper differentias primas per intervalla terminorum quorum sunt  
differentiæ, secundas per dimidium duorum intervallorum quibus respondent,  
tercias per tertiam partem trium & sic porro pergendo usq; dum in ultimo loco  
differentia satis exigua sit.<sup>(34)</sup> Hoc peracto capiantur tum terminorum tum  
differentiarum primæ  $A, b, c, d, e, f, g$  &c. Sit differentiarum illarum numerus  
 $n$ .<sup>(35)</sup> locus quem intercalare oportet  $x$ , terminus intercalaris  $xy$ , et regrediendi  
ab ultima differentia puta  $g$  et ab ultimo terminorū ex quibus differentia illa

colligebatur puta  $G$ , fac  $f + \frac{g \times Gx}{n} = p. e + \frac{p \times Fx}{n-1} = q. d - \frac{q \times Ex}{n-2} = r. c - \frac{r \times Dx}{n-3} = s.$

$b - \frac{s \times Cx}{n-4} = t. A - \frac{t \times Bx}{n-5} = v,$ <sup>(36)</sup> pergendo semper juxta tenorem progressionis



(30) An unfinished first continuation reads 'præcedentes reg[ulæ applicari possint?]' (the preceding rules [can be applied?]).

always decrease in a regular way. In this manner a bisection procedure may be employed until<sup>(30)</sup> the fourth differences prove to be less than  $32$ .<sup>(31)</sup>

Other rules of this kind might be presented, but I would prefer to embrace everything in one single general rule and show how any series you wish may be intercalated<sup>(32)</sup> in any place commanded. Let the series be exhibited by the lines

$Ap, Bq, Cr, Ds, Et, Fv, Gw, \dots$  raised at right angles to the line  $AG$ , and the intervals of the terms by the parts  $AB, BC, CD, DE \dots$  of that line.<sup>(33)</sup> Make

$$\frac{A-B}{AB} = b_1, \quad \frac{B-C}{BC} = b_2, \quad \frac{C-D}{CD} = b_3,$$

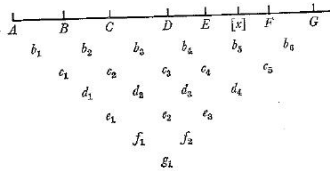
$$\dots; \text{ likewise } \frac{b_1-b_2}{\frac{1}{2}AC} = c_1, \quad \frac{b_2-b_3}{\frac{1}{2}BD} = c_2,$$

$$\frac{b_3-b_4}{\frac{1}{2}CE} = c_3, \dots; \text{ next } \frac{c_1-c_2}{\frac{1}{3}AD} = d_1, \quad \frac{c_2-c_3}{\frac{1}{3}BE} = d_2, \quad \frac{c_3-c_4}{\frac{1}{3}CF} = d_3, \dots; \text{ further } \frac{d_1-d_2}{\frac{1}{4}AE} = e_1,$$

$$\frac{d_2-d_3}{\frac{1}{4}BF} = e_2, \dots; \text{ then } \frac{e_1-e_2}{\frac{1}{5}AF} = f_1, \dots, \text{ and so on in sequel till the work is finished,}$$

dividing always first differences by the intervals of the terms whose differences they are, second ones by half of the two corresponding intervals, third ones by a third of the three corresponding and so forth until the difference in the final place be slight enough.<sup>(34)</sup> When this is accomplished, take the leading quantities both of the terms and the differences,  $A, b_1, c_1, d_1, e_1, f_1, g_1, \dots$ , and let those differences be  $n$  in number,<sup>(35)</sup> the place it is required to intercalate call  $x$ , the term to be intercalated  $xy$ ; then, going backwards from the last difference, say  $g_1$ , and from the last of the terms, say  $G$ , from which that difference was gathered,

$$\text{make } f_1 + g_1 \times \frac{Gx}{n} = p, \quad e_1 + p \times \frac{Fx}{n-1} = q, \quad d_1 - q \times \frac{Ex}{n-2} = r, \quad c_1 - r \times \frac{Dx}{n-3} = s,$$



Since  $\partial_i$  commutes with multiplication with functions symmetrical in  $x_i, x_{i+1}$ , it is characterized by the two values

$$1\partial_i = 0 \quad \& \quad x_i\partial_i = 1.$$

Easy to generalize to operators  $T_i$  commuting with  $\text{Sym}(x_i, x_{i+1})$  and satisfying the braid relations :

$$1T_i = -t^{-1} \quad \& \quad x_{i+1}T_i = -tx_i$$

In terms of divided differences :

$$T_i = \partial_i(tx_i - t^{-1}x_{i+1}) - t^{-1}$$

The operators  $T_i$  generate the **Hecke algebra** and can be used to build a linear basis of the space of polynomials in  $x_1, \dots, x_n$ , the basis of **non-symmetric non-homogeneous Macdonald polynomials**,  $\{M_\nu : \nu \in \mathbb{N}^n\}$ , depending on two parameters  $t, q$ . These polynomials are **eigenfunctions** of some operators, and can be characterized by **vanishing properties**.



What is the problem ?

math-ph/0703015: **Quantum Knizhnik-Zamolodchikov Equation**, **Totally Symmetric Self-Complementary Plane Partitions** and **Alternating Sign Matrices** Authors: P. Di Francesco, P. Zinn-Justin

cond-mat/0608160 : On polynomials interpolating between the stationary state of a  $O(n)$  model and a **Q.H.E. ground state** Authors: M. Kasatani, V. Pasquier

0710.5362 : Factorised solutions of **Temperley-Lieb  $q$ KZ equations** on a segment Authors: Jan de Gier, Pavel Pyatov

math-ph/0603009 : Sum rules for the ground states of the  **$O(1)$  loop model** on a cylinder and the **XXZ spin chain** Authors: P. Di Francesco, P. Zinn-Justin, J.-B. Zuber

math.QA/0507364 : Incompressible representations of the **Birman-Wenzl-Murakami algebra** Authors: V. Pasquier

q-alg/9508002 : **Scattering matrices** and **affine Hecke algebras** Authors: Vincent Pasquier

math-ph/0410061 : Around the **Razumov-Stroganov conjecture**: proof of a multi-parameter sum rule Authors: P. Di Francesco, P. Zinn-Justin

cond-mat/0101385 : The **quantum symmetric XXZ chain** at  $\Delta = -\frac{1}{2}$ , **alternating-sign matrices** and **plane partitions** Authors: M.T. Batchelor, J. de Gier, B. Nienhuis

In short, I would say. All the above problems involve a **finite representation of the Hecke algebra**  $\mathcal{H}_{2n}$ , corresponding to the partitions  $2^n$  or  $[n, n]$ , that one can study using the operators

$$T_i(u) := T_i + \frac{t - t^{-1}}{t^{2u} - 1}$$

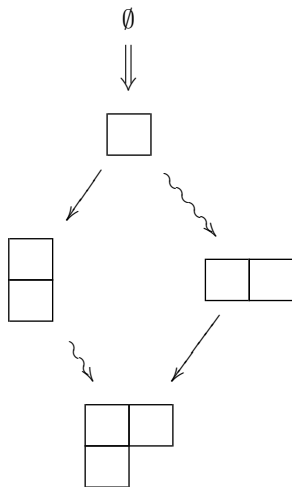
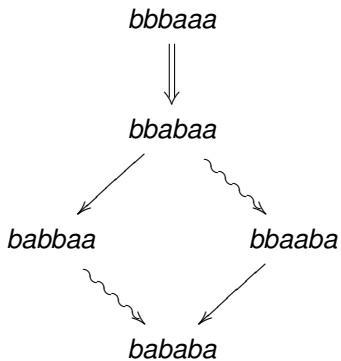
starting from the polynomial (**product of  $t$ -Vandermonde**)

$$\prod_{1 \leq i < j \leq n} (tz_i - z_{i+1}/t) \prod_{n \leq i < j \leq 2n} (tz_i - z_{i+1}/t)$$

but also, from simply the monomial

$$z_1 \cdots z_n$$

The basis of this space can be indexed in many equivalent ways :



$$e_5^e e_3^e e_1^e = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline 5 & 6 \\ \hline \end{array} = \text{Diagram 1}$$

$$e_5^e e_2^e e_3^e e_1^e = \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & 4 \\ \hline 5 & 6 \\ \hline \end{array} = \text{Diagram 2}$$

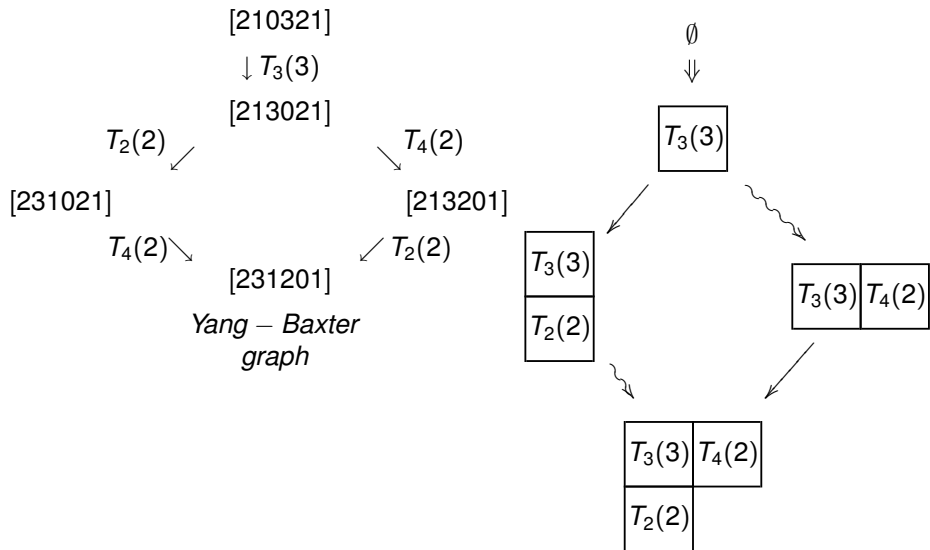
$$e_4^e e_5^e e_3^e e_1^e = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 5 \\ \hline 4 & 6 \\ \hline \end{array} = \text{Diagram 3}$$

$$e_4^e e_5^e e_2^e e_3^e e_1^e = \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & 5 \\ \hline 4 & 6 \\ \hline \end{array} = \text{Diagram 4}$$

$$e_3^e e_4^e e_5^e e_2^e e_3^e e_1^e = \begin{array}{|c|c|} \hline 1 & 4 \\ \hline 2 & 5 \\ \hline 3 & 6 \\ \hline \end{array} = \text{Diagram 5}$$

Some other ways of representing the basis

Input: a Yang-Baxter graph, an initial spectral vector, an initial polynomial.  
 Output: a space of polynomials with explicit basis, that one indexes by the operators creating them. One can read the action of the Hecke algebra on the space.



$T_7(7)$	$T_8(6)$	$T_9(5)$	4	3	2
$T_6(6)$	$T_7(5)$	$T_8(4)$	3	2	
$T_5(5)$	$T_6(4)$	3	2		
$T_4(4)$	$T_5(3)$	2			
$T_3(3)$	2				
2					

Example of a construction using a [Yang-Baxter graph](#) : Generation of the **Macdonald polynomials**, corresponding to partitions contained in the staircase.

$T_7(5)$	$T_8(4)$	$T_9(2)$
$T_6(4)$	$T_7(3)$	$T_8(1)$
$T_5(3)$	$T_6(2)$	
$T_4(2)$	$T_5(1)$	
$T_3(1)$		

*Kazhdan – Lusztig  
basis*

$T_7(3)$	$T_8(2)$	$T_9(1)$
$T_3(3)$	$T_7(2)$	$T_8(1)$
$T_5(2)$	$T_6(1)$	
$T_4(2)$	$T_5(1)$	
$T_3(1)$		

*Di Francesco – Zinn Justin  
basis*

The usual basis *link pattern basis* is the Kazhdan-Lusztig basis which has many interesting properties. In particular, the sum of all the elements of the basis, specializing all the variables to 1, gives the number of ASM or TSSCPP, and there are various statistics which refine this number.

All the different bases contain as a starting point the **product of  $t$ -Vandermonde** :

$$\prod_{1 \leq i < j \leq n} (tz_i - z_{i+1}/t) \prod_{n \leq i < j \leq 2n} (tz_i - z_{i+1}/t)$$

which is the Macdonald polynomial of index  $[n-1, \dots, 0, n-1, \dots, 0]$  for  $q = t^6$ .



Generalisation by putting different parameters in successive rows:

$T_7(7+u_6)$	$T_8(6+u_6)$	$T_9(5+u_6)$	$4+u_6$	$3+u_6$	$2+u_6$
$T_6(6+u_5)$	$T_7(5+u_5)$	$T_8(4+u_5)$	$3+u_5$	$2+u_5$	
$T_5(5+u_4)$	$T_6(4+u_4)$	$3+u_4$	$2+u_4$		
$T_4(4+u_3)$	$T_5(3+u_3)$	$2+u_3$			
$T_3(3+u_2)$	$2+u_2$				
$2+u_1$					

## Integral expression

Let  $\lambda$  be a partition contained in the staircase,  $a_i = \lambda_i + i$ . Let

$$\phi_i(\mathbf{w}) = \prod_{m=1}^i \frac{1}{\mathbf{w} - z_m} \prod_{m=i+1}^{2n} \frac{1}{t\mathbf{w} - t^{-1}z_m}$$

Then the deformed Macdonald polynomial  $M_\lambda(u_1, \dots, u_n; z_1, \dots, z_{2n})$  is equal to

$$\Delta_t(z_1, \dots, z_{2n}) \oint \frac{\partial w_1}{2\pi i} \cdots \oint \frac{\partial w_n}{2\pi i} \Delta(w_n, \dots, w_1) \Delta_t(w_1, \dots, w_n) \times \\ \prod_{m=1}^n \frac{1}{[u_m + 1]} \left( \frac{t^{u_m+1} w_m - t^{-u_m-1} z_{a_m}}{t w_m - t^{-1} z_{a_m}} \right) \phi_{a_m}(w_m).$$

Of special interest is the *last* Macdonald polynomial, of index the staircase partition  $\rho = [n-1, \dots, 1]$ .

**Theorem.**

$$M_\rho(u_1, \dots, u_n; z_1, \dots, z_{2n}) = \sum_{\lambda \leq \rho} c_\lambda KL_\lambda$$

sum over all the K-L basis, with explicit coefficients which are monomials of degree at most 1 in each variable  $y_i = -\frac{t^{u_k} - t^{-u_k}}{t^{u_k+1} - t^{-u_k-1}}$ .

For  $n = 3$ , for example, the expansion in terms of the K-L basis is

$$\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \\ \hline \end{array} + y_1 \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} + y_2 \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} + y_1 y_2 \begin{array}{|c|} \hline \square \\ \hline \end{array} + y_2$$

There remains the problem of specializing the polynomials in  $z_1 = \dots = z_{2n} = 1$  to obtain informations concerning TSSCPP's or ASM's. But constant terms of the type to examine are related to a fundamental scalar product on polynomials in  $x_1, \dots, x_n$  :

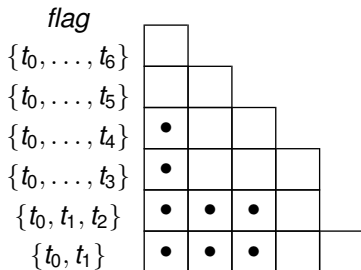
$$(f, g) = CT \left( \prod_{i < j} 1 - x_i x_j^{-1} f(x_1, \dots, x_n) g(x_n^{-1}, \dots, x_1^{-1}) \right)$$

compatible with divided differences, **Schubert polynomials**, **Demazure characters**, **Grothendieck polynomials**.

To be explicit on an example : Di Francesco and Zinn-Justin give a formula (Formula 2.7) for the number of TSSCPP according to the heights of the vertical steps.

$$N(t_0, \dots, t_{n-1}) = CT_x \left( \prod_{i < j} \frac{(x_j - x_i)(1 + t_i x_j)}{1 - x_i x_j} \prod_i \frac{1 + t_0 x_i}{1 - x_i^2} \prod_{i=1}^n x_i^{-2i+2} \right)$$

One shows that  $N(t_0, \dots, t_{n-1})$  is equal to a sum of Schubert polynomials. Since Schubert polynomials can be interpreted in terms of Young tableaux, the final statement is that the constant term is equal to the sum of all **staircase skew Young tableaux** (inner shape made of columns of even length) **satisfying a flag condition**



For example, for  $n = 3$

$$N(t_0, t_1, t_2)$$

$$= \begin{array}{|c|c|} \hline t_2 & \\ \hline t_0 & t_0 \\ \hline \end{array} + \begin{array}{|c|c|} \hline t_2 & \\ \hline t_0 & t_1 \\ \hline \end{array} + \begin{array}{|c|c|} \hline t_2 & \\ \hline t_1 & t_1 \\ \hline \end{array} + \begin{array}{|c|c|} \hline t_1 & \\ \hline t_0 & t_0 \\ \hline \end{array} + \begin{array}{|c|c|} \hline t_1 & \\ \hline t_0 & t_1 \\ \hline \end{array} + \begin{array}{|c|c|} \hline \bullet & \\ \hline \bullet & t_0 \\ \hline \end{array} + \begin{array}{|c|c|} \hline \bullet & \\ \hline \bullet & t_1 \\ \hline \end{array}$$

which is, when specializing  $t_2 = 1$ , the enumeration of the ASM of order 3 according to the positions of top and bottom 1's, or of TSSCPP's according to the last two steps.